

ANNUAL PERFORMANCE REPORT
on the
WHITE HOUSE INITIATIVE
on
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES

Fiscal Year 1997



United States Agency for International Development
Washington, D.C. 20523

May 1998

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I. EXECUTIVE SUMMARY

I. EXECUTIVE SUMMARY

In FY 1997, USAID experienced a decline in awards to HBCUs in both of the categories in which awards to HBCUs have been traditionally made: Research and Development and the Student Financial Assistance. The declines that began in both categories in FY 1996 continued in FY 1997 for many of the same reasons as cited in USAID's FY 1996 Performance Report. Removal of the Gray Amendment from FY 1997 appropriations legislation, confusion over whether or not the Adarand decision affected HBCUs, repercussions from USAID's reduction-in-force, and the FY 1996 USAID budget cuts (which led missions to fund smaller numbers of long-term participants in FY 1997) were all factors which led to USAID's disappointing FY 1997 performance. In addition, USAID was without a permanently assigned Minority Serving Institutions Coordinator during FY 1997. Despite all of these difficulties, many of which resulted from actions that were beyond our control, USAID remains committed to increasing the participation of HBCUs in our programs and activities, and in FY 1998, USAID will work to reverse this downward trend.

COMPARISON OF AWARDS TO HBCUS IN FY 1996 and FY 1997

Awards to HBCUs	FY 1996	FY 1997	Percent Change from FY 1996 to FY 1997
1. Research and Development	\$ 5,534,000	\$ 4,917,801	11% decline
2. Student Financial Assistance	\$ 7,854,100	\$ 5,794,370	26% decline
Totals	\$ 13,388,100	\$ 10,712,171	20% decline
Awards to all IHEs			
1. Research and Development	\$159,613,493	\$ 161,067,300	1% increase
2. Student Financial Assistance	\$ 92,418,004	\$ 89,365,481	3% decline
Totals	\$252,031,497	\$250,432,781	1% decline
HBCU Awards as Per Cent of all IHE Awards	5%	4%	20% decline

Awards to HBCUs were primarily in the areas of research and development (which USAID commonly refers to as technical assistance) and student tuition assistance (which USAID commonly refers to as participant training).

Technical Assistance awards to HBCUs dropped 11%, from \$5.5 million in FY 1996 to \$4.9 million in FY 1997. This decline was due, in part, to the absence of Gray Amendment legislation which provided authority to USAID to contract with HBCUs and other disadvantaged enterprises, notwithstanding any other provisions of law. Utilization of this special authority helped USAID to increase awards to HBCUs. Participant Training awards to HBCUs suffered a 26% decline, from \$7.9 million in FY 1996 to \$5.8 million in FY 1997. This decline was also due, in large measure, to the absence of the Gray Amendment legislation beginning in FY 1996 which, among other things, required that at least 10% of all participants be placed at HBCUs. In addition, new participant training contracts were signed in FY 1996, and since the contractors selected were not required to place a percentage of the participants at HBCUs, placements at HBCUs declined in FY 1997. These figures are in stark contrast to the statistics for Technical Assistance and Participant Training activities at all U.S. Institutions of Higher Education (IHEs). Overall awards to U.S. IHEs only fell by 1%, from \$252.0 million in FY 1996 to \$250.4 million. Technical assistance to all U.S. IHEs actually increased from \$159.6 million in FY 1996 to \$161.1 million in FY 1997, and participant training at U.S. IHEs suffered a modest 3% drop, from \$92.4 million in FY 1996 to \$89.4 million in FY 1997.

In FY 1998, USAID will work on developing several approaches that we hope will help increase awards to HBCUs in these and other areas. First, USAID's Minority Serving Institutions (MSI) Coordinator will make presentations in each regional bureau to solicit the support of missions directors and other senior USAID officers in USAID's efforts to increase the participation of HBCUs and other MSIs. Second, USAID will canvas other federal Agencies to learn how these agencies are involving HBCUs in their activities in a post-Adarand era. And finally, USAID will explore existing federal regulations and pursue other approaches in an effort to identify mechanisms that can be employed to increase awards to HBCUs.

II. USAID SUMMARY AWARDS TABLES

PART I SUMMARY OF TOTAL AWARDS TO HBCUs, BY CATEGORY: FY 19971. U.S. Agency for Int'l Devel.

AGENCY

2. Singleton B. McAllister *Singleton B. McAllister* Chair, MSI Committee

NAME/SIGNATURE OF REPRESENTATIVE TITLE

3. TOTAL UNIVERSE OF FUNDS FOR INSTITUTIONS OF HIGHER EDUCATION (IHEs) \$ 250,432,781

CATEGORY	AWARDS TO IHE+	AWARDS TO HBCUs*	Awards to HBCUs as % of total Awards to IHE
1. Research & Development	\$161,067,300	\$ 4,917,801	3.1%
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. Fellowships, Traineeships, Internships, Recruitment, and IPAs			
6. Student Tuition Assistance, Scholarships, and Other Aid	\$ 89,365,481	\$ 5,794,370	6.4%
7. Direct Institutional Subsidies			
8. Third Party Awards			
9. Private Sector Involvement			
10. Administrative Infrastructure			
11. Other			
Total	\$250,432,781	\$10,712,171	4.3%


 AGENCY HEAD SIGNATURE

J. Brian Atwood

AGENCY HEAD (TYPED)

