

PDCAW 254



UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT
AMERICAN EMBASSY
JAKARTA, INDONESIA

August 31, 1987

Mr. Agus Purnomo
Executive Director
Wahana Lingkungan Hidup Indonesia
Jl. Penjernihan Satu #15
Komplek Keuangan Pejampongan
Jakarta 10210

Subject: Grant No. AID 497-0336-G-SS-7149-00

Dear Mr. Purnomo:

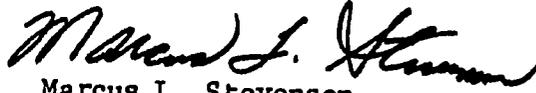
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development Mission in Jakarta (hereinafter referred to as "USAID" or "Grantor") hereby grants to Wahana Lingkungan Hidup Indonesia (hereinafter referred to as "WALHI" or "Grantee") the sum of \$15,000 to provide partial support in the conduct of a "Regional Workshop on Agriculture, Genetic Conservation and Biotechnology Opportunity for Community Action", as more fully described in the attachment to this grant entitled, "Program Description".

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning August 31, 1987 through August 30, 1988.

This Grant is made to Wahana Lingkungan Hidup Indonesia on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled, "The Schedule"; Attachment 2 entitled, "Program Description"; Attachment 3 entitled, "Special Provisions"; and Grantee's Project Proposal dated July 14, 1987 (incorporated herein by reference) which have been agreed to by your organization.

Please sign the original and three (3) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted and return the original and two (2) copies to the Office of Contract Management.

Sincerely yours,



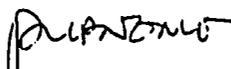
Marcus L. Stevenson
Grant Officer

Attachments:

1. Schedule
2. Program Description
3. Special Provisions
4. Project Proposal

ACKNOWLEDGED:

WAHANA LINGKUNGAN HIDUP INDONESIA

By: 
AGUS PURNOMO

Date: September 3, 1987

FISCAL DATA

Appropriation	:	72-1171021
Budget Plan Code	:	QDNA-87-27497-KG13
Project No.	:	497-0336
Total Estimated Amount:		\$ 15,000
Total Obligated Amount:		\$ 15,000
RESCTLNO	:	p700729

FUNDS AVAILABLE
PER <u>7/1/87</u>
DATE <u>9/31/87</u>

ATTACHMENT 1

S C H E D U L E

A. PURPOSE OF GRANT

The purpose of this grant is to provide partial financial assistance to Wahana Lingkungan Hidup Indonesia (WALHI) for an eight-day workshop on genetic conservation, biological diversity and community participation and for the implementation of follow-up pilot projects, as more specifically described in Attachment 2 to this grant entitled, "Program Description".

B. PERIOD OF GRANT

The effective date of this grant is August 31, 1987 and the estimated expiration date is August 30, 1988.

C. AMOUNT OF GRANT AND PAYMENT

1. The total estimated amount of this grant for the period shown in B. above is \$15,000.
2. USAID hereby obligates the amount of \$15,000 for program expenditures during the period August 31, 1987 through August 30, 1988, and as shown in the Budget below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in the Standard Provisions (Attachment 3) entitled, "Payment - Periodic Advance".
4. Request for advances and financial reports for reimbursement or liquidation of advances will be in Rupiah.
5. Documentation required by the payment provision above shall be submitted to:

Office of Finance
USAID/Jakarta

D. BUDGET

The funds provided under this Grant Agreement will be used to finance the USAID portion of the budget set forth below. Revisions to this Budget shall be made in accordance with the Standard Provision entitled, "Revision of Grant Budget".

Illustrative Budget
August 31, 1987 - August 30, 1988
E/R: \$1 = Rp 1,640

<u>ELEMENT</u>	<u>OTHER</u>	<u>USAID</u>	<u>Total</u>
<u>Preparation and Planning</u>			
Travel	\$ 1,875	-	\$ 1,875
Accommodation/Meals	1,312	-	1,312
Staff Support	2,500	-	2,500
Documentation	1,250	-	1,250
Telephone/Telex	875	-	875
Survey of Indonesian Genetic	-	\$ 2,500	2,500
<u>Regional Workshop</u>			
Resource Persons/Lecturers	6,250	-	6,250
Transport for Participants	18,750	-	18,750
Accommodation/Meals	-	4,500	4,500
Resource Persons Travel	6,250	-	6,250
Consultants	500	2,000	2,500
Printing	-	1,250	1,250
Telephone/Telex	500	750	1,250
Other Direct Costs	2,000	-	2,000
<u>Follow-Up</u>			
Workshop Proceedings	5,000	-	5,000
Ind. Comic Books	-	1,000	1,000
Information Dissemination	2,000	-	2,000
Ind. Community Seed Bank	-	3,000	3,000
TOTAL ESTIMATED COST	<u>\$49,062</u>	<u>\$15,000</u>	<u>\$64,062</u>
	(77%)	(23%)	(100%)
USAID Contribution	Rp 24,600,000	=	\$ 15,000
OTHER Contribution	Rp 80,461,680	=	\$ 49,062

If the exchange rate varies so that the amount of dollars necessary to purchase the rupiahs specified in the final rupiah budget is greater than the amount stated herein, then the U.S. dollar limitation stated herein will be the controlling limit to USAID's commitment. On the other hand, if the amount of dollars necessary to purchase the rupiahs specified in the final rupiah budget is less than the amount stated herein, the remaining dollars may be used to purchase rupiahs for justified rupiah cost increases over and above rupiah costs listed in the original rupiah budget, if approved in writing by USAID.

