

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Loan and Grant Administration  
FA 100-100-11

Mr. Kartjono  
Director  
Bina Desa  
Jl. Gunung Sahari III/7  
Jakarta Pusat

SUBJECT: GRANT NO.: 86-7

DATE: July 17, 1986

Dear Mr. Kartjono:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to Sekretariat Pembinaan Sumber Daya Manusiawi Pedesaan (hereinafter referred to as "BINA DESA" or "Grantee") the sum of \$47,455 to provide partial support for the project entitled, "Establishment of LSM/LPSM National Forum and Follow-up on Local Forums", as more fully described in the Attachment 2 to this Grant entitled "Program Description," and Bina Desa's Grant proposal dated June 24, 1986.

This Grant, effective July 1, 1986, shall apply to commitments made by the Grantee in furtherance of project objectives during the 1-year period through June 30, 1987. In the event of inconsistency between the Program Description and Bina Desa's grant proposal, the provisions of the Program Description shall control.

This Grant is made to BINA DESA on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,



William P. Fuller  
Director, USAID/Indonesia  
Grant Officer

Attachments:

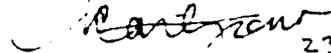
- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

BINA DESA

By :   
Kartjono

Title: Director

Date :  23-2-86

FINANCIAL STATEMENT VALIDATION	
Objectives	Commitment
EPR	QDAA-86-27497-DG-13
APPROP	72-1161021
PROJ/DRAFT#	497-0336
GBLDOCNO	PA-497-0336-86-07
RESCTLNO	PC00586
AMOUNT	\$ 47,455.00

Clearances:

PRO: TMahoney   
 LA: GBisson  7/16/86  
 FIN/A: RMcClure   
 FIN/B: MLew   
 A/DD: JSperling 

Schedule

A. Purpose of Grant

The purpose of this grant is to provide partial support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is July 1, 1986. The estimated expiration date of this grant is June 30, 1987.

C. Amount of Grant and Payment

1. The total estimated amount of this Grant for the period shown in B., above, is \$47,455.
2. For the first year AID hereby obligates the amount of \$47,455 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision No. 2 entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this grant entitled "Revision of Financial Plans".

FIRST YEAR  
(September 1, 1986 - August 31, 1987)  
In U.S. \$

<u>ELEMENT</u>	<u>BD/OTHERS</u>	<u>USAID</u>	<u>TOTAL</u>
<u>I. National Forum</u>			
a. Transportation of participant	-	10,000	10,000
b. Accommodation & meals	5,000	-	5,000
c. Study Field trip	500	-	500
d. Preparation/Secretarial support	1,000	-	1,000
e. Administrative Committees	4,500	-	4,500
f. Meeting Hall rental	500	-	500
g. Contingency	<u>1,000</u>	<u>-</u>	<u>1,000</u>
Sub-Total	12,500 (55%)	10,000 (45%)	22,500 (100%)
<u>II. Local Forum Follow-Up</u>			
<u>A. Community Development</u>			
a. Transportation & per diem for preliminary study	216	1,980	2,196
b. Community Development Projects (8 locations)	2,450	10,000	12,450
c. Administration/personnel	800	2,400	3,200
d. Monitoring & Evaluation	<u>1,320</u>	<u>1,320</u>	<u>2,640</u>
Sub-Total	4,786 (24%)	15,700 (76%)	20,486 (100%)
<u>B. Local Forums/Training</u>			
a. Transportation & per diem for preliminary study	-	2,640	2,640
b. Forum costs/training (transportation, per diem accommodation, admin.)	6,200	14,390	20,590
c. Administration/personnel	1,100	3,300	4,400
d. Monitoring & Evaluation	<u>-</u>	<u>1,425</u>	<u>1,425</u>
Sub-Total	7,300 (25%)	21,755 (75%)	29,055 (100%)
<u>RECAP</u>			
National Forum	12,500	10,000	22,500
Local Forum Follow-up Community	4,786	15,700	20,486
Local Forums	<u>7,300</u>	<u>21,755</u>	<u>29,055</u>
Total	24,586 =====	47,455 =====	72,041 =====
	(34%)	(66%)	(100%)

BINA DESA agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Budget with all other funding coming from non-USAID sources. Failure of BINA DESA to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

#### E. REPORTING AND EVALUATION

BINA DESA will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. These reports are to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

An annual review meeting concerning progress and problems of the project will be conducted. In addition at the end of the two year, initial phase of the project, a comprehensive evaluation will be conducted by the Grantee and its counterparts in consultation with USAID.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

#### F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$47,455. The amount of the first year available and committed to this Grant is \$47,455. It is estimated that this amount shall be sufficient to fund the Grant during the period of July 1, 1986 thru June 30, 1987.

2. Disbursement will be made by USAID only when requisite government clearances have been obtained by the grantee.
3. Accounting for grant funds should be kept separate from all other project accounting.
4. Provision for Partially Funded AID Grants
  - a. The funds provided by this grant represent partial support of the project as described in the schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial plan included in the Schedule.
  - b. The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.
  - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.
5. A full description of the project is contained in the project proposal dated June 24, 1986. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is as set forth in Optional Standard Provision 7 paragraph (b).

I. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:
  - a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.
  - b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.
  - c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.
2. AID closeout procedures include the following requirements:
  - a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.
  - b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.
  - c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.
  - d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".
  - e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide support for Bina Desa's planned follow-up of local forums, previously funded by USAID/VHF (GA 84-9), and to support an annual forum between donor groups, GOI officials and LSM/LPSMs to be held in Solo, Central Java.

B. SPECIFIC OBJECTIVES

1. Establishment of a National Forum for development of LSM/LPSM self-sufficiency through:
  - a. Increasing the role of LSM/LPSMs in development activities
  - b. Increase the cooperation between LSM/LPSMs and the GOI
  - c. Identify the shape of the cooperation between LSM/LPSMs and the GOI
  - d. Establishment of a basic philosophy about self-sufficiency through a MEMORANDUM OF SELF-SUFFICIENCY
  - e. Expand the dialog between LSM/LPSMs to strengthen joint efforts, both in conceptual and operational aspects, as well as target identification and follow-up.
2. Establishment of a follow-up program designed specifically, and based on data gathered through eight local forums, previously funded through Grant 84-9. Two specific programs will be executed to achieve the above:
  - a. Development of Human Resources through motivation training and management by participation.
  - b. Establishment of Small Community Development Programs in eight areas identified during local forums.