+IHE = Institutions of Higher Education

*HBCUs = Historically Black Colleges and Universities

USAID
FEDERAL AGENCY AWARDS TO INSTITUTIONS
FY 1997

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	OTHER	TOTALS
ALABAMA												
ALABAMA A&M						91,921						91,921
ALABAMA STATE												
BISHOP STATE COMM.												
CARVER CAMPUS												
CONCORDIA COLLEGE												
FREDD STATE TECH												
LAWSON STATE												
MILES COLLEGE												
OAKWOOD COLLEGE												
SELMA UNIVERSITY												
J.F. DRAKE TECH												
STILLMAN COLLEGE												
TALLADEGA COLLEGE												
TRENHOLM STATE TECH												
TUSKEGEE UNIVERSITY	647,941					151,383						799,324
ARKANSAS												
ARKANSAS BAPTIST												
PHILANDER SMITH												
SHORTER COLLEGE												
U. OF ARKANSAS @ PB	68,552											68,552
DELAWARE												
DELAWARE STATE						80,431						80,431
DISTRICT OF COLUMBIA												
HOWARD UNIVERSITY	130,000					53,000						161,266
UNIV. OF D. C.												344,266

CATEGORIES

<p>R&D - RESEARCH & DEVELOPMENT PE - PROGRAM EVALUATION TRAINING F&E - FACILITIES & EQUIPMENT FELLOWS - FELLOWSHIP, RECRUITMENT, IPA</p>	<p>SFA - STUDENT FINANCIAL ASSISTANCE DIS - DIRECT INSTITUTIONAL SUBSIDIES TPA - THIRD PARTY AWARDS PSI - PRIVATE SECTOR INVOLVEMENT AI - ADMINISTRATIVE INFRASTRUCTURE</p>
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USAID
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)
FY 1997

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	OTHER	TOTALS
FLORIDA												
BETHUNE COOKMAN												
EDWARD WATERS						125,243						125,243
FLORIDA A&M												
FLORIDA MEMORIAL												
GEORGIA												
ALBANY STATE					53,000	210,378						808,334
CLARK ATLANTA U.	544,956											
FORT VALLEY STATE												
INTERDENOMATIONAL												
THEOLOGICAL CENTER												
MOREHOUSE COLLEGE					53,000							616,471
MOREHOUSE MEDICAL	563,471											
MORRIS BROWN												
PAINÉ COLLEGE												
SAVANNAH STATE												
SPELMAN COLLEGE												
KENTUCKY												
KENTUCKY STATE						1,081,799						1,081,799
LOUISIANA												
DILLARD UNIVERSITY					53,000							53,000
GRAMBLING STATE												
SOUTHERN U. & A&M	734,800					92,496						827,296
SOUTHERN/BATON ROUGE												
SOUTHERN/NEW ORLEANS												
SOUTHERN/SHREVEPORT						11,777						11,777
XAVIER UNIVERSITY												
MARYLAND												
BOWIE STATE						68,086						68,086
COPPIN STATE						68,941						68,941
MORGAN STATE					53,000	57,164						110,164
U. OF MARYLAND ES	1,176,500					68,941						1,245,441

CATEGORIES

- R&D - RESEARCH & DEVELOPMENT
- PE - PROGRAM EVALUATION
- TRAINING
- F&E - FACILITIES & EQUIPMENT
- FELLOWS - FELLOWSHIP, RECRUITMENT, IPA
- SFA - STUDENT FINANCIAL ASSISTANCE
- DIS - DIRECT INSTITUTIONAL SUBSIDIES
- TPA - THIRD PARTY AWARDS
- PSI - PRIVATE SECTOR INVOLVEMENT
- AI - ADMINISTRATIVE INFRASTRUCTURE

USAID
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)
FY 1997

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	*OTHER	TOTALS
MICHIGAN												
LEWIS COLLEGE OF BUS.												
MISSISSIPPI												
ALCORN STATE												
COAHOMA JR. COLLEGE												
HINDS COMM. COLLEGE						752,285						752,285
JACKSON STATE												
MARY HOLMES												
MISSISSIPPI VALLEY												
RUST COLLEGE												
TOUGALOO COLLEGE												
MISSOURI												
HARRIS STOWE STATE						1,108,031						1,108,031
LINCOLN UNIVERSITY	197,700				53,000	63,547						314,247
NORTH CAROLINA												
BARBER-SCOTIA												
BENNETT COLLEGE												
ELIZABETH CITY STATE												
FAYETTEVILLE STATE												
JOHNSON C. SMITH					53,000							53,000
LIVINGSTONE COLLEGE												
N. C. A&T STATE												
N. C. CENTRAL												
ST. AUGUSTINE												
SHAW UNIVERSITY												
WINSTON-SALEM STATE												
OHIO												
CENTRAL STATE												
WILBERFORCE UNIV.						286,967						286,967
						34,470						34,470
OKLAHOMA												
LANGSTON UNIV.												

