

PJ-FRA-957

M. Michan, CAA/OVE  
T. Anderson

08/02/74

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DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D.C. 20523

Dr. John M. King, Sr.  
Medical Director  
International Eye Foundation (IEF)  
Sibley Memorial Hospital  
Washington, D.C. 20036

JUN 28 1974

Subject: Grant No. AID/CM/pha-G-73-20  
Amendment No. 2  
PIO/T 932-13-540-035-73-3245019

Dear Dr. King:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development hereby grants to IEF the sum of Forty-Eight Thousand, Seven Hundred and Sixty-Three Dollars (\$48,763) to further support the IEF program to improve eye care in selected countries during the period 7/1/73 through 3/31/74; and One Thousand, Two Hundred and Thirty Seven Dollars (\$1,237) to further support the IEF program during the period 4/1/74 through 3/31/75.

This raises the total amount obligated under the subject grant to \$450,000. This grant is made on condition that the funds will be administered in accordance with the Program Description and the Terms and Conditions, as amended. The grant is amended as follows:

A. Program Description

1. Paragraph D, Budget - Delete the budget shown therein and substitute in lieu thereof the following:

FUNDS OBLIGATED	
Approp. No.	72-11X1024
Allot. No.	474-32-099-0076-11
Obl. No.	3245019 2510
Amount	\$50,000
Proj. No.	932-13-540-035
By	M.H. [Signature]
Date	6/30/74

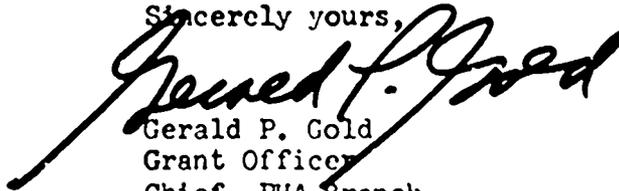
	"FR: 4/1/73 TO: 3/31/74	4/1/74 3/31/75	Total
1. Surveys	\$ 1,194.	\$ 4,000	\$ 5,194
2. Program Support	25,461.	25,000	50,461.
3. Paramedical Program	27,021.	16,937	43,958.
4. Fellowship Program	120,320.	30,900	151,220.
5. Junior Specialists	-	64,100	64,100.
6. Visiting Professors	11,697.	9,300	20,997.
7. Eye Bank Operation	13,453.	11,000	24,453.
8. Administration	<u>49,617.</u>	<u>40,000</u>	<u>89,617.</u>
	\$248,763.	\$201,237	\$450,000

Within the budget the Grantee may increase or decrease any of the above individual line items by up to 15% without the prior written approval of the Grant Officer, except the Administration item, which shall not be increased".

Except as expressly herein amended, all terms and conditions of the grant remain in full force and effect.

Please acknowledge receipt of this amendment by signing the space provided below and returning the original plus four (4) copies to my office. Please return all copies stamped "Funds Available".

Sincerely yours,



Gerald P. Gold  
Grant Officer  
Chief, PHA Branch  
Central Operations Division  
Office of Contract Management

ACKNOWLEDGED:

BY: J. H. King, Jr., M.D.

TYPED NAME: J. H. King, Jr., M.D.

TITLE: Medical Director

DATE: July 12, 1974

W. No/Com 5 - PHA/VER  
R. Anderson

14 MAY 1974

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D.C. 20523

Mr. John H. King, Sr.  
Medical Director  
International Eye Foundation  
Sibley Memorial Hospital  
Washington, D. C. 20016

MAY 7 1974

Subject: Grant No. AID/CM/pha-G-73-20  
Amendment No. 1

Dear Mr. King:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development hereby grants to the International Eye Foundation the sum of Two-Hundred Thousand Dollars (\$200,000) to provide support to permit the International Eye Foundation (IEF) to continue and expand its efforts in improving eye health care in selected developing countries. The funds provided herein are obligated as of the date of this letter and apply to costs incurred during the period April 1, 1974, through March 31, 1975.

