

Agency For International Development

CONTRACT INFORMATION MANAGEMENT SYSTEM (CIMS)

DIRECT ACTION DATA FORM

(For awards to U.S. Individuals and Third Country Nationals (not FSNs) with a TEC less than or equal to \$25,000, only the shaded items on pages 1-5 of the form need to be completed.)

SECTION 1: GENERAL AWARD INFORMATION (All Actions)

1a. Basic Award Number: 306-0211-A-00-0997-00; 1b. Basic Mod Number; 1c. Order Number; 1d. Order Mod Number

AWARD NUMBER COMPONENTS (Items 2-6) NOTE: For modifications and orders — these items refer to the Basic Award.

2. Country/Office (Award Prefix): USAID/ Islamabad; 3. Project Number: 0211

4. Procurement Instrument: Report one of the following codes to identify the type of action. For a work order or a modification to a contract or work order, indicate the category of the basic contract. Includes checkboxes for A-M and N-Z.

5. Participating Agency (PASAIRSSA only); 6. FY of Award: 1990

7. Award Description: The Recipient shall provide subgrants and administration of grants to support democratic pluralism initiatives among Afghans.

8. Principal Place of Performance: a. A.I.D. Country Code or Name: 391 & 306; U.S. ONLY; b. City; c. State; d. Zip Code

9. Benefiting Country (A.I.D. Country Code or Name): 391 & 306

10. Project Officer: Entry Code/Org. Symbol or A.I.D./W Org. Symbol: O/AID/REP, USAID/ Islamabad; Last Name: Swain; First: D.; MI

11. Requirement Received by Procuring Office (MM/DD/YY): 07/19/90; 12. Signature Date of Award (MM/DD/YY): 08/05/90; 13. Effective Date of Award (MM/DD/YY): 08/05/90; 14. Estimated Completion Date (MM/DD/YY): 08/01/91

BASIC AWARDS ONLY: 15a. SIC Code; 15b. Is This Vendor a Small Business for the SIC of Award? (Yes/No); 16. Product/Service Code

BASIC AWARDS AND ORDERS ONLY: 17. Procurement Type: Includes checkboxes for A-J.

18. Negotiator (Last, First, MI): Khan, M. Yaqub; Country Code & Org. Symbol or A.I.D./W Org. Symbol: USAID/ Islamabad; Signature

19. Contract Officer (Last, First, MI): May, John A.; Country Code & Org. Symbol or A.I.D./W Org. Symbol: USAID/ Islamabad; Signature

Handwritten signatures and initials at the bottom right of the form.

**SECTION 2: DATA REQUIRED FOR SPECIFIC TYPES OF ACTIONS**

**GRANTS AND COOPERATIVE AGREEMENTS ONLY**

20. Grant/Agreement Type

- |   |   |
|---|---|
| <input type="checkbox"/> A - Disaster Assistance                          | <input checked="" type="checkbox"/> C - Other Specific Support Programs |
| <input type="checkbox"/> B - American Schools and Hospitals Abroad (ASHA) | <input type="checkbox"/> D - Title XII Authority                        |
|   | <input type="checkbox"/> E - Other than A, B, C, or D                   |

21. Total Amount of Non-Federal Funds pledged to this Grant/Agreement

\$ 559,070

**GSA & OTHER FEDERAL SCHEDULE ORDERS**

22. Schedule Contract Number

23. Name of Federal Agency Awarding Schedule

**IQC BASIC ONLY**

24. IQC Service Area

25. Minimum

26. Maximum (life of contract)

\$

\$

**ORDERS AND CONTRACTS ONLY**

27. Advisory & Assistance Services?

Yes

No

**BASIC CONTRACTS ONLY**

28a. Contract Type

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> A - Cost-Plus (Fixed Fee) | <input type="checkbox"/> F - Cost (No Fee)               | <input type="checkbox"/> K - Fixed Price with Economic Price Adjustment |
| <input type="checkbox"/> B - Firm Fixed Price      | <input type="checkbox"/> G - Cost-Plus (Award Fee)       |   |
| <input type="checkbox"/> C - Time and Materials    | <input type="checkbox"/> H - Cost-Plus (Incentive Fee)   |   |
| <input type="checkbox"/> D - Labor Hour            | <input type="checkbox"/> I - Fixed-Price Incentive       |   |
| <input type="checkbox"/> E - Cost Sharing          | <input type="checkbox"/> J - Fixed-Price Redetermination |   |

28b. If Cost-type Contract, is this a level of effort (term) contract?

Yes  No

29a. Is this a Letter Contract?

Yes

No

29b. Is this a Definitization of a Letter Contract?

Yes

No

30. Preference Program

- |  |   |
|--|---|
| <input type="checkbox"/> A - No Preference Program or Not Listed | <input type="checkbox"/> E - Tie-bid Preference                 |
| <input type="checkbox"/> B - 8(a)                                | <input type="checkbox"/> F - Labor Surplus Area (LSA) Set-aside |
| <input type="checkbox"/> C - Small Business (SB) Set-aside       | <input type="checkbox"/> G - Combined LSA/SB Set-aside          |
| <input type="checkbox"/> D - Sheltered Workshop                  |   |

31. Subject To Labor Statute

- A - Walsh-Healey Act, Manufacturer
- B - Walsh-Healey Act, Regular Dealer
- C - Service Contract Act
- D - Davis-Bacon Act
- E - Not Subject to the Above ( Include PSCs)

32. Country of Manufacture/Origin of Services (A.I.D. Country Code or Name)

33. Subcontract Plan Required?

Yes  No

34. Date Solicitation Notice Published in CBD (MM/DD/YY)

35. Date Solicitation Issued (MM/DD/YY)

**BASIC CONTRACTS AND NON-COMPETITIVE CONTRACT MODIFICATIONS ONLY**

**36. CICA Applicability**

- |   |  |
|---|--|
| <input type="checkbox"/> A - CICA Applicable (Include 8(a)) | <input checked="" type="checkbox"/> C - Subject to a Statute other than CICA (use for FAA) |
| <input type="checkbox"/> B - Small Purchase Procedure       | <input type="checkbox"/> D - Pre-CICA  |

**37. Extent Competed**

- |   |  |
|---|--|
| <input type="checkbox"/> A - Competed Action (Include Small Business set-aside)       | <input checked="" type="checkbox"/> C - Follow-on to Competed Action |
| <input type="checkbox"/> B - Not Available for Competition (use for sole-source 8(a)) | <input type="checkbox"/> D - Not Competed                            |

**38. Solicitation Procedure**

- |   |   |
|---|---|
| <input type="checkbox"/> A - Full and Open Competition (Sealed Bid)           | <input type="checkbox"/> G - Alternate Source (Reduced Cost)  |
| <input type="checkbox"/> B - Full and Open Competition (Competitive Proposal) | <input type="checkbox"/> H - Alternate Source (Mobilization)  |
| <input type="checkbox"/> C - Full and Open Competition (Combination)          | <input type="checkbox"/> J - Alternate Source (Engineering/R&D)   |
| <input type="checkbox"/> D - Architect - Engineer                             | <input type="checkbox"/> K - Set Aside ( Include competitive 8(a))  |
| <input type="checkbox"/> E - Basic Research                                   | <input checked="" type="checkbox"/> L - Other Than Full & Open Competition (Include sole-source 8(a), FAA.) |
| <input type="checkbox"/> F - Multiple Award Schedule                          |   |

**39. Authority — Other than Full & Open Competition (Complete Only if No. 38 = L)**

- |   |   |
|---|---|
| <input type="checkbox"/> A - Unique Source                          | <input type="checkbox"/> J - Mobilization   |
| <input type="checkbox"/> B - Follow-on Contract                     | <input type="checkbox"/> K - Essential R&D Capability                                       |
| <input type="checkbox"/> C - Unsolicited Research Proposal          | <input type="checkbox"/> L - International Agreement  |
| <input type="checkbox"/> D - Patent/Data Rights                     | <input checked="" type="checkbox"/> M - Authorized by Statute (inc. sole-source 8(a), FAA.) |
| <input type="checkbox"/> E - Utilities                              | <input type="checkbox"/> N - Authorized Resale  |
| <input type="checkbox"/> F - Standardization                        | <input type="checkbox"/> P - National Security  |
| <input type="checkbox"/> G - Only One Source - Other than A-F above | <input type="checkbox"/> Q - Public Interest  |
| <input type="checkbox"/> H - Urgency                                |   |

**40. A.I.D. Special Authorities — Other than Full & Open Competition (Complete only if No. 39 = M)**

- |  |   |
|--|---|
| <input type="checkbox"/> A - Impairment of Foreign Aid Programs: All Personal Services Contracts (PSCs). (See AIDAR 706.302-70)                            | <input type="checkbox"/> E - Impairment of Foreign Aid Programs: Title XII Selection Procedure-Collaborative Assistance. (See AIDAR 706.302-70) |
| <input type="checkbox"/> B - Impairment of Foreign Aid Programs: Award of \$100,000 or less by an overseas contracting activity. (See AIDAR 706.302-70)    | <input type="checkbox"/> F - Disaster Relief (See Handbook 8, Chapter 2)  |
| <input type="checkbox"/> C - Impairment of Foreign Aid Programs: Written Determination by Assistant Administrator or Administrator. (see AIDAR 706.302-70) | <input checked="" type="checkbox"/> G - None of the Above Special Authorities   |
| <input type="checkbox"/> D - Impairment of Foreign Aid Programs: Title XII Selection Procedure-General. (See AIDAR 706.302-70)                             |   |

**41. Synopsis of Procurement**

- |   |
|---|
| <input type="checkbox"/> A - Synopsized prior to award                              |
| <input type="checkbox"/> B - Not synopsized due to urgency (use only if No. 39 = H) |
| <input checked="" type="checkbox"/> C - Not synopsized for other reasons            |

**42. No. of Offers**

**43. No. of Offers of Non-U.S. Item(s)**

**MODIFICATIONS ONLY**

**44. Modification purpose (Mark all that apply)**

- |  |   |
|--|---|
| <input type="checkbox"/> Incremental Funding       | <input type="checkbox"/> Exercise of Option                     |
| <input type="checkbox"/> Administrative Change     | <input type="checkbox"/> Transfer Administrative Responsibility |
| <input type="checkbox"/> Extension of Term         | <input type="checkbox"/> Novation Agreement                     |
| <input type="checkbox"/> New/Revised Scope of Work | <input type="checkbox"/> Termination for Convenience            |
| <input type="checkbox"/> Increase in TEC           | <input type="checkbox"/> Termination for Default                |
| <input type="checkbox"/> Decrease in TEC           | Other: _____  |
| <input type="checkbox"/> Overhead Rate Adjustment  | _____   |

**SECTION 3: VENDOR INFORMATION (All Actions)**

45. Prime Vendor: (Full Name and Address)

Dr. Elizabeth H. White  
The Asia Foundation  
22 S. Jamaluddin Afghani Road  
U.P.O. Box 920, University Town, Peshawar

46. DUNS or CEC Number

47. Taxpayer ID No. (TIN)

**BASIC AWARDS AND NOVIATIONS ONLY**

48. Economic Sector

A - Private  
 B - International Public Sector

C - Federal Government  
 D - State/Local Government

49. Business Organization Type

A - Corporation  
 B - Individual  
 C - University or College  
 D - Historically Black College or University  
 E - Educational Organization other than University or College  
 F - International Center  
 G - Research Organization other than International Center

H - Voluntary Organization  
 I - Foundation  
 J - Hospital  
 K - Partnership  
 L - Proprietorship  
 M - Sheltered Workshop  
 Z - Other

50. For Profit?

Yes  No

51. U.S. Nationality? (If U.S. Nationality, then answer items 52-53)

Yes  No

52. Ownership Type (Complete only if Item No. 51 = Yes)

A - Asian-Indian American  
 B - Asian-Pacific American  
 C - Black American  
 D - Hispanic American

E - Native American  
 F - Other Minority  
 Z - Non-Minority

53. Woman/Woman-Owned?  
(Complete only if Item No. 51 = Yes)

Yes  No

54. If the Vendor is an individual who is not a U.S. Citizen, is he/she a U.S. Resident Alien (Green Card Holder)?

Yes  No

55. If U.S. University, Host Country Counterpart Institution:

56a. Common Parent Organization (tax purposes)

Yes  No

c. DUNS or CEC Number

b. Parent Vendor (Full Name)

d. Taxpayer ID No. (TIN)

57a. Joint Venture?

Yes  No

b. Participant Vendor (Full Name)

c. DUNS or CEC No.

d. Taxpayer ID No. (TIN)

**SECTION 4: FINANCIAL INFORMATION (All Actions)**

58. Total Estimated Cost (TEC)

a. Previous TEC

\$ 559,070

b. (Increase/Decrease) This Action

\$ -0-

c. Revised TEC

\$ 559,070

**OBLIGATION DATA**

59.

a. Budget Plan Code

b. Project Number

c. Amount Obligated/Deobligated  
(This Action)

d. Funds Type\*

QDHA-90-27306-KG13

306-0211

\$ 438,000

USD

QDSA-90-27306-KG62

306-0211

\$ 121,070

USD

\$

\$

\$

\$

\$

\$

\$

\$

\$

\$

\$ 559,070

e. TOTAL →

\* Funds Type Codes

USD: U.S. Dollars

USL: U.S. Owned Local Currency

60. Paying Office: Identify the Office responsible for paying vendor invoices.

For Mission Payments, enter: O/FM, USAID/ Islamabad

USAID/ (country)

Pakistan

For A.I.D./W Payments, check either:

PFM/FM/A/OE

PFM/FM/CMPD/DCB

61a. Incrementally Funded?