CATEGORIES

- R&D - RESEARCH & DEVELOPMENT
- PE - PROGRAM EVALUATION
- TRAINING - FACILITIES & EQUIPMENT
- F&E - FELLOWSHIP, RECRUITMENT, IPA
- SFA - STUDENT FINANCIAL ASSISTANCE
- DIS - DIRECT INSTITUTIONAL SUBSIDIES
- TPA - THIRD PARTY AWARDS
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- AI - ADMINISTRATIVE INFRASTRUCTURE

USAID
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)
FY 1997

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	*OTHER	TOTALS
PENNSYLVANIA												
CHEYNEY UNIV.												
LINCOLN UNIV.												
SOUTH CAROLINA												
ALLEN UNIVERSITY												
BENEDICT COLLEGE												
CLAFLIN COLLEGE												
CLINTON JR. COLLEGE												
DENMARK TECH.												
MORRIS COLLEGE												
S. C. STATE UNIV.												
VOORHEES COLLEGE												
TENNESSEE												
FISK UNIV.												
KNOXVILLE COLLEGE												
MORRISTOWN CAMPUS												
LANE COLLEGE												
LEMOYNE-OWEN												
MEHARRY MEDICAL					53,000							53,000
TENNESSEE STATE						91,921						91,921
TEXAS												
HUSTON-TILLOTSON												
JARVIS CHRISTIAN												
PAUL QUINN COLLEGE												
PRAIRIE VIEW A&M	50,000											50,000
SAINT PHILLIP'S						409,050						409,050
SOUTHWESTERN CHRIST.												
TEXAS COLLEGE												
TEXAS SOUTHERN						105,709						105,709
WILEY COLLEGE												

CATEGORIES

- R&D - RESEARCH & DEVELOPMENT
- PE - PROGRAM EVALUATION
- TRAINING
- F&E - FACILITIES & EQUIPMENT
- FELLOWS - FELLOWSHIP, RECRUITMENT, IPA
- SFA - STUDENT FINANCIAL ASSISTANCE
- DIS - DIRECT INSTITUTIONAL SUBSIDIES
- TPA - THIRD PARTY AWARDS
- PSI - PRIVATE SECTOR INVOLVEMENT
- AI - ADMINISTRATIVE INFRASTRUCTURE

USAID
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)
FY 1997

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	*OTHER	TOTALS
VIRGINIA												
HAMPTON UNIV.						282,658						282,658
NORFOLK STATE						19,453						19,453
SAIN'T PAUL'S												
VIRGINIA STATE						101,688						101,688
VIRGINIA UNION												
WEST VIRGINIA												
BLUEFIELD STATE						99,860						99,860
W. VIRGINIA STATE												
U.S. VIRGIN ISLANDS												
UNIV. OF THE V. I.												
GRAND TOTAL	4,352,120				424,000	5,794,370						10,570,490

CATEGORIES

R&D - RESEARCH & DEVELOPMENT
 PE - PROGRAM EVALUATION
 TRAINING
 F&E - FACILITIES & EQUIPMENT
 FELLOWS - FELLOWSHIP, RECRUITMENT, IPA
 SFA - STUDENT FINANCIAL ASSISTANCE
 DIS - DIRECT INSTITUTIONAL SUBSIDIES
 TPA - THIRD PARTY AWARDS
 PSI - PRIVATE SECTOR INVOLVEMENT
 AI - ADMINISTRATIVE INFRASTRUCTURE

Technical and Academic Participants at HBCUs in FY 1997

Facility	Fiscal Year 1997					
	Technical			Academic		
	Participants	Months	Amount	Participants	Months	Amount
ALABAMA A AND M UNIV			\$ -	4	32.0	\$ 91,921
BLUEFIELD STATE COLLEGE	30	15.4	\$ 99,860			\$ -
BOWIE STATE UNIV	21	10.5	\$ 68,086			\$ -
CENTRAL STATE UNIV			\$ -	9	99.9	\$ 286,967
CLARK ATLANTA UNIV	24	15.3	\$ 99,211	7	38.7	\$ 111,167
COPPIN STATE COLL			\$ -	2	24.0	\$ 68,941
DELAWARE STATE UNIVERSITY			\$ -	3	28.0	\$ 80,431
FLORIDA AGRI AND MECH UNIV			\$ -	6	43.6	\$ 125,243
HAMPTON UNIV			\$ -	9	98.4	\$ 282,658
HARRIS STOWE STATE COLL	33	153.6	\$ 996,002	13	39.0	\$ 112,029
HOWARD UNIV	2	2.1	\$ 13,617	6	51.4	\$ 147,649
JACKSON STATE UNIV	1	12.0	\$ 77,813	23	234.8	\$ 674,472
KENTUCKY STATE UNIV			\$ -	34	376.6	\$ 1,081,799
LINCOLN UNIV (MO)	14	9.8	\$ 63,547			\$ -
MORGAN STATE UNIV			\$ -	4	19.9	\$ 57,164
NORFOLK STATE UNIV	1	3.0	\$ 19,453			\$ -
NORTH CAROLINA A AND T ST UNIV			\$ -	7	58.8	\$ 168,905
SOUTHERN UNIV A AND M COLL			\$ -	5	32.2	\$ 92,496
SOUTHERN UNIV A AND M COLL AT SHREVEPORT			\$ -	1	4.1	\$ 11,777
ST PHILLIPS COLL			\$ -	16	142.4	\$ 409,050
TENNESSEE STATE UNIV			\$ -	3	32.0	\$ 91,921
TEXAS SOUTHERN UNIV			\$ -	4	36.8	\$ 105,709
TUSKEGEE UNIV			\$ -	5	52.7	\$ 151,383
UNIV OF MARYLAND EASTERN SHORE			\$ -	2	24.0	\$ 68,941
VIRGINIA STATE UNIV			\$ -	5	35.4	\$ 101,688
WILBERFORCE UNIV			\$ -	1	12.0	\$ 34,470
Grand Total	126	221.7	\$ 1,437,589	169	1,516.7	\$ 4,356,781