This raises the total amount obligated under the subject grant to Four-Hundred Thousand Dollars (\$400,000).

This grant is made on condition that the funds will be administered in accordance with the Program Description and Terms and Conditions, as amended.

The subject grant is hereby amended as follows:

A. Program Description

1. Paragraph D, Budget - Delete the Budget shown therein and substitute in lieu thereof the following:

FUNDS CERTIFIED	
Proj. No. 72-11X1074	
Agency No. 424-32-091-00-76-41	
Activity No. 3245014	2510
Amount \$200,000	
Proj. No. 732-13-540-035	
By: M. H.	FM/CSO
	Date: 5/7/74

	<u>"FR: 4/1/73</u> <u>TO: 3/31/74</u>	<u>4/1/74</u> <u>3/31/75</u>	<u>Total</u>
1. Surveys	\$ 4,000	\$ 4,000	\$ 8,000
2. Program Support	25,000	25,000	50,000
3. Paramedical Program	15,700	15,700	31,400
4. Fellowship Program	95,000	30,900	125,900
5. Junior Specialists	-	64,100	64,100
6. Visiting Professors	9,300	9,300	18,600
7. Eye Bank Operation	11,000	11,000	22,000
8. Administration	40,000	40,000	80,000
	<hr/>	<hr/>	<hr/>
	\$200,000	\$200,000	\$400,000

Within the budget the Grantee may increase or decrease any of the above individual line items by up to 15% without the prior written approval of the Grant Officer, except the Administration item, which shall not be increased."

B. ATTACHMENT B - TERMS AND CONDITIONS

1. ARTICLE I - GRANT TERM

Delete the date "March 31, 1974" and substitute in lieu thereof "March 31, 1975."

2. Add the following Articles:

"ARTICLE XIV - INELIGIBLE COUNTRIES

In no event may costs be attributed to this grant for the support of any project in a country to which assistance under the Foreign Assistance Act is prohibited.

ARTICLE XV - UTILIZATION OF EXCESS OR NEAR EXCESS FOREIGN CURRENCIES

Full utilization of excess and near excess foreign currencies is an objective of the U.S. Government. The U.S. Treasury Department maintains a list of those countries for which it holds currencies excess to its needs.

All expenses of the Grantee in excess or near excess currency countries, including per diem (subsistence) expenses, to be reimbursed under this grant shall be funded from U.S.-owned foreign currency, unless otherwise authorized in writing by the Grant Officer. The conversion of U.S. dollars for foreign currencies shall be made by the Grantee either through the U.S. Treasury Department in Washington, D. C., the U.S. Disbursing Officer, U.S. Embassy, or the USAID Mission Controller.

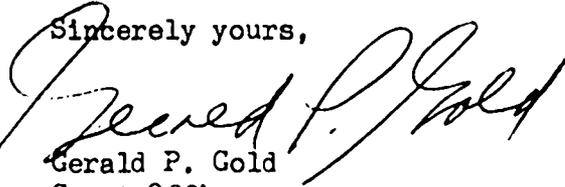
Travel to, through, or from excess or near excess currency countries shall be funded from U.S.-owned foreign currency if such travel is to be reimbursed under this grant, unless otherwise authorized in writing by the Grant Officer. The Grantee shall coordinate all plans for travel to, through, or from excess currency countries with the A.I.D. Travel and Transportation Division which will issue the necessary airline tickets when appropriate.

The use of said U.S.-owned foreign currencies will constitute a dollar charge to this grant."

Except as expressly herein amended, all terms and conditions of the grant remain in full force and effect. Please acknowledge receipt of this amendment by signing in the space provided below and returning the original and

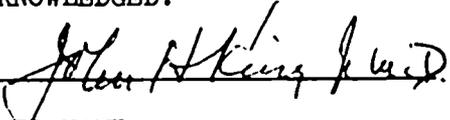
seven (7) copies to my office. Please return all copies stamped "Funds Available."

