

CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET

SER/CM/SD/SUP Action Monitor SAL

SER/CM/SD/SUP Action Office LAC

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy; Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy; Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy. Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

PART IA.

4/22/82

Contractor/Grantee Name

AMERICAN SOCIETY FOR International Law/

Table with 4 columns: PIO/T Number, Appropriation Number, Allotment Number, Project Number. Values: N/A, , , .

Table with 1 column: Project Title. Value: N/A

Table with 3 columns: Amount of this PIO/T, Project Manager's Name and Office Symbol, Contractor's D-U-N-S Number. Values: 0-, LAC/DP, R. Knee, .

Table with 3 columns: Negotiator's Typed Name, Signature, Date. Values: V. Prakash, [Signature], 5-27-82

Table with 3 columns: Contract/Grant Officer's Typed Name, Signature, Date. Values: Wes Hawley, [Signature], 5-27-82

PART IB.

Table with 5 columns: Contract/Grant Number, Type Order, Order Number, Amendment/Modification Number, Date PIO/T Received by CM/SD/SUP or Mission Contract Office. Values: AID/1a G-1288, -, -, 10, 4/22/82

PART II.

Table with 4 columns: Effective Date of Document, Signature Date of Document, Estimated Completion Date, Contract/Grant funded through (date). Values: 5-24-82, 5-24-82, 5-31-82, 5-31-82

Table with 4 columns: Host Country Institution (University contract ONLY), Campus Coordinator (University contract ONLY), Amount Obligated/Deobligated/Subobligated by this Action, Cumulative Obligation thru this Action. Values: -, -, 0, no change

PART III.

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2). NOTE: Not to be completed for Amendment or Modification Actions.

M03 - TYPE ACTION

- 0. Contract/Grant/Cooperative Agreement
1. Task Order (BOA)\*
2. Work Order (IOC)\*
3. Delivery Order (Requirements)\*
4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

M04 - CONTRACT/GRANT TYPE

- 0. MOA, (BOA, BMA, etc.)
1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI)
2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF)
3. Cooperative Agreement
4. Grant - General Support
5. Grant - Specific Support
6. Grant - 211(d)\*
7. Do Not Use
8. IQCs
9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

M05 - SELECTION PROCEDURES

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
C. A & E (AIDPR 7-4.10)
D. Ed. Inst. and/or Title Research (AIDPR 7-4.57)
E. Collaborative Assistance (AIDPR 7-4.58)
F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
I. Sole Source (AIDPR 7-3.101-50(b)(4))
J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
K. 8(a) Selection (FPR 1-1.713-1)
L. Grant (Handbook 13)
M. Do Not Use
N. Cooperative Agreement (Handbook 13)
O. Small Business Set-Aside (FPR 1-1.706-8)
P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

M06 - TYPE SERVICE

- A. Training of Participants
B. Technical Assistance (Program, Project related except A & E Services)
C. A & E Services
D. Construction
E. Research
F. Technical Services to AID (other than training, usually operating expense)
G. Training Service for AID
H. Equipment, Materials, Supplies, Commodities
I. Translation Service

M07 - TYPE CONTRACTOR/GRANTEE

- A. Firm - All profit-making firms (other than A & E)
B. A & E Firms

- C. Individual (Non-personal service)
D. Individual (Personal Service)
E. University/Other Educational Institutions
F. Non-Profit Organization, Institutions (other than Ed or PVO)
G. Private Voluntary Organization (PVO)
H. International Agricultural Research Organization
I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

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B. SB Set-Aside - Awarded to Minority
C. SB Not Set-Aside - Awarded to Non-Minority
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E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
F. 8(a) SBA Awarded to Minority
G. Personal Service Contract - Non-Minority
H. Personal Service Contract - Minority
I. Individual Non-Personal Service Contract - Non-Minority
J. Individual Non-Personal Service Contract - Minority
K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
M. U.S. Government

(Continued on Page 2)

STAT Section JUN 1 1982 ENTERED

**ORIGINAL**

MAY 28 1982

PAGE 1 OF 2

1. Amendment No. 10	2. Effective Date Signature Date	3. Grant No. AID/LAC-G-1288	4. Effective Date 8/31/78
5. Grantee (Name and Address) The American Society for International Law 2223 Massachusetts Avenue Washington, D.C. 20008		6. Administered by-  Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20523	
7. PIO/T No - N/A Appropriation No. N/A Allotment Symbol N/A  t. Obligated Prior to this Am. \$ 756,347 t. Oblig. by this Amendment \$ -0- Total Obligated Amount \$ 756,347		8. Previous PIO/T's- 598-591-1-6587334 598-591-1-6597325, A-1 598-0591-1-6507345 598-591-1-6511309 598-0591-1-6507345 598-0591-1-6511309, A-1	

9. The above numbered Grant is hereby modified as follows:  
 Purpose: The purpose of this amendment is to finalize overhead Rates for Grantee's fiscal years 1979, 1980 and the nine month period ending 12/31/80, in accordance with Recommendation No. 2 of Audit Report No. 82-58 dated 3/19/82.

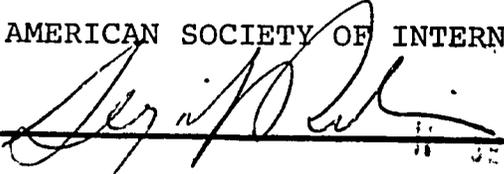
ARTICLE IV - ESTABLISHMENT OF OVERHEAD RATES

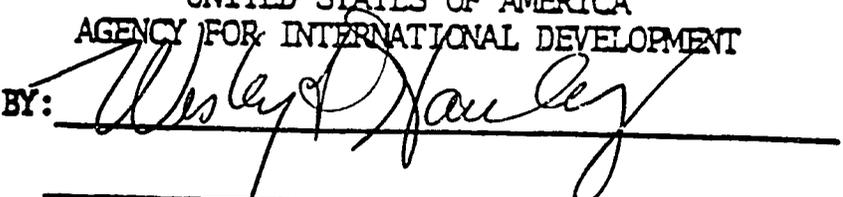
(See page 2)

**ORIGINAL**

This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

Grantee is required to sign this document and return 7 copies to issuing office.

GRANTEE  
 - AMERICAN SOCIETY OF INTERNATIONAL LAW  
 BY:   
 Seymour J. Rubin  
 (Name typed or printed)

UNITED STATES OF AMERICA  
 AGENCY FOR INTERNATIONAL DEVELOPMENT  
 BY:   
 (Name typed or printed)

TITLE: Executive Vice President & Executive Director

TITLE: Grant Officer

DATE: April 29, 1982

DATE: MAY 24 1982 2

Delete rates heretofore applied and substitute in lieu thereof the following:

<u>Type</u>	<u>Overhead</u> <u>Rate</u>	<u>1/</u>	<u>Overhead</u> <u>Rate</u>	<u>2/</u>	<u>Period</u>
Final	62.5%		31.25%		4/1/78 - 3/31/79
Final	56.9%		28.45%		4/1/79 - 3/31/80
Final	50.0%		25.0%		4/1/80 - 12/31/80
Provisional	50.0%		25.0%		1/1/81-Until amended

1/ Base: Total Direct Cost less outside printing services, depreciation on library books, capital expenditures, and Budget Line Item 6.

2/ Base: Expenses for operating centers activities (Line Item 6). The Grantee and AID agree that the indirect cost rate, as applied to expenses for operating centers activities (Budget Line Item 6) shall not exceed a maximum of 34.1%.

OPTIONAL  
COPY TO BE MAILED

APR 22 3 17 PM '85

FEB 11 1982

MEMORANDUM

Date February 10, 1982

TO : Distribution

FROM : CM/SOD, Donald Dickie <sup>10261.</sup>

SUBJECT: Grant No. AID/LAC-g-1288 between A.I.D. and American Society for International Law

This transmits one copy of an advance agreement dated January 25, 1982. This Agreement is also amendment No. 9 to the subject grant

The purpose of this Amendment is the implementation of OMB Circular A-122.

If additional copies are required, please contact CM/SOD/OSC.

DISTRIBUTION:

- CM/SD/SUP, Mrs. B. Lewis
- CM/SD/SUP, Mrs. Sarah Lewis
- SER/FM/PAD, Mr. Phil Amos
- RIG/A/W, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/ ROD/LAC , W. Hawley

Project Manager, LAC/DP, R. Knee

USAID/ \_\_\_\_\_

STAT Section

FEB 12 1982

ENTERED

4

ORIGINAL

UNITED STATES INTERNATIONAL COOPERATION AGENCY  
Agency for International Development  
Washington, D.C. 20523

ADVANCE AGREEMENT ON COST PRINCIPLES

Date January 25, 1982

SUBJECT: Cost Principles for Non-profit Organizations for Use in Contracts, Grants and Cooperative Agreements with the Agency for International Development (AID)

CONTRACTOR: American Society of International Law  
or 2223 Massachusetts Avenue, NW  
RECIPIENT: Washington, D.C. 20008

PART I

The acquisition/assistance instruments listed in Part II of this amendment are hereby amended as follows:

- A. The cost principles applicable to this contract/grant/cooperative agreement are set forth in Subpart 1-15.6-Contracts with Nonprofit Organizations of the Federal Procurement Regulations. All references to "Subpart 1-15.2-Contracts with Commercial Organizations" shall be deleted wherever they appear, and in their place reference to "Subpart 1.15-6--Contracts with Nonprofit Organizations" shall be inserted.
- B. Subpart 1-15.6 reserves the sections on bid and proposal costs and independent research and development costs. A.I.D. and the contractor/recipient hereby agree upon the following treatment of these costs, pending such time as the FPR is amended to include them.
  1. Bid and proposal costs. Bid and proposal costs are the immediate costs of preparing bids, proposals, and applications for potential Federal and non-Federal grants, contracts and agreements, including the development of scientific costs, and other data needed to support the bids, proposals, and applications. Bid and proposal costs of the current accounting period are allowable as indirect costs. Bid and proposal costs of prior accounting periods are unallowable in the current period. In this regard, bid and proposal costs incurred for the preparation of requests for specific projects and programs are acceptable for recovery as indirect costs. However, proposal costs incurred in the attempt to obtain unrestricted funds are to be treated as fund raising and must be included in the organization's direct cost base. Bid and proposal costs do not include independent research and development costs which are covered by paragraph (2) below, or preaward costs covered by Attachment B, Paragraph 33, of OMB Circular A-122. (FPR 1-15.603-2)
  2. Independent research and development costs. Independent research and development is research and development conducted by an organization which is not sponsored by Federal or non-Federal grants, contracts or other agreements. Independent research and development costs must be included in the organization's direct cost base for allocation of its proportionate share of indirect costs. The costs of independent research and development, including its proportionate share of indirect cost, are unallowable under AID agreements.

X  
5

JAN 10 1982

C. This amendment is effective on January 1, 1982.

**PART II**

The changes cited above in Part I of this amendment are incorporated into the A.I.D. Washington issued acquisition/assistance instruments cited below. This amendment does not change any monetary ceiling or obligation provided for in the documents listed below or any other agreement between the parties.

Contract/Grant Cooperative Agreement No.

Amendment No.

AID/LAC-G-1268  
1288

9

Accepted:

By:

Signature

*John L Hargrove*  
John L Hargrove

Printed or Typed Name

*Director of Studies*  
Director of Studies

Title

*2-1-82*  
2-1-82

Date

*Donald B. Dickie*  
Donald B. Dickie

Contracting/Grant Officer  
Overhead and Special Costs Branch  
Services Operations Division  
Office of Contract Management  
Agency for International Development

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**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action  
Monitor **SAL**

SER/CM/SD/SUP Action  
Office **IAC**

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy; Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

**PART IA.**

12/22/81

Contractor/Grantee Name

~~INTER-AMERICAN LEGAL SERVICES ASSOCIATION~~

*American Society for Intl. Law*

PIO/T Number Memo	Appropriation Number 72-111021-6	Allotment Number 146-65-598-00-69-11	Project Number 598-0591
Project Title			

Amount of this PIO/T N/A	Project Manager's Name and Office Symbol LAC/DP/SD, Roma Knee	Contractor's D-U-N-S Number
Negotiator's Typed Name GASKINS	Signature <i>Cari Gaskins</i>	Date 12/23/81
Contract/Grant Officer's Typed Name Wes Hawley	Signature <i>Wes Hawley</i>	Date 12/23/81

**PART IB.**

Contract/Grant Number AID/IAC-G-1288	Type Order	Order Number	Amendment/Modification Number 8	Date PIO/T Received by CM/SD/SUP or Mission Contract Office 12/22/81
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**PART II.**

Effective Date of Document 11/1/82	Signature Date of Document 12/23/81	Estimated Completion Date 5/31/82	Contract/Grant funded through (date) 5/31/82
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action 2.0	Cumulative Obligation thru this Action 756,347

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify (Complete both pages 1 and 2). NOTE: Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IOC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc.)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support\*
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4.10)
- D. Ed. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
- H. Procurement to be performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.713-1)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))
- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
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- M. U.S. Government

(Continued on Page 2)

ORIGINAL

1. Amendment No. 8	2. Effective Date 1/1/82	3. Grant No. aid/LAC-G-1288	4. Effective Date 8/31/78
5. Grantee (Name and Address) The American Society for International Law 2223 Massachusetts Avenue, NW Washington, DC 20008		6. Administered by-  Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20523	
7. PIO/T No - N/A Appropriation No. 72-1111021-6 Allotment Symbol 146-65-598-00-69-11  Amt. Obligated Prior to this Am. \$756,347 Amt. Oblig. by this Amendment \$ -0- Total Obligated Amount \$756,347		8. Previous PIO/T's- 598-591-1-6587334 598-591-1-6597325, A-1 598-0591-1-6507345 598-591-1-6511309 598-0591-1-6507345 598-0591-1-6511309, A-1	

9. The above numbered Grant is hereby modified as follows:

Purpose: The purpose of this amendment is to extend the subject Grant for five (5) months at no additional cost.

A. Article II - Budget

Delete the date "12/31/81" wherever it appears, and substitute in lieu thereof "5/31/82".

B. All other terms and conditions remain unchanged.

RECEIVED  
 CONTRACT SERVICES  
 DEC 23 1981

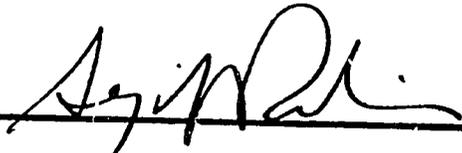
AID TECHNICAL OFFICE: LAC/DP/SD

ORIGINAL

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 7 copies to issuing office.

