

PDC-A11315

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY
BEIRUT, LEBANON

August 19, 1985

TO : Ben Hawley, ANE/MEUR, Lebanon Desk, AID/W
FROM : Terry L. Lambacher, Director, USAID/Lebanon
SUBJECT: Emergency Water Repair (UNICEF) Project 268-0343
REF : Beirut 04602

Attached are three copies each of subject Grant Agreement and Implementation Letter No. 1, dated July 18, 1985.

Please make distribution to appropriate AID/W offices.

FILE	
COUNTRY	Lebanon
PROJ. TITLE	Emergency Water
PROJ. #	268-0343
BIB CODE	30

PROJECT GRANT AGREEMENT
BETWEEN
UNITED NATIONS CHILDREN'S FUND
AND
THE UNITED STATES OF AMERICA

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Government of the United States acting through the Agency for International Development (A.I.D.), hereinafter referred to as the "Government", hereby grants to United Nations Children's Fund (UNICEF), hereinafter referred to as the "Grantee", the sum of Four Million dollars (\$4,000,000). This sum is the United States Government's contribution to the water related, Emergency Relief Program in Lebanon (hereinafter referred to as the "Program"), which is described in Attachment A.

The terms of the Agreement relating to this Grant are as follows:

- Section 1. This Grant Agreement is effective as of the date of the last signature on this Document and is applicable to commitments made by the Grantee in support of the program during the period June 1, 1985, through May 31, 1987. Retroactive commitments made by the Grantee between June 1, 1985, and the effective date of this Agreement are authorized.
- Section 2. It is agreed that the Grantee will implement the program in accordance with procedures mutually agreeable to the Grantee and the Government of Lebanon (GOL), acting through the Council for Development and Reconstruction (CDR). Elements of the Program, as described in Attachment A, may be changed by written agreement of the authorized representatives of the parties named in Section 12. of this Agreement without formal amendment to the Agreement. Such changes must be documented by Implementation Letters issued by A.I.D.
- Section 3. It is understood that grant funds will be utilized to finance water related activities which directly benefit victims of the recent strife in Lebanon and that UNICEF will be responsible for the provision of work plans,

cost estimates, designs, equipment specifications, and other appropriate documentation and technical supervision which is necessary for the proper implementation of project financed activities.

Section 4. It is agreed that the Grantee will furnish the Government with periodic Progress Reports and with a Final Report on the activities financed under the Project. This Final Report will include an accounting of all project funds in sufficient detail to enable the Government to complete its records of the Project. Copies of these reports should be submitted to the U.S.A.I.D. Office in Beirut, Lebanon and to A.I.D.'s Near East Bureau, Project Development Office in Washington, D.C.

Section 5. It is understood that financial records, including documentation to support entries on accounting records and to substantiate charges against the Grant shall be maintained in accordance with the Grantee's usual accounting practices. All such financial records shall be maintained for a period of at least three (3) years after the final disbursement of funds under this Grant.

Section 6. The Grantee confirms that the project account will be audited in accordance with established procedures under appropriate provisions of the financial rules and regulations of the United Nations. The United States Government will receive copies of all such relevant Audit Reports, in accordance with the financial regulations of the United Nations.

Section 7. If the use of grant funds results in the accrual of interest to the Grantee or to any other person or organization to whom the Grantee makes such funds available in carrying out the purposes of this Grant, the Grantee shall refund to A.I.D. the amount of interest earned.

Section 8. It is understood that the funds granted hereunder shall be disbursed as set forth in Attachment B hereto entitled "Payment Provisions".

Section 9. Any funds disbursed by A.I.D., but not committed by the Grantee prior to May 31, 1987, shall be refunded to A.I.D. Any funds disbursed by A.I.D. and not used for the purposes of this Grant shall be refunded to A.I.D. by the Grantee.

Section 10. This Agreement, in whole or in part, may be terminated by either party, at any time upon thirty (30) days written notice. This Agreement may be revised only by the written mutual consent of the parties hereto. In the case of termination, obligation on the part of A.I.D. to authorize further disbursements under the letter of credit, shall be limited to only those required to meet legal noncancelable commitments made prior to the issuance of the termination notice.

Section 11. Any notice, request, document, or other communication submitted by either Party to the other under this Agreement, whether in writing or by telegram or cable, will be deemed duly given or sent when delivered to such party at the following addresses:

To the Grantee:

To A.I.D.

UNICEF

Office of the Director

Office of the Director,

USAID, c/o American Embassy

Middle East and North

Beirut, Lebanon

Africa Region

Beirut, Lebanon

Alternative Addresses:

UNICEF

Office of the Director,

United Nations

NE/PD/MENA, Room 4440 N.S.

New York, N.Y. 10017

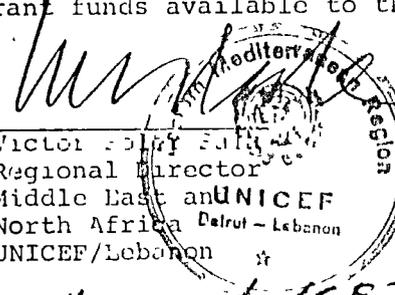
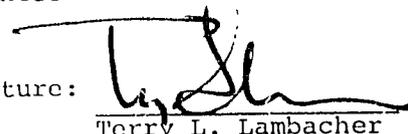
Department of State

Washington, D.C. 20520

All such communication will be in English, unless the parties otherwise agree in writing. Other addresses may be substituted for the above upon the giving of notice.

Section 12. For all purposes relevant to this Agreement, the Grantee will be represented by the individual holding or acting in the Office of Regional Director, Middle East and North Africa, UNICEF, and A.I.D. will be represented by the individual holding or acting in the Office of Director, USAID/Lebanon, each of whom, by written notice, may designate additional representatives for all purposes other than exercising the power under Section 2. to revise elements of the Program as described in Attachment . . . The names of the representatives of the Grantee, with specimen signatures, will be provided to A.I.D., which may accept as duly authorized any instrument signed by such representatives in implementation of this agreement, until receipt of written notice of revocation of their authority.

Section 13. Acceptance of this Grant will be in effect upon the signing of the Original and six copies of this Grant Agreement. Upon signing of this Grant, the steps described in Attachment B will be taken to make the grant funds available to the Grantee

Signature:  Signature: 
 Victor Solby
 Regional Director
 Middle East and North Africa
 UNICEF
 Beirut - Lebanon
 UNICEF/Lebanon

Date : 5 August 1985 Date : July 18, 1985

FISCAL DATA

Project : 268-0343
 Appropriation : 72-11X1037
 Period of Grant : June 1, 1985, to May 31, 1987.
 Budget Plan Code : NESX-85-23268-KG-13
 Amount : US\$ 4,000,000
 (Four million USDollars).



UNITED NATIONS, NEW YORK, N.Y. 10017
CABLE ADDRESS UNICEF - TELEPHONE (212) 754-1234

CONFIRMATION COPY

111A - 101111
KYENG/364-84

28 December 1984

MR. D. REESE

Mr. S. Freundlich

Department of State-USAID (NE/PED/MENA)
(Room 4448)

Washington, D.C. 20520

*NEW STATE BUILDING
Room 440*

WASHINGTON D.C. 20523

Dear Mr. Freundlich,

Further to our telephone conversation of yesterday, I would like to reconfirm with you that UNICEF's Officer-in-Charge, Karl-Axel Larsson, with UNICEF colleagues Raymond Naimy and Moira Hart, met with USAID's representative to Lebanon, Mr. Leonbocker, in Beirut on 12 December.

During this meeting Mr. Larsson, on behalf of UNICEF, discussed with Mr. Leonbocker the possibility of USAID funding of UNICEF's interim emergency workplan for 1985. This emergency workplan, which has been approved by the President of the Council for Development and Reconstruction (Mr. Malek Saleem), emphasizes emergency relief programmes for war-affected areas at a cost of US\$8.13 million. Against this emergency workplan UNICEF has recently secured a contribution from the Australian Government amounting to US\$861,000 and projects (emergency water) financed from this Australian contribution have, in part, already started. Of the remaining priority water components of the overall emergency workplan (US\$4,046,000) UNICEF requested, during the 12 December meeting referred above, USAID's earliest consideration of support.

The details of these programmes have been documented in the attached workplan X and I would appreciate it if you could also review this paper and see if it fulfills the basic minimum information requirements of USAID. This document, as mentioned earlier, was shared with Mr. Leonbocker and from the minutes of the meeting prepared by UNICEF, Mr. Leonbocker - while not obviously being able to commit himself - did indicate USAID's firm interest.

Again I would appreciate if you could give these priority emergency water proposals your earliest consideration and I will revert with the workplan for the remaining projects (budgetted at US\$3,223,000). This while these projects are, with final details, being agreed to and finalized between CDR and UNICEF.

Thank you for all your assistance and support. With seasons greetings, I remain,

Yours sincerely,

Vincent E. O'Reilly
Vincent E. O'Reilly
Programme Officer
Emergency Ops Unit

WORK PLAN "X"
RECONSTRUCTION PROGRAMME
LEBANON 1985

This work plan identifies projects for Reconstruction and Rehabilitation of essential services for the population affected by the violent events in Lebanon.

The projects are in the WATER field in war damaged areas in Greater Beirut, Aley, Chouf, High Metn, and North Lebanon.

The overall cost of this Work Plan (identified by the letter "X", for working convenience) is estimated at US\$ 4,046,000. with administrative cost of 8.6% of the total value.

Beirut - 1 August 1984

DRAFT EMERGENCY WORK PLAN - X

WATER PROJECTS

<u>PROJECT NUMBER</u>	<u>TITLE</u>	<u>ESTIMATED COST US\$</u>
WATER X. 1/A	Replacement of out of order and damaged pipes. BAROUK WATER AUTHORITY	850,000.-
WATER X. 1/B	Pumping stations: equipment, rehabilitation and replacement. BAROUK WATER AUTHORITY	161,000.-
WATER X. 1/C	Reservoirs, repair and rehabilitation. BAROUK WATER AUTHORITY	35,000.-
WATER X. 1/D	Procurement of Pipes. BAROUK WATER AUTHORITY	109,000.-
WATER X. 2/A	Replacement of out of order and damaged pipes. HIGHER MEIN	230,000.-
WATER X. 2/B	Pumping stations: rehabilitation. HIGHER MEIN	60,000.-
WATER X. 3/A	Replacement of pipes and repairs of pipes. AIN DELBE WATER AUTHORITY	533,000.-
WATER X. 3/B	Pumping stations: equipment and rehabilitation. AIN DELBE WATER AUTHORITY	170,000
WATER X. 3/C	Rehabilitation of pumping station buildings. AIN DELBE WATER AUTHORITY	130,000.-
WATER X. 4/A	Replacement and repair of Pipes. BEIRUT WATER AUTHORITY	190,000.-
WATER X. 4/B	Repair of pumping stations and related reservoirs. BEIRUT WATER AUTHORITY	60,000.-
WATER X. 5/A	Replacement of out of order and damaged pipes. TRIPOLI WATER AUTHORITY	140,000.-
WATER X. 5/B	Pumping station: rehabilitation and equipment. TRIPOLI WATER AUTHORITY	326,000.-
WATER X. 5/C	Reservoirs reconstruction. TRIPOLI WATER AUTHORITY	110,000.-
WATER X. 6/A	Replacement of out of order and damaged pipes BATROUN WATER AUTHORITY	18,000.-
WATER X. 6/B	Rehabilitation of Kfarhaida Treatment Station. BATROUN WATER AUTHORITY	50,000.-
WATER X. 7/A	Replacement of out of order and damaged pipes AL-FOURA WATER AUTHORITY	37,000.-
WATER X. 7/B	Pumping stations: equipment and rehabilitation. AL-KOURA WATER AUTHORITY	210,000.-
WATER X. 8/B	Pumping stations: equipment and rehabilitation ZGHORTA WATER AUTHORITY	122,000.-
WATER X. 8/C	Reservoirs: rehabilitation and reconstruction. ZGHORTA WATER AUTHORITY	60,000.-
T O T A L		US\$ 3.725,000.-

