

PDBC688



USAID/Bamako
B.P. 34
Bamako, Mali

USAID MALI
AMBASSADE AMERICAINE



Bamako (I.D.)
Dept. of State
Washington, D.C. 20520

Ms Kathy Tilford
Director, Care-Mali,
B.P. 1766
Bamako, Mali

Reference: Grant No. 688-0247-G-00-1173-00

Dear Ms Tilford,

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to CARE hereinafter referred to as "Grantee") the sum of \$459,193 to provide an Operational Program Grant (OPG) for a program entitled "TIMBUKTU RURAL DEVELOPMENT (TRD) INITIATIVES FOR DEVELOPMENT AND EMERGENCY SUPPORT (IDEAS)", referred to hereinafter as TRD/IDEAS, as more fully described in Attachment 1 of this Grant entitled "Schedule", and Attachment 2 entitled "Program Description."

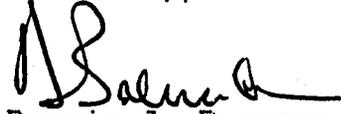
This Grant is effective as of April 17, 1991, and shall apply to commitments made by the Grantee in furtherance of program objectives from the effective date and ending on the estimated completion date of April 16, 1994. This Grant is made to the Grantee on condition that the funds will be administered in accordance with the terms and conditions as set forth in this Cover Letter, Attachment 1 entitled "Schedule", Attachment 2 entitled "Program Description", Attachment 3 entitled Illustrative Budget, Attachment 4 entitled "Mandatory Standard Provisions", and Attachment 5 entitled "Optional Standard Provisions".

It is a legal requirement that the Initial Environmental Examination be approved by the Regional Legal Advisor before funds can be disbursed.

The Authorized Geographic Code for all commodities purchased with A.I.D. funds under this Grant is 000, especially TA, computer equipment, and vehicles, unless A.I.D. may otherwise state in writing. The Grantee is required to maintain accurate procurement records citing source and origin of each.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the grant, and return the original and six (6) copies to the undersigned.

Sincerely,



Dennis J. Brennan, Director
Grant Officer

Date 17 April 1991

Attachments:

1. Schedule
2. Program Description
3. Illustrative budget
4. Mandatory Standard Provisions
5. Optional Standard Provisions

ACKNOWLEDGED:

FOR CARE

BY: Kathleen Tilford

TYPED NAME: Kathleen Tilford

TITLE: Director

DATE: April 26, 1991

FISCAL DATA

Appropriation Symbol:	72-111/21014
Budget Plan Code:	GSS1-91-21688-K013
PIO/T No.:	688-0247-3-10012
Project No.:	688-0247-3-10012
Total Estimated Amount:	\$ 896,800
Total Obligated Amount:	\$ 459,193
DUNS Number:	014
LOC Number:	
Project Office:	General Development Office

Submission of Invoices to: Office of the Controller -
USAID/Mali, B.P. 34
Bamako, Mali

Paying Office: A.I.D./M/FM/PAFD - Room 636 SA-12 - Washington
D.C. 20523

SCHEDULE

A. PURPOSE OF GRANT

The purpose of this Grant is to provide assistance in the 1991 emergency situation afflicting the North of Mali, to populations in the Region of Timbuktu, and particularly in the Cercles of Dire and Goundam. Women and men will be enabled, within the context of their own communities to organise natural resource protection in the bourgouttieres, planting trees, promoting agro-forestry and range management; developing small economic activities, cereal banks, gardening, etc. Food for Work is expected to be part of the program, and to be provided from National Security stocks. The starting date of this Grant is April 17, 1991. The estimated completion date is April 16, 1994.

USAID and the Grantee understand that fulfilling the objectives of the program is partly contingent on receipt of the emergency food requested. Should the amount of food requested not become available, USAID and the Grantee will re-evaluate program objectives and implementation accordingly.

