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10 MAY 1977

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~~RECEIVED MAY - 2 1977~~
Parker, PHA/RYC
Barley

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

ORIGINAL

Mr. Edward P. Bullard
President
Technoserve, Inc.
36 Old Kings Highway South
Darien, Connecticut 06820

APR 27 1977

Subject: General Support Grant
Amendment No. 4 to
Grant AID/pha-G-1098

Dear Mr. Bullard:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant, as amended, is hereby further amended as follows:

Attachment A - Purpose and Implementation of Grant -

Add Paragraph "E" as follows:

"E. Commissary privileges for Grantee employees in Ghana shall be made available at the discretion of the U.S. Mission Director in Ghana".

There are no additional funds provided by this amendment.

Please acknowledge your understanding and acceptance of this amendment by signing the original and all copies in the space

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provided below. Please return the original and five (5) copies to this office.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACKNOWLEDGED:
TECHNOSERVE, INC.

BY: *E. P. Bullard IV*

PRINTED NAME: Edward P. Bullard IV

TITLE: President

DATE: 5/2/77

TECHNOSERVE

CONTRACT MANAGEMENT DIVISION
OFFICE OF CONTRACT MANAGEMENT
CENTRAL OPERATIONS DIVISION
DEFENSE CONTRACT ADMINISTRATION SERVICE
WASHINGTON, D.C. 20301

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

29 SEP 1976

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*Copy made - L Tanne, PHA/PA
Aug - J Burke*

SEP 9 1976

Mr. Edward P. Bullard
President
Technoserve, Inc.
36 Old Kings Highway South
Darien, Connecticut 06820

Subject: General Support Grant
No. AID/pha-G-1098,
Amendment No. 3,
PIO/T No. 3219207

Dear Mr. Bullard:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants Technoserve, Inc. (hereinafter referred to as "Technoserve" or "Grantee") an additional sum of Eighty Six Thousand Dollars (\$86,000) in support of the program in self-help enterprise development as described in the attachment to this Grant entitled "Purpose and Implementation of Grant". The total amount obligated under the Grant is now \$273,000. Subject to their availability, additional funds may be granted to Technoserve at a later date.

This Grant of additional funds is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period 7/1/76 - 6/30/77.

The Grant is made to Technoserve, Inc., on condition that the funds will be administered in accordance with the terms and conditions as set

Best Available Document
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forth in Attachment A entitled "Purpose and Implementation of Grant", Attachment B entitled "Standard Provisions", and Attachment C entitled "Payment Provisions".

The subject grant is hereby further amended as follows:

1. Attachment A - Program Description, Section C - Implementation (operational methods and objectives)

Delete paragraph 2 (page 3), which reads "-Implementing four to eight major high impact self-help enterprises annually within each country/regional program." and substitute in lieu thereof the following:

" - Provide in-depth assistance to a limited number of major high-impact self-help enterprises annually in order to achieve significant economic and social impact."

2. Attachment A - Delete Section "E" in its entirety and in lieu thereof, insert the following:

"E. Estimated Budget

Best Available Document

NEEDS OBLIGATED

Approp. No. 72-11X1026
 Allot. No. 426-32-099-00-26-51
 Obl. No. 3219209
 Amount 86,000.00
 Proj. No. 932-13-950-029-85

BY *M. Hughes* 9/13/76

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Technoserve, Inc.

Category	<u>7/1/75 - 12/31/75</u> Actual	<u>1/1/76 - 6/30/76</u> Actual	<u>7/1/76 - 12/31/76</u> Estimated	<u>1/1/77 - 6/30/77</u> Estimated	TOTAL
<u>Support Services</u>					
Wages & Associated Costs	\$ 34,652	\$ 59,773	\$ 60,000	\$ 70,000	\$ 224,425
Office Operations	32,372	22,129	25,000	30,000	109,501
Travel	1,466				1,466
International		3,482	5,000	5,000	13,482
In country		6,848	5,000	5,000	16,848
Furniture Equipment		7,952	5,000	2,000	14,952
Other	7,118	10,935	15,000	15,000	48,053
Total Support Services	<u>\$ 75,608</u>	<u>\$111,119</u>	<u>\$115,000</u>	<u>\$127,000</u>	<u>\$ 428,727</u>
<u>Program Services</u>					
Wages & Associated Costs	\$192,520	\$214,931	\$250,000	\$290,000	\$ 947,451
Office Operations	42,868	32,596	40,000	50,000	165,464
Travel	35,625				35,625
International		34,799	40,000	45,000	119,799
In country	51,250	46,023	55,000	60,000	212,273
Furniture Equipment	11,730	9,991	10,000	5,000	36,721
Program Start Up	6,398				6,398
Other	32,875	448	1,000	1,000	35,323
Home Office Support		85,618	95,000	105,000	285,618
Central American					
Office Support		20,158	15,000	10,000	45,158
Total Program Services	<u>\$373,266</u>	<u>\$444,564</u>	<u>\$506,000</u>	<u>\$566,000</u>	<u>\$1,889,830</u>
Total Expenses	<u><u>\$448,874*</u></u>	<u><u>\$555,683*</u></u>	<u><u>\$621,000*</u></u>	<u><u>\$693,000*</u></u>	<u><u>\$2,318,557</u></u>
	<u>AID Support 82,064</u>	\$ 76,051	\$ 69,000	\$ 45,885	\$ 273,000

*/ Note: Includes amounts funded under AID Grant AID/pha-G-1085.