Sincerely yours,



Gerald P. Gold  
Grant Officer  
Chief, PHA Branch  
Central Operations Division  
Office of Contract Management

ACKNOWLEDGED:

BY 

TYPED NAME John H. King, Jr., M.D.

TITLE Medical Director

DATE May 8, 1974

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D. C. 20523

JUN 18 1973

ORIGINAL

Dr. John H. King, Jr.  
Medical Director  
International Eye Foundation  
Sibley Memorial Hospital  
Washington, D. C. 20016

Subject: Grant No. AID/CM/pha-G-73-20

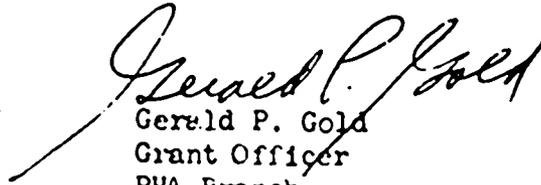
Dear Dr. King:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (A.I.D.) hereby grants to the International Eye Foundation, hereafter referred to as "IEF" or "Grantee", the sum of Two-hundred Thousand Dollars (\$200,000) to provide support to permit IEF to continue and expand its efforts in improving eye health care in selected developing countries.

This Grant is made on condition that the funds will be administered in accordance with the Program Description (Attachment A) and the Terms and Conditions (Attachment B).

Please acknowledge acceptance of this Grant and its terms and conditions by signing in the space provided below and returning the original and five (5) copies to my office.

Sincerely yours,



Gerald P. Gold  
Grant Officer  
PHA Branch  
Office of Contract Management

Attachments:

- Attachment A: (Program Description)
- Attachment B: (Terms and Conditions)

ACKNOWLEDGED:  
INTERNATIONAL EYE FOUNDATION

BY John H. King, M.D.

TITLE Medical Director

DATE June 18, 1973

FUNDS OBLIGATED	
Approp. No.	72-1131004
Allot. No.	354-37-49-01-76-31
Obl. No.	3235014
Obj. Cl.	2590
Amount \$	200,000.00
Proj. No.	132-13-040-035-73
By:	FM/CSD
Date:	6/18/73

PROGRAM DESCRIPTION

A. General Objective

The objective of this Grant is to provide financial assistance to the International Eye Foundation (IEF) so that IEF can continue to respond to eye care needs in selected developing countries by facilitating the transfer of American ophthalmological technology and surgical expertise to those developing countries that have a high incident of eye disease and an acute shortage of trained medical personnel necessary to provide treatment.

B. Program

To achieve the above objective IEF shall undertake the following program:

1. Short-term exchange fellowships for American and foreign ophthalmologists. American ophthalmologists will be sent to areas where they will help to train ophthalmologists, nurses and technicians, and help with the patient load. The foreign ophthalmologists will receive training in advanced surgical techniques and eye bank methods used in the United States.

2. Visiting professors and surgeons from the United States will be sent to program areas to give intensive courses. In addition, surgical teaching teams, including paramedical personnel, from the United States will be sent to program areas to lecture, perform surgical operations and provide advanced training in eye patient care for local physicians.

3. A paramedical training course will be operated in the Philippines for aides who test and treat simple eye conditions, diagnose diseases, assist in operating rooms, and in eye bank procedures, thereby, relieving ophthalmologists for the more technical aspects of eye care.

4. Operation of eye banks and tissue preservation units by personnel sent overseas, including visiting professors, surgeons, fellows and Grantee personnel who will assist in the operations of these eye banks and tissue preservation units.

C. Reports

Program reports shall be submitted on a quarterly basis, commencing 90 days after signature of the grant agreement. Each report will be due within 30 days of the end of the quarter being reported. Each report will contain information on any surveys undertaken and the results and recommendation therefrom, status of training programs, eye bank activities, and amounts of equipment and supplies donated. Reports shall be submitted to the A.I.D. Project Manager, PHA/PVC/OPNS. The Grantee will also send a copy of each voucher form to the A.I.D. Project Manager, PHA/PVC/OPNS.

