

PDFBS 780

CONTRACT/AGREEMENT DATA SHEET	B -25375	1. M/SER/AAM/A/SUP Action Monitor DLF	2. Date PIO/T Received in M/SER/AAM/A/SUP 84179 6/9/89
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COMPLETE EACH BLOCK FOR BOTH NEW ASSISTANCE/ACQUISITION AND MODIFICATION ACTIONS

1. Contract/Agreement/Number **AFR-0474-G-SS-9045-00**

4. Contractor/Recipient Name **The Johns Hopkins University Institute of International Programs**

5. Contractor Acronym
None

6. Project Title
HIV/AIDS Prevention in Africa

7. Project Number
698-0474

8. Project Officer's Name
W. Lyerly

9. Bureau or USAID Symbol
AFR/TR/HPN

10. PIO/T Number
698-0474-3-9611707

21. Budget Plan Code **GDGA-89-31698-KG12**
APP. 72-1191021.2 **ALL. 942-61-698-00-69-91**

11. TYPE OF ACTION

A

01/0012

A. New Acquisition/Assistance
B. Amendment/Modification

1. New/Revised Scope
2. Funded Extension
3. No Cost Extension
4. Transfer of action from AID/W to Mission/Mission to AID/W
5. Incremental Funding
6. Overhead Rate Adjustment
7. Contract Closeout
8. Other

N/A HB13

22. Country or Region of Performance
Malawi

23. A. This Action Increases or Decreases TEC by
 \$ **100,000**

B. Total Estimated Cost of Contractual Document
\$ **100,000**

24. Amount of Non-Federal Funds Pledged to the Project
- 0 -

12. Amount of this PIO/T
OBL U.S. \$ **50,000**

25. Effective Date of this Action
6,30,89

13. Amount Obligated Subobligated Deobligated by this Contract or Amendment
U.S. \$ **50,000**

26. Estimated Completion/Expiration Date
06,30,91

14. Cumulative Obligation (Life of Contract)
U.S. \$ **50,000**

27. Contractor DUNS Number
12-058-2077

15. This Action Funded Through
06,30,90

28. Consultant Type Award
 YES NO

16. Date Contractual Documents Signed by AID Official
06,30,89

29. Number of Months (PASA/RSSA only)

17. Incrementally Funded Contracts
 YES NO

30. Number of Persons (PASA/RSSA only)

18. Host Country/Counterpart Institution (University Contracts)
Queen Elizabeth Central Hospital

31. CONTRACT TYPE
D

A. Fixed Price (specify: FFP FPRD FPEPA FPI)

19. Campus Coordinator (University Contracts)
A. Saah

B. Cost Reimbursement (specify: CR CPFF CS CPAF CPIF)

20. ADVANCE
C

A. No Advance
B. Advance Non-FRLC
C. Advance FRLC

C. IOC and Requirements Contracts
D. Grant/CA/PASA/RSSA
E. Contracts with Individuals

32. Negotiator's Typed Name
D. Lake

33. Negotiator's Signature
[Signature]

34. Date Signed
06,28,89

35. Contract/Grant Officer's Organization Symbol
OS/AFR

36. Contract/Grant Officer's Signature
[Signature]

37. Date Signed
6,30,89

38. SUBJECT TO STATUTORY REQUIREMENT

E

- A. Walsh-Healey Act, Manufacturer*
- B. Walsh-Healey Act, Regular Dealer*
- C. Service Contract Act
(U.S. ONLY - Guards, Maintenance, Laborers)
- D. Davis-Bacon Act (Construction)
- E. Not subject to Walsh-Bacon Act
(Most AID Contracts)

*Equipment, Supplies, Materials, and Commodities

39. Country of Manufacture

N/A

US

40. CURRENCY INDICATOR

A

- A. U.S. Dollar
- B. Local Currency
- C. Combination
- D. Unfunded

41. SUBCONTRACTS

Is there a provision for a subcontract? (Contracts only)

