

Agency for International Development
Washington, D.C. 20523

936-0091
PDC T142

SEP - 9 1991

Mr. Nicholas E. Hollis
President
The Agribusiness Council, Inc
2550 M Street, NW Suite 405
Washington, DC 20037

Subject: Grant No. PDC-0091-G-00-1114-00

Dear Mr. Hollis:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to The Agribusiness Council, Inc. (hereinafter referred to as "ABC" or "Grantee") the sum set forth in Section 1C.2. of Attachment 1 of this Grant to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

This Grant is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Grantee for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Grant.

The total estimated amount of this Grant is the amount set forth in Section 1C.1. of Attachment 1, of which the amount set forth in Section 1C.2. is hereby obligated. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount. However, subject to Section 1C.4. of Attachment 1, additional funds may be obligated by A.I.D. until such time as the obligated amount may equal the total estimated amount of this Grant.

This Grant is made to the Grantee on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Grant document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Grant by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

Sincerely yours,



Stephen A. Dean
Grant Officer
Office of Procurement

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision entitled "Restrictions on Lobbying"
5. A.I.D. Eligibility Rules (total procurement value > \$250K)

ACKNOWLEDGED For THE AGRIBUSINESS COUNCIL, INC.:

BY: Nicholas E. Hollis

TYPED NAME: _____ Nicholas E. Hollis _____

TITLE: _____ President _____

DATE: _____ September 11, 1991 _____

FISCAL DATA

A. GENERAL

A.1. Total Estimated A.I.D. Amount: \$40,000
A.2. Total Obligated A.I.D. Amount: \$40,000
A.3. Cost-Sharing Amount (Non-Federal): \$0
A.4. Other Contributions (Federal): \$-0-
A.5. Project No.: 930-0091
A.6. A.I.D. Project Office: PPC/PDPR/RP, Richard Sines
A.7. Funding Source: A.I.D./W
A.8. Tax I.D. No.: 13-6256681
A.9. DUNS No.: 189678154
A.10. LOC No.: N/A

B. SPECIFIC

B.1.(a) PIO/T No.: 930-0091-1303412
B.1.(b) Appropriation: 72-1111021.3
B.1.(c) Allotment: 143-30-099-00-20-01
B.1.(d) BPC: FDNA-91-13010-KG11
B.1.(e) Amount: \$30,000

B.2.(a) PIO/T No.: 930-0092-1306411
B.2.(b) Appropriation: 72-1111021.6
B.2.(c) Allotment: 146-30-099-00-20-01
B.2.(d) BPC: FDSA-91-13010-KG11
B.2.(e) Amount: \$10,000

ATTACHMENT 1

SCHEDULE

1A. PURPOSE OF GRANT

The purpose of this Grant is to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

1B. PERIOD OF GRANT

1B.1. The effective date of this Grant is the date of the Cover Letter and the estimated completion date is 12 months thereafter. Funds obligated hereunder (see Section 1C.2. below) shall be used to reimburse the Grantee for allowable program expenditures incurred by the Grantee in pursuit of program objectives during such period. Funds obligated hereunder are anticipated to be sufficient for completion by the Grantee of the program described in Attachment 2 of this Grant by the estimated completion date.

1C. AMOUNT OF GRANT AND PAYMENT

1C.1. The total estimated amount of this Grant for its full period, as set forth in Section 1B. above, is \$40,000.

1C.2. A.I.D. hereby obligates the amount of \$40,000 for the purposes of this Grant during the indicated period set forth in Section 1B. above, thereby fulfilling A.I.D.'s funding requirements. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Grant entitled "Revision of Grant Budget."

1C.3. Payment shall be made to the Grantee in accordance with procedures set forth in the Standard Provision of this Grant entitled "Payment - Periodic Advance," as shown in Attachment 3.

1D. GRANT BUDGET

1D.1. The following is the Budget for the total estimated amount of this Grant (see Section 1C.1. above) for its full period (see Section 1B. above). The Grantee may not exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Grant entitled "Revision of Grant Budget," as shown in Attachment 3, the Grantee may adjust line item

amounts as may be reasonably necessary for the attainment of program objectives.