D. Budget

The budget for the activities under this Grant will be as follows:

1. Surveys	\$ 4,000
2. Program Support	25,000
3. Paramedical Program	15,700
4. Fellowship Program	95,000
5. Visiting Professors	9,300
6. Eye Bank Operations	11,000
7. Administration	40,000
	<u>\$200,000</u>

Within the total grant budget of \$200,000, the Grantee may increase or decrease any of the above individual line items by upto 15% without the prior written approval by the Grant Officer, except the Administration item, which shall not be subject to increase.

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### TERMS AND CONDITIONS

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## TERMS AND CONDITIONS

### I. GRANT TERM

This grant is to cover allowable costs incurred by the Grantee during the period April 1, 1973 through March 31, 1974.

### II. ALLOWABLE COSTS AND PAYMENT

A. Payment shall be made in accordance with Attachment 1 of these Terms and Conditions.

B. The Grantee shall be reimbursed for costs incurred in the implementation of the Grant Program that are determined by the Grant Officer to be allowable in accordance with:

1. The terms of this grant.
2. Subpart 15-2 of the Federal Procurement Regulations (Principals and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations) in effect on the date of the signature by the Grant Officer.

### III. REFUNDS

A. All funds provided by this grant which, upon expiration of the grant period or termination of the grant, have not been expended; except for funds obligated by a legally binding transaction for the purposes of Program Description, shall be refunded to A.I.D. by the Grantee.

B. To the extent that the Grantee expends funds provided by this Grant for purposes determined by A.I.D. not to be in accordance with the terms of this Grant, Grantee will refund to A.I.D. an amount equivalent to such unallowable expenditures.

IV. SALARIES AND WAGES

All salaries, wages, fees and stipends paid from funds provided by this Grant shall be in accordance with the Grantee's usual policy and practice. Any deviation from Grantee's policy shall be documented and such documentation shall be retained as part of the Grant records.

V. TRAVEL AND TRANSPORTATION

A. The Grantee shall be reimbursed for actual transportation costs and travel allowance of travelers under this grant in accordance with the established practices of the Grantee for travel within the United States and international travel provided that:

1. such transportation costs will not be reimbursed in an amount greater than the cost of less-than-first-class travel, unless the use of such accommodations will result in unreasonable delay or increased costs;

2. such travel allowances will not exceed the rate and basis for computation of such rates as provided in the Government Travel Regulations, as from time to time amended;

3. all travel will be accomplished by the most direct and expeditious route;

4. all international air travel, the cost of which is attributable to this grant, shall be made on U.S. flag carriers to the extent available. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the documents retained as part of his records:

a. where a flight by a U.S. flag carrier is not scheduled to arrive in time for the conduct of official business;

b. where a flight by a U.S. flag carrier is scheduled but does not have accommodations available when reservations are sought;

c. where the departure time, routing, or other features of a U.S. flag carrier would interfere with or prevent the satisfactory performance of official business;

d. where a scheduled flight by a U.S. flag carrier is delayed because of weather, mechanical or other conditions to such an extent that use of a non-U.S. flag carrier is in the U.S. Government's interest;

e. where the appropriate class of accommodations is available on both U.S. flag carrier and non-U.S. flag carrier, but the use of U.S. flag carriers will result in higher total U.S. dollar costs to the Grant due to additional subsistence or other expenses; and

f. where the appropriate class of accommodations is available only on a non-U.S. flag carrier and the cost of transportation and related subsistence is less than the cost of available accommodations of another class on a U.S. flag carrier and related subsistence expense.

5. all international air shipments made hereunder shall be made on U.S. flag carriers unless shipment would, in the Grantee's judgment, be delayed an unreasonable time awaiting a

U.S. flag carrier either at point of origin or transshipment; provided, however, that Grantee certifies to the facts and such certification is retained as part of the Grant records for audit by A.I.D. and/or the Comptroller General of the United States.