YES

NO

61b. If Incrementally Funded;

Date Funded through: (MMDDYY)

AID 1350-1 (10-79)	UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country Afghanistan	Page 1 of 18 Pages
		2. PIO/T No. 306-0211-3-00038	3. <input checked="" type="checkbox"/> Original or Amendment No.
PIO/T	PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICE	4. Project/Activity No. and Title PVO Support Project 306-0211 C.R.T.A. - CONSTRUCTION RELATED TRAINING	

DISTRIBUTION	5. Appropriation Symbol 72-1101021	6. Allotment Symbol and Charge QDHA-90-27306-KG-13
--------------	---------------------------------------	---

USAID/Pakistan	7. Obligation Status <input checked="" type="checkbox"/> Admin Reservation <input type="checkbox"/> Implementing Document	8. PACD (Mo., Day, Yr.) 12 / 31 / 92
----------------	--	---

- OCC - RLA - OFM	9. Authorized Agent O/CC, USAID/Pakistan	10. This PIO/T is in full conformance with PRO/AG N/A                      Date
-------------------------	---	--

O/AID/REP - 3	11a. Type of Action and Governing AID Handbook AID                      PASA/                      AID <input type="checkbox"/> Contract <input type="checkbox"/> RSSA <input type="checkbox"/> Grant <input type="checkbox"/> Other (HB 14)                      (EB 13) Cooperative Agreement	11b. Contract/Grant/PASA/RSSA Reference Number (If this is an Amendment)
---------------	--	--

O/AID/Rep - 3 -ANE/PD/SA-2	12. Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. 1)			
-------------------------------	---	--	--	--

		(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
Maximum AID	A. Dollars	-	400,000	-	400,000
Financing	B. US owned Local Currency				

13. Mission References -- Substan. Invol - Action Memo June 17, 1990 - IRC Proposal - 5/14/90 - SOW 5/14/90 - Justification for other than full and open competition - Illustrative Budget	14a. Instructions to Authorized Agent  The Contracts Officer is requested to prepare a Cooperative Agreement with I.R.C. to provide funding of \$462,000 for Construction Related Training Activities for Afghanistan under the newly approved PVO Support Project. One year funding is requested, with an option for additional FY 91 funding for a second year, based upon a project review.  This PIO/T reserves \$400,000 only. Additional funds of \$62,000 shall be provided later upon availability.
	14b. Address of Voucher Paying Office Controller, USAID/Pakistan

15. Clearances - Include typed name, office symbol, telephone number and date for all clearances.

A. Project Officer certifies statement of work is technically adequate  D. Palmer, Project Officer	Phone No.  Date 6/19/90	B. Statement of work is within purview of approved agency program  J. Gunning/PRO	Date 6/20/90
C. D. Arvanitis, AHEP Officer D. Swain, FDIS	Date 6/10/90	D. Funds for the services requested are available  Horace Hunter, OFM	Date 6/25/90
E. Jack Miller, D/AID/Rep	Date		

16. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development
Signature                      N/A                      Date	Signature                      Date 6/29/90 Larry Crandall AID/Representative
Title	Title

18. Statement of work or program description for this project is described in Attachment No. II

19. Special Provisions

- A.  Language Requirements (specify) English, Dari and or/Pushto helpful  
(if marked, testing must be accomplished by AID to assure desired level of proficiency.)
- B.  Access to classified information  will  will not be required by technical specialists. (Indicate level) \_\_\_\_\_
- C.  Duty post(s) and duration of technical specialist(s) services at post(s) (months) Peshawar, Pakistan and vicinity
- D.  Dependents  will  will not be permitted to accompany technical specialist(s). N/A
- E.  Geographic code applicable to procurement under this PIO/T is  000  899  935  941  Other (specify) \_\_\_\_\_  
(if other than authorized in HB 1, Sup B, Chap 5, Para 5A1d, attach waiver(s).) Afghanistan, Pakistan, United States  
CODE 935 when agreed to in writing by the O/AID/Rep
- F.  Salary approval(s) to exceed FS-1 salary ceiling are  attached  in process  N/A. —
- G.  Cooperating country acceptance of this project (applicable to AID/W projects only)  
 has been obtained  is in process  is not applicable to services required by PIO/T.
- H.  Justification for use of external resources for consulting services is  attached  N/A. —
- I.  Clearance for procurement of ADP equipment, software, and services is  attached  in process  N/A.
- J.  OMB approval of any report to be completed by ten or more members of the general public under the statement of work is  
 attached  in process  N/A.
- K.  Participant training  is  is not being funded as part of this PIO/T.
- L.  Requirement (contracts only) is recommended for  small business set-aside  SBA 8(a) Program  neither.
- M.  Other (specify). Joint review to be held by December 90. Reporting requirements will be on a quarterly basis following standard aid reporting procedures.

20. Provisions for Logistic Support	IN KIND SUPPLIED BY		FROM LOCAL CURRENCY SUPPLIED BY		JO BE PROVIDED OR ARRANGED BY SUPPLIER	N/A
	AID	COOPERATING COUNTRY	AID	COOPERATING COUNTRY		
A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in C. "Comments")						
(1) Office Space					X	
(2) Office Equipment					X	
(3) Housing and Utilities					X	
(4) Furniture					X	
(5) Household Appliances (Stoves, Refrig., etc.)					X	
(6) Transportation in Cooperating Country					X	
(7) Transportation To and From Country					X	
(8) Interpreter Services/Secretarial					X	
(9) Medical Facilities (Health Room)					X	
(10) Vehicles (official)					X	
(11) Travel Arrangements/Tickets					X	
(12) Nightwatchman for Living Quarters					X	
(13)						
(14)						
(15)						

(OTHER SPECIFY)

20. Provisions for Logistic Support (Continued)

B. Additional Facilities Available From Other Sources

Diplomatic pouch

PX

Commissary

Other (specify, e.g., duty free, entry, tax exemption) N/A

C. Comments

21. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities General policy guidance of the AID Representative for Afghanistan or his designee.

B. Cooperating Country Liaison Officials N/A

C. AID Liaison Officials Special Projects Officer, Health and Education

22. Background information (additional information useful to authorized agent)

O/CC - Please add the Substantial Involvement statement given in Attachment III

23. Summary of attachments that accompany the PIO/T (check applicable boxes)

A. Detailed budget estimate in support of increased funding (Block 12) Attachment I

B. Evaluation criteria for competitive procurement (Block 14A)

C. Justification for procurement by other than full and open competition or noncompetitive assistance Attachment IV

D. Statement of work or program description (Block 18) Attachment II

E. Waiver(s) justification(s), clearance(s), certification(s) (Block 19) (specify number \_\_\_\_\_)

9

ILLUSTRATIVE BUDGET

CRTA

	<u>Obligations this Amendment FY 90</u>	<u>Total to Date</u>
Refresher and Professional Development and Program	150,584	150,584
Construction Supervision Program	111,279	111,279
Construction Engineering Program	70,933	70,933
UNO Subcontract for Visiting Faculty Support	128,846	128,846
Total CRTA Program Expenses	461,642	461,642



(306-0211)

**UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT**  
OFFICE OF THE AID REPRESENTATIVE

American Embassy  
Islamabad, Pakistan

August 5, 1990

Dr. Elizabeth H. White  
Director, Afghan Program  
The Asia Foundation  
22 S. Jamaluddin Afghani Road  
U.P.O. Box 920  
University Town,  
Peshawar, Pakistan

Subject: Cooperative Agreement No.: ~~306-0211-A-00-0997-00~~

Dear Dr. White:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby provides to The Asia Foundation (hereinafter referred to as "TAF" or Recipient") the sum of Five Hundred and Fifty Nine Thousand and Seventy Dollars (\$559,070) for sub-grants and the administration of grants to support democratic pluralism initiatives among Afghans, as more fully described in Attachment 1 entitled "Schedule and Program Description."

This Cooperative Agreement is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives through the estimated completion date of August 1, 1991. Funds disbursed by A.I.D. but uncommitted by the Recipient at the expiration of this period shall be refunded to A.I.D.

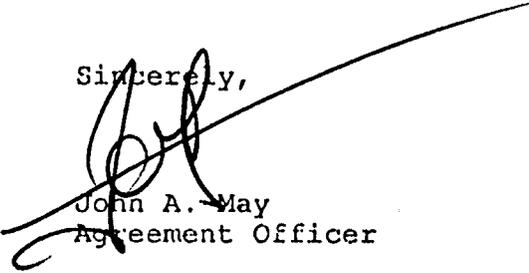
The total estimated amount of the program is \$559,070 all of which is hereby obligated. A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount.

This Cooperative Agreement is made to the Recipient on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, the Schedule and Program Description, and Attachment 2 the Standard Provisions, which have been agreed to by your organization.

COOPERATIVE AGREEMENT NO. 306-0211-A-00-0997-00

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter. Retain one set for your files and return the remaining copies to this office.

Sincerely,

  
John A. May  
Agreement Officer

Attachments:

1. Schedule & Program Description
2. Standard Provisions and Optional Standard Provisions

ACKNOWLEDGED:

THE ASIA FOUNDATION

BY:



NAME:

Dr. Elizabeth H. White

TITLE:

Director, Afghan Program

DATE:

August 5, 1990

FISCAL DATA

Amount Obligated:	\$559,070
Technical Office:	Office of the A.I.D. Representative for Afghanistan Affairs
(a) PIO/T No.:	306-0211-3-00055
Appropriation No.	72-1101021
Budget Plan Code:	QDHA-90-27306-KG13
Amount:	<u>\$438,000</u>
(b) PIO/T No.	398-0249-3-00001
Appropriation No.	72-1101021
Budget Plan Code:	QDSA-90-27306-KG62
Amount:	<u>\$121,070</u>

**SCHEDULE & PROGRAM DESCRIPTION**

**TABLE OF CONTENTS**

ARTICLE I	Purpose of Agreement And Program Description
ARTICLE II	Period of Agreement
ARTICLE III	Funds Obligated, Payment and Estimated Cost
ARTICLE IV	Establishment of Overhead Rate
ARTICLE V	Reporting Requirements
ARTICLE VI	Local Currency Generation
ARTICLE VII	Substantial Involvement
ARTICLE VIII	Special Provisions

## ARTICLE I - PURPOSE OF AGREEMENT AND PROGRAM DESCRIPTION

### A. PURPOSE

The purpose of this Cooperative Agreement is to obtain the assistance of The Asia Foundation (TAF) to make a series of sub-grants on behalf of the Office of the A.I.D. Representative for Afghan Affairs (O/AID/Rep) and to manage, oversee, and administer these sub-grants as specified in this Cooperative Agreement.

Three sub-grantees whose activities the O/AID/Rep would like to support have already been identified:

- (a) Muslim Sisters Organization of Afghanistan;
- (b) Cultural Council of Afghanistan Resistance; and
- (c) Writers Union of Free Afghanistan.

Additional sub-grantees may be added to this Cooperative Agreement subject to the availability of funds and as the opportunities present themselves. Activities supported will be designed to promote democratic pluralism among Afghans and generally fall within the following illustrative categories:

Activities to promote or otherwise strengthen:

- democratic processes;
- democratic institutions;
- free flow of information;
- human rights;
- inclusion of the disenfranchised, such as women and minorities;
- economic opportunities;
- Afghan participation in international forums.

### B. PROGRAM DESCRIPTION

TAF will be responsible to the A.I.D. Representative for Afghanistan Affairs, through the Project Officer for ensuring the effective management, oversight, and administration of designated activities financed by the Mission's Private Voluntary Organization (PVO) Support Project and by A.I.D./Washington Project Development and Support (PDS) funds.

TAF will, at the O/AID/Rep's request, assist designated Afghan PVOs with the preparation of proposals, introducing the prescribed proposal format and selection criteria and ensuring the feasibility of the activity implementation plan.

TAF will develop a format for the presentation of quarterly reports. These reports will assess the progress of individual activities against quantifiable indicators, identify problems that the activities are encountering, discuss corrective measures being taken, and provide required expenditure and other financial data. The format for the quarterly reports is subject to O/AID/Rep approval and will be prepared within 45 days after the Effective Date.

TAF will introduce the quarterly report format to participating PVOs and assist PVOs to ensure the timely and satisfactory submission of the reports from the sub-grantees. The reports will be compiled on a quarterly basis for submission to the O/AID/Rep and TAF will prepare a cover letter which discusses TAF's assessment of the sub-grantees' activities.

TAF will administer approval with respect to sub-grantee vouchers for payment. TAF will immediately notify the O/AID/Rep upon discovery of any irregularities in connection with any sub-grant and submit a written report of the details of such irregularities.

TAF will sub-grant funds within the limits established in the financial plan which is a part of this Agreement.

TAF will insure that all subgrants conform to the provisions of this Agreement and incorporate this Agreement's Provisions.

#### Financial Management:

With regard to managing funds under this Agreement, TAF will comply with the requirements of the standard provisions of this Agreement entitled "Payment-Periodic Advance."

In addition to the financial reporting requirements of the standard provisions of the Agreement, TAF agrees to provide additional or supplementary financial information, as may be requested by the O/AID/Rep.

In connection with this Agreement, TAF will receive and disburse all funds through its office in Peshawar and will assist sub-grantees in establishing separate bank accounts for any funds provided under this Agreement.

TAF will provide accounting advice and oversight to the sub-grantees financed under this Agreement to ensure that they are in compliance with the terms of their agreements with TAF.

#### Providing Administrative and Technical Assistance:

TAF may recommend the provision of technical and/or administrative assistance for activities carried out by its sub-grantees.

The use of technical or administrative assistance for a single sub-grantee should be proposed and recommended as part of that sub-grantee's proposal.

The use of technical or administrative assistance to serve the requirements of more than one sub-grantee should be proposed by TAF for O/AID/Rep funding consideration.

#### Sub-Grantee Contacts with O/AID/Rep:

O/AID/Rep retains final decision-making authority on acceptance, rejection and modification of each sub-grantee proposal and upon allocation of available funds. Contacts between O/AID/Rep and sub-grantees will continue on an as-needed basis with TAF undertaking the periodic contact required for proposal review, activity monitoring and progress/financial reporting.

ARTICLE II: PERIOD OF AGREEMENT

A. The effective date of this Agreement is the date of the Cover Letter and the estimated expiration date is August 1, 1991.

B. Funds obligated hereunder are available for program expenditures from the effective date until August 1, 1991.

ARTICLE III: FUNDS OBLIGATED, PAYMENT, AND ESTIMATED COST

A. Funds in the amount of \$559,070 are obligated in this Agreement.

B. Payment

Payment shall be made to the Recipient in accordance with the procedures set forth in the Standard Provision entitled "Payment - Periodic Advance":

C. Estimated Cost

1. The budget for this Agreement is given below. Revisions to this budget shall be made only in accordance with Standard Provisions of this Agreement, entitled "Revision of Grant Budget."

BUDGET

A.I.D. CONTRIBUTION

1. Muslim Sisters' Organization of Afghanistan	\$37,166
2. Cultural Council of Afghanistan Resistance	10,300
3. Writers Union of Free Afghanistan	50,567
4. Sub-Grantees to be identified	<u>354,656</u>
Sub-Total	<u>\$452,689</u>
5. Overhead	<u>106,381</u>
Total	<u>\$559,070</u>

THE ASIA FOUNDATION CONTRIBUTION

1. Direct program services	\$28,000
2. Overhead	<u>6,580</u>
Total	<u>\$34,580</u>

1. The Recipient agrees to furnish data which the Agreement Officer requests on costs expended or accrued under this Agreement in support of the budget information provided herein.



- 2) Project Description: Summary of project purpose, implementing institutions and mechanisms; Categories of DPI (i.e. voice, choice or governance).
- 3) Inputs: Major resources to be allocated (e.g., training, technical assistance, or other).
- 4) Outputs: Principal expected outputs.
- 5) Status: Progress to date (including any major problems and/or any changes in project design).

#### ARTICLE VI: LOCAL CURRENCY GENERATION

Local currency (Rupees and Afghanis) may be generated by the Recipient in the course of their activities inside Afghanistan. The Recipient will ensure that local currencies thus generated by A.I.D.-financed inputs are promptly deposited in a Special Account in a Pakistani commercial bank, opened in the name of the Recipient, for receipt of payments, and formally notify the O/AID/REP of the account number and bank. The account, under applicable Pakistani banking laws and regulations, shall be in a profit-and-loss account, unless otherwise agreed to by A.I.D. The Recipient will be the manager of the Special Account, subject to the requirement noted below to obtain the approval of O/AID/REP for expenditures from the account.

Requests for expenditures of these funds by the Recipient on local program costs will be submitted to the O/AID/REP for consideration and approval.

The Recipient must maintain auditable records and documents pertaining to all deposits into, funds maintained within, and disbursements from the Special Account for at least three years or such longer period of time as may be required in the Standard Provision from the date of the sale of commodities which resulted in the deposits. The records and documents shall be kept in a manner which will accurately report all transactions pertaining to the sale of commodities, the deposit of the currencies in the Special Account and disbursements from the Special Account for authorized purposes. The books and records must be available for inspection and audits at reasonable times by persons designated by A.I.D.