The Grantee may not expend USAID funds in excess of the amount obligated under this Grant.

The Grantee agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of the Grantee to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action.

E. REPORTING AND EVALUATION

1. The Grantee will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for USAID to judge the effectiveness and efficiency with which the project was implemented.
2. The Grantee will also prepare and submit to USAID/VHP, on a quarterly basis, financial reports pursuant to the "Payment - Periodic Advance" clause of the Standard Provisions. A final financial report will be submitted within 90 days of project completion.
3. In addition, an evaluation will be conducted by the Grantee in consultation with USAID at the conclusion of the project.
4. Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform USAID/VHP as soon as the following types of conditions become known:

- Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
- Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$15,000. It is estimated that this amount will be sufficient to fund the Grant for one year.
2. Provision for Partially Funded USAID Grants
 - a. The funds provided by this grant represent partial support of the program/project as described in the Schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial Plan included in the Schedule.
 - b. The restrictions on the use of USAID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with USAID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-U.S.G. sources. The Grantee will account for the USAID funds in accordance with the Standard Provisions of this grant entitled, "Accounting, Audit and Records".
 - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled, "Refunds", the parties agree that in the event of any disallowance of expenditures from USAID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-U.S.G. sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from USAID grant funds for which a substitution with expenditures from non-U.S.G. funds cannot be made will be refunded to USAID.

2. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

a. The following definitions shall apply for the purpose of this section:

i. Closeout. The closeout of a grant or agreement is the process by which USAID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and USAID.

ii. Date of Completion. The date of completion is the date on which all work under grants is completed or the date on the award document, or any supplement or amendment thereto, on which USAID sponsorship ends.

iii. Disallowed Costs. Disallowed costs are those charges to a grant that USAID or its representative determines to be unallowable, in accordance with the applicable U.S.G. cost principles or other conditions contained in the grant agreement.

b. USAID closeout procedures include the following requirements:

i. Upon request, USAID shall make prompt payments to a recipient for allowable reimbursable costs under the grant agreement being closed out.

ii. The recipient shall immediately refund any balance of unobligated (unencumbered) cash that USAID has advanced or paid and that is not authorized to be retained by the recipient for use in other grant agreements.

iii. USAID shall obtain from the recipient within 90 calendar days after the date of completion of the grant agreement all financial, performance, and other reports required as the condition of the grant agreement. USAID may grant extensions when requested by the recipient.

iv. When authorized by the grant agreement, USAID shall make a settlement for any upward or downward adjustments to USAID's share of costs after these reports are received.

v. The recipient shall account for any property acquired with USAID funds, or received from the U.S.G. in accordance with Attachment N of OMB Circular A-110 entitled, "Property Management Standards".

vi. In the event a final audit has not been performed prior to the closeout of the grant agreement, USAID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

3. With respect to Mandatory Standard Provision 3, Refunds, the Grantee is authorized to use program income for program purposes rather than refunding to USAID provided the income is used to continue and/or expand the activities originally planned. Program income earned through USAID-funded activities may revert to a "revolving fund" and may be used by the Grantee in the abovementioned ways, without accountability to USAID after termination of the project period, provided that prior to the expenditure of such reflows, the Grantee will inform USAID of the plan of operations and will keep USAID informed of actual activities during the life of this project. Please note that grant funds including cash advances cannot accrue interest prior to initial disbursement by the Grantee; interest on cash advances cannot be considered program income and must be refunded to USAID pursuant to Standard Provision 3.

4. The following Standard Provisions (OMB Control No. 0412-0510) are applicable to this Grant:

1. Mandatory Standard Provisions for Non-U.S., NonGovernmental Grantees, Clause 1-11; and
2. Optional Standard Provisions for Non-U.S., NonGovernmental Grantees, except that the following provisions are deleted:

2. Payment - Cost Reimbursement
7. Subagreements
16. Negotiated Overhead Rates - Provisional
17. Government Furnished Excess Personal Property
19. Title To and Care of Property (U.S. Government Title)
20. Title To and Care of Property (Cooperating Country Title)

G. The authorized geographic code for procurement of goods and services under this grant shall be in accordance with Optional Standard Provision No. 6 entitled, "AID Eligibility Rules for Goods and Services".