6. all international ocean transportation of persons and goods which is to be reimbursed in U.S. dollars under this Grant shall be by U.S. flag vessels to the extent that they are available. With respect to the transportation of goods, where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release, in advance, from this requirement from the Resources Transportation Division, Agency for International Development, Washington, D. C. 20523, giving the basis for the request.

#### VI. PROCUREMENT

A. No motor vehicle shall be procured with A.I.D. funds. Except as may be specifically approved or directed in advance by the Grant Officer, the source of any procurement financed hereunder shall be the United States and any equipment, materials or supplies attributable to this Grant shall have been mined, grown, or, through manufacturing, processing, or assembly, produced in the United States. In addition, a produced commodity procured in any transaction will not be eligible for financing if (i) it contains components from countries other than Free World Countries and (ii) the percentage of permissible componentry composition exceeds 50% of the commodity price.

B. Procurements outside of the United States which are less than \$2500, and are for materials, regularly available and normally sold on the local market, which are to be consumed or expended in the performance of this grant, are exempt from A. above. (except the vehicle provision).

ARTICLE VII - SUBORDINATE AGREEMENTS

Subordinate agreements, grants, or contracts must be placed with U.S. organizations, firms or institutions unless otherwise approved in writing by the Grant Officer and such placement is subject to the prior written consent of the Grant Officer. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant. The term "Subordinate Agreement" excludes purchase orders not exceeding \$2500 with regard to "prior written consent of the Grant Officer."

ARTICLE VIII - EXAMINATION OF RECORDS

A. The Grantee shall maintain books, records, documents, and other evidence and accounting procedures and practices, sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred and anticipated to be incurred for the performance of this grant. The foregoing constitute "records" for the purpose of this clause.

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B. The Grantee agrees that the Auditor General, A.I.D., and the Comptroller General of the United States or any of their duly authorized representatives shall, until expiration of 3 years after final payment under this grant, or of the time periods for the particular records specified in Part 1-20 (41 CFR Part 1-20), whichever expires earlier, have access to and the right to examine any directly pertinent books, documents, papers, and records involving transactions related to this grant.

C. The Grantee further agrees to include in all its subordinate agreements hereunder a provision to the effect that the subordinate contractor agrees that the Auditor General, A.I.D., and the Comptroller General of the United States or any of their duly authorized representatives shall, until expiration of 3 years after final payment under the subordinate agreement, or of the time specified in Part 1-20 (41 CFR Part 1-20), whichever expires earlier, have access to and the right to examine any directly pertinent books, documents, papers, and records of such subordinate contractor, involving transactions related to the subordinate agreement. The term "subordinate agreement" as used in this clause excludes (1) purchase orders not exceeding \$2,500 and (2) subcontracts or purchase orders for public utility services at rates established for uniform applicability to the general public.

#### ARTICLE IX - TERMINATION

This grant may be terminated at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and

in accordance with such notice, the Grantee shall forthwith take appropriate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such obligations whenever possible. Except as provided below, no reimbursement shall be made to the Grantee for costs incurred after receipt of such notice.

Within ninety (90) days after the effective date of such notice of termination, the Grantee shall submit his final claim for reimbursement of costs incurred for obligations which were in effect but could not be cancelled as of the effective date of such termination. Subject to the limitations contained in the grant, the A.I.D. Grant Officer shall determine the amount or amounts to be paid by A.I.D. to the Grantee for such claim.

#### ARTICLE X - OFFICIALS NOT TO BENEFIT

No member or delegate to the Congress or resident Commissioner shall be admitted to any share or part of the grant or to any benefit that may arise therefrom.

#### ARTICLE XI - COVENANT AGAINST CONTINGENT FEES

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, A.I.D. shall have the right to cancel this Grant without liability or, in its discretion, to deduct from the grant amount, or otherwise recover the full

amount, of each commission, percentage, brokerage, or contingent fee.