C. IMPLEMENTATION

To achieve the above objectives, the grantee will work closely with LSM/LPSMs, local counterpart PVOs, the respective local communities and relevant authorities. The project activities will commence on July 1, 1986. The target completion date is June 30, 1987.

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

*Loan and Grant File*  
1986-0336

Mr. Kartjono  
Director  
Bina Desa  
Jl. Gunung Sahari III/7  
Jakarta Pusat

SUBJECT: GRANT NO.: 86-6

DATE: July 18, 1986

Dear Mr. Kartjono:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to BINA DESA (hereinafter referred to as "BINA DESA" or "Grantee") the sum of \$70,000 to provide partial support for the project entitled, "Rasau Jaya and Sanggau Ledo Integrated Social and Economic Development Projects" to be implemented by Yayasan Pembinaan Subur Sejahtera Masyarakat (Bina Sutera), as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0336; and Bina Desa's proposal, Revision II, dated May, 1986 incorporated herein by reference.

This Grant, effective September 1, 1986, shall apply to commitments made by the Grantee in furtherance of project objectives during the 1-year period through August 31, 1987. In the event of inconsistency between the Program Description and Bina Desa's grant proposal, the provisions of the Program Description shall control.

This Grant is made to BINA DESA on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,

*William P. Fuller*  
William P. Fuller  
Director, USAID/Indonesia  
Grant Officer

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions

ACCEPTED:

BINA DESA

By : *Kartjono*  
 Kartjono  
 Title: Director  
 Date : 23-2-1986

OBLIGATION PRE-VALIDATION		
Obligation	Bookmark	Commitment
EPC	62DP-86-27407-4613	
APROP	72-116102	
PROJ/DRA#	497-0536	
OBLDOCNO	PA-497-0536-86-06	
RESCTLNO	1600585	
BARCTLNO		
AMOUNT	\$70,000.00	

Clearances:

VHP:RCCoggins *RCC*  
 PRO:TMahoney *TM*  
 LA:GBisson *GB* 7/11  
 FIN/A:RMcClure *RM*  
 FIN/B:MLew *ML*  
 A/DD:JSperling *JS*

Attachment 1

Schedule

A. Purpose of Grant

The purpose of this grant is to provide partial support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is September 1, 1986. The estimated expiration date of this grant is August 31, 1987.

C. Amount of Grant and Payment

1. The total estimated amount of this Grant for the period shown in B., above, is \$ 70,000.
2. For the first year AID hereby obligates the amount of \$70,000 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision No. 2 entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this grant entitled "Revision of Financial Plans".

FIRST YEAR  
(September 1, 1986 - August 31, 1987)  
 In U.S. \$

<u>ELEMENT</u>	<u>BD/OTHERS</u>	<u>USAID</u>	<u>TOTAL</u>
Program (water purification, etc)	5,000	11,000	16,000
Training:			
- trainers	2,000	7,000	9,000
- farmers	11,000	10,000	21,000
Workshops/Working Meetings	1,000	3,000	4,000
Vehicles:			
- motorcycles (6)	4,000	8,000	12,000
- bicycles (4)	800	-	800
- maintenance	500	1,500	2,000
Sub-total	24,300	40,500	64,800
Overhead for Bina Sutera 10%	-	4,050	4,050
Transportation	4,000	5,050	9,050
Personnel	4,800	14,400	19,200
Evaluation	-	1,000	1,000
Bina Desa Adm. Support	-	5,000	5,000
Total	33,100	70,000	103,100
	(32%)	(68%)	

BINA DESA agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Budget with all other funding coming from non-USAID sources. Failure of BINA DESA to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

E. REPORTING AND EVALUATION

BINA DESA will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. These reports are to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

An annual review meeting concerning progress and problems of the project will be conducted. In addition at the end of the two year, initial phase of the project, a comprehensive evaluation will be conducted by the Grantee and its counterparts in consultation with USAID.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$70,000. The amount of the first year available and committed to this Grant is \$70,000. It is estimated that this amount shall be sufficient to fund the Grant during the period of September 1, 1986 thru August 31, 1987. Additional funds dependent on the availability of funds and the results of an evaluation of activities funded under this proposal.
2. Disbursement will be made by USAID only when requisite government clearances have been obtained by the grantee.
3. Accounting for grant funds should be kept separate from all other project accounting.

4. Provision for Partially Funded AID Grants

- a. The funds provided by this grant represent partial support of the project as described in the schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial plan included in the Schedule.
  - b. The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.
  - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.
5. A full description of the project is contained in the project proposal dated May 1986. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is as set forth in Optional Standard Provision 7 paragraph (b).

I. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:

a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.

b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.

c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.

2. AID closeout procedures include the following requirements:

a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.

b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.

c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.

d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".

e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide support for training of project personnel, training for farmers, the introduction of appropriate agricultural practices and home industry activities, a water purification pilot project, and exploration of peat resources and agricultural applications for peat soils. The project is expected to benefit 2,500 families in West Kalimantan.

B. SPECIFIC OBJECTIVES

1. Increase the income of former transmigrants and native inhabitants from \$240 to \$400 per year.
2. Generation of 100 farmer groups to support cooperative marketing and capital resources.
3. Establishment of a pilot water purification project in Rasau Jaya by construction of 5 deep wells and 200 miniwater purification tanks.
4. Increase income earning opportunities by promotion of secondary crops such as soybeans; promotion of animal husbandry; and promotion of home industries such as processing of tahu nd tempe, cassava flour, kerupuk and timur.
5. Exploration of peat as a source of fuel and the appropriate agricultural applications for peat soils, particularly in Rasau Ledo.
6. Promote the institutional development of Bina Sutera by supporting the acquisition and training of qualified personnel.

C. IMPLEMENTATION

To achieve the above objectives, the grantee will work closely with Bina Sutera who will be implementing the project program. The project activities will commence on September 1, 1986. The target completion date is August 31, 1987.