12. GRANTEE

BY:   
 Seymour J. Rubin  
 (Name typed or printed)

TITLE: Executive Director

DATE: December 29, 1981

UNITED STATES OF AMERICA  
 AGENCY FOR INTERNATIONAL DEVELOPMENT

BY:   
 Wesley L. Hawley  
 (Name typed or printed)

TITLE: Grant Officer

DATE: DEC 23 1981 4

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **SAL**

SER/CM/SD/SUP Action Office **LAC**

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**PART IA.**

**9/25/81**

Contractor/Grantee Name

**AMERICAN SOCIETY OF INTERNATIONAL LAW**

PIO/T Number <b>598-0591-1-6511309 A1</b>	Appropriation Number <b>72-1111021.6</b>	Allotment Number <b>146-65-598-00-69-11</b>	Project Number <b>598-0591</b>
--	---	--	-----------------------------------

Project Title

**Human Rights Initiatives**

Amount of this PIO/T <b>\$50,000</b>	Project Manager's Name and Office Symbol <b>Roma D. Knee, LAC/DP/SD</b>	Contractor's D-U-N-S Number
---	--	-----------------------------

Negotiator's Typed Name <del>XXXXXXXXXX</del> <b>Vivian Prakash</b>	Signature <i>Vivian Prakash</i>	Date <b>9-30-81</b>
--	------------------------------------	------------------------

Contract/Grant Officer's Typed Name <b>Wes Hawley</b>	Signature <i>Wes Hawley</i>	Date <b>9-30-81</b>
--	--------------------------------	------------------------

**PART IB.**

Contract/Grant Number <b>AID/LAC-G-1288</b>	Type Order <b>-</b>	Order Number <b>-</b>	Amendment/Modification Number <b>A-7</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>9/25/81</b>
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**PART II.**

Effective Date of Document <b>9-30-81</b>	Signature Date of Document <b>9-30-81</b>	Estimated Completion Date <b>12-31-81</b>	Contract/Grant funded through (date) <b>12-31-81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>\$50,000</b>	Cumulative Obligation thru this Action <b>\$756,347</b>

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*Recd. for Validation 9/30/81*

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- M. U.S. Government

NOV 5 1981  
STAT Section  
APPROVED

9

ORIGINAL

1. Amendment No. 7	2. Effective Date 9-30-81	3. Grant No. AID/LAC-G-1288	4. Effective Date 8-31-78
5. Grantee (Name and Address) The American Society for International Law 2223 Massachusetts Avenue, N.W. Washington, D. C. 20008		6. Administered by- Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20525	
7. PIO/T No - 598-0591-1-6511309 A-1 Appropriation No. 72-1111021.6 Allotment Symbol 146-65-598-00-69-11 mt. Obligated Prior to this Am. \$ 706,347 mt. Oblig. by this Amendment \$ 50,000 otal Obligated Amount \$ 756,347		8. Previous PIO/T's- 598-591-1-6587334 598-591-1-6597325, A-1 598-0591-1-6507345 598-591-1-6511309 598-0591-1-6507345	

9. The above numbered Grant is hereby modified as follows:

PURPOSE: The purpose of this grant is to increase the obligated funds. This represents the final increment under this grant.

1. Cover Letter

- a. Paragraph 1 - Delete \$706,347 and insert in lieu thereof \$756,347.
- b. Paragraph 4. - Delete this paragraph in its entirety and restate as follows:

"The total estimated cost and funds obligated are \$756,347. AID shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount."

SEP 30 1981  
4190  
Prog. in Acctg. Division  
OFFICE OF FINANCIAL MANAGEMENT

ORIGINAL

AID TECHNICAL OFFICE:

0. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

1. Grantee is required to sign this document and return 7 copies to issuing office.

2. GRANTEE

BY: Seymour J. Rubin  
Seymour J. Rubin  
(Name typed or printed)

TITLE: Executive Vice President

DATE: October 19, 1981

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: Wesley P. Spurlay  
(Name typed or printed)

TITLE: Grant Officer

DATE: SEP 30 1981

10.

2. ARTICLE II - BUDGET

Delete Article in its entirety and restate as follows:

"The obligated total for the term of this grant is \$756,347. The funds provided herein shall be used to finance the items set forth in the budget shown below:

BUDGET  
8/31/78 - 12/31/81

1. Salaries (ASIL Personnel)	\$ 47,000
2. Fringe Benefits	7,050
3. Salaries (InterAmerican Legal Services Assn.)	133,500
4. Fringe Benefits	14,025
5. Direct Expenses (excluding salaries-ASIL)	68,000
6. Expenses, Operating Centers Activities	184,400
7. Other Direct Costs	16,725
8. Seed Grant Funds	50,000
9. Overhead	235,647
Total Obligated	<u>\$756,347</u>

The Grantee may not exceed the total amount of funds obligated in the Grant. The Grantee may adjust budget line item amounts as reasonably necessary to accomplish the project."

ORIGIN.

RIGINAL

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **SAL**

SER/CM/SD/SUP Action Office **IAC**

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy; Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

**PART IA.**

**8/28/81**

Contractor/Grantee Name

**AMERICAN SOCIETY OF INTERNATIONAL LAW**

PIO/T Number <b>598-0591-1-6507345</b>	Appropriation Number <b>72-1101021.6</b>	Allotment Number <b>046-65-598-00-69-01</b>	Project Number <b>598-0591</b>
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Project Title

**Human Rights Initiatives**

Amount of this PIO/T <b>-0-</b>	Project Manager's Name and Office Symbol <b>IAC/DP/SD, Roma D. Knee</b>	Contractor's D-U-N-S Number
------------------------------------	--	-----------------------------

Negotiator's Typed Name <b>Bergman</b>	Signature <i>Jay M. Bergman</i>	Date <b>9/24/81</b>
---	------------------------------------	------------------------

Contract/Grant Officer's Typed Name <b>W. Hawley</b>	Signature <i>W. Hawley</i>	Date <b>9/24/81</b>
---	-------------------------------	------------------------

**PART IB.**

Contract/Grant Number <b>AID/IAC-G-1288</b>	Type Order	Order Number	Amendment/Modification Number <b>6</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>8/28/81</b>
--	------------	--------------	---	---

**PART II.**

Effective Date of Document <b>9/1/81</b>	Signature Date of Document <b>9/1/81</b>	Estimated Completion Date <b>12/31/81</b>	Contract/Grant funded through (date) <b>12/31/81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>0 -</b>	Cumulative Obligation thru this Action <b>\$706,347</b>

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2). NOTE: Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IQC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc.)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4.10)
- D. Ed. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))

H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))

- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))

- K. 8(a) Selection (FPR 1-1.713-1)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
- F. 8(a) SBA Awarded to Minority
- G. Personal Service Contract - Non-Minority
- H. Personal Service Contract - Minority
- I. Individual Non-Personal Service Contract - Non-Minority
- J. Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
- L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
- M. U.S. Government

(Continued on Page 2)

STAT Section  
SEP 28 1981  
ENTERED

12

ORIGINAL

MODIFICATION OF GRANT

SEP 28 1981

1. Amendment No. 6	2. Effective Date Date of Grant Officer's Signature	3. Grant No. AID/LAC-G-1288	4. Effective Date August 31, 1978
5. Grantee (Name and Address)  The American Society for International Law  2223 Massachusetts Avenue, N.W.  Washington, D.C. 20008		6. Administered by-  Grant Officer Regional Operations Division-LAC Office of Contract Management Agency for International Development Washington, D.C. 20523	
7. PIO/T No.- 598-0591-1-6507345  Appropriation No.- 72-1101021.6  Allotment Symbol- 046-65-598-00-69-01		8. Previous PIO/T's-  598-591-1-6587334 598-591-1-6597325, A-1 598-0591-1-6507345 598-591-1-6511309	

9. The above numbered Grant is hereby modified as follows:

Block 7 (Cont'd)

Amount obligated prior to this Amendment: \$706,347  
Amount obligated by this Amendment: -0-  
Total amount obligated for this Grant: \$706,347

A. Cover Letter

- 1) In the second paragraph, delete "...the three year Grant as shown in the budget" and substitute "...the period of the Grant as shown in the budget" in lieu thereof.

(Continued on next page)

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 7 copies to issuing office.

12. GRANTEE

BY: *Seymour J. Rubin*  
Seymour J. Rubin  
(Name typed or printed)

TITLE: Exec. V.P. and Exec. Director

DATE: 9/21/81

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: *Wesley L. Hawley*  
Wesley L. Hawley JMB  
(Name typed or printed)

TITLE: Grant Officer

DATE: SEP 01 1981

ORIGINAL

13

B. Attachment 1 - Program Description

1) Article II - Budget

- a) As amended, delete the dates "9/31/78 - 8/30/81" and substitute "8/31/78 - 12/31/81" in lieu thereof.

C. Attachment 2 - Standard Provisions

- 1) Add Standard Provision No. 29, entitled "Use of Pouch Facilities", which is attached hereto and made a part of this Grant.

D. All other terms and conditions remain unchanged.

Use of Pouch Facilities (Nov 1980)

(a) Use of diplomatic pouch is controlled by the Department of State. The Department of State has authorized the use of pouch facilities for AID recipients and their employees as a general policy, as detailed in items (1) through (7) below; however, the final decision regarding use of pouch facilities rests with the Embassy or AID Mission. In consideration of the use of pouch facilities as hereinafter stated, the recipient and its employees agree to indemnify and hold harmless the Department of State and AID for loss or damage occurring in pouch transmission.

(1) Recipients and their employees are authorized use of the pouch for transmission and receipt of up to a maximum of 2 pounds per shipment of correspondence and documents needed in the administration of assistance programs.

(2) U.S. citizen employees are authorized use of the pouch for personal mail up to a maximum of one pound per shipment (but see (a) (3) below).

(3) Merchandise, parcels, magazines, or newspapers are not considered to be personal mail for purposes of this clause, and are not authorized to be sent or received by pouch.

(4) Official mail pursuant to (a) (1) above, sent by pouch should be addressed as follows:

Name of individual or organization (followed by letter symbol "C")

Name of post (USAID/\_\_\_)

Agency for International Development

Washington, D.C. 20523

(5) Personal mail pursuant to (a) (2), above, should be sent to the address specified in (a) (4), above, but without the name of the organization.

(6) Mail sent via the diplomatic pouch may not be in violation of U.S. Postal laws and may not contain material ineligible for pouch transmission.

(7) AID recipient personnel are not authorized use of military postal facilities (APO/FPO). This is an Adjutant General's decision based on existing laws and regulations governing military postal facilities and is being enforced worldwide. Posts having access to APO/FPO facilities and using such for diplomatic pouch dispatch may, however, accept official mail from recipients and letter mail from their employees for the pouch, provided of course, adequate postage is affixed.

(b) The recipient shall be responsible for advising its employees of this authorization and these guidelines and limitations on use of pouch facilities.

(c) Specific additional guidance on recipient use of pouch facilities in accordance with this clause is available from the Post Communication Center at the Embassy or AID Mission.

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**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **SAL**

SER/CM/SD/SUP Action Office **LAC**



INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy; Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy; Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
 Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

Part IA. **12/9/80**

Contractor/Grantee Name

**AMERICAN SOCIETY OF INTERNATIONAL LAW**

PIO/T Number <b>598-591-1-6511309</b>	Appropriation Number <b>72-1111021 .6</b>	Allotment Number <b>146-65-598-00-69-11</b>	Project Number <b>598-0591</b>
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Project Title

**Human Rights Initiatives**

Amount of this PIO/T <b>\$37,114</b>	Project Manager's Name and Office Symbol <b>LAC/DP, Roma D. Knee</b>	Contractor's D-U-N-S Number
---	---	-----------------------------

Negotiator's Typed Name <b>VIVIAN PRAKASH</b>	Signature <i>Vivian Prakash</i>	Date <b>3/24/81</b>
--	------------------------------------	------------------------

Contract/Grant Officer's Typed Name <b>W. Hawley</b>	Signature <i>Wesley Hawley</i>	Date <b>3/24/81</b>
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**PART IB.**

Contract/Grant Number <b>AID/lac-G-1288</b>	Type Order <b>—</b>	Order Number <b>—</b>	Amendment/Modification Number <b>A-5</b>	Date PIO/T Received by CM/SD/SU or Mission Contract Office <b>12/9/80</b>
--	------------------------	--------------------------	---	--

**PART II.**

Effective Date of Document <b>3/24/81</b>	Signature Date of Document <b>3/24/81</b>	Estimated Completion Date <b>8/30/81</b>	Contract/Grant funded through (date)
Host Country/Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>\$ 37,114</b>	Cumulative Obligation thru this Action <b>\$ 706,347</b>

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2. NOTE: Not to be completed for Amendment or Modification Actions.)

*Acad. for Validation 3/25/81*

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IQC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc.)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support\*
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4 10)
- D. Ed. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
- H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.713-1)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
- F. 8(a) SBA Awarded to Minority
- G. Personal Service Contract - Non-Minority
- H. Personal Service Contract - Minority
- I. Individual Non-Personal Service Contract - Non-Minority
- J. Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
- L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
- M. U.S. Government

(Continued on Page 2)

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MODIFICATION OF GRANT

APR 10 1981

PAGE 1 OF 2

1. Amendment No. 5	2. Effective Date Last Signature Date	3. Grant No. AID/LAC-G-1288	4. Effective Date August 31, 1978
5. Grantee (Name and Address) The American Society of International Law 2223 Massachusetts Ave., N.W. Washington, D.C. 20008		6. Administered by- Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20523	
7. PIO/T No.- 598-591-1-6511309  Appropriation No.- 72-1111021.6  Allotment Symbol- 146-65-598-00-69-11		8. Previous PIO/T's-  598-591-1-6587334 598-591-1-6597325, A-1 598-0591-1-6507345	

9. The above numbered Grant is hereby modified as follows:

- 1. Amount obligated prior to this Amendment: \$669,233
- 2. Amount obligated by this Amendment: 37,114
- 3. Total obligated amount (1x2): \$706,347

4. Cover Letter

- a. Paragraph 1 - Delete "\$669,233" and insert in lieu thereof "\$706,347."
- b. Paragraph 4 - Delete "\$669,233" and insert in lieu thereof "\$706,347."

5. ARTICLE II - BUDGET

- a. In the first Paragraph, delete "\$669,233" and insert in lieu thereof "\$706,347."
- b. Delete the budget and substitute the following:

FUNDS AVAILABLE

ORIGINAL

MAR 26 1981

Program Acctg. Division  
OFFICE OF FINANCIAL MANAGEMENT

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

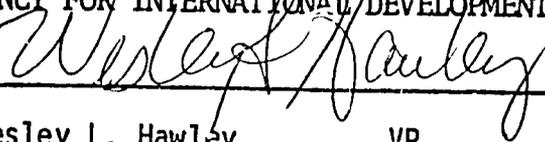
11. Grantee is required to sign this document and return 7 copies to issuing office.

