

PDFAJ 207

# CONTRACT AMENDMENT

CONTRACT/AGREEMENT DATA SHEET

**B** NOV 5523

1 M/SER/CM/SD/SS Action Monitor skb

2. Date PIO/T Received. 3 / 20 / 84

PART ONE COMPLETE EACH BLOCK FOR BOTH NEW ASSISTANCE/ACQUISITION AND MODIFICATION ACTIONS

3. Contract/Agreement Number AID/PHA-G-1153 A 20		Rec'd. for Vali, 4/10/84	
4. Contractor/Recipient Name New Trans century Foundation		5. Organization Symbol NTF	
6. Project Title Management Support Services Grant		DPE-0000-G-55-0162-00	
7. Project Officer's Name Stephen Bergen		8. Organization Symbol FVA/PVC	
9. Requisitioning Document ID No 3811906		19. Budget 146-38-099-00-76-43 Plan Code Appr. 72-1111021.6	
10. TYPE OF ACTION A New Acquisition/Assistance B Continuation of activities set forth in a contractual document C Revision of work scope/purpose of award		20. Country or Region of Performance Worldwide	
11. Amount of this PIO/T <sup>Op1.</sup> US \$ 11,124		21. a This Action Increases TEC by \$ 11,123.65 b Total Est. Cost of Contractual Document \$ 2,112,408.65	
12. Amount Obligated/Subobligated/Deobligated by this Action US \$ 11,123.65		22. Amount of Non-Federal Funds Pledged to the Project US \$ 0	
13. Cumulative Obligation US \$ 2,112,408.65		23. Effective Date of this Action 4/6/84	
14. This Action Funded Through 9/27/81		24. Estimated Completion/Expiration Date 9/27/81	
15. Date Contractual Documents Signed by AID Official 4/6/84		25. Contractor DUNS Number 091334623	
16. Incrementally Funded Contract No		26. Consultant Type Award No	
17. Host Country/Counterpart Inst.: (Univ Contracts) N/A		27. Number of Person Months (PASA/RSSA only) N/A	
18. Campus Coordinator (Univ Contracts) N/A		28. Number of Persons (PASA/RSSA only) N/A	
29. Negotiator's Typed Name EHT		30. Negotiator's Signature EHT	
31. Date Signed 4/16/84		32. Contract/Grant Officer's Organization Symbol CM/COD/OTR	
33. Contract/Grant Officer's Signature Edward H. Thomas EHT		34. Date Signed 3/12/84	

PART TWO COMPLETE EACH BLOCK FOR NEW ASSISTANCE/ACQUISITION ACTIONS ONLY

35. SELECTION PROCEDURES A Formally Advertised B Negotiated Price Competition, General Procedure C A&E D Ed Inst and/or Int'l Research E Collaborative Assistance F Predominant Capability G Unsolicited Proposal		<input type="checkbox"/> H Procurement to be Performed by the Contractor in Person <input type="checkbox"/> I Sole Source <input type="checkbox"/> J Impairment of Foreign Policy Objectives <input type="checkbox"/> K 8(a) Selection <input checked="" type="checkbox"/> L Grant/Cooperative Agreement <input type="checkbox"/> M. Noncompetitive <input type="checkbox"/> N. Small Business Set Aside <input type="checkbox"/> O Overseas Procuring Activities	
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UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

18 MAY 1984

ORIGINAL

APR 6 1984

Mr. Warren W. Wiggins  
President  
NEW TRANSCENTURY FOUNDATION  
1789 Columbia Road, NW  
Washington, D.C. 20009

Subject: Grant No. AID/Pha-G-1153, Amendment No. 20

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the New TransCentury Foundation (hereinafter referred to as "NTF" or "Grantee") the additional sum of \$11,123.65 to cover an upward adjustment of overhead. The total cumulative obligation under this Grant is now \$2,112,408.65.

This amendment is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period September 28, 1980 through September 27, 1981.

Please acknowledge your acceptance of this amendment by having an authorized official sign and return the original and six copies.

Sincerely yours,

*Edward H. Thomas*

Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

ORIGINAL

3

ACCEPTED

NEW TRANSCENTURY FOUNDATION

BY: W. W. Wiggins

TYPED NAME: Warren W. Wiggins

TITLE: President

DATE: April 25, 1984

FISCAL DATA

Appropriation No.	:	72-1111021.6
Allotment No.	:	146-38-099-00-76-43
Obligation No.	:	3811906
Project No.	:	938-0165
Amendment No.	:	\$11,123.65

DUNS 09133462J

NOTED *MA*  
M/FM/PAD  
DATE 4/18/84

5

JUL 23 1982

MEMORANDUM

Date: July 27, 1982

TO : Distribution

FROM : CM/SOD, *Harry White*

SUBJECT: Grant No. AID/PHA-G-1153 between A.I.D.  
and New TransCentury Foundation

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated July 19, 1982. This Agreement is also Amendment No. 19 to the subject grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

- CM/SD/SUP, Mrs. B. Lewis
- CM/SD/SUP, Mrs. Sarah Lewis
- SER/EM/PAD, Mr. P. Amos
- AAG/W, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/SOD/PDC, E. Thomas

Project Manager, FVA/PVC, C. Millikan

USAID/\_\_\_\_\_

STAT Section  
JUL 30 1982  
ENTERED

**ORIGINAL**

**NEGOTIATED INDIRECT COST RATE AGREEMENT**

Date July 19, 1982

**SUBJECT** Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

**REFERENCE** DCAA Audit Report No. 6261-2C160.335 dated May 12, 1982, and Discussions with Controller, July 16, 1982.

**CONTRACTOR** New TransCentury Foundation  
or  
**GRANTEE** 1789 Columbia Road, NW  
Washington, DC 20009-2984

*PART I - NEGOTIATED INDIRECT COST RATES (%)*

Type	Effective Period		Overhead Rate		G and A	
	From	Through	Home Office (a)	Field (b)	Regular (c)	Pass-through (d)
Final	10-1-80	9-30-81	65.32	32.66	11.74	2.41
Provisional	10-1-81	Until amended	65.3	32.6	11.7	2.41

Base of Application

- (a) Home Office--Direct salaries and wages, Leave, and Fringe Benefits.
- (b) Field--Direct salaries and wages, Leave, and Fringe Benefits.
- (c) Total cost input excluding G and A expenses and Pass-through Costs.
- (d) Direct Pass-through Costs only.

Acceptance of the rate(s) agreed to herein is predicated upon the conditions (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs, (3) that similar types of costs have been accorded consistent treatment, and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate

X 6

PART III - SPECIAL TERMS AND CONDITIONS

Pursuant to § 7-3.705 of the Agency for International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties.

<u>Contract/Grant Number</u>	<u>Amendment Number</u>	<u>Project Number</u>
AID/IT-C-2217	15	931-0262
AID/SOD/PDC-G-0291	6	938-0165
AID/PHA-G-1153	19	932-0096
AID/OTR-C-1761	8	930-0300
AID/OTR-C-1818	9	930-0200
AID/OTR-C-1805	12	936-1404
AID/NE-CA-1647	8	279-0044
AID/LA-C-1225	21	511-0468
PDC-0227-A-SS-1080-00	3	938-0227

ACCEPTED BY *Dale Coleman*  
Dale Coleman  
 Printed or Typed Name  
Controller  
 Title  
July 22, 1982  
 Date

*Harry C. White*  
 Harry C. White  
 CONTRACTING OFFICER  
 Overhead and Special Costs Branch  
 Services Operations Division  
 Office of Contract Management  
 Agency for International Development

rfm

DISTRIBUTION:

- |   |               |   |               |   |               |   |              |   |         |   |        |
|---|---------------|---|---------------|---|---------------|---|--------------|---|---------|---|--------|
| X | <u>CM/ROD</u> | X | <u>CM/COD</u> | X | <u>CM/SOD</u> | X | <u>OTHER</u> | X | FVA/PVC | X | LAC/DR |
| X | AFR           | X | AN            | X | PDC           | X | AAG/W        | X | PPC/WID | X | S&T/N  |
| X | NE            | X | PE            | X |               | X | CM/SD/SUP    | X | S&T/UD  | X | NE/PD  |
| X | LA            | X | OTR           |   |               | X | FM/PAD       |   |         |   |        |
|   | ASIA          |   |               |   |               |   |              |   |         |   |        |

APR 28 1982

MEMORANDUM

Date: April 26, 1982

TO : Distribution

FROM : CM/SOD, Donald Dickie

SUBJECT: Grant No. AID/PHA-G-1153 between A.I.D.  
and New TransCentury Foundation

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated March 29, 1982. This Agreement is also Amendment No. 18 to the subject grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis  
CM/SD/SUP, Mrs. Sarah Lewis  
SER/FM/PAD, Mr. P. Amos  
AAG/W, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/SOD/PDC, F. Thomas

Project Manager, PDC/PVC, C. Millikan

USAID/\_\_\_\_\_

STAT Section

APR 28 1982

ENTERED

8

# ORIGINAL

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D C 20523

## NEGOTIATED INDIRECT COST RATE AGREEMENT

Date March 29, 1982

SUBJECT: Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

REFERENCE: DCAA Audit Report No. 6261-2C160.014 dated February 9, 1982 and NTF Proposal dated February 23, 1982.

CONTRACTOR New Transcentury Foundation  
or 1789 Columbia Road, NW  
GRANTEE Washington, DC 20009

### PART I - NEGOTIATED INDIRECT COST RATES (%)

Type	From	Effective Period		Overhead Rates			G and A Rates	
		Through	Home Office (a)	Field (b)	Inter. Planners (c)	Regular (d)	Pass-Through (e)	
Final	10-1-79	9-30-80	57.69	28.85	5.00	12.20	2.41	
Provisional	10-1-80	Until amended	67.06	33.53	5.00	11.77	2.41	

### Base of Application

- (a) Home Office direct salaries and fringe benefits, excluding related costs for intermediate planners and overseas field staff.
- (b) Overseas Office direct salaries and fringe benefits.
- (c) Direct salaries and fringe benefits of intermediate planners.
- (d) Total cost input excluding G and A expenses, intermediate planners and pass-through funds.
- (e) Direct pass-through funds and intermediate planners.

Acceptance of the rate(s) agreed to herein is predicated upon the conditions: (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor; (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs; (3) that similar types of costs have been accorded consistent treatment, and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate.

2-19

ORIGINAL

PART III - SPECIAL TERMS AND CONDITIONS

Pursuant to § 7-3 705 of the Agency for International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties.

<u>Contract/Grant Number</u>	<u>Amendment Number</u>	<u>Project Number</u>
AID/IT-C-2217	14	931-0262
AID/SOD/PDC-G-0291	5	938-0165
AID/PHA-G-1153	18	932-0096
AID/OTR-C-1761	7	930--0300
AID/OTR-C-1818	8	930-0200
AID/OTR-C-1805	11	936-1406
AID/NE-CA-1647	5	279-0044
AID/LA-C-1225	19	511-0468
AID/AFR-C-1381	6	677-0004
PDC-0227-A-SS-1080	2	938-0227

ACCEPTED:

BY

*James D. Lehman*

James D. Lehman  
Printed or Typed Name

Acting President

Title

April 1, 1982

Date

*Donald B. Dickie*  
Donald B. Dickie

CONTRACTING OFFICER  
Overhead and Special Costs Branch  
Services Operations Division  
Office of Contract Management  
Agency for International Development

dwl

DISTRIBUTION:

<u>CM/ROD</u>	<u>CM/COD</u>	<u>CM/SOD</u>	<u>OTHER</u>			
x AFR	x AN	x PDC	x AAG/W	x	x AFR/DR	x PDC/PV
x NE	PE		x CM/SD/SUP	x	x P/C/WID	x LAC/DR
x LA	x OTR		x FM/PAD	x	x NE/PD	x S&T/UD
ASIA			DS/N			

JUL 8 1981

CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET

SER/CM/SD/SUP Action Monitor SAL

SER/CM/SD/SUP Action Office PDC

INSTRUCTIONS AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

PART IA

6/26/81

Contractor/Grantee Name

NEW TRANSCENTURY FOUNDATION

Table with 4 columns: PIO/T Number (3811906 A4), Appropriation Number (72-1111021.6), Allotment Number (146-38-099-00-76-11), Project Number (932-0096)

Project Title

Management Support Services Grant

Table with 3 columns: Amount of this PIO/T (\$30,000 -(Dec.)), Project Manager's Name and Office Symbol (C. Millikan, PDC/PVC), Contractor's D-U-N-S Number

Table with 3 columns: Negotiator's Typed Name (D. Schult), Signature (Dorothy C. Schult), Date

Table with 3 columns: Contract/Grant Officer's Typed Name (Ed. Thomas), Signature (EHT), Date (26 Jun 81)

PART IB

Table with 5 columns: Contract/Grant Number (AID/PHA G-1153), Type Order, Order Number, Amendment/Modification Number (17), Date PIO/T Received by CM/SD/SUP or Mission Contract Office (6/26/81)

PART II

Table with 4 columns: Effective Date of Document (6/24/81), Signature Date of Document (6/24/81), Estimated Completion Date (7/31/81), Contract/Grant funded through (date) (7/31/81), Host Country Institution (University contract ONLY), Campus Coordinator (University contract ONLY), Amount Obligated/Deobligated/Subobligated by this Action (85,000), Cumulative Obligation thru this Action (2,101,285)

PART III

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

Rec'd for Validation 6/26/81

M03 - TYPE ACTION

- 0 Contract/Grant/Cooperative Agreement
1 Task Order (BOA)\*
2 Work Order (IQC)\*
3 Delivery Order (Requirements)\*
4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY

M04 - CONTRACT/GRANT TYPE

- 0 MOA, (BOA, BMA, etc)
1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI)
2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF)
3 Cooperative Agreement
4 Grant - General Support\*
5 Grant - Specific Support\*
6 Grant - 211(d)\*
7 Do Not Use
8 IQCs
9 Host Country Contract Only

\*Complete ONLY through Mission Contract Office

M05 - SELECTION PROCEDURES

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
C A & E (AIDPR 7 4 10)
D Ed Inst and/or Int'l Research (AIDPR 7-4 57)
E Collaborative Assistance (AIDPR 7-4 58)
F Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
I Sole Source (AIDPR 7-3 101-50(b)(4))
J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))
K 8(a) Selection (FPR 1-1 713-1)
L Grant (Handbook 13)
M Do Not Use
N Cooperative Agreement (Handbook 13)
O Small Business Set-Aside (FPR 1-1 706-8)
P Overseas Procuring Activities (AIDPR 7-3 101-50(b)(2))

M06 - TYPE SERVICE

- A Training of Participants
B Technical Assistance (Program, Project related except A & E Services)
C A & E Services
D Construction
E. Research
F Technical Services to AID (other than training, usually operating expense)
G Training Service for AID
H Equipment, Materials, Supplies, Commodities
I Translation Service

M07 - TYPE CONTRACTOR/GRANTEE

- A Firm - All profit making firms (other than A & E)
B A & E Firms

- C Individual (Non personal service)
D Individual (Personal Service)
E University/Other Educational Institutions
F Non Profit Organization, Institutions (other than Ed or PVO)
G Private Voluntary Organization (PVO)
H International Agricultural Research Organization
I Public International Organizations (UN Agencies, ICRC World Bank, etc) (HB 13, Chapter 5)

M08 - TYPE AWARD

- A. SB Set-Aside - Awarded to Non-Minority
B SB Set-Aside - Awarded to Minority
C SB Not Set Aside - Awarded to Non-Minority
D SB Not Set Aside - Awarded to Minority
E 8(a) SBA Awarded to Non Minority (Women-Owned, Veterans, etc)
F 8(a) SBA Awarded to Minority
G Personal Service Contract - Non-Minority
H Personal Service Contract - Minority
I Individual Non-Personal Service Contract - Non-Minority
J Individual Non-Personal Service Contract - Minority
K. Not Small Business (Univ, Non Profit, Large Firms) Non-Minority
L Not Small Business (Univ, Non-Profit, Large Firms) Minority
M U S Government

STAT Section JUL 9 1981 ENTERED

(Continued on Page 2)

11

**ORIGINAL**  
UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

JUN 24 1981

Mr. Warren W. Wiggins  
President  
New TransCentury Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

Subject: Specific Support Grant  
No. AID/pha-G-1153  
Amendment No. 17

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to provide the additional sum of \$85,000 in continuing support for your program of assistance to smaller PVOs. The total cumulative obligation under this Grant is now \$2,101,285. The estimated completion date of this program is July 31, 1981.

This Grant amendment is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period April 1, 1981 through July 31, 1981.

The subject Grant is hereby further amended as follows:

Under Attachment A, delete paragraph "D. Budget" in its entirety and insert the following in lieu thereof:

"D. Budget

The funds provided herein shall be used to finance the following items:

PREVALIDATED ON PAGE 3

12

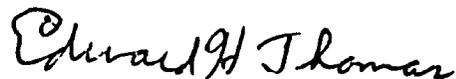
<u>Cost Element</u>	<u>FR: 10/01/76</u> <u>TO: 09/30/80</u>	<u>FR: 10/01/80</u> <u>TO: 03/31/81</u>	<u>FR: 04/01/81</u> <u>TO: 07/31/81</u>	<u>Total</u>
Salaries	\$ 648,361	\$ 86,440	\$ 33,462	\$ 768,263
Consultant Fees	98,990	23,400	3,000	125,390
Fringe Benefits	138,736	18,498	7,696	164,930
Overhead	414,537	62,963	24,695	502,195
Travel and per diem	84,244	15,450	3,000	102,694
Other direct costs	<u>142,791</u>	<u>19,289</u>	<u>5,000</u>	<u>167,080</u>
Subtotal	\$1,527,659	\$ 226,040	\$ 76,853	\$1,830,552
G & A	<u>238,626</u>	<u>23,960</u>	<u>8,147</u>	<u>270,733</u>
TOTAL	\$1,766,285	\$ 250,000	\$ 85,000	\$2,101,285

Adjustments among the line items are unrestricted. However, the Grantee may not exceed the total funds obligated hereunder in the amount of \$2,101,255."

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Return the original and six (6) copies of this letter, including all those stamped "Funds Available", to the Office of Contract Management.

Sincerely yours,



Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY Louis L. Mitchell

TYPED NAME Louis L. Mitchell

TITLE Program Advisor

DATE 7/2/81

Fiscal Data

Appropriation	72-1111021.6
Allotment	146-38-099-00-76-11
PIO/T	3811906, A-4
Project	932-0096
Grant amount	\$85,000

01/04/90

FUNDS AVAILABLE

JUN 30 1981

*William*  
Program Acctg Division  
OFFICE OF FINANCIAL MANAGEMENT

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **DRR (SAL)** SER/CM/SD/SUP Action Office **SOD/PDC**

**INSTRUCTIONS** AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
**Missions** - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA**

Contractor/Grantee Name  
**New TransCentury Foundation**

PIO/T Number <b>932-0096-3811906 A-2</b>	Appropriation Number <b>72-1111021.6</b>	Allotment Number <b>146-38-099-00-76-11</b>	Project Number <b>932-0096</b>
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Project Title  
**New TransCentury Foundation**

Amount of this PIO/T <b>\$0 Admin. Change</b>	Project Manager's Name and Office Symbol <b>C.A. Millikan PDC/PVC</b>	Contractor's D-U-N-S Number
Negotiator's Typed Name <b>Schult</b>	Signature <i>Dorothy C. Schult</i>	Date
Contract/Grant Officer's Typed Name <b>Edward Thomas</b>	Signature <i>EHT</i>	Date <b>28 May 81</b>

**PART IB**

Contract/Grant Number <b>ATD/PHA-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>16</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>5/27/81</b>
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**PART II**

Effective Date of Document <b>5-28-81</b>	Signature Date of Document <b>5/28/81</b>	Estimated Completion Date <b>6/30/81</b>	Contract/Grant funded through (date) <b>6/30/81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>0</b>	Cumulative Obligation thru this Action <b>2,016,285</b>

**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IOC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY

**M04 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BMA, etc)
- 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI)
- 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF)
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6 Grant - 211(d)\*
- 7 Do Not Use
- 8 IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
- C A & E (AIDPR 7 4 10)
- D Fed Inst and/or Int'l Research (AIDPR 7-4 57)
- E Collaborative Assistance (AIDPR 7-4 58)
- F Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7 3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7 3 101 50(b)(1))
- I Sole Source (AIDPR 7 3 101 50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))
- K 8(a) Selection (FPR 1 1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7 3 101 50(b)(2))

**M06 TYPE SERVICE**

- A Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm All profit making firms (other than A & E)
- B A & E Firms

- C Individual (Non Personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non Profit Organization, Institutions (other than Ed or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc) (HB 13, Chapter 5)

**M08 TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set Aside - Awarded to Non-Minority
- D SB Not Set-Aside Awarded to Minority
- E 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc)
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract Non Minority
- H Personal Service Contract - Minority
- I Individual Non-Personal Service Contract Non Minority
- J Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ, Non Profit, Large Firms) Non-Minority
- L Not Small Business (Univ, Non Profit, Large Firms) Minority
- M U S Government

(Continued on Page 2)

STAT Section  
 JUN 16 1981  
 ENTERED

15

ORIGINAL

JUN 16 1981

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

28 MAY 1981

Mr. Warren W. Wiggins  
President  
New TransCentury Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

Subject: Specific Support Grant  
No. AID/pha-G-1153  
Amendment No. 16

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to extend the estimated completion date from May 31, 1981 to June 30, 1981. The total cumulative obligation under this Grant remains \$2,016,285.