C. AMOUNT OF GRANT AND PAYMENT

1. AID hereby obligates the amount of \$459,193 for purposes of this Grant. The total estimated amount of this Grant is \$896,800. Future obligations are subject to the availability of funds.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 5, Optional Standard Provision No.2 A, entitled "Payment - Federal Reserve Letter of Credit (FRLC)"

D. FINANCIAL PLAN

1. The following is the Grant Budget. The Grantee shall not exceed the obligated amount (see Part C above). Revisions to this budget shall be made in accordance with the Standard Provision of this Grant entitled "Revision of Grant Budget".

2. Grant Budget

<u>Cost Element</u>	<u>A.I.D. Contribution</u>	<u>Grantee Contribution</u>
Personnel (int'l)	\$ 80,326	\$ 172,221
Personnel (national)	\$ 128,377	\$ 450,397
Equipment & training	\$ 101,772	\$ 238,267
Technical assistance	\$ 28,000	\$ 134,425
Vehicles	\$ 0	\$ 115,200
Project operations	\$ 86,704	\$ 342,981
Food commodities	\$ 0	\$ 103,952
Indirect costs	\$ 34,014	\$ 42,522
Total	\$ 459,193	\$1,599,965

E. COST SHARING/MATCHING GRANTS

Definition: Cost sharing/matching is a required contribution by the Grantee from non-Federal sources toward the total cost of the program, either in cash or in-kind, usually described as a percentage of total costs.

The determination as to the requirement for a level of cost sharing/matching is the responsibility of the cognizant program officer. All grants designated by the Program Office as matching or cost sharing grants will contain the Standard Provisions entitled "Cost Sharing/Matching". The Grantee's contribution shall be at least 25 per cent of that amount granted by A.I.D. Funds disbursed after February 1st, 1991 will be accepted by A.I.D. as counting towards total matching funds.

Criteria and procedures for the allowability of cash and in-kind contributions made by grantees to satisfy cost sharing/matching requirements are set forth in paragraph 1K, "Cost Sharing and Matching," of A.I.D. Handbook 13 and "Optional Standard Provisions," entitled "Cost Sharing/Matching". Except for these requirements, no other requirements set forth in the standard provisions are applicable to costs incurred by the Grantee from non-Federal funds.

Cost sharing/matching percentages will be applied to the total cost of the grant program. Cost principles applicable to grants prohibit the accomplishment of cost sharing/matching through arbitrary limitations on individual cost elements. This is particularly important in the area of indirect costs (overhead). When a negotiated overhead rate agreement is in effect between A.I.D. or another cognizant agency and a grantee organization, the negotiated rate will be applied to the agreed upon direct costs to determine the total cost of the program, and the cost sharing/matching percentage will be applied to the total cost. The NICRA is on file.

Unless otherwise specified in the schedule of the Grant, verification that the cost sharing/matching requirement has been met will be made at the end of each funding period or on an annual basis as determined by the cognizant program office. Verification is based on expenditures. Individual expenditures do not have to be shared or matched provided that the total expenditures incurred during the designated funding period or year are shared or matched.

The Grantee must account for the A.I.D. funds in accordance with the standard provisions entitled "Accounting, Audit and Records". However, in the event of disallowances of expenditures from A.I.D. grant funds, the Grantee may substitute expenditures made with funds provided from non-Federal sources, provided they are otherwise eligible in accordance with the Mandatory Standard Provisions clause entitled Cost Sharing/Matching.

F. REPORTING/EVALUATION

1. Financial Reporting

- a. Financial reporting requirements shall be in accordance with Optional Standard Provision No 2A of this Grant entitled, "Payment - Letter of Credit," as shown in Attachment 5.
- b. Two copies of all financial reports shall be submitted to the following Technical Office:

Agency for International Development
PVO COORDINATOR
General Development Office
B.P 34
Bamako, Mali.