1D.2. Budget

The detailed budget showing the A.I.D. obligation by functional cost category is as follows:

Cost Category	A.I.D. Obligation
Salaries	\$15,200
Travel, Transportation, & Per Diem	\$ 8,400
Office Support	\$11,400
Other Direct Costs	<u>\$ 5,000</u>
TOTAL	\$40,000

1D.3. Inclusion of any cost in the budget of this Grant does not obviate the requirement for prior approval by the Grant Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Grant set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Grant, unless specifically stated in Section 1I. below.

1E. REPORTING

1E.1. Financial Reporting

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Grant entitled "Payment - Periodic Advance," as shown in Attachment 3.

1E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, PFM/FM/CMPD/DCB, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Grant, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Grant referred to in Section 1E.1.(a) above.

1E.2. Program Performance Planning and Reporting

1E.2.(a) Quarterly Reports

The Grantee shall submit five (5) copies of brief quarterly program performance reports, which coincide with the financial reporting periods described in Section 1E.1. above, to the A.I.D. Project Office specified in the Cover Letter of this Grant. In addition,

two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802. These reports shall be submitted within 30 days following the end of the reporting period, and shall briefly present the following information:

1E.2.(a)(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.

1E.2.(a)(2) Reasons why established goals were not met, if applicable.

1E.2.(a)(3) Other pertinent information including the status of finances and expenditures and, when appropriate, analysis and explanation of cost overruns or high unit costs.

1E.2.(b) Special Reports

Between the required program performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Grantee shall inform the A.I.D. Project Officer as soon as the following types of conditions become known:

1E.2.(b)(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any A.I.D. assistance needed to resolve the situation.

1E.2.(b)(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

1E.2.(b)(3) If any performance review conducted by the Grantee discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Grant entitled "Revision of Grant Budget," the Grantee shall submit a request for budget revision to the Grant Officer and the A.I.D. Project Officer specified in the Cover Letter of this Grant.

1E.2.(c) Annual Activity Report

No later than ninety (90) days prior to the annual anniversary date of this Grant, the Grantee shall submit to the A.I.D. Project Office specified in the cover letter of this Grant five (5) copies of an annual activity report which will be a description of the past year's activities, including technical, scientific, managerial, and fiscal information. The report shall include, both for each field

site or subcontractor/subrecipient individually and for project activities as a whole, a review of program and problems to date, and a discussion of technical and managerial issues significant to the success or failure of this Grant. The report will also address regulatory issues related to the project. Although principally a technical document, it nevertheless must include pertinent statistics or quantitative information regarding the project and its activities. The Annual Activity Report shall also include an annual expenditure report corresponding to each annual workplan (see Section 1E.2.[b] above). These expenditure reports will cover A.I.D. and, if applicable, cost-sharing amounts by budget line item (see Section 1D.2. above).

This Annual Activity Report will also include a list of the scheduled activities which have occurred or are planned in the next year of the Grant.

Based on the managerial and technical aspects of the Annual Activity Report, a revised workplan may be submitted. This revised workplan must delineate the changes to the existing plan, the justification for the changes, and the impact thereof.

As part of the Annual Activity Report, the Grantee shall provide to the Project Officer two copies of any materials which have been produced under this grant and one copy of any visual aids.

1E.2.(d) Trip Reports

Within 30 days following the completion of each international trip, the Grantee shall submit 3 copies of a trip report summarizing the accomplishments of the trip to the A.I.D. Project Officer specified in the cover letter of this Grant. If several individuals are travelling together to one site, a single report representing the group will suffice. The report shall include the purpose of the trip, technical observations, suggestions and recommendations, overall impressions of the site situation (if appropriate), and a list of persons visited with their title and organization affiliation.

1E.2.(e) Final Report

Within 30 days following the estimated completion date of this Grant (see Section 1B. above), the Grantee shall submit five (5) copies of a final report to the A.I.D. Project Office specified in the cover letter of this Grant. In addition, two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802. It will cover the entire period of the Grant and shall include a summary analysis of project activity and statement of lessons learned. The final report should also include an assessment of the overall impact of the project on: the target audience, the grantee's own institution and other pertinent groups.