XII. EQUAL OPPORTUNITY EMPLOYMENT

With regard to the employment of persons in the U.S. under this grant, Grantee agrees to take all reasonable steps to insure equality of opportunity in its employment practices without regard to race, religion, sex, color or national origin of such persons and that, in accordance with Title VI of the Civil Rights Act of 1964 when work funded by this grant is performed in the U.S., no person shall, on the grounds of race, religion, sex, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964." The Grantee shall include the first sentence of this clause, in any subordinate agreement, contract, or grant placed hereunder.

ARTICLE XIII - NOTICES

Any notice given by any of the parties hereunder shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, or mail as follows:

TO A.I.D.:

Grant Officer (Naming the Grant Officer who  
executes this grant)

PHA Branch  
Central Operations Division  
Office of Contract Management  
Agency for International Development  
Washington, D. C. 20523

TO GRANTEE:

The address as shown on the Cover Letter of this grant.

APPENDIX I

ADVANCE PAYMENT

1. Subject to the provisions hereinafter set forth, A.I.D. shall make an initial advance payment to the Grantee in an amount not to exceed \$ 20,000.
2. Request for Advance Payment. To request the initial advance payment, the Grantee will submit Voucher Form SF-1034 and 1034(a) to the Office of Financial Management, FM/CSD, A.I.D., Washington, D. C. 20523.
3. Special Bank Account. Until all advance payments made hereunder are liquidated, the initial advance and all other payments under the Grant shall be deposited by the Grantee in a Special Bank Account. An agreement shall be executed to effect this Special Bank Account in conformance with Section 1-30.414-1 of the Federal Procurement Regulations entitled "Form of Agreement for Special Bank Account". A separate account number shall be assigned by the Grantee for operations of this Grant, and all receipts and disbursements shall be recorded thereunder by the Grantee's Fiscal Department in such manner that it will be possible to ascertain the balance in the advance payment account at any time. The records thereof shall be preserved and be subject to inspection and audit in accordance with Article VIII of the Terms and Conditions. None of the funds in the Special Bank Account shall be mingled with other funds of the Grantee prior to their withdrawal from the Special Bank Account as hereinafter provided.

4. Use of the Funds. The funds in the Special Bank Account or Accounts may be withdrawn by the Grantee solely for the purpose of making payments for items of allowable cost as provided in this Grant, or to reimburse the Grantee for such items of allowable cost or for such other purposes as the Grant Officer may approve in writing.

5. Replenishment and Liquidation. Following the initial advance payment, A.I.D. will reimburse the Grantee not more often than monthly in amounts equal to reported expenditures in order to replenish the advance funds on an imprest basis. Such replenishment shall be made upon submission, to the Office of Financial Management, A.I.D., of a Voucher Form SF-1034 and 1034(a), each voucher identified by the appropriate A.I.D. Grant Number, properly executed, in the amount of dollar expenditures made during the period covered, which voucher form shall include a fiscal report in a form substantially as set forth below:

	Grant No. <u>Budget Category</u>	Expenditures	
		<u>To Date</u>	<u>This Period</u>
1.		\$	\$
2.		\$	\$

The fiscal report shall include a certification signed by an authorized representative of the Grantee as follows:

"The undersigned hereby certifies: (1) that payment of the sum claimed under the voucher is proper and due under the terms of the Grant; (2) that the information is correct and such detailed supporting information as A.I.D. may require will be furnished by the Grantee promptly upon request.

BY \_\_\_\_\_  
 TITLE \_\_\_\_\_ DATE \_\_\_\_\_

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Replenishment will be made until such time as total reimbursements plus the initial advance payment equal the total amount of this Grant. Thereafter, vouchers for expenditures, which shall be marked "NO PAY" by the Grantee before submission, will not be reimbursed, but will be applied to liquidate the remaining outstanding advance. In the event that the total amount of such subsequent vouchers is insufficient to completely liquidate the outstanding advance, the Grantee shall, pursuant to Article III, entitled "Refunds" of the Terms and Conditions, make repayment to A.I.D. of all unexpended portions of the advance dollar funds which are not obligated under this contract at the time of submission of the Final Voucher.

6. Interest. Any interest earned on this advance account shall accrue to and be paid to the Agency for International Development