2. Program Reporting

The Grantee shall monitor the performance under this Grant and ensure that time schedules are being met, projected work units by time periods are being accomplished, and other performance goals are being achieved, in accordance with a detailed implementation plan which shall be submitted by the Grantee within 45 days of signature of this Grant. The implementation plan will state achievable objectives by six-monthly periods, and will outline methods for measuring progress against these objectives, both in terms of actions and of impact. A logical framework will be produced by the Grantee, in association with the Grantee's NGO partners, showing results expected for each partner and activity, and objectively verifiable indicators for assessing the results achieved. The role of each partner will be outlined in the implementation plan, together with the expected contribution of each to impact and sustainability of the project. Evaluation of the project will be carried out using research-action and impact-monitoring techniques, and with the involvement of all the partners, including villagers.

The Grantee shall adhere to the following reporting schedule:

a. Progress Reports

Progress reports are required every six months. The Grantee will submit progress reports stating what has been accomplished to date, including as an attachment the Financial Report specified in F.1. above. These reports are due within thirty days following the periods ending March 31 and September 30 for each year of the grant. One copy of the progress report should be submitted to the General Development Office of USAID, Bamako.

b. Final Report

The Grantee shall submit to the A.I.D. Office, Bamako, Mali a final report in three (3) copies within 45 days after the expiration date of this Grant. The final report for this Grant shall briefly present the following information for each program, function, or activity involved:

- (i) A comparison of actual accomplishments with the goals established for the period, the findings of the evaluator, or both. If the output of programs or projects can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.
- (ii) Reasons why established goals were not met.
- (iii) The names and positions of the evaluators who contributed to the effort, including participation by the villagers in the evaluation process.
- (iv) Other pertinent information including, when appropriate, analysis and explanation of cost overruns or high unit costs.

G. SPECIAL PROVISIONS.

SOS and medical insurance for expatriate personnel must be provided by the Grantee. Embassy health unit facilities will be available in accordance with the rules pertaining at any particular time.

H. INDIRECT COST RATE

Pursuant to the provisions of Clause 13 of the Standard Provisions of this Grant, and in accordance with the Negotiated Indirect Cost Rate Agreement dated September 24, 1990, provisional overhead rates as set forth below have been established for the Grantee:

Effective Period

<u>Type</u>	<u>From</u>	<u>To</u>	<u>Overhead</u>
Provisional	9/24/90	until amended	8.0%

Base of Application

Total direct cost excluding equipment and capital expenditures

PROGRAM DESCRIPTION

I. Purpose:

The purpose of this Operational Program Grant is to provide economic activity appropriate to the emergency drought situation in Dire and Goundam Circles (Timbuktu Region).

II. Description of Activities:

The Grantee will perform the activities under the Operation 1 Program Grant as described in detail in the Grantee's proposal entitled "TRD/IDEAS" presented in their letter 8829 dated December 14th, 1990 together with clarifications sent with their letter 8967 dated February 8th, 1991, responding to queries raised by the Review Committee. It is understood that expenditure of the Grantee's matching funds will begin February 1st 1991, and therefore funds spent after February 1st but before the starting date of the present Grant will count as matching funds for the purposes of the present Grant. Subgrants to partner NGOs are permitted under this Grant, in accordance with the Project Description (Attachment 2).

In case of conflict between the Grantee's application and the Covering Letter and Attachments 1, 2, 3, 4, and 5 of this Grant, the Covering Letter and Attachments 1, 2, 3, 4 and 5 shall control.

ATTACHMENT 2 is composed as follows:

PART A: Project Proposal (reviewed) dated December 14th, 1990

PART B: Clarifications (reviewed) dated February 8th, 1991

NOTE: The Illustrative Budget is contained in Attachment 3.

