ANNUAL PERFORMANCE REPORT
on the
WHITE HOUSE INITIATIVE
on
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES

Fiscal Year 1996

United States Agency for International Development
Washington, D.C. 20523

May 1997

TABLE OF CONTENTS

I. ACRONYMS I

II. EXECUTIVE SUMMARY ii

III. USAID AWARDS SUMMARY TABLES

A. Summary of Agency Awards by Category: FY 1996 v
B. Summary of Agency Awards by Category: FY 1996 vi
C. FY 1996 Federal Agency Awards to Institutions vii
D. Participants at HBCUs Compared to All Participants: Months of Training During Fiscal Years 1995 and 1996 xii
E. Program Awards FY1995 and FY1996 xiii

IV. AWARDS AND NARRATIVE INFORMATION

A. Introduction 1

B. Overview of USAID 1
1. Agency Goals 1

C. Summary of USAID Involvement and Accomplishments with Historically Black Colleges and Universities 2

D. Agency-Wide Activities and Initiatives 3
1. Minority Serving Institutions Initiative 3
2. Minority Institutional Coordination 3
3. United Negro College Fund Grant 3
4. The Minority On-Line Information Service 4
5. HBCU Day at USAID Committee 4

E. Bureau Activities and Initiatives 4
1. Bureau for Global Programs, Field Support and Research 4
a. Center for Human Capacity Development 5
1) Participant Training 5
2) Historically Black Colleges and Universities Research Grant Program 5
3) University Development Linkages Program 6
b. Center for Population, Health and Nutrition 6
1) Basic Support for Institutionalizing Child Survival . . 6

2) Health Care and Financing Sustainability 7
3) Population Fellows Program 7

2. Bureau for Africa 7
a. Equity and Growth Through Economic Research Program . . 7
b. Guinea Training Grant 8
c. Malawi Agriculture Sector Assistance Program 8
d. African Emergency Locust and Grasshopper Activity 8
e. Policy Analysis, Research and Technical Services 8
f. Health and Human Resources Analyses for Africa 8
g. HIV/AIDS Prevention 9
h. Democracy and Governance in Zambia 9

3. Bureau for Europe and the New Independent States 9

4. Bureau for Asia and the Near East 10
a. Local Government Strengthening Support 10

5. Bureau for Latin America and the Caribbean 10
a. Cooperative Association of States for Scholarships (CASS) Program 10

6. Bureau for Legislative and Public Affairs 10
7. Bureau for Management 11
a. Office of Human Resources 11
b. Internship Investment Program 11

I. ACRONYMS

I. ACRONYMS

BASICS	Project: Basic Support for Institutionalizing Child Survival
EAGER	Project: Equity and growth Through Economic Research
FY	Federal Fiscal Year
HACU	Hispanic Association of Colleges and Universities
HBCUs	Historically Black Colleges and Universities
HCD	Human Capacity Development
HRD	Human Resource Development
HSIs	Hispanic Serving Institutions
IHEs	Institutions of Higher Education
MSIs	Minority Serving Institutions
MOLIS	Minority On-Line Information Service
MOU	Memorandum of Understanding
OSDBU	Office of Small and Disadvantaged Business Utilization
OYB	Operating Year Budget
RFP	Request for Proposals
TELP	Project: Tertiary Education Linkage Program
UDLP	University Development Linkages Program
USAID	Agency for International Development
USAID/	USAID field mission/capital city of country

II. EXECUTIVE SUMMARY

II. EXECUTIVE SUMMARY

The challenge facing USAID in FY 1996 was to reconfirm its commitment to HBCU involvement in its programs in the face of several new funding, legislative, and personnel constraints. USAID met this challenge with $13,388,100 in new awards, of which $7,854,100 was for participant training and $5,534,000 was for other activities. USAID completed a major reduction in force and associated personnel shifts late in the year. It will be able to renew its attention to HBCU activities in FY 1997.

USAID's FY 1996 Plan and its Cover Memorandum indicated USAID's inability to establish specific numerical targets because the FY 1996 Foreign Operations Appropriations bill was not signed into law until February 12, 1996. This meant that funding allocations were made much later in the Fiscal Year than usual. The removal of the Gray Amendment from FY 1996 appropriations and the Adarand Decision took away mechanisms USAID had previously used to ensure higher levels of HBCU involvement. Major personnel reductions and shifts caused by a fourth quarter reduction in force further handicapped USAID efforts in FY 1996.