Source: Development InfoStructure

RESEARCH AND DEVELOPMENT AWARDS TO HBCUs IN FY 1997

BUREAU/ACTIVITY	AMOUNT AWARDED (in \$000s)
<u>BUREAU FOR AFRICA</u>	
Clark-Atlanta University	
Eritrea BASICS Project	30.8
South Africa TELP Project	90.0
Howard University	
South Africa TELP Project	90.0
Lincoln University (MO)	
Malawi Ag. Sector Assist. Prog.	165.9
Morehouse School of Medicine	
HHRAA Project	153.0
North Carolina A&T State University	
PARTS Project	148.2
South Africa TELP Project	90.0
Tuskegee University	
PARTS Project	113.3
University of Maryland-Eastern Shore	
AELGA Project	219.7
PARTS Project	456.8
Total	<u>\$2,292.5</u>
<u>BUREAU FOR ASIA & THE NEAR EAST</u>	
Prairie View A&M University	
University Linkages II Project	50.0
Tuskegee University	
University Linkages II Project (Devel. of Newly Reclaimed Areas)	250.0
University Linkages II Project (Improv. of Crop Productivity)	250.0
University of Maryland-Eastern Shore	
University Linkages II Project (Pollution of the Nile River)	250.0
University Linkages Project (Increased productivity of Oilseeds)	250.0
Total	<u>\$1,050.0</u>

BUREAU FOR GLOBAL PROGRAMS & FIELD SUPPORT

Clark Atlanta University	
BASICS Project	328.7
Univ. Devel. Linkages Project	95.5
Howard University	
Health Financing & Sustainability Proj.	40.0
Lincoln University (MO)	
Integrated Pest Management CRSP	31.8
Morehouse School of Medicine	
Training in Reproductive Health Project	410.5
Tuskegee University	
Sustainable Ag. Natural Res. Mgmt. CRSP	34.6
University of Arkansas - Pine Bluff	
Pond Dynamics/Aquaculture CRSP	68.6
Other HBCU-Related Awards	
Minority On-Line Information Service	48.0
Population Research Fellows Program	424.0
United Negro College Fund Grant	93.6
	<u> </u>
	Total
	\$1,575.3
	Grand Total
	\$4,917.8

III. NARRATIVE AND AWARDS INFORMATION

A. Introduction

This document reports on activities and initiatives involving Historically Black Colleges and Universities (HBCUs) that were undertaken by the U.S. Agency for International Development in FY 1997. Awards to HBCUs in FY 1997 totaled \$10.7 million. Of this amount, approximately \$5.8 million was awarded for USAID participant training (i.e., Student Tuition Assistance), and \$4.9 million was awarded for research and development and related activities.

USAID's FY 1997 performance in funding awarded to HBCUs was disappointing, but not unexpected. USAID anticipated that many of the same difficulties which culminated in FY 1996 awards to HBCUs which totaled \$13.3 million would continue in FY 1997. These difficulties, which included removal of the Gray Amendment from USAID's FY 1997 appropriations legislation, confusion within USAID over whether or not the Adarand decision affected HBCUs, repercussions from USAID's September 1996 reduction-in-force, and the FY 1996 USAID budget cuts (which led missions to fund smaller numbers of long-term participants in FY 1997) were all factors which led to USAID's disappointing FY 1997 performance. In addition, USAID was without a permanently assigned Minority Serving Institutions Coordinator during FY 1997. Despite all of these difficulties, USAID remains committed to increasing the participation of HBCUs in our programs and activities, and in FY 1998, USAID is working to reverse this downward trend.

B. Overview of USAID

The organizational structure of USAID is the same as it was in FY 1996. There are four regional Bureaus (Africa; Asia and the Near East; Latin America and the Caribbean; and Europe and the New Independent States) and five central Bureaus (Global; Legislative and Public Affairs; Humanitarian Response; Policy and Program Coordination; and Management). USAID has five strategic goals in which encompass our overall program. These strategic Agency goals are: 1) Encouraging Broad-Based Economic Growth; 2) Stabilizing World Population Growth and Protecting Human Health; 3) Protecting the Environment; 4) Building Democracy; and 5) Providing Humanitarian Assistance and Aiding in Post Crisis Transition. A sixth Agency goal, in the area of Education, is in the process of being developed. Each operating unit (which include overseas missions and USAID offices in Washington that receive program funding) has a strategic plan which includes one or more strategic objectives that must fall within the overall Agency strategic goals. All projects and activities funded by USAID operating units must support the strategic objectives articulated in their approved strategic plan.

C. Summary of USAID Involvement and Accomplishments with Historically Black Colleges and Universities in FY 1997

USAID has benefitted from HBCU involvement in its programs and activities for many years. In FY 1997, HBCU participation in USAID programs and activities included:

- **research and development** (or what USAID commonly refers to as technical assistance activities) primarily in Africa;
- **student tuition assistance** (which USAID commonly refers to as participant training programs) for students from USAID countries. These students went to HBCUs for long and short-term technical and academic training;
- **Fellowships and internships** provided to HBCU graduate and undergraduate students under the Global Bureau's Population Fellows Program's HBCU Initiative.
- **Summer interns** from HBCUs that worked in USAID missions in selected African countries and in USAID/Washington.
- **"HBCU Day at USAID"** on September 22, which included plenary sessions and panel discussions for HBCU administrators and faculty; a separate HBCU student forum, complete with panel presentations and discussions, for students interested in pursuing careers in international affairs; and a luncheon for HBCU Presidents.