ALL PROJECT COSTS EXPRESSED IN US\$ (US\$1 = 250 CFA)

LOCAL COSTS ARE DISBURSED BY CARE-MALI, MOSTLY IN FCFA
OTHER COSTS ARE THOSE NOT DISBURSED BY CARE-MALI, MOSTLY IN US\$

DESCRIPTION	FY 91 (6 MONTHS)		FY 92		FY 93		FY 94 (6 MONTHS)		TOTALS FOR THREE YEARS			OBSERVATIONS
	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	TOTAL	
1. MATERIALS, EQUIPMENT & TRAINING												
a. Tools, Water & Land Mngmt Component	4,950								4,950	0	4,950	
b. Seeds, Agri Production Component	7,400								7,400	0	7,400	
c. Materials, Tata Vert	4,700								4,700	0	4,700	
d. Materials/Tools, Soil Conservation	750								750	0	750	
e. Drinking Water Wells, Equipment/Tools	4,992		1,500		1,500				7,992	0	7,992	
f. Drinking Water Wells, Cement, Rebar, etc			8,880		9,768				18,648	0	18,648	Funding available, FY91
g. Drinking Water Wells, Skilled Labor			2,800		3,080				5,880	0	5,880	Funding available, FY91
h. Audio-Visual Supplies, Hygiene Educ.			500		500				1,000	0	1,000	Funding available, FY91
i. Garden Wells, Equipment/Tools	2,000		1,000		750				3,750	0	3,750	
j. Garden Wells, Cement, Rebar	7,200		7,920		5,808				20,928	0	20,928	
k. Garden Wells, Skilled Labor	2,400		2,640		1,936				6,976	0	6,976	
l. Capital Funds, Cereal Banks	2,000		4,000		4,000				10,000	0	10,000	
m. Capital Funds, Women's Credit	400		2,800		4,800				8,000	0	8,000	
n. Training Costs, SEAD	1,500		3,000		3,000		1,500		9,000	0	9,000	
o. Training Costs, Improved Water Supply			1,500		500				2,000	0	2,000	
p. Training Costs, Agri Production	2,000		3,000		3,000		1,000		9,000	0	9,000	
q. Computer Equipment/Office Furniture	8,000				6,000				14,000	0	14,000	Year III for Mingo
r. Mobile Radio Equipment	7,000								7,000	0	7,000	
s. Mobylettes (3)	3,600				4,200				7,800	0	7,800	Year III for Mingo
t. Bicycles (4)	1,200								1,200	0	1,200	
u. Bamako Multi Project costs	345	345	725	725	761	761	400	400	2,231	2,231	4,462	
Subtotals	60,437	345	40,265	725	49,403	761	2,900	400	153,205	2,231	155,436	
3. INTERNATIONAL PERSONNEL												
1 IDEAS Activities Coordinator	2,000	18,000	8,400	33,600	8,820	35,280	4,631	18,522	23,851	105,402	129,253	
Bamako Multi Project Costs	1,429	4,483	3,000	9,414	3,150	9,884	1,654	5,189	9,232	28,970	38,203	
Subtotals	3,429	22,483	11,400	43,014	11,970	45,164	6,284	23,711	33,083	134,372	167,455	
4. NATIONAL PERSONNEL												
1 Water & Land Mngmt Engineer	2,880		6,336		6,970		3,833		20,019	0	20,019	
1 Wells Engineer			9,000		9,900		5,445		24,345	0	24,345	
1 Health Educator			4,080		4,482		2,468		11,036	0	11,036	
1 ANR Program Assistant	3,840		8,448		9,293		5,111		26,692	0	26,692	
4 Food Monitors	2,880								2,880	0	2,880	Emerg food distribution FY91
5 Field Agents	10,200		22,440		24,684		13,576		70,900	0	70,900	Employment status of field
1 SEAD Field Agent	2,040		4,488		4,937		2,715		14,180	0	14,180	agents and Admin staff (i.e.
1 Accountant	2,040		4,488		4,937		2,715		14,180	0	14,180	CARE or MINGO) is likely to
1 Admin. Assistant	2,040		4,488		4,937		2,715		14,180	0	14,180	evolve over time.
2 Driver	3,120		6,864		7,550		4,153		21,687	0	21,687	
2 Truck Drivers	4,080								4,080	0	4,080	
Bamako Multi Project Costs	7,695		16,930		18,623		10,242		53,490	0	53,490	
Subtotals	40,815	0	87,562	0	96,318	0	52,975	0	277,670	0	277,670	

ALL PROJECT COSTS EXPRESSED IN US\$ (US\$1 = 250 CFA)

