

PDCAW 356



UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT
AMERICAN EMBASSY
JAKARTA, INDONESIA

Mr. Aswab Mahasin
Executive Director
Lembaga Penelitian, Pendidikan dan
Penerangan Ekonomi Sosial (LP3ES)
Jalan S. Parman No. 81
Slipi
Jakarta-Barat

Subject: Grant No. 497-0336-G-SS-7151-00

Dear Mr. Mahasin:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development, Jakarta (hereinafter referred to as "USAID" or "Grantor") hereby grants to Lembaga Penelitian, Pendidikan dan Penerangan Ekonomi Sosial hereinafter referred to as "LP3ES" or "Grantee") the sum of \$12,500 to provide partial support for a program entitled, "Seminars on Village Community Development Programs Implemented Thru Pondok Pesantren", as more fully described in the schedule of this Grant and the Attachment 2, entitled "Program Description".

This Grant is effective and the obligation shall apply to commitments made by the Grantee in furtherance of program objectives for a period of one year beginning from the date the Grant Agreement is signed.

This grant is made to LP3ES on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled, "Schedule"; Attachment 2 entitled "Program Description", and Attachment 3 entitled "Mandatory Standard Provisions", which have been agreed to by your organization.

Please sign the original and three (3) copies of this letter to acknowledge your receipt of the grant, and return the original and two (2) copies to the Office of Contract Management.

Sincerely yours,



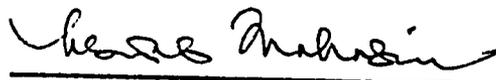
Marcus L. Stevenson
Grant Officer
USAID/Jakarta

Attachments:

1. Schedule
2. Program Description
3. Special Provisions

ACKNOWLEDGED:

LEMBAGA PENELITIAN, PENDIDIKAN DAN PENERANGAN EKONOMI SOSIAL

By : 

Title: Director

Date : September 1, 1987

FISCAL DATA

Appropriation : 72-1171021
Budget Plan Code : (1) QDEA-87-27497-KG13 (2) QDHA-87-27497-KG13
Project No. : 497-0336
Total Estimated Amount: \$12,500
Total Obligated Amount: \$12,500
RESCTLNO : (1) P700731 (\$10,000.00)
Grant No. : (2) P700732 (\$2,500.00)
: AID 497-0336-G-SS-7151-00

FUNDS AVAILABLE
PER <u>APR</u>
DATE <u>8/31/87</u>

SCHEDULE

A. PURPOSE OF GRANT

The purpose of this Grant is to provide partial support for a program entitled "Seminars on Village Community Development Programs Implemented Thru Pondok Pesantren" as more specifically described in Attachment 2 to this Grant entitled "Program Description".

B. PERIOD OF GRANT

The effective date of this Grant is the date the Grant Agreement is signed. The estimated expiration date is one year after the Grant is signed.

C. AMOUNT OF GRANT AND PAYMENT

1. The total estimated amount of this Grant for the period shown in B. above is \$12,500.
2. USAID hereby obligates the amount of \$12,500 for program expenditures during the period set forth in B. above as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3, Standard Provision, entitled "Payment - Periodic Advance".
4. Documentation required by the payment provision above shall be submitted to:

Office of Finance
USAID/Jakarta

D. FINANCIAL PLAN

The funds provided under this Grant Agreement will be used to finance the USAID portion of the budget set forth in the financial plan shown below. Revisions to this Plan shall be made in accordance with the Standard Provisions entitled "Revision of Financial Plans".

<u>ELEMENT</u>	<u>LP3ES</u>	<u>USAID</u>	<u>TOTAL</u>
1. First Seminar	\$ 1,373	\$ 3,530	\$ 4,903
2. Second Seminar	272	1,574	1,846
3. Third Seminar	1,403	7,396	8,799
4. Overhead Costs	<u>1,372</u>	<u>-0-</u>	<u>1,372</u>
Total:	<u>\$ 4,420</u> (26%)	<u>\$12,500</u> (74%)	<u>\$16,920</u> (100%)

USAID Contribution - \$12,500 = Rp.20,500,000

LP3ES Contribution - \$4,420 = Rp.7,248,800

(Rate of Exchange as of August 28, 1987 - US\$1 = Rp. 1,640)

If the exchange rate varies so that the amount of dollars necessary to purchase the rupiahs specified in the final rupiah budget is greater than the amount stated herein, then the U.S. dollar limitation stated herein will be the controlling limit to USAID's commitment. On the other hand, if the amount of dollars necessary to purchase the rupiahs specified in the final rupiah budget is less than the amount stated herein, the remaining dollars may be used to purchase rupiahs for justified rupiah cost increases over and above rupiah costs listed in the original rupiah budget, if approved in writing by USAID, and provided that Grantee counterpart contributions are adjusted accordingly. In any event, USAID reserves the right to deobligate any excess funds resulting from a devaluation of the rupiah.

The Grantee may not exceed the total amount of the USAID contributed portion of the budget.

The Grantee agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of the Grantee to assure such non-USAID funding from the donors or its own resources may necessitate the termination of this Grant and possible refund action.