USAID is hopeful that the breadth and depth of HBCU involvement in these and other activities will increase in FY 1998. Details on USAID's FY 1997 activities with HBCUs for the major operating units that reported on such activities follows.

D. Agency Wide Activities and Initiatives

1. Minority Serving Institutions Committee

In FY 1997, USAID's Minority Serving Institutions (MSI) Committee continued to meet on a regular basis to discuss current and potential programs, policies and procedures which affect USAID's ability to increase the participation of HBCUs and other MSIs in USAID programs and activities. This Committee, which is chaired by USAID's General Counsel, is comprised of senior level representatives from each of USAID's Bureaus and independent offices. The Committee does not make awards to any institutions.

2. Minority Serving Institutions (MSI) Coordinator

In February, 1997, USAID Administrator J. Brian Atwood re-established the MSI Coordinator position and placed it in the Office of the General Counsel because of the importance of the function. The MSI Coordinator is responsible for data collection, information sharing,

and providing advice and guidance to USAID Bureaus and missions in developing activities and initiatives to increase the participation of HBCUs and other MSIs in their programs.

An Acting MSI Coordinator was selected in March, 1997. This individual held individual and group meetings and telephone conversations with representatives from HBCUs and other MSIs, and referred numerous HBCU officials to other USAID Bureaus and offices for additional information. The Acting MSI Coordinator was responsible for the development and approval of USAID's FY 1997/98 Annual Federal Plan for HBCUs and the FY 1996 Annual Performance Report on HBCUs which were submitted to the White House Initiative on HBCUs and to OMB in May, 1997. Other activities involving the Acting MSI Coordinator in FY 1997 included making presentations to MSI constituencies and the organization of HBCU Day at USAID.

3. United Negro College Fund (UNCF) Activity

In FY 1997, UNCF completed agreed upon activities with USAID that began in FY 1995. The UNCF grant provides assistance to HBCUs and Hispanic Serving Institutions (HSIs) in expanding their participation in USAID programs. UNCF conducted four workshops which: 1) conveyed information to participants about USAID's new results-oriented approach to its activities, 2) helped them to refine their grant development skills, and 3) provided information and strategies for learning more about USAID programs and activities. UNCF also developed a Guide to USAID for HBCUs and prepared an HBCU/HSI institutional profile for USAID Missions. These activities were successfully completed on December 31, 1997. UNCF also provided USAID with 64 HBCU/HSI international institutional profiles which will be placed on the Global Bureau's Center for Human Capacity Development. **A total of \$93,681 was awarded to UNCF in FY 1997.**

4. The Minority On-Line Information Service (MOLIS)

In FY 1997, USAID provided funding to the Department of Energy for MOLIS to provide on-line USAID information announcements and services regarding procurement opportunities to work with the Agency on programs and activities worldwide. USAID is currently considering whether or not to continue this service since the USAID webpages provide access to much of the information that was provided through MOLIS. **USAID funding for MOLIS in FY 1997 totaled \$48,000.**

5. HBCU Day at USAID

In FY 1997 during HBCU week (September 22-26), USAID once again sponsored its annual HBCU Day at USAID program. HBCU Day at USAID, which was held on Monday September 22, 1997, provided an opportunity for administrators, faculty and students from HBCUs to learn more about USAID's programs and activities from senior USAID staff. USAID staff and HBCU representatives also heard presentations from HBCU administrators about projects and activities that their institutions

are engaged in through USAID funding. The ad hoc HBCU Day Committee, in consultation and collaboration with USAID's Minority Serving Institutions (MSI) Committee (which included the MSI Committee Chairperson, Acting MSI Coordinator and MSI Committee members), was responsible for planning and carrying out nearly all aspects of USAID's HBCU Day activities. Over 260 individuals from HBCUs (including 45 Presidents, 150 faculty/staff members, and 75 students) attended USAID's HBCU Day activities. From all indications, the 1997 HBCU Day Program at USAID was a rousing success.

E. Bureau Activities and Initiatives

1. Bureau for Global Programs, Field Support and Research

a. Center for Human Capacity Development

1) University Development Linkages Program (UDLP)

Established in 1991, the UDLP promotes and supports collaboration of U.S. colleges and universities with developing country institutions to: (a) strengthen developing country institutions so they may more effectively meet the development needs of their societies; and (b) further the internationalization objectives of U.S. higher education institutions.

As of FY 1997, of the 42 awards made in the UDLP to date, as individual institutions or as a part of consortia/groupings, HBCUs participated in nine (9) or 21% of the total awards. HBCUs represented 15% of the 60 higher education institutions. In FY 1997, **a total of \$95,456 was provided to Clark Atlanta University** as the remaining amount of a grant for women in development partnerships activities in Madagascar.