8

WATER X. 1. : BAROUK WATER AUTHORITY

W.S. 1. A Replacement of out-of-order and damaged pipes

1) Aley, Souk-el-Gharb, Keyfoun	450m - 10" Ø	US\$ 40,000
2) Rayan-Aley	Repair of serious leaks of main gravity line 10"Ø 12"Ø asbestos.	170,000
3) Dhour Abdeh and Abadieh Reservoirs	2400m Ø	70,000
4) Rayan & Mishrity	3" pipe between both stations	60,000
5) Ain Zhalta	6"Ø / 6km	300,000
6) Shhim	4"Ø / 2.5 km	85,000
7) Deir-el-Qamar	Construction of pipeline 4km 5"Ø from well to town reservoir.	140,000
8) Barouk-Masser Chouf	1800m Ø 6"	45,000

IMPROVEMENT THROUGH
THE PROJECT

The repair works only aim at saving
the leaking water in the pipes.

PRESENT PROBLEM

Main gravity feeder line from Safa to
Iqlim-el-Kharrub was very seriously
affected mainly by war.

METHOD OF IMPLEMENTATION

Selective bidding.

SPECIFICATION

Under preparation.

ESTIMATED TIME BEFORE
IMPLEMENTATION CAN BEGIN

One month

ESTIMATED TIME FOR
COMPLETION

Five months

TOTAL TIME REQUIRED
FOR THE PROJECT

Six months

PRESENT COST ESTIMATED

US\$ 850,000

WATER X 1 : BAROUK WATER AUTHORITY

w.X. 1 / 8 Pumping Stations, Equipment, Rehabilitation & Replacement

1) Rayan	Two pumpsets and panel equipment and replacement Ain Zhalta	US\$ 20,000
2) Barouk	Two submersible pumpsets/two panels (equipment & replacement)	40,000
3) Shhim	Submersible pumpsets and booster (equipment and replacement)	40,000
4) Ain Dara	Two pumpsets and panels axial	50,000
5) Majdal Baana	Rehabilitation and replacement of damaged pumping equipment	15,000

IMPROVEMENT THROUGH THE PROJECT

To feed the region with water supply.

PRESENT PROBLEM

These stations have been damaged by war events.

METHOD OF IMPLEMENTATION

Through selective bidding.

SPECIFICATION

Under preparation.

ESTIMATED TIME BEFORE IMPLEMENTATION CAN BEGIN

One month.

ESTIMATED TIME FOR COMPLETION

Three months

TOTAL TIME REQUIRED FOR THE PROJECT

Four months

PRESENT COST ESTIMATED

US\$ 165,000

WATER X. 1 : BAROUK WATER AUTHORITY

H.N.1 / C Reservoirs - Repair and Rehabilitation

- Baawarta Reconstruction

US\$ 35,000

IMPROVEMENT THROUGH THE PROJECT

The implementation of this project will ensure water and main storage water facilities to the villages.

PRESENT PROBLEM

Due to the mountain war and constant violence, reservoirs were damaged.

METHOD OF IMPLEMENTATION

Selective bidding

SPECIFICATION

Under preparation.

ESTIMATED TIME BEFORE IMPLEMENTATION CAN BEGIN

One month

ESTIMATED TIME FOR COMPLETION

Two months

TOTAL TIME REQUIRED FOR THE PROJECT

Three months

PRESENT COST ESTIMATED

US\$ 35,000

VILLAGES

PIPING SIZE REQ'D METER LENGTH (less 5" galvanized)

	6"	5"	4"	3"	2 1/2"	2"	1 1/2"	1 1/4"	1"	J
ABEY	-	-	-	-	-	-	500	-	500	-
AGAN	-	-	-	15	-	20	100	-	100	-
ALHAFER	-	-	-	-	-	100	-	-	150	-
ALHASSAT MAJDELBANA	-	-	-	-	350	-	-	-	250	-
ALHAYH	-	-	-	-	-	300	-	-	600	-
ALHARF	-	-	-	100	-	100	-	-	-	-
ALHATN	-	-	-	100	-	-	-	-	250	-
ALHEDIN	-	-	-	100	-	150	-	-	200	-
ALHAYH	-	-	-	-	-	-	300	-	150	-
ALHAYH	-	-	-	-	-	-	150	-	400	-
ALHAYT	-	-	-	-	-	-	-	-	-	-
ALHAYH	-	-	-	-	250	150	100	-	475	1
ALHAYH	-	-	-	-	-	100	150	1	100	-
ALHAYH	-	-	-	-	-	1175	600	1225	750	1
ALHAYH	-	-	-	-	300	-	-	-	150	-
ALHAYH	-	-	-	-	-	50	150	-	-	-
ALHAYH	50	50	50	-	-	-	200	-	200	-
ALHAYH	-	-	-	-	-	-	150	-	-	-
ALHAYH	-	-	-	100	-	150	-	-	300	-
ALHAYH	-	-	-	-	-	2300	-	-	500	-
ALHAYH	-	100	500	-	-	950	1200	-	100	-
ALHAYH	-	-	-	300	-	300	-	-	500	-
ALHAYH	-	-	-	-	-	-	300	-	300	-
ALHAYH	-	-	-	-	-	500	-	-	200	-
ALHAYH	-	-	400/600	-	-	-	-	-	800	-
ALHAYH	-	-	1200	-	-	-	600	-	800	-
ALHAYH	-	-	-	-	-	-	100/500	-	200	-
ALHAYH	-	-	-	-	-	-	350	-	200	-
ALHAYH	-	-	-	-	-	-	550	-	300	-
ALHAYH	-	-	-	-	-	-	-	-	300	-
ALHAYH	-	-	-	-	-	-	500	-	-	-
ALHAYH	-	-	-	-	-	-	-	-	1150	-
ALHAYH	-	-	-	-	-	-	300	-	800	-
ALHAYH	-	-	-	240	-	240	400	-	700	-
ALHAYH	-	-	-	-	150	400	650	-	100	-
ALHAYH	-	-	50	-	-	250	500	-	1050	-
ALHAYH	50	150	2800	715	1050	6095	7950	1225	11075	2

WATER X. 1 : BAROUK WATER AUTHORITY _____

W.X. 1/D Procurement of Pipes.

See Attached List.

Due to the violence in the Chouf and mountain area, large sections of the water pipes have been damaged. The attached list of pipes will help much to repair necessary sections of the water network.

<u>PRESENT PROBLEM</u>	Water network has been damaged in many villages due to civil war.
<u>METHOD OF IMPLEMENTATION</u>	Selective bidding.
<u>SPECIFICATION</u>	Under preparation.
<u>ESTIMATED TIME BEFORE IMPLEMENTATION CAN BEGIN</u>	One month
<u>ESTIMATED TIME FOR COMPLETION</u>	Two months.
<u>TOTAL TIME REQUIRED FOR THE PROJECT</u>	Three months.
<u>PRESENT COST ESTIMATED</u>	US\$ 109,000.-



UNITED NATIONS, NEW YORK, N.Y. 10017
 CABLE ADDRESS UNICEF - TELEPHONE (212) 754-1234

NYEMG/041-85

File copy

4 February 1985

NE/PD/MENA 2/8/85
RECEIVED *AT*

Mr. D. Reese
 Department of State USAID
 NE/PUD/MENA Room 400
 Washington D.C. 20523

Dear Mr. Reese,

In response to our telephone conversation of this afternoon, please note the following estimates of beneficiaries against the emergency water supply work plan for Lebanon.

1. Barouk Water Authority	232,000
2. Metn Water Authority	70,000
3. Ain Delbe Water Authority	350,000
4. Beirut Water Authority	150,000
5. Tripoli Water Authority	143,000
6. Batroun Water Authority	43,000
7. El-Koura Water Authority	56,000
8. Zgharta Water Authority	<u>41,000</u>
Total	1,085,000

At this point in time I believe that we could with ease propose an operational time frame for these water projects of 15 months from date of startup. If there are any changes in this from the Beirut Office, I will inform you.

We are, of course, delighted with the good news of the grant and hope that we can work everything out to your satisfaction as quickly as possible.

Again, many thanks for keeping in touch and for pursuing things your end. With kind regards,

Yours truly,

Vincent E. O'Reilly
 Emergency Programme Officer

cc: Mr. M. Vianello-Chiodo
 Mr. J. Mohan

Telephone Nos. 368 498
368 539
368 729
368 721

Cable Address : UNICEF
3313/UT

Telex : 3950313
CALLBACK UNICEF



Office Location: Beirut, Lebanon
Missions: Amman, Baghdad, Cairo, Damascus, Harare, Jakarta, Kinshasa, Lagos, Lima, London, Manila, Mexico City, New York, Ottawa, Rome, Santiago, Tunis, Vienna, Zaire

UNICEF

UNITED NATIONS CHILDREN'S FUND-FONDS DES NATIONS UNIES POUR L'ENFANCE

OFFICE OF THE DIRECTOR
EASTERN MEDITERRANEAN REGION

February 22, 1985

RSL/8996

Dear Mr. Salam,

I regret that I did not have the opportunity to meet you during my present trip in order to discuss with you a number of issues related to our cooperation. I look forward to have an opportunity during my next visit.

I have visited South Lebanon and reviewed the work situation with the staff and as expected, in the present security circumstances, the pace of implementation is slower than usual.

Further to my letter of December 17, 1984 concerning the Interim Workplan, I understand that the Government of Canada has donated One Million Canadian Dollars (approximately US\$ 750,000) and we have been advised by Headquarters that USAID is seriously considering a contribution of 4 million U.S. Dollars. We will inform you as soon as this is formally confirmed.

Also, as requested in the same letter, in view of the need to approach donors for funds to cover the remaining projects in the workplan of approximately US\$ 3.223 million, I would like to draw your attention to the importance of finalizing with the respective Ministries the remaining projects of the workplan and submit it to us as soon as possible.

