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***LEADER WITH ASSOCIATES COOPERATIVE
AGREEMENT***

LAG-A-00-99-00048-00

Special Report - Performance Monitoring

Submitted 15 November FY04

Forests of the Lower Mekong Ecoregion

Improved Management Benchmarks

<i>Site and Country</i>	Steps Completed								<i>Area effected (ha)</i>
	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	
Central Annamites, Vietnam/ Laos PDR	FY05- (FY08)	FY02	FY02, FY04	FY02- (FY08)	FY02, FY04 (FY05- FY08)	FY03	(FY05- FY08)	(FY08)	2,700,000 ha*
Eastern Plains Dry Forests, Cambodia/Vietnam	FY02- (FY08)	FY02, FY04	FY02, (FY05)	FY02 (FY08)	FY02, FY04, (FY06- FY06)	FY04	FY04- (FY05- FY08)	(FY08)	2,000,000 ha*
Con Dao National Park and District		FY01	FY01	FY01	FY02	FY01	FY03	FY04	400,000ha

* Reflects total area of priority area where activities are ongoing and planned.

Forests of the Lower Mekong Ecoregion

Effective Management – None to report

Policy Successes

<i>Policy Results Worksheet</i>	<i>Steps Completed</i>				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Promote Sustainable Forest Management in Vietnam in line with Vietnam's Five Million Hectare Restoration Policy	FY00 (FY08)	FY00 (FY08)	FY01 (FY08)	FY03 (FY08)	(FY08)
Promote Sustainable Forest Management in Cambodia by integrating conservation priorities into forest sector laws and sub-decrees	FY01, FY04 (FY05)	FY01 (FY08)	FY03 (FY05)	(FY08)	(FY05-FY08)

Forests of the Lower Mekong Ecoregion

Integrate community-based natural resource management into provincial landuse policies in Vietnam	FY01	FY02-FY04 (FY05-08)	FY03, FY04 (FY05-FY08)	FY04 (FY08)	FY08
Integrate community-based natural resource management into provincial landuse policies in Cambodia	FY01	FY02-FY04 (FY05-FY08)	FY02 (FY08)	FY03 (FY08)	(FY08)

Atlantic Forest Ecoregion

Improved Management Benchmarks

<i>Site and Country</i>	Steps Completed								<i>Area effected Ha.</i>
	<i>Change in legal status that favors conservation</i>	<i>Local site assessment completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive mgmt demonstrated</i>	<i>On-the-ground impacts</i>	
Priority area Cerro Cora, Paraguay	FY01 FY02	FY02 FY03 (FY04)	(FY04)	FY01 FY02 (FY04)	(FY04)	FY02 FY03 (FY04)	(FY04)		962,752
Priority area Mbaracayu, Paraguay	FY01 FY02 FY03 (FY04)	FY02 (FY04)	FY01 FY02 (FY04)	FY01 FY02 FY03 (FY04)	(FY04)	FY02 (FY04)	(FY04)	FY02 (FY04)	708,390
Priority area Río Parana, Paraguay		(FY04)	FY01 FY02 (FY03- FY04)	FY01 FY02 (FY04)		(FY04)	(FY04)		389,105
Priority area Iguacu/Misiones Paraguay		FY02	FY02 (FY04)	FY02 (FY04)		FY02 (FY04)	(FY04)	FY02	751,155
Priority area San Rafael, Paraguay	FY01 FY02 FY03 (FY04)	FY01 FY02 FY03 (FY04)	FY01 FY02 FY03 (FY04)	FY01 FY02 FY03 (FY04)	FY02 FY03 (FY04)	FY02 (FY04)	(FY04)	FY02	840,642

Atlantic Forest Ecoregion

Policy Successes Benchmarks

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Target policies identified through a threats analysis	FY00 FY02	FY03 (FY04)	(FY04)	FY02 (FY04)	FY02 FY03 (FY04)
Effective implementation of existing priority protected areas in the Paraguay portion of the Upper Paraná (formerly known as Interior Atlantic Forest)	FY00 FY02 FY03 (FY04)	FY01 FY02 (FY04)	FY01 FY02 FY03 (FY04)	FY01 FY02 (FY04)	FY03
Paraguayan government recognition and participation in the Tri-National Initiative for the Conservation and Sustainable Development of the Upper Paraná Atlantic Forest	FY00	FY01 FY03 (FY04)	FY01	FY01 FY03	-
Implementation of the biological vision for the Upper Paraná Atlantic Forest Ecoregion	FY00	FY01	FY01	FY03	FY03