2) Participant Training

USAID continued to provide training opportunities to individuals from developing countries in professional and technical skills that are necessary to assist in growth and development in the country. USAID experienced a decline in the number of individuals that came to U.S. institutions of higher education (IHEs) for training in FY 1997 as well as funding provided to IHEs. Participants and the associated awards that went to HBCUs in FY 1997 experienced a corresponding decline. USAID attributes these reductions in participant training activities to at least two factors: 1) USAID missions, after the FY 1996 budget reductions, made cost-effective decisions to fund more in-country and third-country training, and continued to do so in FY 1997; 2) approximately 21 USAID missions were closed in FY 1996, which meant a cessation of participant training activities in several countries that had sizeable participant training programs, and 3) since the Gray Amendment was no longer part of USAID's Appropriations Legislation, USAID could no longer require participant training contractors to

place 10% of all participants at HBCUs. Despite these difficulties, in FY 1997, USAID provided funding of nearly \$5.8 million for 295 participants that received training at 26 HBCUs, as compared with \$7.8 million for 649 participants that received training at 34 HBCUs in FY 1996. In FY 1998, USAID hopes to increase awards made to HBCUs through participant training activities.

b. Center for Economic Growth and Agricultural Development

The Center for Economic Growth and Agricultural Development awarded several grants to HBCUs that were participants with other non-HBCUs in agricultural research activities under the Center's Collaborative Research Support Program (CRSP). The activities in which HBCUs were engaged were as follows:

1) Pond Dynamics/Aquaculture CRSP

The Pond Dynamics/Aquaculture CRSP (PD/A CRSP) conducts research and training activities that will contribute significantly to the removal of major constraints to aquacultural development, thereby promoting economic growth and increasing food security. The goal of the program is to provide a basis for increasing the development and sustainability of aquacultural production systems in order to improve food supplies and human nutrition on a long-term basis. Implicit in the CRSP's goal are the following missions: (1) to raise small farmers' incomes and increase consumers' welfare by enhancing fish farm productivity; (2) to improve the well-being of the rural poor; and (3) to conserve or enhance the natural resource base.

The PD/A CRSP's research activities focus on the following theme areas: (1) aquaculture production optimization; (2) environmental effects of aquaculture; and (3) social and economic aspects of aquaculture development. To support these research themes, the CRSP also has human capacity development, information and database management components.

The University of Arkansas at Pine Bluff (UAPB) is the center of excellence for aquaculture and fisheries biology in the State of Arkansas. UAPB actively supports Arkansas' \$130 million per year aquaculture industry through its research and extension programs. The PD/A CRSP has been strengthened by UAPB's participation.

In FY 1997, UAPB researchers conducted three PD/A CRSP-funded investigations; two under the social and economic aspects research theme and one under the production optimization theme. In one study, researchers are developing a "safety first" model that explicitly addresses risk factors to analyze the integration of CRSP-developed pond fertilization schemes into Honduran shrimp and tilapia farming systems. The second investigation involves the design of impact and welfare analysis models so that the social and economic returns

attributable to PD/A CRSP technologies can be determined. The study in the area of production optimization seeks to determine the relative contribution of supplemental feed and inorganic fertilizers to tilapia production. **Award Amount: \$68,552**

2) Integrated Pest Management Collaborative Research Support Program (IPM CRSP)

The IPM CRSP makes the U.S. agricultural research network accessible to the international agricultural research and development community. In so doing this CRSP is expected to make important contributions to the resolution of difficult and persistent constraints associated with the development and adoption of IPM, particularly within the context of horticultural export crop production and transitional agricultural systems, in all areas of the world where such systems exist or will occur in the future. The two research foci systems are important to global promotion and implementation of IPM, in that: (1) high value horticultural export crops receive relatively high chemical inputs that can result in chemical residue on the products which is a primary reason why such crops are sometimes not accepted by importing countries having chemical residue tolerance standards, and (2) transitional systems are particularly vulnerable to pest damage because of the inherent instability of changing ecosystems and because many transitional systems occur on "marginal" or low-value land (and involve the production of relatively low-value crops, often at a subsistence level).

The major goal of this project is to improve the well being of farmers in developing countries through increasing their capacity to produce, utilize and market agricultural commodities by providing cost-effective and environmentally sustainable methods by which to protect agricultural production from injurious pests. Directing research to meet the needs of farmers (of both genders) to protect crops using safe, sustainable, and effective technologies requires a multi-disciplinary approach involving social and biological scientists, economists, and others. This multi-disciplinary research process is expected to yield mutually beneficial results for developing countries and U.S. agriculture.

In FY 1997, **Lincoln University** was involved in IPM activities relating to soil nutrition, plant health, and the management of pests and diseases. Lincoln has been active in our Caribbean site over the past four and a half years. In the future, an additional area of Lincoln's involvement will be in socio-economic studies pertaining to IPM. **Award Amount: \$31,800.**

3) Sustainable Agriculture Natural Resources Management (SANREM) CRSP

This project will enhance the ability of developing countries to improve the welfare of their growing populations by sustaining both agricultural production and environmental quality. The project has

three components: (1) a Collaborative Research Support Program (CRSP), which links interdisciplinary agricultural production research with training and information exchange to increase efficiencies of agriculture production systems while conserving natural resources; (2) research support grants to encourage innovative investigation on aspects of sustainability which are not being undertaken by the CRSP participants; and (3) an information management system to support the knowledge building activities and provide technical assistance support to field missions and USAID/W. In each of its components the project fosters farmer participation, integration with institution building objectives among institutions in developing countries, and concern for conserving and protecting natural resources.

Tuskegee University received funding for primary workplans in the areas of Land Tenure, Biophysical Indicators of Water Quality, and Site Facilitation.

The primary focus of these workplans was to develop a site-based research program on integrated indicators of sustainability in the Donsin Watershed region of Burkina Faso.