Sincerely yours,

Victor Soler-Sala
Regional Director

Mr. Malek Salam
President
Council for Development
and Reconstruction
Baabda

BEST AVAILABLE DOCUMENT



Telephone Nos. | 368 490
| 368 539
| 368 720
| 368 721

Cable Address : UNICEF
| JERUSALEM

Telex : 20508LE
CALLBACK UNICEF

.A



Postal Address : P. O. Box 5902
| Beirut Lebanon

Office Location : Mimosa Building
| Rue J Kennedy
| Beirut Lebanon

UNICEF

UNITED NATIONS CHILDREN'S FUND-FONDS DES NATIONS UNIES POUR L'ENFANCE

OFFICE OF THE DIRECTOR

RSL/9032

EASTERN MEDITERRANEAN REGION March 5, 1985

Dear Mr. Salam,

Further to our letter of February 22, 1985 (copy attached) and subsequent discussions with Mr. T. Leonbacker, Director of AID, we understand that there is agreement, in principle, to provide funding of US\$. 4 Million for Water Projects, in the agreed upon areas of operation.

The Plan was initially drawn up in August 1984 and approved by CDR in December 1984. It was submitted to USAID and to the Canadian Government, for funding. Since then, 1 Million Canadian Dollars for specific Water Projects was formally donated by the Canadians.

As both Donors have established fixed priorities for assistance in the Water Sector, and funds available are totalling US\$. 4.75 Million, the attached projects have been discussed and approved by the Ministry of Hydraulics for inclusion in the existing list to complement the Programme.

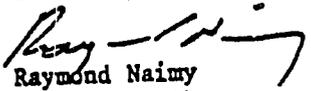
As you know, the needs in this area are of utmost urgency to the survival of the population deprived of water supply and Donors are anxious to respond rapidly to emergency needs.

Furthermore, we understand that AID is in the process of finalizing their grant agreement which will refer to individual projects.

With this in mind, it would be appreciated by all parties if an early response to this matter could be obtained.

Assuring you of our highest esteem and consideration at all times, we remain.

Sincerely yours,


Raymond Naimy
Officer-In-Charge

Mr. Malek Salam
President
Council for Development
and Reconstruction
Baabda

cc. Mr. V. Soler Sala - UNICEF Regional Director
Mr. T. Lanbuchar - AID Director

INTERIM

WORK PLAN X

(ADDENDUM)

March '85

Wells and stations: equipping with pumps, control panels and pipings

1.	Baalbeck, 2 Nos. 40 Hp, Booster with 500 m Ø 6" from Ras el Ain to Baalbeck	US\$ 30,000
2.	Majdaloun well, 20 m ³ /h, 100m Ø 3"	40,000
3.	Al-Khodr well, 70 m ³ /h	20,000
4.	Al-Labweh, 2 Nos. Booster with 1,500 m Ø 3"	35,000
5.	Nabi Osman, Booster 2Nos; 25 m ³ /h	25,000
6.	Bzalieh, Mikrak and Nakra well 25 m ³ /h, 1,500 m Ø 4" ----	50,000

PRESENT PROBLEMS: Existing wells are out of order

IMPROVEMENT THROUGH THE PROJECT: To equip the wells and stations to provide water to the villages

METHOD OF IMPLEMENTATION Through selective bidding

SPECIFICATIONS under preparation

ESTIMATED TIME BEFORE IMPLEMENTATION CAN BEGIN 30 calendar days

ESTIMATED TIME FOR COMPLETION 120 calendar days

TOTAL TIME REQUIRED FOR THE PROJECT 150 calendar days

Approved
[Signature]

WATER X.5

: TRIPOLI WATER AUTHORITY

W.X.5 .A

Equipping drinking water wells with generators
due to shortage of electricity in Tripoli

- | | | |
|----|--|-------------|
| 1. | Dahr El Mohgz Well, 350 kVA,
silent type generating set,
installed in outdoor type container | US\$ 45,000 |
| 2. | Al-Kobbeh Well, 200 kVA,
silent type generating set,
installed in outdoor type container | 35,000 |
| 3. | Abou Samra, (Saadoun), 350 kVA,
silent type generating set,
silent type generating set,
installed in outdoor type container | 45,000 |

PRESENT PROBLEMS: Due to shortage of electricity in Tripoli, the water wells are not functioning

IMPROVEMENT THROUGH THE PROJECT: To provide water to the villages

METHOD OF IMPLEMENTATION Through selective bidding

SPECIFICATIONS under preparation

ESTIMATED TIME BEFORE
IMPLEMENTATION CAN BEGIN 30 Calendar days

ESTIMATED TIME FOR COMPLETION 120 calendar days

TOTAL TIME REQUIRED FOR THE
PROJECT 150 calendar days

PRESENT COST ESTIMATED US\$ 125,000.-

Approved



WATER X.10

: AKAR WATER AUTHORITY

W.X.10 A

Wells: Equipping with pumps, control panels and
pipings at the following locations:

1.	Kfartoun 200 m ³ /day, 20 Hp	US\$ 25,000
2.	Al-Sahla 400 m ³ /day, 30 Hp	25,000
3.	Jdaldat Al-Kweiteh 400 m ³ /day, 30 Hp	25,000
4.	Akkar Al-Atika, 1700 m ³ /day, 150 Hp	50,000
5.	Sayfoun, 2 Nos. Booster	30,000

PRESENT PROBLEMS: Existing wells are out of order

IMPROVEMENT THROUGH THE PROJECT: To equip the well

METHOD OF IMPLEMENTATION Through selective bidding

SPECIFICATIONS Under preparation

ESTIMATED TIME BEFORE
IMPLEMENTATION CAN BEGIN 30 calendar days

ESTIMATED TIME FOR COMPLETION 120 calendar days

TOTAL TIME REQUIRED FOR THE
PROJECT 150 calendar days

PRESENT COST ESTIMATED US\$ 155,000.-

Approved

[Handwritten Signature]

Main water pipes of different sizes for the following villages @ 2", 3" and 4"

- 1. Danka, Al Barda, Al Nahira)
- 2. Al-Kwasha, Al Dibbabilia)
- 3. Al-Dora, Al-Nora)
- 4. Al-Beeri, Al Majdal) 18 km
- 5. Sharbila, Hmais, Al-Rihanieh)
- 6. Al-Dogla, Ain El Zeit)
- 7. Jdaidet Aydamoun, Shekla)

PRESENT PROBLEMS: existing pipes are damaged or out of order

IMPROVEMENT THROUGH THE PROJECT: To provide clear drinking water to villages.

METHOD OF IMPLEMENTATION Through selective bidding

SPECIFICATIONS Under preparation

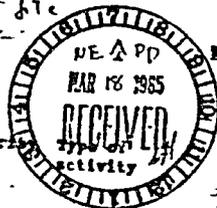
ESTIMATED TIME BEFORE IMPLEMENTATION CAN BEGIN 30 calendar days

ESTIMATED TIME FOR COMPLETION 120 calendar days

TOTAL TIME REQUIRED FOR THE PROJECT 150 calendar days

PROJECT COST ESTIMATED US\$ 270,000.-

Approved.
[Signature]



EXPECTED WORK SCHEDULE FOR THE INTERIM EMERGENCY RELIEF PROGRAMME
(PHASE 1)
VER 2.128

March 7, 1985

UNICEF/CDR Mater Authoriz CODE

Type of activity

Expected date of forwarding FFE to CDR and return of FFE

Est. CDR's approval

Type of bidding & date for calling bids

CDC's appr.

Est. Cost \$

Expected awarding of contracts

Expected starting and compl. date

W.X.1./A Replacement of out-of-order and damaged pipes

UNICEF/CDR Mater Authoriz CODE	Type of activity	Expected date of forwarding FFE to CDR and return of FFE	Est. CDR's approval	Type of bidding & date for calling bids	CDC's appr.	Est. Cost \$	Expected awarding of contracts	Expected starting and compl. date
W.X.1./A	Replacement of out-of-order and damaged pipes							
W.X.1./A-3	Barouk * Laying 8" pipe 2900m between SHAMOUN/BAALSHOEY	7.3.1985	18.3.1985	Selective/Bidding 25.3.85	8.4.1985	103,550	18.4.1985	25.4.85/24.6.85
W.X.1./A.4	BAROUK Laying pipes 2400m between DHOUR ABADIEH & ABADIEH	7.3.1985	18.3.1985	- do -	8.4.1985	72,000	15.4.1985	25.4.85/24.5.85
W.X.1./A.5	BAROUK 3" pipe between RAYAN/MISHRITY	7.3.1985	18.3.1985	- do -	8.4.1985	72,000	15.4.1985	25.4.85/24.5.85
W.X.1./A-7	BAROUK pipes 4"Ø 4km for SFHIL	7.3.1985	18.3.1985	- do -	8.4.1985	78,900	15.4.1985	25.4.85/24.5.85
W.X.1./A.8	BAROUK Constr. of pipeline 4km 5"Ø from DEIR EL-QAMAR well to res.	7.3.1985	18.3.1985	- do -	8.4.1985	197,800	15.4.1985	25.4.85/24.7.85
W.X.1./A.9	BAROUK Laying pipes 1800m Ø 6" to BAROUK-MAASER CHOUF	7.3.1985	18.3.1985	- do -	8.4.1985	96,000	15.4.1985	25.4.85/24.5.85

W.X.1./B Pumping stations - Equipment, Rehabilitation and Replacement

UNICEF/CDR Mater Authoriz CODE	Type of activity	Expected date of forwarding FFE to CDR and return of FFE	Est. CDR's approval	Type of bidding & date for calling bids	CDC's appr.	Est. Cost \$	Expected awarding of contracts	Expected starting and compl. date
W.X.1./B-1	BAROUK two pumpsets & panel (equip. & repl.) to RAYAN	7.3.1985	18.3.1985	- do -	8.4.1985	26,700	18.4.1985	25.4.85/24.5.85
W.X.1./B-3	BAROUK * two pumpsets & panel (equip. & repl.) to BATER	7.3.1985	18.3.1985	- do -	8.4.1985	11,000.00	18.4.1985	25.4.85/24.5.85
W.X.1./B-6	BAROUK * Booster & panel to SIBLIN	7.3.1985	18.3.1985	- do -	8.4.1985	3,000.00	18.4.1985	25.4.85/10.5.85

* Projects funded by the Australian donation.
** Projects funded by the Canadian donation.
The rest were proposed to USAID for funding.