Atlantic Forest Ecoregion

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
	FY02	FY02 FY03 (FY04)	FY02 (FY04)	(FY04)	(FY04)
Improved coordinated enforcement of environmental laws relevant for protection of the Upper Paraná Atlantic Forest in Paraguay, Argentina, and Brazil (New)	FY02	FY01 FY02 (FY04)	FY01 FY02	FY01 FY02	FY03
Tri-national strategy to ensure transboundary control of timber, non-timber forest products, and wildlife trade	FY01	(FY04)	(FY03)	(FY04)	(FY04)
Developed a policy proposal to stop UPAF conversion to agricultural use	FY03	(FY04)	(FY04)	(FY04)	FY03 (FY04)

Bering Sea Ecoregion

Improved Management Benchmarks

	Steps Completed								
<i>Site and Country</i>	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	<i>Area effected (ha)</i>
Nalychevo Nature Park, Russia	FY01	Biological Profile Complete FY00		FY00 – FY01	FY02	FY00		FY01	Marine Conservation Zone created in 3/02 19,400 ha
Commander Isl Zapovednik, Russia		Sea Otter Survey FY00		FY00	FY00	FY04	FY04		3,463,300 ha
Karaginsky Island/Bay, Russia	FY02	SocioEco. Survey FY00	FY00	FY02	FY00				Approx. 100,000 ha.
Karaginsky Island/Bay, Russia	FY02	Biological Survey FY00-FY01	FY02	FY02	FY02	FY02		FY02	Approx. 100,000 Ha.

Bering Sea Ecoregion

	Steps Completed								
<i>Site and Country</i>	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	<i>Area effected (ha)</i>
Wrangel Island (Application to UNESCO for World Heritage Status (2000), Russia)		Application submitted in 2000, Decision pending; In July FY03- decision postponed			FY03	FY04		FY04	Wrangel Island Zapovednik 2,225,600 ha.
Commander Isl Zapovednik, Russia		Socio-Economic Survey FY01 – FY02		FY00	FY03	FY03	FY04	FY04	3,463,300 ha
Chukotka Peninsula coastal region, Russia	FY02	FY03	FY03	FY03	Protected Areas Planning workshop FY03	FY04			

Bering Sea Ecoregion

Policy Successes Benchmarks

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analysis</i>	<i>Communication and educational activities to improve policies and their implementations</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Addressing Illegal Fishing	FY00	FY00	FY01- FY02	FY02	FY02
Promoting US-Russia joint fisheries conservation	FY00	FY00	FY02	FY02	FY03
Community-based Fisheries management	FY02	FY02	FY02	FY02	FY04
Marine Protected Areas Establishment	FY01	FY01	FY01	FY01	FY 02
Environmental Education/Public Awareness improved	FY02-FY03	FY02-FY03	FY02- FY03	FY02 – FY03	FY02-FY03

Terai Arc

Improved Management Benchmarks

<i>Site and Country</i>	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	<i>Area effected (ha)</i>
Terai Arc Landscape (Nepal)	FY01	FY02	(FY05)	(FY01-FY06)	(FY02 – FY09)	(FY02-FY09)	--	(FY01-09)	2,100,000 ha*

Policy Successes Benchmarks

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analysis</i>	<i>Communication and educational activities to improve policies and their implementations</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Landscape level conservation in Nepal, leading to government recognition of the Terai Arc Landscape as an entity	FY04	(FY04 - 09)	(FY 03-05)	--	--

Terai Arc

Policy Results Worksheet	Steps Completed				
Supplementary agreement signed with Ministry of Forests and Soil Conservation in Kathmandu, Nepal	FY01	FY01	FY01	FY01	--
TAL Strategic Plan (guiding document for future investment) approved by HMG and core donor agencies in TAL (USAID Mission, UNDP, SNV, DFID, WWF< MFSC)	FY03	FY03	(FY03-FY05)	--	--
TAL Financial Plan, consisting of projected costs, 10 year workplan and a sustainable funding mechanism to be approved by HMG and core donor agencies in TAL	FY04	FY04	(FY05-FY06)	--	--
Policies to promote conservation and forest management of TAL improved particularly regarding forest handover, community access to forest resources, and protection of government forests	FY01-FY02	FY02 –FY03	FY03	(FY04 -FY09)	(FY04-FY09)

Terai Arc

Policies to Promote protection of Churia watershed and range, through declaration of protected status	FY04	(FY05-FY09)	(FY05-FY09)	(FY05-FY09)	(FY05-FY09)
Transboundary MOU between India and Nepal on TAL corridor management, poaching and wildlife trade, and other transboundary issues	FY01	FY02	FY03	(FY03-FY09)	(FY05-FY09)
Protocols developed and policies improved for socioeconomic conditions of local people	FY02	(FY01-FY09)	(FY05– FY09)	(FY05-FY09)	(FY05-FY09)