12. GRANTEE

BY:   
Seymour J. Rubin  
(Name typed or printed)

TITLE: Exec. V. Pres. & Exec. Director

DATE: April 6, 1981

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
BY:   
Wesley L. Hawley VP  
(Name typed or printed)

TITLE: Grant Officer

DATE: MAR 25 1981

14

BUDGET

9/31/78 - 8/30/81

1. Salaries (ASIL Personnel)	\$ 46,000
2. Fringe Benefits	6,900
3. Salaries (InterAmerican Legal Services Admin.)	123,750
4. Fringe Benefits	12,525
5. Direct Expenses (excluding salaries - ASIL)	57,000
6. Expenses, operating Centers Activities	181,400
7. Other Direct Costs	11,500
8. Seed Grant Funds	50,000
9. Overhead	<u>217,272</u>
Total Obligated	\$706,347

The Grantee may not exceed the total amount of funds obligated in the Grant. The Grantee may adjust budget line item amounts as reasonably necessary to accomplish the project.

6. ARTICLE IV - ESTABLISHMENT OF OVERHEAD RATES

Delete rates heretofore applied and insert the following in lieu thereof;

<u>Provisional</u> <u>Overhead Rates</u>	<u>Period</u>
68.3% <u>1/</u>	Effective Date of Grant through 3/31/79
34.1% <u>2/</u>	Effective Date of Grant through 3/31/79
61.0% <u>1/</u>	4/1/79 Until Amended
30.5% <u>2/</u>	4/1/79 Until Amended

1/ Base: Total Direct Cost less outside printing services, depreciation on library books and capital expenditures, and Budget Line Item 6.

2/ Base: Expenses for operating centers activities (Budget Line Item 6). The Grantee and AID agree that the indirect cost rate, as applied to expenses for operating centers activities (Budget Line Item 6) shall not exceed a maximum ceiling of 34.1%.

ORIGINAL

OCT 29 1980

ENTERED

NM *[Signature]*

MEMORANDUM

Date: October 27, 1980

TO : Distribution

FROM : *ASAO.* CM/SOD, Donald Dickie **AID/LAC-G-1288**

SUBJECT: Grant No. AID/LA-G-1288 between A.I.D.  
and the American Society of International Law

This transmits one copy of a Negotiated Indirect Cost Rate Agreement dated October 2, 1980. This Agreement is also Amendment No. 4 to the subject grant.

The purpose of this Amendment is to establish final and/or provisional indirect cost rates.

If additional copies are required, please contact CM/SOD/DSC.

DISTRIBUTION:

CM/SD/SUP, Mrs. B. Lewis  
 CM/SD/SUP, Sarah Lewis  
 SER/FY/PAD, P. Amos  
 AAG/W, Mr. A. LeBlanc (2 copies)

Contracting Officer, CM/ROD/LAC, W. Hawley

Project Manager, LAC/DP, R. Knee

USAID/\_\_\_\_\_

ORIGINAL

NEGOTIATED INDIRECT COST RATE AGREEMENT

Date 2 October 1980

SUBJECT: Indirect Cost Rates for Use in Cost Reimbursement Type Agreements With the Agency for International Development (AID)

REFERENCE: AAG/W Audit Report No. 79-72 and ASIL letter dated August 19, 1980.

CONTRACTOR: American Society of International Law  
or  
GRANTEE: 2223 Massachusetts Avenue, NW  
Washington, DC 20008

PART I - NEGOTIATED INDIRECT COST RATES (%)

Type	Effective Period		Overhead Rate
	From	Through	
Final	4-1-76	3-31-77	65.5
Final	4-1-77	3-31-78	60.2
Provisional	4-1-78	3-31-79	68.3
Provisional	4-1-79	Until amended	61.0

Base of Application

Total direct cost less outside printing services, depreciation on library books and capital expenditures.

Acceptance of the rate(s) agreed to herein is predicated upon the conditions: (1) that no costs other than those incurred by the grantee/contractor were included in its indirect cost rate proposal and that such costs are legal obligations of the grantee/contractor; (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs; (3) that similar types of costs have been accorded consistent treatment, and (4) that the information provided by the grantee/contractor which was used as the basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially incomplete or inaccurate.

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PART II - ITEMS NORMALLY TREATED AS DIRECT COSTS

SAVED

PART III - SPECIAL TERMS AND CONDITIONS

Pursuant to § 7-3.705 of the Agency for International Development Procurement Regulations (AIDPR), the negotiated indirect cost rates set forth in Part I of this Agreement are incorporated into AID Agreements shown below. This Agreement shall not change any monetary ceiling, obligation, or specific cost allowance or disallowance provided for in the Contracts or Grants listed below or any other Agreement between the parties.

<u>Contract/Grant Number</u>	<u>Amendment Number</u>	<u>Project Number</u>
AID/LA-G-1151	2	598-0576
AID/LA-G-1288	4	598-0591
AID/NE-C-1256	3	278-0181

ACCEPTED:

BY

*John Lawrence Hargrove*

John Lawrence Hargrove

Printed or Typed Name

Director of Studies

Title

October 24, 1980

Date

*Donald Dickie*  
Donald Dickie

CONTRACTING OFFICER  
Overhead and Special Costs Branch  
Services Operations Division  
Office of Contract Management  
Agency for International Development

dd

DISTRIBUTION:

<input checked="" type="checkbox"/>	<u>CM/ROD</u>	<u>CM/COD</u>	<u>CM/SOD</u>	<u>OTHER</u>	<input checked="" type="checkbox"/>	LAC/DR
	AFR	AN	PDC	X AAG/W	<input checked="" type="checkbox"/>	LAC/DP
<input checked="" type="checkbox"/>	NE	PE		X CM/SD/SUP	<input checked="" type="checkbox"/>	NE/CD
	LA	OTR		X FM/PAD		
	ASIA					

**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **MVS**

SER/CM/SD/SUP Action Office **CM/ROD/LAC**

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy; Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy; Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

**PART IA.**

Contractor/Grantee Name

**American Society of International Law**

PIO/T Number <b>598-0591-1-6507345</b>	Appropriation Number <b>72-1101021.6</b>	Allotment Number <b>046-65-598-00-69-01</b>	Project Number <b>598-0591</b>
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Project Title

**Human Rights Initiatives**

Amount of this PIO/T <b>\$235,981</b>	Project Manager's Name and Office Symbol <b>LAC/DP: Roma D. Kree</b>	Contractor's D-U-N-S Number
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Negotiator's Typed Name <b>W. Hawley</b>	Signature	Date
---	-----------	------

Contract/Grant Officer's Typed Name <b>W. Hawley</b>	Signature	Date
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**PART IB.**

Contract/Grant Number <b>AID/LAC-G-1288</b>	Type Order	Order Number	Amendment, Modification Number <b>3</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>7/30/80</b>
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**PART II.**

Effective Date of Document <b>8-25-80</b>	Signature Date of Document <b>8-27-80</b>	Estimated Completion Date <b>8-30-81</b>	Contract/Grant funded through (date) <b>8-30-81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>235,981</b>	Cumulative Obligation thru this Action <b>667,233</b>

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2). NOTE: Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IQC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc.)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support\*
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4.10)
- D. Ed. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
- H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.706-3)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
- F. 8(a) SBA Awarded to Minority
- G. Personal Service Contract - Non-Minority
- H. Personal Service Contract - Minority
- I. Individual Non-Personal Service Contract - Non-Minority
- J. Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
- L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
- M. U.S. Government

(Continued on Page 2)

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MODIFICATION OF GRANT

1. Amendment No. 3	2. Effective Date 8/25/80	3. Grant No. AID/LAC-G-1288	4. Effective Date August 31, 1978
5. Grantee (Name and Address)  The American Society of International Law 2223 Massachusetts Avenue, N.W. Washington, D.C. 20008		6. Administered by-  Grant Officer Regional Operations Division -LAC Office of Contract Management Agency for International Development Washington, D.C. 20523	
7. PIO/T No. -598-0591-1-6507345  Appropriation No. - 72-1101021.6  Allotment Symbol- 046-65-598-00-69-01		8. Previous PIO/T's-  598-591-1-6587334 598-591-1-6597325, A-1	

9. The above numbered Grant is hereby modified as follows: FUNDS AVAILABLE

1. Cover Letter

- AUG 29 1980  
CT 4180  
Program Acctg. Division  
OFFICE OF CONTRACT MANAGEMENT
- a. Paragraph 1 - Delete "The sum of \$152,418 in support" substitute in lieu thereof "The sum of \$669,233 in support."
  - b. Paragraph 4 - Delete this paragraph in its entirety and substitute in lieu thereof the following new paragraph:

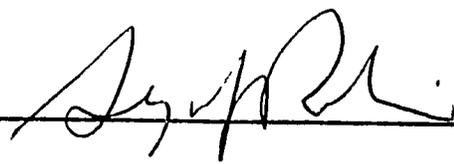
"The total estimated cost of the Grant and funds obligated are \$669,233. AID shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount."

Continued on next page

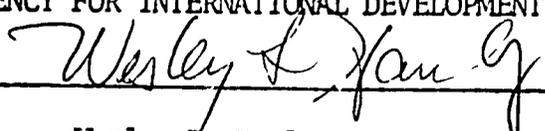
10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 7 copies to issuing office.

12. GRANTEE

BY:   
\_\_\_\_\_  
(Name typed or printed)  
Executive Vice-President  
TITLE: and Executive Director  
DATE: September 12, 1980

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

BY:   
\_\_\_\_\_  
Wesley L. Hawley (STP)  
(Name typed or printed)  
TITLE: Grant Officer  
DATE: AUG 29 1980

2. Article II - Budget

Delete this Article in its entirety and substitute in lieu thereof the following new Article II:

"Article II - Budget

The estimated total cost of this project is \$1,155,421. The amount of AID financing for the project is \$669,233 for the three year period of the Grant. The funds provided herein shall be used to finance the items set forth in the budget shown below:

BUDGET

9/31/78 - 8/30/81

1. Salaries (ASIL Personnel)	\$ 46,000
2. Fringe Benefits	6,900
3. Salaries (InterAmerican Legal Services Association)	123,750
4. Fringe Benefits	12,525
5. Direct Expenses (excluding salaries) (InterAmerican Legal Services Association)	57,000
6. Expenses, Operating Centers Activities	181,400
7. Other Direct Costs	11,500
8. Seed Grant Funds	50,000
9. Overhead	<u>180,158</u>
Total Estimated Cost	\$669,233

The Grantee may not exceed the total amount of funds obligated in the Grant. The Grantee may adjust budget line item amounts as reasonably necessary to accomplish the project."

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**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **PAS**

SER/CM/SD/SUP Action Office **USAID/USAID ROD/LA**

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy; Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

**PART IA.**

Contractor/Grantee Name <b>American Society for International Law</b>			
PIO/T Number <b>6597325 A#1</b>	Appropriation Number <b>72-1191021.6</b>	Allotment Number <b>72-1191021.6</b>	Project Number <b>598-0591</b>
Project Title <b>Human Rights Initiatives</b>			
Amount of this PIO/T <b>133,218</b>	Project Manager's Name and Office Symbol <b>LAC/DP, R. Knee</b>		Contractor's D-U-N-S Number
Negotiator's Typed Name <b>J. Trout</b>	Signature		Date
Contract/Grant Officer's Typed Name <b>W. Hawley</b>	Signature <i>[Handwritten Signature]</i>		Date <b>10/05/79</b>

**PART IB.**

Contract/Grant Number <b>AID/LAC-G-1288</b>	Type Order	Order Number	Amendment/Modification Number <b>2</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>9/13/79</b>
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**PART II.**

Effective Date of Document <b>9/30/79</b>	Signature Date of Document <b>9/24/79</b>	Estimated Completion Date <b>1/30/81</b>	Contract/Grant funded through (date) <b>8/30/81</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>133,218</b>	Cumulative Obligation thru this Action <b>433,252</b>

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2). NOTE: Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IQC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support\*
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4.10)
- D. Ed. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
- H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.713-1)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
- F. 8(a) SBA Awarded to Minority
- G. Personal Service Contract - Non-Minority
- H. Personal Service Contract - Minority
- I. Individual Non-Personal Service Contract - Non-Minority
- J. Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
- L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
- M. U.S. Government

(Continued on Page 2)

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OCT 12 1979

ORIGINAL

MODIFICATION OF GRANT

PAGE 1 OF 3

1. Amendment No. 2	2. Effective Date 9/30/79	3. Grant No. AID/LAC-G-1288	4. Effective Date August 31, 1978
5. Grantee (Name and Address) The American Society of International Law 2223 Massachusetts Ave., N.W. Washington, D.C. 20008		6. Administered by- Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20525	
7. PIO/T No. - 598-591-1-6597325, A-1 Appropriation No. - 72-1191021.6 Allotment Symbol - 946-65-598-00 59-91		8. Previous PIO/T's - <u>4190</u> 598-591-1-6587334 FUNDS AVAILABLE <i>Bullerian</i> SEP 20 1979 Program Acctg. Division	

9. The above numbered Grant is hereby modified as follows: OFFICE OF FINANCIAL MANAGEMENT

1. Cover Letter

a. Paragraph 4 - Delete "the total estimated cost of this Grant is \$380,580" and substitute in lieu thereof "the total estimated cost of this grant is \$513,798."

b. Paragraph 4 - Delete "the amount presently obligated is \$300,034" and substitute in lieu thereof "the amount presently obligated is \$433,252."

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is requested to acknowledge this document in the space provided below.

12. ACKNOWLEDGED:

The American Society of International Law

BY: *Seymour J. Rubin*  
Seymour J. Rubin  
(Name typed or printed)

TITLE: Executive Director

DATE: October 3, 1979

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: *Wesley L. Hawley*  
Wesley L. Hawley JFT  
(Name typed or printed)

TITLE: Grant Officer

DATE: SEP 24 1979

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2. Article 1.B. Specific Objectives

Delete Paragraph 4 in its entirety and substitute in lieu thereof the following new Paragraph 4:

"(4) Assist the Association in developing a capacity for design and evaluation of new project proposals which would be capable of use by potential donors in the legal assistance/public interest law area. In this regard, it is expected that the Association will help to create and/or significantly expand well-designed and managed legal assistance programs in the hemisphere of the sort currently run by the proposed members of the Association. Funds will be provided (Seed Grant funds), on a one time only basis, to initiate creation and/or expansion of legal assistance programs. The organizations or individuals to receive the seed grants shall be approved by the Board of Directors of the Inter-American Legal Services Association. The Association shall continue to assist in preparing project designs and in obtaining financing from other sources."