The subject Grant is hereby further amended as follows:

In the second paragraph of Grant Amendment No. 12, delete "May 31, 1981" and substitute in lieu thereof "June 30, 1981".

Under Attachment A, paragraph D. Budget, delete the column heading "FR: 10/01/80 TO: 05/31/81" and substitute in lieu thereof "FR: 10/01/80 TO: 06/30/81".

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of this amendment. Return the original and six (6) copies to the Office of Contract Management.

Sincerely yours,

*Edward H. Thomas*

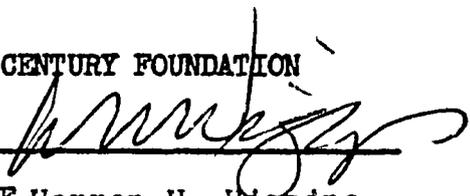
Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

16

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY



TYPED NAME Warren W. Wiggins

TITLE President, NTF

DATE 6/12/81

Fiscal Data

Appropriation	72-1111021.6
Allotment	116-38-099-00-76-11
PIO/T	3811906, A-2
Project	932-0096
Grant amount	-0-

MAY 6 1981

STAT Section

MAY 6 1981

MEMORANDUM

Date: May 5, 1981

ENTERED

TO : Distribution

FROM : CM/SOD, Donald Dickie

SUBJECT: Grant No. AID/pha-G-1153 between A.I.D.  
and New TransCentury Foundation

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated  
April 28, 1981. This Agreement is also Amendment No. 15  
to the subject grant.

The purpose of this Amendment is to establish final and/or provisional  
indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis

CM/SD/SUP, Mrs. Sarah Lewis

SER/EM/PAD, Mr. P. Amos

AAG/W, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/ SOD/PDC , E. Thomas

Project Manager, PDC/PVC, M. Cronyn

USAID/\_\_\_\_\_

ORIGINAL

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D C 20523

NEGOTIATED INDIRECT COST RATE AGREEMENT

Date April 28, 1981

SUBJECT Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

REFERENCE Proposal from NTF dated March 5, 1981 and subsequent discussions with the Controller

CONTRACTOR New Transcentury Foundation  
or 1789 Columbia Road, N.W.  
GRANTEE Washington, D.C. 20009

PART I - NEGOTIATED INDIRECT COST RATES (%)

Type	Effective Period		Overhead Rates		G & A Rates		
	From	Through	Home Office (a)	Field (b)	Inter. Planners (c)	Regular (d)	Pass- Through (e)
Provisional	10-1-79	Until amended	62.34	31.17	--	12.20	--
Fixed	10-1-79	Until amended	--	--	5.00	--	2.41

Base of Application

- (a)--Home Office direct salaries and fringe benefits, excluding related costs for intermediate planners and overseas field staff.
- (b)--Overseas Office direct salaries and fringe benefits.
- (c)--Direct salaries and fringe benefits of intermediate planners.
- (d)--Total cost input excluding G & A expenses.
- (e)--Same as (c) above.

Acceptance of the rate(s) agreed to herein is predicated upon the conditions (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs; (3) that similar types of costs have been accorded consistent treatment, and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate.

X 19

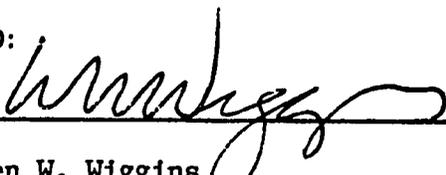
**PART II - ITEMS NORMALLY TREATED AS DIRECT COSTS**

**PART III - SPECIAL TERMS AND CONDITIONS**

Pursuant to 5 7-3.705 of the Agency for International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties

<u>Contract/Grant Number</u>	<u>Amendment Number</u>	<u>Project Number</u>
AID/ta-C-2217	12	931-0262.14
AID/SOD/PDC-G-0291	3	938-0165
AID/pha-G-1153	15	932-0096
AID/OTR-C-1761	4	930-0030
AID/OTR-C-1818	4	930-0200
AID/OTR-C-1805	7	931-0003
AID/NE-CA-1647	2	279-0044
AID/LA-C-1225	13	511-0486
AID/AFR-C-1381	5	677-0004

ACCEPTED:

BY   
Warren W. Wiggins  
 Printed or Typed Name

President  
 Title

May 1, 1981  
 Date

Donald Dickie

CONTRACTING OFFICER  
 Overhead and Special Costs Branch  
 Services Operations Division  
 Office of Contract Management  
 Agency for International Development

rfm

**DISTRIBUTION.**

<u>CM/ROD</u>	<u>CM/COD</u>	<u>CM/SOD</u>	<u>OTHER</u>
AFR	AN	X PDC	X AAG/W
X NE	PE		X CM/SD/SUP
X LA	X OTR		X FM/PAD
X ASIA			X AFR/DR
			X PDC/PVC
			X PPC/WID
			X DS/UD
			X NE/PD
			X LAC/DR

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action  
Monitor **SAL**

SER/CM/SD/SUP Action  
Office **PDC**

**INSTRUCTIONS** AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA.**

**3/12/81**

Contractor/Grantee Name

**NEW TRANSCENTURY FOUNDATION**

PIO/T Number <b>3811906 A1</b>	Appropriation Number <b>72-1111021.6</b>	Allotment Number <b>146-38-099-00-76-11</b>	Project Number <b>932-0096</b>
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Project Title

Amount of this PIO/T <b>-0-</b>	Project Manager's Name and Office Symbol <b>PDC/PVC, C. Millikan</b>	Contractor's D-U-N-S Number
------------------------------------	---	-----------------------------

Negotiator's Typed Name <b>D. Schult</b>	Signature <i>Dorothy Schult</i>	Date <b>13 Mar 81</b>
---	------------------------------------	--------------------------

Contract/Grant Officer's Typed Name <b>Ed. Thomas</b>	Signature <i>EHT</i>	Date <b>13 Mar 81</b>
--	-------------------------	--------------------------

**PART IB**

Contract/Grant Number <b>AID/pha-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>14</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>3/12/81</b>
--	------------	--------------	--	---

**PART II**

Effective Date of Document <b>3-17-81</b>	Signature Date of Document <b>3-17-81</b>	Estimated Completion Date <b>5-31-81</b>	Contract/Grant funded through (date) <b>5-31-81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>-0-</b>	Cumulative Obligation thru this Action <b>2,016,285</b>

**PART III.**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IQC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BMA, etc )
- 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6 Grant - 211(d)\*
- 7 Do Not Use
- 8 IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFR) (AIDPR 7-2 4 & FPR 1-2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
- C A & E (AIDPR 7-4 10)
- D Fed Inst and/or Int'l Research (AIDPR 7-4 57)
- E Collaborative Assistance (AIDPR 7-4 58)
- F Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7 3 101-50(b)(7))
- K 8(a) Selection (FPR 1-1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set-Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7-3 101 50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E. Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit making firms (other than A & E)
- B A & E Firms

- C Individual (Non-personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non-Profit Organization, Institutions (other than Ed or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc ) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set Aside - Awarded to Non-Minority
- D SB Not Set-Aside - Awarded to Minority
- E 8(a) SBA Awarded to Non-Minority (Women Owned, Veterans, etc )
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract - Non-Minority
- H Personal Service Contract - Minority
- I Individual Non Personal Service Contract - Non-Minority
- J Individual Non Personal Service Contract - Minority
- K. Not Small Business (Univ, Non-Profit, Large Firms) Non Minority
- L Not Small Business (Univ, Non Profit, Large Firms) Minority
- M U S Government

(Continued on Page 2)

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20543

MAR 23 1981

ORIGINAL

17 MAR 1981

Mr. Warren W. Wiggins  
President  
New TransCentury Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

Subject: Specific Support Grant  
No. AID/pha-G-1153  
Amendment No. 14

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to extend the estimated completion date from March 31, 1981 to May 31, 1981. The total cumulative obligation under this Grant remains \$2,016,285.

The subject Grant is hereby further amended as follows:

In the second paragraph of Grant Amendment No. 12, delete "March 31, 1981" and substitute in lieu thereof "May 31, 1981".

Under Attachment A, paragraph D. Budget, delete the column heading "FR: 10/01/80 TO: 03/31/81" and substitute in lieu thereof "FR 10/01/80 TO: 05/31/81".

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of this amendment. Please return the original and six (6) copies to the Office of Contract Management.

Sincerely yours,

*Edward H. Thomas*

Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

22



DEC 5 1980

ENTERED

MEMORANDUM

Date: December 1, 1980

TO : Distribution

FROM : <sup>1080</sup> CM/SOD, Donald Dickie

SUBJECT: Grant No. AID/PHA-G-1153 between A.I.D.  
and New TransCentury Foundation

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated November 20, 1980. This Agreement is also Amendment No. 13 to the subject grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis

CM/SD/SUP, Sarah Lewis

SER/FM/PAD, P. Amos

AAG/K, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/SOD/PDC, E. Thomas

Project Manager, PDC/PMS, K. Flanary

USAID/\_\_\_\_\_

24

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
 AGENCY FOR INTERNATIONAL DEVELOPMENT  
 WASHINGTON, D C 20523

ORIGINAL

**NEGOTIATED INDIRECT COST RATE AGREEMENT**

Date November 20, 1980

SUBJECT Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

REFERENCE DCAA Audit Report No. 6131-01160.062 dated October 20, 1980

CONTRACTOR. New TransCentury Foundation  
 or 1789 Columbia Road, N.W.  
 GRANTEE Washington, D.C. 20009

*PART I - NEGOTIATED INDIRECT COST RATES (%)*

Type	From	Effective Period	Through	Overhead		Intermediate Planners (c)	G & A	
				Home Office (a)	Off Site (b)		Regular (d)	Flow Thru (e)
Final	10-1-77	9-30-78		75.67	30.00	5.00	12.65	2.41
Final	10-1-78	9-30-79		76.77	38.93	5.00	12.99	2.41
Provisional	10-1-79	until amended		76.77	38.93	--	12.99	--
Fixed	10-1-79	until amended		--	--	5.00	--	2.41

Base of Application

- (a) Home office direct salaries, leave and fringe benefits excluding related costs for intermediate nutritional planners and overseas field staff.
- (b) Overseas office direct salaries and fringe benefits.
- (c) Direct salaries and fringe benefits of intermediate nutritional planners.
- (d) Total cost input excluding G & A expense.
- (e) Same as (c) above.

Acceptance of the rate(s) agreed to herein is predicated upon the conditions (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs, (3) that similar types of costs have been accorded consistent treatment, and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate

**PART II - ITEMS NORMALLY TREATED AS DIRECT COSTS**

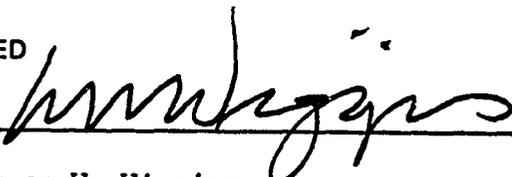
**PART III - SPECIAL TERMS AND CONDITIONS**

Pursuant to § 7-3 705 of the Agency for International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties

<u>Contract/Grant Number</u>	<u>Amendment Number</u>	<u>Project Number</u>
AID/SOD/PDC-G-0291	2	938-0165
AID/PHA-G-1153	13	932-0096
AID/OTR-C-1761	3	930-0030
AID/OTR-C-1805	6	931-0003
AID/OTR-C-1818	3	930-0200
AID/OTR-C-1674	3	906-0001
AID/OTR-G-1581	2	906-0001
AID/IT-C-2217	10	931-0262.14
AID/NE-CA-1647	1	279-0044
AID/AFR-C-1381	4	677-0004
AID/AFR-C-1458	2	690-0104
AID/LA-C-1225	12	511-0486

ACCEPTED

BY



Warren W. Wiggins

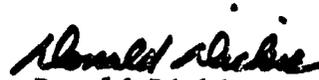
Printed or Typed Name

President

Title

November 25, 1980

Date



Donald Dickie

CONTRACTING OFFICER  
Overhead and Special Costs Branch  
Services Operations Division  
Office of Contract Management  
Agency for International Development

rfm

**DISTRIBUTION**

X	<u>CM/ROD</u>	X	<u>CM/COD</u>	X	<u>CM/SOD</u>
X	AFR	X	AN	X	PDC
X	NE		PE		
X	LA	X	OTR		
	ASIA				

X	<u>OTHER</u>	X	PDC/PMS	X	PPC/WID
X	AAG/W	X	DS/UD	X	AFR/SA
X	CM/SD/SUP	X	AFR/DR	X	DS/N
X	FM/PAD			X	LAC/DR
X	NE/PD				

26

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **MVS**

SER/CM/SD/SUP Action Office **CM/SOD/PDC**

**INSTRUCTIONS** AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
 Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA.**

Contractor/Grantee Name

**New TransCentury Foundation**

PIO/T Number <b>3811906</b>	Appropriation Number <b>72-1111021.6</b>	Allotment Number <b>146-38-099-00-76-11</b>	Project Number <b>932-0096</b>
--------------------------------	---	--	-----------------------------------

Project Title

Amount of this PIO/T <b>\$250,000</b>	Project Manager's Name and Office Symbol <b>PDC/PVC: C.A. Millikan</b>	Contractor's D-U-N-S Number
--	---	-----------------------------

Negotiator's Typed Name <b>D. Schulte</b>	Signature	Date
--	-----------	------

Contract/Grant Officer's Typed Name <b>Edward Thomas</b>	Signature <b>ET</b>	Date <b>12 Nov 80</b>
---	------------------------	--------------------------

**PART IB**

Contract/Grant Number <b>AID/PHA-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>12</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>11/12/80</b>
--	------------	--------------	--	--

**PART II.**

Effective Date of Document <b>10-1-80</b>	Signature Date of Document <b>11-18-80</b>	Estimated Completion Date <b>3-31-81</b>	Contract/Grant funded through (date) <b>3-31-81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>250,000</b>	Cumulative Obligation thru this Action <b>2,016,285</b>

**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IQC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY

**M04 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BMA, etc )
- 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7 Do Not Use
- 8. IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4 56)
- C A & E (AIDPR 7 4 10)
- D Ed Inst and/or Int'l Research (AIDPR 7 4 57)
- E. Collaborative Assistance (AIDPR 7-4 58)
- F Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set-Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7-3 101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)-
- C A & E Services
- D Construction
- E. Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit-making firms (other than A & E)
- B A & E Firms

- C Individual (Non personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non-Profit Organization, Institutions (other than Ed or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc ) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
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- C SB Not Set-Aside - Awarded to Non-Minority
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- H Personal Service Contract - Minority
- I Individual Non Personal Service Contract - Non-Minority
- J Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ , Non-Profit, Large Firms) Non-Minority
- L Not Small Business (Univ , Non-Profit, Large Firms) Minority
- M US Government

ENTERED

27

(Continued on Page 2)

DEC 5 1980

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
OFFICE FOR THE DEVELOPMENT OF INTERNATIONAL DEVELOPMENT  
WASHINGTON, D.C. 20503

ORIGINAL

Mr. Warren W. Wiggins  
Director  
New TransCentury Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

18 NOV 1980

Subject: Specific Support Grant  
AID/pna -G-115?  
Amendment No. 12

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to provide the additional sum of \$250,000 in continuing support for your program of assistance to smaller PVOs. The total cumulative obligation under this Grant is now \$2,016,285. The estimated completion date of this program is March 31, 1981.

This Grant amendment is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period October 1, 1980 through March 31, 1981.

The subject Grant is hereby amended as follows:

Under Attachment A, delete Paragraph "D. Budget" in its entirety and insert the following in lieu thereof:

"D. Budget

The funds provided herein shall be used to finance the following items:

FUNDS AVAILABLE	
Date	11/19/80
Project No	932-0056
Collection No	3811906
Project Cc	4190
Allocation	4638-059-0076-11
Amount	\$250,000.00
By (initials)	D. Williams
AID/SFR/PII/RSD	

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<u>Cost Element</u>	<u>FR: 10/01/76</u> <u>TO: 09/30/80</u>	<u>FR: 10/01/80</u> <u>TO: 03/31/81</u>	<u>TOTAL</u>
Salaries	\$ 648,361	\$ 86,440	\$ 734,801
Consultant Fees	98,990	23,400	122,390
Fringe Benefits	139,736	18,498	157,234
Overhead	414,537	62,963	477,500
Travel and per diem	84,244	15,450	99,694
Other direct costs	<u>142,791</u>	<u>19,289</u>	<u>162,080</u>
Subtotal	\$1,527,659	\$226,040	\$1,753,699
G & A	<u>238,626</u>	<u>23,260</u>	<u>262,586</u>
TOTAL	\$1,766,285	\$250,000	\$2,016,285

Adjustments among the line items are unrestricted. However, the Grantee may not exceed the total funds obligated hereunder in the amount of \$2,016,285."

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Return the original and six (6) copies of this letter, including all those stamped "Funds Available" to this office.

Sincerely yours,



Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY:                     *W. Wiggins*                    

TYPED NAME: Warren W. Wiggins

TITLE: President

DATE: November 26, 1980

Fiscal Data

Appropriation No.	72-1111021.6
Allotment No.	146-38-099-00-76-11
PIO/T No.	3811906
Project No.	932-0096
Grant amount	\$250,000

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action  
Monitor **MVS**

SER/CM/SD/SUP Action  
Office **CM/SOD/PDC**

**INSTRUCTIONS** AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA.**

Contractor/Grantee Name <b>New TransCentury Foundation</b>			
PIO/T Number A#1 <b>932-0096-73-3805201</b>	Appropriation Number <b>72-1101021.6</b>	Allotment Number <b>046-38-099-00-76-01</b>	Project Number <b>932-0096</b>
Project Title			
Amount of this PIO/T <b>\$ -0-</b>	Project Manager's Name and Office Symbol <b>PDC/PVC: Harold Datta</b>		Contractor's D-U-N-S Number
Negotiator's Typed Name <b>B Meltz</b>	Signature <i>[Signature]</i>		Date <b>10/7/80</b>
Contract/Grant Officer's Typed Name <b>E. Thomas</b>	Signature <i>[Signature]</i>		Date <b>17 Sep 80</b>

**PART IB**

Contract/Grant Number <b>AID/pha-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>11</b>	Date PIO/T Received by CM/SD/SU or Mission Contract Office <b>9/17/80</b>
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**PART II**

Effective Date of Document <b>9/30/80</b>	Signature Date of Document <b>9/26/80</b>	Estimated Completion Date <b>3/3/81</b>	Contract/Grant funded through (date) <b>3/3/81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>-0-</b>	Cumulative Obligation thru this Action <b>1,766,285</b>

**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IQC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY

**M04 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BMA, etc)
- 1 Fixed Price (Specify FFP, FPRN, FPEPA, FPI) \_\_\_\_\_
- 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6 Grant - 211(d)\*
- 7 Do Not Use
- 8 IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
- C A & E (AIDPR 7 4 10)
- D Ed Inst and/or Int'l Research (AIDPR 7 4 57)
- E Collaborative Assistance (AIDPR 7 4 58)
- F Predominant Capability (AIDPR 7 3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))

- K 8(a) Selection (FPR 1-1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set-Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7-3 101-50(b)(2))

**M06 - TYPE SERVICE**

- A Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit-making firms (other than A & E)
- B A & E Firms

- C Individual (Non personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside Awarded to Non-Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set Aside - Awarded to Non-Minority
- D SB Not Set-Aside - Awarded to Minority
- E 8(a) SBA Awarded to Non-Minority (Women Owned, Veterans, etc)
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract - Non Minority
- H Personal Service Contract - Minority
- I Individual Non-Personal Service Contract - Non Minority
- J Individual Non Personal Service Contract - Minority
- K. Not Small Business (Univ, Non Profit, Large Firms) Non Minority
- L Not Small Business (Univ, Non-Profit, Large Firms) Minority
- M US Government

(Continued on Page 2)

OCT 8 1980

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

ORIGINAL

Mr. Warren W. Wiggins  
Director  
NEW TRANSCENTURY FOUNDATION  
1789 Columbia Road  
Washington, D.C. 20009

26 SEP 1980

Subject: Specific Support Grant  
AID/SOD/PDC-G-1153  
Amendment #11

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to extend the completion date from September 30, 1980 to March 31, 1981.

The total cumulative obligation remains at \$1,766,285.

The subject grant is hereby further amended as follows:

Under Attachment A., Section D, Budget, delete the date 9/30/80 and insert in lieu thereof 3/31/81.

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of this amendment. Please return the original and six copies of this letter to our office.