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UNICEF/CDB -CODE	Water Authority	Type of activity	Expected date of forwarding FFE to CDB and return of FFE	Est. CDB's approval	- X - Type of bid- ding & date for calling bids	CDC's appr.	Est. Cost \$	Expected awarding of contracts	Expected starting and compl. date
<u>W.X.1/C Reservoirs - Repair and Rehabilitation</u>									
W.X.1/C-2	BAROUK *	Repair res. of AIN DARA	7.3.1985	18.3.1985	Selective/ Bidding 25.3.85	8.4.1985	9,600.00	18.4.1985	25.4.85/24.5.85
<u>W.X.1/D Procurement of Pipes</u>									
W.X.1/D	BAROUK	Procurement of pipes	7.3.1985	18.3.1985	- do -	8.4.1985	141,500	18.4.1985	25.4.85/24.7.85
<u>W.X.2/A Replacement of out-of-order and damaged pipes</u>									
W.X.2/A-1	Higher Worth * Beta	Repl. of 8" main supply line, 1000m between DHOUR CHOUEIR and BOLOGNA	7.3.1985	18.3.1985	- do - 3.4.85	17.4.1985	34,000	26.4.1985	3.5.84/2.9.85
W.X.2/A-2	Higher Worth * Beta	Supply & instal. of 3500m Ø 10" to ZAAROUR	7.3.1985	18.3.1985	- do -	17.4.1985	227,900	26.4.1985	3.5.85/2.7.85
<u>W.X.3/A Replacement and Repair of damaged pipes</u>									
W.X.3/A-11	AIN DELBE *	Repair of pipes to SOUTHERN SUBURB	7.3.1985	18.3.1985	Selective/ Bidding 25.3.1985	8.4.1985	36,300	18.4.1985	25.4.85/24.5.85
<u>W.X.3/B Rehabilitation of Equipment at P.S</u>									
W.X.3/B-1	AIN DELBE *	RIHAMIEH	7.3.1985	18.3.1985	- do -	8.4.1985	17,750	18.4.1985	25.4.85/24.6.85
W.X.3/B-3	AIN DELBE	KFARCHIMA	7.3.85	18.3.85	- do -	8.4.85	22,500	18.4.85	25.4.85/24.5.85
W.X.3/B-4	AIN DELBE *	GALERIE SEMAAN	7.3.1985	18.3.1985	- do -	8.4.1985	15,720	18.4.1985	25.4.85/15.5.85
W.X.3/B-5	AIN DELBE	SAAB	7.3.85	18.3.85	- do -	8.4.85	29,000	18.4.85	25.4.85/24.5.85
W.X.3/B-6	AIN DELBE *	HAZMIEH	7.3.1985	18.3.1985	- do -	8.4.1985	57,000	18.4.1985	25.4.85/24.5.85
W.X.3/B-8	AIN DELBE *	DAMOUR	7.3.1985	18.3.1985	- do -	8.4.1985	55,000	18.4.1985	25.4.85/24.5.85

* Projects funded by the Australian donation.
** Projects funded by the Canadian donation.
The rest were proposed to USAID for funding

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UNICEF/ODR CODE	Water Authority	Type of activity	Expected date of forwarding PFO to CDR and return of PFO	CDR's Appr.	Type of bidding & date for calling bids	CCC's PFO	Cost \$	Expected awarding of contracts	Expected starting and compl. date
<u>W.X.3/C Rehabilitation of P.S. Building</u>									
W.X.3/C-1	AIN DELBE	RIHANIEH	7.3.85	18.3.85	Selective/ Bidding 3.4.1985	8.4.85		INCLUDED WITH W.X.3/B/1	
W.X.3/C-4	AIN DELBE	KPARCHIMA	7.3.85	18.3.85	- do -	8.4.85		INCLUDED WITH W.X.3/B-3	
W.X.3/C-5	AIN DELBE	GALERIE SEMAAN	7.3.85	18.3.85	- do -	8.4.85		INCLUDED WITH W.X.3/B-4	
W.X.3/C-6	AIN DELBE	SAAB	7.3.85	18.3.85	- do -	12.4.85		INCLUDED WITH W.X.3/B-5	
W.X.3/C-7	AIN DELBE	HAZMIEH	7.3.85	18.3.85	- do -	12.4.85		INCLUDED WITH W.X.3/B-6	
<u>W.X.5/A Replacement of out-of-order and damaged pipes</u>									
W.X.5/A-1	TRIPOLI **	Belt El Arab	7.3.1985	18.3.1985	- do -	17.4.1985	14,500.00	26.4.1985	3.5.85/2.6.85
W.X.5/A-2	TRIPOLI **	AZQUI	7.3.1985	18.3.1985	- do -	17.4.1985	36,150.00	26.4.1985	3.5.85/2.7.85
W.X.5/A-3	TRIPOLI **	KPARSHLAN	7.3.1985	18.3.1985	- do -	17.4.1985	34,500.00	26.4.1985	3.5.85/2.6.85
W.X.5/A-4	TRIPOLI **	KPARHABOU	7.3.1985	18.3.1985	- do -	17.4.1985	80,000.00	26.4.1985	3.5.85/2.8.85
<u>W.X.5/B Rehabilitation & Replacement of P.S. AND wells Equipment</u>									
W.X.5/B-1.1	TRIPOLI **	ABI SAMRA	7.3.1985	18.3.1985	- do -	17.4.1985	40,000.00	26.4.1985	3.5.85/2.8.85
W.X.5/B-1.2	TRIPOLI **	ABI SAMRA	7.3.1985	18.3.1985	- do -	17.4.1985	39,000.00	26.4.1985	3.5.85/2.8.85
W.X.5/B-2	TRIPOLI **	AL KOBBEH	7.3.1985	18.3.1985	- do -	17.4.1985	42,000.00	26.4.1986	3.5.85/2.8.85
W.X.5/B-3	TRIPOLI **	HABI YUSHA	7.3.1985	15.3.1985	- do -	17.4.1985	41,000.00	26.4.1985	3.5.85/2.8.85
<u>W.X.6/A Replacement of out-of-order and damaged pipes</u>									
W.X.6/A	BATROUN **	pipes 1000m 1"8 to DOUMA	7.3.1985	15.3.1985	- do -	17.4.1985	14,500.00	26.4.1985	3.5.85/19.6.85
<u>W.X.7/A Replacement of out-of-order and damaged pipes</u>									
W.X.7/A	AL KOURA **	pipes 2000m 2"8 to KOSBA	7.3.1985	15.3.1985	- do -	17.4.1985	31,200.00	26.4.1985	3.5.85/2.7.85

* Projects funded by the Australian donation.
 ** Projects funded by the Canadian donation.
 The rest were proposed to USAID for funding

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PAYMENT PROCEDURES

LETTER OF CREDIT - FEDERAL RESERVE BANK

(1) AID shall open a Letter of Credit - Federal Reserve Bank (LOC-FRB) in favor of UNICEF in the amount of \$4 million available for obligation under this grant against which UNICEF may present payment vouchers. The amount of the payment voucher shall not be in an amount less than \$5,000 no more than \$5,000,000 on monthly withdrawals.

(2) In no event shall the accumulated total of all such payment vouchers exceed the amount of the Federal Reserve Letter of Credit.

(3) If at any time, AID determines UNICEF has presented payment vouchers in excess of the amount or amounts allowable in (1) or (2) above, AID may: (a) cause the Federal Reserve Letter of Credit to be suspended or revoked; or (b) direct UNICEF to withhold submission of payment vouchers until such time as, in the judgment of AID, an appropriate level of actual, necessary and allowable expenditures has occurred or will occur under this grant; and/or (c) request UNICEF to repay AID the amount of such excess. Upon receipt of AID's request for repayment of excess advance payments, UNICEF shall promptly comply with such request.

(4) General:

The Letter of Credit - Treasury Financial Communications System (LOC-TFCS) is an electronic wire transfer system that delivers federal funds to recipient organizations that receive federal funding through a letter of credit arrangement with AID.

(5) Cash Management Requirements:

Prior to LOC-TFCS, the recipient organization's financial institution "must" have a negotiated signed agreement in place with the U.S. Treasury. If an agreement exists, the recipient organization, upon notification from AID/M/FM/PAFD, may begin requesting funds under the LOC-TFCS.

Under the LOC-TFCS, the recipient presents a Request for Funds voucher, TFS Form 5805, to its financial institution.* The financial institution reviews the TFS Form 5805 for completeness, ensures that the amount requested has not been altered, and compares the signature(s) appearing on the TFS Form 5805 to the related signature card on file.

If the information provided is complete, the amount requested is not altered, and the signature(s) is acceptable, a request for funds message is transmitted electronically by the recipient organization's financial institution via the Federal Reserve Communications System (FRCS) to the U.S. Treasury and on to AID (this is a wire transfer request procedure).

The U.S. Treasury and the AID/M/FM/PAFD will review each request for funds message and based on the review will determine if the request should be paid. If it is approved for payment, the U.S. Treasury will "wire" transfer funds through the FRCS for credit to the recipient organization's account, at its financial institution, by the workday following receipt of the request.

However, if the request for funds message is rejected, the U.S. Treasury will transmit a rejection message electronically by wire as soon as possible, but no later than the workday following receipt of the message. The message will indicate the reason(s) for rejection.

* Some states require state agencies to provide the TFS Form 5805 to the State Treasurer's Office for delivery to the financial institution.

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6. PROCEDURES FOR DRAWING FEDERAL FUNDS

The recipient organization shall request funds (cash advances) by presenting a completed and signed TFS Form 5805 to its financial institution.

A. Preparation of a Request for Funds (TFS Form 5805)

A request for funds should not be prepared for amounts less than \$5,000 nor more than \$5 million. In order for a recipient organization to process an individual TFS Form 5805 in excess of \$5,000,000, the SF-1193A must be annotated as follows:

"Individual drawdowns in excess of \$5,000,000 are authorized".

B. Submitting a Request for Funds (TFS Form 5805) See pages 20-23 for instructions on preparing TFS Form 5805.

1. The request is distributed as follows:

- The original and duplicate should be presented to the financial institution as early in the day as possible.
- The triplicate and quadruplicate may be discarded.
- The quintuplicate is for retention by the recipient organization preparing the request.

2. The financial institution shall review the request for funds for inclusion of all required data elements and to ensure that the amount requested has not been altered. The financial institution shall also compare the signature(s) on the related authorized signature card (SF-1194) to ensure the authenticity of the signature(s). A countersignature is required on the form only if the countersignature option is elected on the SF-1194.

3. Request for Funds will not be accepted by the financial institution if any of the following conditions occur:

- It is signed by an official whose signature is not on the current signature card (SF-1194) filed at the financial institution.
- It contains an invalid signature.
- It is missing required information.
- The amount requested has been altered.

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If the TFS Form 5805 is incomplete or contains an invalid or unauthorized signature(s) or an altered amount requested, the financial institution shall reject the TFS Form 5805 and immediately notify the recipient organization by telephone of the reason(s) for nonacceptance. This enables the recipient organization to present another request for funds as soon as possible.

C. Request for Funds Message Transmission

If the information on the TFS Form 5805 is accepted by the recipient organization's financial institution; i.e., all the information is complete, the amount is unaltered, and the signature(s) is valid; then the request for funds information is transmitted electronically through the Federal Reserve Communications System to the U.S. Treasury.

It is recommended that the TFS Form 5805 be delivered by the recipient organization to its financial institution as early as possible in the day. This is necessary in order to expedite transmission of the request for funds information to the U.S. Treasury and to allow maximum time for re-entry of a rejected request for funds message. Each recipient organization needs to develop a procedure with its financial institution to determine the cut-off time for submitting a TFS Form 5805 to insure timely transmission. The deadline for receiving request for funds messages by the U.S. Treasury is 4:30 p.m. Eastern Time. Request for funds messages cannot be processed on those holidays observed by the sending financial institution's servicing Federal Reserve Bank, the Federal Reserve Bank of New York, or the U.S. Treasury.