3. Article II - Budget

Delete this Article in its entirety and substitute in lieu thereof the following new Article II:

Article II - Budget

The estimated total cost of this project is \$854,986. AID financing of the project is expected to be \$513,798 for the three year period of the Grant. The funds provided herein shall be

used to finance the items set forth in the budget shown below:

BUDGET

9/31/78 - 8/30/81

1. Salaries (ASIL Personnel)	\$39,000
2. Fringe Benefits	5,850
3. Salaries (Inter-American Legal Services Association)	108,250
4. Fringe Benefits	10,200
5. Direct Expenses (excluding salaries) (Inter-American Legal Services Assoc.)	56,000
6. Expenses, Operating Centers Activities	95,900
7. Other Direct Costs	6,500
8. Seed Grant funds	50,000
9. Overhead	<u>142,098</u>
Total Estimated Cost	\$513,798

The Grantee may not exceed the total amount of funds obligated in the Grant. The Grantee may adjust budget line item amounts as reasonably necessary to accomplish the project.

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**CONTRACT/GRANT/COOPERATIVE AGREEMENT DATA SHEET**

SER/CM/SD/SUP Action Monitor **PAS**

SER/CM/SD/SUP Action Office **ROD/LA**

INSTRUCTIONS: AID/W - Complete 4 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, Copy 2 SER/CM/SD/SUP Administrative Copy; Copy 3 Contracting Officer's Copy, Copy 4 SER/CM/SD/SUP Admin. (Suspense) Copy.  
Missions - Complete 2 copies of the form. Original SER/CM/SD/SUP Statistical Section Copy, and Copy 2 will be retained in the Missions.

**PART IA.**

Contractor/Grantee Name

**AMERICAN SOCIETY OF INTERNATIONAL LAW**

PIO/T Number <b>6597325</b>	Appropriation Number <b>72-1191021.6</b>	Allotment Number <b>946-65-598-00-69-91</b>	Project Number <b>598-591-1</b>
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Project Title

**Human Rights Initiatives**

Amount of this PIO/T <b>147,616</b>	Project Manager's Name and Office Symbol <b>LAC/DP/SD, R. Klee</b>	Contractor's D-U-N-S Number
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Negotiator's Typed Name <b>TROUT</b>	Signature	Date
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Contract/Grant Officer's Typed Name <b>G. Dwoskin</b>	Signature	Date
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**PART IB.**

Contract/Grant Number <b>LAC-G-1288</b>	Type Order	Order Number	Amendment/Modification Number <b>1</b>	Date PIO/T Received by CM/SD/SUP or Mission Contract Office <b>5/2/79</b>
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**PART II.**

Effective Date of Document <b>8-2-79</b>	Signature Date of Document <b>8-23-79</b>	Estimated Completion Date <b>8-31-81</b>	Contract/Grant funded through (date) <b>8-31-80</b>
Host Country Institution (University contract ONLY)	Campus Coordinator (University contract ONLY)	Amount Obligated/Deobligated/Subobligated by this Action <b>117,616</b>	Cumulative Obligation thru this Action <b>300,034</b>

**PART III.**

Description of Contract/Grant: Please 'X' ONLY ONE item under each heading. IF OTHER, please specify. (Complete both pages 1 and 2). NOTE. Not to be completed for Amendment or Modification Actions.

**M03 - TYPE ACTION**

- 0. Contract/Grant/Cooperative Agreement
- 1. Task Order (BOA)\*
- 2. Work Order (IQC)\*
- 3. Delivery Order (Requirements)\*
- 4. Purchase Order

\*If 1, 2, or 3 have an 'X', complete M12 ONLY.

**M04 - CONTRACT/GRANT TYPE**

- 0. MOA, (BOA, BMA, etc.)
- 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI) \_\_\_\_\_
- 2. Cost Reimbursement (Specify: CR, CPFF, CS, CPAF, CPIF) \_\_\_\_\_
- 3. Cooperative Agreement
- 4. Grant - General Support\*
- 5. Grant - Specific Support\*
- 6. Grant - 211(d)\*
- 7. Do Not Use
- 8. IQCs
- 9. Host Country Contract/Grant\*

\*Complete ONLY through M51.

**M05 - SELECTION PROCEDURES**

- A. Formally advertised (IFB) (AIDPR 7-2.4 & FPR 1-2.4)
- B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
- C. A & E (AIDPR 7-4.10)
- D. Id. Inst. and/or Int'l Research (AIDPR 7-4.57)
- E. Collaborative Assistance (AIDPR 7-4.58)
- F. Predominant Capability (AIDPR 7-3.101-50(b)(3))

- G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
- H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
- I. Sole Source (AIDPR 7-3.101-50(b)(4))
- J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
- K. 8(a) Selection (FPR 1-1.713-1)
- L. Grant (Handbook 13)
- M. Do Not Use
- N. Cooperative Agreement (Handbook 13)
- O. Small Business Set-Aside (FPR 1-1.706-8)
- P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))

**M06 - TYPE SERVICE**

- A. Training of Participants
- B. Technical Assistance (Program, Project related except A & E Services)
- C. A & E Services
- D. Construction
- E. Research
- F. Technical Services to AID (other than training; usually operating expense)
- G. Training Service for AID
- H. Equipment, Materials, Supplies, Commodities
- I. Translation Service

**M07 - TYPE CONTRACTOR/GRANTEE**

- A. Firm - All profit-making firms (other than A & E)
- B. A & E Firms

- C. Individual (Non-personal service)
- D. Individual (Personal Service)
- E. University/Other Educational Institutions
- F. Non-Profit Organization, Institutions (other than Ed or PVO)
- G. Private Voluntary Organization (PVO)
- H. International Agricultural Research Organization
- I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (HB 13, Chapter 5)

**M08 - TYPE AWARD**

- A. SB Set-Aside - Awarded to Non-Minority
- B. SB Set-Aside - Awarded to Minority
- C. SB Not Set-Aside - Awarded to Non-Minority
- D. SB Not Set-Aside - Awarded to Minority
- E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
- F. 8(a) SBA Awarded to Minority
- G. Personal Service Contract - Non-Minority
- H. Personal Service Contract - Minority
- I. Individual Non-Personal Service Contract - Non-Minority
- J. Individual Non-Personal Service Contract - Minority
- K. Not Small Business (Univ., Non-Profit, Large Firms) Non-Minority
- L. Not Small Business (Univ., Non-Profit, Large Firms) Minority
- M. U.S. Government

(Continued on Page 2)

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**M09 - CONTRACTING PARTIES**

- 1. Direct AID/W Executed Contract/Grant
- 2. Direct AID Mission Executed Contract/Grant
- 3. Host Country Grant Financed
- 4. Host Country Loan Financed
- 5. Host Country Contract/Grant Source of Finance Unknown

**M10 - PRIVATE VOLUNTARY ORGANIZATION**

- 1. U.S. Registered PVO
- 2. U.S. Non-Registered PVO
- 3. U.S. Cooperative
- 4. Foreign Cooperatives
- 5. Foreign PVO
- 6. Other (Specify) \_\_\_\_\_

**M11 - ADVANCE**

- 1. No Advance
- 2. Advance - Non-FRLC
- 3. Advance - FRLC

**M12 - COUNTRY OF PERFORMANCE**

(Specify) \_\_\_\_\_

**M13 - Is any travel required outside U.S.?**

- YES             NO

**M14 - CONTRACT/GRANT SOURCE**

- 1. U.S. Contractor/Grantee
- 2. Non-U.S. Contractor/Grantee
- 3. Combination of 1 & 2

**M15 - TYPE OWNERSHIP**

- 1. American Oriental
- 2. Black American
- 3. American Aleuts or Eskimos
- 4. American Indian
- 5. Hispanic
- 6. Other (Specify) \_\_\_\_\_

**M22 - CURRENCY INDICATOR**

- 0 U.S. Dollar
- 1. Local Currency
- 2. Combination
- 3. Unfunded

**M50 - SUBCONTRACTING CODE**

- 0. 0 Dollars
- 1. 1 - 10,000 Dollars
- 2. 10,001 - 499,999 Dollars
- 3. 500,000 and over
- 4. Unknown

**M51 - SUBCONTRACTOR TYPE AWARD**

- A. Small Business Set-Aside Awarded to Non-Minority
- B. Small Business Set-Aside Awarded to Minority
- C. Small Business Not Set-Aside Awarded to Non-Minority

D. Small Business Not Set-Aside Awarded to Minority

E. 8(a) - SBA Awarded to Non-Minority (Women Owned, Veterans)

F. 8(a) - SBA Awarded to Minority

G. Personal Service Contract - Non-Minority

H. Personal Service Contract - Minority

I. Individual Non-Personal Service Contract - Non-Minority

J. Individual Non-Personal Service Contract - Minority

K. Not Small Business (Univ., Non-Profit, Large Firms) - Non-Minority

L. Not Small Business (Univ., Non Profit, Large Firms) - Minority

M. U.S. Government

N. Unknown

**M56 - SUBJECT TO STATUTORY REQUIREMENT**

- A. Walsh-Healey Act, Manufacturer\*
- B. Walsh-Healey Act, Regular Dealer\*
- C. Service Contract Act (U.S. ONLY - Guards, Maintenance, Laborers)
- D. Davis-Bacon Act (Construction)
- E. Not subject to Walsh-Healey, Service Contract or Davis-Bacon Act (Most AID Contracts)

\*Equipment, Supplies, Materials, and Commodities

**M57 - AFFIRMATIVE ACTION PLAN ON FILE**

- YES             NO

**M58 - AFFIRMATIVE ACTION PLAN ON PREVIOUSLY HELD CONTRACTS**

- YES             NO

**M60 - INCREMENTAL FUNDED CONTRACT**

- YES             NO

**M61 - CONSULTANT TYPE AWARD**

Is the Award for Consulting Type Service to AID?

- YES             NO

**M63 - EXTENT OF COMPETITION IN NEGOTIATION**

- A1. Small Business Total Set-Aside
- A2. Small Business Partial Set-Aside
- A3. Labor Surplus Set-Aside
- A4. Labor Surplus/Small Business Set-Aside
- A9. Other (Specify) \_\_\_\_\_

**NON-COMPETITIVE**

- B1. Buy Indian
- B2. 8(a) Program

B3. Follow-on After Competition

B9. Other (Specify) \_\_\_\_\_

**M65 - TYPE OF BUSINESS**

- E1. Source: Non-U.S. and Used Outside U.S. and Possessions
- E2. Source: Non-U.S. and Possessions (Foreign Purchases Used Inside U.S.) (If U.S. Source, complete A thru D)
- A1. Small Business - Disadvantaged 8(a)
- A2. Small Business - Owned by Minority Group
- A3. Other Small Business (including individuals)
- B1. Large Minority Business
- B2. Other Large Business
- C1. Non-Profit Private Educational Organization
- C2. Non-Profit Hospital
- C3. Non-Profit Research Institution, Foundation, and Laboratories
- C4. Other Non-Profit Institutions
- D1. State/Local Government Educational Institution
- D2. State/Local Government Hospital
- D3. State/Local Government Research Organization
- D4. Other State/Local (Specify) \_\_\_\_\_

**M66 - COST ACCOUNTING STANDARDS**

- Required       Not Required

**M67 - NUMBER OF BIDDERS OFFERING ITEMS OR SERVICES OF FOREIGN CONTENT**

**M68 - WOMEN OWNED BUSINESS**

- YES             NO

**M69 - PERCENT FOREIGN CONTENT OF COMMODITIES AND SERVICES**

**M70 - LABOR SURPLUS AREA (LSA) PREFERENCE**

(Location of Contractor)

- 1. Labor Surplus Area - No Preference
- 3. Labor Surplus Area - Tie Bid Preference
- 5. Not a Labor Surplus Area Preference Award
- 7. Total Labor Surplus/Small Business Set-Aside Preference
- 8. Total Labor Surplus Set-Aside Preference (P.L. 95-89)

NOTE: M03 thru M51 are required for AID reporting; M56 thru M70 are required for the Office of Federal Procurement Policy reporting. SER/CM has no control over those elements.

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**MODIFICATION OF GRANT**

1. Amendment No. <u>1</u>	2. Effective Date <u>August 2, 1979</u>	3. Grant No. <u>AID/LAC-G-1288</u>	4. Effective Date <u>August 31, 1978</u>
5. Grantee (Name and Address) <u>The American Society for International Law 2223 Massachusetts Ave., N.W. Washington, D.C. 20008</u>		6. Administered by-  <u>Grant Officer Regional Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20523</u>	
7. PIO/T No.- <u>598-591-1-659/325</u>  <u>Appropriation No.- 72-1191021.6</u>  <u>Allotment Symbol-946-65-598-00-69-91</u>		8. Previous PIO/T's-  <u>PIO/Ts 598-591-1-6587334</u>  <i>o/c 4190</i> <b>FUNDS AVAILABLE</b> <i>[Signature]</i> <b>AUG 23 1979</b>	

9. The above numbered Grant is hereby modified as follows:

Program Acctg. Division  
**OFFICE OF FINANCIAL MANAGEMENT**

Cover Letter

Delete the obligated amount "\$152,418" wherever it appears and insert in lieu thereof the amount "\$300,034."

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 7 copies to issuing office.