Sincerely,

*Edward H. Thomas*

Edward H. Thomas  
Grant Officer  
Services Operations Division  
Office of Contract Management

ORIGINAL

32

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY: Louis L. Mitchell

TYPED NAME: Louis L. Mitchell

TITLE: Executive Vice President

DATE: September 30, 1980

Fiscal Data

PIO/T NO : 932-0096-73-3805201  
Appropriation : 72-1101021.6  
Allotment : 046-38-099-00-76-01  
Amt. Obligated : 0.00  
Tech. Office : PDC/PVC

<b>CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET</b>	SER/CM/SD/SUP Action Monitor <b>B.C/PAS</b>	SER/CM/SD/SUP Action Office <b>PDC/PVC</b>
INSTRUCTIONS <u>AID/W</u> - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy <u>Missions</u> - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions		

**PART IA**

Contractor/Grantee Name <b>The New Trans-Century Foundation</b>			
PIO/T Number <b>305201</b>	Appropriation Number <b>72-1101021.6</b>	Allotment Number <b>046-38-099-00-76-01</b>	Project Number <b>932-0096</b>
Project Title <b>New Trans-Century Foundation Management &amp; Development Services</b>			
Amount of this PIO/T <b>\$500,000 (Increase)</b>	Project Manager's Name and Office Symbol <b>PDV/PVC Carole A Millikan</b>		Contractor's D-U-N-S Number
Negotiator's Typed Name <b>HARLESS</b>	Signature <i>A. Harless</i>	Date <b>11/2/79</b>	
Contract/Grant Officer's Typed Name <b>Michael Snyder</b>	Signature <i>[Signature]</i>	Date <b>11/2/79</b>	

**PART IB**

Contract/Grant Number <b>AID/PHA-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>10</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office
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**PART II**

Effective Date of Document <b>10/1/79</b>	Signature Date of Document <b>11/2/79</b>	Estimated Completion Date <b>9/30/80</b>	Contract/Grant funded through (date) <b>9/30/80</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>499,842</b>	Cumulative Obligation thru this Action <b>1,766,285</b>

**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
  - 1 Task Order (BOA)\*
  - 2 Work Order (IOC)\*
  - 3 Delivery Order (Requirements)\*
  - 4 Purchase Order
  - \*If 1, 2, or 3 have an 'X', complete M12 ONLY
- M04 - CONTRACT/GRANT TYPE**
- 0 MOA, (BOA, BMA, etc)
  - 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
  - 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAI, CPIF) \_\_\_\_\_
  - 3 Cooperative Agreement
  - 4 Grant - General Support\*
  - 5 Grant - Specific Support\*
  - 6 Grant 211(d)\*
  - 7 Do Not Use
  - 8 IQCs
  - 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1 2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
- C A & E (AIDPR 7 4 10)
- D Id Inst and/or Int'l Research (AIDPR 7-4 57)
- E Collaborative Assistance (AIDPR 7-4 58)
- I Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101 50(b)(1))
- I Sole Source (AIDPR 7-3 101 50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7 3 101 50(b)(7))
- K. 8(a) Selection (FPR 1 1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7-3 101 50(b)(2))

**M06 - TYPE SERVICE**

- A Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E. Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit making firms (other than A & E)
- B A & E Firms

- C. Individual (Non personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non-Profit Organization, Institutions (other than Fd or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc) (HB 13, Chapter 5)

**M08 TYPE AWARD**

- A SB Set-Aside - Awarded to Non-Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set-Aside - Awarded to Non Minority
- D SB Not Set Aside Awarded to Minority
- E 8(a) SBA Awarded to Non Minority (Women-Owned, Veterans, etc)
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract Non Minority
- H Personal Service Contract Minority
- I Individual Non Personal Service Contract - Non Minority
- J Individual Non Personal Service Contract - Minority
- K. Not Small Business (Univ, Non Profit, Large Firms) Non Minority
- L Not Small Business (Univ, Non Profit, Large Firms) Minority
- M U S Government

(Continued on Page 2)

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NOV 16 1979

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

ORIGINAL

NOV 16 1979	
FUNDS AVAILABLE	
Date	11/2/79
Project No	932-0096
Obligation No	3805201
Object Class	4190
Allocation	016 38 099 02 76 01
Amount	\$ 499,842.10
Authority	William

Mr. Warren W. Wiggins  
Director  
NEW TRANSCENTURY FOUNDATION  
1789 Columbia Road, NW  
Washington, D.C. 20009

SUBJECT: Grant No. AID/pha-G-1153  
Amendment No. 10

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the New TransCentury Foundation (hereinafter referred to as "TNTF" or "Grantee") the additional sum of \$499,842 to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now "\$1,766,285.

The subject Grant is hereby amended as follows:

Under Attachment A, delete Paragraph D "Budget" in its entirety and insert the following in lieu thereof:

"D. Budget

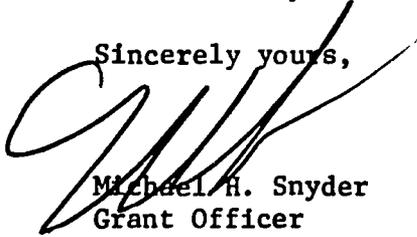
The funds provided herein shall be used to finance the following items:

<u>COST ELEMENT</u>	<u>FR: 10/01/76</u> <u>TO: 09/30/77</u>	<u>FR: 10/01/77</u> <u>TO: 09/30/78</u>	<u>FR: 10/01/78</u> <u>TO: 09/30/79</u>	<u>FR: 10/01/79</u> <u>TO: 09/30/80</u>	<u>TOTAL</u>
Salaries	\$111,045	\$150,148	\$214,288	\$172,880	\$ 648,361
Consultant Fees	8,635	14,348	29,207	46,800	98,990
Fringe Benefits	23,750	32,132	45,858	36,996	138,736
Overhead	67,398	91,140	130,073	125,926	414,537
Travel	15,267	10,000	14,592	16,000	55,859
Per Diem	3,916	5,000	4,569	14,900	28,385
Other Direct Costs	<u>11,871</u>	<u>62,665</u>	<u>27,355</u>	<u>40,900</u>	<u>142,791</u>
SUBTOTAL.....	\$241,882	\$365,433	\$465,942	\$454,402	\$1,527,659
G & A	<u>43,539</u>	<u>65,778</u>	<u>83,869</u>	<u>45,440</u>	<u>238,626</u>
TOTAL.....	\$285,421	\$431,211	\$549,811	\$499,842	\$1,766,285

Adjustments among the line items are unrestricted; however, the Grantee may not exceed the total of funds obligated hereunder in the amount of \$1,766,285."

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the original and six (6) copies of this letter, including all those stamped "Funds Available" to my office.

Sincerely yours,  
  
Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY: Brenda B. Eddy

TYPED NAME: BRENDA B. EDDY

TITLE: Vice President

DATE: 11-5-79

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor

SER/CM/SD/SUP Action Office

**INSTRUCTIONS** AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy, Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
 Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA**

Contractor/Grant Name <i>New TransCentury Foundation</i>			
PIO/T Number <i>N/A</i>	Appropriation Number <i>N/A</i>	Allotment Number <i>N/A</i>	Project Number
Project Title <i>N/A</i>			
Amount of this PIO/T <i>N/A</i>	Project Manager's Name and Office Symbol <i>C. Millikan</i>		Contractor's D-U-N-S Number
Negotiator's Typed Name <i>HARLESS</i>		Signature <i>D. Harless</i>	Date <i>10/10/79</i>
Contract/Grant Officer's Typed Name <i>SNYDER</i>		Signature <i>[Signature]</i>	Date <i>10/10/79</i>

**PART IB.**

Contract/Grant Number <i>AID/pha-6-1153</i>	Type Order	Order Number	Amendment/Modification Number <i>9</i>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <i>8/6/79</i>
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**PART II**

Effective Date of Document <i>9/30/79</i>	Signature Date of Document <i>9/19/79</i>	Estimated Completion Date <i>11/15/79</i>	Contract/Grant funded through (date) <i>11/15/79</i>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <i>-0-</i>	Cumulative Obligation thru this Action <i>1,264,443</i>

**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IQC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY

**M04 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BM 4, etc )
- 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2 Cost Reimbursement (Specify CR, CP&F, CS, CPAF, CPIF) \_\_\_\_\_
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6 Grant - 211(d)\*
- 7 Do Not Use
- 8 IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7 4 56)
- C A & E (AIDPR 7-4 10)
- D Fd Inst and/or Int'l Research (AIDPR 7-4 57)
- E Collaborative Assistance (AIDPR 7 4 58)
- F Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7 3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))
- K. 8(a) Selection (FPR 1 1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set-Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7 3 101 50(b)(2))

**M06 - TYPE SERVICE**

- A Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E. Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit making firms (other than A & E)
- B A & E Firms

- C Individual (Non-personal service)
- D. Individual (Personal Service)
- E University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc ) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set Aside - Awarded to Non Minority
- D SB Not Set-Aside Awarded to Minority
- E 8(a) SBA Awarded to Non Minority (Women-Owned, Veterans, etc )
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract - Non Minority
- H Personal Service Contract - Minority
- I Individual Non Personal Service Contract - Non Minority
- J Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ , Non Profit, Large firms) Non Minority
- L Not Small Business (Univ , Non Profit, Large Firms) Minority
- M US Government

*38*

(Continued on Page 2)

*ACL*

*NOT 4 0*

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON DC 20523

SEP 19 1979

ORIGINAL

Mr. Warren W. Wiggins  
Director  
NEW TRANSCENTURY FOUNDATION  
1789 Columbia Road, NW  
Washington, D.C. 20009

SUBJECT: Grant No. AID/pha-G-1153  
Amendment No. 9

Dear Mr. Wiggins:

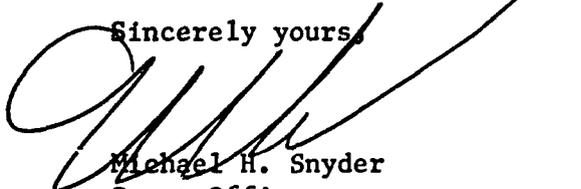
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby amended to delete the completion date of "September 30, 1979" wherever it appears and insert in lieu thereof the new completion date of "November 15, 1979".

The total cumulative obligation under this Grant remains at \$1,266,443.

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

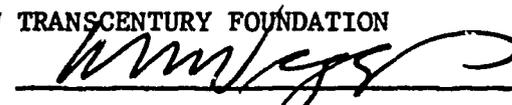
Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of this amendment. Please return the original and six (6) copies of this letter to my office.

Sincerely yours,

  
Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY: 

TYPED NAME: Warren W. Wiggins

TITLE: President

DATE: September 21, 1979

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor  
**PAS**

SER/CM/SD/SUP Action Office  
**SOD/PDC**

INSTRUCTIONS AID/W - Complete 4 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin (Suspense) Copy  
Missions - Complete 2 copies of the form Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions

**PART IA**

Contractor/Grantee Name

**New TransCentury Foundation**

PIO/T Number <b>3899205 A#1</b>	Appropriation Number <b>72-1191021.6</b>	Allotment Number <b>946-38-099-00-76-91</b>	Project Number <b>932-0096</b>
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Project Title

Amount of this PIO/T <b>50,000</b>	Project Manager's Name and Office Symbol <b>PDC/PVC, G. Millikan</b>	Contractor's D-U-N-S Number
---------------------------------------	---	-----------------------------

Negotiator's Typed Name <b>HARLESS</b>	Signature <i>J. Harless</i>	Date <b>8/27/79</b>
---	--------------------------------	------------------------

Contract/Grant Officer's Typed Name <b>M. Snyder</b>	Signature <i>[Signature]</i>	Date <b>8/27/79</b>
---	---------------------------------	------------------------

**PART IB**

Contract/Grant Number <b>AID/PHA-G-1153</b>	Type Order	Order Number	Amendment/Modification Number <b>8</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>8/8/79</b>
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**PART II**

Effective Date of Document <b>8/23/79</b>	Signature Date of Document <b>8/23/79</b>	Estimated Completion Date <b>9/30/79</b>	Contract/Grant funded through (date) <b>9/30/79</b>
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Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>50,000</b>	Cumulative Obligation thru this Action <b>1,226,443</b>
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**PART III**

Description of Contract/Grant Please 'X' ONLY ONE item under each heading IF OTHER, please specify (Complete both pages 1 and 2) NOTE Not to be completed for Amendment or Modification Actions.

**103 - TYPE ACTION**

- 0 Contract/Grant/Cooperative Agreement
- 1 Task Order (BOA)\*
- 2 Work Order (IQC)\*
- 3 Delivery Order (Requirements)\*
- 4 Purchase Order

If 1, 2, or 3 have an 'X', complete M12 ONLY

**104 - CONTRACT/GRANT TYPE**

- 0 MOA, (BOA, BMA, etc)
- 1 Fixed Price (Specify FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2 Cost Reimbursement (Specify CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3 Cooperative Agreement
- 4 Grant - General Support\*
- 5 Grant - Specific Support\*
- 6 Grant - 211(d)\*
- 7 Do Not Use
- 8 IQCs
- 9 Host Country Contract/Grant\*

\*Complete ONLY through M51

**M05 - SELECTION PROCEDURES**

- A Formally advertised (IFB) (AIDPR 7-2 4 & FPR 1-2 4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4 56)
- C A & E (AIDPR 7 4 10)
- D Ed Inst and/or Int'l Research (AIDPR 7-4 57)
- E Collaborative Assistance (AIDPR 7-4 58)
- I Predominant Capability (AIDPR 7-3 101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3 101-50(b)(7))
- K. 8(a) Selection (FPR 1-1 713-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set Aside (FPR 1-1 706-8)
- P Overseas Procuring Activities (AIDPR 7 3 101 50(b)(2))

**M06 - TYPE SERVICE**

- A Training of Participants
- B Technical Assistance (Program, Project related except A & E Services)
- C A & E Services
- D Construction
- E. Research
- F Technical Services to AID (other than training, usually operating expense)
- G Training Service for AID
- H Equipment, Materials, Supplies, Commodities
- I Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A Firm - All profit-making firms (other than A & E)
- B A & E Firms

- C Individual (Non personal service)
- D Individual (Personal Service)
- E University/Other Educational Institutions
- F Non Profit Organization Institutions (other than Ed or PVO)
- G Private Voluntary Organization (PVO)
- H International Agricultural Research Organization
- I Public International Organizations (UN Agencies, ICRC World Bank, etc ) (HB 13, Chapter 3)

**M08 - TYPE AWARD**

- A SB Set-Aside - Awarded to Non Minority
- B SB Set-Aside - Awarded to Minority
- C SB Not Set-Aside - Awarded to Non-Minority
- D SB Not Set-Aside - Awarded to Minority
- E 8(a) SBA Awarded to Non Minority (Women Owned, Veterans, etc )
- F 8(a) SBA Awarded to Minority
- G Personal Service Contract Non Minority
- H Personal Service Contract - Minority
- I Individual Non Personal Service Contract - Non Minority
- J Individual Non Personal Service Contract - Minority
- K. Not Small Business (Univ , Non-Profit, Large Firms) Non Minority
- L Not Small Business (Univ , Non-Profit, Large Firms) Minority
- M U S Government

(Continued on Page 2)

SEP 19 1979

Date	8/29/79
Agency	AGENCY FOR INTERNATIONAL DEVELOPMENT
File No.	932-0096
Project No.	3899205
Class	4190
Amount	946-38-099-00 7691
Value	\$50,000.00
Name	Burrows

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

ORIGINAL

AUG 23 1979

Mr. Warren W. Wiggins  
Director  
New Transcentury Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

Subject: Grant No. AID/pha-G-1153  
Amendment No. 8  
PIO/T No. 3899205 A #1

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Transcentury Foundation (hereinafter referred to as "TNTF" or "Grantee") the additional sum of Fifty Thousand Dollars (\$50,000) to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now \$1,266,443.

The subject Grant is hereby amended as follows:

Under Attachment A, delete Paragraph D. "Budget" in its entirety and insert the following in lieu thereof:

"D. Budget

The funds provided herein shall be used to finance the following items:

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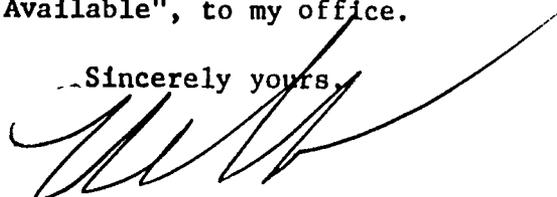
	<u>Year I</u> FR: 10/1/76 TO: 9/30/77	<u>Year II</u> FR: 10/1/77 TO: 9/30/78	<u>Year III</u> FR: 10/1/78 TO: 9/30/79	<u>Total</u>
<b>Salaries</b>				
Home Office				
Professionals	\$104,922	\$139,148	\$186,198	\$ 430,268
Home Office				
Non-Professionals	<u>6,123</u>	<u>11,000</u>	<u>28,090</u>	<u>45,213</u>
Subtotal	111,045	150,148	214,288	475,481
Consultant Fees	8,635	14,348	29,207	52,190
Fringe Benefits	23,750	32,132	45,858	101,740
Overhead	67,398	91,140	130,073	288,611
Travel	15,267	10,000	14,592	39,859
Per Diem	3,916	5,000	4,569	13,485
Other Direct Costs	<u>11,871</u>	<u>62,665</u>	<u>27,355</u>	<u>101,891</u>
Subtotal	\$241,882	\$365,433	\$465,942	\$1,073,257
G&A	<u>43,539</u>	<u>65,778</u>	<u>83,869</u>	<u>193,186</u>
TOTAL	<u>\$285,421</u>	<u>\$431,211</u>	<u>\$549,811</u>	<u>\$1,266,433</u>

Adjustments among the line items are unrestricted; however, the Grantee may not exceed the total of funds obligated hereunder in the amount of \$1,266,433."

Except as expressly herein amended, the subject Grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the original and six (6) copies of this letter, including all those stamped "Funds Available", to my office.

Sincerely yours,



Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

42

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY: 

TYPED NAME: Warren W. Wiggins

TITLE: President

DATE: September 6, 1979

Mrs. Lewis

MEMORANDUM

Date: June 20, 1979

TO : Distribution

FROM : *reel.* CM/SOD, Donald B. Dickie

SUBJECT: Grant \_\_\_\_\_ No. AID/pha-G-1153 \_\_\_\_\_ between A.I.D.  
and New Transcentury Corporation \_\_\_\_\_.

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated June 12, 1979. This Agreement is also Amendment No. 7 to the subject Grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis

CM/SD/SUP, Mrs. J. Pellegrino

SER/FY, Mr. W. McKeel

AAG/W, Mr. B. Abshire (2 copies)

Contracting Officer, CM/ SOD/PDC , Mr. M. Snyder

Project Manager, PDC/PVC, Mr. D. Parker

USAID/ \_\_\_\_\_

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ORIGINAL

## NEGOTIATED INDIRECT COST RATE AGREEMENT

Date June 12, 1979

SUBJECT Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

REFERENCE DCAA Audit Report No. 6221-8A160099 dated March 30, 1979

INSTITUTION New Transcentury Corporation  
 at 1789 Columbia Road, N. W.  
 OFFICE Washington, D.C. 20009

Type	Effective Period From Thru		Overhead			G&A	
			Home Office	Field	Intermediate Planners	Regular	Intermediate Planners
			a/	b/	c/	d/	e/
Final	10-1-76	9-30-77	52.00	25.00	5.00	19.06	2.41
Provisional	10-1-77	Until Amended	77.00	30.00		12.00	
Fixed	10-1-77	Until Amended			5.00		2.41

Acceptance of the rate(s) agreed to herein is predicated upon the conditions (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs (3) that similar types of costs have been accorded consistent treatment and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate

Base of Application a/ Home Office direct salaries and fringe benefits but excluding intermediate planners.

b/ Field Office direct salaries and fringe benefits.

c/ Direct salaries and fringe benefits of intermediate planners.

d/ Total cost excluding G&A and direct salaries and fringe benefits of intermediate planners.

e/ Direct salaries and fringe benefits of intermediate planners.

SEE PART III FOR APPLICATION OF THESE RATES TO AID AGREEMENTS

**PART II - ITEMS NORMALLY TREATED AS DIRECT COSTS:**

**PART III - SPECIAL TERMS AND CONDITIONS:**

Pursuant to § 7.3.705 of the Agency For International Development Procurement Regulations (ADPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties.

<u>Contract/Grant No.</u>	<u>Amendment No.</u>	<u>Project Number</u>
AID/pha-G-1153	3	932-0096
AID/pha-G-1156	3	932-0097
AID/1a-C-1173	2	598-0044
AID/1a-C-1225	7	511-0468
AID/1t-C-2217	8	931-0262

ACCEPTED: *W. W. H. H. H.*  
 BY: \_\_\_\_\_  
 PRINTED OR TYPED NAME: Walter W. H. H.  
 TITLE: President  
 DATE: June 1st 1978

*Donald Dickie*  
 Donald Dickie  
 CONTRACTING OFFICER  
 Overhead and Special Costs Branch  
 Services Operations Division  
 Office of Contract Management  
 Agency for International Development

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	NE	PE	X CM/SD/SUP <span style="border: 1px solid black; padding: 2px;">DD</span>
X	LA	OTR	X SER/FM/ PAD
	ASIA		X PDC/PVX X LA/DR X LA/MRSD
		<u>CM/SOD</u>	X DS/N
		PDC	

MEMORANDUM

Date: April 17, 1979

TO : Distribution

FROM : <sup>USAID</sup> CM/SOD, <sup>USAID</sup> Ronald B. Dickie

SUBJECT: Grant No. AID/pha-G-1153 between A.I.D.  
and New Trans Century Foundation.