Activities in which the Recipient will generate local currency will be conducted only when deemed to be beneficial to the project and only at the direction of the O/AID/REP. The exact methodology for these activities will be agreed to on a case-by-case basis by the Mission.

#### ARTICLE VII: SUBSTANTIAL INVOLVEMENT:

\* TAF will, subject to Project Officer approval, develop a format for the presentation of activity proposals. This format will specify the information that PVOs will be required to submit in their proposals in order to receive funding. This format will be prepared within 30 days after the Effective Date.

\* TAF will work closely with the O/AID/Rep to identify and establish selection criteria against which proposals will be selected. These criteria will be prepared within 30 days of the Effective Date.

\* TAF will establish, in consultation with the Agreement Officer, a suitable agreement format for Sub-grants. This agreement form will be established within 45 days after the Effective Date.

\* The Agreement Officer will have to approve all Sub-grants.

#### ARTICLE VIII: SPECIAL PROVISIONS

##### A. Title to Property

Title to all property financed under this Agreement shall vest in the U.S. Government, subject to the conditions of the Standard Provision entitled, "Title To and Use of Property (U.S. Government Title)."

##### B. Authorized Geographic Code

Commodities and services financed by A.I.D. under this project shall have their Source, Origin and Nationality in the United States of America, Pakistan, Afghanistan and, when agreed to in writing by the A.I.D. Representative, countries in A.I.D. Geographic Code 935. Ocean shipping financed by A.I.D. under this Agreement shall, except as the A.I.D. Representative may otherwise, agree in writing, be financed only on flag vessels of the United States.

##### C. Prohibition on Assistance to Drug Traffickers

The Recipient shall take all reasonable steps to ensure that assistance is not provided to or through any individual or entity that the Recipient knows or has reason to believe---

(1) has been convicted of a violation of, or a conspiracy to violate, any law or regulation of the United States, a State or the District of Columbia, or a foreign country relating narcotic or psychotropic drugs or other controlled substances (as defined in Section 481(i) (3) of the Foreign Assistance Act.

(2) is or has been an illicit trafficker in any such controlled substance or is or has been a knowing assistor, abettor, conspirator, or colluder with others in the illicit trafficking in any such substance.

The Recipient shall provide any certifications which may be required regarding compliance with this provision.

##### D. Special Audit Provisions

Based upon a Section 451 approval by the A.I.D. Representative, the provisions of this Agreement with respect to accounting, records and audit shall not apply to operational costs incurred by the Recipient within Afghanistan and end-use-checks of commodities and equipment located within Afghanistan. However, the Recipient agrees to the maximum reasonable extent to exercise prudent oversight of such operational costs and commodities. Further, the Recipient agrees to report in detail on such costs and commodities as part of the Agreement reporting requirements.

E. Personnel Compensation

The initial starting salaries of all employees whose salaries are charged as a direct cost to this Agreement must be approved in advance and in writing, by the Agreement Officer.

F. Geographic Locations:

1. Pakistan: The Recipient's field headquarters shall be based in Peshawar, Pakistan.
2. Afghanistan: At the present time, U.S. Government policy prohibits entry into Afghanistan by U.S. direct hire employees or by U.S. nationals financed by the U.S. Government. Recipient employees of other nationalities are not affected by this policy. This policy is expected to change as security conditions improve inside Afghanistan.

G. Co-Financing

Financial management and reporting of those activities financed by other donors will be separate from A.I.D.-funded activities.

H. Cooperation with Other Contractors

The Recipient will cooperate fully with other O/AID/REP contractors in all matters of implementation.

I. Close-out Procedures

This section prescribes uniform closeout procedures.

1. The following definitions shall apply for the purpose of this section.

a. Closeout: The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.

b. Date of Completion: The date of completion is the date on which all work under grants and agreements is completed or the date on the award documents, or any supplement or amendment thereto, on which AID sponsorship ends.

c. Disallowed Costs: Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.

2. AID closeout procedures include the following requirements:

a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.

b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.

c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.

d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".

e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

MANDATORY STANDARD PROVISIONS FOR  
U.S., NONGOVERNMENTAL GRANTEES 1/  
INDEX OF  
MANDATORY STANDARD PROVISIONS

- |                                      |   |
|--------------------------------------|---|
| 1. Allowable Costs                   | 7. Ineligible Countries                                       |
| 2. Accounting, Audit,<br>and Records | 8. Debarment, Suspension, and<br>other Responsibility Matters |
| 3. Refunds                           | 9. Nondiscrimination.   |
| 4. Revision of Grant Budget          | 10. U.S. Officials Not to Benefit.                            |
| 5. Termination and Suspension        | 11. Nonliability  |
| 6. Disputes                          | 12. Amendment   |
|                                      | 13. Notices.  |

1. ALLOWABLE COSTS (NOVEMBER 1985)

The grantee shall be reimbursed for costs incurred in carrying out the purposes of this grant which are determined by the grant officer to be reasonable, allocable, and allowable in accordance with the terms of this grant, any negotiated advance understanding on particular cost items, and the applicable\* cost principles in effect on the date of this grant.

\* NOTE: For Educational Institutions use OMB Circular A-21; for all other non-profits use OMB Circular A-122; and for profit making firms use FAR 31.2. and AIDAR 731.2.

2. ACCOUNTING, AUDIT, AND RECORDS (JANUARY 1988)

(a) The grantee shall maintain books, records, documents, and other evidence in accordance with the grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The grantee's financial management system shall provide for the following:

(1) Accurate, current, and complete disclosure for each AID-sponsored project or program in accordance with the reporting requirements of this grant. While A.I.D. requires reporting on an accrual basis, the grantee shall not be required to establish an accrual accounting system but shall develop such accrual data for its reports on the basis of an analysis of the documentation on hand.

---

1/ When these Standard Provisions are used for cooperative agreements, the following terms apply:

"Grantee" means "Recipient"

"Grant" means "Cooperative Agreement," and

"A.I.D. Grants Officer" means "A.I.D. Agreement Officer"

---

(2) Records that identify adequately the source and application of funds for AID-sponsored activities. These records shall contain information pertaining to Federal awards, authorizations, obligations, unobligated balances, assets, outlays, and income.

(3) Effective control over and accountability for all funds, property, and other assets. Grantee shall adequately safeguard all such assets and shall ensure that they are used solely for authorized purposes.

(4) Comparison of actual outlays with budget amounts for each grant. Financial information should be related to performance and unit-cost data whenever appropriate.

(5) Procedures to minimize the time elapsing between the transfer of funds from the U.S. Treasury and the disbursement by the recipient, whenever funds are advanced by the Federal Government.

(6) Procedures for determining the reasonableness, allowability, and allocability of costs in accordance with the provisions of the applicable Federal cost principles and the terms of the grant.

(7) Accounting records that are supported by documentation that at a minimum will identify, segregate, accumulate, and record all costs incurred under a grant and which fully disclose (i) the amount and disposition by the grantee of the proceeds of such assistance, (ii) the total cost of the project or undertaking in connection with which such assistance is given or used, (iii) the amount of that portion of the cost of the project or undertaking supplied by other sources, and (iv) such other records as will facilitate an effective audit.

(8) Examinations in the form of audits or internal audits shall be made by qualified individuals that are sufficiently independent of those that authorize the expenditure of A.I.D. funds, to produce unbiased opinions, conclusions, or judgments. They shall meet the independence criteria along the lines of Chapter IV, Part B of the U.S. General Accounting Office Publication Standards for Audit of Governmental Organizations, Programs, Activities, and Functions (1981 Revision). These examinations are intended to ascertain the effectiveness of the financial management systems and internal procedures that have been established to meet the terms and conditions of the grant. It is not intended that each grant awarded to the grantee be examined. Generally, examinations should be conducted on an organization-wide basis to test the fiscal integrity of financial transactions, as well as compliance with the terms and conditions of the A.I.D. grant. Such tests would include an appropriate sampling of Federal grants and agreements. Examinations will be conducted with reasonable frequency, on a continuing basis or at scheduled intervals, usually annually, but not less frequently than every two years. A copy of the audit report shall be furnished to the A.I.D. grant officer who shall submit it to A.I.D.'s Regional Inspector General for Audit. The frequency of these examinations shall depend upon the nature, size, and the complexity of the activity. These grantee self-examinations do not relieve A.I.D. of its audit responsibilities, but may affect the frequency and scope of such audits.

(9) A systematic method to ensure timely and appropriate resolution of audit findings and recommendations.

(b) The grantee shall preserve and make available such records for examination and audit by A.I.D. and the Comptroller General of the United States, or their authorized representatives:

(1) until the expiration of three years from the date of termination of the grant;

(2) for such longer period, if any, as is required to complete an audit to resolve all questions concerning expenditures unless written approval has been obtained from the grant officer to dispose of the records. A.I.D. follows generally accepted accounting practices in determining that there has been proper accounting and use of grant funds. The grantee agrees to make available any further information requested by A.I.D. with respect to any questions arising as a result of the audit; and

(3) If any litigation, claim, or audit is started before the expiration of the three year period, the records shall be retained until all litigations, claims or audit findings involving the records have been resolved.

(c) The grantee shall require subrecipients to adopt the standards in paragraphs (a) and (b) above except that portion of subparagraph (a) 1 that would require specific financial reporting forms and frequencies in accordance with the payment provisions of the grant.

### 3 REFUNDS (JANUARY 1988)

(a) The grantee shall remit to A.I.D. all interest earned on funds provided by A.I.D. at least quarterly, except that interest amounts up to \$100 per year may be retained by the grantee for administrative expense.

(b) Funds obligated by A.I.D. but not disbursed to the grantee at the time the grant expires or is terminated shall revert to A.I.D., except for such funds encumbered by the grantee by a legally binding transaction applicable to this grant. Any funds advanced to but not expended by the grantee and not encumbered by a legally binding transaction applicable to this grant at the time of expiration or termination of the grant shall be refunded to A.I.D.

(c) If, at any time during the life of the grant, or as a result of final audit, it is determined by A.I.D. that funds it provided under this grant have been expended for purposes not in accordance with the terms of this grant, the grantee shall refund such amount to A.I.D.

4. REVISION OF GRANT BUDGET (NOVEMBER 1985)

(a) The approved grant budget is the financial expression of the grantee's program as approved during the grant award process.

(b) The grantee shall immediately request approval from the grant officer when there is reason to believe that within the next 30 calendar days a revision of the approved grant budget will be necessary for the following reasons:

(1) Changes in the scope or the objectives of the program and/or revisions in the funding allocated among program objectives.

(2) The need for additional funding.

(3) The grantee expects the amount of A.I.D. authorized funds to exceed its needs by more than \$5,000 or five percent of the A.I.D. award, whichever is greater.

(4) The grantee plans to transfer funds budgeted for indirect costs to absorb increases in direct costs or vice versa.

(5) The grantee intends to contract or subgrant any of the substantive programmatic work under this grant, and such contracts or subgrants were not included in the approved grant budget.

(6) The grantee plans to incur an expenditure which would require advance approval in accordance with the applicable Federal cost principles and was not included in the approved grant budget.

(7) The grantee plans to transfer funds allotted for training allowances to other categories of expense.

(c) When requesting approval for budget revisions, the grantee shall use the budget formats that were used in the application unless a letter request will suffice.

(d) Within 30 calendar days from the date of the receipt of the request for budget revisions, the grant officer shall review the request and notify the grantee whether the budget revisions have been approved. If the revisions are still under consideration at the end of 30 calendar days, the grant officer shall inform the grantee in writing of the date when the grantee may expect the decision. The grant officer shall obtain the project officer's clearance on all such requests prior to communication with the grantee.

(e) If the requested budget revision requires the obligation of additional funding, and, if after notification pursuant to this standard provision, A.I.D. determines not to provide additional funds, the A.I.D. grant officer will, upon written request of the grantee, terminate this grant pursuant to the standard provision of this grant, entitled "Termination and Suspension."

(f) Except as required by other provisions of this grant specifically stated to be an exception from this provision, the Government shall not be obligated to reimburse the grantee for costs incurred in excess of the total amount obligated under the grant. The grantee shall not be obligated to continue performance under the grant (including actions under the "Termination and Suspension" provision) or otherwise to incur costs in excess of the amount obligated under the grant, unless and until the grant officer has notified the grantee in writing that such obligated amount has been increased and has specified in such notice the new obligated grant total amount.

#### 5. TERMINATION AND SUSPENSION (MAY 1986)

(a) For Cause. This grant may be terminated for cause at any time, in whole or in part, by the grant officer upon written notice to the grantee, whenever it is determined that the grantee has failed to comply with the conditions of the grant.

(b) For Convenience. This grant may be terminated for convenience at any time by either party, in whole or in part, if both parties agree that the continuation of the grant would not produce beneficial results commensurate with the further expenditure of funds. Both parties shall agree upon termination conditions, including the effective date and, in the case of partial terminations, the portion to be terminated. The agreement to terminate shall be set forth in a letter from the grant officer to the grantee.

(c) Suspension: Termination for Changed Circumstances. If at any time A.I.D. determines that continuation of funding for a program should be suspended or terminated because such assistance is not in the national interest of the United States or that it would be in violation of an applicable law, then A.I.D. may, following notice to the grantee, suspend this grant and prohibit the grantee from incurring additional obligations chargeable to this grant other than necessary and proper costs in accordance with the terms of this grant during the period of suspension. If the situation causing the suspension continues for 60 days or more, then A.I.D. may terminate this grant on written notice to the grantee and cancel that portion of this grant which has not been disbursed or irrevocably committed to third parties.

(d) Termination Procedures. Upon receipt of and in accordance with a termination notice as specified in either paragraph (a) or (b) above, the grantee shall take immediate action to minimize all expenditures and obligations financed by this grant and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination. The grantee shall within 30 calendar days after the effective date of such termination repay to the Government all unexpended A.I.D. funds which are not otherwise obligated by a legally binding transaction applicable to this grant. Should the funds paid by the

Government to the grantee prior to the effective date of the termination of this grant be insufficient to cover the grantee's obligations in the legally binding transaction, the grantee may submit to the Government within 90 calendar days after the effective date of such termination a written claim covering such obligations. The grant officer shall determine the amount(s) to be paid by the Government to the grantee under such claim in accordance with the applicable cost principles.

6. DISPUTES (NOVEMBER 1989)

(a) Any dispute under this grant shall be decided by the A.I.D. grant officer. The grant officer shall furnish the grantee a written copy of the decision.

(b) Decisions of the A.I.D. grant officer shall be final unless, within 30 days of receipt of the decision of the grant officer, the grantee appeals the decision to A.I.D.'s Deputy Assistant to the Administrator for Management Services. Any appeal made under this provision shall be in writing and addressed to the Deputy Assistant to the Administrator for Management Services, Agency for International Development, Washington, D.C. 20523. A copy of the appeal shall be concurrently furnished to the grant officer.

(c) In order to facilitate review on the record by the Deputy Assistant to the Administrator for Management Services, the grantee shall be given an opportunity to submit written evidence in support of its appeal. No hearing will be provided.

(d) A decision under this provision by the Associate Assistant to the Administrator for Management shall be final.

7. INELIGIBLE COUNTRIES (MAY 1986)

Unless otherwise approved by the A.I.D. grant officer, no funds will be expended for assistance to countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

8. DEPARTMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (MARCH 1989)

(1) The grantee certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicated for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) The grantee agrees that, unless authorized by the Grant Officer, it will not knowingly enter into any subagreements or contracts under this grant with a person or entity that is included on the "Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs". The grantee further agrees to include the following provision in any subagreements or contracts entered into under this grant:

DEBARMENT, SUSPENSION, INELIGIBILITY, AND VOLUNTARY EXCLUSION  
(MARCH 1989).