12. GRANTEE

BY: *[Signature]*  
Seymour J. Rubin  
(Name typed or printed)

TITLE: Executive Director

DATE: August 16, 1979

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: *[Signature]*  
Joseph F. Trout JFT  
(Name typed or printed)

TITLE: Grant Officer

DATE: AUG 23 1979 *32*

ON Action Office: ROD/LAC

ON/SUP Action Monitor: NICHOLS

Section IA. Contractor/Grantee Name: AMERICAN SOCIETY for INT'L LAW

Section IB. Contract/Grant Number: AID/LAC-G-1288

Section II. Description of Contract/Grant: PLEASE CIRCLE ONLY ONE ITEM UNDER EACH HEADING: IF OTHER, PLEASE SPECIFY. (Complete both pages 1 and 2) (Not to be completed for Amendment or Modification Actions)

- MD4 - CONTRACT/GRANT TYPE
  - 0. MOA, (BOA, BMA, etc.)
  - 1. Fixed Price (Specify: FFP, FPRD, FPEPA, FPI)
  - 2. Cost Reimbursement (Specify: CR, CFF, CS, CFAF, CFI)
  - 3. Cooperative Agreement
  - 4. Grant - General Support } Complete only through M51.
  - 5. Grant - Specific Support }
  - 6. Grant - 211(d)
  - 7. Do not Use
  - 8. IQCs
  - 9. Host Country Contract/Grant } Complete only through M51.
- MD5 - SELECTION PROCEDURES
  - A. Formally advertised (LFB) (AIDPR 7-2.4) & (FPR 1-2.4)
  - B. Negotiated Price Competition, General Procedure (RFP) (AIDPR 7-4.56)
  - C. A & E (AIDPR 7-4.10)
  - D. Ed. Inst and/or Intl Research (AIDPR 7-4.57)
  - E. Collaborative Assistance (AIDPR 7-4.58)
  - F. Predominant Capability (AIDPR 7-3.101-50(b)(3))
  - G. Unsolicited Proposal (AIDPR 7-3.101-50(b)(6))
  - H. Procurement to be Performed by the Contractor in Person (AIDPR 7-3.101-50(b)(1))
  - I. Sole Source (AIDPR 7-3.101-50(b)(4))
  - J. Impairment of Foreign Policy Objectives (AIDPR 7-3.101-50(b)(7))
  - K. 8(a) Selection (FPR 1-1.713-1)
  - L. Grant (Handbook 13)
  - M. Do Not Use
  - N. Cooperative Agreement (Handbook 13)
  - O. Small Business Set-Aside (FPR 1-1.706-8)
  - P. Overseas Procuring Activities (AIDPR 7-3.101-50(b)(2))
- MD6 - TYPE SERVICE
  - A. Training of Participants
  - B. Tech Assistance (Program, Project related except A & E Services)
  - C. A & E Services
  - D. Construction
  - E. Research
  - F. Tech Services to AID (other than training) (usually operating expense)
  - G. Training service for AID
  - H. Equipment, Materials, Supplies, Commodities
  - I. Translation Service
- MD7 - TYPE CONTRACTOR/GRANTEE
  - A. Firm - All profit-making firms (other than A & E)
  - B. A & E Firms
  - C. Individual (Non-personal service)
  - D. Individual (Personal Service)
  - E. Univ/other Ed Institutions
  - F. Non-Profit Organ., Institutions (other than Ed or PVO)
  - G. Private Voluntary Organization (PVO)
  - H. International Agri. Research Organization
  - I. Public International Organizations (UN Agencies, ICRC World Bank, etc.) (Handbook 13, Chapter 5)
- MD8 - TYPE AWARD
  - A. SB Set-Aside - Awarded to Non-Minority
  - B. SB Set-Aside - Awarded to Minority
  - C. SB Not Set-Aside - Awarded to Non-Minority
  - D. SB Not Set-Aside - Awarded to Minority
  - E. 8(a) SBA Awarded to Non-Minority (Women-Owned, Veterans, etc.)
  - F. 8(a) SBA Awarded to Minority
  - G. Personal Service Contract - Non-Minority
  - H. Personal Service Contract - Minority
  - I. Individual Non-Personal Service Contract - Non-Minority
  - J. Individual Non-Personal Service Contract - Minority
  - K. Not Small Business (Univ., Non-Profit, Large firms) Non-Minority
  - L. Not Small Business (Univ., Non-Profit, Large firms) Minority
  - M. U. S. Government
- M11 ADVANCE
  - 1. No Advance
  - 2. Advance- Non PRLC
  - 3. Advance- Federal Reserve Letter of Credit
- M13 - Is any travel required outside U. S.? Yes  No

- M15 - TYPE OWNERSHIP
  - 1. American Oriental
  - 2. Black American
  - 3. American Aleuts or Eskimos
  - 4. American Indian
  - 5. Hispanic
  - 6. Other than 1 thru 5
- M17 - AFFIRMATIVE ACTION PLAN ON PREVIOUSLY HELD CONTRACTS
  - Yes
  - No
- M5 - AFFIRMATIVE ACTION PLAN ON PREVIOUSLY HELD CONTRACTS
  - Yes
  - No
- M6 - INCREMENTAL FUNDED CONTRACT
  - Yes  No
- M61 - CONSULTANT TYPE AWARD
  - Is the Award for Consulting Type Service to AID? Yes  No
- M62 - EXTENT OF COMPETITION IN NEGOTIATION
  - COMPETITIVE
    - A1. Small Business Total Set-Aside
    - A2. Small Business Partial Set-Aside
    - A3. Labor Surplus Set-Aside
    - A4. Labor Surplus/Small Business Set Aside
    - A9. Other Negotiated Competitive
  - NON-COMPETITIVE
    - B1. Buy Indian
    - B2. 8(a) Program
    - B3. Follow-on After Competition
    - B9. Other Negotiated Non-Competitive
- M6 - TYPE OF BUSINESS
  - E. Source: Non-U. S. and Used Outside U. S. and Possession
  - F. Source: Non-U. S. and Possessions (Foreign Purchases Used Inside U. S.) (If U. S. Source, A - D)
    - 1. Small Business - Disadvantaged 8(a)
    - 2. Small Business - Owned by Minority Group
    - 3. Other Small Business (including individuals)
    - 1. Large Minority Business
    - 2. Other Large Business
  - C1. Non-Profit Private Educational Organization
  - C2. Non-Profit Hospital
  - C3. Non-Profit Research Institution, Foundation, and Laboratories
  - C4. Other Non-Profit Institutions
  - D1. State/Local Government Educational Institution
  - D2. State/Local Government Hospital
  - D3. State/Local Government Research Organization
  - D4. Other State/Local
- M6 - COST ACCOUNTING STANDARDS
  - Required
  - Not Required
- M67 - NUMBER OF BIDDERS OFFERING ITEMS OR SERVICES OF FOREIGN CONTENT
  -
- M68 - WOMEN OWNED BUSINESS
  - Yes  No
- M69 - PERCENT FOREIGN CONTENT OF COMMODITIES AND SERVICE
  -
- M70 - LABOR SURPLUS AREA (LSA) PREFERENCE (Location of Contractor)
  - 1. Labor Surplus Area - No Preference
  - 2. Labor Surplus Area - Tie Bid Preference
  - 3. Not a Labor Surplus Area Preference Award
  - 4. Total Labor Surplus/Small Business Set-Aside Preference
  - 5. Total Labor Surplus Set-Aside Preference (P.L. 95-50)

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D.C. 20523

AUG 31 1978

pm - R Kneel  
neg - J Trout  
LAC/DA

The American Society  
of International Law  
2223 Massachusetts Ave., N.W.  
Washington, D.C. 20008  
Attn: Mr. Seymour J. Rubin

Subject: Grant AID/LAC-G-1288

Dear Mr. Rubin:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the American Society of International Law (hereinafter referred to as "ASIL" or "Grantee") the sum of \$152,418 in partial support for the creation of an Inter-American Legal Services Association in Latin America and the Caribbean, as more fully described in Attachment "1" to this Grant entitled "Program Description."

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the first year of the three year Grant as shown in the budget.

This Grant is made to ASIL, on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Program Description," and Attachment 2 entitled "Standard Provisions" which have been agreed to by your organization.

The total estimated cost of this Grant is \$380,580 and subject to the availability of funds and AID programming decisions, AID intends to provide additional funds to fully fund it. However, the amount presently obligated is \$152,418 and AID shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount.

Please sign the Statement of Assurance of Compliance, enclosed herein, and seven (7) copies of this letter

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to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the Statement of Assurance of Compliance and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,

*Joseph F. Trout*  
Joseph F. Trout  
Contracting Officer

Regional Operations Division-LAC  
Office of Contract Management

Attachment:

- 1. Program Description
- 2. Standard Provisions

ACCEPTED:

ASIL- The American Society  
of International Law

Signature

*Seymour J. Rubin*

Accounting and Appropriation Data

Typed Name Seymour J. Rubin

Appropriation No.:72-1181021-6  
Allotment No.:846-65-598-00-69-8  
PIO/T No.:598-591-1-6587334  
Project No.:598-0591

Title Executive Director

Date

Sept. 13, 1978

Program Description

Article I- Program Description

A. Purpose of Grant

1. The purpose of this grant is to create an Inter-American Legal Services Association (the "Association"). Upon execution of this grant agreement, the Grantee will establish a central, administrative office for the Association in Washington, D.C. at the headquarters of the Grantee and at two sub-regional headquarters, one in the Caribbean and one in Latin America. The tentative proposed sites for these sub-regional centers are Jamaica (for the Caribbean) and Bogata (for Latin America). This grant will provide for a full-time director and a secretary in Washington, D.C. as well as secretarial assistance at the regional centers and funds for travel and communication among the Association's members.

2. The overall goal of the Association is to stimulate increased activity in the areas of public interest law and law reform in Latin America and the Caribbean. Towards this end, the Association will foster communication and interaction among a variety of small, indigenous programs devoted to public interest law and law reform. By building upon such established indigenous programs-- the Association hopefully will succeed in achieving broad financial and other support from governments and the private bar thereby expanding the public interest law movement in this hemisphere during the life of the project.

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**B. Specific Objectives**

Upon Grant execution, working with Association members, the Grantee will:

(1) Establish a Washington headquarters and two sub-regional centers;

(2) Assist the Association in beginning the systematic accumulation of data and the regular circulation of reports and analyses and foster an exchange of information on existing programs and proposals, holding periodic conferences of the members. Such conferences will seek to identify and focus on specific problem areas encountered by member organizations. A written account of conference proceedings will be kept and made available to members and other interested parties;

(3) In conjunction with the Association, make a systematic examination of possibilities for development of sources of long-term financing such as governments, foundations, bar associations and individual lawyers or private individuals;

(4) Assist the Association in developing a capacity for design and evaluation of new project proposals which would be capable of use by potential donors in the legal assistance/public interest law area. In this regard, it is expected that the Association will help to create and/or significantly expand at least six additional well-designed and managed legal assistance programs in the hemisphere of the sort currently

run by the proposed members of the Association (while these newly created programs will not receive their financing under this Grant, the Association will assist in preparing project designs and in obtaining financing from other sources).

(5) Participate with A.I.D. in an annual review of activities under the Grant and progress toward the aforementioned objectives.

C. Reports

1. Grantee will provide to A.I.D. semi-annual progress reports together with copies of reports on each conference conducted pursuant to the Grant. These reports shall be provided in twelve (12) copies.

2. Ten (10) copies of each of the above reports shall be delivered to AID/W, LAC/DP/SD. In addition, two (2) copies of each report shall be submitted to the A.I.D. Reference Center, Agency for International Development, Washington, D.C. 20523. The title page of all reports forwarded to the A.I.D. Reference Center shall include the contract number, project number, and project title as set forth in this Grant.

Article II- Budget

The estimated total cost of this project is \$584,986. AID financing of the project is expected to be \$380,580 for the three year period of the Grant. The funds provided

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herein shall be used to finance the items set forth in the budget shown below.

BUDGET

8/31/78-8/30/81

1. Salaries (ASIL Personnel)	\$39,000
2. Fringe Benefits	5,850
3. Salaries(Inter-American Legal Services Association)	68,000
4. Fringe Benefits	10,200
5. Direct Expenses (excluding salaries) (Inter-American Legal Services Assoc.)	56,000
6. Expenses, Operating Centers Activities	80,000
7. Other Direct Costs	6,500
8. Overhead	<u>115,030</u>
Total Estimated Cost	\$380,580

The Grantee may not exceed the total amount of funds obligated in the Grant. The Grantee may adjust budget line item amounts as reasonably necessary to accomplish the project.

Article III- Logistic Support

Logistic support will not be provided to the Grantee.

Article IV- Establishment of Overhead Rates

Pursuant to the provisions of the Clause 5 of the Standard Provisions of this Grant entitled "Negotiated Overhead Rates," a rate or rates shall be established for each of the Grantee's accounting periods during the term of the Grant.

Payments on account of allowable indirect costs shall be made on the basis of the following provisional rates pending

establishment of final rates for the provisional periods which are set forth below:

<u>Provisional Overhead Rates</u>	<u>Base</u>	<u>Period</u>
51%	Total Direct Costs excluding Budget Line Item 6	Effective date of Grant until amended
*25.5%	Expenses for operating centers activities (Budget Line Item 6)	Effective date of Grant until amended

\*The Grantee and AID agree that the indirect cost rate, as applied to expenses for operating centers activities (Budget Line Item 6) shall not exceed a maximum of 25.5%.

Article V- Payment

The Grantee shall receive payment under this Grant in accordance with Clause 7A of the Standard Provisions of the Grant, dated September 1977, as modified by Clause 28 entitled "Alterations in Standard Provisions" dated August 1978.

U.S. Grantees and U.S. Subgrantees:  
OTHER THAN EDUCATIONAL INSTITUTIONS  
INDEX OF  
STANDARD PROVISIONS

- |  |   |
|--|---|
| 1. Allowable Costs and Payment (Other Than Educational Institutions) | 13. Conversion of United States Dollars to Local Currency           |
| 2. Accounting, Records, and Audit                                    | 14. Termination   |
| 3. Refunds   | 15. Voluntary Participation   |
| 4. Equal Opportunity in Employment                                   | 16. Prohibition on Abortion-Related Activities                      |
| 5. Negotiated Overhead Rates - Other Than Educational Institutions   | 17. Voluntary Participation Requirements for Sterilization Programs |
| 6. Limitation of Funds   | 18. Publications  |
| *7A. Payment - Federal Reserve Letter of Credit (FRLC) Advance       | 19. Patents   |
| *7B. Payment - Periodic Advance                                      | 20. Regulations Governing Employees Outside the United States       |
| *7C. Payment - Reimbursement   | 21. Subordinate Agreements  |
| 8. Travel and Transportation   | 22. U.S. Officials Not to Benefit                                   |
| 9. Ocean Shipment of Goods   | 23. Covenant Against Contingent Fees                                |
| 10. Procurement of Goods and Services Under \$250,000                | 24. Nonliability  |
| 11. Government Furnished Excess Personal Property                    | 25. Amendment   |
| **12A. Title to and Use of Property (Grantee Title)                  | 26. The Grant   |
| **12B. Title to and Care of Property (U.S. Government Title)         | 27. Notices   |
| **12C. Title to and Care of Property (Cooperating Country Title)     |   |

\* Select only 1 payment provision from Group 7.

\*\* Select only 1 property provision from Group 12, if title to all property is to vest in one entity; however, if title is to be split by categories among two or more entities, select the appropriate provisions from Group 12 and identify the categories and entities in Attachment 1 of the Grant.

1. ALLOWABLE COSTS AND PAYMENT (OTHER THAN EDUCATIONAL INSTITUTIONS)

(This provision is applicable to other than educational institutions.)

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15.2 (Contracts with Commercial Organizations) of the Federal Procurement Regulations (41 CFR 1-15.2) in effect on the date of this Grant. Payment of allowable costs shall be in accordance with the payment provision of this Grant.

2. ACCOUNTING, RECORDS, AND AUDIT

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the Grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (a) until the expiration of three years from the date of termination of the program and (b) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of Grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

3. REFUNDS

(a) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, the Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(b) Funds obligated hereunder but not disbursed to the Grantee at the time the Grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

(c) If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall refund such amounts to AID.

4. EQUAL OPPORTUNITY IN EMPLOYMENT

(This provision is applicable to all grantees and subgrantees who either perform work in the United States or who recruit personnel in the United States to do work abroad.)