D. Review of Request for Funds Message

1. Preliminary Edit: Upon receipt of the request for funds message by the U.S. Treasury, a preliminary computer edit is performed by TFCS to ensure that the message does not contain format errors and to verify the following information:

- The nine-digit identifier of the sending financial institution.
- The telegraphic abbreviation of the sending financial institution.

- The nine digit identifier of the recipient organization's financial institution if not the sending financial institution.
- The agency location code is 72002701.
- The letter of credit number is 7200xxxx.
- The recipient organization's bank account number.
- Sufficient funds available for payment.
- The amount requested does not exceed \$5,000,000 unless authorized.

If any of the items fail this edit, a rejection of the request for funds is immediately returned electronically to the financial institution for correction. Messages passing this edit are then transmitted electronically to AID/M/FM/PAD for agency review.

2. AID/M/FM/PAD Review: Upon receipt of the message, AID reviews the request for funds to ensure the information provided is correct as follows:

- The name of the recipient organization is correct.
- Payment does not exceed the total net available balance for all obligations covered by the consolidated letter of credit.
- Any required financial and cash management reports have been received.
- The recipient organization does not have excessive Federal funds on hand and the advance payment would not result in excess funds on hand.

Based on its review, AID/M/FM/PAD advises the U.S. Treasury if the message should be paid or rejected.

E. Payment of the Request for Funds Message

If the request for funds message passed the U.S. Treasury edit and is approved for payment by AID/M/FM/PAD, a payment is generated on the workday following receipt of the original message. When notified of receipt of funds, the recipient organization's financial institution shall check the block indicating payment received and enter the date of the

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payment on both copies of the TFS Form 5805. The financial institution forwards the duplicate of the paid TFS Form 5805 to the recipient organization and retains the original for its records.

In addition, the recipient organization's financial institution provides the recipient organization with immediate notice of credit. If notice of credit has not been received on the anticipated payment date, the recipient organization should contact its financial institution to determine if a response to the request for funds was received. If a response was not received, the recipient organization should notify AID/M/FM/PAO so that appropriate action can be taken.

The recipient organization's account will be credited on the same day the recipient organization's financial institution receives credit. Failure of the financial institution to pass credit promptly should be reported to the AID/M/FM/PAO contact.

F. Rejection of the Request for Funds Message

If the request for funds message is rejected, the U.S. Treasury transmits a rejection message to the financial institution as soon as possible. This message identifies the reason(s) for rejection.

When notified of rejection, the recipient organization's financial institution shall check the block indicating rejection and the reason(s) for rejection on both copies of the TFS Form 5805. The recipient organization's financial institution forwards the duplicate of the rejected TFS Form 5805 to the recipient organization and retains the original for its records. The financial institution shall provide immediate notification of the rejection and the reason(s). This enables the recipient organization, where appropriate, to present another TFS Form 5805 to its financial institution as soon as possible. See Section X for reasons for rejection.

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Request For Funds

(TFS FORM 5805 INSTRUCTIONS)

SECTION I - MESSAGE FORMAT

<u>Block No.</u>	<u>Explanation</u>
(1) PC (PRIORITY CODE)	Block (1) is not completed by the recipient organization.
(2) TO	This preprinted block identifies the routing symbol of the U.S. Treasury.
(3) TYPE	The preprinted block identifies the message as a Request for Funds.
(4) FROM	Enter the nine-digit wire transfer routing number of the sending financial institution as shown on the Letter of Credit, SF-1193A, block 9d.
(5) CL (CLASS)	This item is not completed by the recipient organization.
(6) REF (REFERENCE NUMBER)	Leave blank. This item is completed by the financial institution transmitting the message.
(7) AMOUNT	Enter the total dollar amount of Federal funds requested. This amount must be properly punctuated to include the cents digits and must not exceed fourteen (14) positions. For example, total amount requested: \$700,000.00.
(8) SPECIAL HANDLING INSTRUCTIONS	This block is preprinted, indicating the purpose of the message.
(9) SENDER	Enter the telegraphic abbreviation of the sending financial institution as shown on the Letter of Credit, SF-1193A, block 9e.
(10) RO BANK	RECIPIENT ORGANIZATION'S FINANCIAL INSTITUTION If the recipient organization's financial institution is not the sending financial institution; i.e., the sending financial institution is acting as a

NOTE: Do not use hyphens in preparing the TFS 5805.

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correspondent bank for the recipient organization's financial institution, the recipient organization enters the nine-digit wire transfer American Bankers Association (ABA) routing number of its financial institution as shown on the Letter of Credit, SF-1193A, block 9f. If the recipient organization's financial institution is the sending financial institution, enter the word "SAME" in this field.

(11) RECEIVER

This block is preprinted. (TREAS NYC)

(12) TDO

(TREASURY DISBURSING OFFICE) identifier
If not preprinted enter 300, the three digit code as shown on the LETTER OF CREDIT, SF-1193A, block 6. This code must be enclosed in parentheses. It is used to identify the servicing Treasury Disbursing Center.

(13) AID/M/FM

If not preprinted, enter the eight-digit Agency Location Code assigned to the Agency for International Development who issued the letter of credit, i.e. 72008701.

(14) LCN

(LETTER OF CREDIT NUMBER)

Enter the eight-digit number as shown on the Letter of Credit, SF-1193A, block 2. This number always begins with a "72" prefix for AID followed by 00xxxx. The xxxx represents a 4 digit sequential no.

(15) ACN

(ACCOUNT CREDIT NUMBER)

Enter the account number at the financial institution to be credited with the funds requested as shown on the Letter of Credit, SF-1193A, block 9c.
(RECIPIENT ORGANIZATION'S FINANCIAL INSTITUTION ACCOUNT NUMBER)

(16) RQN

(REQUEST NUMBER)

TFS Forms 5805 are to be numbered consecutively starting with 001 and reverting back to 001 each October 1st, at the beginning of the Federal fiscal year. If a TFS form 5805 is rejected, the next form is assigned the next consecutive number.

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(17) SCH

(BALANCE ON HAND)

Enter the total amount, to the nearest dollar, of Federal funds on hand at the time of submission of the TFS Form 5805. This amount must include commas and not exceed eleven (11) positions. For example, \$10,787.52 will be shown as 10,788. (Do not use the cents columns)

(18) DLR

(DATE OF LAST REQUEST)

Enter the date on which the preceding draw down request (TFS Form 5805) was submitted for advance payment. For example, January 8, 1983, is entered as 010883 (without hyphens).

(19) RON

(RECIPIENT ORGANIZATION NAME)

Enter recipient organization name as shown in the "Treasury Checks To Be Made Payable To" block on the Letter of Credit, SF-1193A block 9b.

(20) THIRD PARTY INFORMATION

Leave blank.

SECTION II - CERTIFICATION

(21) DATE

Enter the date(s) the TFS Form 5805 is signed.

(22) SIGNATURE/COUNTER-SIGNATURE

An authorized representative manually signs the "Request for Funds" document. This person's signature must be on the signature card currently on file in the Financial Institution, the U.S. Treasury, and with AID/M/FM/PAD.

(23) TITLE

Enter the title(s) of the individual(s) signing the TFS Form 5805.

Request for Funds
TFS FORM 5805 - COPY DISTRIBUTION

Copies of TFS Form 5805 are distributed as follows:

- The original and duplicate copies will be presented to the financial institution as early in the day as possible.
- The triplicate and quadruplicate copies may be discarded.
- The quintuplicate copy will be retained by the recipient organization.

X. Rejection Messages and Explanation

TFS FORM 5805/1031, REQUEST FOR FUNDS

A. <u>TFCFS Rejection Message</u>	<u>Explanation</u>
SEND BANK UNAUTH FOR RO	The sending financial institution's nine-digit ABA wire transfer routing number ("FROM" block 14) of the TFS Form 5805) is not the same as that shown on the Letter of Credit, SF-1193A.
INVALID AMOUNT	The amount requested on the TFS Form 5805 is not numeric, does not include the dollar sign and cents digits, and/or is improperly punctuated.
DC ALC INVALID-	The Treasury Disbursing Office (TDO) does not service the letter of credit number designated for the cited Agency Location Code (ALC).
AID/M/FM ALC INVALID	The eight-digit (Agency for International Development, Office of Financial Management,) Agency Location Code (Block 13) of TFS 5805 is incorrect.
LCN INVALID	The letter of credit number shown in (Block 14) of TFS 5805 is incorrect.
ACN INVALID	The recipient organization's account number (ACN) at its financial institution does not agree with account number reflected on the letter of credit.
RECV BANK FORMAT INVALID	The telegraphic abbreviation "TREAS NYC" (Receiver) and/or the Treasury Disbursing Office (TDO) and/or the Agency Location Code (ALC) do not conform to message format requirements.

TFCS Rejection Message

Explanations

LCC DATE NOT EFFECTIVE

The effective date for this draw down is not compatible with the effective date as shown on the certified Letter of Credit, SF-1193A, on file with the Treasury Disbursing Office and does not allow acceptance of the request for funds.

LCN REVOKED

The letter of credit number (LCN) has been revoked.

SENDING BANK NAME INCORP

The sending financial institution name (on the certified letter of credit on file with the Treasury Disbursing Center) is not authorized to request funds.

RO BANK ID INVALID

The recipient organization's financial institution identification does not agree with the information provided on the certified letter of credit on file with the Treasury Disbursing Center.

AMT REQ EXCEED AVAIL BAL

The AMOUNT requested exceeds the available balance of funds for the letter of credit on file with the Treasury Disbursing Center.

UNAUTH DRAWDOWN AMOUNT

The AMOUNT requested exceeds the maximum amount authorized for an individual payment.

8. AID Rejection Messages

Explanations

INSUFF PROGRAM AWD AUTH

Undrawn recipient award authorization is insufficient to honor the request for funds.

AGENCY STOP PAYMENT

AID has directed that payment be withheld. Please telephone your AID contact person, Area Code (202) 632-0102.

DELINQ REPORTING

Cash management reports required by AID/M/FM/PAD have not been submitted and are overdue, therefore, payment is being withheld.

OTHER

The payment request was not honored for a reason not explicitly identified above. Please telephone your AID contact person, area code (202) 632-1102.

AID Rejection Messages

Explanations

EXCESSIVE FUNDS ON HAND

The amount requested if paid would result in excess funds being held by the recipient organization.

INVALID RO NAME

The recipient organization's name (RON) is incorrectly spelled or abbreviated, etc.

NOTE: Section X does not represent an all-inclusive list of reasons for rejection; however, it does present those reasons that most frequently cause rejection of a recipient organization's Request for Funds (TFS Form 5805).

XI. THIRD PARTY INFORMATION

Federal Program Agencies may require recipient organizations to identify the programs for which funds are being requested. This information, if required, will be provided in the "Third Party Information" area of TFS Form 5805, limited to 169 characters. At this time AID does not require third party information. However, detailed instructions concerning "Third Party Information" may be added by AID at a future time.

XII. REPORTING REQUIREMENTS

A. Grants and Cooperative Agreements

Recipients of AID grants and cooperative agreements shall submit a "Financial Status Report", SF-269 (Attachment A) quarterly no later than thirty days after the end of the quarter. The recipient organization shall submit an original and two copies of the report. A final report must be submitted within 90 days after the conclusion of the grant or cooperative agreement.