E. REPORTING AND EVALUATION

1. The Grantee will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for USAID to judge the effectiveness and efficiency with which the project was implemented.
2. The Grantee will also prepare and submit to USAID/VHP, on a quarterly basis, financial reports in rupiahs in accordance with the Standard Provision "Payment - Periodic Advance". A final financial report will be submitted within 90 days of project completion.
3. Prior to the conclusion of the project, an evaluation will be conducted by the Grantee in consultation with USAID/VHP. The evaluation will assess program development toward the achievement of stated goals, time frames, management and reporting, community contributions and participation, government linkages and contributions to the program, the effectiveness and impact of the program, on the community, and potential plans for replication of the program in other parts of Jakarta or Indonesia.
4. Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the USAID/VHP as soon as the following types of conditions become known:
 - a) Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
 - b) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$12,500. It is estimated that this amount will be sufficient to partially fund the Grant for one year. Subsequent year funding may be agreed to dependent on the availability of funds and the results of an evaluation of activities funded under this Grant; however, this does not constitute a commitment of any additional funding by USAID.

2. Provisions for Partially Funded AID Grants

- a. The funds provided by this Grant represent partial support of the program/project as described in the Schedule of this Grant, and may be used to support the costs of the program/project as specified in the Financial Plan included in the Schedule.
- b. The restrictions on the use of USAID Grant funds hereunder set forth in the Standard Provisions of this Grant are applicable to expenditures incurred with USAID funds provided under this Grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-USG sources. The Grantee will account for the USAID funds in accordance with the Standard Provisions of this Grant entitled 'Accounting, Audit and Records'.
- c. Notwithstanding paragraph (b) of the Standard Provision of this Grant entitled 'Refunds', the parties agree that in the event of any disallowance of expenditures from USAID Grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-USG sources provided they are otherwise incurred for the purpose of this Grant. At the expiration or termination of this Grant, amounts of disallowance of expenditures from USAID Grant funds for which a substitution with expenditures from non-USG funds cannot be made will be refunded to USAID.

3. Closeout Procedures

This section prescribes uniform closeout procedures for this Grant.

- a. The following definitions shall apply for the purpose of this section:
 - i. Closeout. The closeout of a Grant or agreement is the process by which USAID determines that all applicable administrative actions and all required work of the Grant or agreement have been completed by the recipient and USAID.
 - ii. Date of Completion. The date of completion is the date on which work under Grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which USAID sponsorship ends.

iii. Disallowed Costs. Disallowed costs are those charge to a Grant or agreement that USAID or its representatives determines to be unallowable in accordance with the applicable USG cost principles or other conditions contained in the Grant or agreement.

b. AID closeout procedures include the following requirements:

i. Upon request, USAID shall make prompt payments to a recipient for allowable reimbursable costs under the Grant or agreement being closed out.

ii. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that USAID has advanced or paid and that is not authorized to be retained by the recipient for use in other Grants or agreements.

iii. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the Grant or agreement. USAID may Grant extensions when requested by the recipient.

iv. When authorized by the Grant agreement, USAID shall make a settlement for any upward and downward adjustments to USAID's share of costs after these reports are received.

v. The recipient shall account for any property acquired with USAID funds or received from the Government in accordance with Attachment N of OMB Circular A-110, entitled "Property Management Standards".

vi. In the event a final audit has not been performed prior to the closeout of the Grant or agreement, USAID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

4. The following Mandatory Standard Provisions (OMB Control No. 0412-0510) are applicable to this Grant:

a. Mandatory Standard Provisions for Non-U.S., Nongovernmental Grantees, clauses 1-11; and

b. Optional Standard Provisions for Non-U.S., Non-Governmental Grantees, except that the following provisions are deleted:

2. Payment - Cost Reimbursement
3. Air Travel and Transportation
4. Ocean Shipment of Goods
16. Negotiated Overhead Rates - Provisional
17. Government Furnished Excess Personal Property
19. Title to and Care of Property (U.S. Government Title)

G. TITLE TO PROPERTY

Title to property procured under this Grant will vest in the Grantee in accordance with Optional Standard Provision No. 18 of this Grant.

H. AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under this Grant shall be in accordance with Optional Standard Provision No. 6, entitled "AID Eligibility Rules for Goods and Services".

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide partial support to LP3ES to conduct seminars on the effectiveness of rural community development programs implemented thru Pondok Pesantrens. The overall goal is to promote coordination and cooperation among the pesantrens, Indonesian PVOs, government and donors.

B. SPECIFIC OBJECTIVES

In pursuing the overall purpose and goal of this program, the seminars will focus on achieving the following specific objectives:

1. to formulate issues which have emerged as a result of previous village community development programs;
2. to seek better and more relevant approaches to development programs;
3. to identify more productive and suitable types of projects; and
4. to determine more appropriate types of methodology in implementing integrated development activities.

C. IMPLEMENTATION

LP3ES will work closely with the various agencies involved in this activity, including the Department of Religious Affairs, BAPPENAS, the Department of Social Affairs, Islamic Learning Centers, Indonesian PVOs and others as required.

Three seminars will be conducted to achieve the above stated purpose, goal and objectives, as follows:

1. A planning seminar (2 days) will be held at Probolinggo, East Java. The participants will include 18 pesantren leaders from Pati, Pabelan, Cipasung, Surabaya, Guluk-Guluk and Jakarta; five GOI officials; and two LP3ES staff.
2. A second seminar (2 days) will be held in Jakarta with 16 Indonesian PVOs (14 from Jakarta and two from Yogyakarta and Bandung) and nine members of the organizing committee (LP3ES, GOI and Pesantren officials) participating.
3. The third and final seminar (3 days) will be held at Puncak, West Java, with the following participants: seven GOI officials, nine pesantren leaders, seven donor agency representatives, 13 Indonesian PVO representatives, 11 resource persons and eight LP3ES staff.

IV. REPORTS

Reports will be completed on each seminar and copies will be provided to USAID/VHP for review and discussion with LP3ES.