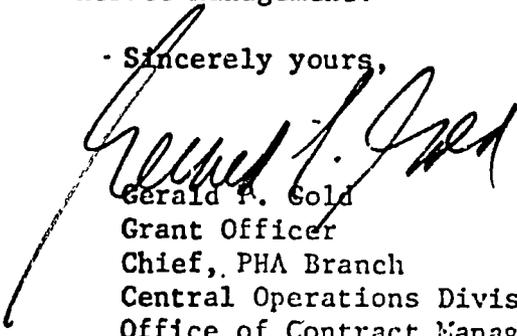
Adjustments between budget line items and years are unrestricted except that the total amount obligated may not be exceeded.

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Except as expressly herein amended the subject grant is in all respects ratified, confirmed, and continued in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these additional funds have been granted. Please return the original and seven (7) copies of this Grant to the Office of Contract Management.

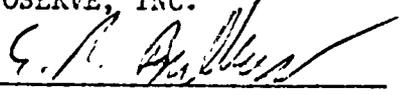
Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACCEPTED:

TECHNOSERVE, INC.

BY: 

PRINTED NAME: E. P. Bullard

TITLE: President

DATE: Sept 21, 1976

ORIGINAL 7 JUL 1976
DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

*Gregory - L Tanner, PHA/PVC
Res - J Burke
OPNS*

JUN 24 1976

Mr. Edward P. Bullard
President
Technoserve, Inc.
36 Old Kings Highway South
Darien, Connecticut 06820

Subject: Amendment No. 2 to
Grant No. AID/PHG-G-1098

Dear Mr. Bullard:

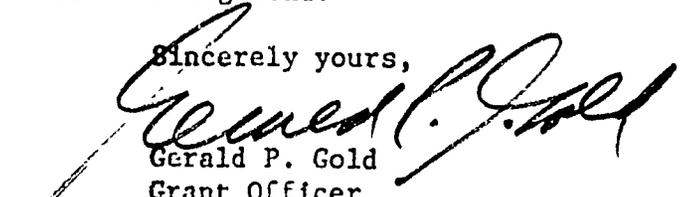
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject Grant is hereby further amended as follows:

Attachment B - Standard Provisions

1. Add the attached "Standard Provisions - General Support Grant (Index)".
2. Delete Standard Provision "l" thru "r" and substitute the attached Standard Provisions "l" thru "x".

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance and return the original and six (6) copies of this amendment to the Office of Contract Management.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACCEPTED:

Technoserve, Inc.

BY: *E. P. Bullard*

PRINTED NAME: Edward P. Bullard, IV

TITLE: President

DATE: June 28, 1976

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7

Standard Provisions - General Support Grant

(INDEX)

- a. Allowable Costs
- b. Accounting, Audit and Records
- c. Refunds
- d. Ineligible Countries
- e. Equal Opportunity
- f. Termination
- g. Officials Not to Benefit
- h. Covenant Against Contingent Fees
- i. Amendment
- j. Grant Agreement
- k. Notices
- l. Procurement of Equipment, Vehicles, Supplies, Materials, and Services
- m. Salaries
- n. International Travel and Allowances
- o. Excess or Near-Excess Currency
- p. Publications
- q. Patents
- r. Subordinate Agreements
- s. Voluntary Participation (Title X)
- t. Liability (Title X)
- u. Subgrantee Audit and Records
- v. GSA Procurement (Title X)
- w. Book Procurement
- x. Title To and Use of Property

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1. Procurement of Equipment, Vehicles, Supplies, Materials, and Services.

(1) Except as may be specifically approved or directed in advance by the Grant Officer, or as provided in paragraphs (2), or (3), or (4) below, all equipment, vehicles, materials, supplies, and services, the costs of which are to be attributed to this Grant and which will be financed with United States dollars, shall be purchased in and shipped from the United States. In addition, for any U.S. purchase transaction in excess of \$2,500, the Grantee shall notify the seller that the item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1; and that the supplier must execute and furnish Form AID 282 "Suppliers Certificate and Agreement with the Agency for International Development."