(a) With regard to the employment of persons in the U.S. under this Grant, the Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, color or

national origin of such persons and, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U.S., no person shall, on the grounds of race, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply, in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

(b) In addition, the Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to sex, religion, age and handicap, in accordance with P.L. 92-261, P.L. 93-259, P.L. 93-112 and P.L. 93-508. When work funded by AID under this Grant is performed in either the U.S. or overseas no person shall, on the grounds of sex, religion, age or handicap, be excluded from participation, be denied benefits, or be subjected to discrimination.

5. NEGOTIATED OVERHEAD RATES - OTHER THAN EDUCATIONAL INSTITUTIONS

(This provision is applicable to other than educational institutions.)

(a) Pursuant to this provision, an overhead rate shall be established for each of the Grantee's accounting periods during the term of this Grant. Pending establishment of a final rate, the parties have agreed that provisional payments on account of allowable indirect costs shall be at the rate(s), on the base(s), and for the period shown in Attachment 1 to this Grant.

(b) The Grantee, as soon as possible but not later than 90 days after the close of each of its accounting periods during the term of this Grant, shall submit to the Grant Officer with copies to the Overhead and Special Cost Branch, Special Operations Division, Office of Contract Management, AID, Washington, D.C. and to the Office of the Auditor General, AID, Washington, D.C., proposed final rate(s) for the period, together with supporting cost data. Negotiation of final overhead rates by the Grantee and the Grant Officer shall be undertaken as promptly as practicable after receipt of the Grantee's proposal.

(c) Allowability of costs and acceptability of cost allocation methods shall be determined in accordance with Subpart 1-15.2 (Contracts with Commercial Organizations) of the Federal Procurement Regulations as in effect on the date of this Grant.

(d) The results of each negotiation shall be set forth in a written overhead rate agreement executed by both parties. Such agreement shall specify (1) the agreed final rate(s), (2) the base(s) to which the rate(s) applies, and (3) the period(s) for which the rate(s) applies. The overhead rate agreement shall not change any monetary ceiling, Grant obligation, or specific cost allowance or disallowance provided for in this Grant.

(e) Pending establishment of the final overhead rate(s) for any

period, the Grantee shall be reimbursed either at the negotiated provisional rate(s) as provided above or at a billing rate(s) acceptable to the Grant Officer, subject to appropriate adjustment when the final rate(s) for that period is established. To prevent substantial over or under payment, the provisional or billing rate(s) may, at the request of either party, be revised by mutual agreement, either retroactively or prospectively. Any such revision of the negotiated provisional rate(s) provided in this provision shall be set forth in a modification to this Grant.

#### 6. LIMITATION OF FUNDS

(This provision is applicable to all incrementally or partially funded grants; it becomes inapplicable when the grant is fully funded.)

(a) It is estimated that the cost to the Government for the performance of this Grant will not exceed the estimated cost set forth in Attachment 1 (hereinafter referred to as "the Schedule") to this Grant, and the Grantee agrees to perform the work specified in the Schedule and all obligations under this Grant within such estimated cost.

(b) The amount presently available for payment and obligated under the Grant, the items covered thereby, and the period of performance which it is estimated the obligated amount will cover, are specified in the Schedule. It is contemplated that from time to time additional funds will be obligated under this Grant up to the full estimated cost set forth in the Schedule. The Grantee agrees to perform or have performed work on this Grant up to the point at which the total amount paid and payable by the Government pursuant to the terms of this Grant approximates but does not exceed the total amount actually obligated under the Grant.

(c) If at any time the Grantee has reason to believe that the costs which it expects to incur in the performance of this Grant in the next succeeding 30 days, when added to all costs previously incurred, will exceed 75 percent of the total amount then obligated under the Grant, the Grantee shall notify the Grant Officer in writing to that effect. The notice shall state the estimated amount of additional funds required to continue performance for the period set forth in the Schedule. Thirty days prior to the end of the period specified in the Schedule, the Grantee will advise the Grant Officer in writing as to the estimated amount of additional funds, if any, that will be required for the timely performance of the work under the Grant or for such further period as may be specified in the Schedule or otherwise agreed to by the parties. If, after such notification, additional funds are not obligated by the end of the period set forth in the Schedule or an agreed date substituted therefor, the Grant Officer will, upon written request by the Grantee, terminate this Grant pursuant to the "Termination" provision of this Grant on such a date. If the Grantee, in the exercise of its reasonable judgment, estimates that the funds available will allow it to continue to discharge its obligations hereunder for a period extending beyond such date, it shall specify the later date in its request and the Grant Officer, in his discretion, may terminate this Grant on that later date.

(d) Except as required by other provisions of this Grant specifically citing and stated to be an exception from this provision, the Government shall not be obligated to reimburse the Grantee for costs incurred in excess of the total amount obligated under the Grant, and the Grantee shall not be obligated to continue performance under the Grant (including actions under the "Termination" provision) or otherwise to incur costs in excess of the amount obligated under the Grant, unless and until the Grant Officer has notified the Grantee in writing that such obligated amount has been increased and has specified in such notice an increased amount constituting the total amount then obligated under the Grant, to the extent the amount obligated exceeds the estimated cost set forth in the Schedule, such estimated cost shall be correspondingly increased. No notice, communication or representation in any other form or from any person other than the Grant Officer shall affect the amount obligated under this Grant. In the absence of the specified notice, the Government shall not be obligated to reimburse the Grantee for any costs in excess of the

total amount then obligated under the Grant, whether those excess costs were incurred during the course of the Grant or as a result of termination. When and to the extent that the amount obligated under the Grant has been increased, any costs incurred by the Grantee in excess of the amount previously obligated shall be allowable to the same extent as if such costs had been incurred after such increase in the amount obligated; unless the Grant Officer issues a termination or other notice and directs that the increase is solely for the purpose of covering termination or other specified expenses.

(e) Nothing in this provision shall affect the right of the Government to terminate this Grant. In the event this Grant is terminated, the Government and the Grantee shall negotiate an equitable distribution of all property produced or purchased under the Grant based upon the share of cost incurred by each.

#### 7A. PAYMENT - FEDERAL RESERVE LETTER OF CREDIT (FRLC) ADVANCE

(This provision is applicable when the Grantee's total AID grants and cost-reimbursement contracts exceed \$250,000 per annum and AID has, or expects to have a continuing relationship with the Grantee for at least one year.)

(a) AID shall open a Federal Reserve Letter of Credit (hereinafter referred to as an "FRLC") in the amount of this Grant, against which the Grantee may present payment vouchers (i.e., TUS 5401). The payment vouchers shall not ordinarily be submitted more frequently than daily and shall not be less than \$10,000 or more than \$1,000,000. Since the FRLC method enables the recipient organization to obtain funds from the U.S. Treasury concurrently with and as frequently as disbursements are made by the recipient, there need be no time lag between disbursements by the recipient organization and drawdowns from the U.S. Treasury by FRLC. Therefore, there is no necessity for the recipient to maintain balances of Federal cash other than small balances.

(b) In no event shall the accumulated total of all such payment vouchers exceed the amount of the FRLC.

(c) If at any time, SER/FM determines that the Grantee has presented payment vouchers in excess of the amount or amounts allowable in (a) and (b) above, SER/FM shall advise the Grant Officer who may: (1) cause the FRLC to be suspended or revoked; or (2) direct the Grantee to withhold submission of payment vouchers until such time as, in the judgment of SER/FM, an appropriate level of actual, necessary and allowable expenditures has occurred or will occur under this Grant, and/or (3) request the Grantee to repay to AID the amount of such excess. Upon receipt of the Grant Officer's request for repayment of excess advance payments, the Grantee shall promptly contact SER/FM to make suitable arrangements for the repayment of such excess funds. Advances made by primary recipient organizations (those which receive payments directly from the Government) to secondary recipients shall conform to the same standards outlined above applicable to advances made by the Government to primary recipient organizations.

(d) Procedure for Grantee

(1) After arranging with a commercial bank of its choice for operation under the FRLC and obtaining the name and address of the Federal Reserve Bank or branch serving the commercial bank, the Grantee shall deliver to the AID Controller 3 originals of Standard Form 1194, "Authorized Signature Card for Payment Vouchers on Letters of Credit" signed by those official(s) authorized to sign payment vouchers against the FRLC and by an official of the Grantee who has authorized them to sign.

(2) The Grantee shall subsequently receive one certified copy of the FRLC.

(3) The Grantee shall confirm with his commercial bank that the FRLC has been opened and is available when funds are needed.

(4) To receive payment, the Grantee shall:

(A) Periodically, although normally not during the last five days of the month, prepare payment vouchers (Form TUS 5401) in an original and three copies.

(B) Have the original and two copies of the voucher

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signed by the authorized official(s) whose signature(s) appear on the Standard Form 1194.

(C) Present the original, duplicate and triplicate copy of the Form TUS 5401 to his commercial bank.

(D) Retain the quadruplicate copy of the voucher.

(5) After the first payment voucher (Form TUS 5401) has been processed, succeeding payment vouchers shall not be presented until the existing balance of previous payments has been expended or is insufficient to meet current needs.

(6) In preparing the payment voucher, the Grantee assigns a voucher number in numerical sequence beginning with 1 and continuing in sequence on all subsequent payment vouchers submitted under the FRLC.

(7) A report of expenditures (i.e., SF 269, "Financial Status Report") shall be prepared and submitted not less than quarterly within 30 days of the end of the period to the Office of Financial Management, AID, Washington, D. C. 20523. This SF 269 Report, submitted with Standard Form 1034, "Public Voucher for Purchases and Services Other Than Personal", shall be in an original and 2 copies.

(8) The SF 269 report is reviewed against the Grant provisions, and any improper disbursement is disallowed. The Grantee is notified of the reason for the disallowance and is directed to adjust the next periodic report of expenditures to reflect the disallowance and to reduce its next payment voucher against the FRLC by the amount of the disallowance.

(9) In addition to the submission of the SF 269 and the SF 1034, the Grantee shall submit an original and 2 copies of SF 272, "Federal Cash Transaction Report" as follows:

(A) For advances totaling less than \$1 million per year, the Grantee shall submit the SF 272 within 15 working days after the end of the reporting quarter.

(B) For advances totaling more than \$1 million per year, the Grantee shall submit the SF 272 within 15 working days after the end of each month, and

(C) The Grantee's cash needs for the ensuing period (i.e., quarter or month) shall be explained under the "Remarks" section of the SF 272.

(e) Refund of Excess Funds

(1) If all costs have been settled under the Grant and the Grantee fails to comply with the Grant Officer's request for repayment of excess FRLC funds, the Government shall have the right, on other contracts or grants held with the Grantee, to withhold reimbursements due to the Grantee in the amount of the excess being held by the Grantee.

(2) If the Grantee is still holding excess FRLC funds on a grant, contract, or similar instrument under which work has been completed or terminated but all costs have not been settled, the Grantee agrees to:

(A) Provide within 30 days after requested to do so by the Grant Officer, a breakdown of the dollar amounts which have not been settled between the Government and the Grantee. (The Grant Officer will assume no costs are in dispute if the Grantee fails to reply within 30 days.)

(B) Upon written request of the Grant Officer, return to the Government the sum of dollars, if any, which represents the difference between (i) the Grantee's maximum position on claimed costs which have not been reimbursed and (ii) the total amount of unexpended funds which have been advanced under the Grant; and

(C) If the Grantee fails to comply with the Grant Officer's request for repayment of excess FRLC funds, the Government shall have the right, on other contracts, grants or similar instruments held with the Grantee, to withhold payment of FRLC or other advances and/or withhold reimbursements due the Grantee in the amount of the excess being held by the Grantee.

#### 7b. PAYMENT--PERIODIC ADVANCE

(This provision is applicable when the Grantee's total AID grants and cost-reimbursement contracts do not exceed \$250,000 per annum or if the advance to the Grantee aggregates more than \$250,000 per annum but there is not a continuing relationship of at least one year.)

(a) Each month (or quarter, if the Grantee is on a quarterly basis) after the initial advance, the Grantee shall submit to the AID Controller an original and 2 copies of SF 272, "Federal Cash Transactions Report" as

follows:

(1) The Grantee shall submit the SF 272 within 15 working days after the end of the reporting period, and  
(2) The Grantee's cash needs for the ensuing period (i.e., quarter or month) shall be explained under the "Remarks" section of the SF 272.

(b) Along with each SF 272 submission, the Grantee shall submit an original and 3 copies of SF 1034, "Public Voucher for Purchases and Services Other Than Personal"; each voucher shall be identified by the Grant number and shall state the total actual expenditures for the reporting period.

(c) Each quarterly voucher (i.e., SF 1034) or third monthly voucher, if the Grantee is on a monthly basis, shall also be supported by an original and 2 copies of a SF 269, "Financial Status Report". The SF 269 shall be submitted within 30 days after the end of the reporting quarter and may be submitted separately from the SF 1034 and the SF 272; however, the SF 269 shall cover the same quarterly period as the SF 1034(s) and the SF 272(s).

(d) Refund of Excess Funds

(1) If all costs have been settled under the Grant and the Grantee fails to comply with the Grant Officer's request for repayment of excess advance funds, the Government shall have the right, on other contracts or grants held with the Grantee, to withhold reimbursements due to the Grantee in the amount of the excess being held by the Grantee.

(2) If the Grantee is still holding excess advance funds on a grant, contract, or similar instrument under which the work has been completed or terminated but all costs have not been settled, the Grantee agrees to:

(A) Provide within 30 days after requested to do so by the Grant Officer, a breakdown of the dollar amounts which have not been settled between the Government and the Grantee. (The Grant Officer will assume no costs are in dispute if the Grantee fails to reply within 30 days.)

(B) Upon written request of the Grant Officer, return to the Government the sum of dollars, if any, which represents the difference between (i) the Grantee's maximum position on claimed costs which have not been reimbursed and (ii) the total amount of unexpended funds which have been advanced under the Grant; and

(C) If the Grantee fails to comply with the Grant Officer's request for repayment of excess advance funds, the Government shall have the right, on other contracts, grants or similar instruments held with the Grantee, to withhold payment of other advances and/or withhold reimbursements due the Grantee in the amount of the excess being held by the Grantee.

#### 7c. PAYMENT--REIMBURSEMENT

(This provision is applicable to grants for construction, or to grants which do not provide for either a periodic advance or an FRLC in accordance with AID Handbook 13, paragraph 10.5.)

(a) Each month the Grantee shall submit to the AID Controller an original and 3 copies of SF 1034, "Public Voucher for Purchases and Services Other Than Personal"; each voucher shall be identified by the Grant number and shall state the total amount of costs incurred for which reimbursement is being requested.

(b) In addition to the SF 1034, each non-construction grant voucher shall be supported by an original and 2 copies of SF 270, "Request for Advance or Reimbursement", and each construction grant voucher shall be supported by an original and 2 copies of SF 271, "Outlay Report and Request for Reimbursement for Construction Programs".