The SF-269 shall be prepared on an accrual basis. If the R.O. accounting records are not normally kept on an accrual basis, the recipient organization will not be required to convert its accounting system, but shall develop such accrual information through best estimates based on an analysis of documentation on hand.

Recipient organizations shall submit quarterly a "Federal Cash Transaction Report", SF-272 and SF-272a (Attachment B), no later than 15 days following the end of each quarter. The R.O. shall submit an original and one copy of the report. Any R.O. receiving advances of more than \$1 million annually shall submit the SF-272/272a on a monthly basis within 15 working days following the close of the month. The R.O. shall report in the remarks section of the SF-272 all cash advances to sub-recipients and field organizations. Any of these cash advances in excess of immediate disbursement needs must be supported by brief narratives outlining the actions taken by the R.O. to reduce the excess cash balances.

- B. Recipient Organizations with AID Direct Contracts will prepare and submit for each contract an original and three copies of a "Public Voucher for Purchases and Services Other Than Personal", SF-1034, (Attachment C) within 30 days from the close of an accounting month in which the R.O. incurred cost under the contract. The SF-1034 must be supported by certifications, and documentation as required, itemizing expenditures made, identifying funds expended by line item of the approved budget and/or category supporting the agreement as provided in the "Documentation for Payment" provision of each contract.

AID/M/FM/PAD will review the SF-1034 against the provisions of the contract. Any expenditures improper under the terms of the contract are rejected by the Authorized Certifying Officer. AID/M/FM/PAD will notify the R.O. of the reason for the rejection and will direct the R.O. to adjust the next periodic report of expenditures to reflect the rejection. The R.O. will also be directed to reduce the next "Request for Funds" under the Letter of Credit by the amount of the rejection.

Simultaneously with the submission of the SF-1034, each recipient organizations with and AID Direct Contract shall submit a status report on the LOC-TFCS as of the close of the period covered by the SF-1034. The report is to be prepared in the format as shown in Attachment D.

- C. All reports required by Section XII A and B above shall be submitted to AID/M/FM/PAD at the address shown below on or before their due dates. Failure to submit the required financial reports when due may cause AID/M/FM/PAD to withhold approval of "Request for Funds" under the R.O. LCC-TFCS.

Agency for International Development
M/FM/PAD, Room 624, SA-12
Washington, DC 20523
Attention: LOC Section

INSTRUCTIONS

(Back)

Please type or print clearly. Items 1, 2, 3, 5, 7, 9, 10d, 10e, 10g, 10i, 10j, 11a, and 12 are self-explanatory. Specific instructions for other items are as follows:

Item	Entry	Item	Entry
4	Enter the employer identification number assigned by the U.S. Internal Revenue Service or PCE (institution) code, if required by the Federal sponsoring agency.	10c	Enter the amount of all program income realized in this period that is required by the terms and conditions of the Federal award to be deducted from total project costs. For reports prepared on a cash basis, enter the amount of cash income received during the reporting period. For reports prepared on an accrual basis, enter the amount of income earned since the beginning of the reporting period. When the terms or conditions allow program income to be added to the total award, explain in remarks, the source, amount and disposition of the income.
5	This space is reserved for an account number or other identifying numbers that may be assigned by the recipient.	10f	Enter amount pertaining to the non-Federal share of program outlays included in the amount on line e.
8	Enter the month, day, and year of the beginning and ending of this project period. For formula grants that are not awarded on a project basis, show the grant period.	10h	Enter total amount of unliquidated obligations for this project or program, including unliquidated obligations to subgrantees and contractors. Unliquidated obligations are: Cash basis—obligations incurred but not paid; Accrued expenditure basis—obligations incurred but for which an outlay has not been recorded. Do not include any amounts that have been included on lines a through g. On the final report, line h should have a zero balance.
10	The purpose of vertical columns (a) through (f) is to provide financial data for each program, function, and activity in the budget as approved by the Federal sponsoring agency. If additional columns are needed, use as many additional forms as needed and indicate page number in space provided in upper right; however, the totals of all programs, functions or activities should be shown in column (g) of the first page. For agreements pertaining to several Catalog of Federal Domestic Assistance programs that do not require a further functional or activity classification breakdown, enter under columns (a) through (f) the title of the program. For grants or other assistance agreements containing multiple programs where one or more programs require a further breakdown by function or activity, use a separate form for each program showing the applicable functions or activities in the separate columns. For grants or other assistance agreements containing several functions or activities which are funded from several programs, prepare a separate form for each activity or function when requested by the Federal sponsoring agency.	10i	Enter the Federal share of unliquidated obligations shown on line h. The amount shown on this line should be the difference between the amounts on lines h and i.
10a	Enter the net outlay. This amount should be the same as the amount reported in Line 10e of the last report. If there has been an adjustment to the amount shown previously, please attach explanation. Show zero if this is the initial report.	10k	Enter the sum of the amounts shown on lines g and j. If the report is final the report should not contain any unliquidated obligations.
10b	Enter the total gross program outlays (less rebates, refunds, and other discounts) for this report period, including disbursements of cash realized as program income. For reports that are prepared on a cash basis, outlays are the sum of actual cash disbursements for goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to contractors and subgrantees. For reports prepared on an accrued expenditure basis, outlays are the sum of actual cash disbursements, the amount of indirect expense incurred, the value of in-kind contributions applied, and the net increase (or decrease) in the amounts owed by the recipient for goods and other property received and for services performed by employees, contractors, subgrantees, and other payees.	10m	Enter the unobligated balance of Federal funds. This amount should be the difference between lines k and l.
		11b	Enter rate in effect during the reporting period.
		11c	Enter amount of the base to which the rate was applied.
		11d	Enter total amount of indirect cost charged during the report period.
		11e	Enter amount of the Federal share charged during the report period. If more than one rate was applied during the project period, include a separate schedule showing bases against which the indirect cost rates were applied, the respective indirect rates the month, day, and year the indirect rates were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.

FINANCIAL STATUS REPORT

(Follow instructions on the back)

AGENCY ORGANIZATION (Name and complete address, including ZIP code)

1. FEDERAL AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH REPORT IS DEDICATED		2. FEDERAL SPANT OR OTHER IDENTIFIERS		3. OMB APPROVED No. 50-10190	PAGE 01
4. EMPLOYER IDENTIFICATION NUMBER		5. BUDGETARY ACCOUNT NUMBER OR IDENTIFYING NUMBER		6. FUND SOURCE	
7. PERIOD/GRANT PERIOD (See instructions)		8. PERIOD COVERED BY THIS REPORT		9. BASIS	
FROM (Month, day, year)		TO (Month, day, year)		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> CASE <input type="checkbox"/> ALLOC	

STATUS OF FUNDS

PROGRAMS/FUNCTIONS/ACTIVITIES ▶	STATUS OF FUNDS						Value (\$)
	(a)	(b)	(c)	(d)	(e)	(f)	
Net outlays previously reported	\$	\$	\$	\$	\$	\$	\$
Total outlays this report period							
Less: Program income credits							
Net outlays this report period (Line b minus line c)							
Net outlays to date (Line a plus line d)							
Less: Non-Federal share of outlays							
Total Federal share of outlays (Line e minus line f)							
Total unliquidated obligations							
Less: Non-Federal share of unliquidated obligations shown on line h							
Federal share of unliquidated obligations							
Total Federal share of outlays and unliquidated obligations							
Total cumulative amount of Federal funds authorized							
Unliquidated balance of Federal funds							

10. TYPE OF DATE (Place "X" in appropriate box)		11. CERTIFICATION	
<input type="checkbox"/> PROVISIONAL	<input type="checkbox"/> PRE-TERMINATED	<input type="checkbox"/> FINAL	<input type="checkbox"/> FIRMED
12. DATE	13. BASE	14. TOTAL AMOUNT	15. FEDERAL SHARE

I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	DATE RECEIVED
TYPED OR PRINTED NAME AND TITLE	UNIT NUMBER (Leave cell blank if not applicable)

WARNING: Attach any extensions drawn necessary or information required by Federal agencies as a condition of compliance with governing regulations.

OMB Approval No. 50-10190
 Form No. 1-75
 GSA Gen. Reg. No. 27

Attachment A

14

FEDERAL CASH TRANSACTIONS REPORT

Approved by Office of Management and Budget, No. 33-RO132

(See instructions on the back. If report is for more than one grant or assistance agreement, attach completed Standard Form 272-A.)

1. Federal accounting agency and organizational number; to which this report is submitted

2. RECIPIENT ORGANIZATION

Name :

Number and Street :

City, State and ZIP Code :

4. Federal grant or other identification number

5. Recipient's account number or identifying number

6. Letter of credit number

7. Last payment number

Give total number for this period

8. Payment vouchers granted to you account

9. Treasury checks received (monthly or not dependent)

10. PERIOD COVERED BY THIS REPORT

FROM (month, day, year) TO (month, day year)

3. FEDERAL EMPLOYER IDENTIFICATION NO.

11. STATUS OF FEDERAL CASH

(See specific instructions on the back)

a. Cash on hand beginning of reporting period	\$
b. Letter of credit withdrawals	
c. Treasury check payments	
d. Total receipts (Sum of lines b and c)	
e. Total cash available (Sum of lines a and d)	
f. Gross disbursements	
g. Federal share of program income	
h. Net disbursements (Line f minus line g)	
i. Adjustments of prior periods	
j. Cash on hand end of period	\$

12. THE AMOUNT SHOWN ON LINE 11J, ABOVE, REPRESENTS CASH REQUIREMENTS FOR THE ENSUING

Days

13. OTHER INFORMATION

a. Interest income	\$
b. Advances to subgrantees or subcontractors	\$

A. REMARKS (Attach additional sheets of plain paper, if more space is required)

3. CERTIFICATION

I certify to the best of my knowledge and belief that this report is true in all respects and that all disbursements have been made for the purpose and conditions of the grant or agreement.