(2) Exceptions to the foregoing are as follows (for Title X Grants only):

Grantee may permit a subgrantee (or the Grantee on his behalf) to procure commodities already in its country (with the exception of contraceptives, drug products, pharmaceuticals, and motor vehicles) in an amount not exceeding a total cost of \$5,000 per subgrantee per annum without regard to limitations, rules, and procedures applicable to commodity transactions financed by AID. Such commodities shall not be considered "already in its country" within the meaning of the aforementioned exception if the commodities have been imported or otherwise brought into the country at the request of the Grantee or subgrantee. A subgrantee's own country for this purpose shall in no event include any of the following: Australia, Austria, Belgium, Canada, Denmark, France, Germany, Spain, Italy, Japan, Luxembourg, Monaco, Netherlands, New Zealand, Norway, South Africa, Sweden, Switzerland and United Kingdom. The Grantee shall take all reasonable precautions to ensure that the subgrantee does not procure any commodity which has as its country of origin any of the following countries: Albania, Bulgaria, China (Mainland and other Communist-controlled areas), including Manchuria, Inner Mongolia, the provinces of Tsinghai and Sikang, Sinkiang, Tibet, and former Kwantung Leased Territory, the present Port Arthur Naval Base Areas, and Liaoning Province, Cuba, the German Democratic Republic, Hungary, North Korea, North Vietnam, Outer Mongolia, Poland, Rumania, and the Union of Soviet Socialist Republics.

(3) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:

(a) Effective use of the materials depends on their being in the local language, and

(b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

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The order of preference as to countries in which such purchases outside the United States are made shall be:

- 1 The AID receiving country.
- 2 Countries falling within AID Geographic Code 901.
- 3 Other countries falling within AID Geographic Code 899.

AID Geographic Codes are defined in AIDPR 7-6.5201.1.

(4) Procurements in the country in which an activity is being undertaken, and which are less than \$2,500, and are for materials (regularly available and normally sold on the local market) which are to be consumed or expended during the period of this grant, are exempt from the conditions of Paragraph (1) above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.

(5) All international air shipments made by the Grantee, the costs of which are to be attributed to this Grant, shall be made on U.S. flag carriers unless shipment would, in the judgement of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or transshipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipment made by the Grantee, the costs of which are to be attributed to this Grant, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, as appropriate, giving the basis for the request.

(6) The Grantee shall obtain competition to the maximum extent possible for any procurement the cost of which is to be attributed to this Grant. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus-a-percentage-of-cost basis. The Grantee shall take all reasonable steps necessary to insure that subgrantees procuring in accordance with Paragraph (2) obtain competition to the extent possible. In addition to the foregoing, for purchases made in the United States, the cost of which is to be attributed to this Grant, the Grantee shall comply with the following requirements: To permit AID in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D.C. 20523 at least 45 days prior to placing any order or contract in excess of Five Thousand (\$5,000) Dollars, except where a shorter time is requested of, and granted by the Office of Small Business:

(a) Brief general description and quantity of commodities or services.

(b) Closing date for receiving quotations or bids.

(c) Address where invitations or specifications may be obtained.

(7) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing," published monthly by AID. Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

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m. Salaries (Oct. 1974)

All salaries, wages, fees, and stipends, the costs of which will be attributed to this Grant, shall be paid in accordance with the Grantee's usual policy and practice as reviewed and approved in writing by the Grant Officer. In the absence of an approved policy, costs of salaries, wages, fees, and stipends shall be attributed to this Grant in accordance with Subpart 7-15.205-6 of the Agency for International Development Procurement Regulations (AIDPR).

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n. International Travel and Allowances (Oct. 1974)

International travel and allowances, the costs of which are to be attributed to this Grant, shall be undertaken in accordance with the policy and practice of the Grantee, as reviewed and approved by the Grant Officer. In the absence of an approved policy, the Grantee shall follow the regulations contained in the Federal Travel Regulations (FTR).

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o. Excess or Near-Excess Foreign Currency (Oct. 1974)

All expenditures of the Grantee in excess or near excess currency countries, including per diem (subsistence) expenses, which will be attributed to this Grant, shall be funded from U.S.-owned foreign currency, unless otherwise authorized in writing by the Grant Officer. The conversion of U.S. dollars for foreign currencies shall be made by the Grantee either through the U.S. Treasury Department in Washington, D.C., the U.S. Disbursing Officer, U.S. Embassy, or the USAID Mission Controller, unless otherwise authorized in writing by the Grant Officer.

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p. Publications (Oct. 1974)

(1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(3) In the event Grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(4) The Grantee is permitted to secure copyright to any publication produced or composed under this Grant; provided, however, the Grantee agrees to and does hereby grant to the Government a royalty-free, nonexclusive, and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose, and to permit others to do so.

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q. Patents (Oct. 1974)

(1) Grantee agrees to notify the Grant Officer, in writing, of any invention or discovery conceived or first actually reduced to practice in the course of or under this Grant. The Grant Officer will determine the patent rights to be afforded to the Grantee in accordance with the Presidential Memorandum and Statement of Government Patent Policy 36 FR 16887.