USAID concerns were borne out by events. FY 1996 did see a reduction in awards, both in absolute terms and as a percentage of the declining amount of awards to Institutions of Higher Education (IHEs) as a whole:

COMPARISON OF AWARDS TO HBCUs in FY 1995 and FY 1996			
Awards to HBCUs	**FY 1995**	**FY 1996**	**Percent Change from FY 1995 TO FY 1996**
1. Research and Development	$19,035,000	$5,534,000	71% decline
2. Student Financial Assistance	$10, 180,989*	$7,854,100	23% decline
Totals	**$29,215,989**	**$13,388,100**	**54% decline**
Awards to all IHEs			
1. Research and Development	$247,735,000	$159,613,493	36% decline
2. Student Financial Assistance	$170,480,989	$92,418,004	46% decline
Totals	**$418,215,989**	**$252,031,497**	**40% decline**
HBCU Awards as Per Cent of all IHE Awards	7%	5%	27% decline

* Revised upward since the FY 1995 report

The Africa Bureau and the Global Bureau account for the vast majority of USAID's awards to HBCUs. The Global Bureau awards, however, are for participant training funded by the geographic regions. In FY 1996, 51 percent of the Agency's participant training at HBCUs was for participants from sub-Saharan Africa, 27 percent from Europe and the New Independent States, 18 percent from Latin America and the Caribbean, and four percent from Asia and the Near East.

The large drop from FY 1995 to FY 1996 is due in part to a small number of activities accounting for the majority of the awards. Several of these activities made large awards in FY 1995 which were then to be drawn down by the HBCUs over several years. Failure to award similar amounts in FY 1996 does not in itself indicate a steady downward trend in HBCU participation or, even, less HBCU participation in FY 1996.

On the other hand, the hiatus in awards under the Historically Black Colleges and Universities Research Grants Program, the University Development Linkages Program, and the Human Resources Development for Africa Program will, if not ended, means elimination of grant programs specifically targeted to HBCUs.

The example of how one HBCU, Lincoln University of Missouri, built on its University Linkages Program Grant in Malawi is instructive. Lincoln took advantage of its increased understanding of Malawi to develop its winning proposal for a large, competitively awarded Agricultural Sector Grant. Second, it developed its own, non-USG funded linkage with the University of Capetown in South Africa. Finally, it recruited 38 Malawian students to its campus.

Both because of the uncertainties about affirmative action programs and because it makes good sense on its own terms, USAID posited, in its FY 1996 Plan, a four point program to encourage HBCU involvement in USAID activities generally:

- Continue to establish collaborative relationships and increase the involvement of HBCUs in USAID activities;
- Seek HBCU input and assistance as creative strategies for increased involvement are developed;
- Increase Agency-wide familiarity with capabilities, interests, and resources of HBCUs; and
- Increase HBCU familiarity with the priorities, programming, and roles of USAID.

Much of this activity is undertaken through USAID's ongoing grant to the United Negro College Fund. The Fund holds seminars with HBCUs, makes HBCU information available to USAID Offices, and tracks the progress and outcomes of proposals from HBCUs. These, and other information and coordination activities, are guided by the

Agency's Minority Serving Institutions (MSI) committee composed of senior Agency administrators.

III. USAID AWARDS SUMMARY TABLES

PART I-A SUMMARY OF AGENCY AWARDS BY CATEGORY: FY 1996

1. United States Agency for International Dev. AGENCY

2. J. Brian Atwood — Agency Representative; Signature; Administrator — Title

3. TOTAL UNIVERSE OF FUNDS FOR INSTITUTIONS OF HIGHER EDUCATION (IHE) $ 252,031,497

DISCRETIONARY AWARDS ($)

CATEGORY	AWARDS TO IHE+	AWARDS TO HBCUs*	Awards to HBCUs as % of Total Awards to IHE
1. Research & Development	159,613,493	5,534,000	3.5%
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. Fellowships, Internships, Traineeships, Recruitment, IPAs			
6. Student Tuition Assistance, Scholarships, Other	92,418,004	7,854,100	8.5%
7. Direct Institutional Subsidies			
8. Third Party Awards			
9. Private Sector Involvement			
10. Administrative Infrastructure			
11. Other			
Total	252,031,497	13,388,100	5.3%