YES NO

N/A

42. TYPE OF SERVICE

B

- A. Training of Participants
- B. Technical Assistance to Host Country
(Program, Project related except A&E Services)
- C. A&E Services
- D. Construction
- E. Research
- F. Technical Services to AID
(other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

43. CONTRACT/AGREEMENT SOURCE

A

- A. U.S. Contractor/Grantee
- B. Non-U.S. Contractor/Grantee
- C. Combination of A & B

44. TYPE OF AMERICAN OWNERSHIP

(U.S. Persons or Firms Only)

G

Minority

- A. Asian/Pacific Islander
- B. Black American
- C. American Aleuts or Eskimos
- D. American Indian
- E. Hispanic

G. Non-Minority

45. METHOD OF SOLICITATION

B

- A. Sealed Bid
- B. Competitive Proposal
- C. Combination/Competition
- D. Other Competition
- E. Noncompetitive

46. LABOR SURPLUS AREA PREFERENCE

D

Labor Surplus Area

- A. No Preference
- B. Tie Bid Preference
- C. Total Set Aside
- D. Not a Labor Surplus Area Preference Award

47. TYPE OF BUSINESS

D

- A. Source: Non-U.S. and Used Outside U.S. & Possessions
- B. Source: Non-U.S. and Possessions
(Foreign Purchases Used Inside U.S.)
(If U.S. Source, complete C through Q)
- C. Firm - Profit Making & PSC's

Non-Profit Organizations

- D. Private Educational Organizations
- E. Hospitals
- F. Research Institutions, Foundations, and Laboratories
- G. Other

Private Voluntary Organizations

- H. U.S. Registered
- I. U.S. Non-Registered
- J. Foreign

State/Local Government

- K. Educational Institutions
- L. Hospitals
- M. Research Organizations
- N. Other

- O. International Agricultural Research Organizations
- P. Public International Organizations
- Q. U.S. Cooperatives

48. Women Owned Business?

YES NO

49. TYPE OF AWARD

G

Small Business

- A. Not Set Aside
- B. Partial Set Aside
- C. Total Set Aside
- Other Than Small Business

- D. Personal Service Contract
- E. Individual Non-Personal Service Contract
- F. U.S. Government
- G. University
- H. Non-Profit Organizations and PVOs
- I. Large Businesses

50. Paying Office.

Payment will be made by

AID/W

AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

JUN 30 1989

Dr. Alan M. Goldberg
Associate Dean for Research
School of Hygiene and Public Health
The Johns Hopkins University
615 N. Wolfe Street
Baltimore, Maryland 21205

Subject: Grant No. AFR-0474-G-SS-9045-00
Prevention of HIV Infection in Malawi

Dear Dr. Goldberg:

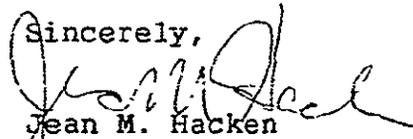
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to The Johns Hopkins University (hereby referred to as "The Johns Hopkins University" or "Grantee"), the sum of \$50,000 to provide support for a program in the prevention of HIV infection in Malawi, as described in the Schedule of this grant and the Attachment 2, entitled "Program Description." The total estimated cost of this program is \$100,000.

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning on July 1, 1989 and ending on June 30, 1991.

This grant is made to The Johns Hopkins University on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled the Schedule, Attachment 2, entitled "Program Description," and Attachment 3, entitled "Standard Provisions," which have been agreed to by your organization.

Please sign the original and each copy of this letter to acknowledge your receipt of the grant, and return the original and all but one copy to the Office of Procurement.

Sincerely,

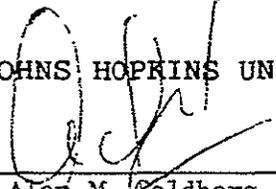

Jean M. Hacken
Grant Officer
Office of Procurement

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions

ACKNOWLEDGED:

THE JOHNS HOPKINS UNIVERSITY

BY: 

Alan M. Goldberg, Ph.D.