(2) Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Government under any patent.

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r. Subordinate Agreements (Oct. 1974)

The placement of subordinate agreements, (e.g. leases, options, etc.) grants, or contracts with other organizations, firms, or institutions; and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will result in costs which will be attributed to this Grant. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

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s. Voluntary Participation (Title X) (Oct. 1974)

Grantee shall take steps satisfactory to the Grantor to ensure that funds will not be used to coerce any individual to practice methods of family planning inconsistent with such individuals' moral, philosophical, or religious beliefs. Further, Grantee acknowledges that it will conduct its activities in a manner which safeguards the rights, health, and welfare of all individuals who take part in the program.

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c. Liability (Title X) (Oct. 1974)

The parties hereto understand that the terms and conditions set forth herein are solely to enable Grantor to fulfill the responsibilities in making and funding this Grant required of it by law and its policy, and in no way imply that Grantor accepts responsibility for Grantee's use of commodities procured under this Grant in carrying out the program. The parties hereto further understand that the terms and conditions set forth herein in no way imply any responsibility or liability on the part of the Grantor with regard to any form of injury or death to third parties through use of commodities procured, held, or made available under this Grant by the Grantee. As part of the understanding recited in this section, Grantee agrees to hold Grantor, its officers, and employees harmless should any third party assert any claims for liability against the United States Government or any agency or department, officer, or employee thereof.

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u. Subgrantee Audit and Records (Oct. 1974)

(1) The Grantee shall require subgrantees to maintain books, records, documents and other evidence and accounting procedures and practices sufficient to reflect properly that any funds provided by the Grantee were expended exclusively for the purposes of the subgrant. Such records shall be maintained for three years following the expiration of the subgrant.

(2) The Grantee shall ensure that after each twelve months of a subgrant an audit is conducted on the subgrantee's records by an independent public accountant with a national certification similar or equivalent to a certified public accountant. If the Grantee determines that an audit is not possible or feasible he shall submit to the Grant Officer the reasons why such audit is not possible or feasible, and present for the approval of the Grant Officer alternatives which will achieve the objective set forth in this General Provision. The Grantee shall include in each subgrant hereunder a clause by which the Grantee asserts the right to audit if the independent audit does not take place or is unacceptable. The Grantee shall also require that the subgrantee shall make available any further information as requested by the Grantee with respect to questions concerning the audit. The report of independent audit shall be submitted to the Grantee and retained by him in accordance with the General Provision entitled "Accounting, Audit, and Records."

(3) The purpose of the audit shall be to determine the propriety and necessity of the subgrantee's expenditures in terms of the purposes for which the funds were made available, and to determine the adequacy of the subgrantee's financial management.

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v. GSA Procurement (Title X) (Oct. 1974)

Certain commodities are required to be purchased through the General Services Administration (GSA). The Grantee will be advised of these items at the time of execution of this Grant. The Grantee agrees to comply with purchasing procedures established by AID and GSA. The Grantee is authorized to use the GSA for procurement of other commodities, the costs of which are attributable to this Grant.

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w. Book Procurement (Oct. 1974)

For purchase of English language books, the costs of which are attributable to this Grant, the Grantee is authorized to use the current AID contractors providing purchasing services at discount prices. The procedures to be followed when using these services, and pertinent provisions of the contracts, will be provided the Grantee.

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x. Title To and Use of Property (Oct. 1974)

Title to all property purchased with funds to be attributed to this Grant shall vest in the Grantee, subject to the following conditions:

(1) The Grantee shall not, under any Government contract or subcontract thereunder, or any Government grant, charge for any commodities, depreciation, amortization, or use of any property title to which remains in the Grantee under the clause.

(2) The Grantee agrees to use and maintain the property for the purposes of the Grant.

(3) With respect to items having an acquisition cost of \$1,000 or more, title to which vests in the Grantee, the Grantee agrees:

(a) to report such items to the Grant Officer from time to time as they are acquired and to maintain a control system which will permit their ready identification and location.

(b) to transfer title to any such items to the Government in accordance with any written request therefor issued by the Grant Officer at any time prior to final payment under the Grant.

Exceptions to this clause will be as approved by the Grant Officer.

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RECEIVED MAR - 1 1976

M. Kohn
pha/PVC/OPNS
L. Tanner

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

17 MAR 1976

GENERAL SUPPORT GRANT

17 MAR 1976

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FEB 25 1976

Mr. Edward P. Bullard
President
Technoserve, Inc.
36 Old Kings Highway South
Darien, Connecticut 06820

Subject: Letter Amendment No. 1 to
Grant No. AID/pha-G-1098
PIO/T No. 932-13-950-029-73-3269230

Dear Mr. Bullard:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants Technoserve, Inc. (hereinafter referred to as "Technoserve" or "Grantee") an additional sum of \$97,000 in support of the program in self-help enterprise development as described in the attachment to this Grant entitled "Purpose and Implementation of Grant". The total amount obligated under the Grant is now \$187,000. Subject to their availability, additional funds may be granted to Technoserve at a later date.