Singleton McAllister
Title: Chair, MSI Policy Committee

Rose M. Robinson
Title: Minority Serving Institutions Acting Coordinator

+IHE = Institutions of Higher Education
*HBCUs = Historically Black Colleges and Universities

SUMMARY OF AGENCY AWARDS BY CATEGORY: FY 1996

1. United States Agency for International Dev. AGENCY

2. J. Brian Atwood — Agency Representative — Signature — Administrator — Title

3. TOTAL UNIVERSE OF FUNDS FOR INSTITUTIONS OF HIGHER EDUCATION (IHEs) $ 252,031,497

LEGISLATED OR FORMULA DRIVEN AWARDS ($) NONE

CATEGORY	AWARDS TO IHE+	AWARDS TO HBCUs*	Awards to HBCUs as % of total Awards to IHE
1. Research & Development			
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. Fellowships, Traineeships, Internships, Recruitment, and IPAs			
6. Student Tuition Assistance, Scholarships, and Other Aid			
7. Direct Institutional Subsidies			
8. Third Party Awards			
9. Private Sector Involvement			
10. Administrative Infrastructure			
11. Other			
Total			

Singleton McAllister
Title: Chair, MSI Policy Committee

Rose M. Robinson
Title: Minority Serving Institutions Acting Coordinator

+IHE = Institutions of Higher Education
*HBCUs = Historically Black Colleges and Universities

FY 1996
FEDERAL AGENCY AWARDS TO INSTITUTIONS

State/Institution	R & D	PE	Training	F & E	Fellows	SFA	DIS	TPA	PSI	AI	Other	Totals
ALABAMA												
Alabama A&M						150,955						
Alabama State												
Bishop State Comm.												
Carver Campus												
Concordia College												
Fredd State Tech.												
Lawson State												
Miles College												
Oakwood College												
Selma University												
J.F. Drake Tech												
Stillman College												
Talladega College												
Trenholm State Tech.												
Tuskegee University	100,000					446,040						
TOTALS	**100,000**					**596,995**						
ARKANSAS												
Arkansas Baptist												
Philander Smith												
Shorter College												
University of Arkansas at PB												
DELAWARE												
Delaware State						103,565						
TOTALS						**103,565**						
DISTRICT OF COLUMBIA												
Howard University						472,115						
University of D.C.						9,345						
TOTALS						**481,460**						

FY 1996
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

State/Institution	R & D	PE	Training	F & E	Fellows	SFA	DIS	TPA	PSI	AI	Other	Totals
FLORIDA												
Bethune Cookman												
Edward Waters												
Florida A&M												
Florida Memorial						314,999						
TOTALS						**314,999**						
GEORGIA												
Albany State												
Clark Atlanta University	1,005,000					479,199						
Fort Valley State						42,000						
Interdenomational Theological Center												
Morehouse College												
Morehouse Medical	1,517,000											
Morris Brown												
Paine College												
Savannah State												
Spelman College						40,775						
TOTALS	**30,264,000**					**561,974**						
ILLINOIS												
Chicago State Universities						22,400						
TOTALS						**22,400**						
KENTUCKY												
Kentucky State						721,000						
TOTALS						**721,000**						
LOUISIANA												
Dillard University												
Grambling State												
Southern University & A&M	1,600,000					151,760						
Southern/Baton Rouge												
Southern/New Orleans												
Southern/Shreveport												
Xavier University						51,240						
TOTALS	**1,600,000**					**203,000**						
MARYLAND												
Sojourner - Douglas						10,745						
Bowie State						125,365						
Coppin State						25,480						
Morgan State	100,000					247,541						
University of Maryland ES	580,000					79,940						
TOTALS	**680,000**					**489,071**						