Award Amount: \$34,641.

c. Center for Population, Health and Nutrition

In FY 1997, the Center for Population, Health and Nutrition managed several activities in which HBCUs were involved. Below is a description of each of these activities:

1. Population Fellows Project

The HBCU Initiative of the Population Fellows Program, which began in 1994, is an effort being undertaken by the University of Michigan, with USAID funding. This Initiative supports placements, training and internships for students from HBCUs. Students participated in the program from colleges listed below during FY 1997. **Award Amount: \$424,000.**

HBCUs Involved

Howard University	Lincoln University
Morgan State University	Dillard University
Clark-Atlanta University	Johnson C. Smith University
Meharry Medical College	Morehouse School of Medicine

2. Training in Reproductive Health Project

Johns Hopkins Program in International Education in Reproductive Health (JHPIEGO), in partnership with **Morehouse College/Office of International Health Programs**, continued to expand its technical and administrative capabilities. A series of one-day seminars designed to reinforce the capacity of Morehouse to provide continuing education for selected audiences and increase the exposure of the Morehouse

College staff to international reproductive health activities which include adolescent health, safe motherhood, HIV/AIDS and project development. **Award Amount: \$410,471.**

3. Health Financing and Sustainability Project

This activity provides funding to HBCUs through subcontracts under Abt Associates, a private consulting firm. The project supports the provision of technical assistance in the area of health sector reform to selected countries in Africa and Latin America. **Howard University** participated in this activity through a subcontract in FY 1997, and it is anticipated that Howard University's participation will be increased in FY 1998 to include broader regional health management activities. **Award Amount: \$40,000.**

4. Basic Support for Institutionalizing Child Survival (BASICS) Project

This project provides for increased subcontracting with HBCUs. Project activities focus on training health care workers in developing countries in the provision of child health care and promotion services. In FY 1997, **Clark-Atlanta University** was engaged in such activities under a subcontract with Partnership for Child Health Care, Inc. **Award Amount: \$328,700.**

2. Bureau for Africa

The Bureau for Africa reported the following major activities which involved HBCUs in FY 1997:

a. Ongoing Activities Involving HBCUs in FY 1997

1) Malawi Agricultural Sector Assistance Program

This activity provides technical and institutional support for the establishment of an agricultural policy research unit at the Bunda College of Agriculture and other assistance to increase growth and efficiency in agricultural markets.

<u>HBCU Awardee</u>	<u>FY 1997 Amount Awarded</u>
Lincoln University (MO)	\$165,900

2) African Emergency Locust and Grasshopper Activity

This activity conducts seminars and provides training and advice on the control of locusts and grasshoppers.

<u>HBCU Awardee</u>	<u>FY 1997 Amount Awarded</u>
University of Maryland - Eastern Shore	\$219,700

3) Policy Analysis, Research and Technical Services

This activity provides analytical, research, and technical services support in agriculture, the environment, and natural resources protection. It is active in about 25% of the Sub-Saharan countries.

<u>HBCU Awardees</u>	<u>FY 1997 Amount Awarded</u>
a. University of Maryland - Eastern Shore	\$456,800
b. North Carolina A&T State University	\$148,200
c. Tuskegee University	\$113,300

4) Health and Human Resources Analyses for Africa

This activity funds analyses that support policy change and institutional reform in east and southern Africa in the field of Health and education. The award to Morehouse School of Medicine complements its project to help prevent HIV/AIDS in Zambia.

<u>HBCU Awardee</u>	<u>FY 1997 Amount Awarded</u>
Morehouse School of Medicine	\$153,000

5) Democracy and Governance in Zambia

This project supports activities that increase citizen's awareness of their civil rights and responsibilities, promotes independent and professional journalism, and improves coordination of policy implementation in the Cabinet Office.

<u>HBCU Awardee</u>	<u>FY 1997 Amount Awarded</u>
Southern University (LA)	\$734,800

b. New Activities Involving HBCUs in FY 1997

1) Eritrea Basic Support for Institutionalizing Child Survival (BASICS)

BASICS is a project designed to assist USAID missions, regional bureaus and USAID-assisted countries meet the dual challenges facing child survival programs in the 1990s: extending access to and use of child survival interventions, and institutionalizing those interventions through strengthening health service delivery systems, sustained behavior change and policies that support child health survival. BASICS provides expertise both in the focused technical interventions of child survival and in cross-cutting areas of service delivery support, communications and marketing, primary health care management, planning, and policy.

<u>HBCU Awardee</u>	<u>FY 1997 Amount Awarded</u>
Clark-Atlanta University	\$ 30,800

2) Tertiary Education Linkages Project (TELP)

USAID/South Africa's TELP activity promotes the establishment of linkages between South African and U.S.-based colleges and universities for the purposes of upgrading South African university curricula & teaching skills, and expanding its international educational contacts. Through a consortium arrangement, several HBCUs are providing technical assistance to South African Technikons focussing their efforts on curriculum and course development for educationally disadvantaged South Africans in the disciplines of chemical, civil, electrical, and mechanical engineering.