This Grant of additional funds is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period 7/1/75 - 9/30/76.

The Grant is made to Technoserve, Inc., on condition that the funds will be administered in accordance with the terms and conditions as

encl page 2

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set forth in Attachment A entitled "Purpose and Implementation of Grant", Attachment B entitled "Standard Provisions", and Attachment C entitled "Payment Provisions".

The subject grant is hereby amended as follows:

1. Original grant Cover Letter - In the second paragraph, delete the phrase "specified in the Budget of Attachment A" and in lieu thereof insert "7/1/75 - 9/30/76".

2. Attachment A - Delete Section "E" in its entirety and in lieu thereof, insert the following:

"E. Estimated Budget

	FR: 07/01/75
	<u>TO: 09/31/76</u>
<u>Category</u>	
1. U.S. Operating Expenses	
a. Salaries & Benefits	\$ 90,000
b. Office Operations	74,000
c. Meetings & Dues	22,000
d. Evaluation	23,000
e. Training	10,000
	<u>\$ 219,000</u>

FUNDS OBLIGATED

Approp. No. 72-1111027
 Allot. No. 432-32-077-00-76-61
 Obl. No. 3269230 Obl. Cl. 2590
 Amount \$ 99,000.00
 Proj. No. 433-13-950-089-23

By WJA FM/CSD Date 3/12/76

2. Overseas Expenses

a. Preparations	\$ 18,000
b. Travel: In Country	72,000
c. Travel: U.S. & International	55,000
d. Lodging & Living Expenses Expenses	50,000
e. Overseas Office Expense	73,000
f. Home Office Support	168,000
g. Central American Office Support	36,000
h. Wages	425,000
i. Other	<u>27,000</u>
Subtotal	\$ 924,000
GRANT TOTAL	\$1,143,000

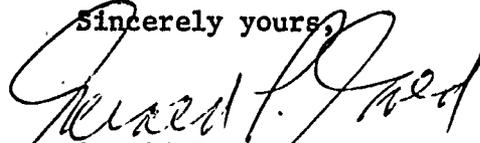
Adjustments between line items is unrestricted."

Except as expressly herein amended the subject grant is in all respects ratified, confirmed, and continued in accordance with its terms.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these additional funds have been granted. Please return the Statement of Assurance of

Compliance and the original and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACCEPTED:

TECHNOSERVE, INC.

BY: 

PRINTED NAME: Edward P. Bullard

TITLE: President

DATE: 3/5/16

ORIGINAL
DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D. C. 20523

24 JUN 1975
L. Kelly
M. Rokley PHA/PWC/OPNS

GENERAL SUPPORT GRANT

Mr. Edward P. Bullard
President
Technoserve, Inc.
36 Old Kings Highway South
Darien, Connecticut 06820

MAY 30 1975

Subject: Grant No. AID/pha-G-1098
PIO/T 932-13-950-029-73-3259034

Dear Mr. Bullard:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants Technoserve, Inc. (hereinafter referred to as "Technoserve" or "Grantee") the sum of \$90,000 in support of the program in self-help enterprise development as described in the attachment to this Grant entitled "Purpose and Implementation of Grant". Subject to their availability additional funds may be granted to Technoserve at a later date.

This Grant is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period specified in the Budget of Attachment A.

The Grant is made to Technoserve, Inc., on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Purpose and Implementation of Grant", Attachment B entitled "Standard Provisions", and Attachment C entitled

FUNDS AVAILABLE

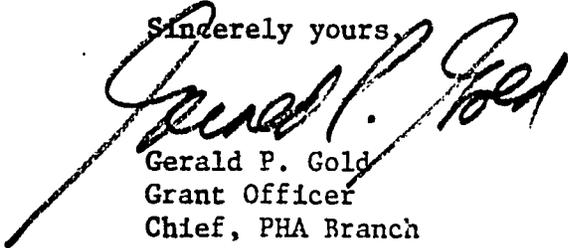
Date May 30, 1975
Project No. 932-13-950-029
Obligation No. 3259034
Object Class 2590
Allotment 427-32-092-00-7651
Amount \$90,000.00
By (initials) PH

ORIGINAL

"Payment Provisions".

Please sign the Statement of Assurance of Compliance enclosed herein and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

Attachments:

- A. Purpose and Implementation of Grant
- B. Standard Provisions
- C. Payment Provisions
- D. Statement of Assurance of Compliance

ACCEPTED:

TECHNOSERVE, INC.