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Loan and Grant Applications

Mr. B.H. Pandjaitan  
Representative  
Adventist Development and  
Relief Agency (ADRA)  
Jl. M.H. Thamrin 22  
Jakarta Pusat

SUBJECT: GRANT NO.: 86-5

DATE: July 2, 1986

Dear Mr. Pandjaitan:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the Adventist Development and Relief Agency (hereinafter referred to as "ADRA" or "Grantee") the sum of \$130,100 to provide support for the project entitled, "ADRA Child Health Project in Nusa Tenggara Timur", as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0336 and ADRA's project proposal dated June 11, 1986, incorporated herein by reference.

This Grant, effective July 1, 1986, shall apply to commitments made by the Grantee in furtherance of project objectives during the 2-year period through June 30, 1988.

This Grant is made to ADRA on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization. In case of inconsistency between provisions of this Grant and ADRA's grant proposal, the provisions of this grant shall prevail.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,

*William P. Fuller*

William P. Fuller  
Director, USAID/Indonesia  
Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

ADVENTIST DEVELOPMENT AND RELIEF AGENCY

By : *[Signature]*  
B.H. Pandjaitan

Title: Representative

Date : July 14, 1986

Clearances:

VHP: ECCoggins *Rlp*  
 PRO: FMahoney *[Signature]*  
 LA: GBisson *[Signature]*  
 FIN/A: RMcClure *[Signature]*  
 FIN/B: MLew *[Signature]*

*add standard provisions  
change for Jan 50000 suggested  
on June 30.*

FUNDS AVAILABILITY PREVALIDATION	
<input type="checkbox"/> Obligation	<input type="checkbox"/> Commitment
SPC	GDAA-86-27497-C613
APPRO	72-1161021
PROVIDRA#	497-2336
OBLOGNO	PA-497-2336-86-05
RESCTLNO	P600578
<del>RESCTLNO</del>	
AMOUNT	\$130,100.00

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide partial support for an ADRA project which will implement a child health program in Nusa Tenggara Timur. Under this project ADRA will aim at reducing the deaths of young children age 0-4 in four areas of Kecamatan Kupang Tengah.

B. SPECIFIC OBJECTIVES

ADRA will work in collaboration with the Government Primary Health Care Centers in four villages of Kecamatan Kupang Tengah to:

- provide training for 80 health and nutrition kaders;
- perform monthly growth monitoring activities for children under five;
- promote family planning program;
- participate actively in the immunization program for children under five and pregnant mothers;
- train the mothers in preparing Oral Rehydration Solution (ORS);
- distribute Vitamin A supplement on bi-annual basis;
- delivery community health nutrition education program to mothers of children under five;
- conduct community based follow up program;
- promote breastfeeding/proper weaning practices;
- facilitate primary health care services with local community health care centers;
- initiate a school child to child health education program, train school children to recognize dehydration, malnourished cases, prepare ORS.

C. IMPLEMENTATION

The project will be implemented over a 3-year period commencing on or about July 1, 1986. The initial funding for this project from USAID is for a 2-year period. The completion date for the second year is June 30, 1988.

SCHEDULE

A. Purpose of Grant

The purpose of this grant is to provide support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

- The effective date of this grant is July 1, 1986. The estimated expiration date of this grant is June 30, 1988.

C. Amount of Grant and Payment

1. The total estimated amount of this grant for the period shown in B., above, is \$130,100.
2. AID hereby obligates the amount of \$130,100 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this entitled "Revision of Financial Plans".

**FIRST YEAR BUDGET**  
July 1, 1986 - June 30, 1987  
 In U.S. \$

<u>ELEMENT</u>	<u>USAID</u>	<u>ADRA</u>	<u>TOTAL</u>
<b>A. Project Assets</b>			
1. Land Rental (Primary Health Care)	-	7,500	7,500
2. Maintenance	-	500	500
3. Water	-	1,000	1,000
<b>B. Commodities</b>			
1. Medicine (Oral, Vaccines, etc)	2,000	-	2,000
2. Cold chain equipment	1,000	-	1,000
3. Kerosene	400	-	400
<b>C. Consultant</b>			
1. Consultants:			
70/days @ \$100/day	3,500	3,500	7,000
2. 15 m/days @ \$150/day	2,250	-	2,250
<b>D. Personnel</b>	23,600	8,700	32,300
<b>E. Training</b>	5,600	-	5,600
<b>F. ADRA staff support</b>	-	2,300	2,300
<b>G. Transportation</b>	19,850	-	19,850
<b>H. Project support</b>	13,500	5,000	18,500
Sub-Total	<u>71,700</u>	<u>28,500</u>	<u>100,200</u>

**SECOND YEAR BUDGET**  
July 1, 1987 thru June 30, 1986  
 In U.S.\$

<b>A. Project Assets</b>			
1. Land Rental (Primary Health Care)	-	9,000	9,000
2. Maintenance	-	600	600
3. Water	-	1,200	1,200
<b>B. Commodities</b>			
1. Medicine (Oral, Vaccines, etc)	2,400	-	2,400
2. Cold chain equipment	-	-	-
3. Kerosene	425	-	425
<b>C. Consultant</b>			
1. Consultants:			
70/days @ \$100/day	3,500	3,500	7,000
2. 15 m/days @ \$150/day	2,250	-	2,250
<b>D. Personnel</b>	26,050	10,665	36,715
<b>E. Training</b>	6,100	-	6,100
<b>F. ADRA staff support</b>	-	2,760	2,760
<b>G. Transportation</b>	10,175	-	10,175
<b>H. Project support</b>	7,500	-	7,500
Sub-Total	<u>58,400</u>	<u>27,725</u>	<u>86,125</u>
Total Project Cost	<u>130,100</u>	<u>56,225</u>	<u>186,325</u>
for Two Years	(70%)	(30%)	

ADRA agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of ADRA to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

E. REPORTING AND EVALUATION

ADRA will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

An annual review meeting concerning progress and problems of the project will be conducted. In addition at the end of the first year of the project, a comprehensive evaluation will be conducted by the Grantee and its counterparts in consultation with USAID.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant for two years is \$130,100. It is estimated that this amount shall be sufficient to fund the Grant for two years.
2. Accounting for grant funds should be kept separate from all other project accounting.