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated April 10, 1979. This Agreement is also Amendment No. 6 to the subject Grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis

CM/SD/SUP, Mr. G. Nell

SER/FM, Mr. W. McKeel

AAG/W, Mr. B. Abshire (2 copies)

Contracting Officer, CM/SOD/PDC, Mr. M. Snyder

Project Manager, PDC/PVC, Mr. C. Parker

USAID/\_\_\_\_\_

ORIGINAL

AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON DC 20523

**NEGOTIATED INDIRECT COST RATE AGREEMENT**

Date April 10, 1979

**SUBJECT** Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

**REFERENCE** NTF letter dated January 23, 1979

**INSTITUTION** New Trans Century Foundation  
**OR** 1789 Columbia Road, N. W.  
**ADDRESS** Washington, D.C. 20009

Type	Effective Period		Overhead			G&A	
	From	Thru	Home Office	Field	Intermediate Planners	Regular	Intermediate Planners
			a/	b/	c/	d/	e/
Provisional	10-1-76	9-30-77	52.00	25.00		19.00	
Provisional	10-1-77	Until Amended	77.00	30.00		12.00	
Fixed	10.1-76	Until Amended			5.00		2.41

Acceptance of the rate(s) agreed to herein is predicated upon the conditions (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor, (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs (3) that similar types of costs have been accorded consistent treatment and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate

a/ Home Office direct salaries and fringe benefits but excluding intermediate planners.

b/ Field Office direct salaries and fringe benefits.

c/ Direct salaries and fringe benefits of intermediate planners.

d/ Total cost excluding G&A and direct salaries and fringe benefits of intermediate planners.

e/ Direct salaries and fringe benefits of intermediate planners.

SEE PART III FOR APPLICATION OF THESE RATES TO AID AGREEMENTS

48X

**PART II - ITEMS NORMALLY TREATED AS DIRECT COSTS:**

**PART III - SPECIAL TERMS AND CONDITIONS:**

Pursuant to § 73705 of the Agency For International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties.

<u>Contract/Grant No</u>	<u>Amendment No</u>	<u>Project Number</u>
AID/pha-G-1153	6	932-0096
AID/pha-G-1156	2	932-0097
AID/otr-G-1581	1	906-0001
AID/otr-C-1674	1	906-0001
AID/afr-C-1381	2	677-0004
AID/afr-C-1458	1	690-0104
AID/1a-C-1173	1	598-0044
AID/1a-C-1225	4	511-0468
AID/it-C-2217	7	931-0262

ACCEPTED

BY

*Ralph R. Bates*

*Ralph R. Bates*

PRINTED OR TYPED NAME

*Vice President*

TITLE

*4/13/79*

DATE

*Donald Dickie*

Donald Dickie

CONTRACTING OFFICER

Overhead and Special Costs Branch

Services Operations Division

Office of Contract Management

Agency for International Development

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X

AAG/W

X

CM/SD/SUP

X

SER/FM/ PAD

X

PDC/PVC

X

A/AID/WID

X

AFR/DR

X AFR/SA

X LA/DR

X LA/MRSD

X TA/N

DD

49

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON DC 20523

*Am - D pr 1*  
*7-27 - S. Harker, Jr*  
*1-1 "J. V. V. /*  
*CINE*

NOV 13 1978

ORIGINAL

Mr. Warren W. Wiggins  
Director  
New Trancentury Foundation  
1789 Columbia Rd., N.W.  
Washington, D. C. 20009

Subject: Grant No. AID/pha-G-1153  
Amendment No. *45*  
Specific Support Grant  
PIO/T No. 932-0096-73-3 899205

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Transcentury Foundation (hereinafter referred to as "TNTF" or "Grantee") the additional sum of Four Hundred Ninety-nine Thousand Four Hundred Ninety-five Dollars (\$499,495) to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now \$1,216,443.

The subject Grant is hereby amended as follows:

1. Attachment A - Paragraph D. Budget - Delete in its entirety and insert in lieu thereof the following:

FUNDS AVAILABLE  
*9C 4190*  
NOV 14 1978  
*a Bell*  
Program Accig. Division  
OFFICE OF FINANCIAL MANAGEMENT

50

"D. Budget

The funds provided herein shall be used to finance the following items:

	Year I FR: 10/1/76 TO: 9/30/77	Year II FR: 10/1/77 TO: 9/30/78	Year III FR: 10/1/78 TO: 9/30/79	Total
Salaries				
Home Office Professionals	\$104,922	\$139,148	\$172,129	\$416,199
Home Office Non-Professionals	6,123	11,000	25,800	42,923
Subtotal	111,045	150,148	197,929	459,122
Consultant Fees	8,635	14,348	24,000	46,983
Fringe Benefits	23,750	32,132	42,357	98,239
Overhead	67,398	91,140	120,143	278,681
Travel	15,267	10,000	12,800	38,067
Per Diem	3,916	5,000	3,840	12,756
Other Direct Costs	<u>11,871</u>	<u>62,665</u>	<u>22,500</u>	<u>97,036</u>
Subtotal	\$241,882	\$365,433	\$423,569	\$1,030,884
G&A	<u>43,539</u>	<u>65,778</u>	<u>76,242</u>	<u>185,559</u>
TOTAL	\$285,421	\$431,211	\$499,811	\$1,216,443

Adjustments among the line items are unrestricted except that the Grantee may not exceed the total of funds obligated hereunder in the amount of \$1,030,884."

2. Attachment B - Add new Standard Provision No. 28 entitled "Alterations in Standard Provisions" incorporated herein and attached hereto as Attachment 2 (Supplement).

Except as expressly herein amended, the subject grant is in all respects ratified, conformed and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the original and six (6) copies of this letter, including all copies stamped "Funds Available", to my office.

Sincerely yours,



Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACKNOWLEDGED:

NEW TRANSCENTURY FOUNDATION

BY: Warren Wilkings

TYPED NAME: Warren Wilkings

TITLE: Director

DATE: Nov 17, 1978

U.S. Grantees and U.S. Subgrantees  
(BOTH EDUCATIONAL INSTITUTIONS AND OTHER THAN EDUCATIONAL INSTITUTIONS)

**28. ALTERATIONS IN STANDARD PROVISIONS**

The Standard Provision of the September 1977 Edition have been changed as follows

**a Standard Provision 7A PAYMENT - FEDERAL RESERVE LETTER OF CREDIT (FRLC) ADVANCE**

(1) Delete the applicability statement and substitute the following therefor

(This provision is applicable when the sum of all advances under the Grantee's contracts and grants with AID exceeds \$120,000 per annum and AID has, or expects to have, a continuing relationship with the Grantee for at least a year )

(2) Delete the term "TUS" in paras (a), (d)(4)(A), (d)(4)(C), and (d)(5) and substitute the term "TFS" therefor

(3) Delete the term "SER/FM" wherever it appears in para (c) and substitute the term "the AID Controller" therefor, also delete the term "Office of Financial Management" in para (d)(7) and substitute the term "the AID Controller" therefor

(4) Delete the figures "\$10,000" and "\$1,000,000" in para (a) and substitute the figures "\$5,000" and "\$5,000,000", respectively, therefor

(5) Delete the words "less than" in para (d)(7) and substitute the words "more than" therefor

**b Standard Provision 7B PAYMENT-PERIODIC ADVANCE**  
Delete the applicability statement and substitute the following therefor

(This provision is applicable when (1) the sum of all advances under the Grantee's contracts and grants with AID does not exceed \$120,000 per annum, or (2) when the sum of all such advances does exceed \$120,000 per annum, but there is not a continuing relationship with the Grantee of at least one year )

**c Standard Provision 10 PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000**

Delete the entire provision and substitute the following therefor

**10 PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000**

(This provision is applicable when the total procurement element (i.e., the sum of all purchase orders and contracts for goods and services) of this Grant does not exceed \$250,000 )

**(a) Ineligible Goods and Services**

Under no circumstances shall the Grantee procure any of the following under this Grant

- (1) military equipment,
- (2) surveillance equipment,
- (3) commodities and services for support of police or other law enforcement activities,
- (4) abortion equipment and services,
- (5) luxury goods and gambling equipment, or
- (6) weather modification equipment

(For a more detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4D )

If AID determines that the Grantee has procured any of the ineligible goods and services specified above under this Grant, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase

**(b) Restricted Goods**

The Grantee shall not procure any of the following goods or services from a non-U.S. source (i.e., other than AID Geographic Code 000) without the prior written authorization of the Grant Officer:

- (1) agricultural commodities,
- (2) motor vehicles,
- (3) pharmaceuticals,
- (4) pesticides,
- (5) plasticizers,
- (6) used equipment, or
- (7) U.S. Government-owned excess property

(For a detailed discussion of the subject, see AID Handbook 1, Supplement B paragraph 4C.)

If AID determines that the Grantee has procured any of the restricted goods specified above under this Grant, without the prior written authorization of the Grant Officer, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase

**(c) Geographic Source and Order of Preference**

Except as may be specifically approved or directed in advance by the Grant Officer under paragraph 10(b) above, all other goods (e.g., equipment, materials, and supplies) and services, the costs of which are to be reimbursable under this Grant and which will be financed with United States dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., AID Geographic Code 935) in accordance with the following order of preference

- (1) the United States (AID Geographic Code 000)
- (2) "Selected Free world" countries (AID Geographic Code 947),
- (3) the cooperating country,
- (4) "Special Free World" countries (AID Geographic Code 935).

**(d) Application of Order of Preference**

When the Grantee procures goods and services from other than U.S. sources, under the order of preference in 10(c) above, it shall document its files to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the Grantee's documentation

- (1) the procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U.S. sources,
- (2) the price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U.S. source,
- (3) impelling local political considerations precluded consideration of U.S. sources,
- (4) the goods or services were not available from U.S. sources, or
- (5) procurement of locally available goods or services, as opposed to procurement of U.S. goods and services, would best promote the objectives of the Foreign Assistance Program under the Grant.

X  
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(e) The Grantee's Procurement System

(1) The Grantee may use its own procurement policies and procedures provided they conform to the geographic source and order of preference requirements of this provision and paragraphs 3 and 4, Attachment O of OMB Circular No A-110 .

(2) If the Grantee's procurement policies and procedures have been reviewed against the procurement requirements of paragraphs 3 and 4 of Attachment O to OMB Circular No A-110 and have been approved by AID or another Federal department or agency, the Grantee shall furnish the Grant Officer a copy of such approval, otherwise the Grantee's procurement policies and procedures shall conform to the specified requirements of OMB Circular No A-110. (See AID Handbook 13, Chapter 1, paragraph 1U )

(f) Small Business

To permit AID, in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Small Business Office, AID, Washington D C. 20523 at least 45 days prior (except where a shorter time is requested of, and granted by the Small Business Office) to placing any order or contract in excess of \$25,000.

- (1) Brief general description and quantity of goods or services,
- (2) Closing date for receiving quotations, proposals, or bids, and
- (3) Address where invitations or specifications can be obtained

(g) Ineligible Suppliers

Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing" (22 CFR 208) The Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be reimbursable under this Grant AID will provide the Grantee with this list

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D C 20523

*pm D Parker  
Fry - S Harless  
D C Love Jones*

ORIGINAL

SEP 27 1978

Mr. Warren W. Wiggins  
Director  
New Transcentury Foundation  
1789 Columbia Rd., N.W.  
Washington, D. C. 20009

Subject: Grant No. AID/pha-G-1153  
Amendment No. 4  
Specific Support Grant  
PIO/T No. 932-0096-73-3289203 A#2

Dear Mr. Wiggins:

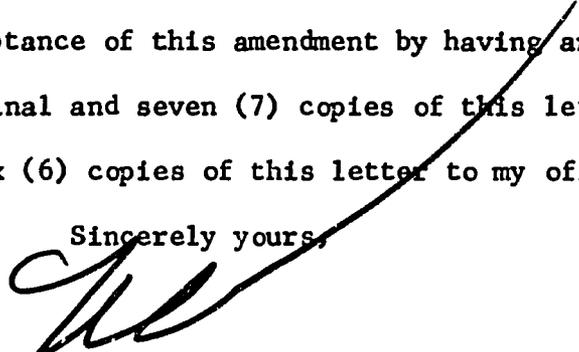
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, this Grant is amended to extend the second tranche funding period from September 30, 1978 to November 15, 1978.

This amendment is effective as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period October 1, 1977 through November 15, 1978.

Except as expressly herein amended, the subject Grant is in all respects ratified, conformed and continued in full force and effect in accordance with its terms.

Please acknowledge your acceptance of this amendment by having an authorized official sign the original and seven (7) copies of this letter. Please return the original and six (6) copies of this letter to my office.

Sincerely yours,

  
Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

55

ACCEPTED:

NEW TRANSCENTURY FOUNDATION,

BY: 

TYPED NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

MAR 28 1978

*Organized - D Parker  
neg - S Harless  
PVC/OPNS*

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON DC 20523

MAR 11 1978

ORIGINAL

Mr. Warren W. Wiggins  
Director  
New Transcendy Foundation  
1789 Columbia Rd., N.W.  
Washington, D. C. 20009

Approp. No. 1181021.6  
Allot. No. 84638-099-00-96-81  
CLI. No. 3289203  
Amount \$202,000.00  
Proj. No. 932-0096-93

Pr *UWA*  
Subject: Grant No. AID/pha-G-1153  
Amendment No. 3  
Specific Support Grant  
PIO/T No. 932-0096-73-3289203

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Transcendy Foundation (hereinafter referred to as "TNTF" or "Grantee") the additional sum of Two Hundred Two Thousand Dollars (\$202,000) to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now \$716,948.

The subject Grant is hereby amended as follows:

1. Attachment A - Paragraph B.3. - Add new section "F." as follows:

"F. Management Conferences Involving PVOs: In pursuit of the objectives of this Grant, TNTF shall set-up and manage conferences involving

PVOs where there can be (1) a consideration of common program problems and issues involving PVOs which have emerged during the work of the Grantee; (2) an interpretation of AID programs and policies; and (3) an opportunity for the Advisory Committee on Voluntary Foreign Aid to meet with and provide information, counsel and assistance to PVOs, and to elicit from the PVOs and their constituencies their views regarding foreign aid."

2. Attachment A - Paragraph "D. Budget" delete in its entirety and insert in lieu thereof the following:

"D. Budget

The funds provided herein shall be used to finance the following items:

	Year I Fr: 10/1/76 <u>To: 9/30/77</u>	Year II Fr: 10/1/77 <u>To: 9/30/78</u>	Year III Fr: 10/1/78 <u>To: 9/30/79</u>	<u>Total</u>
Salaries				
Home Office Professionals	\$104,922	\$139,148	\$ 51,861	\$295,931
Home Office Non-Professionals	6,123	11,000	14,377	31,500
Subtotal	\$111,045	\$150,148	\$ 66,238	\$327,431
Consultant Fees	8,635	14,348	17,515	40,498
Fringe Benefits	23,750	32,132	14,188	70,070
Overhead	67,398	91,140	40,214	198,752
Travel	15,267	10,000	10,589	35,856
Per Diem	3,916	5,000	13,449	22,365
Other Direct Costs	<u>11,871</u>	<u>62,665</u>	<u>17,214</u>	<u>91,750</u>
Subtotal	\$241,882	\$365,433	\$179,407	\$786,722
G&A	<u>43,539</u>	<u>65,778</u>	<u>32,293</u>	<u>141,610</u>
TOTAL	<u>\$285,421</u>	<u>\$431,211</u>	<u>\$211,700</u>	<u>\$928,332</u>

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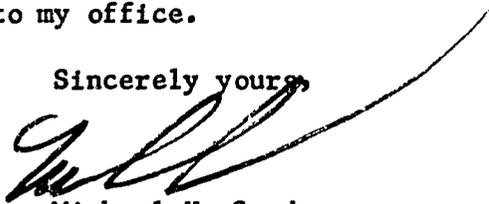
Adjustments among the line items are unrestricted except that the Grantee may not exceed the total of funds obligated hereunder in the amount of \$716,948. Funds have been obligated for the Year I and II budgets and \$316 has been obligated towards the Year III budget. Additional funds may be provided for Year III subject to their availability."

3. Attachment B - Delete Clause 7B "Payment - Periodic Advance" and insert in lieu thereof Clause 7A "Payment - Federal Reserve Letter of Credit (FRLC) Advance.

Except as expressly herein amended, the subject grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please sign the original and (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the original and (6) copies of this letter, including all copies stamped "Funds Available", to my office.

Sincerely yours,



Michael H. Snyder  
Grant Officer  
Services Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANSCENTURY FOUNDATION

BY: Warren W. Wiggins

TYPED NAME: Warren W. Wiggins

TITLE: Director

DATE: March 22, 1978

ORIGINAL

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D C 20523

9 DEC 1977  
Prog mgr - D Parker  
Reg - C Compton  
JHA/PA/OPAS

Mr. Warren W. Wiggins  
Director  
New Trans Century Foundation  
1789 Columbia Rd., N.W.  
Washington, D.C. 20009

NOV 23 1977

Subject: Grant No. AID/pha-G-1153  
Amendment No. 2  
Specific Support Grant  
PIO/T No. 3289203

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Trans Century Foundation (hereinafter referred to as "TNCF" or "Grantee") the additional sum of Two Hundred Fifteen Thousand Dollars (\$215,000) to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now \$514,948.

The subject Grant is hereby amended as follows:

1. Attachment A - Paragraph "D. - Budget" delete in its entirety and insert in lieu thereof the following:

ORIGINAL

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"D. Budget

The funds provided herein shall be used to finance the following items:

	<u>Year I</u> Fr: 10/1/76 To: 9/30/77	<u>Year II</u> Fr: 10/1/77 To: 9/30/78	<u>Year III</u> Fr: 10/1/78 To: 9/30/79	<u>Total</u>
<b>Salaries</b>				
Home Office Professionals	\$104,922	\$ 65,118	\$ 51,861	\$221,901
Home Office Non-Professionals	6,123	11,000	14,377	31,500
Subtotal	\$111,045	\$ 76,118	\$ 66,238	\$253,401
Consultant Fees	8,635	10,000	17,515	36,150
Fringe Benefits	23,750	16,289	14,188	54,227
Overhead	67,398	46,203	40,214	153,815
Travel	15,267	10,000	10,589	35,856
Per Diem	3,916	5,000	13,449	22,365
Other Direct Costs	<u>11,871</u>	<u>12,000</u>	<u>17,214</u>	<u>41,085</u>
Subtotal	\$241,882	\$175,610	\$179,407	\$596,899
G & A	<u>43,539</u>	<u>31,610</u>	<u>32,293</u>	<u>107,442</u>
TOTAL	<u>\$285,421</u>	<u>\$207,220</u>	<u>\$211,700</u>	<u>\$704,341</u>

Adjustments among the line items are unrestricted except that the Grantee may not exceed the total of funds obligated hereunder in the amount of \$514,948. Funds have been obligated for the Year I and II budgets and \$22,307 has been obligated towards the Year III budget. Additional funds may be provided for Year III subject to their availability."

Mr. W. W. Wiggins  
TNTF

2. Attachments B and C - Delete in their entirety and insert in lieu thereof, "Attachment B, Standard Provisions for Other Than Educational Institutions", attached hereto and made a part hereof.

3. Modifications to Attachment B

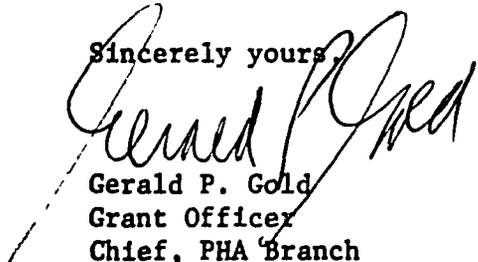
(a) Delete the following clauses: Nos. 7A, 7C, 12B and 12C.

(b) Delete clause No. 10 in its entirety and insert in lieu thereof, clause No. 10 attached hereto and made a part hereof.

Except as expressly herein amended, the subject grant is in all respects ratified, confirmed, and continued in full force and effect in accordance with its terms.

Please sign the original and eight (8) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Return the original and seven (7) copies to my office.