AUTHORIZED CERTIFYING OFFICIAL

SIGNATURE

TYPED OR PRINTED NAME AND TITLE

DATE REPORT SUBMITTED

TELEPHONE (Area Code, Number, Extension)

THIS SPACE FOR AGENCY USE

INSTRUCTIONS

(Back)

Please type or print legibly. Items 1, 2, 3, 9, 10, 11d, 11e, 11h, and 15 are self explanatory. Specific instructions for other items are as follows:

Item	Entry	Entry	Item
3	Enter employer identification number assigned by the U.S. Internal Revenue Service or the FICE (institution) code. If this report covers more than one grant or other agreement, leave items 4 and 5 blank and provide the information on Standard Form 272-A, Report of Federal Cash Transactions—Continued; otherwise:		employee's share of benefits if treated as a direct cost, interdepartmental charges for supplies and services, and the amount to which the recipient is entitled for indirect costs.
4	Enter Federal grant number, agreement number, or other identifying numbers if requested by sponsoring agency.	11g	Enter the Federal share of program income that was required to be used on the project or program by the terms of the grant or agreement.
5	This space reserved for an account number or other identifying number that may be assigned by the recipient.	11i	Enter the amount of all adjustments pertaining to prior periods affecting the ending balance that have not been included in any lines above. Identify each grant or agreement for which adjustment was made, and enter an explanation for each adjustment under "Remarks." Use plain sheets of paper if additional space is required.
6	Enter the letter of credit number that applies to this report. If all advances were made by Treasury check, enter "NA" for not applicable and leave items 7 and 8 blank.	11j	Enter the total amount of Federal cash on hand at the end of the reporting period. This amount should include all funds on deposit, imprest funds, and undeposited funds (line e, less line h, plus or minus line i).
7	Enter the voucher number of the last letter-of-credit payment voucher (Form TUS 5401) that was credited to your account.	12	Enter the estimated number of days until the cash on hand, shown on line 1j, will be expended. If more than three days cash requirements are on hand, provide an explanation under "Remarks" as to why the drawdown was made prematurely, or other reasons for the excess cash. The requirement for the explanation does not apply to prescheduled or automatic advances.
11a	Enter the total amount of Federal cash on hand at the beginning of the reporting period including all of the Federal funds on deposit, imprest funds, and undeposited Treasury checks.	13a	Enter the amount of interest earned on advances of Federal funds but not remitted to the Federal agency. If this includes any amount earned and not remitted to the Federal sponsoring agency for over 60 days, explain under "Remarks." Do not report interest earned on advances to States.
11b	Enter total amount of Federal funds received through payment vouchers (Form TUS 5401) that were credited to your account during the reporting period.	13b	Enter amount of advance to secondary recipients included in item 11h.
11c	Enter the total amount of all Federal funds received during the reporting period through Treasury checks, whether or not deposited.	14	In addition to providing explanations as required above, give additional explanation deemed necessary by the recipient and for information required by the Federal sponsoring agency in compliance with governing legislation. Use plain sheets of paper if additional space is required.
11f	Enter the total Federal cash disbursements, made during the reporting period, including cash received as program income. Disbursements as used here also include the amount of advances and payments less refunds to subgrantees or contractors, the gross amount of direct salaries and wages, including the		

Status of Funding Report

R.O. Name _____

Letter of Credit No. _____

Period from _____ through _____

A. Letter of Credit Position:

1. Current amount of LOC (including amendments) through reporting period \$ _____
2. Request for Funds on Letter of Credit presented (Form TFS-5805):
 - a. Credited prior to reporting period \$ _____
 - b. Credited during reporting period.
Request Nos. _____ through _____ inclusive \$ _____
 - c. Presented but not credited during report period.
Request Nos. _____ through _____ inclusive \$ _____
3. Total of all Request for Funds credited or presented \$ _____
4. Balance of LOC not drawn or requested this reporting period \$ _____

B. Cash Position

1. Cash on hand at beginning of period \$ _____
2. Plus: cash drawn during period \$ _____
3. Plus: refunds, rebates or other amounts received to the extent allocable to disbursements charged against this LOC \$ _____
4. Total cash available (sum of 1, 2 and 3) \$ _____
5. Less: disbursements during period \$ _____
6. Balance of cash on hand at close of reporting period \$ _____
7. Estimated number of days requirements covered by balance on hand (item 6 above)
Days: _____
8. Advances to subcontractors \$ _____ (included in B.6 above)

Overview of the
 Letter of Credit - Treasury Financial Communication System
 -To Show the Message Flow of Advances-

The Recipient
 organization
 prepares TFS
 form 5805
 and

Submits the 5805
 to its bank for
 wire transfer
 through

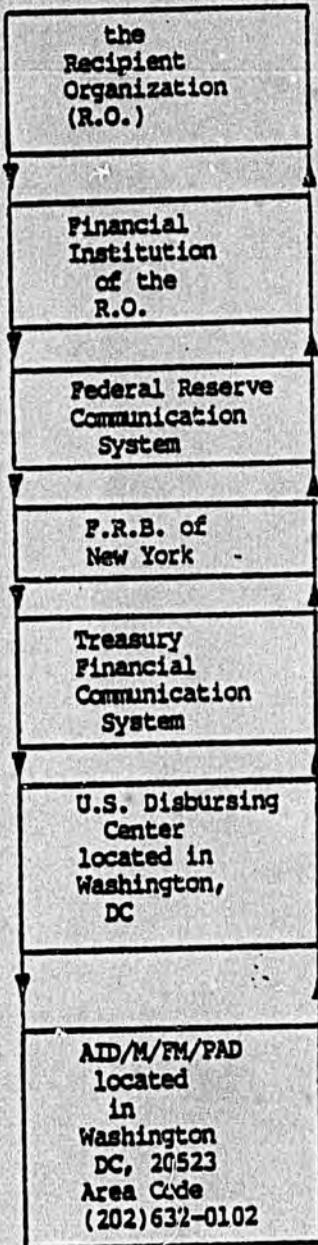
FRCS network
 through

FRB New York
 through

TFC
 to

U.S. Disbursing
 receives for a
 preliminary edit.
 If failed, rejection
 is sent back; or
 if passed, data is
 sent on to

AID/M/FM/PAD for
 agency approval or
 rejection by the
 next work day



14. Funds made available one workday after a request is received in the US Treasury

13. Recipient's bank notifies the recipient of the credit or rejection

12. FRCS network to

11. FRB of NY through

10. TFC through

9. Disbursing Center initiates a fund transfer or a rejection message via

8. AID/M/FM/PAD advises the U.S. disbursing center to pay the request or reject it via a terminal link to the TFC

VII. Authorized Signature Card For Payment Vouchers On Letter of Credit,
(SF-1194)

Standard Form 1194 U.S. Treasury Form 1000 Fiscal Service Bureau of Accounts Effective Date {3}	AUTHORIZED SIGNATURE CARD FOR PAYMENT VOUCHERS ON LETTER OF CREDIT	Letter of Credit Number {1} <hr/> Federal Reserve Bank For TPCS Payment {2}
Letter of Credit Issued in Favor of (Recipient) {4}	Issued by (Federal Agency) Agency for International Development {5} M/PM/PAD, Rm. 624, SA-12 72008701 Washington, D. C. 20523	
SIGNATURES OF INDIVIDUALS AUTHORIZED TO DRAW ON THE CITED LETTER OF CREDIT		
<input type="checkbox"/> ONLY ONE SIGNATURE REQUIRED ON PAYMENT VOUCHERS or <input type="checkbox"/> ANY TWO SIGNATURES REQUIRED TO SIGN OR COUNTERSIGN		
Typed Name and Signature {7}	Typed Name and Signature {7}	
Typed Name and Signature {7}	Typed Name and Signature {7}	
I CERTIFY THAT THE SIGNATURES ABOVE ARE OF THE INDIVIDUALS AUTHORIZED TO DRAW PAYMENT VOUCHERS FOR THE CITED LETTER OF CREDIT. {8} <hr/> DATE AND SIGNATURE OF AUTHORIZING OFFICIAL (Recipient)	APPROVED: {9} <hr/> DATE AND SIGNATURE OF AGENCY CERTIFYING OFFICER	

1194-101

U.S. GOVERNMENT PRINTING OFFICE: 1967 O-353-160 (75-0)

Follow instructions on pages 7 and 8. Complete the reverse blank side of one card only.

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SF-1194, AUTHORIZED SIGNATURE CARD FOR PAYMENT VOUCHERS ON LETTER OF CREDIT

An Authorized Signature Card for Payment Vouchers on a Letter of Credit, Form SF-1194, must be on file at the recipient organization's financial institution before a TFS Form 5805, Request for Funds, will be honored. AID/M/FM/PAD will provide SF-1194s to each recipient organization. The recipient organization, in preparing the signature card, must adhere to the following guidelines:

- A. The recipient organization is to prepare and submit three (3) completed original SF-1194's to AID/M/FM/PAD at least four (4) weeks prior to implementation of the LOC-TFCS.
- B. An official of the recipient organization who has authority to designate the official(s) who will sign the TFS Form 5805 must certify the authenticity of the signature(s) appearing on the signature card (SF-1194).
- C. One or more officials should be designated as an authorized alternate to sign the Request for Funds (TFS Form 5805) in order to provide for cases of absence of the principal official. Recipient organizations should limit the authority to draw funds to the smallest practical number of officials.
- D. The U.S. Treasury requires only one signature on the TFS Form 5805; the recipient organization may, however, use a countersignature for internal control purposes as applicable to their situation.
- E. A complete "new set (three)" of Authorized Signature Cards (SF-1194's) for Payment Vouchers on Letter of Credit shall be submitted immediately should any of the following occur:
 - 1. Change and/or termination of official(s) authorized to sign the TFS Form 5805.
 - 2. Change in the legal name of the recipient organization.
 - 3. Change of financial institution.

An additional set of signature cards is required if more than four (4) officials are authorized to sign TFS Form 5805. A change in position or title of an official does not, by itself, require a new signature card. Facsimile signatures are not acceptable. The revised SF-1194 should be submitted to AID/M/FM/PAD at least four (4) weeks before a desired effective date. However, whenever a recipient organization changes financial institutions and the new financial institution does not have a formal written agreement with the U.S. Department of Treasury - Financial Agreement for the Letter of Credit - Treasury Financial Communications System, then a minimum of 120 days notice is generally required to negotiate the agreement.

SIGNATURE CARD INSTRUCTIONS (SF-1194)

<u>Block No.</u>	<u>Explanation</u>
(1) Letter of Credit Number	The letter of credit number is assigned by AID/M/FM/PAD. For subsequent signature changes insert the 8 digit number shown in the "Letter of Credit Number" block, item (2) on the Letter of Credit SF-1193A.
(2) Federal Reserve Bank	Line out the "Federal Reserve Bank" and enter "For TFCS Payment Only"; when using a signature card that hasn't been modified.
(3) Standard Form 1194	Leave blank on initial cards submitted to the AID/M/FM/PAD. On subsequent changes, enter the Effective Date in the available spaces as shown in the example.
(4) Letter of Credit Issued in Favor of (Recipient)	Enter the legal name and address of the recipient organization
(5) Issued by	Agency for International Development M/FM/PAD, RM 624 SA-12, Washington, DC 20523
(6) Signature of Individuals Authorized to Draw on the cited Letter of Credit	An "X" in the first block authorizes any one of the officials in block 7 to sign TFS Form 5805 fund request. An "X" in the second block indicates a countersignature is required.
(7) Typed Name and Signature	Type names and signatures of persons authorized to sign and/or countersign the fund requests. Erasures, strike-overs, correction tape, fluid, etc., are <u>not</u> acceptable.
(8) Date and Signature of Authorizing Official	Type date, name, and signature of the authorizing official who has been delegated the authority to sign a draw-down request. The authorizing official must sign and date each card submitted. If the authorizing official will also sign the TFS Form 5805, his/her name and signature will also appear in a block for item (7).
(9) Approved	Leave blank. To be completed by the responsible AID certifying official approving the TFCS Letter of Credit.

NOTE: Do not use hyphens in preparing the SF-1194.