4. Provision for Partially Funded AID Grants

- a. The funds provided by this grant represent partial support of the project as described in the schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial plan included in the Schedule.
  - b. The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.
  - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereafter, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.
5. A full description of the project is contained in the project proposal dated June 11, 1986. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is as set forth in Optional Standard Provision 7 paragraph (b).

I. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:

23

a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.

b. Date of Completion. The date of completion is the date on which work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.

c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.

2. AID closeout procedures include the following requirements:

a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.

b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.

c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.

d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".

e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

21

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Loan and Grant Documents

Ir. Anton Soedjarwo  
Director  
Yayasan Dian Desa (YDD)  
Jl. Kaliurang KM 7 Jurugsari  
P.O. Box 19 Bulaksumur  
Yogyakarta

SUBJECT: GRANT NO.: 86-4

DATE: July 2, 1986

Dear Ir. Soedjarwo:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the Yayasan Dian Desa (hereinafter referred to as "YDD" or "Grantee") the sum of \$204,020 to provide support for the project entitled, "Rainwater Catchment and Post-Water Development Activities", as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0336.

This Grant, effective July 1, 1986, shall apply to commitments made by the Grantee in furtherance of project objectives during the 1-year period through June 30, 1987.

This Grant is made to YDD on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,

*William P. Fuller*

William P. Fuller  
Director, USAID/Indonesia  
Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

YAYASAN DIAH DESA

By : Anton Goedjarwo

Title: Director

Date : 7/7/86

Clearances:

VHP:RCCoggins *RC*  
 PRO:TMahoney *TM*  
 AED:ANewman *AN*  
 LA:GBisson *GB*  
 FIN/A:RMcClure *RM*  
 FIN/B:MLew *ML*

*6/26/86*

FINANCIAL STATEMENT PREVALIDATION		
Comparison	Balance	Commitment
BPC	GDAA-86-27497-AG13	
APPROP	72-1161021	
PROJ/DRA#	497-0336	
OBLDOONO	PA-497-0336-86-04	
RESCTLNO	P600581	
EARCTLNO	-	
AMOUNT \$46,620.00		

FINANCIAL STATEMENT PREVALIDATION		
Comparison	Balance	Commitment
BPC	GDAA-86-27497-CG13	
APPROP	72-1161021	
PROJ/DRA#	497-0336	
OBLDOONO	PA-497-0336-86-04	
RESCTLNO	P600580	
EARCTLNO	-	
AMOUNT \$107,400.00		

FINANCIAL STATEMENT PREVALIDATION		
Comparison	Balance	Commitment
BPC	GDAA-86-27497-DB13	
APPROP	72-1161021	
PROJ/DRA#	497-0336	
OBLDOONO	PA-497-0336-86-04	
RESCTLNO	P600579	
EARCTLNO	-	
AMOUNT \$50,000.00		

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SCHEDULE

A. Purpose of Grant

The purpose of this grant is to provide support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is July 1, 1986. The estimated expiration date of this grant is June 30, 1987.

C. Amount of Grant and Payment

1. The total estimated amount of this grant for the period shown in B., above, is \$204,020.
2. AID hereby obligates the amount of \$204,020 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this entitled "Revision of Financial Plans".

July 1, 1986 - June 30, 1987  
In U.S. \$

<u>ELEMENT</u>	<u>COUNTERPART</u>	<u>USAID</u>	<u>TOTAL</u>
<u>A. Rainwater Catchment</u>			
1. Construction materials and labor	45,600	142,400	188,000
2. Transport, per diem and YDD overhead	-	11,000	11,000
3. Village groups own tank	141,000	-	141,000
4. Technology Dissemination	-	2,000	2,000
5. Evaluation	-	1,000	1,000
Sub-Total	186,600	156,400	343,000
<u>B. Post-Water Activities (Market Agriculture)</u>			
1. Personnel (6 persons)	-	10,700	10,700
2. <u>Dem-Plot</u> (seeds, equipment)	-	2,500	2,500
3. Fertilizer, Pesticides	420	-	420
4. Land (2 hectares)	35,650	-	35,650
5. <u>Production inputs</u> (for 60 persons) seeds, wood	-	12,500	12,500
6. Fertilizer, Pesticides	4,280	-	4,280
7. Training (120 persons)	400	16,835	17,235
8. Documentation	-	755	755
9. Transport	-	1,330	1,330
10. Trial activities in NIT	-	2,000	2,000
11. Evaluation	-	1,000	1,000
Sub-Total:	40,750	47,620	88,370
Total:	227,350	204,020	431,370
	(53%)	(47%)	

YDD agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of YDD to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

E. REPORTING AND EVALUATION

YDD will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

An annual review meeting concerning progress and problems of the project will be conducted. In addition at the end of the first year of the project, a comprehensive evaluation will be conducted by the Grantee and its counterparts in consultation with USAID.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant for one year is \$204,020. It is estimated that this amount shall be sufficient to fund the Grant during the period of July 1, 1986 thru June 30, 1987. Additional funds may be provided to YDD depending on the availability of funds and the results of an evaluation of activities funded under this proposal.

2. Disbursement will be made by USAID only when requisite government clearances have been obtained by the grantee.
3. Accounting for grant funds should be kept separate from all other project accounting.
4. Provision for Partially Funded AID Grants
  - a. The funds provided by this grant represent partial support of the project as described in the schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial plan included in the Schedule.
  - b. The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.
  - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.
5. A full description of the project is contained in the project proposal dated November 1985. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is as set forth in Optional Standard Provision 7 paragraph (b).

I. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:

- a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.

- b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document or any supplement or amendment thereto, on which AID sponsorship ends.

- c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.

2. AID closeout procedures include the following requirements:

- a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.

- b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.

- c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.

- d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment H of OMB Circular A-110 entitled "Property Management Standards".

- e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is

1. To assist Yayasan Dian Desa to construct rainwater catchment tanks in Sikka, Ende, East Flores, Nusa Tenggara Timur.
2. To assist Yayasan Dian Desa to develop a small-scale agricultural project in the Kepuharjo and Wonolelo villages, Central Java.