If the grant is for a period of one year or less, the final report will be considered the Annual Activity Report.

1F. TITLE TO PROPERTY

Title to property acquired hereunder, shall vest in the Grantee, subject to the requirements of the Standard Provision of this Grant entitled "Title To and Use of Property (Grantee Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 1I. below.

1G. PROCUREMENT AND (SUB)CONTRACTING

1G.1. Applicability

This Section 1G. applies to the procurement of goods and services by the Grantee (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Grant entitled "Procurement of Goods and Services" and "AID Eligibility Rules for Goods and Services"), and not to assistance provided by the Grantee (i.e., a subgrant or [sub]agreement) to a subrecipient (see the Standard Provision of this Grant entitled "Subagreements").

1G.2. Requirements

In addition to other applicable provisions of this Grant, the Grantee shall comply with paragraph (b)(1) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services," concerning total procurement value of less than \$250,000 under this Grant. If, under the order of preference set forth in paragraph (b)(1)(i) of said Standard Provision, the Grantee procures goods or services from cooperating country sources, the Standard Provision of this Grant entitled "Local Cost Financing" shall also apply. However, paragraph (b)(1) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" does not apply to: the restricted goods listed in paragraph (a)(3) of said Standard Provision and paragraph (e) of the Standard Provision entitled "Local Cost Financing," which must be specifically approved by the Grant Officer in all cases, except to the extent that such approval may be provided in Section 1I. below; or to paragraph (d) of said Standard Provision pertaining to air and ocean transportation, to which the Standard Provisions entitled "Air Travel and Transportation" and "Ocean Shipment of Goods" apply, respectively. Paragraph (b)(2) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" does not apply.

1G.3. Approvals

Inclusion of costs in the budget of this Grant for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) for prior approval of such purchases by the Grant Officer, nor any other terms and conditions of this Grant, unless specifically stated in Section 1I. below.

1G.4. Title to Property

See Section 1F. above.

1H. INDIRECT COST RATES

1H.1. No indirect costs will be charged to this grant. The Grantee understands and agrees that indirect costs attributable to this Grant will be absorbed by the Grantee.

1I. SPECIAL PROVISIONS**1I.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs****1I.1.(a) Employee Salaries**

Except as the Grant Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Grantee for any costs allocable to the salary portion of direct compensation paid by the Grantee to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

1I.1.(b) Consultant Fees

Compensation for consultants retained by the Grantee hereunder shall not exceed, without specific approval of the rate by the Grant Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

1I.3. Publications

1I.3.(a) The Grantee agrees to provide one copy of the manuscript of

any proposed publication to the A.I.D. Project Officer not later than submission to the publisher, and to give serious consideration to any comments received from the A.I.D. Project Officer.

1I.3.(b) In the case of publication of any of the reports described in Section 1E.2. of this Grant, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves the right to dissociate itself from sponsorship or publication. In both cases, the Grantee will consult with the A.I.D. Project Officer as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

1I.3.(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Grantee shall, in accordance with the Standard Provision of this Grant entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of Private and Voluntary Cooperation, Bureau for Food for Peace and Voluntary Assistance, U.S. Agency for International Development, under Grant No. PDC-____-G-00-____-00."

1I.3.(d) In addition to providing one copy of all published works and lists of other written work produced under this Grant to the A.I.D. Project Officer, as required by paragraph (b) of the Standard Provision of this Grant entitled "Publications," the Grantee shall also provide two copies of such publications and lists to A.I.D., PPC/CDIE/DI, Washington, D.C. 20523-1802.

1I.4. Equipment Purchases

1I.4.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Grant entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section 13 of Attachment B of OMB Circular A-122, the Grantee must obtain A.I.D. Grant Officer approval for purchases of the following:

1I.4.(a)(1) General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property which is usable for other than research, medical, scientific or technical activities, whether or not special modifications are needed to make them suitable for a particular purpose (e.g., office equipment and furnishings, air conditioning equipment, reproduction and printing equipment, motor vehicles, and automatic data processing equipment), having a useful life of more than two years and an acquisition cost of \$500 or more per unit); and

1I.4.(a)(2) Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or technical activities (e.g., microscopes, x-ray machines, surgical instruments, and spectrometers), and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit).