INSTRUCTIONS FOR THE (reverse) BLANK SIDE
OF A SIGNATURE CARD (SF-1194).

The recipient organization is requested to provide the following information on the reverse side of one of the signature cards':

- A. The full name, title, address and telephone number (including area code) of the contact person at the financial institution to which the U.S. Treasury is to forward the certified SF-1194.
- B. The recipient organization's account number at the financial institution.
- C. The American Bankers Association (ABA) nine-digit wire transfer routing number for the sending financial institution. The sending financial institution is the financial institution which requests the transfer of funds to its Federal Reserve Account.
- D. The telegraphic abbreviation of the sending financial institution.
- E. The nine-digit identification code of the recipient organization's financial institution (if it is not the sending financial institution) or the word "SAME", if it is also the sending financial institution.

VIII. LETTER OF CREDIT (SF-1193A) (see pages 10-14 for guidance)

ISSUING AGENCY (2)	LETTER OF CREDIT AFTER Treasury Department CIRCULAR NO. 1072, Revised	LETTER-OF-CREDIT NUMBER (3)
AGENCY STATION SYMBOL (4)	FOIA AGENCY USE ONLY	AMENDMENT NUMBER (7)
TO: Treasury Clearing Center or Regional Office (5)	Address	EFFECTIVE DATE (6)

In accordance with the authorization of the Fiscal Assistant Secretary, Department of the Treasury, there is hereby authorized for the amount and responsibility of the issuing agency a letter of credit.

IN RANGE OF (8)	TREASURY CHECKS TO BE MADE PAYABLE TO: (9a) FOR FOIA PATIENT ONLY (9b) Recipient Organ. Name (9c) 0123532857 (9d) 054000070 (9e) RIGGS BANK (9f) SAVE		
AMOUNT AUTHORIZED \$ (10)	TIME DESIGNATION (11) <input type="checkbox"/> EACH FISCAL YEAR <input type="checkbox"/> WITHOUT TIME LIMIT	PRIOR AUTHORIZATION \$ (12)	TOTAL CHANGE Increase Decrease (13)

Time Designation Each Fiscal Year (11)
 The unpaid balance of this letter of credit is revealed at the end of each fiscal year and the full amount authorized is reestablished at the beginning of each fiscal year unless you are advised in writing that this letter has been revealed.
 OR
 The unpaid balance of this letter of credit is carried forward at the end of each fiscal year and will remain available during the following fiscal year and, in addition, the full amount authorized is reestablished at the beginning of each fiscal year unless you are advised in writing that this letter has been revealed.

Time Designation Without Time Limit
 The unpaid balance of this letter of credit will remain available until you are advised in writing that this letter has been revealed.
 The amount of this letter of credit is hereby certified to be drawn against upon presentation to you of Standard Form 123, Request for Payment on Letter of Credit and Status of Fund Report, by the chief(s) of the recipient organization whose signature(s) appear(s) on the Standard Form 1194, Authorized Signature Card for Payment Vouchers on Letter of Credit, attached hereto or previously or subsequently furnished you.

The amount of each Request for Payment paid by the Department of the Treasury to the recipient organization at a designated commercial bank shall constitute payment to the recipient organization by the United States.

I certify to the Department of the Treasury that the payments authorized herein are correct and proper for payment from the appropriations or funds legally committed and available for the purpose, when paid in accordance with the terms and conditions cited above.

*This letter of credit is irrevocable to the extent the recipient organization has obligated funds in good faith thereunder in executing the authorized Federal program in accordance with the grant, contract, or other agreement.

DATE CERTIFIED (14) _____ (15)

_____ (16)
 AUTHORIZED SIGNIFYING OFFICER

_____ (17)
 TYPED NAME AND TITLE

1193-701

STANDARD FORM 1194
 October 1973
 GSA FPMR (41 CFR) 101-11.6
 (17) FORM 6-1283

LETTER OF CREDIT (SF-1193A) - PREPARATION INSTRUCTIONS

- A. The Letter of Credit (SF-1193A), is an authorization, prepared by AID/M/FM/PAD, to the U.S. Treasury in order to advance funds to a designated recipient organization.
- B. Upon receipt of the 3 signature cards (SF-1194) from the recipient organization, a Letter of Credit (SF-1193A) will be prepared by AID/M/FM/PAD. The original copy of the LOC and the signature cards (SF-1194s) will be forwarded to the U.S. Treasury disbursing office. One copy of the letter of credit is sent to the recipient organization.

AMENDMENT OR REVOCATION OF THE LETTER OF CREDIT

A. Amendment

Any one of the following conditions will require an amendment to the letter of credit:

1. A change in the information contained in the box entitled "Treasury Checks To Be Made Payable To" or the box entitled "In Favor Of". If any of this information changes, the recipient organization must immediately notify AID/M/FM/PAD.
2. Authorization for the recipient organization to draw amounts in excess of \$5,000,000 is established or rescinded.
3. An increase or decrease in the "Amount Authorized". These amendments are issued by AID/M/FM/PAD based upon receipt of contracts, grants, or other obligation documents which significantly increase or decrease the total authorization on file at Treasury. A statement will be included in the amending letter of credit to explain any amendment other than a change in the amount authorized.

B. Revocation

Revocation of a letter of credit is a matter for administrative determination by AID. In the event a letter of credit is revoked, an alternative payment method will be utilized, if appropriate. Any of the following conditions may result in the revocation of the letter of credit:

1. Annual aggregate financing falls below \$120,000. If the reduced level is only temporary, the letter of credit may remain in force.

2. The business relationship between the AID and the recipient organization is completed or terminated except where follow-on awards are expected to be authorized.
3. AID determines that progress is not satisfactory toward achievement of the cash management objective of the letter of credit method of financing.
4. The recipient organization fails to complete any periodic financial or cash management reports that are required by AID (see Section XII).

DESCRIPTION of the LETTER OF CREDIT (SF-1193A)

This Letter of Credit form is prepared by AID/M/FM/PAD and sent to the U.S. Treasury Disbursing Center which processes funds transfer messages. A copy is sent to the recipient organization. A description of each block is as follows:

<u>Block No.</u>	<u>Explanation</u>
(1) Issuing Agency	Agency for International Development, M/FM/PAD, Rm 624 SA 12, Washington, DC 20523
(2) Letter of Credit Number	Insert Recipient organization's 8 digit letter of credit number assigned by AID/M/FM/PAD. e.g., 72001234
(3) Amendment Number	"INITIAL" is shown on the first LOC prepared. Thereafter, each successive amendment is numbered in sequential numerical order starting with 1.
(4) Agency Location Code	This identifies the accounting station of AID/M/FM/PAD as 72008701 under which the letter of credit is issued. The agency station symbol is required data on the TFS Form 5805, when requesting funds.
(5) Effective Date	The date on which the recipient organization may begin to request funds.
(6) Treasury Disbursing Center	The name of the servicing Treasury Disbursing Center, followed by its three (3) digit agency location code enclosed in parentheses will be designated in this block for processing funds messages.
(7) Address	The servicing Treasury Disbursing Center address will appear in this block.
(8) In Favor Of	Name of the recipient organization.

Block No. (Cont'd)

Explanation (Cont'd)

- (9) Treasury Checks To Be Made Payable To
- This block contains the following in the sequence as illustrated below:
- a. "FOR TFCS PAYMENT ONLY"
 - b. Name of the recipient organization (not to exceed thirty (30) characters). Abbreviations are acceptable.
 - c. The recipient organization's account number at its financial institution (not to exceed twenty (20) characters).
 - d. A nine-digit wire transfer routing number of the sending financial institution (the sending financial institution is the financial institution requesting the transfer of funds to its Federal Reserve account).
 - e. Telegraphic abbreviation of the sending financial institution (not to exceed thirty (30) characters)
 - f. The nine-digit identifier of the recipient organization's financial institution if it is not the sending financial institution or the word "SAME" if it is the sending financial institution.
- (10) Amount Authorized
- The amount of cash advances authorized for payment.
- (11) Time Designation
- As specified.
- (12) Prior Authorization
- The "Amount Authorized" on the previous amendment or initial letter of credit.
- (13) This Change
- Increases or decreases to the "Prior Authorization".
- (14) Time Designation: Each Fiscal Year
- As specified in block 11.
- (15) Date Certified
- Date signed by AID/M/FM/PAD Certifying Officer

(16) Authorized Certifying

Signature of AID/M/FM/PAD Certifying
Officer

(17) Typed Name and Title

AID/M/FM/PAD Certifying Officer's Name
and Title

In addition, if individual drawdowns in excess of \$5,000,000 are authorized,
the SF-1193A must be annotated as follows:

"Individual drawdowns in excess of \$5,000,000 are authorized".

IX.

TFS FORM 5805
REQUEST FOR FUNDS

REQUEST FOR FUNDS						FOR COMMERCIAL BANK USE ONLY					
						<input type="checkbox"/> PAID DATE _____ <input type="checkbox"/> REJECTED DUE TO: _____					
SECTION I - MESSAGE FORMAT											
TO		TYPE		FROM		REP		AMOUNT		SPECIAL HANDLING INSTRUCTIONS	
1210 30004		1031						\$		REQ FOR FUNDS	
SENDER						NO BANK					
RECEIVER		TOJ		FPA		LCN		ACN		DLR	
TREAS NYC		/ 300		/ 72008701		/		/		/ S	
VON						THIRD PARTY INFORMATION					
SECTION II - CERTIFICATION (Must Be Completed By Drawer)											
I certify that this Request for Funds has been drawn in accordance with the terms and conditions of the Letter of Credit cited and that the amount for which payment is proper for payment to the account of the drawer at the drawer's bank. I also certify that the data reported is correct and that the amount of the Request for Funds is not in excess of immediate disbursement needs.											
DATE				SIGNATURE				TITLE			
DATE				COUNTERSIGNATURE				TITLE			
ORIGINAL - SUBMIT TO COMMERCIAL BANK											
TFS FORM 11-78 5805a						DEPARTMENT OF THE TREASURY-FISCAL SERVICE BUREAU OF GOVERNMENT FINANCIAL OPERATIONS					
DUPLICATE - SUBMIT TO COMMERCIAL BANK											
TFS FORM 11-78 5805b						DEPARTMENT OF THE TREASURY-FISCAL SERVICE BUREAU OF GOVERNMENT FINANCIAL OPERATIONS					
TRIPPLICATE - FORWARD TO FEDERAL PROGRAM AGENCY											
TFS FORM 11-78 5805c						DEPARTMENT OF THE TREASURY-FISCAL SERVICE BUREAU OF GOVERNMENT FINANCIAL OPERATIONS					
QUADRUPPLICATE - FORWARD TO FEDERAL PROGRAM AGENCY											
TFS FORM 11-78 5805d						DEPARTMENT OF THE TREASURY-FISCAL SERVICE BUREAU OF GOVERNMENT FINANCIAL OPERATIONS					
QUINTUPPLICATE - RETAIN FOR RECORD											
TFS FORM 11-78 5805e						DEPARTMENT OF THE TREASURY-FISCAL SERVICE BUREAU OF GOVERNMENT FINANCIAL OPERATIONS					

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