Title: Associate Dean for Research

Date: July 14, 1989

FISCAL DATA

Allocation	:	942-61-698-00-69-91
Appropriation	:	72-1191021.2
Budget Plan Code	:	GDGA-89-31698-KG12
PIO/T No.	:	698-0474-3-9611707
Project No.	:	698-0474
Total Estimated Amount	:	\$100,000
Total Obligated Amount	:	\$50,000
DUNS No.	:	12-058-2077
IRS Employer Number	:	1-520-595-110
Funding Source	:	A.I.D./W

FUNDS AVAILABLE

Alan Craig
JUL 07 1989

o/c 4190

Program Acctg Fin Division
Office of Financial Management

SCHEDULE

A. Purpose of Grant

This grant funds performance of Component 2 of the Grantee's proposal entitled "Prevention of HIV Infection in Malawi." The purpose of Component 2 is to periodically screen groups of Malawian women for genital ulcer disease (G.U.D.), treat the disease, and provide counselling on the relation of G.U.D. to HIV infection. That purpose falls within the A.I.D./W project entitled "HIV/AIDS Prevention in Africa."

B. Period of Grant

B.1 The effective date of this Grant is July 1, 1989. The expiration date of this Grant is June 30, 1991.

B.2 Funds obligated hereunder are available for program expenditures for the estimated period July 1, 1989 to June 30, 1990 as shown in the Grant budget below.

C. Amount of Grant and Payment

C.1 The total estimated amount of this Grant for the period shown in B.1 above is \$100,000.

C.2 A.I.D. hereby obligates the amount of \$50,000 (fifty thousand dollars) for program expenditures during the period set forth in B.2 above and as shown in the Grant budget below.

C.3 Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision entitled "Payment - Letter of Credit."

C.4 Additional funds up to the total amount of the grant shown in C.1 above may be obligated by A.I.D. subject to the availability of funds and to the requirements of the Standard Provision of this Grant entitled "Revision of Grant Budget."

D. Grant Budget

The following is the budget for this grant including local cost financing items, if authorized. Revisions to this budget shall be made in accordance with the Standard Provision of this Grant, entitled, "Revision of Grant Budget."

<u>Category</u>	Year 1 FR:07/01/89 <u>TO:06/30/90</u> (obligated)	Year 2 FR:07/01/90 <u>TO:06/30/91</u> (unobligated)	Total FR:07/01/89 <u>TO:06/30/91</u>
Procurement	\$20,712	\$19,491	\$40,203
Evaluation	2,400	2,500	4,900
Personnel	16,000	16,800	32,800
Travel/Per Diem	3,300	3,500	6,800
Indirect Expense:	7,588	7,709	15,297
Total	<u>\$50,000</u>	<u>\$50,000</u>	<u>\$100,000</u>

E. Reporting and Evaluation

E.1 Fiscal Reporting: The Grantee shall submit the Financial Status Report (SF 269) and the Federal Cash Transactions Report (SF 272) to the AID Office of Financial Management in accordance with the Standard Provision of this grant, entitled "Payment - Letter of Credit."

E.2 Annual Report: The Grantee shall submit ten copies of an annual report to the AID Project Officer in May/June 1990 and in May/June 1991. The report should cite this grant number and include a comparison of actual accomplishments with the grant objectives for the period as well as other pertinent information.

E.3 Evaluation: The evaluation will be in the form of intermittent monitoring and general oversight by the chief medical officer in the Malawi Ministry of Health and the chief of the Malawi National AIDS Commission.