(c) Each quarterly voucher (or each third monthly voucher) shall also be supported by an original and 2 copies of a SF 269, "Financial Status Report". The SF 269 shall be submitted within 30 days after the end of the reporting quarter and may be submitted separately from the SF 1034; however, the SF 269 shall cover the same quarterly period as the SF 1034(s).

#### 8. TRAVEL AND TRANSPORTATION

(This provision is applicable when domestic or international air travel or shipment costs are reimbursable under the Grant.)

(a) The Grant Officer hereby approves international air travel hereunder provided that the Grantee shall obtain

written concurrence from the cognizant Project Officer in AID prior to sending any individual outside the United States to perform work under the Grant; the Grantee shall advise the Project Officer at least 30 days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant Mission or U. S. Embassy advance notification (with a copy to the Project Officer) of the arrival date and flight identification of Grant financed travellers.

(b) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this Grant.

(c) All international air travel and all international air shipments under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations, provided that the Grantee certifies to the facts in the voucher and other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

(1) Where the traveler, while enroute, has to wait 6 hours or more to transfer to a U.S. flag air carrier to proceed to the intended destination, or

(2) Where a flight by a U.S. flag air carrier is interrupted by a stop anticipated to be 6 hours or more for refueling, reloading, repairs, etc. and no other flight by a U.S. flag air carrier is available during the 5 hour period, or

(3) Where by itself or in combination with other U.S. flag air carriers (if U.S. flag air carriers are "unavailable") it takes 12 hours or longer from the original airport to the destination airport to accomplish the Grantee's program than would service by a non-U.S. flag air carrier or carriers, or

(4) When the elapsed traveltime on a scheduled flight from origin to destination airports by non-U.S. flag air carrier(s) is 3 hours or less, and services by U.S. flag air carrier(s) would involve twice such traveltime.

NOTE: Where U.S. Government funds are used to reimburse Grantee's use of other than U.S. flag air carriers for international transportation, the Grantee will include a certification on vouchers involving such transportation which is essentially as follows:

CERTIFICATION OF UNAVAILABILITY OF U.S. FLAG AIR CARRIERS  
I hereby certify that the transportation service for personnel (and their personal effects) or property by U.S. flag air carrier was unavailable for the following reason(s): (State appropriate reason(s) as set forth above; see 41 CFR 1-1.323-3 for further guidance).

(d) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR); however, if the Grantee's domestic and international travel allowance policies and procedures have been reviewed and approved by AID or another Federal department or agency pursuant to the applicable Federal cost principles, the Grantee may use its travel allowance system in lieu of the FTRs after it has furnished the Grant Officer with a copy of such approval.

### 3. OCEAN SHIPMENT OF GOODS

(This provision is applicable when ocean shipment costs are reimbursable under the Grant.)

(a) 50% of all international ocean shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may request a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, giving the basis for the request.

(b) When the AID Transportation Support Division makes and issues a determination to the Grantee that U.S. flag vessels are not available, the ocean shipment costs on foreign flag vessels, as named in the determination, will be eligible for reimbursement under the Grant. In all instances Grantee vouchers submitted for reimbursement under the Grant which include ocean shipment costs will include a certification essentially as follows: "I

here by certify that a copy of each ocean bill of lading concerned has been submitted to the Maritime Administration, Cargo Preference Control Center, Commerce Building, Washington, D.C. 20235, and that such bill(s) of lading state all of the carrier's charges including the basis for calculation such as weight or cubic measurement, and indicate the applicable AID Grant Number."

(c) Shipments by voluntary non-profit relief agencies (i.e., PVO's) shall be governed by paragraphs (a) and (b) above and by AID Regulation 2, "Overseas Shipments of Supplies by Voluntary Non-Profit Relief Agencies" (22 CFR 202).

10. PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000  
(This provision is applicable when the total procurement element (i.e., the sum of all purchase orders and contracts for goods and services) of this Grant does not exceed \$250,000.)

#### (a) Geographic Source and Order of Preference

Except as may be specifically approved or directed in advance by the Grant Officer, all goods (e.g., equipment, vehicles, materials, and supplies) and services, the costs of which are to be reimbursable under this Grant and which will be financed with United States dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., AID Geographic Code 935) in accordance with the following order of preference:

(1) the United States (AID Geographic Code 000),  
(2) "Selected Free World" countries (AID Geographic Code 941),

(3) the cooperating country,  
(4) "Special Free World" countries (AID Geographic Code 935).

#### (b) Application of Order of Preference

When the Grantee procures goods and services from other than U.S. sources, under the order of preference in (a) above, it shall document its file; to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the Grantee's documentation:  
(1) the procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U.S. sources,  
(2) the price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U.S. source,  
(3) impelling local political considerations precluded consideration of U.S. sources,  
(4) the goods or services were not available from U.S. sources, or  
(5) procurement of locally available goods or services, as opposed to procurement of U.S. goods and services, would best promote the objectives of the Foreign Assistance Program under the Grant.

#### (c) Ineligible Goods and Services

Under no circumstances shall the Grantee procure any of the following under this Grant:

- (1) military equipment,
- (2) surveillance equipment,
- (3) commodities and services for support of police or other law enforcement activities,
- (4) abortion equipment and services,
- (5) luxury goods and gambling equipment, or
- (6) weather modification equipment.

(For a more detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4D.)

If AID determines that the Grantee has procured any of the ineligible goods and services specified above under this Grant, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

#### (d) Restricted Goods

The Grantee shall not procure any of the following goods or services from a non-U.S. source (i.e., other than AID Geographic Code 000) without the prior written authorization of the Grant Officer:

- (1) agricultural commodities,
- (2) motor vehicles,
- (3) pharmaceuticals,
- (4) pesticides,
- (5) plasticizers,
- (6) used equipment, or

(7) U.S. Government-owned excess property.  
(For a detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4C.)

If AID determines that the Grantee has procured any of the restricted goods specified above under this Grant, without the prior written authorization of the Grant Officer, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

(e) The Grantee's Procurement System

(1) The Grantee may use its own procurement policies and procedures provided they conform to the geographic source and order of preference requirements of this provision and paragraphs 3. and 4., Attachment 0 of OMB Circular No. A-110.

(2) If the Grantee's procurement policies and procedures have been reviewed against the procurement requirements of paragraphs 3. and 4. of Attachment 0 to OMB Circular No. A-110 and have been approved by AID or another Federal department or agency, the Grantee shall furnish the Grant Officer with a copy of such approval; otherwise the Grantee's procurement policies and procedures shall conform to the specified requirements of OMB Circular No. A-110. (See AID Handbook 13, Chapter 1, paragraph 1U.)

(f) Small Business

To permit AID, in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Small Business Office, AID, Washington, D.C. 20523 at least 45 days prior (except where a shorter time is requested of, and granted by the Small Business Office) to placing any order or contract in excess of \$25,000:

- (1) Brief general description and quantity of goods or services;
- (2) Closing date for receiving quotations, proposals, or bids; and
- (3) Address where invitations or specifications can be obtained.

(g) Ineligible Suppliers

Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing" (22 CFR 208). The Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be reimbursable under this Grant. AID will provide the Grantee with this list.

11. GOVERNMENT FURNISHED EXCESS PERSONAL PROPERTY

(This provision applies when personal property is furnished under the Grant.)

The policies and procedures of AID Handbook 16, "Excess Property", and the appropriate provisions of 41 CFR 101-43 apply to the government furnished excess personal property under this Grant.

12A. TITLE TO AND USE OF PROPERTY (GRANTEE TITLE)

(This provision is applicable when the Government vests title in the Grantee only.)

Title to all property financed under this Grant shall vest in the Grantee, subject to the following conditions:

(a) The Grantee shall not charge for any depreciation, amortization, or use of any property, title to which remains in the Grantee under this provision under this Grant or any other U.S. Government grant, subgrant, contract or subcontract.

(b) The Grantee agrees to use and maintain the property for the purpose of the Grant in accordance with the requirements of paragraph 1T of Chapter 1, AID Handbook 13.

(c) With respect to nonexpendable property having an acquisition cost of \$1,000 or more, title to which vests in the Grantee, the Grantee agrees:

(1) To report such items to the Grant Officer from time to time as they are acquired and to maintain a control system which will permit their ready identification and location.

(2) To transfer title to any such items to the Government in accordance with any written request therefor issued by the Grant Officer at any time prior to final payment under this Grant.

12B. TITLE TO AND CARE OF PROPERTY (U.S. GOVERNMENT)

(This provision is applicable when title to property is vested in the U.S. Government.)

(a) Property, title to which vests in the Government under this Grant, whether furnished by the Government or acquired by the Grantee, is subject to this provision and is hereinafter collectively referred to as "Government property." Title to Government property shall not be affected by the incorporation or attachment thereof to any property not owned by the Government, nor shall such Government property, or any part thereof, be or become a fixture or lose its identity as personalty by reason of affixation to any realty.

(b) Use of Government Property

Government property shall, unless otherwise provided herein or approved by the Grant Officer, be used only for the performance of this Grant.

(c) Control, Maintenance and Repair of Government Property

The Grantee shall maintain and administer in accordance with sound business practice a program for the maintenance, repair, protection, and preservation of Government property so as to assure its full availability and usefulness for the performance of this Grant. The Grantee shall take all reasonable steps to comply with all appropriate directions or instructions which the Grant Officer may prescribe as reasonably necessary for the protection of the Government property.

The Grantee shall submit, for review and written approval of the Grant Officer, a records system for property control and a program for orderly maintenance of Government property; however, if the Grantee's property control and maintenance system has been reviewed and approved by another Federal department or agency pursuant to Attachment N of OMB Circular No. A-110 (see paragraph 1T of Chapter 1, AID Handbook 13), the Grantee shall furnish the Grant Officer proof of such approval in lieu of another approval submission.

(1) Property Control

The property control system shall include but not be limited to the following:

- (A) Identification of each item of Government property acquired or furnished under the Grant by a serially controlled identification number and by description of item. Each item must be clearly marked "Property of U.S. Government."
- (B) The price of each item of property acquired or furnished under the Grant.
- (C) The location of each item of property acquired or furnished under the Grant.
- (D) A record of any usable components which are permanently removed from items of Government property as a result of modification or otherwise.
- (E) A record of disposition of each item acquired or furnished under the Grant.
- (F) Date of order and receipt of any item acquired or furnished under the Grant.

The official property control records shall be kept in such condition that at any stage of completion of the work under this Grant, the status of property acquired or furnished under this Grant may be readily ascertained. A report of current status of all items of property acquired or furnished under the Grant shall be submitted yearly concurrently with the annual report.

(2) Maintenance Program

The Grantee's maintenance program shall be such as to provide for, consistent with sound business practice and the terms of the Grant: (i) disclosure of need for and the performance of preventive maintenance, (ii) disclosure and reporting of need for capital type rehabilitation, and (iii) recording of work accomplished under the program.

(A) Preventive maintenance - Preventive maintenance is maintenance generally performed on a regularly scheduled basis to prevent the occurrence of defects and to detect and correct minor defects before they result in serious consequences.

(B) Records of maintenance - The Grantee's maintenance

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program shall provide for records sufficient to disclose the maintenance actions performed and deficiencies discovered as a result of inspections.

A report of status of maintenance of Government property shall be submitted annually concurrently with the annual report.

(d) Risk of Loss

(1) The Grantee shall not be liable for any loss of or damage to the Government property, or for expenses incidental to such loss or damage except that the Grantee shall be responsible for any such loss or damage (including expenses incidental thereto):

(i) Which results from willful misconduct or lack of good faith on the part of any of the Grantee's directors or officers, or on the part of any of its managers, superintendents, or other equivalent representatives, who have supervision or direction of all or substantially all of the Grantee's business, or all or substantially all of the Grantee's operations at any one plant, laboratory, or separate location in which this Grant is being performed;

(ii) Which results from a failure on the part of the Grantee, due to the willful misconduct or lack of good faith on the part of any of its directors, officers, or other representatives mentioned in (i) above, (A) to maintain and administer, in accordance with sound business practice, the program for maintenance, repair, protection, and preservation of Government property as required by (i) above, or (B) to take all reasonable steps to comply with any appropriate written directions of the Grant Officer under (c) above;

(iii) For which the Grantee is otherwise responsible under the express terms of the article or articles designated in Attachment 1 to this Grant.

(iv) Which results from a risk expressly required to be insured under some other provision of this Grant, but only to the extent of the insurance so required to be procured and maintained, or to the extent of insurance actually procured and maintained, whichever is greater; or

(v) Which results from a risk which is in fact covered by insurance or for which the Grantee is otherwise reimbursed, but only to the extent of such insurance or reimbursement;

Provided, that, if more than one of the above exceptions shall be applicable in any case, the Grantee's liability under any one exception shall not be limited by any other exception.

(2) The Grantee shall not be reimbursed for, and shall not include as an item of overhead, the cost of insurance, or any provision for a reserve, covering the risk of loss of or damage to the Government property, except to the extent that the Government may have required the Grantee to carry such insurance under any other provision of this Grant.

(3) Upon the happening of loss or destruction of or damage to the Government property, the Grantee shall notify the Grant Officer thereof, shall take all reasonable steps to protect the Government property from further damage, separate the damaged and undamaged Government property, put all the Government property in the best possible order, and furnish to the Grant Officer a statement of:

(i) The lost, destroyed, and damaged Government property;

(ii) The time and origin of the loss, destruction, or damage;

(iii) All known interests in commingled property of which the Government property is a part; and

(iv) The insurance, if any, covering any part of or interest in such commingled property.

The Grantee shall make repairs and renovations of the damaged Government property or take such other action as the Grant Officer directs.

(4) In the event the Grantee is indemnified, reimbursed, or otherwise compensated for any loss or destruction of or damage to the Government property, it shall use the proceeds to repair, renovate or replace the Government property involved, or shall credit such proceeds against the cost of the work covered by the Grant, or shall otherwise reimburse the Government, as directed by the Grant Officer. The Grantee shall do nothing to prejudice the Government's right to recover against third parties for any such loss, destruction, or damage, and upon the request of the Grant Officer, shall, at the Government's expense, furnish to the Government all reasonable

assistance and cooperation (including assistance in the prosecution of suit and the execution of instruments of assignments in favor of the Government) in obtaining recovery.

(e) Access

The Government, and any persons designated by it, shall at all reasonable times have access to the premises wherein any Government property is located, for the purpose of inspecting the Government property.

(f) Final Accounting and Disposition of Government Property

Upon completion of this Grant, or at such earlier dates as may be fixed by the Grant Officer, the Grantee shall submit, in a form acceptable to the Grant Officer, inventory schedules covering all items of Government property not consumed in the performance of this Grant or not theretofore delivered to the Government, and shall prepare deliver, or make such other disposal of the Government property as may be directed or authorized by the Grant Officer.

(g) Communications

All communications issued pursuant to this provision shall be in writing.