The recipient/contractor certifies that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(3) The policies and procedures applicable to debarment, suspension and ineligibility under AID-financed transactions are set forth in 22 CFR Part 208.

9. NONDISCRIMINATION (MAY 1986)

No U.S. citizen or legal resident shall be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity funded by this grant on the basis of race, color, national origin, age, handicap, or sex.

10. U.S OFFICIALS NOT TO BENEFIT (NOVEMBER 1985)

No member of or delegate to the U.S. Congress or resident U.S. Commissioner shall be admitted to any share or part of this grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this grant if made with a corporation for its general benefit.

11. NONLIABILITY (NOVEMBER 1985)

A.I.D. does not assume liability for any third party claims for damages arising out of this grant.

12. AMENDMENT (NOVEMBER 1985)

The grant may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the grant officer and an appropriate official of the grantee.

13. NOTICES (NOVEMBER 1985)

Any notice given by A.I.D. or the grantee shall be sufficient only if in writing and delivered in person, mailed, or cabled as follows:

To the A.I.D. grant officer, at the address specified in the grant.

To grantee, at grantee's address shown in the grant or to such other address designated within the grant.

Notices shall be effective when delivered in accordance with this provision, or on the effective date of the notice, whichever is later.

OPTIONAL STANDARD PROVISIONS FOR  
U.S., NONGOVERNMENTAL GRANTEES

The following standard provisions are required to be used when applicable. Applicability statements are contained in the parenthetical statement preceding the standard provision. When a standard provision is determined to be applicable in accordance with the applicability statement, the use of such standard provision is mandatory unless a deviation has been approved in accordance with Paragraph 1E of Chapter 1 of Handbook 13. Each grant is required to have a payment provision. Check off the optional standard provisions which are included in the grant. Only those standard provisions which have been checked off are included physically within this grant.

- |     |  |              |
|-----|--|--------------|
| 1.  | Payment - Letter of Credit   | _____        |
| 2.  | Payment - Periodic Advance   | XXXXXXXXXXXX |
| 3.  | Payment - Cost Reimbursement   | _____        |
| 4.  | Air Travel and Transportation  | XXXXXXXXXXXX |
| 5.  | Ocean Shipment of Goods  | XXXXXXXXXXXX |
| 6.  | Procurement of Goods and Services  | XXXXXXXXXXXX |
| 7.  | A.I.D. Eligibility Rules for Goods<br>and Services   | XXXXXXXXXXXX |
| 8.  | Subagreements  | XXXXXXXXXXXX |
| 9.  | Local Cost Financing   | XXXXXXXXXXXX |
| 10. | Patent Rights  | _____        |
| 11. | Publications   | _____        |
| 12. | Negotiated Indirect Cost Rates -<br>Predetermined  | _____        |
| 13. | Negotiated Indirect Cost Rates -<br>Provisional  | XXXXXXXXXXXX |
| 14. | Regulations Governing Employees  | XXXXXXXXXXXX |
| 15. | Participant Training   | _____        |
| 16. | Voluntary Population Planning  | _____        |
| 17. | Protection of the Individual as a Research<br>Subject  | _____        |
| 18. | Care of Laboratory Animals   | _____        |
| 19. | Government Furnished Excess Personal<br>Property   | _____        |
| 20. | Title to and Use of Property<br>(Grantee Title)  | _____        |
| 21. | Title to and Care of Property<br>(U.S. Government Title)   | XXXXXXXXXXXX |
| 22. | Title to and Care of Property<br>(Cooperating Country Title)                                     | _____        |
| 23. | Cost Sharing (Matching)  | XXXXXXXXXXXX |
| 24. | Use of Pouch Facilities  | _____        |
| 25. | Conversion of United States Dollars<br>to Local Currency   | XXXXXXXXXXXX |
| 26. | Certification and Disclosure<br>regarding payments to influence<br>certain Federal Transactions. | XXXXXXXXXXXX |

2. PAYMENT - PERIODIC ADVANCE (JANUARY 1988)

(This provision is applicable when the conditions for use of letter of credit cannot be met (including those pertaining to mixed dollar and local currency advances) and when the grantee meets the requirements of paragraph 1.0.6 of Handbook 13.)

(a) Periodic advances shall be limited to the minimum amounts needed to meet current disbursement needs and shall be scheduled so that the funds are available to the grantee as close as is administratively feasible to the actual disbursements by the grantee for program costs. Cash advances made by the grantee to secondary recipient organizations or the grantee's field organizations shall conform substantially to the same standards of timing and amount as apply to cash advances by AID to the grantee.

(b) Grantees shall maintain advances in interest bearing accounts. Advances of A.I.D. funds to subgrantees shall be maintained in interest bearing accounts.

(c) Grantees shall submit requests for advances at least monthly on SF-270, "Request for Advance or Reimbursement," in an original and two copies, to the address specified in the Schedule of this grant.

(d) The grantee shall submit one copy of SF-272, "Federal Cash Transactions Report," 15 working days following the end of each quarter to the payment office address specified in the schedule. Grantees receiving advances totaling more than \$1 million per year shall submit SF-272 on a monthly basis within 15 working days following the close of the month. Grantees shall report in the Remarks section of SF-272 the amount of cash advances in excess of thirty days requirement in the hands of subrecipients or the grantee's overseas field organizations and shall provide short narrative explanations of actions taken by the grantee to reduce the excess balances.

(e) A "Financial Status Report," SF-269, shall be prepared on an accrual basis by the grantee and submitted quarterly no later than 30 days after the end of the period in an original and two copies to the payment office specified in the schedule. If the grantee's accounting records are not normally kept on the accrual basis, the grantee shall not be required to convert its accounting system, but shall develop such accrual information through best estimates based on an analysis of the documentation on hand. The final SF-269 must be submitted to the payment office within 90 days after the conclusion of the grant.

(f) If at any time, the A.I.D. Controller determines that the grantee has demonstrated an unwillingness or inability to: (1) establish procedures that will minimize the time elapsing between cash advances and the disbursement thereof, (2) timely report cash disbursements and balances as required by the terms of the grant, and (3) impose the same standards of timing of advances and reporting on any subrecipient or any of the grantee's overseas field organizations; the A.I.D. Controller shall advise the grant officer who may suspend or revoke the advance payment procedure.

(END OF STANDARD PROVISION)

4. AIR TRAVEL AND TRANSPORTATION (NOVEMBER 1985)

(This provision is applicable when any costs for air travel or transportation are included in the budget.)

(a) The grantee is required to present to the project officer for written approval an itinerary for each planned international trip financed by this grant, which shows the name of the traveler, purpose of the trip, origin/destination (and intervening stops), and dates of travel, as far in advance of the proposed travel as possible, but in no event at least three weeks before travel is planned to commence. At least one week prior to commencement of approved international travel, the grantee shall notify the cognizant U.S. Mission or Embassy, with a copy to the project officer, of planned travel, identifying the travelers and the dates and times of arrival.

(b) Travel to certain countries shall, at A.I.D.'s option, be funded from U.S.-owned local currency. When A.I.D. intends to exercise this option, A.I.D. will, after receipt of advice of intent to travel required above, either issue a U.S. Government S.F. 1169, Transportation Request (GTK) which the grantee may exchange for tickets, or A.I.D. will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(c) All air travel and shipments under this grant are required to be made on U.S. flag air carriers to the extent service by such carriers is available. A U.S. flag air carrier is defined as an air carrier which has a certificate of public convenience and necessity issued by the U.S. Civil Aeronautics Board authorizing operations between the United States and/or its territories and one or more foreign countries.

(d) Use of foreign air carrier service may be deemed necessary if a U.S. flag air carrier otherwise available cannot provide the foreign air transportation needed, or if use of such service will not accomplish the agency's mission. Travel and transportation on non-free world air carriers are not reimbursable under this grant.

(e) U.S. flag air carrier service is considered available even though:

(1) Comparable or a different kind of service can be provided at less cost by a foreign air carrier;

(2) Foreign air carrier service is preferred by or is more convenient for the agency or traveler; or

(3) Service by a foreign air carrier can be paid for in excess foreign currency, unless U.S. flag air carriers decline to accept excess or near excess foreign currencies for transportation payable only out of such monies.

(f) Except as provided in paragraph (b) of this section, U.S. flag air carrier service must be used for all Government-financed commercial foreign air travel if service provided by such carriers is available. In determining availability of a U.S. flag air carrier, the following scheduling principles should be followed unless their application results in the last or first leg of travel to or from the United States being performed by foreign air carrier:

(1) U.S. flag air carrier service available at point of origin should be used to destination or in the absence of direct or through service to the farthest interchange point on a usually traveled route;

(2) Where an origin or interchange point is not served by U.S. flag air carrier, foreign air carrier service should be used only to the nearest interchange point on a usually traveled route to connect with U.S. flag air carrier service; or

(3) Where a U.S. flag air carrier involuntarily reroutes the traveler via a foreign air carrier the foreign air carrier may be used notwithstanding the availability of alternative U.S. flag air carrier service.

g) For travel between a gateway airport in the United States (the last U.S. airport from which the traveler's flight departs or the first U.S. airport at which the traveler's flight arrives) and a gateway airport abroad (that airport from which the traveler last embarks enroute to the U.S. or at which the traveler first debarks incident to travel from the U.S.), passenger service by U.S. flag air carrier will not be considered available:

(1) Where the gateway airport abroad is the traveler's origin or destination airport, and the use of U.S. flag air carrier service would extend the time in a travel status, including delay at origin and accelerated arrival at destination, by at least 24 hours more than travel by foreign air carrier:

(2) Where the gateway airport abroad is an interchange point, and the use of U.S. flag air carrier service would require the traveler to wait six hours or more to make connections at that point, or delayed departure from or accelerated arrival at the gateway airport in the U.S. would extend the time in a travel status by at least six hours more than travel by foreign air carrier.

(h) For travel between two points outside the U.S. the rules in paragraphs (d) through (f) of this section will be applicable, but passenger service by U.S. flag air carrier will not be considered to be reasonably available:

(1) If travel by foreign air carrier would eliminate two or more aircraft changes enroute;

(2) Where one of the two points abroad is the gateway airport (as defined in paragraph (g) of this section) enroute to or from the United States, if the use of a U.S. flag air carrier would extend the time in a travel status by at least six hours more than travel by foreign air carrier including accelerated arrival at the overseas destination or delayed departure from the overseas origin as well as delay at the gateway airport or other interchange point abroad; or

(3) Where the travel is not part of a trip to or from the United States, if the use of a U.S. flag air carrier would extend the time in a travel status by at least six hours more than travel by foreign air carrier including delay at origin, delay enroute and accelerated arrival at destination..

(i) When travel under either paragraph (g) or (h) of this section involves three hours or less between origin and destination by a foreign air carrier, U.S. flag air carrier service will not be considered available when it involves twice such travel time or more.

(j) Nothing in the above guidelines shall preclude and no penalty shall attend the use of a foreign air carrier which provides transportation under an air transport agreement between the United States and a foreign government, the terms of which are consistent with the international aviation policy goals set forth at 49 U.S.C. 1502(b) and provide reciprocal rights and benefits.

(k) Where U.S. Government funds are used to reimburse the grantee's use of other than U.S. flag air carriers for international transportation, the grantee will include a certification on vouchers involving such transportation which is essentially as follows:

"CERTIFICATION OF UNAVAILABILITY OF U.S. FLAG AIR CARRIERS. I hereby certify that the transportation service for personnel (and their personal effects) or property by certificated air carrier was unavailable for the following reason(s)." (State appropriate reason(s) as set forth above).

(1) International Travel

(1) As used herein, the term "international travel" means travel to all countries other than those within the home country of the traveler. Travel outside the United States includes travel to the U.S. Trust Territories of the Pacific Islands.

(2) The grantee will be reimbursed for travel and the reasonable cost of subsistence, post differentials and other allowances paid to employees in an international travel status in accordance with the grantee's established policies and practices which are uniformly

applied to federally financed and other activities of the grantee. The standard for determining the reasonableness of reimbursement for overseas allowance is the Standardized Regulations (Government Civilians, Foreign Areas), published by the U.S. Department of State, as from time to time amended. The most current subsistence, post differentials, and other allowances may be obtained from the grant officer.

(m) This provision will be included in all subgrants and contracts which require air travel and transportation under this grant.

(END OF STANDARD PROVISION)

5. OCEAN SHIPMENT OF GOODS (MAY 1986)

(This provision is applicable when goods purchased with funds provided under this grant are transported to cooperating countries on ocean vessels.)

(a) At least 50% of the gross tonnage of all goods purchased under this grant and transported to the cooperating countries shall be made on privately owned U.S. flag commercial ocean vessels, to the extent such vessels are available at fair and reasonable rates for such vessels.

(b) At least 50% of the gross freight revenue generated by shipments of goods purchased under this grant and transported to the cooperating countries on dry cargo liners shall be paid to or for the benefit of privately owned U.S. flag commercial ocean vessels to the extent such vessels are available at fair and reasonable rates for such vessels.

(c) When U.S. flag vessels are not available, or their use would result in a significant delay, the grantee may request a determination of non-availability from the A.I.D. Transportation Division, Office of Procurement, Washington, D.C. 20523, giving the basis for the request which will relieve the grantee of the requirement to use U.S. flag vessels for the amount of tonnage included in the determination. Shipments made on non-free world ocean vessels are not reimburseable under this grant.

(d) Vouchers submitted for reimbursement which include ocean shipment costs shall contain a certification essentially as follows:

"I hereby certify that a copy of each ocean bill of lading concerned has been submitted to the U.S. Department of Transportation, Maritime Administration, Division of National Cargo, 400 7th Street, S.W., Washington, D.C. 20590, and that such bills of lading state all of the carrier's charges including the basis for calculation such as weight or cubic measurement."

(e) Shipments by voluntary nonprofit relief agencies (i.e., PVOs) shall be governed by this standard provision and by A.I.D. Regulation 2, "Overseas Shipments of Supplies by Voluntary Nonprofit Relief Agencies" (22 CFR Part 202).

(END OF STANDARD PROVISION)

6. PROCUREMENT OF GOODS AND SERVICES (NOVEMBER 1985)

(This provision is applicable when goods or services are procured under the grant.)

The grantee may use its own procurement policies and practices for the procurement of goods and services under this grant, provided they conform to all of A.I.D.'s requirements listed below and the standard provision entitled "A.I.D. Eligibility Rules for Goods and Services."

(a) General Requirements:

(1) The recipient shall maintain a code or standards of conduct that shall govern the performance of its officers, employees or agents engaged in the awarding and administration of contracts using A.I.D. funds. No employee, officer or agent shall participate in the selection, award or administration of a contract in which A.I.D. funds are used, where, to that individual's knowledge, the individual or the individual's immediate family, partners, or organization in which the individual or the individual's immediate family or partners has a financial interest or with whom that individual is negotiating or has any arrangement concerning prospective employment. The recipients' officers, employees or agents shall neither solicit nor accept gratuities, favors or anything of monetary value from contractors or potential contractors. Such standards shall provide for disciplinary actions to be applied for violations of such standards by the recipients' officers, employees or agents.