LOCAL COSTS ARE DISBURSED BY CARE-MALI, MOSTLY IN FCFA
OTHER COSTS ARE THOSE NOT DISBURSED BY CARE-MALI, MOSTLY IN US\$

DESCRIPTION	FY 91 (6 MONTHS)		FY 92		FY 93		FY 94 (6 MONTHS)		TOTALS FOR THREE YEARS			OBSERVATIONS
	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	LOCAL	OTHER	TOTAL	
5. TECHNICAL ASSISTANCE												
SEAD Component Development	3,000								3,000	0	3,000	50% absorbed by CARE Incl MINGO Tech. backstopping Local: Focused Assessments Other: Addt Consultant on Ext. Evaluation
MINGO Tech Asst	1,500		6,000		6,000		3,000		16,500	0	16,500	
Research, Monitoring & Evaluation	2,500		5,000	10,000	6,000		3,000		16,500	10,000	26,500	
Subtotals	7,000	0	11,000	10,000	12,000	0	6,000	0	36,000	10,000	46,000	
6. VEHICLE PROCUREMENT												
Subtotals	0	0	0	0	0	0	0	0	0	0	0	No Vehicles bought with USAID Funds
7. PROJECT OPERATIONS												
a. Office Supplies	1,000		2,000		2,000		1,000		6,000	0	6,000	CARE/MINGO Year III/IV, incl.MINGO office Year III/IV, incl.MINGO office Year III/IV, incl MINGO veh. incl MINGO travel incl MINGO misc Est. 30 % of Staff, TA, M&E cost paid from USAID funds
b. Communications	750		1,250		1,250		650		3,900	0	3,900	
c. Rent	1,500		3,000		6,000		3,000		13,500	0	13,500	
d. Utilities, Maintenance & Repair	4,000		1,000		2,000		2,000		9,000	0	9,000	
e. Vehicle O&M/Transport	14,540		16,100		18,800		7,250		56,690	0	56,690	
f. Travel & Per Diem	2,400		4,400		4,400		3,100		14,300	0	14,300	
g. Miscellaneous	1,000		2,000		2,000		1,000		6,000	0	6,000	
h. MINGO Adain. support (30%)	3,132		8,779		13,529		5,854		31,295	0	31,295	
i. Bamako Multi Project Costs	6,204		13,649		15,014		8,258		43,124	0	43,124	
Subtotals	34,526	0	52,178	0	64,993	0	32,112	0	183,809	0	183,609	
SUBTOTAL DIRECT PROJECT COSTS	146,207	22,828	202,405	53,739	234,884	45,926	100,270	24,111	683,766	146,603	830,370	830,370
B. CARE/NY INDIRECT COST RECOVERY (8%)	11,697	1,826	16,192	4,299	18,791	3,674	8,022	1,929	54,701	11,728	66,430	
GRAND TOTAL	157,904	24,654	218,597	58,038	253,675	49,600	108,292	26,040	738,468	158,332	896,800	
<i>FY needs:</i>	<i>192,558</i>		<i>276,635</i>		<i>303,275</i>		<i>134,332</i>					
<i>18 month needs:</i>			<i>459,193</i>				<i>437,607</i>					