Sincerely yours,



Gerald P. Gold  
Grant Officer  
Chief, PHA Branch  
Central Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANS CENTURY FOUNDATION

BY: W. W. Wiggins

TYPED NAME: W. W. Wiggins

TITLE: Director

DATE: Dec 7, 1977

APPROVED  
Approp. No. 118 1021.6  
Fillet. No. 84632 099-0026-81  
C. I. No. 3289203 1190  
215,000.00  
Proj. No. 932-0096-53

Lt. WMA 11/28/77 62

U.S Grantees and U S Subgrantees  
 OTHER THAN EDUCATIONAL INSTITUTIONS  
 INDEX OF  
 STANDARD PROVISIONS

1 Allowable Costs and Payment (Other Than Educational Institutions)	13 Conversion of United States Dollars to Local Currency
2 Accounting, Records, and Audit	14 Termination
3 Refunds	15 Voluntary Participation
4 Equal Opportunity in Employment	16 Prohibition on Abortion-Related Activities
5 Negotiated Overhead Rates - Other Than Educational Institutions	17. Voluntary Participation Requirements for Sterilization Programs
6 Limitation of Funds	18 Publications
*7A Payment - Federal Reserve Letter of Credit (FRLC) Advance	19 Patents
*7B Payment - Periodic Advance	20 Regulations Governing Employees Outside the United States
*7C Payment - Reimbursement	21. Subordinate Agreements
8 Travel and Transportation	22 U S Officials Not to Benefit
9 Ocean Shipment of Goods	23 Covenant Against Contingent Fees
10 Procurement of Goods and Services Under \$250,000	24 Nonliability
11 Government Furnished Express Personal Property	25 Amendment
**12A Title to and Use of Property (Grantee Title)	26 The Grant
**12B Title to and Care of Property (U S Government Title)	27 Notices
**12C Title to and Care of Property (Cooperating Country Title)	

\* Select only 1 payment provision from Group 7

\*\* Select only 1 property provision from Group 12, if title to all property is to vest in one entity, however if title is to be split by categories among two or more entities, select the appropriate provisions from Group 12 and identify the categories and entities in Attachment 1 of the Grant

1 ALLOWABLE COSTS AND PAYMENT (OTHER THAN EDUCATIONAL INSTITUTIONS)

(This provision is applicable to other than educational institutions )  
 The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15 2 (Contracts with Commercial Organizations) of the Federal Procurement Regulations (41 CFR 1-15 2) in effect on the date of this Grant Payment of allowable costs shall be in accordance with the payment provision of this Grant

2 ACCOUNTING, RECORDS, AND AUDIT

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the Grant The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (a) until the expiration of three years from the date of termination of the program and (b) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records AID follows generally accepted auditing practices in determining that there is proper accounting and use of Grant funds The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder

3 REFUNDS

(a) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, the Grantee shall refund to AID an amount equivalent to the amount of interest accrued  
 (b) Funds obligated hereunder but not disbursed to the Grantee at the time the Grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID  
 (c) If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall refund such amounts to AID.

4 EQUAL OPPORTUNITY IN EMPLOYMENT

(This provision is applicable to all grantees and subgrantees who either perform work in the United States or who recruit personnel in the United States to do work abroad )  
 (a) With regard to the employment of persons in the U S. under this Grant, the Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, color or

national origin of such persons and, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U S., no person shall, on the grounds of race, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply, in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964 "

(b) In addition, the Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to sex, religion, age and handicap, in accordance with P L 92-261, P L 93-259, P L 93-112 and P L 93-508 When work funded by AID under this Grant is performed in either the U S or overseas no person shall, on the grounds of sex, religion, age or handicap, be excluded from participation, be denied benefits, or be subjected to discrimination.

5 NEGOTIATED OVERHEAD RATES - OTHER THAN EDUCATIONAL INSTITUTIONS

(This provision is applicable to other than educational institutions )

(a) Pursuant to this provision, an overhead rate shall be established for each of the Grantee's accounting periods during the term of this Grant Pending establishment of a final rate, the parties have agreed that provisional payments on account of allowable indirect costs shall be at the rate(s), on the base(s), and for the period shown in Attachment 1 to this Grant.

(b) The Grantee, as soon as possible but not later than 90 days after the close of each of its accounting periods during the term of this Grant, shall submit to the Grant Officer with copies to the Overhead and Special Cost Branch, Special Operations Division, Office of Contract Management, AID, Washington, D C and to the Office of the Auditor General, AID, Washington, D C , proposed final rate(s) for the period, together with supporting cost data Negotiation of final overhead rates by the Grantee and the Grant Officer shall be undertaken as promptly as practicable after receipt of the Grantee's proposal

(c) Allowability of costs and acceptability of cost allocation methods shall be determined in accordance with Subpart 1-15 2 (Contracts with Commercial Organizations) of the Federal Procurement Regulations as in effect on the date of this Grant

(d) The results of each negotiation shall be set forth in a written overhead rate agreement executed by both parties Such agreement shall specify (1) the agreed final rate(s) (2) the base(s) to which the rate(s) applies, and (3) the period(s) for which the rate(s) applies The overhead rate agreement shall not change any monetary ceiling, Grant obligation, or specific cost allowance or disallowance provided for in this Grant

(e) Pending establishment of the final overhead rate(s) for any

period, the Grantee shall be reimbursed either at the negotiated provisional rate(s) as provided above or at a billing rate(s) acceptable to the Grant Officer, subject to appropriate adjustment when the final rate(s) for that period is established. To prevent substantial over or under payment, the provisional or billing rate(s) may, at the request of either party, be revised by mutual agreement, either retroactively or prospectively. Any such revision of the negotiated provisional rate(s) provided in this provision shall be set forth in a modification to this Grant.

#### 6. LIMITATION OF FUNDS

(This provision is applicable to all incrementally or partially funded grants, it becomes inapplicable when the grant is fully funded.)

- (a) It is estimated that the cost to the Government for the performance of this Grant will not exceed the estimated cost set forth in Attachment 1 (hereinafter referred to as "the Schedule") to this Grant, and the Grantee agrees to perform the work specified in the Schedule and all obligations under this Grant within such estimated cost.
- (b) The amount presently available for payment and obligated under the Grant, the items covered thereby, and the period of performance which it is estimated the obligated amount will cover, are specified in the Schedule. It is contemplated that from time to time additional funds will be obligated under this Grant up to the full estimated cost set forth in the Schedule. The Grantee agrees to perform or have performed work on this Grant up to the point at which the total amount paid and payable by the Government pursuant to the terms of this Grant approximates but does not exceed the total amount actually obligated under the Grant.
- (c) If at any time the Grantee has reason to believe that the costs which it expects to incur in the performance of this Grant in the next succeeding 30 days, when added to all costs previously incurred, will exceed 75 percent of the total amount then obligated under the Grant, the Grantee shall notify the Grant Officer in writing to that effect. The notice shall state the estimated amount of additional funds required to continue performance for the period set forth in the Schedule. Thirty days prior to the end of the period specified in the Schedule, the Grantee will advise the Grant Officer in writing as to the estimated amount of additional funds, if any, that will be required for the timely performance of the work under the Grant or for such further period as may be specified in the Schedule or otherwise agreed to by the parties. If, after such notification, additional funds are not obligated by the end of the period set forth in the Schedule or an agreed date substituted therefor, the Grant Officer will, upon written request by the Grantee, terminate this Grant pursuant to the "Termination" provision of this Grant on such a date. If the Grantee, in the exercise of its reasonable judgment, estimates that the funds available will allow it to continue to discharge its obligations hereunder for a period extending beyond such date, it shall specify the later date in its request and the Grant Officer, in his discretion, may terminate this Grant on that later date.
- (d) Except as required by other provisions of this Grant specifically citing and stated to be an exception from this provision, the Government shall not be obligated to reimburse the Grantee for costs incurred in excess of the total amount obligated under the Grant, and the Grantee shall not be obligated to continue performance under the Grant (including actions under the "Termination" provision) or otherwise to incur costs in excess of the amount obligated under the Grant, unless and until the Grant Officer has notified the Grantee in writing that such obligated amount has been increased and has specified in such notice an increased amount constituting the total amount then obligated under the Grant. To the extent the amount obligated exceeds the estimated cost set forth in the Schedule, such estimated cost shall be correspondingly increased. No notice, communication or representation in any other form or from any person other than the Grant Officer shall affect the amount obligated under this Grant. In the absence of the specified notice, the Government shall not be obligated to reimburse the Grantee for any costs in excess of the

total amount then obligated under the Grant, whether those excess costs were incurred during the course of the Grant or as a result of termination. When and to the extent that the amount obligated under the Grant has been increased, any costs incurred by the Grantee in excess of the amount previously obligated shall be allowable to the same extent as if such costs had been incurred after such increase in the amount obligated, unless the Grant Officer issues a termination or other notice and directs that the increase is solely for the purpose of covering termination or other specified expenses.

(e) Nothing in this provision shall affect the right of the Government to terminate this Grant. In the event this Grant is terminated, the Government and the Grantee shall negotiate an equitable distribution of all property produced or purchased under the Grant based upon the share of cost incurred by each.

#### 7A. PAYMENT - FEDERAL RESERVE LETTER OF CREDIT (FRLC) ADVANCE

(This provision is applicable when the Grantee's total AID grants and cost-reimbursement contracts exceed \$250,000 per annum and AID has, or expects to have a continuing relationship with the Grantee for at least one year.)

- (a) AID shall open a Federal Reserve Letter of Credit (hereinafter referred to as an "FRLC") in the amount of this Grant, against which the Grantee may present payment vouchers (i.e., TUS 5401). The payment vouchers shall not ordinarily be submitted more frequently than daily and shall not be less than \$10,000 or more than \$1,000,000. Since the FRLC method enables the recipient organization to obtain funds from the U.S. Treasury concurrently with and as frequently as disbursements are made by the recipient, there need be no time lag between disbursements by the recipient organization and drawdowns from the U.S. Treasury by FRLC. Therefore, there is no necessity for the recipient to maintain balances of Federal cash other than small balances.
- (b) In no event shall the accumulated total of all such payment vouchers exceed the amount of the FRLC.
- (c) If at any time, SER/FM determines that the Grantee has presented payment vouchers in excess of the amount or amounts allowable in (a) and (b) above, SER/FM shall advise the Grant Officer who may (1) cause the FRLC to be suspended or revoked, or (2) direct the Grantee to withhold submission of payment vouchers until such time as, in the judgment of SER/FM, an appropriate level of actual, necessary and allowable expenditures has occurred or will occur under this Grant, and/or (3) request the Grantee to repay to AID the amount of such excess. Upon receipt of the Grant Officer's request for repayment of excess advance payments, the Grantee shall promptly contact SER/FM to make suitable arrangements for the repayment of such excess funds. Advances made by primary recipient organizations (those which receive payments directly from the Government) to secondary recipients shall conform to the same standards outlined above applicable to advances made by the Government to primary recipient organizations.
- (d) Procedure for Grantee
- (1) After arranging with a commercial bank of its choice for operation under the FRLC and obtaining the name and address of the Federal Reserve Bank or branch serving the commercial bank, the Grantee shall deliver to the AID Controller 3 originals of Standard Form 1194, "Authorized Signature Card for Payment Vouchers on Letters of Credit" signed by those official(s) authorized to sign payment vouchers against the FRLC and by an official of the Grantee who has authorized them to sign.
  - (2) The Grantee shall subsequently receive one certified copy of the FRLC.
  - (3) The Grantee shall confirm with his commercial bank that the FRLC has been opened and is available when funds are needed.
  - (4) To receive payment, the Grantee shall
    - (A) Periodically, although normally not during the last five days of the month, prepare payment vouchers (Form TUS 5401) in an original and three copies.
    - (B) Have the original and two copies of the voucher

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signed by the authorized official(s) whose signature(s) appear on the Standard Form 1194

- (C) Present the original, duplicate and triplicate copy of the Form TUS 5401 to his commercial bank
- (D) Retain the quadruplicate copy of the voucher
- (5) After the first payment voucher (Form TUS 5401) has been processed, succeeding payment vouchers shall not be presented until the existing balance of previous payments has been expended or is insufficient to meet current needs
- (6) In preparing the payment voucher, the Grantee assigns a voucher number in numerical sequence beginning with 1 and continuing in sequence on all subsequent payment vouchers submitted under the FRLC
- (7) A report of expenditures (i.e., SF 269, "Financial Status Report") shall be prepared and submitted not less than quarterly within 30 days of the end of the period to the Office of Financial Management, AID, Washington, D. C. 20523. This SF 269 Report, submitted with Standard Form 1034, "Public Voucher for Purchases and Services Other Than Personal", shall be in an original and 2 copies
- (8) The SF 269 report is reviewed against the Grant provisions, and any improper disbursement is disallowed. The Grantee is notified of the reason for the disallowance and is directed to adjust the next periodic report of expenditures to reflect the disallowance and to reduce its next payment voucher against the FRLC by the amount of the disallowance
- (9) In addition to the submission of the SF 269 and the SF 1034, the Grantee shall submit an original and 2 copies of SF 272, "Federal Cash Transaction Report" as follows
  - (A) For advances totaling less than \$1 million per year, the Grantee shall submit the SF 272 within 15 working days after the end of the reporting quarter,
  - (B) For advances totaling more than \$1 million per year, the Grantee shall submit the SF 272 within 15 working days after the end of each month, and
  - (C) The Grantee's cash needs for the ensuing period (i.e., quarter or month) shall be explained under the "Remarks" section of the SF 272
- (e) Refund of Excess Funds
  - (1) If all costs have been settled under the Grant and the Grantee fails to comply with the Grant Officer's request for repayment of excess FRLC funds, the Government shall have the right, on other contracts or grants held with the Grantee, to withhold reimbursements due to the Grantee in the amount of the excess being held by the Grantee
  - (2) If the Grantee is still holding excess FRLC funds on a grant, contract, or similar instrument under which work has been completed or terminated but all costs have not been settled, the Grantee agrees to
    - (A) Provide within 30 days after requested to do so by the Grant Officer, a breakdown of the dollar amounts which have not been settled between the Government and the Grantee (The Grant Officer will assume no costs are in dispute if the Grantee fails to reply within 30 days)
    - (B) Upon written request of the Grant Officer, return to the Government the sum of dollars, if any, which represents the difference between (i) the Grantee's maximum position on claimed costs which have not been reimbursed and (ii) the total amount of unexpended funds which have been advanced under the Grant, and
    - (C) If the Grantee fails to comply with the Grant Officer's request for repayment of excess FRLC funds, the Government shall have the right, on other contracts, grants or similar instruments held with the Grantee to withhold payment of FRLC or other advances and/or withhold reimbursements due the Grantee in the amount of the excess being held by the Grantee

#### 7B PAYMENT--PERIODIC ADVANCE

(This provision is applicable when the Grantee's total AID grants and cost-reimbursement contracts do not exceed \$250,000 per annum or if the advance to the Grantee aggregates more than \$250,000 per annum but there is not a continuing relationship of at least one year )

- (a) Each month (or quarter, if the Grantee is on a quarterly basis) after the initial advance, the Grantee shall submit to the AID Controller an original and 2 copies of SF 272, "Federal Cash Transactions Report" as

follows

- (1) The Grantee shall submit the SF 272 within 15 working days after the end of the reporting period, and
- (2) The Grantee's cash needs for the ensuing period (i.e., quarter or month) shall be explained under the "Remarks" section of the SF 272
- (b) Along with each SF 272 submission, the Grantee shall submit an original and 3 copies of SF 1034, "Public Voucher for Purchases and Services Other Than Personal", each voucher shall be identified by the Grant number and shall state the total actual expenditures for the reporting period
- (c) Each quarterly voucher (i.e., SF 1034) or third monthly voucher, if the Grantee is on a monthly basis, shall also be supported by an original and 2 copies of a SF 269, "Financial Status Report" The SF 269 shall be submitted within 30 days after the end of the reporting quarter and may be submitted separately from the SF 1034 and the SF 272, however, the SF 269 shall cover the same quarterly period as the SF 1034(s) and the SF 272(s)
- (d) Refund of Excess Funds
  - (1) If all costs have been settled under the Grant and the Grantee fails to comply with the Grant Officer's request for repayment of excess advance funds, the Government shall have the right, on other contracts or grants held with the Grantee, to withhold reimbursements due to the Grantee in the amount of the excess being held by the Grantee
  - (2) If the Grantee is still holding excess advance funds on a grant, contract, or similar instrument under which the work has been completed or terminated but all costs have not been settled, the Grantee agrees to
    - (A) Provide within 30 days after requested to do so by the Grant Officer, a breakdown of the dollar amounts which have not been settled between the Government and the Grantee (The Grant Officer will assume no costs are in dispute if the Grantee fails to reply within 30 days.)
    - (B) Upon written request of the Grant Officer, return to the Government the sum of dollars, if any, which represents the difference between (i) the Grantee's maximum position on claimed costs which have not been reimbursed and (ii) the total amount of unexpended funds which have been advanced under the Grant, and
    - (C) If the Grantee fails to comply with the Grant Officer's request for repayment of excess advance funds, the Government shall have the right, on other contracts, grants or similar instruments held with the Grantee to withhold payment of other advances and/or withhold reimbursements due the Grantee in the amount of the excess being held by the Grantee

#### 7C PAYMENT--REIMBURSEMENT

(This provision is applicable to grants for construction, or to grants which do not provide for either a periodic advance or an FRLC in accordance with AID Handbook 13, paragraph 10 5.)

- (a) Each month the Grantee shall submit to the AID Controller an original and 3 copies of SF 1034, "Public Voucher for Purchases and Services Other Than Personal", each voucher shall be identified by the Grant number and shall state the total amount of costs incurred for which reimbursement is being requested
- (b) In addition to the SF 1034, each non-construction grant voucher shall be supported by an original and 2 copies of SF 270, "Request for Advance or Reimbursement", and each construction grant voucher shall be supported by an original and 2 copies of SF 271, "Outlay Report and Request for Reimbursement for Construction Programs"
- (c) Each quarterly voucher (or each third monthly voucher) shall also be supported by an original and 2 copies of a SF 269, "Financial Status Report" The SF 269 shall be submitted within 30 days after the end of the reporting quarter and may be submitted separately from the SF 1034, however, the SF 269 shall cover the same quarterly period as the SF 1034(s)

#### 8 TRAVEL AND TRANSPORTATION

(This provision is applicable when domestic or international air travel or shipment costs are reimbursable under the Grant )

- (a) The Grant Officer hereby approves international air travel hereunder provided that the Grantee shall obtain

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written concurrence from the cognizant Project Officer in AID prior to sending any individual outside the United States to perform work under the Grant, the Grantee shall advise the Project Officer at least 30 days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant Mission or U S Embassy advance notification (with a copy to the Project Officer) of the arrival date and flight identification of Grant financed travellers

(b) Travel to certain countries shall, at AID's option, be funded from U S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U S -owned currencies will constitute a dollar charge to this Grant.

(c) All international air travel and all international air shipments under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations, provided that the Grantee certifies to the facts in the voucher and other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

(1) Where the traveler, while enroute, has to wait 6 hours or more to transfer to a U S flag air carrier to proceed to the intended destination, or

(2) Where a flight by a U S flag air carrier is interrupted by a stop anticipated to be 6 hours or more for refueling, reloading, repairs, etc and no other flight by a U S flag air carrier is available during the 6 hour period, or

(3) Where by itself or in combination with other U S flag air carriers (if U S flag air carriers are "unavailable") it takes 12 hours or longer from the original airport to the destination airport to accomplish the Grantee's program than would service by a non-U S flag air carrier or carriers, or

(4) When the elapsed traveltime or a scheduled flight from origin to destination airports by non-U S flag air carrier(s) is 3 hours or less, and services by U S flag air carrier(s) would involve twice such traveltime.

NOTE: Where U S Government funds are used to reimburse Grantee's use of other than U S flag air carriers for international transportation, the Grantee will include a certification on vouchers involving such transportation which is essentially as follows:

**CERTIFICATION OF UNAVAILABILITY OF U S FLAG AIR CARRIERS**

I hereby certify that the transportation service for personnel (and their personal effects) or property by U S flag air carrier was unavailable for the following reason(s) (State appropriate reason(s) as set forth above, see 41 CFR 1-1 323-3 for further guidance)

(d) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR), however, if the Grantee's domestic and international travel allowance policies and procedures have been reviewed and approved by AID or another Federal department or agency pursuant to the applicable Federal cost principles, the Grantee may use its travel allowance system in lieu of the FTRs after it has furnished the Grant Officer with a copy of such approval.

**9 OCEAN SHIPMENT OF GOODS**

(This provision is applicable when ocean shipment costs are reimbursable under the Grant.)

(a) 50% of all international ocean shipments made by the Grantee, to be financed hereunder, shall be made on U S flag vessels. Where U S flag vessels are not available, or their use would result in a significant delay, the Grantee may request a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D C 20523, giving the basis for the request.

(b) When the AID Transportation Support Division makes and issues a determination to the Grantee that U S flag vessels are not available, the ocean shipment costs on foreign flag vessels, as named in the determination, will be eligible for reimbursement under the Grant. In all instances Grantee vouchers submitted for reimbursement under the Grant which include ocean shipment costs will include a certification essentially as follows: "I

hereby certify that a copy of each ocean bill of lading concerned has been submitted to the Maritime Administration, Cargo Preference Control Center, Commerce Building, Washington, D C 20235, and that such bill(s) of lading state all of the carrier's charges including the basis for calculation such as weight or cubic measurement, and indicate the applicable AID Grant Number."

(c) Shipments by voluntary non-profit relief agencies (i.e., PVO's) shall be governed by paragraphs (a) and (b) above and by AID Regulation 2, "Overseas Shipments of Supplies by Voluntary Non-Profit Relief Agencies" (22 CFR 202).

**10 PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000**

(This provision is applicable when the total procurement element (i.e., the sum of all purchase orders and contracts for goods and services) of this Grant does not exceed \$250,000.)

**(a) Geographic Source and Order of Preference**

Except as may be specifically approved or directed in advance by the Grant Officer, all goods (e.g., equipment, vehicles, materials, and supplies) and services, the costs of which are to be reimbursable under this Grant and which will be financed with United States dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., AID Geographic Code 935) in accordance with the following order of preference:

(1) the United States (AID Geographic Code 000),

(2) "Selected Free World" countries (AID Geographic Code 941),

(3) the cooperating country,

(4) "Special Free World" countries (AID Geographic Code 935).