(2) All procurement transactions shall be conducted in a manner to provide, to the maximum extent practical, open and free competition. The recipient should be alert to organizational conflicts of interest or noncompetitive practices among contractors that may restrict or eliminate competition or otherwise restrain trade. In order to ensure objective contractor performance and eliminate unfair competitive advantage, contractors that develop or draft specifications, requirements, statements of work, invitations for bids and/or requests for proposals should be excluded from competing for such procurements. Awards shall be made to the bidder/offeror whose bid/offer is responsive/responsible to the solicitation and is most advantageous to the recipient, price and other factors considered. Solicitations shall clearly set forth all requirements that the bidder/offeror must fulfill in order to be evaluated by the recipient. Any and all bids/offers may be rejected when it is in the recipient's interest to do so.

(3) All grantees shall establish procurement procedures that provide for, at a minimum, the following procedural requirements:

(i) Proposed procurement actions shall follow a procedure to assure the avoidance of purchasing unnecessary or duplicative items. Where appropriate, an analysis shall be made of lease and purchase alternatives to determine which would be the most economical practical procurement.

(ii) Solicitations for goods and services shall be based upon a clear and accurate description of the technical requirements for the material, product or service to be procured. Such a description shall not, in competitive procurements, contain features which unduly restrict competition. "Brand-name or equal" descriptions may be used as a means to define the performance of other salient requirements of a procurement and when so used the specific features of the named brand which must be met by bidders/offerors shall be clearly specified.

(iii) Positive efforts shall be made by the recipients to utilize small business and minority-owned business sources of supplies and services. Such efforts should allow these sources the maximum feasible opportunity to compete for contracts utilizing A.I.D. funds. To permit A.I.D., in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this grant, the grantee shall to the maximum extent possible provide the following information to the Office of Small Disadvantaged Business Utilization, A.I.D., Washington, D.C. 20523, at least 45 days prior (except where a shorter time is requested of and granted by the Office of Small and Disadvantaged Business Utilization) to placing any order or contract in excess of \$25,000:

(A) Brief general description and quantity of goods or services;

(B) Closing date for receiving quotations, proposals, or bids; and

(C) Address where solicitations or specifications can be obtained.

(iv) The type of procuring instruments used, e.g. fixed price contracts, cost reimbursable contracts, purchase orders, incentive contracts, shall be determined by the recipient but must be appropriate for the particular procurement and for promoting the best interest of the program involved. The "cost-plus-a-percentage-of-cost" method of contracting shall not be used.

(v) Contracts shall be made only with responsible contractors who possess the potential ability to perform successfully under the terms and conditions of a proposed procurement. Consideration shall be given to such matters as contractor integrity, record of past performance, financial and technical resources or accessibility to other necessary resources.

(vi) All proposed sole source contracts or where only one bid or proposal is received in which the aggregate expenditure is expected to exceed \$5,000 shall be subject to prior approval by an appropriate official within the grantee's organization.

(vii) Some form of price or cost analysis should be made in connection with every procurement action. Price analysis may be accomplished in various ways, including the comparison of price quotations submitted, market prices and similar indicia, together with discounts. Cost analysis is the review and evaluation of each element of cost to determine reasonableness, allocability and allowability.

(viii) Procurement records and files for purchases in excess of \$10,000 shall include the following:

- (A) Basis for contractor selection;
- (B) Justification for lack of competition when competitive bids or offers are not obtained;
- (C) Basis for award cost or price.

(ix) A system for contract administration shall be maintained to ensure contractor conformance with terms, conditions and specifications of the contract, and to ensure adequate and timely followup of all purchases.

(b) Each contract shall contain, in addition to provisions to define a sound and complete contract, the following contract provisions, if applicable, as well as any provision within this grant which requires such inclusion of that provision. Whenever a provision is required to be inserted in a contract under this grant, the grantee shall insert a statement in the contract that in all instances where the U.S. Government or A.I.D. is mentioned the grantee's name shall be substituted.

(1) Contracts in excess of \$10,000 shall contain contractual provisions or conditions that will allow for administrative, contractual or legal remedies in instances in which contractors violate or breach contract terms, and provide for such remedial actions as may be appropriate.

(2) All contracts in excess of \$10,000 shall contain suitable provisions for termination by the recipient including the manner by which termination will be effected and the basis for settlement. In addition, such contracts shall describe conditions under which the contract may be terminated for default as well as conditions where the contract may be terminated because of circumstances beyond the control of the contractor.

(3) In all contracts for construction or facility improvement awarded for more than \$100,000, recipients shall observe generally accepted bonding requirements.

(4) All contracts awarded by the grantee or subgrantee to be performed in the United States having a value of more than \$10,000, shall contain a provision requiring compliance with Executive Order 11246, entitled "Equal Employment Opportunity," as amended, and as supplemented in Department of Labor Regulations (41 CFR, Part 60).

(5) All contracts and subgrants in excess of \$2,000 for construction or repair to be performed in the United States awarded by the grantee or subgrantee shall include a provision for compliance with the Copeland "Anti-Kick Back" Act (18 U.S.C. 874) as supplemented in Department of Labor Regulations (29 CFR, Part 3). This Act provides that each contractor or subgrantee shall be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which that individual is otherwise entitled. The grantee shall report all suspected or reported violations to A.I.D.

(6) When required by the Federal program legislation, all construction contracts to be performed in the United States awarded by the grantee or subgrantee of more than \$2,000 shall include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 276a to a-7) as supplemented by Department of Labor Regulations (29 CFR, Part 5). Under this Act, contractors shall be required to pay wages to laborers and mechanics at a rate not less than the minimum wages specified in a wage determination made by the Secretary of Labor. In addition, contractors shall be required to pay wages not less than once a week. The grantee shall place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation and the award of a contract shall be conditioned upon the acceptance of the wage determination. The grantee shall report all suspected or reported violations to A.I.D.

(7) Where applicable, all contracts awarded by the grantee or subgrantees in excess of \$2,000 for construction contracts to be performed in the United States and its territories and in excess of \$2,500 for other contracts that involve the employment of mechanics or laborers, shall include a provision for compliance with sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by Department of Labor Regulations (29 CFR, Part 5). Under section 103 of the Act, each contractor shall be required to compute the wages of every mechanic and laborer on the basis of a standard work day of 8 hours and a standard workweek of 40 hours. Work in excess of the standard workday or workweek is permissible provided that the worker is compensated at a rate of not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 8 hours in any calendar day or 40 hours in the workweek. Section 107 of the Act is applicable to construction work and provides that no laborer or mechanic shall be required to work in surroundings or under working conditions that are unsanitary, hazardous, or dangerous to the worker's health and safety as determined under construction safety and health standards

promulgated by the Secretary of Labor. These requirements do not apply to the purchases of supplies of materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

(8) Contracts, the principal purpose of which is to create, develop or improve products, processes or methods; or for exploration into fields that directly concern public health, safety or welfare; or contracts in the fields of science or technology in which there has been little significant experience outside of work funded by Federal assistance, shall contain a notice to the effect that matters regarding rights to inventions and materials generated under the contract are subject to the regulations included in these grant provisions. The contractor shall be advised as to the source of additional information regarding these matters.

(9) All negotiated contracts over \$10,000 awarded by the grantee shall include a provision to the effect that the grantee, A.I.D., the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers and records of the contractor which are directly pertinent to the specific program for the purpose of making audits, examinations, excerpts and transcriptions.

(10) Contracts in excess of \$100,000 to be performed in the United States shall contain a provision that requires the contractor to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act of 1970 (42 U.S.C. 7401) and the Federal Water Pollution Control Act (40 CFR 15) as amended. Violations shall be reported to A.I.D. and the Regional Office of the Environmental Protection Agency.

(11) Contracts which require performance outside the United States shall contain a provision requiring Workmen's Compensation Insurance (42 U.S.C. 1651, et seq.). As a general rule, Department of Labor waivers will be obtained for persons employed outside the United States who are not United States citizens or residents provided adequate protection will be given such persons. The grantee should refer questions on this subject to the A.I.D. grant officer.

(END OF STANDARD PROVISION)

7. A.I.D. ELIGIBILITY RULES FOR GOODS AND SERVICES (NOVEMBER 1985)

(This provision is applicable when goods or services are procured under the grant.)

(a) Ineligible and Restricted Goods and Services: If A.I.D. determines that the grantee has procured any of the restricted or ineligible goods and services specified below, or has procured goods and services from unauthorized sources, and has received reimbursement for such purpose without the prior written authorization of the grant officer, the grantee agrees to refund to A.I.D. the entire amount of the reimbursement. A.I.D.'s policy on ineligible and restricted goods and services is contained in Chapter 4 of AID Handbook 1, Supplement B, entitled "Procurement Policies".

(1) Ineligible Goods and Services. Under no circumstances shall the grantee procure any of the following under this grant:

- (i) Military equipment,
- (ii) Surveillance equipment,
- (iii) Commodities and services for support of police or other law enforcement activities,
- (iv) Abortion equipment and services,
- (v) Luxury goods and gambling equipment, or
- (vi) Weather modification equipment.

(2) Ineligible Suppliers. Funds provided under this grant shall not be used to procure any goods or services furnished by any firms or individuals whose name appears on the A.I.D. Consolidated List of Debarred, Suspended, and Ineligible Awardees under A.I.D. Regulation 8, entitled "Debarment, Suspension and Ineligibility" (22 CFR 208). A.I.D. will provide the grantee with a copy of this list upon request.

(3) Restricted Goods. The grantee shall not procure any of the following goods and services without the prior written authorization of the grant officer:

- (i) Agricultural commodities,
- (ii) Motor vehicles,
- (iii) Pharmaceuticals,
- (iv) Pesticides,
- (v) Rubber compounding chemicals and plasticizers,
- (vi) Used equipment,
- (vii) U.S. Government-owned excess property, or
- (viii) Fertilizer.

(b) Source, Origin, and Nationality: The eligibility rules for goods and services are based on source, origin, and nationality and are divided into two categories. One applies when the total procurement during the life of the grant is over \$250,000 and the other applies when the total procurement element during the life of the grant is not over \$250,000. The total procurement element includes procurement of all goods (e.g., equipment, materials, supplies) and services. Guidance on the eligibility of specific goods or services may be obtained from the grant officer. A.I.D. policies and definitions on source, origin, and nationality are contained in Chapters 4 and 5 of A.I.D. Handbook 1, Supplement B, entitled "Procurement Policies".

(1) When the total procurement element during the life of this grant is valued at \$250,000 or less, the following rules apply:

(i) All goods and services, the costs of which are to be reimbursed under this grant and which will be financed with U. S. dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., A.I.D. Geographic Code 935) in accordance with the following order of preference:

- (A) The United States (A.I.D. Geographic code 000),
- (B) The Cooperating Country,
- (C) "Selected Free World" countries (A.I.D. Geographic Code 941), and
- (D) "Special Free World" countries (A.I.D. Geographic Code 935).

(ii) Application of Order of Preference: When the grantee procures goods and services from other than U.S. sources, under the order of preference in paragraph b(1)(i) above, the grantee shall document its files to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the grantee's documentation:

(A) The procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U.S. sources,

(B) The price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U.S. source,

(C) Impelling local political considerations precluded consideration of U.S. sources,

(D) The goods or services were not available from U.S. sources, or

(E) Procurement of locally available goods and services, as opposed to procurement of U.S. goods and services, would best promote the objectives of the Foreign Assistance program under the grant.

(2) When the total procurement element exceeds \$250,000, the following rule applies: Except as may be specifically approved or directed in advance by the grant officer, all goods and services, which will be reimbursed under this grant and financed with U. S. dollars, shall be procured in and shipped from the U. S. (Code 000) and from any other countries within the authorized geographic code as specified in the schedule of this grant.

(c) Marine Insurance: The eligibility of marine insurance is determined by the country in which it is placed. Insurance is placed in a country if payment of the insurance premium is made to, and the insurance policy is issued by an insurance company located in that country. Eligible countries for placement are governed by the authorized geographic code, except that if Code 941 is authorized, the Cooperating Country is also eligible. Section 604(d) of the Foreign Assistance Act requires that if a recipient country discriminates by statute, decree, rule, or practice with respect to A.I.D.-financed procurement against any marine insurance company authorized to do business in the U. S., then any A.I.D.-financed commodity shipped to that country shall be insured against marine risk and the insurance shall be placed in the U. S. with a company or companies authorized to do marine insurance business in the U. S.

(d) Ocean and air transportation shall be in accordance with the applicable provisions contained within this grant.

(e) Printed or Audio-Visual Teaching Materials: If the effective use of printed or audio-visual teaching materials depends upon their being in the local language and if such materials are intended for technical assistance projects or activities financed by A.I.D. in whole or in part and if other funds including U.S.-owned or U.S.-controlled local currencies are not readily available to finance the procurement of such materials, local language versions may be procured from the following sources, in order of preference:

- (1) The United States (A.I.D. Geographic Code 000),
- (2) The Cooperating Country,
- (3) "Selected Free World" countries (A.I.D. Geographic Code 941), and
- (4) "Special Free World" countries (A.I.D. Geographic Code 899).

(f) Special Restrictions on the Procurement of Construction or Engineering Services: Section 604(g) of the Foreign Assistance Act provides that A.I.D. funds may not be used for "procurement of construction or engineering services from advanced developing countries, eligible under Geographic Code 941, which have attained a competitive capability in international markets for construction services or engineering services." In order to insure eligibility of a Code 941 contractor for construction or engineering services, the grantee shall obtain the grant officer's prior approval for any such contract.

(END OF STANDARD PROVISION)

8. SUBAGREEMENTS (NOVEMBER 1985)

(This provision is applicable when subgrants or cooperative agreements are financed under the grant.)

(a) Funds provided under this grant shall not be used to support any subrecipient whose name appears on the A.I.D. Consolidated List of Debarred, Suspended, or Ineligible Awardees under A.I.D. Regulation 8, entitled "Debarment, Suspension and Ineligibility" (22 CFR 208). A.I.D. will provide the grantee with a copy of this list upon request.

(b) All subagreements shall as a minimum contain, in addition to provisions to define a sound and complete agreement, the following provisions as well as any that are specifically required by any other provision in this grant. Whenever a provision within this grant is required to be inserted in a subagreement, the grantee shall insert a statement in the subagreement that in all instances where the U.S. Government is mentioned, the grantee's name will be substituted.

(1) Subagreements in excess of \$10,000 shall contain provisions or conditions that will allow for administrative or legal remedies in instances where subrecipients violate subagreement terms and provide for such remedial action as may be appropriate.

(2) All subagreements in excess of \$10,000 shall contain suitable provisions for termination by the recipient including the manner by which termination will be effected and the basis for settlement. In addition, such subagreements shall describe conditions under which the subagreement may be terminated for default as well as conditions where the subagreement may be terminated because of circumstances beyond the control of the subrecipient.

(3) Subagreements, the principal purpose of which is to create, develop or improve products, processes or methods; or for exploration into fields that directly concern public health, safety or welfare; or subagreements in the field of science or technology in which there has been little significant experience outside of work funded by Federal assistance, shall contain a notice to the effect that matters regarding rights to inventions and materials generated under the subagreement are subject to the regulations contained in the provisions of this grant. The subrecipient shall be advised as to the source of additional information regarding these matters.

(4) All subagreements over \$10,000 issued by recipients shall include a provision to the effect that the recipient, A.I.D., the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers and records of the subrecipient which are directly pertinent to the specific program for the purpose of making audits, examinations, excerpts and transcriptions.