PROGRAM DESCRIPTION

A. PURPOSE

To provide partial financial support to Wahana Lingkungan Hidup Indonesia (WALHI) to conduct an eight-day workshop on genetic conservation, biological diversity and community participation in the process of genetic conservation and to implement one or two follow-up pilot projects.

B. SPECIFIC OBJECTIVES

1. Strengthen the "seeds" dimension in existing environment, development and agricultural networks to increase project activities and efforts to conserve genetic material.
2. Identify resource persons and assist the scientific community to communicate and coordinate with community efforts to collect and preserve genetic materials.
3. Increase the awareness of communities about the importance of the link between them and the conservation of biological diversity.
4. Foster spin-off sub-regional and ASEAN workshops through contacts and proposals generated by the Regional Workshop.
5. Publicize the results of the workshop to increase public and government awareness about genetic conservation and biological diversity.

C. PROJECT IMPLEMENTATION

WALHI, in conjunction with the Rural Advancement Fund International (RAFI) of Canada and local PVO partners, will conduct an eight-day workshop on genetic conservation and biological diversity of native crops and the environmental implications of the loss of diversity.

In preparation for this workshop, WALHI, in conjunction with local PVOs in Sumatra, Java, Bali and/or Kalimantan, will conduct an inventory of the native genetic resources beginning September 1, 1987. Field and library research will be conducted, as well as collection of some materials and photographic recording. Invitations will be sent to South and Southeast Asian PVOs, researchers and government officials; North American and European PVOs; as well as local PVOs. The Workshop Committee will complete the pre-workshop inventories of all participants for use and distribution at the workshop.

The workshop will examine genetic resource conservation from a global, regional, local and technical perspectives. Emphasis will be on sharing experience on the collection, storage, document, exchange and maintenance of genetic data. In addition, the workshop will focus on the development of regional and international genetic and genetic conservation information networks. A tentative workshop agenda is enclosed as Attachment 4.

The workshop is planned for the first week of December 1987, with thirty participants from South and Southeast Asia (twenty-five from grassroots organizations and five from regional scientific/resource organizations) and an additional thirty from other NGOs Europe and North America. The venue of the workshop will be near Klaten, Central Java, a community where there is strong and glowing interest in the development of a local seed bank.

Discussions and experiences of the participants will form the major workshop topics; however, the major print resource will be the Community Seed Bank Resource Kit developed by RAFI. The seven section kit will provide communities with information including:

- An overview and issues of genetic conservation;
- Building the bank;
- The role of the voluntary organization;
- Location of additional sources and resources;
- Request for information -- cooperation;
- "Speaking seed" -- a glossary of terms;
- Practical application -- building the seed bank;

A pamphlet or book on the proceedings of the workshop will be compiled and distributed to local PVOs, government agencies, the media, the public and participants. The book for public distribution will have a comic book format.

In addition to the kit, RAFI and WALHI will also prepare national information kits offering basic information on the current state of genetic resource conservation in Indonesia.

As a follow-up of the workshop, one or two pilot projects with local PVOs will be supported. The pilot projects will consist of a community seed bank and a mini-botanical garden to conserve threatened genetic resources.

- 13:30-14:45 Panel Discussion: What Our Experiences Teach Us
- Erna Witoelar (WALHI)
- Anwar Fazal (IOCU)
- 14:45-15:00 BREAK
- 15:00-17:00 Film - The Neglected Miracle
- 18:30-19:30 DINNER
- 19:30-20:15 Panel Discussion: The Chemicals Connection - Pesticides and Plant Genetic Resources
- Widjanarko (PAN-Indonesia)
- V. Mohan (SAM/PAN-Malaysia)
- Martin Abraham (IOCU)
- Day Four:
- 09:00-17:00 Technical Exchange
- A. Collection: Identifying genetic erosion; setting priorities; sampling techniques; problems with certain crops; sampling wild species; etc.
- B. Storage: Problem with certain species; cleaning and drying samples; storage containers; community "bank"; access to required materials, etc.
- 19:00-21:00 Panel Discussion: The Pharmaceutical Connection - Herbal Medicines, the Pharmaceuticals Industry and Plant Genetic Resources (To Be Confirmed).
- Day Five: FIELD WORK
- Day Six:
- Morning
- C. Documentation: Notes in the field; labels on the packets; file documentation; etc.
- D. Exchange: Duplication storage; documentation for duplicate storage and exchange; agreements; access to required materials, etc.
- Afternoon
- E. Maintenance: Monitoring viability; growing-out samples; problems with difficult species; re-labelling; documentation; access to materials, etc.
- Evening
- General Discussion on Related Issues: Land Control, Cash Crops, Nutrition and the Seeds Issue.

Day Seven:

Morning

Conclusion, Recommendations and Reports: Discussion of major workshop conclusions, agreement on recommendations for individual, local, regional and network actions; discussion of a final report; consideration of mechanisms for follow-up.

ADDITIONAL DAY:

Field Collection: Practical experience in the collection and initial documentation of samples.