**(b) Application of Order of Preference**

When the Grantee procures goods and services from other than U S sources, under the order of preference in (a) above, it shall document its files to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the Grantee's documentation:

(1) the procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U S sources,

(2) the price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U S source,

(3) impelling local political considerations precluded consideration of U S sources,

(4) the goods or services were not available from U.S. sources, or

(5) procurement of locally available goods or services, as opposed to procurement of U S goods and services, would best promote the objectives of the Foreign Assistance Program under the Grant.

**(c) Ineligible Goods and Services**

Under no circumstances shall the Grantee procure any of the following under this Grant:

(1) military equipment,

(2) surveillance equipment,

(3) commodities and services for support of police or other law enforcement activities,

(4) abortion equipment and services,

(5) luxury goods and gambling equipment, or

(6) weather modification equipment.

(For a more detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4D.)

If AID determines that the Grantee has procured any of the ineligible goods and services specified above under this Grant, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

**(d) Restricted Goods**

The Grantee shall not procure any of the following goods or services from a non-U S source (i.e., other than AID Geographic Code 000) without the prior written authorization of the Grant Officer:

(1) agricultural commodities,

(2) motor vehicles,

(3) pharmaceuticals,

(4) pesticides,

(5) plasticizers,

(6) used equipment, or

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(7) U.S. Government-owned excess property.  
 (For a detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4C.)

If AID determines that the Grantee has procured any of the restricted goods specified above under this Grant, without the prior written authorization of the Grant Officer, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase

(e) The Grantee's Procurement System

(1) The Grantee may use its own procurement policies and procedures provided they conform to the geographic source and order of preference requirements of this provision and paragraphs 3 and 4, Attachment O of OMB Circular No A-110

(2) If the Grantee's procurement policies and procedures have been reviewed against the procurement requirements of paragraphs 3, and 4 of Attachment O to OMB Circular No A-110 and have been approved by AID or another Federal department or agency, the Grantee shall furnish the Grant Officer with a copy of such approval, otherwise the Grantee's procurement policies and procedures shall conform to the specified requirements of OMB Circular No A-110 (See AID Handbook 13, Chapter 1, paragraph 1U)

(f) Small Business

To permit AID, in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Small Business Office, AID, Washington, D C 20523 at least 45 days prior (except where a shorter time is requested of, and granted by the Small Business Office) to placing any order or contract in excess of \$25,000

- (1) Brief general description and quantity of goods or services,
- (2) Closing date for receiving quotations, proposals, or bids, and
- (3) Address where invitations or specifications can be obtained

(g) Ineligible Suppliers

Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation B, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing" (22 CFR 208). The Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be reimbursable under this Grant. AID will provide the Grantee with this list

11 GOVERNMENT FURNISHED EXCESS PERSONAL PROPERTY

(This provision applies when personal property is furnished under the Grant)

The policies and procedures of AID Handbook 16, "Excess Property", and the appropriate provisions of 41 CFR 101-43 apply to the government furnished excess personal property under this Grant

12A TITLE TO AND USE OF PROPERTY (GRANTEE TITLE)

(This provision is applicable when the Government vests title in the Grantee only)

Title to all property financed under this Grant shall vest in the Grantee, subject to the following conditions

(a) The Grantee shall not charge for any depreciation, amortization, or use of any property, title to which remains in the Grantee under this provision under this Grant or any other U S Government grant, subgrant, contract or subcontract

(b) The Grantee agrees to use and maintain the property for the purpose of the Grant in accordance with the requirements of paragraph 1T of Chapter 1, AID Handbook 13

(c) With respect to nonexpendable property having an acquisition cost of \$1,000 or more, title to which vests in the Grantee, the Grantee agrees

(1) To report such items to the Grant Officer from time to time as they are acquired and to maintain a control system which will permit their ready identification and location

(2) To transfer title to any such items to the Government in accordance with any written request therefor issued by the Grant Officer at any time prior to final payment under this Grant

12B TITLE TO AND CARE OF PROPERTY (U S GOVERNMENT)

(This provision is applicable when title to property is vested in the U.S Government)

(a) Property, title to which vests in the Government under this Grant, whether furnished by the Government or acquired by the Grantee, is subject to this provision and is hereinafter collectively referred to as "Government property". Title to Government property shall not be affected by the incorporation or attachment thereof to any property not owned by the Government, nor shall such Government property, or any part thereof, be or become a fixture or lose its identity as personalty by reason of affixation to any realty

(b) Use of Government Property

Government property shall, unless otherwise provided herein or approved by the Grant Officer, be used only for the performance of this Grant

(c) Control, Maintenance and Repair of Government Property

The Grantee shall maintain and administer in accordance with sound business practice a program for the maintenance, repair, protection, and preservation of Government property so as to assure its full availability and usefulness for the performance of this Grant. The Grantee shall take all reasonable steps to comply with all appropriate directions or instructions which the Grant Officer may prescribe as reasonably necessary for the protection of the Government property

The Grantee shall submit, for review and written approval of the Grant Officer, a records system for property control and a program for orderly maintenance of Government property, however, if the Grantee's property control and maintenance system has been reviewed and approved by another Federal department or agency pursuant to Attachment N of OMB Circular No A-110 (see paragraph 1T of Chapter 1 AID Handbook 13) the Grantee shall furnish the Grant Officer proof of such approval in lieu of another approval submission

(1) Property Control

The property control system shall include but not be limited to the following

(A) Identification of each item of Government property acquired or furnished under the Grant by a serially controlled identification number and by description of item. Each item must be clearly marked "Property of U.S Government"

(B) The price of each item of property acquired or furnished under the Grant

(C) The location of each item of property acquired or furnished under the Grant

(D) A record of any usable components which are permanently removed from items of Government property as a result of modification or otherwise

(E) A record of disposition of each item acquired or furnished under the Grant

(F) Date of order and receipt of any item acquired or furnished under the Grant

The official property control records shall be kept in such condition that at any stage of completion of the work under this Grant, the status of property acquired or furnished under this Grant may be readily ascertained. A report of current status of all items of property acquired or furnished under the Grant shall be submitted yearly concurrently with the annual report.

(2) Maintenance Program

The Grantee's maintenance program shall be such as to provide for, consistent with sound business practice and the terms of the Grant (i) disclosure of need for and the performance of preventive maintenance, (ii) disclosure and reporting of need for capital type rehabilitation, and (iii) recording of work accomplished under the program

(A) Preventive maintenance - Preventive maintenance is maintenance generally performed on a regularly scheduled basis to prevent the occurrence of defects and to detect and correct minor defects before they result in serious consequences.

(B) Records of maintenance - The Grantee's maintenance

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program shall provide for records sufficient to disclose the maintenance actions performed and deficiencies discovered as a result of inspections.

A report of status of maintenance of Government property shall be submitted annually concurrently with the annual report.

(d) Risk of Loss

(1) The Grantee shall not be liable for any loss of or damage to the Government property, or for expenses incidental to such loss or damage except that the Grantee shall be responsible for any such loss or damage (including expenses incidental thereto)

(i) Which results from willful misconduct or lack of good faith on the part of any of the Grantee's directors or officers, or on the part of any of its managers, superintendents, or other equivalent representatives, who have supervision or direction of all or substantially all of the Grantee's business, or all or substantially all of the Grantee's operations at any one plant, laboratory, or separate location in which this Grant is being performed,

(ii) Which results from a failure on the part of the Grantee, due to the willful misconduct or lack of good faith on the part of any of its directors, officers, or other representatives mentioned in (i) above, (A) to maintain and administer, in accordance with sound business practice, the program for maintenance, repair, protection, and preservation of Government property as required by (1) above, or (B) to take all reasonable steps to comply with any appropriate written directions of the Grant Officer under (c) above,

(iii) For which the Grantee is otherwise responsible under the express terms of the article or articles designated in Attachment 1 to this Grant

(iv) Which results from a risk expressly required to be insured under some other provision of this Grant, but only to the extent of the insurance so required to be procured and maintained, or to the extent of insurance actually procured and maintained, whichever is greater, or

(v) Which results from a risk which is in fact covered by insurance or for which the Grantee is otherwise reimbursed, but only to the extent of such insurance or reimbursement.

Provided, that, if more than one of the above exceptions shall be applicable in any case, the Grantee's liability under any one exception shall not be limited by any other exception

(2) The Grantee shall not be reimbursed for, and shall not include as an item of overhead, the cost of insurance, or any provision for a reserve, covering the risk of loss of or damage to the Government property, except to the extent that the Government may have required the Grantee to carry such insurance under any other provision of this Grant

(3) Upon the happening of loss or destruction of or damage to the Government property, the Grantee shall notify the Grant Officer thereof, shall take all reasonable steps to protect the Government property from further damage, separate the damaged and undamaged Government property, put all the Government property in the best possible order, and furnish to the Grant Officer a statement of

(i) The lost, destroyed, and damaged Government property,

(ii) The time and origin of the loss, destruction, or damage,

(iii) All known interests in commingled property of which the Government property is a part, and

(iv) The insurance, if any, covering any part of or interest in such commingled property

The Grantee shall make repairs and renovations of the damaged Government property or take such other action as the Grant Officer directs

(4) In the event the Grantee is indemnified, reimbursed, or otherwise compensated for any loss or destruction of or damage to the Government property, it shall use the proceeds to repair, renovate or replace the Government property involved, or shall credit such proceeds against the cost of the work covered by the Grant, or shall otherwise reimburse the Government, as directed by the Grant Officer. The Grantee shall do nothing to prejudice the Government's right to recover against third parties for any such loss, destruction, or damage, and upon the request of the Grant Officer, shall, at the Government's expense, furnish to the Government all reasonable

assistance and cooperation (including assistance in the prosecution of suit and the execution of instruments of assignments in favor of the Government) in obtaining recovery

(e) Access

The Government, and any persons designated by it, shall at all reasonable times have access to the premises wherein any Government property is located, for the purpose of inspecting the Government property.

(f) Final Accounting and Disposition of Government Property

Upon completion of this Grant, or at such earlier dates as may be fixed by the Grant Officer, the Grantee shall submit, in a form acceptable to the Grant Officer, inventory schedules covering all items of Government property not consumed in the performance of this Grant or not theretofore delivered to the Government, and shall prepare deliver, or make such other disposal of the Government property as may be directed or authorized by the Grant Officer.

(g) Communications

All communications issued pursuant to this provision shall be in writing

12C TITLE TO AND CARE OF PROPERTY (COOPERATING COUNTRY TITLE)

(This provision is applicable to property titled in the name of the cooperating country or such public or private agency as the cooperating Government may designate.)

(a) Except as modified by Attachment 1 of this Grant, title to all equipment, materials and supplies, the cost of which is reimbursable to the Grantee by AID or by the Cooperating Government, shall at all times be in the name of the Cooperating Government or such public or private agency as the Cooperating Government may designate, unless title to specified types or classes of equipment is reserved to AID under provisions set forth in Attachment 1 of this Grant, but all such property shall be under the custody and control of the Grantee until the owner of title directs otherwise or completion of work under this Grant or its termination, at which time custody and control shall be turned over to the owner of title or disposed of in accordance with its instructions. All performance guarantees and warranties obtained from suppliers shall be taken in the name of the title owner.

(b) The Grantee shall prepare and establish a program, to be approved by the Mission, for the receipt, use, maintenance, protection, custody and care of equipment, materials and supplies for which it has custodial responsibility, including the establishment of reasonable controls to enforce such program. The Grantee shall be guided by the requirements of paragraph 1T of Chapter 1, AID Handbook 13

(c) Within 90 days after completion of this Grant, or at such other date as may be fixed by the Grant Officer, the Grantee shall submit an inventory schedule covering all items of equipment, materials and supplies under his custody, title to which is in the Cooperating Government or public or private agency designated by the Cooperating Government, which have not been consumed in the performance of this Grant. The Grantee shall also indicate what disposition has been made of such property.

13 CONVERSION OF UNITED STATES DOLLARS TO LOCAL CURRENCY

Upon arrival in the Cooperating Country, and from time to time as appropriate, the Grantee's Chief of Party shall consult with the Mission Director who shall provide, in writing, the procedure the Grantee and its employees shall follow in the conversion of United States dollars to local currency. This may include, but is not limited to, the conversion of said currency through the cognizant United States Disbursing Officer or Mission Controller, as appropriate

14 TERMINATION

(a) For Cause This Grant may be terminated for cause at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he/she shall determine that such termination is in the best interest of the Government.

(b) For Convenience This Grant may be terminated for convenience at any time by either party, in whole or in part, if both parties agree that the continuation of the Grant would not produce beneficial results commensurate

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with the further expenditure of funds. Both parties shall agree upon termination conditions, including the effective date and, in the case of partial terminations, the portion to be terminated. The agreement to terminate shall be set forth in a letter from the Grant Officer to the Grantee.

(c) Termination Procedures. Upon receipt of and in accordance with a termination notice as specified in either paragraph (a) or (b) above, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within 30 calendar days after the effective date of such termination repay to the Government all unexpended portions of funds theretofore paid by the Government to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Government to the Grantee prior to the effective date of the termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Government within 90 calendar days after the effective date of such termination a written claim covering such obligations, and subject to the limitations contained in this Grant, the Grant Officer shall determine the amount or amounts to be paid by the Government to the Grantee under such claim in accordance with the applicable Federal cost principles.

#### 15 VOLUNTARY PARTICIPATION

(This provision is applicable to all grants involving any aspect of family or population assistance activities, and all Title X grants in particular.)

(a) The Grantee agrees to take any steps necessary to ensure that funds made available under this Grant will not be used to coerce any individual to practice methods of family planning inconsistent with such individual's moral, philosophical, or religious beliefs. Further, the Grantee agrees to conduct its activities in a manner which safeguards the rights, health and welfare of all individuals who take part in the program.

(b) The Grantee shall insert paragraphs (a) and (b) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder.

#### 16 PROHIBITION ON ABORTION-RELATED ACTIVITIES

(This provision is applicable to all grants involving any aspect of family or population assistance activities, and all Title X grants in particular.)

(a) No funds made available under this Grant will be used to finance, support, or be attributed to the following activities: (1) procurement or distribution of equipment intended to be used for the purpose of inducing abortions as a method of family planning, (2) special fees or incentives to women to coerce or motivate them to have abortions, (3) payments to persons to perform abortions or to solicit persons to undergo abortions, (4) information, education, training, or communication programs that seek to promote abortion as a method of family planning.

(b) The Grantee shall insert paragraphs (a) and (b) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder.

#### 17 VOLUNTARY PARTICIPATION REQUIREMENTS FOR STERILIZATION PROGRAMS

(This provision is applicable when any surgical sterilization will be supported in whole or in part from funds under this Grant.)

(a) None of the funds made available under this grant shall be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to practice sterilization.

(b) The Grantee shall insure that any surgical sterilization procedures supported in whole or in part by funds from this Grant are performed only after the individual has

voluntarily presented himself or herself at the treatment facility and has given his or her informed consent to the sterilization procedure. Informed consent means the voluntary, knowing assent from the individual after he or she has been advised of the surgical procedures to be followed, the attendant discomforts and risks, the benefits to be expected, the availability of alternative methods of family planning, the purpose of the operation and its irreversibility, and his or her option to withdraw consent anytime prior to the operation. An individual's consent is considered voluntary if it is based upon the exercise of free choice and is not obtained by any special inducement or any element of force, fraud, deceit, duress or other forms of coercion or misrepresentation.

(c) Further, the Grantee shall document the patient's informed consent by (1) a written consent document in a language the patient understands and speaks, which explains the basic elements of informed consent, as set out above, and which is signed by the individual and by the attending physician or by the authorized assistant of the attending physician, or (2) when a patient is unable to read adequately, a written certification by the attending physician or by the authorized assistant of the attending physician that the basic elements of informed consent above were orally presented to the patient, and that the patient thereafter consented to the performance of the operation. The receipt of the oral explanation shall be acknowledged by the patient's mark on the certification and by the signature or mark of a witness who shall be of the same sex and speak the same language as the patient.

(d) Copies of informed consent forms and certification documents for each voluntary sterilization (VS) procedure must be retained by the Grantee for a period of three years after performance of the sterilization procedure.

(e) The Grantee shall insert paragraphs (a), (b), (c), (d), and (e) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder involving the performance of any sterilization which will be supported in whole or in part from funds under this Grant.

#### 18 PUBLICATIONS

(This provision is applicable to any grant which produces any book, publication, or other copyrightable materials.)

(a) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(b) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(c) In the event Grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(d) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant in accordance with paragraph 17B of Chapter 1, AID Handbook 13. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose to permit others to do so.

#### 19 PATENTS

(This provision is applicable to any Grant which produces patentable items, patent rights, processes, or inventions.)

(a) Grantee agrees to notify the Grant Officer, in writing, of any invention or discovery conceived or first actually reduced to practice in the course of or under this Grant. The Grant Officer will determine the patent rights to be afforded the Grantee in accordance with the Presidential Memorandum and Statement of Government Patent Policy (36 FR 16889) and paragraph 17B.a of Chapter 1, AID Handbook 13.

(b) Nothing contained in this provision shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right other-

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wise granted to the Government under any patent.

**20 REGULATIONS GOVERNING EMPLOYEES OUTSIDE THE UNITED STATES**

(This provision is applicable only to the Grantee's U S and third country national employees, it is not applicable to the Grantee's cooperating country national employees.)

(a) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government offices or facilities for support while so engaged

(b) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the Mission, except as this may conflict with host government regulations

(c) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage directly or indirectly, either in his own name or in the name of through an agency of another person, in any business, profession, or occupation in the foreign countries to which he is assigned, nor shall he make loans or investments to or in any business, profession or occupation in the foreign countries to which he is assigned

(d) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs

(e) In the event the conduct of any Grantee employee is not in accordance with the preceding paragraphs, the Grantee's chief of party shall consult with the Mission Director and the employee involved and shall recommend to the Grantee a course of action with regard to such employee

(f) The parties recognize the right of the U S Ambassador to direct the removal from a country of any U S citizen or the discharge from this Grant of any third country national when, in the discretion of the Ambassador, the interests of the United States so require

(g) If it is determined, under either (e) or (f) above, that the services of such employee shall be terminated, the Grantee shall use its best efforts to cause the return of such employee to the United States, or point of origin, as appropriate

**21 SUBORDINATE AGREEMENTS**

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder, unless the Grantee's procurement system has been reviewed and approved pursuant to the appropriate section(s) of paragraph 1U, Chapter 1 of AID Handbook 13. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant

**22 U S OFFICIALS NOT TO BENEFIT**

No member of or delegate to the U S Congress or resident U.S. commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom, but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

**23 COVENANT AGAINST CONTINGENT FEES**

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant

without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

**24 NONLIABILITY**

AID does not assume liability with respect to any third party claims for damages arising out of work supported by this Grant

**25 AMENDMENT**

The Grant may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the Grant Officer and an appropriate official of the Grantee.

**26 THE GRANT**

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant

**27 NOTICES**

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows

To the AID Grant Officer at the address specified in the Grant

To Grantee - At Grantee's address shown in the Grant, or to such other address as either of such parties shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this provision or on the effective date of the notice, whichever is later.

70

10. PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000

(This provision is applicable when the total procurement element (i.e., the sum of all purchase orders and contracts for goods and services) of this Grant does not exceed \$250,000.)

(a) Ineligible Goods and Services

Under no circumstances shall the Grantee procure any of the following under this Grant:

- (1) military equipment,
- (2) surveillance equipment,
- (3) commodities and services for support of police or other law enforcement activities,
- (4) abortion equipment and services,
- (5) luxury goods and gambling equipment, or
- (6) weather modification equipment.

(For a more detailed discussion of the subject, see AID Handbook 1, Supplement B, *Chapter 4D.*)

If AID determines that the Grantee has procured any of the ineligible goods and services specified above under this Grant, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

(b) Restricted Goods

The Grantee shall not procure any of the following goods or services from a non-U.S. source (i.e., other than AID Geographic Code 000) without the prior written authorization of the Grant Officer:

- (1) agricultural commodities,
- (2) motor vehicles,
- (3) pharmaceuticals,
- (4) pesticides,
- (5) plasticizers,
- (6) used equipment, or
- (7) U.S. Government-owned excess property.

(For a detailed discussion of the subject, see AID Handbook 1, Supplement B, *Chapter 4C.*)

If AID determines that the Grantee has procured any of the restricted goods specified above under this Grant, without the prior written authorization of the Grant Officer, and has received

reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

(c) Geographic Source and Order of Preference

Except as may be specifically approved or directed in advance by the Grant Officer under paragraph 10(b) above, all other goods (e.g., equipment, materials, and supplies) and services, the costs of which are to be reimbursed under this Grant and which will be financed with United States dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., AID Geographic Code 935) in accordance with the following order of preference:

- (1) the United States (AID Geographic Code 000),
- (2) "Selected Free World" countries (AID Geographic Code 941),
- (3) the cooperating country,
- (4) "Special Free World" countries (AID Geographic Code 935).