1I.4.(b) Approvals

In furtherance of the foregoing, the Grant Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Section 1C. above):

N/A

1I.4.(c) Exception for Automation Equipment

Any approval for the purchase of automation equipment which may be provided in Section 1I.4.(b) above or subsequently provided by the Grant Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Grantee must, under such circumstances, obtain the approval of the Grant Officer for the total planned system of any automation equipment, software, or related services.

1I.4.(d) Compliance with A.I.D. Eligibility Rules

Any approvals provided in Section 1I.4.(b) above or subsequently provided by the Grant Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Grant, unless specifically stated.

1I.5. Restricted Goods

Pursuant to Section 1G. above, paragraph (a)(3) of the Standard Provisions of this Grant entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1K. below for applicability), paragraph (e) of the Standard Provision of this Grant entitled "Local Cost Financing," the Grant Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Grant Officer does hereby provide such approval to the extent set forth below. The Grant Officer's approval is required for purchases of such restricted goods if all of the conditions set forth below are not met by the Grantee. Any approval provided below or subsequently provided by the Grant Officer shall not serve to waive any terms and conditions of this Grant unless specifically stated.

11.5.(a) Agricultural Commodities

Agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Grant Officer.

11.5.(b) Motor Vehicles

Motor vehicles, if approved for purchase under Section 11.4.(b) above or subsequently approved by the Grant Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The origin of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 16.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks.

11.5.(c) Pharmaceuticals

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 16. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 16. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 16. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Grantee and the Standard Provision of this Grant entitled "Procurement of Goods and Services."

11.5.(d) Pesticides

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing, destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of plant or animal life or

viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or dessicant.

11.5.(e) Rubber Compounding Chemicals and Plasticizers

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Grant Officer.

11.5.(f) Used Equipment

Used equipment may only be purchased with the prior written approval of the Grant Officer.

11.5.(g) Fertilizer

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Grant Officer.

11.6. Limitation on Use of Funds

11.6.(a) The Grantee shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

11.6.(b) The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 11.6.(a) above.

11.6.(c) The Grantee agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 11.6.(a) above.

11.6.(d) No funds provided by A.I.D. under this Grant shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Grant entitled "Ineligible Countries").

11.7. Defense Base Act (DBA) and/or Medical Evacuation Insurance

Pursuant to Section J.16. of OMB Circular A-21 (for educational institutions) or Section 18 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions), the Grantee is authorized to purchase DBA and/or medical evacuation insurance under this Grant. If DBA insurance is purchased, it shall be purchased from the insurance company or agent with which A.I.D. has a contract to provide DBA insurance for A.I.D. contracts. The Grant Officer will provide the name, address, and telephone number of such insurance company or agent upon request.

1J. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Grant shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on Lobbying"
- Attachment 2 - Program Description

1K. STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Grant consist of the following Standard Provisions denoted by an "X" which are attached hereto and made a part of this Grant:

1K.1. Mandatory Standard Provisions For U.S., Nongovernmental Grantees

- (X) Allowable Costs (November 1985)
- (X) Accounting, Audit, and Records (September 1990)
- (X) Refunds (September 1990)
- (X) Revision of Grant Budget (November 1985)
- (X) Termination and Suspension (May 1986)
- (X) Disputes (November 1989)
- (X) Ineligible Countries (May 1986)
- (X) Debarment, Suspension, and Other Responsibility Matters (March 1989)
- (X) Nondiscrimination (May 1986)
- (X) U.S. Officials Not to Benefit (November 1985)
- (X) Nonliability (November 1985)
- (X) Amendment (November 1985)
- (X) Notices (November 1985)