E.4 AID Project Officer:

Mr. William Lyerly
AFR/TR/HPN
Agency for International Development
Washington, D.C. 20523

E.5 Principal Investigators:

Alfred J. Saah, M.D.
School of Hygiene and Public Health
Institute for International Programs
615 N. Wolfe Street
Baltimore, Maryland 21205

Dr. Ntaba (Co-Principal)
Chief Medical Officer
Ministry of Health
Malawi

F. Special Provisions

F.1 The following Additional Standard Provisions as numbered in Attachment 3 are not applicable to this Grant: 2,3,8,10,13,15,16,18,19,21,22,23.

F.2 Local Cost Financing from funds provided by this Grant is authorized in an amount not to exceed \$80,000.

F.3 Use the following nine-digit ZIP codes instead of the five-digit codes shown in the Standard Provisions.

<u>ZIP Code</u>	<u>Standard Provision</u>
20523-0051	Disputes
20523-1414	Procurement of Goods and Services
20523-0001	Use of Pouch Facilities
20523-0209	Payment - Letter of Credit (Organization symbol change PFM/FM/PAFD)
20523-0060	Negotiated Indirect Cost Rates-Predetermined
20523-1417	" " " " "

F.4 All logistic support necessary for performance of this Grant is the responsibility of the Grantee.

G. Indirect Cost Rate

The following is applicable to this Grant. (see the Standard Provision entitled "Negotiated Indirect Cost Rates - Predetermined").

Type of rate	: Predetermined
Name of rate	: Other Sponsored Programs - On-Campus
Period	: FR:07/01/89 TO:06/30/90
Rate	: 32.0%
Base of Application	: Modified Total Direct Cost

H. Title to Property

The Grantee is authorized to purchase a laptop computer under this Grant and have title. (see the Standard Provision entitled "Title to and Use of Property (Grantee Title)."

(end of Schedule)

PROGRAM DESCRIPTION

The Johns Hopkins University submitted an application to A.I.D. for funding its project entitled "Prevention of HIV Infection in Malawi." The application consisted of five related but discrete components.

This grant funds only Component 2, entitled "Transmission interruption: treatment of genital ulcer disease and counselling intervention," and this Program Description is only for that component.

I. GOAL

To reduce transmission associated with genital ulcer disease.

II. OBJECTIVES

- To enhance the capability of the Queen Elizabeth Central Hospital to detect and treat syphilis and chancroid.
- To determine the effect of treatment on HIV transmission.

III. TARGET POPULATION AND INTERVENTION

As part of the Grantee's separate ongoing ICAR project a cohort of 1,000 HIV-1 seronegative women and their families will be followed for three years. At six-month intervals, Malawian participants will be interviewed and examined. Presence of genital ulcer disease (syphilis, chancroid, herpes) will be determined and appropriate bacterial samples will be taken. This will occur for the women and their partners and is funded by the National Institutes of Health outside this grant..

The Grantee will conduct two interventions under this grant. In the first intervention it will provide counselling on how to prevent sexually-transmitted diseases (STD), how STD's are related to HIV transmission and the importance of seeking treatment.

This component focuses on treatment of detected syphilis with penicillin and treatment of chancroid with erythromycin as a potential method of interrupting HIV transmission. The focus on syphilis is deliberate on cost-benefit grounds. Herpes is only treatable using prohibitively expensive drugs like acyclovir and is not "curable." Thus intervention in this case is not feasible. Penicillin and erythromycin are relatively inexpensive and effective drugs for the bacterial causes of G.U.D. Gonorrhoea, if detected, will be treated but is not believed to be a potential transmission co-factor.

The second intervention is that the performance of this component will enhance Malawian capabilities in detecting and treating these diseases. Controlled evaluation of this intervention will, of course, be difficult because it would be unethical to have a control group in this population in which treatment was withheld. Also, given a cohort of 1,000 women and estimated 10% incidence, 200 seroconversions are expected in two years. Syphilis prevalence is estimated to be 5%. H. ducreyi (chancroid) infection prevalence is unknown but is unlikely to be greater than 10%. Incidence is not known for either infection. Therefore, numbers in potential comparison groups are also likely to be small unless very strong correlation between G.U.D. and HIV transmission exists. Nonetheless, the potential importance of intervention, allied training and counselling override potential research design problems. Antibiotics for bacterial G.U.D. in study participants are needed for this component. All cohort tracking, examination and microbiology costs are met in the Grantee's separate ICAR grant.