(d) Application of Order of Preference

When the Grantee procures goods and services from other than U.S. sources, under the order of preference in 10(c) above, it shall document its files to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the Grantee's documentation:

- (1) the procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U.S. sources,
- (2) the price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U.S. source,
- (3) impelling local political considerations precluded consideration of U.S. sources,
- (4) the goods or services were not available from U.S. sources, or
- (5) procurement of locally available goods or services, as opposed to procurement of U.S. goods and services, would best promote the objectives of the Foreign Assistance Program under the Grant.

(e) The Grantee's Procurement System

(1) The Grantee may use its own procurement policies and procedures provided they conform to the geographic source and order of preference requirements of this provision and paragraphs 3 and 4, Attachment 0 of OMB Circular No. A-110.

(2) If the Grantee's procurement policies and procedures have been reviewed against the procurement requirements of paragraphs 3 and 4 of Attachment 0 to OMB Circular No. A-110 and have been approved by

AID or another Federal department or agency, the Grantee shall furnish the Grant Officer a copy of such approval; otherwise the Grantee's procurement policies and procedures shall conform to the specified requirements of OMB Circular No. A-110. (See AID Handbook 13, Chapter 1, paragraph 10.)

(f) Small Business

To permit AID, in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Small Business Office, AID, Washington, D.C. 20523 at least 45 days prior (except where a shorter time is requested of, and granted by the Small Business Office) to placing any order or contract in excess of \$25,000:

- (1) Brief general description and quantity of goods or services;
- (2) Closing date for receiving quotations, proposals, or bids; and
- (3) Address where invitations or specifications can be obtained.

(g) Ineligible Suppliers

Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing" (22 CFR 208). The Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be reimbursable under this Grant. AID will provide the Grantee with this list.

**ORIGINAL**  
DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

5 OCT 1977  
*John M. - C Parker*  
*Reg - C Conroy*  
*P H/AVC/OPHS*

**SEP 29 1977**

Mr. Warren W. Wiggins  
Director  
New Trans Century Foundation  
1789 Columbia Rd., N.W.  
Washington, D.C. 20009

Subject: Grant No. AID/pha-G-1153  
Amendment No. 1  
Specific Support Grant  
PIO/T No. 3279258

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Trans Century Foundation (hereinafter referred to as "TNTF" or "Grantee") the additional sum of Fifty Nine Thousand Nine Hundred Forty Nine Dollars (\$59,949) to provide support for a program of assistance to smaller PVOs.

The funds provided herein are obligated as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period stated in the Budget, Attachment A. The total cumulative obligation under this Grant is now \$299,948.

The subject Grant is hereby amended as follows:

1. Attachment A - Paragraph "D. - Budget" delete in its entirety and insert in lieu thereof the following:

**ORIGINAL**

"D. Budget

The funds provided herein shall be used to finance the following items:

	Year I FR: 10/1/76 TO: 9/30/77	Year II FR: 10/1/77 TO: 9/30/78	Year III FR: 10/1/78 TO: 9/30/79	<u>TOTAL</u>
<b>Salaries</b>				
Home Office Professionals	\$ 89,734	\$ 66,469	\$ 65,698	\$221,901
Home Office Non-Professionals	<u>10,000</u>	<u>10,500</u>	<u>11,000</u>	<u>31,500</u>
Subtotal	\$ 99,734	\$ 76,969	\$ 76,698	\$253,401
Consultant Fees	\$112,000	\$ 12,600	\$ 11,550	\$ 36,150
Fringe Benefits	21,343	16,471	16,413	54,227
Overhead	60,539	46,720	46,556	153,815
Travel	17,151	9,135	9,570	35,856
Per Diem	9,765	6,300	6,300	22,365
Other Direct Costs	<u>16,690</u>	<u>12,075</u>	<u>12,320</u>	<u>41,085</u>
Subtotal	\$237,222	\$180,270	\$179,407	\$596,899
G & A	<u>42,700</u>	<u>32,449</u>	<u>32,293</u>	<u>107,442</u>
TOTAL	<u>\$279,922</u>	<u>\$212,719</u>	<u>\$211,700</u>	<u>\$704,341</u>

Adjustments among the line items are unrestricted except that the Grantee may not exceed the total of funds obligated hereunder in the amount of \$299,948. Funds have been obligated for the Year I budget and \$20,027 has been obligated towards the Year II budget. Additional funds may be provided to fund Year II and Year III subject to their availability."

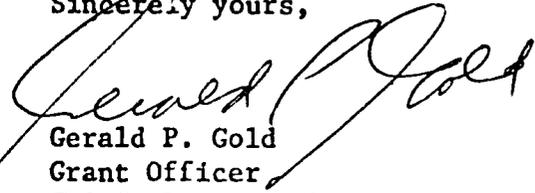
Mr. W. W. Wiggins  
New Trans Century Fdn.

-3-

Except as expressly herein amended, the subject grant is in all respects ratified, confirmed, and continued in full force and effect in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Return the original and six (6) copies to my office.

Sincerely yours,



Gerald P. Gold  
Grant Officer  
Chief, PHA Branch  
Central Operations Division  
Office of Contract Management

ACCEPTED:

NEW TRANS CENTURY FOUNDATION

BY: W. W. Wiggins

PRINTED NAME: Warren W. Wiggins

TITLE: Director

DATE: Oct 5, 1977

# CONTRACT

ON Action Office. Haskell

ON/SUP Action Monitor

Section IA  
Contractor/Grantee  
Name.

Section IB  
Contract/Grant Number:

Complete may thru m 15

Section II Description of Contract/Grant: PLEASE CIRCLE ONLY ONE ITEM UNDER EACH HEADING IF OTHER, PLEASE SPECIFY (Complete both pages 1 and 2) (Not to be completed for Amendment or Modification Actions)

MO4 - CONTRACT/GRANT TYPE

- 0 MDA, (BOA, BMA, etc )
- 1 Fixed Price (Specify FFP, FPRD, FPEFA, FPI)
- 2 Cost Reimbursement (Specify: CR, CFF, CS, CFAV, CFIF)
- 3. Cooperative Agreement
- 4. Grant - General Support
- 5. Grant - Specific Support } Complete only through M51.
- 6 Grant - 211(d)
- 7 Do not Use
- 8. IQCs
- 9 Host Country Contract/Grant } Complete only through M51.

MO5 - SELECTION PROCEDURES

- A. Formally advertised (LFB) (AIDPR 7-2 4) & (FPR 1-2.4)
- B Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4 56)
- C A & E (AIDPR 7-4 10)
- D Ed Inst and/or Intl Research (AIDPR 7-4.57)
- E Collaborative Assistance (AIDPR 7-4 58)
- F Predominant Capability (AIDPR 7-3 101-50(b)(3))
- G. Unsolicited Proposal (AIDPR 7-3 101-50(b)(6))
- H Procurement to be Performed by the Contractor in Person (AIDPR 7-3 101-50(b)(1))
- I Sole Source (AIDPR 7-3 101-50(b)(4))
- J Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K 8(a) Selection (FPR 1-1 /13-1)
- L Grant (Handbook 13)
- M Do Not Use
- N Cooperative Agreement (Handbook 13)
- O Small Business Set-Aside (FPR 1-1./06-8)
- P Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

MO6 - TYPE SERVICE

- A. Training of Participants
- B. Tech Assistance (Program, Project related except A & E Services)
- C A & E Services
- D. Construction
- E Research
- F Tech Services to AID (other than training) (usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

MO7 - TYPE CONTRACTOR/GRANTEE

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms
- C. Individual (Non-personal service)
- D Individual (Personal Service)
- E Univ/other Ed Institutions
- F Non-Profit Organ , Institutions (other than Ed or FVO)
- G Private Voluntary Organization (PVO)
- H International Agri Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc ) (Handbook 13, Chapter 5)

MO8 - TYPE AWARD

- A. SB Set-Aside - Awarded to Non-Minority
- B SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E 8(a) SBA Awarded to Non-Minority (Woman-Owned, Veterans, etc )
- F. 8(a) SBA Awarded to Minority
- G Personal Service Contract - Non-Minority
- H Personal Service Contract - Minority
- I Individual Non-Personal Service Contract - Non-Minority
- J Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large firms) Non-Minority
- L. Not Small Business (Univ , Non-Profit, Large firms) Minority
- M U S. Government

M11 ADVANCE

- 1 No Advance
- 2. Advance- Non FRLC
- 3 Advance- Federal Reserve Letter of Credit

M13 - Is any travel required outside U. S.? Yes no X

M15 - TYPE OWNERSHIP

- 1. American Oriental
- 2 Black American
- 3. American Aleuts or Eskimos
- 4 American Indian
- 5 Hispanic
- 6. Other than 1 thru 5

M57 - AFFIRMATIVE ACTION PLAN ON FILE

Yes \_\_\_\_\_  
No \_\_\_\_\_

M58 - AFFIRMATIVE ACTION PLAN ON PREVIOUSLY HELD CONTRACTS

Yes \_\_\_\_\_  
No \_\_\_\_\_

M60 - INCREMENTAL FUNDED CONTRACT

Yes \_\_\_\_\_ No \_\_\_\_\_

M61 - CONSULTANT TYPE AWARD

Is the Award for Consulting Type Service to AID?  
Yes \_\_\_\_\_ No \_\_\_\_\_

M63 - EXTENT OF COMPETITION IN NEGOTIATION

COMPETITIVE

- A1 Small Business Total Set-Aside
- A2. Small Business Partial Set-Aside
- A3. Labor Surplus Set-Aside
- A4. Labor Surplus/Small Business Set Aside
- A9. Other Negotiated Competitive

NON-COMPETITIVE

- B1 Buy Indian
- B2. 8(a) Program
- B3 Follow-on After Competition
- B9. Other Negotiated Non-Competitive

M65 - TYPE OF BUSINESS

- E1. Source Non-U S and Used Outside U S. and Possess
- E2 Source Non-U S and Possessions (Foreign Purchases Used Inside U S )

(If U S Source, A - D)

- A1. Small Business - Disadvantaged 8(a)
- A2. Small Business - Owned by Minority Group
- A3. Other Small Business (including individuals)
- B1. Large Minority Business
- B2 Other Large Business

- C1. Non-Profit Private Educational Organization
- C2. Non-Profit Hospital
- C3. Non-Profit Research Institution, Foundation, and Laboratories
- C4. Other Non-Profit Institutions
- D1. State/Local Government Educational Institution
- D2. State/Local Government Hospital
- D3. State/Local Government Research Organization
- D4. Other State/Local

M66 - COST ACCOUNTING STANDARDS

Required \_\_\_\_\_  
Not Required \_\_\_\_\_

M67 - NUMBER OF BIDDERS OFFERING ITEMS OR SERVICES OF FOREIGN CONTENT

M68 - WOMEN OWNED BUSINESS

Yes \_\_\_\_\_ No \_\_\_\_\_

M69 - PERCENT FOREIGN CONTENT OF COMMODITIES AND SERVICES

M70 - LABOR SURPLUS AREA (LSA) PREFERENCE (Location of Contractor)

- 1. Labor Surplus Area - No Preference
- 3. Labor Surplus Area - Tie Bid Preference
- 5. Not a Labor Surplus Area Preference Award
- 7. Total Labor Surplus/Small Business Set-Aside Preference
- 8. Total Labor Surplus Set-Aside Preference (P.L. 95-89)

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON D C 20523

14 OCT 1976

*Original - L. Tanner  
PH/PA/VE/OP/NS  
neg - E. Hanna*

ORIGINAL

SEP 29 1976

Mr. Warren W. Wiggins  
Director  
New Trans Century Foundation  
1789 Columbia Road, N.W.  
Washington, D.C. 20009

Subject: Grant No. AID/pha-G-1153  
Specific Support Grant  
PIO/T No. 3219221

Dear Mr. Wiggins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the New Trans Century Foundation (hereinafter referred to as "TNTF" or "Grantee") the sum of \$240,000 to provide support for a program of assistance to smaller PVO's, as more fully described in the attachment to this Grant entitled "Program Description".

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period October 1, 1976 through September 30, 1978.

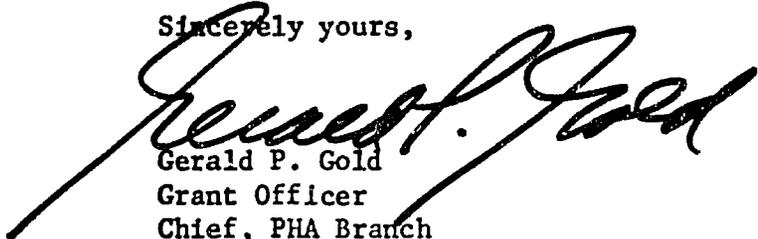
This Grant is made to TNTF, on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Program Description", Attachment B entitled "Standard Provisions", and Attachment C "Payment Provisions" which have been agreed to by your organization.

79

Please sign the Statement of Assurance of Compliance, enclosed herein, and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,



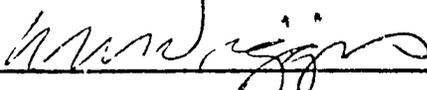
Gerald P. Gold  
Grant Officer  
Chief, PHA Branch  
Central Operations Division  
Office of Contract Management

Attachments:

- A. Program Description
- B. Standard Provisions
- C. Payment Provisions

ACCEPTED:

THE NEW TRANS CENTURY FOUNDATION

BY: 

TYPED NAME: Warren W. Wiggins

TITLE: Director

DATE: October 12, 1976

FUNDS OBLIGATED

Approp. No. 72- 11X1026  
 Allot. No. 426-32-099-00-76-51  
 Obl. No. 3219221 Obl. Cl. 2590  
 Amount \$ 240,000.00  
 Proj. No. 732-13-950-096-73

By  IM/CSD  
 Date 9/30/76

80

Program Description

A. Purpose of Grant

The purpose is to enhance the capability of certain smaller PVOs to more broadly utilize their unique abilities to participate in socio-economic development programs in selected LDCs. The New Trans Century Foundation will undertake a program of assistance to smaller PVOs designed to meet the felt needs of the PVOs in the areas of recruitment, management, evaluation, and emphasizing the role of women in development.

B. Specific Objectives

1. The New TransCentury Foundation (TNTF) program of assistance to smaller PVOs will involve a needs assessment survey among the agencies indicating a desire for assistance. A needs assessment survey will examine agency goals, operations, evaluations processes, recruitment of staff, women's programs and involvement, host government relations and interagency communications with a view to identifying the types and quantities of consultant assistance needed.
2. TNTF will conduct approximately 8-10 surveys per year. A team of three to four TNTF staff will spend three to four days in semi-structured interviewing of an agency's headquarters staff: the executives, the management, the project developers, implementors and volunteers. A specially designed questionnaire will be sent to all field offices .
3. The process will be a participatory one in which the TNTF team will act as catalyst for the articulation of agency needs. Thus, the resulting document, though written by TNTF consultants, will be a statement by the agency itself of its needs, strengths and shortcomings and the areas in which it most requires and desires TNTF assistance. The assistance will be offered in the following areas:

A. Evaluation Assistance: By helping the PVOs to see the evaluation tool as a management resource, and to build it into daily operations with a minimum of dislocation, TNTF will institutionalize the evaluation process. The style and content of assistance will be dependent upon the results of the needs assessment and vary with attitudes and skills levels in the agencies. However, the following framework will be the starting point for consideration of individual agency technical assistance:

1. Review of current approach to evaluation and examination of information systems presently used for monitoring activities and assessing impact.
2. Identification of likely users of evaluation information and their specific needs, including AID and other donors.
3. Discussion with senior management, persons responsible for design and collection of information, and operating staff to identify anticipated impacts, useful indicators for them, and feasible collection and analysis systems.
4. Blocking out of routine data collection procedures, identifying what will be collected, by whom, when, and what will be done with the information.
5. Identification of useful analysis and feedback procedures through discussion with people involved (not abstract design of an oversophisticated system).
6. Identification of special studies that may be especially useful and appropriate as part of evaluation plan.
7. Technical assistance to provide appropriate data collection forms and to assure that those responsible for collecting information understand rationale and procedures.
8. Review of first cycle of collection, to assess usefulness of the system and quality of implementation; review of the uses of the evaluation.

B. Staff Recruitment: TNTF will provide assistance in job definition and recruitment for all levels of professional personnel. A roster of individuals for future assignments will be developed and maintained. The roster will include those currently working within the agencies, as well as professionals from the outside who wish to be considered for appointment to these non-governmental organizations.

1. Recruitment for Specific Needs of Individual Private Voluntary Agencies:

(a) The development of specific candidates for the agency position: - TNTF's recruiting approach and skill rest on two factors: first, the full understanding of the agency and the particular qualifications of the professional needed to fill the vacancy and, second, the knowledge, through long experience, of individuals, organizations, and institutions likely to be the best sources of interested and qualified candidates.

(1) The first task is a mutual job definition with the agency concerned. This is most effectively accomplished by several hours' conversation within the agency with officials who are related to the open position, and in particular with the individual or individuals who will make the selection decision.

(2) Following the initial meetings with the agency that define the position, required qualifications of the candidates, job attributes, salary allowances, and fringes, location, travel, supervision, and so on, the following TNTF system is activated:

(i) TNTF recruiter contact of candidates and sources of candidates by mail and telephone.

(ii) TNTF file search of its current files of over 6,000 potential candidates cross-referenced in over 100 categories.

(iii) Advertisements, if appropriate.

(iv) Systematic full search growing out of the above three initiatives.

(3) A campaign by mail and by telephone will be part of a full systematic search for recruiting the best candidates available throughout the United States, Institutions, organizations, and individuals identified as being involved in the discipline specified will be sent personal letters, job descriptions, and, as the occasion warrants, specially prepared Newsletters. These initial contacts produce an initial group of candidates and identify additional sources of potential candidates.

(4) A continuing mail campaign and personal telephone calls shall further expand the search effort. All new sources are contacted and individuals will be queried about their interest and their knowledge of other qualified persons.

(5) TNTF develops the full search by mail and telephone and also processes the resumes of candidates on file in TNTF's general talent bank. Appropriate candidates are contacted to ascertain their interest in the available position and are also asked to circulate information about the opening among qualified colleagues and friends.

(b) Processing of candidates: TNTF has evolved a set of procedures that assure presentation of only those candidates who meet the requirements of the job and are likely to accept an offer of appointment. A special application form, bio-data, reference checking, technical screening, and suitability interviews are combined in a nomination package that enables the agency to make rapid, informed, and confident personnel choices.

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(1) The Application:-The application emphasizes linking of the applicant's resume and the company-designed application form to the specific job description of the position applied for. Applicants receive a copy of the job description and are invited to submit further relevant information about academic and professional background.

(ii) References:- TNTF shall use both references supplied by the applicant and those from other individuals who know the applicant, his or her reputation, or his or her work. Like the application, TNTF's reference forms are keyed to job descriptions. They also emphasize specific behavioral reports rather than general statements about capability, giving attention to both professional performance and the likelihood that applicant and dependents will adapt successfully to the agency.

(iii) Suitability Interviews:- Those candidates who survive initial screening enter a most critical phase of Trans-century's system, designed to eliminate wasteful consideration by agencies of persons who are not likely to "make it" or who, when given more complete information, withdraw their applications. These suitability interviews accomplish the following important objectives: reduce attrition, broaden and personalize the information available to private voluntary agency decision-makers, and facilitate early orientation by the agency.

(c) The Nomination Dialogue: - Once the screening process is completed, a nomination package, which includes bio-data, an application form, references, an interview report for each nominated candidate, and a recruitment report for the position, is sent to the employing institution for review.

## 2. Private Voluntary Agency Candidate Roster Development and Maintenance:

(a) TNTF will establish a national roster of individuals interested in career professional employment with private voluntary agencies. This roster would be for those currently employed by such agencies who are seeking advancement and those who would like to begin professional employment with the PVOs. Once developed, the roster would facilitate recruitment, reduce costs and allow a self-sustaining operation financed from minimal fees.

(b) The roster will be built from TNTF's current file, the results of individual search efforts previously described, contacts developed with the private voluntary agencies and from TNTF's currently maintained contact with over 1,100 universities and colleges and 80 professional associations.

(c) The roster would include relevant specialists for short-term assignments, as well as for long-term, full-time career positions. Emphasis would be placed on securing adequate representation of women with international experience whose abilities are of interest to private voluntary agencies.

3. Provision of Short-Term Specialists for Overseas Assignments:

(a) When an agency request for a short-term specialist for overseas assignment is received, candidates will be identified through the means described above and each person's bio-data will be screened for technical competence and relevant experience. If the bio-data passes the initial assessment, at least three work-related references will be elicited, by mail or telephone, to check on the person's technical abilities, as well as his or her suitability for work abroad, and support for the goals and aspirations of private voluntary organizations in their development efforts.

(b) In some cases, candidates may be interviewed, face-to-face or by telephone, using an adapted version of the interview questionnaire which Transcentury developed for its overseas recruitment contracts and which has been a particularly accurate predictor of a person's ability to work successfully in a developing country.

C. Management Consultant Services: The following conceptual framework is a statement of background understanding from which a particular technical assistance approach to an individual agency can be constructed:

1. Are goals, strategic objectives, and operating targets sufficiently clear to enable receipt and use of outside technical assistance and consultant services?
2. Are data on current operations sufficient for management purposes?
3. Is there an adequate data base on assessment of the external realities that the agency faces?
4. Is the organizational structure appropriate for the size and functions of the agency and supportive of the communications network needed to operate effectively?
5. Are internal management practices and procedures adequate for achieving stated objectives? How can they be improved?