<u>HBCU Awardees</u>	<u>FY 1997 Amount Awarded</u>
Howard University, Clark Atlanta University, & North Carolina Agricultural & Technical State University	\$270,000

3. Bureau for Asia and the Near East

The Bureau for Asia and the Near East reported that during FY 1997, USAID/Egypt approved five grants totalling \$1,050,000 to partnerships between U.S. HBCUs and Egyptian universities under its University Linkages II project. A brief description of the activities follows:

- a. **Tuskegee University** and Assiut University are engaged in applied research on the "Comprehensive Development of the Newly Reclaimed Areas at the Assiuty & the New Valleys". The research beneficiary is the Agricultural Cooperative Association for Field Crops. **Award Amount: \$250,000**
- b. **Tuskegee University** and Assiut University are performing applied research concerning "The Improvement of Crop Productivity in the New Valleys Governorate Through Modern Technology". The research beneficiary is the Central Agricultural Cooperative Society. **Award Amount: \$250,000**
- c. **The University of Maryland/Eastern Shore** and Ain Shams University are working collaboratively on research dealing with "The Pollution of River Nile near Metropolitan Areas". The research beneficiary is the Agricultural Research & Consulting Center. **Award Amount: \$250,000**
- d. **Prairie View A&M University** and Ain Shams University are jointly conducting a study on "The Ecological Impact of the Newly Introduced Crayfish upon River Nile Fishery". The research beneficiary is the Cooperative Society for Fishermen. **Award Amount: \$50,000**

e. **The University of Maryland/Eastern Shore** and Ain Shams University are engaged in applied research on "New Integrated Technology for Increasing Productivity of Oil Seed Crops under Newly Reclaimed Lands in Egypt." The research beneficiary is the El Gamaza El Sohghra Society. **Award Amount: \$250,000**

4. Bureau for Europe and the Newly Independent States

The Bureau for Europe and the Newly Independent States (ENI) manages programs in Central Europe and the Newly Independent States of the former Soviet Union. The ENI Bureau awarded a total of \$382,484 to HBCUs through participant training activities. The ENI Bureau contribution to HBCUs in FY 1997 is difficult to estimate as funds are managed by the participant training contractors and are based upon successful participant placements in appropriate institutions rather than direct grants to HBCUs. Thus, the following breakdown is based upon ENI Bureau contractor reports of placements in FY 1997:

Bluefield State University	\$308,360
Fisk University	1,500
Howard University	1,000
Mississippi Consortium (which includes Jackson State Univ., Alcorn State Univ. and Tougaloo College.)	<u>71,624</u>
	\$382,484

The ENI Bureau, which has previously relied upon participant training activities to meet its HBCU goals, has now taken positive steps towards deepening and building lasting relationships with their HBCU partners through the establishment of an ENI Bureau HBCU Standing Committee. This Committee has begun to examine ways to increase the participation of HBCUs in ENI programs and activities, and it is anticipated that this Bureau will be able to report on other activities with HBCUs in FY 1998.

5. Bureau for Latin America and the Caribbean

The Bureau for Latin America and the Caribbean (LAC) continued its successful collaboration with HBCUs through the Cooperative Association of States for Scholarship (CASS) regional participant training program, which is being implemented by Georgetown University. The LAC Bureau anticipates an increase of two additional HBCUs to this consortium, and will endeavor to increase not only the amount of financial support, but also the range of activities in which HBCUs are involved in 1998.

In FY 1997, the aggregate actual amount for all USAID LAC Missions' HBCU programming was \$817,350. A brief description of the type of training conducted and the level of expenditure follows:

a. **Institution:** **Harris Stowe College**
Field of Study: **Education Administration**
Number of Participants: **33**
Funding Level: **\$256,050**

The training at **Harris Stowe College** consisted of 7 months of short-term management training for school administrators consisted of academic courses, special seminars and classroom observation. The courses were: School Business Management; Sociology/Psychology of Education; Foundations of Education; Teaching Strategies and Methodology; Curriculum Materials and Methodology; and WordPerfect, Excel and Internet. Students were given the opportunity for a period of 50 hours to observe and participate in a variety of classroom experiences in both public and private schools. This arrangement allowed for the exchange of ideas and meaningful comparisons between the Haitian and American educational system.

b. **Institution:** **Kentucky State University**
Field of Study: **Electronics Technology**
Number of Participants: **49**
Funding Level: **\$451,650.00**

The participants at **Kentucky State University** have earned or are working towards an Associate Degree in Applied Science in Electronic Technology. These participants are offered the following courses: AC/DC Circuits-Basic Electricity; Linear Devices; Robotics; Advanced Programming; English Composition; Algebra; Pre-Calculus; Computers Aided Drafting, and English as a Second Language (ESL).

c. **Institution:** **St. Phillips College**
Field of Study: **Radiography (X-Ray Technician)**
Number of Participants: **17**
Funding Level: **\$109,650**

The primary objective of this six-month technical/academic program at **St. Phillips College** is to provide the students with essential basic skills to perform as competent radiographers in Nicaragua (all participants were from Nicaragua). The course provided basic knowledge of the radiography profession and its history, ethics, academics and administrative structures.

The steady decline in LAC activities with HBCUs at the bilateral level is in direct correlation with several factors: 1) the decline in resources by nearly 2/3 over the last five years which necessitated a shift in Missions' training strategy away from long-term U.S. based training to more cost-effective, in-country training, and 2) The Caribbean and Latin American Scholarship Program (CLASP II), which had been the Bureau's largest and predominant mechanism for training over the last ten years at the regional and bilateral level, is coming to an end or has ended in all participating countries in the region. Given the increasing need for skilled individuals in the LAC region,

the Bureau maintains its commitment to improving human capacity development and utilizing the expertise of HBCUs. This will require a stronger effort on our part in engaging HBCUs in activities other than training, whenever possible and appropriate.