1K.2. Additional Standard Provisions For U.S., Nongovernmental Grantees

- () Payment - Letter of Credit (November 1985)
- (X) Payment - Periodic Advance (January 1988)
- () Payment - Cost Reimbursement (November 1985)
- (X) Air Travel and Transportation (November 1985)
- (X) Ocean Shipment of Goods (May 1986)
- (X) Procurement of Goods and Services (November 1985)
- (X) AID Eligibility Rules for Goods and Services (November 1985)
- (X) Subagreements (November 1985)
- (X) Local Cost Financing (November 1988)
- () Patent Rights (November 1985)
- (X) Publications (November 1985)
- () Negotiated Indirect Cost Rates - Predetermined (May 1986)
- (X) Negotiated Indirect Cost Rates - Provisional (May 1986)
- (X) Regulations Governing Employees (November 1985)
- () Participant Training (May 1986)
- () Voluntary Population Planning (August 1986)
- () Protection of the Individual as a Research Subject (November 1985)
- () Care of Laboratory Animals (November 1985)
- () Government Furnished Excess Personal Property (November 1985)
- (X) Title To and Use of Property (Grantee Title) (November 1985)
- () Title To and Care of Property (U.S. Government Title) (November 1985)
- () Title To and Care of Property (Cooperating Country Title) (November 1985)
- (X) Cost Sharing (Matching) (November 1985)
- (X) Use of Pouch Facilities (November 1985)
- (X) Conversion of United States Dollars to Local Currency (November 1985)

1L. NOT APPLICABLE TO THIS GRANT

1M. Closeout Procedures (OMB Circular A-110, Attachment K):

This clause prescribes uniform closeout procedures for A.I.D. grants and cooperative agreements with recipients.

1M.1. The following definitions shall apply for the purpose of this clause.

- a. Closeout. The closeout of a grant or cooperative agreement is the process by which A.I.D. determines that all applicable

administrative actions and all required work of the grant or cooperative agreement have been completed by the Recipient and A.I.D.

b. Date of completion. The date of completion is the date on which all work under grants and cooperative agreements is completed or the date on the award document, or any supplement or amendment thereto, on which A.I.D. sponsorship ends.

c. Disallowed Costs. Disallowed costs are those charges to a grant or cooperative agreement that A.I.D. or its representative determines to be unallowable, in accordance with the applicable Federal cost principles.

1M.2. A.I.D. closeout procedures include the following requirements:

a. Upon request, A.I.D. shall make prompt payments to a recipient for allowable reimbursable costs under the grant or cooperative agreement being closed out.

b. The recipient shall immediately refund any balance of unobligated (unencumbered) cash that A.I.D. has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or cooperative agreements.

c. A.I.D. shall obtain from the recipient within 90 calendar days after the date of completion of the grant or cooperative agreement all financial, performance, and other reports required as the condition of the grant or cooperative agreement. A.I.D. may grant extensions when requested by the recipient.

d. When authorized by the grant or cooperative agreement, A.I.D. shall make a settlement for any upward or downward adjustments to A.I.D.'s share of costs after these reports are received.

e. The recipient shall account for any property acquired with A.I.D. funds, or received from the Government in accordance with the provisions of OMB Circular A-110, Attachment N, entitled "Property Management Standards."

f. In the event a final audit has not been performed prior to the closeout of the grant or cooperative agreement, A.I.D. shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

I. Purpose

The Agribusiness Council, Inc. (ABC) proposal entitled "Grant Proposal for Agro-Enterprise Association Development in the Middle-income Countries (MCs)" would support a pilot program that aims to build and strengthen an emerging global network of agribusiness trade associations. This grant would focus on supporting a network that would link U.S. and MC agribusiness interests, strengthen U.S./MC business partnerships, and forge long-run relationships between emerging agribusiness sectors in MCs and the U.S.

II. ABC and Project Activities

The ABC works closely with and has the same management as the Agro-Energy Roundtable (AER) which supports an international network of private agribusiness companies, trade associations, and university representatives with headquarters in Washington and chapters in several developing countries and MCs.