Like the other forms of assistance offered by TNTF in this project, help with internal management systems and personnel policies will be informal. TNTF will begin with the agency's top administrator and seek to identify problems and suggest alternative solutions with each succeeding level through a series of low-key, informal discussions appropriate for a relatively small, minimally systemitized organization. In those cases where groups need to be involved in development or implementation of changes systems, we will provide services as group facilitators and for trainers. TNTF will operate as staff assistants to operating people with the objective of helping them develop and implement changes that they believe necessary.

D. Special Technical Assistance to Promote the Role of Women:  
TNTF will establish an international non-governmental agency secretariat which will be responsive to its constituents. Its functions will include (1) development of an analytic framework and an agency project classification schema; (2) preparation of an annotated bibliography on the role of women in socio-economic development; (3) establishment of a publications retrieval system; (4) development of case studies and special studies on the role of women in socio-economic development; (5) sponsorship of conferences and workshops on the role of women in socio-economic development.

1. The Tasks of the Service Secretariat:

Task 1. The development of an analytic framework and an agency project classification schema.

(a) The object of this task is to collect and analyze data relevant to women in development, beginning with classification of the programs and orientations of member agencies, their goals, types of programs and strategies; how they relate to and/or utilize women in program implementation; and what impacts their programs have on women: their economic status, their relationships with men, their role as mothers, their traditional and cultural role, and their own human welfare.

(b) This information gathering will be done through interviews with staff members of the member agencies, through special questionnaires developed for circulation to the field offices of the agencies and, as appropriate, to the indigenous groups with whom the agencies work, through field visits, and/or collection and analysis of internal project reports from the home and field offices of the agencies.

(c) As the information comes in, a classified directory of organizations will be developed. This directory will organize the activities and cross-reference them by substantive areas and according to their impact on women. The directory will start with activities of the member agencies and eventually include other groups that engage in development activities.

Task 2. The preparation of an annotated bibliography on the role of women in socio-economic development.

A general annotated bibliography on the role of women in socio-economic development would be prepared. The search for material would begin with such sources as the Business and Professional Women's Library in Washington, D.C., the Library of Congress, the Technical Assistance Information Clearing House (TAICH) bibliography on women, and academic research papers done on various aspects of women in development. In addition, the Secretariat, through TNTF's existing network with universities, associations, and development organizations, will gather all reports, documents, books and papers of relevance to women in development. This bibliography will be distributed to the member agencies and updated in years two and three of this project.

Task 3. Establishment of a publication retrieval system.

The Secretariat will establish a retrieval system for use by the private voluntary agencies. It will facilitate the obtaining of all documents in the annotated bibliography, as well as other materials related to the role of women in socio-economic development.

Task 4. Development of case studies and special studies on the role of women in socio-economic development:

To accomplish the case studies and special studies, TNTF will begin by interviewing private volunteer agencies and by sending questionnaires to the field offices. Together with the agencies, TNTF will review project reports and draw up case studies for the member agencies' individual internal use, and for study and discussion as training tools for workshops and conferences.

Task 5. Organizing and conducting conferences and workshops on the role of women in socio-economic development

Organization of workshops, study groups and conferences will be another function of the Secretariat. These programs will take many forms, depending on the needs and schedules of participant members. Focus will be put on one substantive area (e.g., all member agencies involved in nutrition programs could gather for a one or two-day workshop on the problems unique to such programs) or a conference of member agencies will be held designed to study problems faced in common. Those program areas which most frequently developed difficulties during the year will be the focus of the conferences, and will be explored through case studies, assistance by outside specialists, discussion groups, and other study methods. Approximately 4 conferences and workshops will be conducted each year. TNTF also will organize an annual conference to facilitate face-to-face communication between voluntary agencies, Secretariat staff, donors and host country recipient groups on program issues.

E. Feasibility Study for Special Fund for Role of Women in Socio-Economic Development: TNTF will investigate the potential utilization and benefits from the establishment of a special fund by a donor agency to finance research, operational and experimental demonstration projects, administered by private voluntary agencies to promote the role of women in economic development. It appears that there are potential private voluntary agency projects that would promote the role of women in economic development that are not now being considered or undertaken. These potential projects are of such a character that it does not appear possible to obtain "regular" funding from the sponsoring institution or from other institutional sources for such reasons as:

- (a) They are too small.
- (b) The usual extensive formalities of application cannot be met by the agency.
- (c) The time of obtaining program approval from other sources appears to be too long.
- (d) The context of program formulation and traditional developmental priorities makes direct traditional sources unavailable.

The study would investigate the demand for and benefits from the following project categories:

1. Operating projects that, in and of themselves, make a direct contribution to the role of women in economic development. Such projects would need to meet normal project approval criteria in terms of project design, cost-benefit analysis, and evaluation Plan . However, the risks involved in these programs can be significant and the "failure rate" is expected to be higher than usually expected.

2. Research/Demonstration programs that test out new approaches, techniques and theories, which, if proven, can be important to the role of women in economic development.

3. Direct research programs of data gathering and analysis of relevance to assisting women to take a greater role in economic development.

The feasibility study will include the exploration with the voluntary agencies of the criteria for project approval. An initial starting point for exploration will be the assessment of criteria, such as the following:

1. Magnitude of cost compared with potential gain if the project is successful.

2. Uniqueness of project in adding to knowledge of what kinds of projects are or are not successful in promoting the role of women in economic development.

3. Potential of the project, if successful, to be replicated or to make significant multiple contributions to future programs of the administering agency, other agencies, and the broad range of institutions working in the field of women and economic development.

4. If a research project, the importance of the subject addressed and the hoped-for results.

5. The length of time for impacts from the project to be felt.

Possible organizational arrangements for the administration of the fund would be assessed. It is assumed that the voluntary agencies participating in the fund will want to participate in its management and review approvals of all grants and contracts made by the fund. A variety of arrangements will be assessed.

The feasibility study will be conducted concurrently with the needs assessment survey described above.

C. Reporting

1. An annual report will be submitted on each successive annual date from the date the grant document is signed. This report will contain a complete description of the activities undertaken, the progress made, any significant findings, problems, etc., and a summary of grant expenditures to date.

2. A copy of the fiscal report required under the grant payments clause will be forwarded to the Project Manager, AID/W.

3. The Grantee will report on accomplishment/non-accomplishment of the critical performance indicators of the Project Performance Tracking Network (attached). The reports, by indicator, should be very brief and indicate (if applicable) why the indicator was not achieved and what the potential impact on the program will be.

4. Supplementary reports may be submitted by the Grantee or requested by AID/W for important activities which occur between regular reporting periods and which are considered important and/or of interest.

D. Budget

The funds provided herein shall be used to finance the following items:

	Year I FR: 10/1/76 TO: 9/30/77	Year II FR: 10/1/77 TO: 9/30/78	Year III FR: 10/1/78 TO: 9/30/79	TOTAL
<b>Salaries</b>				
Home Office Professionals	\$ 70,570	\$ 66,469	\$ 65,698	\$202,737
Home Office Non-Professionals	<u>10,000</u>	<u>10,500</u>	<u>11,000</u>	<u>31,500</u>
Subtotal	\$ 80,570	\$ 76,969	\$ 76,698	\$234,237
Consultant Fees	\$ 12,000	\$ 12,600	\$ 11,550	\$ 36,150
Fringe Benefits	17,242	16,471	16,413	50,126
Overhead	48,906	46,720	46,556	142,182
Travel	8,700	9,135	9,570	27,405
Per Diem	6,300	6,300	6,300	18,900
Other Direct Costs	<u>12,700</u>	<u>12,075</u>	<u>12,320</u>	<u>37,095</u>
Subtotal	\$186,418	\$180,270	\$179,407	\$546,095
G & A	<u>33,555</u>	<u>32,449</u>	<u>32,293</u>	<u>98,297</u>
TOTAL	<u>\$219,973</u>	<u>\$212,719</u>	<u>\$211,700</u>	<u>\$644,392</u>

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Adjustments among the line items are unrestricted except that the Grantee may not exceed the total amount of funds obligated hereunder in the amount of \$240,000. Funds have been obligated for the Year I budget and \$20,027 has been obligated towards the Year II budget. Additional funds may be provided to fund Year II and Year III subject to their availability.



country: Worldwide	project no: 932-0096	project title: PVOs Helping PVOs - Transcentury	date: / / original / / revision #	apprvd:
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CPI NARRATIVE

1. Grant Agreement signed by CM/COD/PHA.
2. New staff hired for Secretariat on Women in Development.
3. Guidelines established for conduct of case and special studies.
4. Initial recruitment roster completed.
5. Conference plan agreed upon.
6. Set-up for retrieval system of the Annotated Bibliography and Retrieval System (ABRS) completed.
7. Initial needs assessment survey completed (4 each).
8. Secretariat analytical framework completed and distributed.
9. ABRS published and distributed.
10. Evaluation conducted (PAR).
11. Workshop plan for second year agreed upon.
12. Additional 4 needs assessment surveys completed.
13. Completion of 3 case studies.
14. Revision (annual) of ABRS.
15. Assistance provided to 8 PVOs: total.
16. One workshop conducted.
17. Workshop plan for 3rd year agreed upon.
18. Evaluation completed (PAR).
19. Additional 4 needs assessment surveys completed.
20. Completion of 3 additional case studies.
21. Revision (annual) of ABRS .
22. Assistance provided to 12 PVOs total.
23. Second workshop completed.
24. Comprehensive evaluation conducted.

## STANDARD PROVISIONS

### a. Allowable Costs and Payment (Oct. 1974)

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations)\* in effect on the date of this Grant. Payment of allowable costs shall be in accordance with Attachment C of this Grant.

[\*If this Grant is made to a university, the applicable cost principles are "Federal Management Circular, 73-8 (Cost Principles for Educational Institutions)" instead of Subpart 15.2 of the FPR as cited above]

### b. Accounting, Records, and Audit (Oct. 1974)

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (1) until the expiration of three years from the date of termination of the program and (2) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

### c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder but not disbursed to the Grantee at the time the grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

d. If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall reimburse such amounts to AID.

d. Equal Opportunity Employment (Oct. 1974)

With regard to the employment of persons in the U.S. under this Grant, Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, religion, sex, color or national origin of such persons and that, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U.S. no person shall, on the grounds of race, religion, sex, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

e. Termination (Oct. 1974)

This Grant may be terminated at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within thirty (30) calendar days after the effective date of such termination repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of this termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within (90) calendar days after the effective date of such termination a written claim covering such obligations, and, subject to the limitations contained in the Grant, the Grant Officer shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.

f. Officials Not to Benefit (Oct. 1974)

No officer of or delegate to Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

g. Warrant Against Contingent Fee (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

h. Nonliability (Oct. 1974)

AID does not assume liability with respect to any claims for damages arising out of work supported by its grants.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

To the cognizant AID Grant Officer

To Grantee - At Grantee's address shown in this Grant; or to such other address as either party shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

## 1. Travel and Transportation (Oct. 1974)

(1) The Grant Officer hereby approves international travel hereunder provided that the Grantee shall obtain written concurrence from the cognizant technical office in AID prior to sending any individual outside the United States to perform work under the Grant. For this purpose the Grantee shall advise the Project Manager at least thirty (30) days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant USAID Mission or U.S. Embassy advance notification with a copy to the project officer of the arrival date and flight identification of Grant financed travellers.

(2) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(3) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.

(a) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;

2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;

3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical, or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

**Travel, con't:**

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

(4) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

m. Regulations Governing Employees Outside the United States (Oct. 1974)

(1) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government Offices or facilities for support while so engaged.

(2) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the mission, except as this may conflict with host government regulations.

(3) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage, directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession or occupation in the foreign countries to which he is assigned.

(4) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(5) On the written request of the Grant Officer or of a cognizant Mission Director, the Grantee will terminate the assignment of any individual to any work under the Grant and, as requested, will use its best efforts to cause the return to the United States of the individual from overseas or his departure from a foreign country or a particular foreign locale.

(6) Allowances for employees assigned overseas shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

n. Subordinate Agreements (Oct. 1974)

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

o. Negotiated Overhead Rates (Oct. 1974)

Pursuant to the provisions of this clause set forth below an overhead rate shall be established for each of the Grantee's accounting periods during the term of this Grant. Pending establishment of a final rate for the initial period beginning October 1, 1976 and ending September 30, 1977, provisional payments on account of allowable indirect costs shall be made at the rate or rates of 50%, applied to salaries and fringe benefits.

(1) The Grantee, as soon as possible but not later than ninety (90) days after the close of each of his accounting periods during the term of this Grant, shall submit to the Grant Officer with copies to the Overhead and Special Cost Branch, Support Division, Office of Contract Management, AID, Washington, D.C. and to the Office of the Auditor General, AID, Washington, D.C., a proposed final rate or rates for the period, together with supporting cost data. Negotiation of final overhead rates by the Grantee and the Grant Officer shall be undertaken as promptly as practicable after receipt of the Grantee's proposal.

(2) Allowability of costs and acceptability of cost allocation methods shall be determined in accordance with Subpart 1-15.2 (Principles and Procedures for Use in Cost-Reimbursement Type Supply and Research Contracts with Commercial Organizations) of the Federal Procurement Regulations\* as in effect on the date of this Grant.

(3) The results of each negotiation shall be set forth in a written overhead rate agreement executed by both parties. Such agreement shall specify (1) the agreed final rates, (2) the bases to which the rates apply, and (3) the periods for which the rates apply. The overhead rate agreement shall not change any monetary ceiling, Grant obligation, or specific cost allowance or disallowance provided for in this Grant.

(4) Pending establishment of final overhead rates for any period, the Grantee shall be reimbursed either at negotiated provisional rates as provided above or at billing rates acceptable to the Grant Officer, subject to appropriate adjustment when the final rates for that period are established. To prevent substantial over or under payment, the provisional or billing rates may, at the request of either party, be revised by mutual agreement, either retroactively or prospectively. Any such revision of negotiated provisional rates provided in this clause shall be set forth in a modification to this Grant.

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[\*If this grant is made to a university the applicable cost principles are "Federal Management Circular 73-8 (Cost Principles for Educational Institutions)"]

p. Publications (Oct. 1974)

(1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(3) In the event grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(4) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose and to permit others to do so.

9. Procurement of Equipment, Vehicles, Supplies, Materials, and Services (Oct. 1974)

(1) Except as may be specifically approved or directed in advance by the Grant Officer, or as provided in paragraphs (2), (3), and (4) below, all equipment, vehicles, materials, supplies and services the costs of which are to be attributed to this Grant and which will be financed with United States dollars shall be purchased in and shipped from the United States. In addition, for any U.S. purchase transaction in excess of \$2,500 the Grantee shall notify the seller that the item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1, and that the supplier must execute and furnish Form AID-282 "Suppliers Certificate and Agreement with the Agency for International Development".

(2) Exceptions to the foregoing are as follows (for Title X Grants only):

Grantee may permit a subgrantee (or the Grantee on his behalf) to procure commodities already in its country (with the exception of contraceptives, drug products, pharmaceuticals, and motor vehicles) in an amount not exceeding a total cost of \$5,000 per subgrantee per annum without regard to limitations, rules and procedures applicable to commodity transactions financed by AID. Such commodities shall not be considered "Already in its country" within the meaning of the aforementioned exception if the commodities have been imported or otherwise brought into the country at the request of the Grantee or subgrantee. A subgrantee's own country for this purpose shall in no event include any of the following: Australia, Austria, Belgium, Canada, Denmark, France, Germany, Spain, Italy, Japan, Luxembourg, Monaco, Netherlands, New Zealand, Norway, South Africa, Sweden, Switzerland, and United Kingdom. The Grantee shall take all reasonable precautions to ensure that the subgrantee does not procure any commodity which has as its country of origin any of the following countries: Albania, Bulgaria, China (Mainland and other Communist-controlled areas), including Manchuria, Inner Mongolia, the provinces of Tsinghai and Sikkang, Sinkiang, Tibet, and former Kwantung Leased Territory, the present Port Arthur Naval Base Areas, and Liaoning Province, Cuba, German Democratic Republic, Hungary, North Korea, North Vietnam, Outer Mongolia, Poland, Rumania, and the Union of Soviet Socialist Republics.

(3) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:

Procurement of Equipment, Etc. - Page 2 of 3

(a) Effective use of the materials depend on their being in the local language, and

(b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

The order of preference as to countries in which such purchases outside the United States are made shall be:

1. The AID receiving country
2. Countries falling within AID Geographic Code 901
3. Other Countries falling within AID Geographic Code 899

AID Geographic Codes are defined in AIDPR 7-6.5201.1.

(4) Procurements in the country in which an activity is being undertaken and which are less than \$2,500 and are for materials (regularly available and normally sold on the local market) which are to be consumed or expended during the period of this Grant, are exempt from the conditions of Paragraph 1 above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.

(5) All international air shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag carriers unless shipment would, in the judgement of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or transshipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipment made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, as appropriate, giving the basis for the request.

(6) The Grantee shall obtain competition to the maximum extent possible for any procurement to be financed hereunder. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus-a-percentage of cost basis. The Grantee shall take all reasonable steps necessary to insure that subgrantees procuring in accordance with Paragraph (2) obtain competition to the maximum extent possible. In addition to the foregoing, for purchases made in the United States the cost of which are to be attributed to this Grant, the Grantee shall comply with the following requirements:

Procurement of Equipment, Etc. - Page 3 of 3

To permit AID, in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D.C. 20523 at least 45 days prior to placing any order or contract in excess of Five Thousand (\$5,000) Dollars, except where a shorter time is requested of, and granted by the Office of Small Business:

- (a) Brief general description and quantity of commodities or services;
- (b) Closing date for receiving quotations or bids;
- (c) Address where invitations or specifications may be obtained.

(7) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing", Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

r. Title to and Use of Property (Grantee) (Oct. 1974)

Title to all property financed under this Grant shall vest in the Grantee, subject to the following conditions:

(1) The Grantee shall not, under any Government contract or subcontract thereunder, or any Government grant, charge for any depreciation, amortization, or use of any property title to which remains in the Grantee under this clause.

(2) The Grantee agrees to use and maintain the property for the purpose of the grant.

(3) With respect to items having an acquisition cost of \$1,000 or more, title to which vests in the Grantee, the Grantee agrees:

(a) to report such items to the Grant Officer from time to time as they are acquired and to maintain a control system which will permit their ready identification and location.

(b) to transfer title to any such items to the Government in accordance with any written request therefor issued by the Grant Officer at any time prior to final payment under the Grant.

a. Salaries (Oct. 1974)

All salaries, wages, fees, and stipends reimbursed under this Grant shall be in accordance with the Grantee's policy and practice as reviewed and approved by the Grant Officer. In the absence of an approved policy the Grantee shall follow the regulations contained in Section 7-15.205-6 of the Agency for International Development Procurement Regulations.

PAYMENT PROVISIONPeriodic Grant Disbursement

Each month, or at less frequent intervals as agreed upon in advance, Grantee will submit to the Office of Financial Management, FM/CSD, AID, Washington, D.C. 20523 Voucher Form SF 1034 (original) and SF 1034-A, three copies, each voucher identified by the appropriate grant number, in the amount of estimated cash needs for the following month. The voucher shall be supported by an original and two copies of a report rendered as follows:

Amount of Grant	\$xxx
Expended to date	\$xxx
Expended this period (by line item as set forth in budget)	
1.	\$xxx
2.	\$xxx
3.	\$xxx
etc.	
TOTAL THIS PERIOD	\$xxx
Advances outstanding to subgrantees (if any)	\$xxx
Anticipated expenditures next month (dates)	\$xxx
Cash received to date	\$xxx
Cash required next month	\$xxx

The report shall include a certification as follows:

"The undersigned hereby certifies: (1) that the above represents the best estimates of funds needed for expenditures to be incurred over the period described, (2) that appropriate refund or credit to the grant will be made in the event funds are not expended, (3) that appropriate refund will be made in the event of disallowance in accordance with the terms of this grant and (4) that any interest accrued on the funds made available herein will be refunded to AID.

BY \_\_\_\_\_

TITLE \_\_\_\_\_ DATE \_\_\_\_\_

ASSURANCE OF COMPLIANCE WITH THE AGENCY FOR  
INTERNATIONAL DEVELOPMENT REGULATION UNDER TITLE VI  
OF THE CIVIL RIGHTS ACT OF 1964

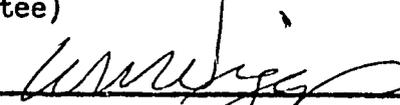
The New TransCentury Foundation (hereinafter called the "Grantee")  
(Name of Grantee)

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Agency for International Development (22 CFR Part 209, 50 FR 317) issued pursuant to that title, to the end that, in accordance with title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, religion, sex or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under and program or activity for which the Grantee receives Federal financial assistance from the Agency; and HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Grantee by the Agency, this assurance shall obligate the Grantee, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Grantee for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Grantee for the period during which the Federal financial assistance is extended to it by the Agency.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Grantee by the Agency, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The Grantee recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Grantee, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Grantee.

The New TransCentury Foundation  
(Grantee)

BY (Signature) 

TYPED NAME Warren W. Wiggins

TITLE Director

DATE October 12, 1976