IV. PERSONNEL

Dr. Ntaba will consult as co-principal investigator and will have responsibility for general oversight of all work performed in Malawi. He is the chief medical officer in the Ministry of Health and will commit a 20% effort to this component.

Dr. Kalilani will be the Malawian director for the rural serosurvey in the field. She is chief of the National AIDS Commission and will commit 10% effort to this component.

A health counsellor will be hired to work full time to conduct a rural serosurvey of HIV-1, to train rural health workers about the prevention of HIV-1 so that they disseminate information to the patients they see, and to assess using questionnaires, the knowledge of HIV infection in rural areas.

A half-time health counsellor will be hired to test 1,000 pregnant women in Blantyre for HIV-2 infection and will also be responsible for providing counselling at the Queen Elizabeth Central Hospital about the prevention of HIV infection and sexually transmitted diseases.

A lab technician will be hired to work half-time to perform ELISA and western blot tests. This person will be trained by the Grantee's personnel in July/August 1989.

The principal investigator will make one trip to Malawi to meet with the Malawian director and co-principal investigator to co-ordinate the direction and management of this component. He will have overall responsibility for the completeness and integrity with which this component is performed.

V. OUTPUTS FUNDED BY THIS GRANT

- Year 1: Screen 1,000 women for G.U.D. every six months.
Treat approximately 150 cases of G.U.D.
Develop treatment protocols.
Counselling intervention every six-months (1,000 women and partners).
- Year 2 Screen 1,000 women for G.U.D.
Treat approximately 150 cases of G.U.D.
Develop treatment protocols.
Counselling every six months (1,000 women and partners).

(end of Program Description)

	Trans. Memo. No.	Effective Date	Page No.
AID HANDBOOK 13	13:51	Mar. 30, 1989	4C-1

APPENDIX 4C
OMB Control No. 0412-0510
Expiration Date: 12/31/89

MANDATORY STANDARD PROVISIONS FOR
U.S., NONGOVERNMENTAL GRANTEES¹

INDEX OF
MANDATORY STANDARD PROVISIONS²

- | | |
|-----------------------------------|---|
| 1. Allowable Costs | * 8. Debarment, Suspension, and other
Responsibility Matters |
| 2. Accounting, Audit, and Records | 9. Nondiscrimination |
| 3. Refunds | 10. U.S. Officials Not to Benefit |
| 4. Revision of Grant Budget | 11. Nonliability |
| 5. Termination and Suspension | 12. Amendment |
| 6. Disputes | 13. Notices * |
| 7. Ineligible Countries | |

1. ALLOWABLE COSTS (NOVEMBER 1985)

The grantee shall be reimbursed for costs incurred in carrying out the purposes of this grant which are determined by the grant officer to be reasonable, allocable, and allowable in accordance with the terms of this grant, any negotiated advance understanding on particular cost items, and the applicable* cost principles in effect on the date of this grant.

* NOTE: For Educational Institutions use OMB Circular A-21; for all other non-profits use OMB Circular A-122; and for profit making firms use FAR 31.2. and AIDAR 731.2.

2. ACCOUNTING, AUDIT, AND RECORDS (JANUARY 1988)

(a) The grantee shall maintain books, records, documents, and other evidence in accordance with the grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The grantee's financial management system shall provide for the following:

¹When these Standard Provisions are used for cooperative agreements, the following terms apply: "Grantee" means "Recipient," "Grant" means "Cooperative Agreement," and "AID Grant Officer" means "AID Agreement Officer."

²See page 4C-10 for Additional Standard Provisions

* Revised