BY: G. A. Berkman

TITLE: President

DATE: June 20, 1975

PURPOSE AND IMPLEMENTATION OF GRANT

A. Program Goal

To demonstrate that the development of appropriate indigenously owned self-help enterprises in low-income countries is one of the most effective means of assisting "the poorest 40%" to attain full human dignity and a just standard of living through their contributing to and sharing in the benefits of national economic growth; and to create a technical assistance program which lends itself to being transferred to local development entities or adopted by other international development agencies.

B. Purpose

To assist the low-income population in developing countries to start or expand locally owned, viable self-help enterprises and cooperatives which directly benefit the communities in which they are located, selecting projects on the basis of maximum social and economic impact with special attention given to those projects which increase the production/distribution of high protein food. To stimulate the development of enterprises which contribute to rural productivity, employment generation and income distribution. To promote institution building and related management training in support of local self-reliance and to share the results of Technoserve's program methodology and experience as widely as possible.

C. Outputs

The following directly verifiable output results are measured on a

quarterly basis as a means of determining the extent to which Technoserve is achieving its stated goals and purposes:

- Net jobs created
- Income accruing to nationals
- Local new investment
- Sales volume generated
- Total population affected
- Development entities established or reconstituted.

The comments and opinions of nations involved in the self-help enterprise development and institution building processes are sought as a means of inductively evaluating progress toward the goal of full human dignity and the related improvement in the quality of peoples lives. Rural and urban productivity increases will be measured by sales volume generated at current market prices.

Traditional indices such as the number of new projects investigated, projects assisted, projects becoming operational, self-sustaining, etc., are not included as outputs since the maturity and objectives of the program warrant treating such indices as "inputs" essential to achieving the above results. As inputs they do not in themselves constitute having made a positive social or economic impact.

C. Implementation (operational methods and objectives)

The implementation of Technoserve's program is guided by the following operational methods and objectives:

- Establishing major country/regional programs each serving approximately two million people with a projected staff of 15 and an annual budget of \$225,000, each program operating at the invitation of local governments and development institutions in response to expressed desires for self-help enterprise assistance from nationals.
- Implementing four to eight major high impact self-help enterprises annually within each country/regional program.
- Turning over responsibility for local programs to nationals and national development entities as soon as practical.
- Sequencing program development so that successful project implementation is demonstrated locally, concurrent with efforts to promote local institution building.
- Employing full time career oriented, professionally competent local personnel who will assume responsibility for local program operation as program resources, local policy, recruitment and training allow.
- Employing full time career oriented, professionally competent expatriate personnel who will work in low-income countries.

on a long term basis, a minimum of two such expatriates being required for each country/regional program in the first five years of operation.

-Stressing that the development of enterprise is viewed by Technoserve not so much as an end in itself, but as a most effective means of assisting low-income peoples to attain full human dignity and a just standard of living.

-Making available fully integrated, personalized services which can be divided into four functional categories:

- catalyst role (encouragement and analysis)
- management assistance and Training
- technology transfers
- capital funding assistance

-Continuing an approach to self-help enterprise development which is characterized by a sense of partnership and mutual trust between local project sponsors and Technoserve.

-Focusing program activities exclusively on self-help enterprise development and institution building attendant to that field.

-Continuing an effort to maintain a position of knowledge and leadership in the enterprise development field, drawing on Technoserve's own experience and that of others.

-Broadening the base of support for Technoserve's program to include more significant income from project reimbursements and host country sources, in addition to greater support from the United States and other international sources.

-Duplicating country/regional program models in other areas and countries as programs become self-sustaining and as increased funding permits such expansion.

-Establishing Technoserve as a financially sound organization whose program is directly rooted in low-income countries and controlled by the initiatives of low-income peoples.

D. Reports

Technoserve shall submit to the Grant Officer one copy of each fiscal report required by Attachment C.

E. Estimated Budget

BUDGET

7/1/75 - 6/30/76

Operating Expenses:

Salaries & Benefits	\$ 65,000
Office Operations	45,000
Meetings and Dues	9,000
Promotion	10,000
Depreciation Expenses	<u>1,000</u>
TOTAL Operating Expenses	\$130,000

Project Expenses:

Preparations	\$ 10,000
Travel-In Country	60,000
Travel- US & Int'l	65,000
Lodging & Living Expenses	60,000
Overseas Office Expense	50,000
Home Office Support	75,000
Wages	240,000
Other	<u>40,000</u>
TOTAL Proj Expenses	<u>\$600,000</u>
TOTAL EXPENSES	<u>\$730,000</u>

F. No costs incurred under any other Grant made by AID to Grantee may be attributed to this Grant.

STANDARD PROVISIONS .

a. Allowable Costs (Oct. 1974)

Only those costs shall be attributed to this Grant which are reasonable, allocable, and allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commerical Organizations) in effect on the date of this Grant.*

*If this Grant is made to a university, the applicable cost principles are contained in Federal Management Circular 73-8 (Cost Principles for Educational Institutions).

b. Accounting, Audit, and Records (Oct. 1974)