The ABC/AER "alliance" appears to have substantial potential

- . as a mechanism for promoting rural associations, cooperatives, equipment pools, and renting or leasing organizations;
- . as a mechanism for disseminating or exchanging information on agricultural product markets; agricultural product handling, grading, preparation, and storage; production of products for pest control; short-term training or extension services to the indigenous private sector in such fields as management techniques and the use of new technologies; availability and use of new equipment or techniques; and
- . as a focal point within a given country for A.I.D. missions to become acquainted with private agro-processors and others involved in food production, processing, transport and storage; identifying information requirements or program and policy needs in areas such as rural market installations and equipment; credit and banking institutions; infrastructure and engineering support; increased rural employment opportunities; and measures to reduce post-harvest losses.

Agribusiness associations are institutions fundamental to an efficient private sector and trade in a global economy. They embrace a rapidly growing concern over issues and problems that transcend more compartmentalized, traditional agriculture organizations and their approaches, involving such global issues such as agro-environmental concerns, food safety, new crops and technologies, post-harvest distribution systems, industrial and energy uses for agricultural commodities; privatization and reduction of public sector intervention in agro-food systems,

- 2 -

including subsidies and trade distortions; problems reflected in the GATT Uruguay Round, debt-equity and non-conventional trade financing techniques.

The AER/ABC agribusiness association model encompasses this "umbrella" approach in a manner consistent with a private enterprise emphasis and greater cooperation between the public and private sectors. Its association with A.I.D. can also assist in the cost-effective application of foreign assistance to leverage greater private sector contributions.

PPC support shall

- . Enable ABC/AER to effectively follow-up on its 1988-1990 agribusiness association exploratory and formation activities in consultation with USAID through visits and workshops with various formation groups in a number of MCs;
- . Provide ABC with Washington office and staff funding to backstop the growing demands of the AER network for communication, program planning and other services;
- . Provide ABC/AER a support role in coordinating the A.I.D./W inter-bureau coordinating group for agribusiness associations; and
- . Allow ABC to strengthen its communication and programming linkages with its associated U.S. state agribusiness council network and introduce these groups, as appropriate, to counterparts overseas through program activities designed to encourage transactions.

Agribusiness association development in MCs fits naturally within PPC's overall coordination function and mission of stimulating private enterprise and open market solutions to development problems.

"Agribusiness," in this program, encompasses all aspects of agricultural production, processing and distribution including food, forest and fiber production, finance and trade, agribusiness/farm management, agro-environmental considerations, and land development. The approach stresses a range of post-harvest, "food system" issues, as compared to more traditional, agricultural production, and thus has particular application to PPC's current work in developing an A.I.D.-wide strategy for MCs.

III. Desired Results

The following results or outputs are anticipated from this program

USAID gains a better understanding of the role played by

- 3 -

agribusiness trade associations in selected MCs and how they can be sustained;

Experience acquired by ABC/AER in organizing trade association networks in specific MCs can be shared with A.I.D. regional bureaus and missions; and

PPC expands its knowledge base of possible program components for an effective MC strategy.

IV. Expected Impact

The program is expected to strengthen activities that would mutually benefit the U.S. and MCs. The program is expected to encourage broader private sector participation in international agricultural development and A.I.D. programs by informally linking the AER/ABC network of agribusiness councils with A.I.D. initiatives. The program would identify, cultivate and maintain contacts with MC private sector leaders who can assist institution-building in economic development consistent with a market-oriented economy, democratic pluralism, and other U.S. objectives. The program is expected to generate more dialogue, research and public affairs activity using a "food systems" approach to construct an association forum that stimulates cross-sectoral exchanges, particularly on privatization and structural adjustment reforms.

The program would also supplement A.I.D./W programming and coordination with A.I.D. missions by supporting country agribusiness strategies, backstopping efforts that link U.S. agribusiness with their emerging country counterparts, through trade missions, and providing trade association management expertise to assist local agribusiness association formation efforts.

The program would also provide A.I.D./W and the A.I.D. missions with a clearinghouse and focal point for resources on agribusiness and agriculture cooperatives, equipment pools and renting/leasing organizations, and eventually pilot projects of the type that A.I.D. might find too risky to otherwise undertake. The program would also streamline A.I.D. procurement procedures and facilitate A.I.D.'s project development work involving the U.S. private sector and counterparts in developing countries.