B. SPECIFIC OBJECTIVES

Rainwater Catchment

1. The grantee will construct 800 ferrocement/bamboo-cement rainwater catchment tanks in 26 villages in Kabupaten of Flores Timur, NTT for approximately 1,100 families (5,500 people).
2. To develop and implement a system to prioritize the allocation of YDD's rainwater catchment support to particularly needy locations, phasing down such assistance in earlier program areas.

Post-Water Development Activities

1. To increase villagers' incomes through application of an innovative "market-oriented" agricultural project in which guaranteed market links for assorted high-value commodities (apples, mushrooms, asparagus and orchids) are established before the project begins.
2. To organize the farmers into self-help groups which will function as mini credit unions and collective marketing channels for the members' produce.
3. To develop a demonstration plot in each village which will serve as a laboratory and training facility for participating farmers.
4. To provide relevant training to the farmers in the cultivation of the four commodities.
5. To loan an initial production packet--seeds, fertilizers, and pesticides--to 120 farmers for cultivation of the above-mentioned commodities. That initial loan will be repaid to the groups' coffers when the commodities begin to be harvested.

6. To assist the farmer groups in developing a functional marketing mechanism with representatives from PT Dieng Jaya and Yayasan Dharma Bakti Astra and eventually eliminate YDD's intermediary role between producer and buyer.

C. IMPLEMENTATION

To achieve the above objectives, the grantee will work closely with local people. Technical expertise required for the construction of catchment tank will be provided by YDD. Project activities will commence on July 1, 1986. The targeted completion date is June 30, 1987.

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Letter of Grant  
1986

Mr. Aswab Mahasin  
Director  
LP3ES  
Jl. Let. Jen. S. Parman 81  
Slipi, Jakarta Barat

SUBJECT: GRANT NO.: 86-3

DATE: June 30, 1986

Dear Mr. Mahasin:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the Institute for Social and Economic Research, Education and Information (hereinafter referred to as "LP3ES" or "Grantee") the sum of \$292,635 to provide support for the project entitled, "PVO Institutional Self-Reliance Models", as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0336.

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of project objectives during the 12-month period beginning July 1, 1986.

This Grant is made to LP3ES on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,

Jonathan Sperling  
Acting Director, USAID/Indonesia  
Acting Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

LP3ES

By : Aswab Mahasin

Title: Director

Date : July 2, 1986.

FINANCIAL STATEMENT VALIDATION		
Obligation	Remark	Commitment
BPC	CIDAA-86-27497-DG-13	
APPROP	72-1161021	
PROJ #/DRA #	497-0336	
OBLDOONO	PA-497-0336-86-03	
RESCTLNO	2600576	
EARCTLNO	-	
AMOUNT	\$ 292,635.00	

Clearances:

VHP:RCCoggins RC  
 PRO:TMahoney RC  
 LA:GBisson RC  
 FIN/A:EMcClure RC  
 FIN/B:MLew RC

*25 June 1986*

SCHEDULE

A. Purpose of Grant

The purpose of this grant is to provide support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is July 1, 1986. The estimated expiration date of this grant is June 30, 1987.

C. Amount of Grant and Payment

1. The total estimated amount of this grant for the period shown in B., above, is \$446,915.
2. For the one year AID hereby obligates the amount of \$292,635 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this entitled "Revision of Financial Plans". Full details of the Financial Plan are contained in the budget revision dated May 21, 1986.

FIRST YEAR

July 1, 1986 - June 30, 1987  
In U.S. \$

<u>ELEMENT</u>	<u>COUNTERPART</u>	<u>USAID</u>	<u>TOTAL</u>
<u>A. Model 1 - Publishing</u>			
1. Book Publication (13 titles)			
a. Authors	-	9,080	9,080
b. Translation	3,150	-	3,150
c. Printing	11,010	39,860	50,870
2. Mailing	2,900	- -	2,900
3. Personnel	<u>6,950</u>	<u>9,450</u>	<u>16,400</u>
Sub-Total	24,010	58,390	82,400
<u>B. Model 2 - Workshop</u>			
1. Preparation	650	1,940	2,590
2. Machinery/Tools	-	91,420	91,420
3. Office Equipment/Supplies	1,830	13,080	14,910
4. Building and maintenance	15,335	87,390	102,725
5. Land (1410m <sup>2</sup> )	75,400	-	75,400
6. Electricity/Telephone	-	2,425	2,425
7. Production Trials	1,435	12,915	14,350
8. Vehicles/Transport	5,190	5,510	10,700
9. Personnel	1,565	9,965	11,430
10. Documentation	-	300	300
11. Training	-	4,300	4,300
12. Monitoring/Evaluation	890	-	890
13. LPJES Administration	<u>-</u>	<u>5,000</u>	<u>5,000</u>
Sub-Total:	102,295	234,245	336,540
Total:	<u>126,305</u>	<u>292,635</u>	<u>418,940</u>
	(30%)	(70%)	

LP3ES agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of LP3ES to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

E. REPORTING AND EVALUATION

LP3ES will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

An annual review meeting concerning progress and problems of the project will be conducted. In addition at the end of the first year of the project, a comprehensive evaluation will be conducted by the Grantee and its counterparts in consultation with USAID.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$446,915. The amount of the first year available and committed to this Grant is \$292,635. It is estimated that this amount shall be sufficient to fund the Grant during the period of July 1, 1986 thru June 30, 1987. Additional funds in the amount of \$154,280 may be provided to dependent on the availability of funds and the results of an evaluation of activities funded under this proposal.

2. Disbursement will be made by USAID only when requisite government clearances have been obtained by the grantee.
3. Accounting for grant funds should be kept separate from all other project accounting.
4. Provision for Partially Funded AID Grants
  - a. The funds provided by this grant represent partial support of the project as described in the schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial plan included in the Schedule.
  - b. The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.
  - c. Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.
5. A full description of the project is contained in the project proposal dated November 1985. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is as set forth in optional Standard Provision 7 paragraph (b).

I. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:
  - a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.
  - b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.
  - c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.
2. AID closeout procedures include the following requirements:
  - a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.
  - b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.
  - c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.
  - d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".
  - e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide partial support for two development models which will enable two indigenous NGOs to further increase and strengthen their own institutional development and financial self-reliance.

B. SPECIFIC OBJECTIVES

Model 1: LP3ES (Book publishing and institutional strengthening model).

1. Publication of 20 Indonesian language books dealing with development themes, economic theory, land tenure and legal issues, democracy and politics.
2. Establishment of a mechanism that will enable LP3ES to increase its institutional self-reliance specifically through the sale of the above-mentioned books.

- Model 2: LPTP (Metal workshop skills training center and institutional strengthening model).

1. Provide workshop skills training to 100-120 high school dropouts/unemployed youths each year to enable them to find productive employment or establish their own small enterprises.
2. Develop institutional capacity for innovation and adaptation of appropriate technologies for village use, particularly in the areas of pre- and post-harvest food technology, environmental health and water supply, alternative energy sources, and home industries.
3. Development of a functional, internal mechanism for capitalization of LPTP's community development programs through generation of profits from the workshop's productive and service activities.
4. Establishment of a revolving credit fund to support technology dissemination in the form of credit for off-Java NGOs, loans to workshop "graduates" to start a small enterprise, scholarships for prospective trainees and for LPTP's own program development.

C. IMPLEMENTATION

To achieve the above objectives, the grantee (LP3ES) will work closely with the sub-grantee (LTP) on its self-reliance model, as well as focusing on LP3ES's own program for institutional strengthening. Project activities will commence on July 1, 1986. The targeted completion date is June 30, 1987.

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Loan and Grant  
11/11/86

Mr. William Bergquist  
Director  
Save the Children Federation (SCF)  
Jl. Taman Tanah Abang III/10A  
Jakarta Pusat

11/11/86

SUBJECT: GRANT NO.: 86-2

DATE: June 30, 1986

Dear Mr. Bergquist:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the Save the Children Federation (hereinafter referred to as "SCF" or "Grantee) the sum of \$75,248 to provide partial support for the project entitled, "A Community Based Integrated Urban Development in Jakarta, Indonesia", as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0336.

This Grant is effective July 1, 1986 shall apply to commitments made by the Grantee in furtherance of project objectives during the 12-month period through June 30, 1987.

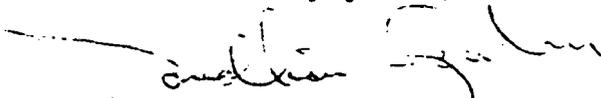
This Grant is made to SCF on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 2 entitled, "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

13

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,



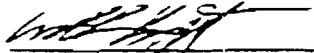
Jonathan Sperling  
A/Director, USAID/Indonesia  
Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

SAVE THE CHILDREN FEDERATION

By :   
William Bergquist

Title: Director

Date : 6/30/86

Clearance:

VHP:RCCoggins RC  
 PRO:TMahoney TM  
 CM:MStevenson MS  
 LA:GBisson GB  
 FIN/A:RMcClure RM  
 FIN/B:MLew ML

FINANCIAL STATEMENT VALIDATION		
Companion	Entered	Commitment
BPT	6000-86-22497-01-13	
APPROP	72-1101021	
PROF AIDRA#	492-0336	
GBLDDING	PA-492-0336-36-12	
RESOTLNO	7600571	
RECEIVED		
AMOUNT	\$ 75,240.00	

ScheduleA. Purpose of Grant

The purpose of this grant is to provide partial support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is July 1, 1986. The estimated expiration date of this grant is June 30, 1987.

C. Amount of Grant and Payment

1. The total amount of this Grant is \$ 75,248.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision No. 2 entitled "Payment-Periodic Advance".
3. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this grant entitled "Revision of Financial Plans".

<u>Cost Element</u>	<u>(USAID)</u>	<u>(SCF)</u>	<u>Amount</u> <u>(CS)</u>	<u>Total</u>
Administration	\$42,420	\$105,610	\$26,630	\$174,660
Program	26,000	10,000	42,900	78,900
Overhead	6,828	- 0 -	6,939	13,767
	<u>\$75,248</u>	<u>\$115,610</u>	<u>\$76,469</u>	<u>\$267,327</u>
	=====	=====	=====	=====

SCP agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Budget with all other funding coming from non-USAID sources. Failure of SCP to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

E. REPORTING AND EVALUATION

1. SCP will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. These reports are to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.
2. Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance". A final financial report will be submitted within 90 days of project completion.
3. Before the end of the project, SCP in consultation with appropriate GOI official and USAID will conduct a comprehensive evaluation. The evaluation will assess program development toward the achievement of stated goals, time frames, management and reporting, community contributions and participation, government linkages and contributions to the program, the effectiveness and impact of the program on the community, and potential plans for replication of the program in other parts of Jakarta or Indonesia.
4. Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:
  - a) Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
  - b) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

F. SPECIAL PROVISIONS

1. A full description of the project is contained in the project proposal dated October, 1985. It is hereby incorporated by reference as a part of this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in this grant, the grant language shall take precedence.

2. Provision for Partially Funded AID Grants

(a) The funds provided by this grant represent partial support of the program/project as described in the Schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial Plan included in the Schedule.

(b) The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records.'

(c) Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.

G. Overhead Rate

Pursuant to the Standard Provision of this grant entitled "Negotiated Overhead Rates - Nonprofit Organizations Other Than Educational Institutions" the following indirect cost rate is established:

<u>Rate</u>	<u>Base</u>	<u>Period</u>
9.98%	Total Cost	From: Date of Grant To: Until amended

H. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

I. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is "000", United States and cooperating country.

J. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:

- a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.

- b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.

- c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.

2. AID closeout procedures include the following requirements:

- a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.

- b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.

- c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.

d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards".

e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide partial support for a SCF project which will implement a Community Based Integrated Responsive Development program in the urban area of Duri located in Jakarta, Indonesia. Under this project, SCF will adapt multi-sectoral programming to an urban setting and further develop techniques for stimulating participatory development.