The Grantee shall submit to the Grant Officer an annual financial statement certified by an independent public accountant. Financial records covering all phases of the Grantee's program, including documentation to support entries on the account records and to substantiate expenditures, shall be maintained in accordance with accounting principles generally accepted in the U.S. and consistently applied, and shall be available for examination by personnel authorized by AID. All such financial records shall be maintained for at least three years after final disbursement of funds under this Grant. The AID Auditor General and the Comptroller General or their duly authorized representatives reserve the right to conduct an audit of the Grantee's books and records to determine whether the Grantee organization has expended its funds in accordance with the terms and conditions of this Grant. The Grantee agrees to make available any further information requested by AID with respect to any questions arising as a result of the audit.

c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder, but not disbursed to the Grantee at the time the Grant expires or is terminated, shall revert to AID except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee and in the custody of the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

(3) If, at the end of the period supported by this Grant, and the end of each subsequent period if the Grant is extended, AID determines that the Grantee has not expended an amount equal to the amount provided and received under this Grant during such period for costs properly attributable to this Grant in accordance with its terms and conditions, then the Grantee will refund to AID the difference between such amount of funds provided and received and such amount expended for costs properly attributable to this Grant.

d. Ineligible Countries (Oct. 1974)

Unless otherwise approved by the Grant Officer, no Grant funds will be attributed to costs incurred in countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

e. Equal Opportunity (Oct. 1974)

With regard to the employment of persons in the U.S. under this Grant, Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, religion, sex, color, or national origin of such persons; and that, in accordance with Title VI of the Civil Rights Act of 1964, when work is performed in the U.S. no person shall, on the grounds of race, religion, sex, color, or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply, in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

f. Termination (Oct. 1974)

This grant may be terminated in whole or from time to time in part, by the Grant Officer upon written notice to the Grantee whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take appropriate action to minimize all expenditures and obligations financed by this Grant and shall cancel such obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall, within thirty (30) calendar days after the effective date of such termination, repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of the termination of this Grant be insufficient to cover

the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within ninety (90) calendar days after the effective date of such termination a written claim covering such obligations and, subject to the limitations contained in this Grant, the Grantor shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.

g. Officials Not To Benefit (Oct. 1974)

No member of or delegate to Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

h. Covenant Against Contingent Fees (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or in its discretion to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Purpose and Implementation of Grant, the Standard Provisions, and the Payment Provisions, all of which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

TO THE: Cognizant AID Grant Officer

TO GRANTEE: At Grantee's address shown in this Grant, or to such other address as either of such parties shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

1. Travel and Transportation (Oct. 1974)

(1) The Grant Officer hereby approves international travel hereunder provided that the Grantee shall obtain written concurrence from the cognizant technical office in AID prior to sending any individual outside the United States to perform work under the Grant. For this purpose the Grantee shall advise the Project Manager at least thirty (30) days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant USAID Mission or U.S. Embassy advance notification with a copy to the project officer of the arrival date and flight identification of Grant financed travellers.

(2) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(3) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.

(a) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;

2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;

3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical, or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

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4. Where the appropriate class of accommodations is available on both United States and non-United States carriers, but the use of the United States carrier will result in higher total United States dollar cost to the grant due to additional per diem or other expenses; and

5. Where the appropriate class of accommodations is available only on a non-United States carrier and the cost of transportation and related per diem on the non-U.S. carrier is less than the cost of available accommodations of another class on a United States carrier and related per diem.

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

(4) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

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m. Regulations Governing Employees Outside the United States (Oct. 1974)

(1) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government Offices or facilities for support while so engaged.

(2) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the mission, except as this may conflict with host government regulations.

(3) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage, directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession or occupation in the foreign countries to which he is assigned.

(4) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(5) On the written request of the Grant Officer or of a cognizant Mission Director, the Grantee will terminate the assignment of any individual to any work under the Grant and, as requested, will use its best efforts to cause the return to the United States of the individual from overseas or his departure from a foreign country or a particular foreign locale.

(6) Allowances for employees assigned overseas shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

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n. Conversion of United States Dollars to Local Currency (Oct. 1974)

In countries designated "excess" or "near-excess" currency countries, Grantee agrees to convert all United States dollars to local currency through the United States Disbursing Officer, (USDO), American Embassy, unless the Disbursing Officer directs the Grantee otherwise or certifies that no local currency is available.

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o. Ineligible Countries (Oct. 1974)

Unless otherwise approved by the Grant Officer, no grant funds will be expended in countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

p. Subordinate Agreements (Oct. 1974)

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

Procurement of Equipment, Vehicles, Supplies, Materials, and Services (Oct. 1974)

(1) Except as may be specifically approved or directed in advance by the Grant Officer, or as provided in paragraphs (2), (3), and (4) below, all equipment, vehicles, materials, supplies and services the costs of which are to be attributed to this Grant and which will be financed with United States dollars shall be purchased in and shipped from the United States. In addition, for any U.S. purchase transaction in excess of \$2,500 the Grantee shall notify the seller that the item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1, and that the supplier must execute and furnish Form AID-282 "Suppliers Certificate and Agreement with the Agency for International Development".