V. Required Reports

The program of assistance will cover a period of approximately 12 months. During that time, the ABC/AER will provide USAID (PPC/PDPR) with periodic oral progress reports, as appropriate, will submit not less than two progress reports (December 31, 1991, and June 30, 1992) describing

AID 1350-1 (3-87) *PIO/T	AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	1 Cooperating Country Worldwide	Page 1 of Pages
		2 PIO/T No See page 1B	3 <input checked="" type="checkbox"/> Original or Amendment No. _____
		4 Project/Activity No and Title Agro-enterprise Association Development	

DISTRIBUTION OFFICE OF FINANCIAL MANAGEMENT FUNDS RESERVED Initials: <i>MS/PA</i> Date Posted: <i>5/15/91</i> PFM/FM/A/PMP	5 Appropriation Symbol See page 1B		6 Budget Plan Code See page 1B																			
	7 Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document		8 Project Assistance Completion Date (Mo, Day, Yr) 12/31/92																			
	9 Authorized Agent AID/W		10 This PIO/T is in full conformance with PRO/AG No Date																			
	11a Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 13) <input type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> Other			11b Contract/Grant/Cooperative Agreement/ PASA/RSSA Reference Number (If this is an Amendment)																		
	12 Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. ...)																					
	<table border="1" style="width: 100%;"> <thead> <tr> <th rowspan="2">Maximum AID Financing Available</th> <th rowspan="2">A Dollars</th> <th>(1) Previous Total</th> <th>(2) Increase</th> <th>(3) Decrease</th> <th>(4) Total to Date</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">\$40,000</td> <td></td> <td style="text-align: center;">\$40,000</td> </tr> <tr> <td></td> <td>B U S Owned Local Currency</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>					Maximum AID Financing Available	A Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date				\$40,000		\$40,000		B U S Owned Local Currency			
Maximum AID Financing Available	A Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date																	
					\$40,000		\$40,000															
	B U S Owned Local Currency																					
14A Instructions to Authorized Agent Provide a grant to the Agribusiness Council, Inc. to implement the program of work described in Attachment 1.																						
14B Address of Voucher Paying Office PFM/FM/PAFD, Room 700, SA-02																						

15 Clearances—Include typed name office symbol telephone number and date for all clearances			
A The Project Officer certifies that the specifications in the statement of work or program description are technically adequate	Phone No	B The statement of work or program description lies within the purview of the initiating office and approved agency programs	Date
	Date		
	PPC/PDPR/RP, Richard Sines <i>PHH</i> cPPC/PDPR/RP, Donald McClelland <i>PHH</i> DAAA/PPC, John Champagne <i>PHH</i>	5/14/91 5/14/91 5/14/91	SA/PPC, Thomas O'Keefe <i>PHH</i>
E	AAA/PPC/PDPR, Robert Kelly <i>RW Kelly</i>	Date 5/14/91	D Funds for the services requested are available PPC/PDPR/SI, Ayaana Toure-Sy <i>ARS</i> Date 5/14/91
16 For the Cooperating Country The terms and conditions set forth herein are hereby agreed to		17 For the Agency for International Development	
Signature _____ Date _____		Signature <i>Reginald J. Brown</i> Reginald Brown Date 5/14/91	
Title _____		Title AA/PPC	

*See HB 3 Sup A App C Att B for preparation instructions Note The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action

PDG-0091-G-00-1114-00
20

Agro-Enterprise Association Development

Page 1B

Funding Citations

1. Project 930-0091 (ARDN)

Allotment: FDNA-91-13010-KG-11 (143-30-099-00-20-01)

Appropriation: 72-1111021.3

Obligation: 1303412

Amount: \$30,000

2. Project 930-0092 (PSEE)

Allotment: FDNA-91-13010-KG-11 (146-30-099-00-20-01)

Appropriation: 72-1111021.6

Obligation: 1306411

Amount: \$10,000

Total Amount: \$40,000