Southwestern Amazon Ecoregion

Improved Management Benchmarks

<i>Site and country</i>	Steps Completed								<i>Area effected</i>
	<i>Change in legal status that favors conservation</i>	<i>Local site assessments completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	
From Amboró to Madidi, Bolivia (include municipalities of Santa Cruz, Beni, Cochabamba and La Paz)		(FY04)				(FY02)			approx. 16,000,000 ha
Pilot Area Conservation Plan into Amboró-Madidi Corridor		(FY03)	FY04						637,000 ha (Amboró Protected Area)
Altamachi Protected Area	(FY02)	FY05	FY04	FY05	FY05	(FY03)		FY04	516,231 ha

Effective Management: *NA*

Southwestern Amazon Ecoregion

Policy Successes

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Amboró –Madidi connectivity complex Biodiversity Visión and a pilot Conservation Plan	FY03	(FY04)	(FY05)	(FY05)	
Promoting adoption by SERNAP of WCPA evaluation standards of effective management into Protected Areas as a pilot project in the Amboró-Madidi Corridor	FY03	(FY04)	(FY04)		
Strategies to identify and to address threats in the corridor Amoro-Madidi	FY03		(FY05)	(FY05)	
Altamachi Supreme Decree	FY03	(FY04)	(FY04)		

Southwestern Amazon Ecoregion

Guidelines to incorporate conservation issues into elaboration guides for land planning - "Ordenamiento Territorial"-	FY03	(FY05)	(FY05)	(FY05)	
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Sulu Sulawesi Marine Ecoregion

	Steps Completed								
<i>Site and Country</i>	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	<i>Area effected (ha)</i>
Kiunga MNR, Kenya			(FY04-10)	(FY05-12)	(FY05-12)	(FY05)		(FY07-12)	25,000 ha
Rufiji-Mafia-Kilwa Seascape, Tanzania	(FY8-12)	FY04	(FY05-10)	(FY05-12)	(FY05-12)	(FY05)		(FY10-12)	949,000 ha
Quirimbas NP, Mozambique			(FY04-10)	(FY04-11)	(FY04-12)	(FY05)		(FY07-12)	152,000 ha

Sulu Sulawesi Marine Ecoregion

<i>Policy Results Worksheet</i>	<i>Steps Completed</i>				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Kiunga MNR: harmonize legislation	(FY07-10)	(FY08-12)	(FY12)	(FY13)	(FY14)
Rufiji-Mafia-Kilwa Seascape: harmonize legislation	(FY07-10)	(FY08-12)	(FY12)	(FY13)	(FY14)
Rufiji-Mafia-Kilwa Seascape: create new MPA in Songo Songo Archipelago	(FY09-12)	(FY09-12)	(FY12)	(FY13)	(FY14)

Sulu Sulawesi Marine Ecoregion

Improved Management Benchmarks

<i>Site and Country</i>	Steps Completed								<i>Area effected (ha)</i>
	<i>Change in legal status that favors conservation</i>	<i>Local Site Assessment Completed</i>	<i>Management actions designed with appropriate participation</i>	<i>Human and institutional capacity developed</i>	<i>Management actions implemented</i>	<i>Ongoing monitoring and evaluation initiated</i>	<i>Adaptive management demonstrated</i>	<i>On-the-ground impacts</i>	
Turtle Islands (Phils and Mal) and Derawan Island (Ind)	(FY05)	(FY05)	(FY05)	(FY04-FY05)	(FY04-FY05)	(FY04 - FY05)		(FY04 – FY05)	~1,463,000 has (Derawan) 249,968 ha (Phils Turtle Islands)
Mabini, Tingloy, Puerto Galera – Phils		FY03	(FY03-04)	(FY04-FY05)	(FY02-05)	(FY03 - FY05)		(FY04 – FY05)	5,400 ha (Mabini) 21,900 ha (Tingloy) 15,600 ha (P. Galera)
Bunaken, North Sulawesi-Indonesia		FY03	(FY03-04)	(FY04-FY05)	(FY02-FY05)	(FY03 - FY05)	FY04-fish spawning site identified and closed	(FY02 – FY04)	7,760,000 has
El Nido and Taytay		FY04-coral Reef and fish surveys completed	FY04-Institutional diagnosis and plan formation	FY03-FY05 Patrols teams created and trained	FY05-enforcement work adopted by local government	FY03-FY04 community fisheries monitoring on-going	(FY05)	FY05	90,321 hectares

* Reflects total area of priority area where activities are ongoing and planned.