(2) Exceptions to the foregoing are as follows (for Title X Grants only):

Grantee may permit a subgrantee (or the Grantee on his behalf) to procure commodities already in its country (with the exception of contraceptives, drug products, pharmaceuticals, and motor vehicles) in an amount not exceeding a total cost of \$5,000 per subgrantee per annum without regard to limitations, rules and procedures applicable to commodity transactions financed by AID. Such commodities shall not be considered "Already in its country" within the meaning of the aforementioned exception if the commodities have been imported or otherwise brought into the country at the request of the Grantee or subgrantee. A subgrantee's own country for this purpose shall in no event include any of the following: Australia, Austria, Belgium, Canada, Denmark, France, Germany, Spain, Italy, Japan, Luxembourg, Monaco, Netherlands, New Zealand, Norway, South Africa, Sweden, Switzerland, and United Kingdom. The Grantee shall take all reasonable precautions to ensure that the subgrantee does not procure any commodity which has as its country of origin any of the following countries: Albania, Bulgaria, China (Mainland and other Communist-controlled areas), including Manchuria, Inner Mongolia, the provinces of Tsinghai and Sikkang, Sinkiang, Tibet, and former Kwantung Leased Territory, the present Port Arthur Naval Base Areas, and Liaoning Province, Cuba, German Democratic Republic, Hungary, North Korea, North Vietnam, Outer Mongolia, Poland, Rumania, and the Union of Soviet Socialist Republics.

(3) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:

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(a) Effective use of the materials depend on their being in the local language, and

(b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

The order of preference as to countries in which such purchases outside the United States are made shall be:

1. The AID receiving country
2. Countries falling within AID Geographic Code 901
3. Other Countries falling within AID Geographic Code 899

AID Geographic Codes are defined in AIDPR 7-6.5201.1.

(4) Procurements in the country in which an activity is being undertaken and which are less than \$2,500 and are for materials (regularly available and normally sold on the local market) which are to be consumed or expended during the period of this Grant, are exempt from the conditions of Paragraph 1 above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.

(5) All international air shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or transshipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipment made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, as appropriate, giving the basis for the request.

(6) The Grantee shall obtain competition to the maximum extent possible for any procurement to be financed hereunder. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus-a-percentage of cost basis. The Grantee shall take all reasonable steps necessary to insure that subgrantees procuring in accordance with Paragraph (2) obtain competition to the maximum extent possible. In addition to the foregoing, for purchases made in the United States the cost of which are to be attributed to this Grant, the Grantee shall comply with the following requirements:

To permit AID, in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D.C. 20523 at least 45 days prior to placing any order or contract in excess of Five Thousand (\$5,000) Dollars, except where a shorter time is requested of, and granted by the Office of Small Business:

(a) Brief general description and quantity of commodities or services;

(b) Closing date for receiving quotations or bids;

(c) Address where invitations or specifications may be obtained.

(7) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing", Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

r. Salaries (Oct. 1974)

All salaries, wages, fees, and stipends reimbursed under this Grant shall be in accordance with the Grantee's policy and practice as reviewed and approved by the Grant Officer. In the absence of an approved policy the Grantee shall follow the regulations contained in Section 7-15.205-6 of the Agency for International Development Procurement Regulations.

PAYMENT PROVISIONPeriodic Grant Disbursement

Each month, or at less frequent intervals as agreed upon in advance, Grantee will submit to the Office of Financial Management, FM/CSD, AID, Washington, D.C. 20523 Voucher Form SF 1034 (original) and SF 1034-A, three copies, each voucher identified by the appropriate grant number, in the amount of estimated cash needs for the following month. The voucher shall be supported by an original and two copies of a report rendered as follows:

Amount of Grant	\$xxx
Expended to date	\$xxx
Expended this period (by line item as set forth in budget)	
1.	\$xxx
2.	\$xxx
3.	\$xxx
etc.	
TOTAL THIS PERIOD	\$xxx
Advances outstanding to subgrantees (if any)	\$xxx
Anticipated expenditures next month (dates)	\$xxx
Cash received to date	\$xxx
Cash required next month	\$xxx

The report shall include a certification as follows:

"The undersigned hereby certifies: (1) that the above represents the best estimates of funds needed for expenditures to be incurred over the period described, (2) that appropriate refund or credit to the grant will be made in the event funds are not expended, (3) that appropriate refund will be made in the event of disallowance in accordance with the terms of this grant and (4) that any interest accrued on the funds made available herein will be refunded to AID.

BY _____

TITLE _____ DATE _____"