(END OF STANDARD PROVISION)

9. LOCAL COST FINANCING (NOV. 1988)

(This provision applies only when local costs are authorized by the grant, and must be used with the standard provision entitled "Procurement of Goods and Services.")

(a) Costs qualifying as local costs are eligible for financing under the grant in accordance with the terms of this standard provision. Local costs are defined as (1) indigenous goods, (2) imported shelf items, and (3) services provided by suppliers meeting the requirements contained in subparagraph (b). Indigenous goods are those that have been mined, grown or produced in the cooperating country through manufacturers, processing or assembly. In the case of produced goods containing imported components, to qualify as indigenous a commercially recognized new commodity must result that is substantially different in basic characteristics or in purpose or utility from its components. Imported items are eligible for financing under the following situations: (1) Imported items available in the cooperating country which otherwise meet the source/origin requirements of the grant may be financed in unlimited quantities, regardless of dollar value, up to the total amount available for local procurement under the terms of the grant agreement. (2) Imported items from Geographic Code 941 countries which are available in the cooperating country can be funded in unlimited quantities, regardless of dollar value, up to the total amount available for local procurement under the terms of the grant. (3) Imported items from any Free World country which are available locally, are imported specifically for the grant, may be financed if the cost of the transaction, excluding the cost of the transportation, does not exceed the local currency equivalent of \$5000.

(b) To qualify as local costs, goods and services must also meet the following additional requirements:

(1) They must be paid for in local currency.

(2) The supplier must be located in the cooperating country and must be of cooperating country nationality as defined in A.I.D. Handbook 1B, Chapter 5.

(3) Any component from a country not included in A.I.D. geographic code 935 renders a commodity ineligible for financing.

(c) Ineligible Goods and Services: Under no circumstances shall the grantee procure any of the following under this grant:

(1) Military equipment,

(2) Surveillance equipment,

- (3) Commodities and services for support of police or other law enforcement activities,
- (4) Abortion equipment and services,
- (5) Luxury goods and gambling equipment, or
- (6) Weather modification equipment.

(d) Ineligible Suppliers: Funds provided under this grant shall not be used to procure any goods or services furnished by any firm or individual whose name appears on A.I.D.'s Consolidated List of Debarred, Suspended, or Ineligible Awardees (A.I.D. Regulation 8, (22 CFR 208)). A.I.D. will provide the grantee with this list upon request.

(e) Restricted Goods: The grantee shall not procure any of the following goods and services without the prior written authorization of the grant officer:

- (1) Agricultural commodities,
- (2) Motor vehicles,
- (3) Pharmaceuticals,
- (4) Pesticides,
- (5) Rubber compounding chemicals and plasticizers,
- (6) Used equipment,
- (7) U.S. Government-owned excess property, or
- (8) Fertilizer.

(f) If A.I.D. determines that the grantee has procured any of the restricted or ineligible goods and services specified in subparagraphs (c) through (e) above, or has received reimbursement for such purpose without the prior written authorization of the grant officer, the grantee agrees to refund to A.I.D. the entire amount of the reimbursement.

(END OF STANDARD PROVISION)

13. NEGOTIATED INDIRECT COST RATES - PROVISIONAL (MAY 1986)

(This provision is applicable to any organization which does not have predetermined indirect cost rate(s); however, it shall also be included when the NEGOTIATED INDIRECT COST RATES - PREDETERMINED standard provision is used.)

(a) A provisional indirect cost rate(s) shall be established for each of the grantee's accounting periods during the term of this grant. Pending establishment of a final rate(s), the parties have agreed that provisional payments on account of allowable indirect costs shall be at the rate(s), on the base(s), and for the periods shown in the schedule of this grant.

(b) Not later than 90 days after the close of the grantee's fiscal year, the grantee shall submit to the cognizant Government Audit Activity in accordance with OMB Circular A-88 proposed final indirect cost rate(s) and supporting cost data. In the event A.I.D. is the cognizant agency or no cognizant agency has been designated, the grantee shall submit a copy of the proposed final indirect cost rate(s) and supporting cost data to the A.I.D. Inspector General, Washington, D.C. 20523, and to the Overhead and Special Costs - Contract Closeout Branch, Office of Procurement, Washington, D.C. 20523. The proposed rate(s) shall be based on the grantee's actual cost experience during that fiscal year. Negotiations of final indirect cost rate(s) shall begin as soon as practical after receipt of the grantee's proposal.

(c) Allowability of costs and acceptability of cost allocation methods shall be determined in accordance with the applicable cost principles in effect on the date of this grant.

(d) The results of each negotiation shall be set forth in a written indirect cost rate agreement executed by both parties. Such agreement shall specify (1) the agreed upon final rate(s), (2) the base(s) to which the rate(s) apply, and (3) the period for which the rate(s) apply. The indirect cost rate agreement shall not change any monetary ceiling, grant obligation, or specific cost allowance or disallowance provided for in this grant.

(e) Pending establishment of final indirect cost rate(s) for any period, the grantee shall be reimbursed either at negotiated provisional rate(s) as provided above or at billing rate(s) acceptable to the grant officer, subject to appropriate adjustment when the final rate(s) for that period are established. To prevent substantial over or under payment, the provisional or billing rate(s) may, at the request of either party, be revised by mutual agreement, either retroactively or prospectively. Any such revision of negotiated provisional rate(s) provided in this standard provision shall be set forth in a modification to this grant.

(f) Any failure by the parties to agree on final rate(s) under this standard provision shall be considered a dispute within the meaning of the standard provision of this grant entitled "Disputes" and shall be disposed of in accordance therewith.

(END OF STANDARD PROVISION)

14. REGULATIONS GOVERNING EMPLOYEES (NOVEMBER 1985)

(The following applies to the grantee's employees who are not citizens of the cooperating country.)

(a) The grantee's employees shall maintain private status and may not rely on local U.S. Government offices or facilities for support while under this grant.

(b) The sale of personal property or automobiles by grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire A.I.D. personnel employed by the Mission except as this may conflict with host government regulations.

(c) Other than work to be performed under this grant for which an employee is assigned by the grantee, no employee of the grantee shall engage directly or indirectly, either in the individual's own name or in the name or through an agency of another person, in any business, profession, or occupation in the foreign countries to which the individual is assigned, nor shall the individual make loans or investments to or in any business, profession or occupation in the foreign countries to which the individual is assigned.

(d) The grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(e) In the event the conduct of any grantee employee is not in accordance with the preceding paragraphs, the grantee's chief of party shall consult with the A.I.D. Mission Director and the employee involved and shall recommend to the grantee a course of action with regard to such employee.

(f) The parties recognize the rights of the U.S. Ambassador to direct the removal from a country of any U.S. citizen or the discharge from this grant of any third country national when, in the discretion of the Ambassador, the interests of the United States so require.

(g) If it is determined, under either (e) or (f) above, that the services of such employee shall be terminated, the grantee shall use its best efforts to cause the return of such employee to the United States, or point of origin, as appropriate.

(END OF STANDARD PROVISION)

21. TITLE TO AND CARE OF PROPERTY (U.S. GOVERNMENT TITLE)  
(NOVEMBER 1985)

(This provision is applicable when title to property is vested in the U.S. Government.)

(a) Property, title to which vests in the Government under this grant, whether furnished by the Government or acquired by the grantee, is subject to this provision and is hereinafter collectively referred to as "Government property." Title to Government property shall not be affected by the incorporation or attachment thereof to any property not owned by the Government, nor shall such Government property, or any part thereof, be or become a fixture or lose its identity as personal property by reason of affixation to any real property.

(b) Use of Government Property: Government property shall, unless otherwise provided herein or approved by the grant officer, be used only for the performance of this grant.

(c) Control, Maintenance and Repair of Government Property:

(1) The grantee shall maintain and administer in accordance with sound business practice a program for the maintenance, repair, protection, and preservation of Government property so as to assure its full availability and usefulness for the performance of this grant. The grantee shall take all reasonable steps to comply with all appropriate directions or instructions which the grant officer may prescribe as reasonably necessary for the protection of the Government property.

(2) The grantee shall submit, for review and written approval of the grant officer, a records system for property control and a program for orderly maintenance of Government property; however, if the grantee's property control and maintenance system has been reviewed and approved by another Federal department or agency pursuant to Attachment N of OMB Circular No. A-110, the grantee shall furnish the grant officer proof of such approval in lieu of another approval submission.

(3) Property Control: The property control system shall include but not be limited to the following:

(i) Identification of each item of Government property acquired or furnished under the grant by a serially controlled identification number and by description of item. Each item must be clearly marked "Property of U.S. Government."

(ii) The price of each item of property acquired or furnished under this grant.

(iii) The location of each item of property acquired or furnished under this grant.

(iv) A record of any usable components which are permanently removed from items of Government property as a result of modification or otherwise.

(v) A record of disposition of each item acquired or furnished under the grant.

(vi) Date of order and receipt of any item acquired or furnished under the grant.

(vii) The official property control records shall be kept in such condition that at any stage of completion of the work under this grant, the status of property acquired or furnished under this grant may be readily ascertained. A report of current status of all items of property acquired or furnished under the grant shall be submitted yearly concurrently with the annual report.

(4) Maintenance Program: The grantee's maintenance program shall be consistent with sound business practice, the terms of the grant, and provide for:

(i) disclosure of need for and the performance of preventive maintenance,

(ii) disclosure and reporting of need for capital type rehabilitation, and

(iii) recording of work accomplished under the program:

(A) Preventive maintenance - Preventive maintenance is maintenance generally performed on a regularly scheduled basis to prevent the occurrence of defects and to detect and correct minor defects before they result in serious consequences.

(B) Records of maintenance - The grantee's maintenance program shall provide for records sufficient to disclose the maintenance actions performed and deficiencies discovered as a result of inspections.

(C) A report of status of maintenance of Government property shall be submitted annually concurrently with the annual report.

(d) Risk of Loss:

(1) The grantee shall not be liable for any loss of or damage to the Government property, or for expenses incidental to such loss or damage except that the grantee shall be responsible for any such loss or damage (including expenses incidental thereto):

(i) Which results from willful misconduct or lack of good faith on the part of any of the grantee's directors or officers, or on the part of any of its managers, superintendents, or other equivalent representatives, who have supervision or direction of all or substantially all of the grantee's business, or all or substantially all of the grantee's operation at any one plant, laboratory, or separate location in which this grant is being performed;

(ii) Which results from a failure on the part of the grantee, due to the willful misconduct or lack of good faith on the part of any of its directors, officers, or other representatives mentioned in (i) above:

(A) to maintain and administer, in accordance with sound business practice, the program for maintenance, repair, protection, and preservation of Government property as required by (i) above, or

(B) to take all reasonable steps to comply with any appropriate written directions of the grant officer under (c) above;

(iii) For which the grantee is otherwise responsible under the express terms designated in the schedule of this grant;

(iv) Which results from a risk expressly required to be insured under some other provision of this grant, but only to the extent of the insurance so required to be procured and maintained, or to the extent of insurance actually procured and maintained, whichever is greater; or

(v) Which results from a risk which is in fact covered by insurance or for which the grantee is otherwise reimbursed, but only to the extent of such insurance or reimbursement;

(vi) Provided, that, if more than one of the above exceptions shall be applicable in any case, the grantee's liability under any one exception shall not be limited by any other exception.

(2) The grantee shall not be reimbursed for, and shall not include as an item of overhead, the cost of insurance, or any provision for a reserve, covering the risk of loss of or damage to the Government property, except to the extent that the Government may have required the grantee to carry such insurance under any other provision of this grant.

(3) Upon the happening of loss or destruction of or damage to the Government property, the grantee shall notify the grant officer thereof, shall take all reasonable steps to protect the Government property from further damage, separate the damaged and undamaged Government property, put all the Government property in the best possible order, and furnish to the grant officer a statement of:

(i) The lost, destroyed, or damaged Government property;

(ii) The time and origin of the loss, destruction, or damage;

(iii) All known interests in commingled property of which the Government property is a part; and

(iv) The insurance, if any, covering any part of or interest in such commingled property.

(4) The grantee shall make repairs and renovations of the damaged Government property or take such other action as the grant officer directs.

(5) In the event the grantee is indemnified, reimbursed, or otherwise compensated for any loss or destruction of or damage to the Government property, it shall use the proceeds to repair, renovate or replace the Government property involved, or shall credit such proceeds against the cost of the work covered by the grant, or shall otherwise reimburse the Government, as directed by the grant officer. The grantee shall do nothing to prejudice the Government's right to recover against third parties for any such loss, destruction, or damage, and upon the request of the grant officer, shall, at the Government's expense, furnish to the Government all reasonable assistance and cooperation (including assistance in the prosecution of suits and the execution of instruments or assignments in favor of the Government) in obtaining recovery.

(e) Access: The Government, and any persons designated by it, shall at all reasonable times have access to the premises wherein any Government property is located, for the purpose of inspecting the Government property.

(f) Final Accounting and Disposition of Government Property: Upon completion of this grant, or at such earlier dates as may be fixed by the grant officer, the grantee shall submit, in a form acceptable to the grant officer, inventory schedules covering all items of Government property not consumed in the performance of this grant or not theretofore delivered to the Government, and shall prepare, deliver, or make such other disposition of the Government property as may be directed or authorized by the grant officer.

(g) Communications: All communications issued pursuant to this provision shall be in writing.

(END OF STANDARD PROVISION)

23. COST SHARING (MATCHING) (NOVEMBER 1985)

(This provision is applicable when the recipient is required to cost share or provide a matching share.)

(a) For each year (or funding period) under this grant, the grantee agrees to expend from non-Federal funds an amount at least equal to the percentage of the total expenditures under this grant specified in the schedule of the grant. The schedule of this grant may also contain restrictions on the application of cost sharing (matching) funds. The schedule takes precedence over the terms of this provision.

(b) Eligibility of non-Federal funds applied to satisfy cost sharing (matching) requirements under this grant are set forth below:

(1) Charges incurred by the grantee as project costs. Not all charges require cash outlays by the grantee during the project period; examples are depreciation and use charges for buildings and equipment.

(2) Project costs financed with cash contributed or donated to the grantee by other non-Federal public agencies (may include public international organizations or foreign governments) and institutions, and private organizations and individuals, and

(3) Project costs represented by services and real and personal property, or use thereof, donated by other non-Federal public agencies and institutions, and private organizations and individuals.

(c) All contributions, both cash and in-kind, shall be accepted as part of the grantee's cost sharing (matching) when such contributions meet all of the following criteria:

(1) Are verifiable from the grantee's records;

(2) Are not included as contributions for any other Federally assisted program;

(3) Are necessary and reasonable for proper and efficient accomplishment of project objectives;

(4) Are types of charges that would be allowable under the applicable Federal cost principles;

(5) Are not paid by the Federal Government under another grant or agreement (unless the grant or agreement is authorized by Federal law to be used for cost sharing or matching);

(6) Are provided for in the approved budget when required by AID; and

(7) Conform to other provisions of this paragraph.

(d) Values for grantee in-kind contributions will be established in accordance with the applicable Federal cost principles.