12C. TITLE TO AND CARE OF PROPERTY (COOPERATING COUNTRY TITLE)

(This provision is applicable to property titled in the name of the cooperating country or such public or private agency as the Cooperating Government may designate.)

(a) Except as modified by Attachment 1 of this Grant, title to all equipment, materials and supplies, the cost of which is reimbursable to the Grantee by AID or by the Cooperating Government, shall at all times be in the name of the Cooperating Government or such public or private agency as the Cooperating Government may designate, unless title to specified types or classes of equipment is reserved to AID under provisions set forth in Attachment 1 of this Grant; but all such property shall be under the custody and control of the Grantee until the owner of title directs otherwise or completion of work under this Grant or its termination, at which time custody and control shall be turned over to the owner of title or disposed of in accordance with its instructions. All performance guarantees and warranties obtained from suppliers shall be taken in the name of the title owner.

(b) The Grantee shall prepare and establish a program, to be approved by the Mission, for the receipt, use, maintenance, protection, custody and care of equipment, materials and supplies for which it has custodial responsibility, including the establishment of reasonable controls to enforce such program. The Grantee shall be guided by the requirements of paragraph 1T of Chapter 1, AID Handbook 13.

(c) Within 90 days after completion of this Grant, or at such other date as may be fixed by the Grant Officer, the Grantee shall submit an inventory schedule covering all items of equipment, materials and supplies under his custody, title to which is in the Cooperating Government or public or private agency designated by the Cooperating Government, which have not been consumed in the performance of this Grant. The Grantee shall also indicate what disposition has been made of such property.

13. CONVERSION OF UNITED STATES DOLLARS TO LOCAL CURRENCY

Upon arrival in the Cooperating Country, and from time to time as appropriate, the Grantee's Chief of Party shall consult with the Mission Director who shall provide, in writing, the procedure the Grantee and its employees shall follow in the conversion of United States dollars to local currency. This may include, but is not limited to, the conversion of said currency through the cognizant United States Disbursing Officer or Mission Controller, as appropriate.

14. TERMINATION

(a) For Cause. This Grant may be terminated for cause at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he/she shall determine that such termination is in the best interest of the Government.

(b) For Convenience. This Grant may be terminated for convenience at any time by either party, in whole or in part, if both parties agree that the continuation of the Grant would not produce beneficial results commensurate

with the further expenditure of funds. Both parties shall agree upon termination conditions, including the effective date and, in the case of partial terminations, the portion to be terminated. The agreement to terminate shall be set forth in a letter from the Grant Officer to the Grantee.

(c) Termination Procedures. Upon receipt of and in accordance with a termination notice as specified in either paragraph (a) or (b) above, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within 30 calendar days after the effective date of such termination repay to the Government all unexpended portions of funds theretofore paid by the Government to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Government to the Grantee prior to the effective date of the termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Government within 90 calendar days after the effective date of such termination a written claim covering such obligations, and subject to the limitations contained in this Grant, the Grant Officer shall determine the amount or amounts to be paid by the Government to the Grantee under such claim in accordance with the applicable Federal cost principles.

#### 15. VOLUNTARY PARTICIPATION

(This provision is applicable to all grants involving any aspect of family or population assistance activities, and all Title X grants in particular.)

(a) The Grantee agrees to take any steps necessary to ensure that funds made available under this Grant will not be used to coerce any individual to practice methods of family planning inconsistent with such individual's moral, philosophical, or religious beliefs. Further, the Grantee agrees to conduct its activities in a manner which safeguards the rights, health and welfare of all individuals who take part in the program.

(b) The Grantee shall insert paragraphs (a) and (b) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder.

#### 16. PROHIBITION ON ABORTION-RELATED ACTIVITIES

(This provision is applicable to all grants involving any aspect of family or population assistance activities, and all Title X grants in particular.)

(a) No funds made available under this Grant will be used to finance, support, or be attributed to the following activities: (1) procurement or distribution of equipment intended to be used for the purpose of inducing abortions as a method of family planning; (2) special fees or incentives to women to coerce or motivate them to have abortions; (3) payments to persons to perform abortions or to solicit persons to undergo abortions; (4) information, education, training, or communication programs that seek to promote abortion as a method of family planning.

(b) The Grantee shall insert paragraphs (a) and (b) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder.

#### 17. VOLUNTARY PARTICIPATION REQUIREMENTS FOR STERILIZATION PROGRAMS

(This provision is applicable when any surgical sterilization will be supported in whole or in part from funds under this Grant.)

(a) None of the funds made available under this grant shall be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to practice sterilization.

(b) The Grantee shall insure that any surgical sterilization procedures supported in whole or in part by funds from this Grant are performed only after the individual has

voluntarily presented himself or herself at the treatment facility and has given his or her informed consent to the sterilization procedure. Informed consent means the voluntary, knowing assent from the individual after he or she has been advised of the surgical procedures to be followed, the attendant discomforts and risks, the benefits to be expected, the availability of alternative methods of family planning, the purpose of the operation and its irreversibility, and his or her option to withdraw consent anytime prior to the operation. An individual's consent is considered voluntary if it is based upon the exercise of free choice and is not obtained by any special inducement or any element of force, fraud, deceit, duress or other forms of coercion or misrepresentation.

(c) Further, the Grantee shall document the patient's informed consent by (1) a written consent document in a language the patient understands and speaks, which explains the basic elements of informed consent, as set out above, and which is signed by the individual and by the attending physician or by the authorized assistant of the attending physician; or (2) when a patient is unable to read adequately, a written certification by the attending physician or by the authorized assistant of the attending physician that the basic elements of informed consent above were orally presented to the patient, and that the patient thereafter consented to the performance of the operation. The receipt of the oral explanation shall be acknowledged by the patient's mark on the certification and by the signature or mark of a witness who shall be of the same sex and speak the same language as the patient.

(d) Copies of informed consent forms and certification documents for each voluntary sterilization (VS) procedure must be retained by the Grantee for a period of three years after performance of the sterilization procedure.

(e) The Grantee shall insert paragraphs (a), (b), (c), (d) and (e) of this provision in all subgrants, subcontracts, purchase orders, and any other subordinate agreements hereunder involving the performance of any sterilization which will be supported in whole or in part from funds under this Grant.

#### 18. PUBLICATIONS

(This provision is applicable to any grant which produces any book, publication, or other copyrightable materials.)

(a) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(b) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(c) In the event Grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(d) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant in accordance with paragraph 17B.b. of Chapter 1, AID Handbook 13. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose to permit others to do so.

#### 19. PATENTS

(This provision is applicable to any Grant which produces patentable items, patent rights, processes, or inventions.)

(a) Grantee agrees to notify the Grant Officer, in writing, of any invention or discovery conceived or first actually reduced to practice in the course of or under this Grant. The Grant Officer will determine the patent rights to be afforded the Grantee in accordance with the Presidential Memorandum and Statement of Government Patent Policy (36 FR 16889) and paragraph 17B.a. of Chapter 1, AID Handbook 13.

(b) Nothing contained in this provision shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right other-

wise granted to the Government under any patent.

**20. REGULATIONS GOVERNING EMPLOYEES OUTSIDE THE UNITED STATES**

(This provision is applicable only to the Grantee's U.S. and third country national employees; it is not applicable to the Grantee's cooperating country national employees.)

(a) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government offices or facilities for support while so engaged.

(b) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the Mission, except as they may conflict with host government regulations.

(c) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession, or occupation in the foreign countries to which he is assigned, nor shall he make loans or investments to or in any business, profession or occupation in the foreign countries to which he is assigned.

(d) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(e) In the event the conduct of any Grantee employee is not in accordance with the preceding paragraphs, the Grantee's chief of party shall consult with the Mission Director and the employee involved and shall recommend to the Grantee a course of action with regard to such employee.

(f) The parties recognize the right of the U.S. Ambassador to direct the removal from a country of any U.S. citizen or the discharge from this Grant of any third country national when, in the discretion of the Ambassador, the interests of the United States so require.

(g) If it is determined, under either (e) or (f) above, that the services of such employee shall be terminated, the Grantee shall use its best efforts to cause the return of such employee to the United States, or point of origin, as appropriate.

**21. SUBORDINATE AGREEMENTS**

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder, unless the Grantee's procurement system has been reviewed and approved pursuant to the appropriate section(s) of paragraph 10, Chapter 1 of AID Handbook 13. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

**22. U.S. OFFICIALS NOT TO BENEFIT**

No member of or delegate to the U.S. Congress or resident U.S. commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

**23. COVENANT AGAINST CONTINGENT FEES**

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant

without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

**24. NONLIABILITY**

The Grant does not assume liability with respect to any third party claims for damages arising out of work supported by this Grant.

**25. AMENDMENT**

The Grant may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the Grant Officer and an appropriate official of the Grantee.

**26. THE GRANT**

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant.

**27. NOTICES**

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

To the AID Grant Officer at the address specified in the Grant

To Grantee - At Grantee's address shown in the Grant, or to such other address as either of such parties shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this provision or on the effective date of the notice, whichever is later.

U.S. Grantees and U.S. Subgrantees  
(BOTH EDUCATIONAL INSTITUTIONS AND OTHER THAN EDUCATIONAL INSTITUTIONS)

**2B. ALTERATIONS IN STANDARD PROVISIONS**

The Standard Provision of the September 1977 Edition have been changed as follows:

**a. Standard Provision 7A. PAYMENT - FEDERAL RESERVE LETTER OF CREDIT (FRLC) ADVANCE**

(1) Delete the applicability statement and substitute the following therefor:

(This provision is applicable when the sum of all advances under the Grantee's contracts and grants with AID exceeds \$120,000 per annum and AID has, or expects to have, a continuing relationship with the Grantee for at least a year.)

(2) Delete the term "TUS" in paras. (a), (d)(4)(A), (d)(4)(C), and (d)(5) and substitute the term "TFS" therefor.

(3) Delete the term "SFR/FM" wherever it appears in para. (c) and substitute the term "the AID Controller" therefor; also delete the term "Office of Financial Management" in para. (d)(7) and substitute the term "the AID Controller" therefor.

(4) Delete the figures "\$10,000" and "\$1,000,000" in para. (a) and substitute the figures "\$5,000" and "\$5,000,000", respectively, therefor.

(5) Delete the words "less than" in para. (d)(7) and substitute the words "more than" therefor.

**b. Standard Provision 7B. PAYMENT-PERIODIC ADVANCE**  
Delete the applicability statement and substitute the following therefor:

(This provision is applicable when (1) the sum of all advances under the Grantee's contracts and grants with AID does not exceed \$120,000 per annum, or (2) when the sum of all such advances does exceed \$120,000 per annum, but there is not a continuing relationship with the Grantee of at least one year.)

**c. Standard Provision 10. PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000**

Delete the entire provision and substitute the following therefor:

**10. PROCUREMENT OF GOODS AND SERVICES UNDER \$250,000**

(This provision is applicable when the total procurement element (i.e., the sum of all purchase orders and contracts for goods and services) of this Grant does not exceed \$250,000.)

**(a) Ineligible Goods and Services**

Under no circumstances shall the Grantee procure any of the following under this Grant:

- (1) military equipment,
- (2) surveillance equipment,
- (3) commodities and services for support of police or other law enforcement activities,
- (4) abortion equipment and services,
- (5) luxury goods and gambling equipment, or
- (6) weather modification equipment.

(For a more detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4D.)

If AID determines that the Grantee has procured any of the ineligible goods and services specified above under this Grant, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

**(b) Restricted Goods**

The Grantee shall not procure any of the following goods or services from a non-U.S. source (i.e., other than AID Geographic Code 000) without the prior written authorization of the Grant Officer:

- (1) agricultural commodities,
- (2) motor vehicles,
- (3) pharmaceuticals,
- (4) pesticides,
- (5) plasticizers,
- (6) used equipment, or
- (7) U.S. Government-owned excess property.

(For a detailed discussion of the subject, see AID Handbook 1, Supplement B, paragraph 4C.)

If AID determines that the Grantee has procured any of the restricted goods specified above under this Grant, without the prior written authorization of the Grant Officer, and has received reimbursement for such purpose, the Grantee agrees to refund to AID the entire amount of the purchase.

**(c) Geographic Source and Order of Preference**

Except as may be specifically approved or directed in advance by the Grant Officer under paragraph 10(b) above, all other goods (e.g., equipment, materials, and supplies) and services, the costs of which are to be reimbursable under this Grant and which will be financed with United States dollars, shall be purchased in and shipped from only "Special Free World" countries (i.e., AID Geographic Code 935) in accordance with the following order of preference:

- (1) the United States (AID Geographic Code 000)
- (2) "Selected Free World" countries (AID Geographic Code 941).
- (3) the cooperating country,
- (4) "Special Free World" countries (AID Geographic Code 935).

**(d) Application of Order of Preference**

When the Grantee procures goods and services from other than U.S. sources, under the order of preference in 10(c) above, it shall document its files to justify each such instance. The documentation shall set forth the circumstances surrounding the procurement and shall be based on one or more of the following reasons, which will be set forth in the Grantee's documentation:

- (1) the procurement was of an emergency nature, which would not allow for the delay attendant to soliciting U.S. sources,
- (2) the price differential for procurement from U.S. sources exceeded by 50% or more the delivered price from the non-U.S. source,
- (3) impelling local political considerations precluded consideration of U.S. sources,
- (4) the goods or services were not available from U.S. sources, or
- (5) procurement of locally available goods or services, as opposed to procurement of U.S. goods and services, would best promote the objectives of the Foreign Assistance Program under the Grant.

**(e) The Grantee's Procurement System**

(1) The Grantee may use its own procurement policies and procedures provided they conform to the geographic source and order of preference requirements of this provision and paragraphs 3 and 4, Attachment O of OMB Circular No. A-110.

(2) If the Grantee's procurement policies and procedures have been reviewed against the procurement requirements of paragraphs 3 and 4 of Attachment O to OMB Circular No. A-110 and have been approved by AID or another Federal department or agency, the Grantee shall furnish the Grant Officer a copy of such approval; otherwise the Grantee's procurement policies and procedures shall conform to the specified requirements of OMB Circular No. A-110. (See AID Handbook 13, Chapter 1, paragraph 1U.)

**(f) Small Business**

To permit AID, in accordance with the small business provisions of the Foreign Assistance Act of 1961, as amended, to give United States small business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Small Business Office, AID, Washington D.C. 20523 at least 45 days prior (except where a shorter time is requested of, and granted by the Small Business Office) to placing any order or contract in excess of \$25,000:

- (1) Brief general description and quantity of goods or services;
- (2) Closing date for receiving quotations, proposals, or bids; and
- (3) Address where invitations or specifications can be obtained.

**(g) Ineligible Suppliers**

Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation B, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing" (22 CFR 208). The Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be reimbursable under this Grant. AID will provide the Grantee with this list.