B. SPECIFIC OBJECTIVES

1. Water and Sanitation

- provide health and environmental sanitation education
- explore possibility of improving, repairing or possibly covering drainage systems
- explore possibility of improving existing non-city water supply with filtration systems
- explore possibility of developing community water collection facilities
- organize local water cooperatives to eliminate delivery of unsafe water by local peddlers
- promote the construction of one or more model of public bathing, washing latrine facilities and garbage collection posts
- explore the possibility of organizing regular garbage pickups

2. Education and Recreation for Children and Youth

- when necessary and appropriate, assist with upgrading elementary schools in terms of materials and equipment
- organize P.K.K./women's groups at local level to provide day care including possible nutrition interventions
- explore options for sports and recreation facilities including acquisition/donation of space for team activities such as badminton, volleyball, playgrounds, table tennis, etc.

- work closely with Dinas Sosial in all youth activities through Karang Taruna program including possible income generation/skills training programs
- explore possibility of assisting scout groups (Pramuka) especially in school health/dr. kecil activities

### 3. Family Economic Improvement

- provide appropriate skills training for employment possibilities in the area surrounding Duri
- develop loan program through local government/financial institutions to develop and expand small-scale enterprise such as food producers (i.e. tempe/tahu/krupuk), kaki lima, any existing home industries and potential new types of enterprise
- strengthen cooperative systems
- provide management assistance and training to small-scale local enterprise
- explore possibilities for small scale livestock programs (such as chickens, rabbits) either as potential income and/or as a means of reducing family expenses

### 4. Housing Improvement

A housing reconstruction program is at this time out of the scope of Save the Children's financial ability; however, various strategies (e.g., loans) will be explored for improving the living environment such as:

- increase access to sunlight
- improve ventilation/windows
- improvement of floors
- a clean-up/beautification program such as painting and window boxes (which could also be small home gardens).

## C. IMPLEMENTATION

The project will be implemented over a 12-month period commencing on or about July 1, 1986. The target completion date is June 30, 1987. The Field Director for Save the Children Federation in Jakarta will have overall responsibility for all program and project activities. The program staff will consist of a health program manager and two health motivators.

In addition two field coordinators will be hired to conduct programs outside of the health sector. All program activities will be coordinate with local government and community officials.

Administrative/support staff will include one office manager, one secretary, one accountant and two sponsorship staff.

The SCF Liaison Officer will be responsible for coordination with national level government agencies and will serve as senior program advisor.

UNITED STATES OF AMERICA  
AGENCY FOR INTERNATIONAL DEVELOPMENT

AMERICAN EMBASSY  
Jakarta, Indonesia

Loan and Grant Agreements

1. [unclear]

2. [unclear]

3. [unclear]

4. [unclear]

5. [unclear]

6. [unclear]

7. [unclear]

8. [unclear]

Mr. Justin R. Jackson  
Director  
CARE/Indonesia  
Jl. Ciniro I/14  
Kebayoran Baru

✓ [unclear]  
[unclear] & File  
[unclear]

SUBJECT: GRANT NO.: 86-1

DATE: June 16, 1986

Dear Mr. Jackson:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to the CARE/Indonesia (hereinafter referred to as "CARE" or "Grantee") the sum of \$575,000 to provide partial support for the project entitled, "Water and Sanitation for a Healthier Environment (WASHES) and Dry Land Farming Systems (DFS)", as more fully described in the attachment to this Grant entitled "Program Description," under AID project No. 497-0536.

This Grant is effective April 1, 1986 shall apply to commitments made by the Grantee in furtherance of project objectives through the period from June 30, 1987.

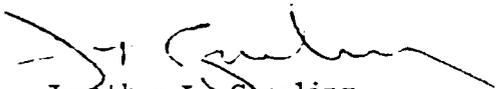
This Grant is made to CARE on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 2 entitled, "Program Description", and Attachment 3 entitled "Standard Provisions", which have been agreed to by your organization.

Please sign two (2) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

3

Please return one copy of this Grant to the Office of Voluntary and Humanitarian Programs (VHP), USAID/Indonesia.

Sincerely yours,



Jonathan L. Sperling  
Acting Director, USAID/Indonesia  
Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

CARE/Indonesia

By: 

Justin R. Jackson

Title: Director

Date: 16 June 1986

FUND AVAILABILITY PROGRAMS		
<input checked="" type="checkbox"/> Obligation	<input type="checkbox"/> Earmark	<input type="checkbox"/> Commitment
BPR	GDAA-86-27497-4613	
APPROP	72-1161021	
PROJ/DRAFT#	497-0336	
OBLIGNO	PA-497-0336-86-01	
RESCTLNO	PG00567	
EARCTLNO		
AMOUNT	\$ 267,950.00	

Clearances:

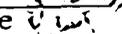
VHP: R C Coggins (draft)

CX: M Stevenson 

PRO: T Mahoney 

ARD: A Newman 

LA: G Bisson 

FIN/A: R McClure 

FIN/B: M Lew 

FUND AVAILABILITY PROGRAMS		
<input checked="" type="checkbox"/> Obligation	<input type="checkbox"/> Earmark	<input type="checkbox"/> Commitment
BPR	GDAA-86-27497-DG13	
APPROP	72-1161021	
PROJ/DRAFT#	497-0336	
OBLIGNO	PA-497-0336-86-01	
RESCTLNO	PG00568	
EARCTLNO		
AMOUNT	\$ 107,050.00	

Attachment 1

Schedule

A. Purpose of Grant

The purpose of this grant is to provide support to the grantee's program as described in Attachment 2 to this grant, entitled "Program Description".

B. Period of Grant

The effective date of this grant is April 1, 1986. The estimated expiration date of this grant is June 30, 1987.

C. Amount of Grant and Payment

1. The total estimated amount of this grant for the period shown in B., above, is \$375,000.
2. AID hereby obligates the amount of \$375,000 for program expenditures during the period set forth in B., above, and as shown in the Financial Plan below.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision entitled "Payment-Periodic Advance".
4. Documentation required by the payment provision noted above shall be submitted to:

Office of Finance  
USAID/Jakarta.

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this entitled "Revision of Financial Plans".