(e) Specific procedures for the grantee in establishing the value of in-kind contributions from non-Federal third parties are set forth below:

(1) Valuation of volunteer services: Volunteer services may be furnished by professional and technical personnel, consultants, and other skilled and unskilled labor. Volunteer services may be counted as cost sharing or matching if the service is an integral and necessary part of an approved program:

(i) Rates for volunteer services: Rates for volunteers should be consistent with those paid for similar work in the grantee's organization. In those instances in which the required skills are not found in the grantee's organization, rates should be consistent with those paid for similar work in the labor market in which the grantee competes for the kind of services involved.

(ii) Volunteers employed by other organizations: When an employer other than the grantee furnishes the services of an employee, these services shall be valued at the employee's regular rate of pay (exclusive of fringe benefits and overhead costs) provided these services are of the same skill for which the employee is normally paid.

(2) Valuation of donated expendable personal property: Donated expendable personal property includes such items as expendable equipment, office supplies, laboratory supplies or workshop and classroom supplies. Value assessed to expendable personal property included in the cost share (match) should be reasonable and should not exceed the market value of the property at the time of the donation.

(3) Valuation of donated nonexpendable personal property, buildings, and land or use thereof:

(i) The method used for charging cost sharing or matching for donated nonexpendable personal property, buildings and land may differ according to the purpose of the grant as follows:

(A) If the purpose of the grant is to assist the recipient in the acquisition of equipment, buildings or land, the total value of the donated property may be claimed as cost sharing or matching.

(B) If the purpose of the grant is to support activities that require the use of equipment, buildings, or land; depreciation or use charges for equipment and buildings may be made. The full value of equipment or other capital assets and fair rental charges for land may be allowed provided that AID has approved the charges.

(ii) The value of donated property will be determined in accordance with the usual accounting policies of the grantee with the following qualifications:

(A) Land and buildings: The value of donated land and buildings may not exceed its fair market value, at the time of donation to the grantee as established by an independent appraiser; and certified by a responsible official of the grantee.

(B) Nonexpendable personal property: The value of donated nonexpendable personal property shall not exceed the fair market value of equipment and property of the same age and condition at the time of donation.

(C) Use of space: The value of donated space shall not exceed the fair rental value of comparable space as established by an independent appraisal of comparable space and facilities in a privately owned building in the same locality.

(D) Borrowed equipment: The value of borrowed equipment shall not exceed its fair rental value.

(f) The following requirements pertain to the grantee's supporting records for in-kind contributions from non-Federal third parties:

(1) Volunteer services must be documented and, to the extent feasible, supported by the same methods used by the grantee for its employees.

(2) The basis for determining the valuation for personal services, material, equipment, buildings and land must be documented.

(g) Individual expenditures do not have to be shared or matched provided that the total expenditures incurred during the year (or funding period) are shared or matched in accordance with the agreed upon percentage set forth in the schedule of the grant.

(h) If at the end of any year (or funding period) hereunder, the grantee has expended an amount of non-Federal funds less than the agreed upon percentage of total expenditures, the difference may be applied to reduce the amount of AID funding the following year (or funding period), or, if this grant has expired or been terminated, the difference shall be refunded to A.I.D.

(i) Failure to meet the cost sharing (matching) requirements set forth in paragraph (a) above shall be considered sufficient reasons for termination of this grant for cause in accordance with paragraph (a) entitled "For Cause" of the standard provision of this grant entitled "Termination and Suspension".

(j) The restrictions on the use of A.I.D. grant funds set forth in the standard provisions of this grant are applicable to expenditures incurred with A.I.D. funds provided under this grant. The grantee will account for the A.I.D. funds in accordance with the standard provision of this grant entitled "Accounting, Audit, and Records".

(k) Notwithstanding paragraph (b) of the standard provision of this grant entitled "Refunds", the parties agree that in the event of any disallowance of expenditures from A.I.D. grant funds provided hereunder, the grantee may substitute expenditures made with funds provided from non-Federal sources provided they are otherwise eligible in accordance with paragraph (b) of this provision.

(END OF STANDARD PROVISION)

25. CONVERSION OF UNITED STATES DOLLARS TO LOCAL CURRENCY  
(NOVEMBER 1985)

(This provision is applicable when activities under the grant will take place outside of the United States.)

Upon arrival in the Cooperating Country, and from time to time as appropriate, the grantee's chief of party shall consult with the Mission Director who shall provide, in writing, the procedure the grantee and its employees shall follow in the conversion of United States dollars to local currency. This may include, but is not limited to, the conversion of currency through the cognizant United States Disbursing Officer or Mission Controller, as appropriate.

(END OF STANDARD PROVISION)

26. CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE  
CERTAIN FEDERAL TRANSACTIONS (JAN. 1990)

(a) Definitions. As used in this clause,

"Agency," as defined in 5 U.S.C. 552(f), includes Federal executive departments and agencies as well as independent regulatory commissions and Government corporations, as defined in 31 U.S.C. 9101(1).

"Covered Federal action" means any of the following Federal actions:

- (1) The awarding of any Federal contract;
- (2) The making of any Federal grant;
- (3) The making of any Federal loan;
- (4) The entering into of any cooperative agreement; and
- (5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

Covered Federal action does not include receiving from an agency a commitment providing for the United States to insure or guarantee a loan.

"Indian tribe" and "tribal organization" have the meaning provided in section 4 of the Indian Self-determination and Education Assistance Act (25 U.S.C. 450B). Alaskan Natives are included under the definitions of Indian tribes in that Act.

"Influencing or attempting to influence" means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government" means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency" includes the following individuals who are employed by an agency:

- (1) An individual who is appointed to a position in the Government under title 5, U.S. Code, including a position under a temporary appointment;
- (2) A member of the uniformed services as defined in section 101(3), title 37, U.S. Code;

(3) A special Government employee as defined in section 202, title 18, U.S. code; and

(4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, U.S. Code, appendix 2.

"Person" means an individual, cooperation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation" means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment" means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient" includes all contractors and subcontractors at any tier in connection with a Federal contract. The term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed" means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State" means a state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibitions.

(1) Section 1352 of title 31, U.S. code, provides in part that no appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of

Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, renewal, amendment, or modification of any Federal contract, grant loan, or cooperative agreement.

(2) The prohibition does not apply as follows:

(i) Agency and legislative liaison by Own Employees.

(A) The prohibition on the use of appropriated funds, in paragraph (1) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.

(B) For purposes of paragraph (A) of this section, providing any information specifically requested by an agency or Congress is allowable at any time.

(C) For purposes of paragraph (A) of this section, the following agency and legislative liaison activities are allowable at any time only where they are not related to a specific solicitation for any covered Federal action:

(i) Discussing with an agency (including individual demonstrations) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and

(ii) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(D) For purposes of paragraph (A) of this section, the following agency and legislative liaison activities are allowable only where they are prior to formal solicitation of any covered Federal action:

(i) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(ii) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(iii) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507 and other subsequent amendments.

(E) Only those activities expressly authorized by paragraph (i) of this section are allowable under paragraph (i).

(ii) Professional and technical services by Own Employees.

(A) The prohibition on the use of appropriated funds, in paragraph (1) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract or an extension, continuation, renewal, amendment, or modification of a Federal contract if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal contract or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal contract.

(B) For purposes of paragraph (A) of this section, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal action include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.

(D) Only those services expressly authorized by paragraph (ii) of this section are allowable under paragraph (ii).

(iii) Reporting for Own Employees.

No reporting is requirements with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.

(iv) Professional and technical services by Other than Own Employees.

(A) The prohibition on the use of appropriated funds, in paragraph (1) of this section, does not apply in the case of any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action, if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that covered Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that covered Federal action.

(B) For purposes of paragraph (A) of this section, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal action include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.

(D) Persons other than officer or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(E) Only those services expressly authorized by paragraph (iv) of this section are allowable under paragraph (iv).

(c) Disclosure.

(1) Each person who requests or receives from an agency a covered Federal action shall file with that agency a certification that to person has not made, and will not make, any payment prohibited by paragraph (b) of this provision.

(2) Each person who requests or receives from an agency a covered Federal action shall file with that agency a disclosure form, disclosure form, Standard Form-LLL, "Disclosure of Lobbying Activities," if such person has made or has agreed to make any payment using non-appropriated funds (to include profits from any covered Federal action), which would be prohibited under paragraph (b) of this provision if paid for with appropriated funds.

(3) Each person shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under paragraph (2) of this section. An event that materially affects the accuracy of the information reported includes:

(i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or

(iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

(4) Any person who requests or receives from a person referred to in paragraph (1) of this section a subcontract exceeding \$100,000 at any tier under a Federal contract shall file a certification, and a disclosure form, if required, to the next tier above.

(5) All disclosure forms, but not certifications, shall be forwarded from tier to tier until received by the person referred to in paragraph (1) of this section. That person shall forward all disclosure forms to the agency.

(d) Agreement;

In accepting any covered Federal action resulting from this solicitation, the person submitting the offer agrees to make any payment prohibited by this clause.

(e) Penalties.

(1) Any person who makes an expenditure prohibited under paragraph (b) of this clause shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure.

(2) Any person who fails to file or amend the disclosure form to be filed or amended if required by this clause, shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure.

(3) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.

(f) Cost allowability.

Nothing in this clause is to be interpreted to make allowable or reasonable any costs which would otherwise be unallowable or unreasonable in accordance with Part 31 of the Federal Acquisition Regulation. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any of the provision of part 31 of the Federal Acquisition Regulation.

AID 1350 1 (3 87)	AGENCY FOR INTERNATIONAL DEVELOPMENT	1 Cooperating Country Afghanistan	Page 1 of Pages
		2 PIU 1 No a. 306-0211-3-00052 b. 398-0249-3-00001	3 <input checked="" type="checkbox"/> Original or Amendment No _____
		4 Project/Activity No and Title a. PVO Support Project (306-0211)* b. Project Development & Support** The Asia Foundation Cooperative Agreement for Democratic Pluralism Activities	
		5 Approved by _____ Date _____	

DISTRIBUTION	5 Appropriation Symbol 72-1101021	6 Budget Plan Code a. QDHA-90-27306-KG-13 b. QDSA-90-27306-KG-62
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	8 Project Assistance Completion Date (Mo., Day, Yr.)
	9 Authorized Agent USAID O/CC	10 The PIU/T is in full conformance with PRO/AG No Date
	11a Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 13) <input type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> Other	11b Contract/Grant/Cooperative Agreement/ PASA/RSSA Reference Number (if this is an Amendment)

12 Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. <u>2</u> )					
Maximum AID Financing Available	A. Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
		a. -0-	a. \$438,000	a. -0-	a. \$438,000
	B. U.S.-Owned Local Currency	b. -0-	b. \$121,070	b. -0-	b. \$121,070

13. Mission References State 213039 Action Memo 7/18/90	14A. Instructions to Authorized Agent The Contracts Office is requested to negotiate a Cooperative Agreement with The Asia Foundation (TAF) for administering grants to support democratic pluralism among Afghans as discussed in the Scope of Work and the Action Memorandum, attached. Additional sub-grantees will be added to the Cooperative Agreement as approved by the A.I.D. Representative.
	14B. Address of Voucher Paying Office

15 Clearances—Include typed name, office symbol, telephone number and date for all clearances			
A. The Project Officer certifies that the specifications in the statement of work or program description are technically adequate Diana Swain: PDIS	Phone No 2318	B. The statement of work or program description has been approved by the Project Officer and approved by the PIU/T Curt Wolters: PROG	Date 7/19/90
C. Phyllis Oakley: SPO	Date 7/19/90	D. Funds for the services requested are available Horace Hunter: OFM	Date 7/19/90
E.	Date		

16. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to	17 For the Agency for International Development
Signature _____ Date _____	Signature <u>H.B. Cushing</u> Date <u>19 July 90</u>
Title _____	Title <u>Acting A.I.D. Representative</u>

\*See HB 3, Sup A, App C, Art B, for preparation instructions. Note: The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action.

\* \$438,000 obligated by the Mission  
\*\* \$121,070 obligated by AID/Washington

65

18 Statement of work or program description for the project is described in Attachment No 1  
 19 Special Provisions

- A  Language Requirements (specify N/A  
 (If marked, testing must be accomplished by AID to ensure desired level of proficiency.)
- B.  Access to classified information  will  will not be required by technical specifications. (Indicate level) \_\_\_\_\_
- C.  Duty periods and duration of technical specialist services at post(s) (month) N/A
- D.  Dependents  will  will not be permitted to accompany technical specialist. N/A
- E.  Geographic code applicable to procurement under this PIO/T is  000  800  835  841  Other (specify) \_\_\_\_\_  
 (If other than authorized in HB 1, Sup B, Chap B, Para 5A1d, attach w/letter(s).) U.S., Pakistan and Afghanistan, and;  
 when agreed to in writing by the A.I.D. Representative, Geographic Code 935
- F.  Salary approval(s) to exceed FS-1 salary ceiling are  attached  in process  N/A.
- G.  Cooperating country acceptance of this project (applicable to AID/W projects only)  
 has been obtained  is in process  is not applicable to services required by PIO/T.
- H.  Justification for use of external resources for consulting services is  attached  N/A.
- I.  Clearance for procurement of ADP equipment, software, and services is  attached  in process  N/A.
- J.  OMB approval of any report to be completed by ten or more members of the general public under the statement of work is  
 attached  in process  N/A.
- K.  Participant training  is  is not being funded as part of this PIO/T.
- L.  Requirement (contracts only) is recommended for  small business set-aside  SBA 8(a) Program  neither.
- M.  Other (specify):

20. Provisions for Logistic Support	IN KIND SUPPLIED BY		FROM LOCAL CURRENCY SUPPLIED BY		TO BE PROVIDED OR ARRANGED BY SUPPLIER	N/A
	AID	COOPERATING COUNTRY	AID	COOPERATING COUNTRY		
A. Specific items (Mark "X" in applicable column as right. If entry needs qualification, insert acronym and explain below in C. "Comments")						X
(1) Office Space						X
(2) Office Equipment						X
(3) Housing and Utilities						X
(4) Furniture						X
(5) Household Appliances (Stoves, Refrig., etc.)						X
(6) Transportation in Cooperating Country						X
(7) Transportation To and From Country						X
(8) Interpreter Services Secretariat						X
(9) Medical Facilities (Health Room)						X
(10) Vehicles (Official)						X
(11) Travel Arrangements (Tickets)						
(12) Nightwatchman for Living Quarters						
(13)						
(14)						
(15)						

OTHER SPECIFY:

66

20. Provisions for Logistic Support (Continued)

B. Additional Facilities Available From Other Sources

Diplomatic pouch

PX

Commissary N/A

Other (specify, e.g., duty free, entry, tax exemption)

C. Comments

21. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationship and Responsibilities General policy guidance of the A.I.D. Representative for Afghanistan Affairs, or his designee.

B. Cooperating Country Liaison Officers N/A

C. AID Liaison Officers Project Development Officer  
O/AID/R&P

22. Background Information (Additional information useful to authorized agent)

This Cooperative Agreement is being jointly financed by AID/Washington (using PD & S funds) and the Mission (through the PVO Support Project).

23. Summary of attachments that accompany the PIO/T (check applicable boxes)

A. Detailed budget estimates in support of increased funding (Block 12) Attachment No. 2

B. Evaluation criteria for competitive procurement (Block 14A)

C. Justification for procurement by other than full and open competition or noncompetitive assistance

D. Statement of work or program description (Block 18) Attachment No. 1

E. Waivers, justifications, deficiencies, certifications (Block 19) (specify number) Attachment No. 3

67

# CONTRACT INFORMATION MANAGEMENT SYSTEM (CIMS)

## DIRECT ACTION DATA FORM

(For awards to U.S. Individuals and Third Country Nationals (not FSNs) with a TEC less than or equal to \$25,000, only the shaded items on pages 1-5 of the form need to be completed.)