PLEASE NOTE - LINE ITEM 2, FOOD COMMODITIES ELIMINATED FROM USAID CONTRIBUTIONS

18 month needs: 459,193

437,607

CARE-MALI - TIMBUKTU RURAL DEVELOPMENT/IDEAS PROJECT

FIGURE 2: FINANCIAL PLAN

US\$1 = cfa 250

Line Item	FY 91 (6 Months)		FY 92		FY 93		FY 94 (6 Months)		TOTAL (3 YEARS)	
	USAID	CARE	USAID	CARE	USAID	CARE	USAID	CARE	USAID	CARE
1. Equipment Materials & Training	60,782	88,222	40,990	150,045	50,364	165,050	3,300	90,777	155,436	494,094
2. Food Commodities**	0	103,952	0	0	0	0	0	0	0	103,952
3. International Personnel	25,912	56,881	54,414	115,340	57,134	126,873	29,995	69,780	167,455	368,874
4. National Personnel	40,815	151,277	87,562	254,120	96,318	279,532	52,975	153,743	277,670	838,673
5. Technical Assistance	7,000	40,675	21,000	93,750	12,000	103,125	6,000	56,719	46,000	294,269
6. Vehicles	0	48,000	0	67,200	0	110,880	0	40,656	0	266,736
7. Project Operations	34,526	88,945	52,178	254,036	64,993	279,439	32,112	153,692	183,809	776,112
Subtotal	169,035	577,952	256,144	934,491	280,809	1,064,900	124,382	565,367	830,370	3,142,708
8. Indirect Cost Recovery***	13,523	14,489	20,492	28,035	22,465	31,947	9,951	16,961	66,430	91,431
TOTAL PROJECT COSTS	182,558	592,440	276,636	962,525	303,274	1,096,847	134,333	582,328	896,800	3,234,140

* Revised on December 15, 1990

** Request for CARE contribution for FY92 and FY93 food outstanding

Seperate request will be made thru USAID for 397 MT for FY91 from National Security Stock

***As per worldwide CARE agreements with various donors (8% for USAID)

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MANDATORY STANDARD PROVISIONS

The Mandatory Standard Provisions set forth as Attachment 3 of this grant consist of the following Provisions marked by an "X", which are attached hereto and made a part of this grant:

1. MANDATORY STANDARD PROVISIONS FOR U.S. NONGOVERNMENTAL GRANIEES

- (X) Allowable Costs (November 1985)
- (X) Accounting, Audit, and Records (January 1988)
- (X) Refunds (January 1988)
- (X) Revision of Grant Budget (November 1985)
- (X) Termination and Suspension (May 1986)
- (X) Disputes (March 1987)
- (X) Ineligible Countries (May 1986)
- (X) Debarment, Suspension, and other Responsibility Matters (Mar 1989)
- (X) Nondiscrimination (May 1986)
- (X) U.S. Officials Not to Benefit (November 1985)
- (X) Nonliability (November 1985)
- (X) Amendment (November 1985)
- (X) Notices (November 1985)

OPTIONAL STANDARD PROVISIONS

The Optional Standard Provisions set forth as Attachment 4 of this grant consist of the following Provisions marked by an "X", which are attached hereto and made a part of this grant:

2. OPTIONAL STANDARD PROVISIONS FOR U.S. NONGOVERNMENTAL GRANTEES

- (X) Payment - Letter of Credit (November 1985)
- () Payment - Periodic Advance (January 1988)
- () Payment - Cost Reimbursement (November 1985)
- (X) Air Travel and Transportation (November 1985)
- (X) Ocean Shipment of Goods (May 1986)
- (X) Procurement of Goods and Services (November 1985)
- (X) A.I.D. Eligibility Rules for Goods and Services (November 1985)
- (X) Subagreements (November 1985)
- (X) Local Cost Financing (May 1986)
- (X) Patent Rights (November 1985)
- (X) Publications (November 1985)
- () Negotiated Indirect Cost Rates - Predetermined (May 1986)
- (X) Negotiated Indirect Cost Rates - Provisional (May 1986)
- (X) Regulations Governing Employees (November 1985)
- (X) Participant Training (May 1986)
- () Voluntary Population Planning (August 1986)
- () Protection of the Individual as a Research Subject (November 1985)
- () Care of Laboratory Animals (November 1985)
- () Government Furnished Excess Personal Property (November 1985)
- (X) Title To and Use of Property (Grantee Title) (November 1985)
- () Title To and Care of Property (U.S. Government Title) (November 1985)
- () Title To and Care of Property (Cooperating Country Title) (November 1985)
- (X) Cost Sharing (Matching) (November 1985)
- (X) Use of Pouch Facilities (November 1985)
- (X) Conversion of United States Dollars to Local Currency (November 1985)

Name of document: carideas