April 1, 1986 - June 30, 1987

<u>ELEMENT</u>	<u>USAID</u>	<u>GOI/CARE</u>	<u>TOTAL</u>
<u>Water &amp; Sanitation</u>			
Project Materials & Equipment	159,118	376,100	535,218
Training	6,000	19,000	25,000
Personnel & Operations	147,567	239,900	387,267
Sub-Total	312,485	635,000	947,485
<u>Dryland Farming</u>			
Project Materials & Equipment	-	2,000	2,000
Personnel & Operations	27,374	34,600	61,974
Sub-Total	27,374	36,600	63,974
TOTAL	339,859	671,600	1,011,459
Overhead (10.34%)	35,141	-	35,141
TOTAL	375,000	671,600	1,046,600
	(35.8%)	(64.7%)	(100%)

CARE agrees, as a condition to this Grant being made by USAID, that it will assure that the USAID contribution to the Total Program Budget does not exceed 75% of the Total Program Budget with all other funding coming from non-USAID sources. Failure of CARE to assure such non-USAID funding from other donors or its own resources may necessitate the termination of this Grant and possible refund action. The funds provided herein by USAID will be used to finance the "USAID" portion of the budget description. The grantee may not exceed the total amount of the USAID contributed portion of the budget.

#### E. REPORTING AND EVALUATION

CARE will prepare and submit to USAID/VHP a semi-annual narrative report on the progress of the project. This report is to be in sufficient detail for AID to judge the effectiveness and efficiency with which the project was implemented.

Financial reports will be submitted quarterly in accordance with Standard Provision "Payment Periodic Advance" of Attachment 3. A final financial report will be submitted within 90 days of project completion.

In addition, an evaluation will be conducted by the Grantee in consultation with AID at the conclusion of the project or any extension of the project. The Grantee and AID will meet to establish terms of the evaluation and funds required for the evaluation.

Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the Grantee shall inform the Grant Officer as soon as the following types of conditions become known:

1. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.
2. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

#### F. SPECIAL PROVISIONS

1. The total amount of this Grant is \$375,000. It is estimated that this amount will be sufficient to fund the Grant for one year. Subsequent year funding may be agreed to dependent on the availability of funds and the results of an evaluation of activities funded under this proposal and under previous Co-Fi grants to CARE.
2. Provision for Partially Funded AID Grants
  - (a) The funds provided by this grant represent partial support of the program/project as described in the Schedule of this grant, and may be used to support the costs of the program/project as specified in the Financial Plan included in the Schedule.

(b) The restrictions on the use of AID grant funds hereunder set forth in the Standard Provisions of this grant are applicable to expenditures incurred with AID funds provided under this grant. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The grantee will account for the AID funds in accordance with the Standard Provisions of this grant entitled 'Accounting, Audit and Records'.

(c) Notwithstanding paragraph (b) of the Standard Provision of this grant entitled 'Refunds,' the parties agree that in the event of any disallowance of expenditures from AID grant funds provided hereunder, the Grantee may substitute for such disallowance expenditures made with funds provided from non-Federal sources provided they are otherwise incurred for the purpose of this grant. At the expiration or termination of this grant, amounts of disallowance of expenditures from AID grant funds for which a substitution with expenditures from non-Federal funds cannot be made will be refunded to AID.

3. A full description of the project is contained in the project proposal dated February 28, 1986. It is hereby incorporated by reference into this Grant Agreement. Should there be any conflict between the project description of the original proposal and the description in Attachment 2, the description in Attachment 2 will take precedence.

G. Overhead Rate

Pursuant to the Standard Provision of this grant entitled 'Negotiated Indirect Cost - U.S. Nongovernmental Grantees' the following indirect cost rate is established:

<u>Rate</u>	<u>Base</u>	<u>Period</u>
10.34%	Total Costs	From: Date of Grant To: until amended

H. Title to Property

Title to property procured under this grant will vest in the Grantee in accordance with Optional Standard Provision No. 20 of this grant.

I. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is "000", United States and the Cooperating country.

J. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:
  - a. Closeout. The closeout of a grant or agreement is the process by which AID determines that all applicable administrative actions and all required work of the grant or agreement have been completed by the recipient and AID.
  - b. Date of Completion. The date of completion is the date on which and work under grants and agreements is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.
  - c. Disallowed Costs. Disallowed costs are those charges to a grant or agreement that AID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant or agreement.
2. AID closeout procedures include the following requirements:
  - a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant or agreement being closed out.
  - b. The recipient shall immediately refund any balance or unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or agreements.
  - c. AID shall obtain from the recipient within 90 calendar days after the agreement all financial, performance, and other reports required as a condition of the grant or agreement. AID may grant extensions when requested by the recipient.
  - d. The recipient shall account for any property acquired with AID funds or received from the Government in accordance with Attachment N of GIB Circular A-110 entitled "Property Management Standards".
  - e. In the event a final audit has not been performed prior to the closeout of the grant or agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

A. PURPOSE

The purpose of this grant is to provide support for a CARE project to:

1. improve the quality of life for residents of selected Indonesian villages in West Java, East Java and NTB through the provision of improved water supply and sanitation facilities;
2. develop a program strategy in three new areas:
  - training in community based rural water system construction of government and non-government personnel.
  - facilitating change in the government's approach and/or policy in the provision of clean water and sanitation facilities in rural areas.
  - collaborating with Indonesian PVOs in related project activities.
3. promote improved dry land farming practices in Pacitan District of East Java and in NTB.

B. SPECIFIC OBJECTIVES

WASHES:

1. Construct gravity flow water systems in 43 villages.
2. Install 120 handpumps.
3. Develop a "new directions" strategy mentioned in A(2) above.

Dry Land Farming

1. Training in soil conservation based farming techniques provided to 480 farmers.
2. Leadership and motivational training provided to 32 farmer leaders.
3. Soil conservation extension services provided to 480 farmers.

4. Establishing plant sources for gliricidia, calliandra and various grasses.
5. Extension services in conservation based dryland farming continue to be regularly provided to 510 farmers from 1984-1986 project sites.
6. Demonstration plots established on 48 farms.
7. Field based training in dryland farming techniques conducted for government agricultural extension staff and other interested groups.

C. IMPLEMENTATION

The project will be implemented over a one year period beginning on April 1, 1986. The target completion date is June 30, 1987.