### SECTION 1: GENERAL AWARD INFORMATION (All Actions)

1a. Basic Award Number 306-0211-A-00-0967-00	1b. Basic Mod Number	1c. Order Number	1d. Order Mod Number
---	----------------------	------------------	----------------------

AWARD NUMBER COMPONENTS [Items 2-6] NOTE: For modifications and orders — these items refer to the Basic Award.

2. Country/Office (Award Prefix) USAID/Islamabad	3. Project Number 0211
--	---------------------------

4. Procurement Instrument: Report one of the following codes to identify the type of action. For a work order or a modification to a contract or work order, indicate the category of the basic contract.

<input checked="" type="checkbox"/> A - Cooperative Agreement	<input type="checkbox"/> N - Other Federal Schedule Order
<input type="checkbox"/> B - Basic Ordering Agreement (BOA)	<input type="checkbox"/> O - Purchase Order (PO)
<input type="checkbox"/> C - Contract (Other than BOA, DQC, IQC, PO, RC, PSC)	<input type="checkbox"/> P - Participating Agency Service Agreement (PASA)
<input type="checkbox"/> D - Definite Quantity Contract (DQC)	<input type="checkbox"/> Q - Requirements Contract (RC)
<input type="checkbox"/> E - Blanket Purchase Agreement (BPA)	<input type="checkbox"/> R - Resource Support Service Agreement (RSSA)
<input type="checkbox"/> G - Grant	<input type="checkbox"/> S - Personal Services Contract (PSC)
<input type="checkbox"/> I - Indefinite Quantity Contract (IQC)	<input type="checkbox"/> X - Ribbon PASA
<input type="checkbox"/> M - GSA Schedule Order	<input type="checkbox"/> Ribbon Contract (Buy-In)

5. Participating Agency (PASA/RSSA only)	6. FY of Award 1990
--	------------------------

7. Award Description  
The Recipient shall assist to carry out Construction Related Training Activities for Afghanistan.

**ENTERED**  
SEP 12 1990  
CIMS Section

8. Principal Place of Performance a. A.I.D. Country Code or Name 391 & 306	U.S. ONLY ▶	b. City	c. State	d. Zip Code
--	-------------	---------	----------	-------------

9. Benefiting Country (A.I.D. Country Code or Name)  
391 & 306

10. Project Officer Cntry Code/Org. Symbol or A.I.D./W Org. Symbol 0/AID/REP, USAID/Islamabad	Last Name Palmer	First D.	MI
---	---------------------	----------	----

11. Requirement Received by Procuring Office (MM/DD/YY) 06/26/90	12. Signature Date of Award (MM/DD/YY) 07/30/90	13. Effective Date of Award (MM/DD/YY) 07/25/90	14. Estimated Completion Date (MM/DD/YY) 08/01/91
---	--	--	--

**BASIC AWARDS ONLY**

15a. SIC Code	15b. Is This Vendor a Small Business for the SIC of Award? <input type="checkbox"/> Yes <input type="checkbox"/> No	16. Product/Service Code
---------------	--	--------------------------

**BASIC AWARDS AND ORDERS ONLY**

17. Procurement Type

<input checked="" type="checkbox"/> A - Technical Services To A.I.D.	<input type="checkbox"/> F - Participant Training
<input type="checkbox"/> B - Technical Services to Host Country	<input type="checkbox"/> G - Procurement Service Agent (PSA)
<input type="checkbox"/> C - Commodities	<input type="checkbox"/> H - Research
<input type="checkbox"/> D - Training Services to A.I.D.	<input type="checkbox"/> I - Architect and Engineering Services
<input type="checkbox"/> E - Training Services to Host Country	<input checked="" type="checkbox"/> J - Construction

18. Negotiator (Last, First, MI) Khan, M. Yaqub	Country Code & Org. Symbol or A.I.D./W Org. Symbol USAID/Islamabad	Signature <i>[Signature]</i>
--	---	---------------------------------

19. Contract Officer (Last, First, MI) May, John A.	Country Code & Org. Symbol or A.I.D./W Org. Symbol USAID/Islamabad	Signature <i>[Signature]</i>
--	---	---------------------------------

68

**SECTION 2: DATA REQUIRED FOR SPECIFIC TYPES OF ACTIONS**

**GRANTS AND COOPERATIVE AGREEMENTS ONLY**

20. Grant/Agreement Type

- |   |   |
|---|---|
| <input type="checkbox"/> A - Disaster Assistance                          | <input checked="" type="checkbox"/> C - Other Specific Support Programs |
| <input type="checkbox"/> B - American Schools and Hospitals Abroad (ASHA) | <input type="checkbox"/> D - Title XII Authority                        |
|   | <input type="checkbox"/> E - Other than A, B, C, or D                   |

21. Total Amount of Non-Federal Funds pledged to this Grant/Agreement

\$ 461,642

**GSA & OTHER FEDERAL SCHEDULE ORDERS**

22. Schedule Contract Number

23. Name of Federal Agency Awarding Schedule

**IQC BASIC ONLY**

24. IQC Service Area

25. Minimum

26. Maximum (life of contract)

**ORDERS AND CONTRACTS ONLY**

27. Advisory & Assistance Services?

Yes

No

**BASIC CONTRACTS ONLY**

28a. Contract Type

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> A - Cost-Plus (Fixed Fee) | <input type="checkbox"/> F - Cost (No Fee)               | <input type="checkbox"/> K - Fixed Price with Economic Price Adjustment |
| <input type="checkbox"/> B - Firm Fixed Price      | <input type="checkbox"/> G - Cost-Plus (Award Fee)       |   |
| <input type="checkbox"/> C - Time and Materials    | <input type="checkbox"/> H - Cost-Plus (Incentive Fee)   |   |
| <input type="checkbox"/> D - Labor Hour            | <input type="checkbox"/> I - Fixed-Price Incentive       |   |
| <input type="checkbox"/> E - Cost Sharing          | <input type="checkbox"/> J - Fixed-Price Redetermination |   |

28b. If Cost-type Contract, is this a level of effort (term) contract?

Yes  No

29a. Is this a Letter Contract?

Yes

No

29b. Is this a Definitization of a Letter Contract?

Yes

No

30. Preference Program

- |  |   |
|--|---|
| <input type="checkbox"/> A - No Preference Program or Not Listed | <input type="checkbox"/> E - Tie-bid Preference                 |
| <input type="checkbox"/> B - 8(a)                                | <input type="checkbox"/> F - Labor Surplus Area (LSA) Set-aside |
| <input type="checkbox"/> C - Small Business (SB) Set-aside       | <input type="checkbox"/> G - Combined LSA/SB Set-aside          |
| <input type="checkbox"/> D - Sheltered Workshop                  |   |

31. Subject To Labor Statute

- A - Walsh-Healey Act, Manufacturer
- B - Walsh-Healey Act, Regular Dealer
- C - Service Contract Act
- D - Davis-Bacon Act
- E - Not Subject to the Above ( include PSCs)

32. Country of Manufacture/Origin of Services (A.I.D. Country Code or Name)

33. Subcontract Plan Required?

Yes

No

34. Date Solicitation Notice Published in CBD (MM/DD/YY)

35. Date Solicitation issued (MM/DD/YY)

69

**BASIC CONTRACTS AND NON-COMPETITIVE CONTRACT MODIFICATIONS ONLY**

**36. CICA Applicability**

- |   |   |
|---|---|
| <input type="checkbox"/> A - CICA Applicable (Include 8(a)) | <input type="checkbox"/> C - Subject to a Statute other than CICA (use for FAA) |
| <input type="checkbox"/> B - Small Purchase Procedure       | <input type="checkbox"/> D - Pre-CICA   |

**37. Extent Competed**

- |   |   |
|---|---|
| <input type="checkbox"/> A - Competed Action (Include Small Business set-aside)       | <input type="checkbox"/> C - Follow-on to Competed Action |
| <input type="checkbox"/> B - Not Available for Competition (use for sole-source 8(a)) | <input type="checkbox"/> D - Not Competed                 |

**38. Solicitation Procedure**

- |   |  |
|---|--|
| <input type="checkbox"/> A - Full and Open Competition (Sealed Bid)           | <input type="checkbox"/> G - Alternate Source (Reduced Cost)                                     |
| <input type="checkbox"/> B - Full and Open Competition (Competitive Proposal) | <input type="checkbox"/> H - Alternate Source (Mobilization)                                     |
| <input type="checkbox"/> C - Full and Open Competition (Combination)          | <input type="checkbox"/> J - Alternate Source (Engineering/R&D)                                  |
| <input type="checkbox"/> D - Architect - Engineer                             | <input type="checkbox"/> K - Set Aside ( Include competitive 8(a))                               |
| <input type="checkbox"/> E - Basic Research                                   | <input type="checkbox"/> L - Other Than Full & Open Competition (Include sole-source 8(a), FAA.) |
| <input type="checkbox"/> F - Multiple Award Schedule                          |  |

**39. Authority — Other than Full & Open Competition (Complete Only If No. 38 = L)**

- |   |  |
|---|--|
| <input type="checkbox"/> A - Unique Source                          | <input type="checkbox"/> J - Mobilization  |
| <input type="checkbox"/> B - Follow-on Contract                     | <input type="checkbox"/> K - Essential R&D Capability                            |
| <input type="checkbox"/> C - Unsolicited Research Proposal          | <input type="checkbox"/> L - International Agreement                             |
| <input type="checkbox"/> D - Patent/Data Rights                     | <input type="checkbox"/> M - Authorized by Statute (inc. sole-source 8(a), FAA.) |
| <input type="checkbox"/> E - Utilities                              | <input type="checkbox"/> N - Authorized Resale                                   |
| <input type="checkbox"/> F - Standardization                        | <input type="checkbox"/> P - National Security                                   |
| <input type="checkbox"/> G - Only One Source - Other than A-F above | <input type="checkbox"/> Q - Public Interest                                     |
| <input type="checkbox"/> H - Urgency                                |  |

**40. A.I.D. Special Authorities — Other than Full & Open Competition (Complete only If No.39 = M)**

- |  |   |
|--|---|
| <input type="checkbox"/> A - Impairment of Foreign Aid Programs: All Personal Services Contracts (PSCs). (See AIDAR 706.302-70)                            | <input type="checkbox"/> E - Impairment of Foreign Aid Programs: Title XII Selection Procedure-Collaborative Assistance. (See AIDAR 706.302-70) |
| <input type="checkbox"/> B - Impairment of Foreign Aid Programs: Award of \$100,000 or less by an overseas contracting activity. (See AIDAR 706.302-70)    | <input type="checkbox"/> F - Disaster Relief (See Handbook 8, Chapter 2)  |
| <input type="checkbox"/> C - Impairment of Foreign Aid Programs: Written Determination by Assistant Administrator or Administrator. (see AIDAR 706.302-70) | <input type="checkbox"/> G - None of the Above Special Authorities  |
| <input type="checkbox"/> D - Impairment of Foreign Aid Programs: Title XII Selection Procedure-General. (See AIDAR 706.302-70)                             |   |

**41. Synopsis of Procurement**

- |   |
|---|
| <input type="checkbox"/> A - Synopsized prior to award                              |
| <input type="checkbox"/> B - Not synopsized due to urgency (use only If No. 39 = H) |
| <input type="checkbox"/> C - Not synopsized for other reasons                       |

**42. No. of Offers**

**43. No. of Offers of Non-U.S. Item(s)**

**MODIFICATIONS ONLY**

**44. Modification purpose (Mark all that apply)**

- |  |   |
|--|---|
| <input type="checkbox"/> Incremental Funding       | <input type="checkbox"/> Exercise of Option                     |
| <input type="checkbox"/> Administrative Change     | <input type="checkbox"/> Transfer Administrative Responsibility |
| <input type="checkbox"/> Extension of Term         | <input type="checkbox"/> Novation Agreement                     |
| <input type="checkbox"/> New/Revised Scope of Work | <input type="checkbox"/> Termination for Convenience            |
| <input type="checkbox"/> Increase in TEC           | <input type="checkbox"/> Termination for Default                |
| <input type="checkbox"/> Decrease in TEC           | <input type="checkbox"/> Other: _____                           |
| <input type="checkbox"/> Overhead Rate Adjustment  | _____   |

**SECTION 3: VENDOR INFORMATION (All Actions)**

45. Prime Vendor: (Full Name and Address)

International Rescue Committee  
41-F, Sahibzada Abdul Qayum Road  
University Town, Peshawar

46. DUNS or CEC Number

47. Taxpayer ID No. (TIN)

**BASIC AWARDS AND NOVATIONS ONLY**

48. Economic Sector

A - Private  
 B - International Public Sector

C - Federal Government  
 D - State/Local Government

49. Business Organization Type

A - Corporation  
 B - Individual  
 C - University or College  
 D - Historically Black College or University  
 E - Educational Organization other than University or College  
 F - International Center  
 G - Research Organization other than International Center

H - Voluntary Organization  
 I - Foundation  
 J - Hospital  
 K - Partnership  
 L - Proprietorship  
 M - Sheltered Workshop  
 Z - Other

50. For Profit?

Yes

No

51. U.S. Nationality? (If U.S. Nationality, then answer items 52-53)

Yes

No

52. Ownership Type (Complete only if Item No. 51 = Yes)

A - Asian-Indian American  
 B - Asian-Pacific American  
 C - Black American  
 D - Hispanic American

E - Native American  
 F - Other Minority  
 Z - Non-Minority

53. Woman/Woman-Owned?  
(Complete only if Item No. 51 = Yes)

Yes

No

54. If the Vendor is an Individual who is not a U.S. Citizen, is he/she a U.S. Resident Alien (Green Card Holder)?

Yes

No

55. If U.S. University, Host Country Counterpart Institution:

56a. Common Parent Organization (tax purposes)

Yes

No

c. DUNS or CEC Number

b. Parent Vendor (Full Name)

d. Taxpayer ID No. (TIN)

57a. Joint Venture?

Yes

No

b. Participant Vendor (Full Name)

c. DUNS or CEC No.

d. Taxpayer ID No. (TIN)

71

**SECTION 4: FINANCIAL INFORMATION (All Actions)**

**58. Total Estimated Cost (TEC)**

a. Previous TEC

\$ 461,642

b. (Increase/Decrease) This Action

\$ -0-

c. Revised TEC

\$ 461,642

**OBLIGATION DATA**

59.

a. Budget Plan Code

b. Project Number

c. Amount Obligated/Deobligated  
(This Action)

d. Funds Type\*

QDHA-90-27306-KG13

306-0211

\$ 400,000

USD

\$

\$

\$

\$

\$

\$

\$

\$

\$

\$

e. TOTAL →

\$ 400,000

\* Funds Type Codes

USD: U.S. Dollars

USL: U.S. Owned Local Currency

**60. Paying Office: Identify the Office responsible for paying vendor invoices.**

For Mission Payments, enter: 0/Financial Management  
USAID/ (country) USAID/ Islamabad

For A.I.D./W Payments, check either:

PFM/FM/A/OE     PFM/FM/CMPD/DCB

**61a. Incrementally Funded?**

YES     NO

**61b. If Incrementally Funded:**

Date Funded through: (MMDDYY)  
08/01/91

72