Sulu Sulawesi Marine Ecoregion

Policy Successes Benchmarks

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Tri-national Marine Turtle Conservation Strategy – Phils, Ind, Mal	(FY02-05)	FY02-05 – government tri-national committee agreed to explore development of strategy after formalization of committee is complete in 05	(FY04)		
Commitment from three countries to Implement Conservation Strategy - Phils, Ind, Mal	FY04	FY04-communication materials and MOU signing at FY04 CBD meeting	FY04-three governments signed MOU endorsing ecoregion conservation plan		Expansion of Tubbataha MPA in the Philippines, commitment to protect 20% of EEZ in Indonesia, and declaration of 1.2 million hectares Tun Mustapha , MPA in Malaysia

Sulu Sulawesi Marine Ecoregion

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Development of partnerships/ agreements - Phils, Ind, Mal	FY04	FY04-CBD 04 communication and advocacy activities completed	(FY04-FY05)	(FY04FY05)	Malaysia Turtle Island authority to train Philippine Turtle Island community in monitoring
Weekly monitoring of local fish catches - Ind	(FY02-FY05)	(FY04-FY05)	(FY04-FY05)	(FY04-FY05)	Four locations in Bunaken MPA identified as regular fish monitoring sites
Monitoring of destructive activities by youth groups – Ind	(FY02-FY05)	(FY04)	(FY03-05)	(FY05)	Surveillance and enforcement areas for Bunaken, Indonesia expanded
Weekly patrols significantly reduced incidence of destructive fishing - Ind	FY03	(FY04)	(FY03-05)	(FY05)	Eight blast fishing apprehensions and successful prosecution in court completed

Sulu Sulawesi Marine Ecoregion

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Estimates for standardizing user fees– Phils. and Ind	FY02-04	FY04	FY04-dive user fee adopted by local government in Mibini	FY04-board for user fee management created	\$13,000 user fees collected in Mabini, Philippines as of June FY04 \$134,848 user fees collected in Bunaken MPA
Workbook on conservation finance is developed - Phils and Ind	(FY05)	(FY05)	(FY05)	(FY05)	
Resolutions drafted for the creation of multi-stakeholder bodies on cons finance - Phil and Ind	(FY05)	(FY05)	(FY05)	(FY05)	
Policy position papers on conservation finance regulations drafted and advocated - Phil and Ind	(FY05)	FY05-Economic benefits of MPAs for fisheries translated into Bahasa Indonesia	FY05-Cross learning between Bunaken MPA and Wakatobi MAP on conservation finance and development completed	(FY05)	

Sulu Sulawesi Marine Ecoregion

Policy Results Worksheet	Steps Completed				
<i>Policy Initiatives</i>	<i>Policy Analyses</i>	<i>Communication and educational activities to improve policies and their implementation</i>	<i>Improved policies adopted by national, regional, and local institutions</i>	<i>Improved policy implementation</i>	<i>Documented improvements in conservation as a result of policy implementation</i>
Forging partnerships between and among intermunicipality government, national government agencies, private sector, community-based organizations on marine enforcement in El Nido and Taytay	(FY05)	(FY05)	FY05-partnership for enforcement adopted by Protected Area Office, local government unit, Philippine National Police, WWF-Philippines, and Palawan Council for Sustainable Development	(FY05)	

Funds Leveraged

Note: some of the funds reported below are funds leveraged in FY03 for multiple-year projects.

Southwestern Amazon Ecoregion	
Source of Funds	Amount (\$USD)
Match: Non-US Government	28,500

Sulu Sulawesi Marine Ecoregion	
Source of Funds	Amount (\$USD)
Match: Non-US Government	22,947

Atlantic Forest Ecoregion	
Source of Funds	Amount (\$USD)
Leverage: Non-US Government	75,460

Bering Sea Ecoregion	
Source of Funds	Amount (\$USD)
Leverage: Non-US Government	208,315
US-Government	56,000
Total	264,315

Terai Arc Ecoregion	
Source of Funds	Amount (\$USD)
Match: Non-US Government	312,037
Leverage: US Government	40,370
Non-US Government (Includes UNEP/GEF funding)	435,592
Total	787,999

Funds Leveraged

Lower Mekong Ecoregion	
Source of Funds	Amount (\$USD)
Match: Non-US Government	195,129
Leverage: Non-US Government (Includes GEF funding)	768,007
Total	963,136

Eastern African Marine Ecoregion	
Source of Funds	Amount (\$USD)
Leverage: US-Government	282,000
Non-US Government	1,047,000
Total	1,329,000