FY 1996
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

State/Institution	R & D	PE	Training	F & E	Fellows	SFA	DIS	TPA	PSI	AI	Other	Totals
MICHIGAN												
Lewis College of Business												
MISSISSIPPI												
Alcorn State												
Coahoma Jr. College												
Hinds Community College												
Jackson State						963,970						
Mary Holmes												
Mississippi Valley						457,149						
Rust College												
Tougaloo College												
TOTALS						**1,421,119**						
MISSOURI												
Harris Stowe State						880,684						
Lincoln University	396,000					81,501						
TOTALS	**396,000**					**962,185**						
NORTH CAROLINA												
Barber-Scotia												
Bennett College												
Elizabeth City State												
Fayetteville State												
Johnson C. Smith												
Livingstone College												
N.C. A&T State	136,000					446,390						
N.C. Central												
St. Augustine												
Shaw University												
Winston-Salem State						27,405						
TOTALS	**136,000**					**473,795**						
OHIO												
Central State	100,000					377,881						
Wilberforce University						34,244						
TOTALS	**100,000**					**412,125**						
OKLAHOMA												
Langston University												

* $432,705 to Mississippi Consortium for International Development

FY 1996
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

State/Institution	R & D	PE	Training	F & E	Fellows	SFA	DIS	TPA	PSI	AI	Other	Totals
PENNSYLVANIA												
Cheyney University												
Lincoln University												
SOUTH CAROLINA												
Allen University												
Benedict College												
Claflin College												
Clinton Jr. College												
Denmark Tech.												
Morris College												
S.C. State University												
Voorhees College												
TOTALS												
TENNESSEE												
Fisk University												
Knoxville College												
Morristown Campus												
Lane College												
Lemoyne-Owen												
Meharry Medical												
Tennessee State						94,556						
TOTALS						**94,556**						
TEXAS												
Huston-Tillotson												
Jarvis Christian												
Paul Quinn College												
Prairie View A&M												
Saint Phillip's						31276						
Southwestern Christ.						240,000						
Texas College												
Texas Southern						164,076						
Wiley College												
TOTALS						**435,352**						
VIRGINIA												
Hampton University						339,535						
Norfolk State						45,045						
Saint Paul's												
Virginia State						175,924						

FY 1996
FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

State/Institution	R & D	PE	Training	F & E	Fellows	SFA	DIS	TPA	PSI	AI	Other	Totals
Virginia Union												
TOTALS						560,504						

WEST VIRGINIA

Bluefield State												
West Virginia State												
TOTALS												

U.S. VIRGIN ISLANDS

Univeristy of the Virgin Islands												
TOTALS												
GRANT TOTAL	5,534,000					7,854,100						

Categories

R&D	Research & Development	SFA	Student Financial Assistance
PE	Program Evaluation Training	DIS	Direct Institutional Subsidies
F&E	Facilities & Equipment	TPA	Third Party Awards
Fellows	Fellowship, recruitment, IPA	PSI	Private Sector Involvement
		AI	Administrative Infrastructure

* $432,705 to Mississippi Consortium for International Development

HUMAN CAPACITY DEVELOPMENT CENTER
PARTICIPANT TRAINING SYSTEM
PARTICIPANTS AT HBCUs COMPARED TO ALL PARTICIPANTS
MONTHS OF TRAINING DURING FISCAL YEARS 1995 AND 1996

	Fiscal Year 1995				Fiscal Year 1996					
	Technical		Academic		Technical			Academic		
	Participants	Months	Participants	Months	Participants	Months	Amounts	Participants	Months	Amounts
Alabama A and M University	1	0.73	16	122.1	2	0.73	2,555	5	53.03	148,400
Alcorn State Univeristy	1	3.53	0	0	0	0	0	0	0	0
Bowie State University	27	24.67	7	70.33	19	16.47	57,645	3	22.4	67,720
Central State University	1	0.93	15	176.03	1	3.27	11,445	11	130.87	366,436
Chicago State University	0	0.00	1	12.2	0	0	0	1	8	22,400
Clark Atlanta University	120	130.90	22	194.4	62	48.97	171,395	14	109.93	307,804
Coppin State College	0	0.00	0	0	0	0	0	1	9.1	25,480
Delaware State College	1	0.73	3	13.37	1	0.23	805	3	36.7	102,760
Florida Agriculture and Mech. University	1	1.37	17	150.07	8	10.97	88,395	8	80.53	226,604
Fort Valley State College	0	0.00	3	36.6	0	0	0	3	15.27	42,000
Grambling State College	0	0.00	1	1.03	0	0	0	0	0	0
Hampton University	2	2.47	15	118.23	2	6.13	21,455	12	113.6	318,080
Harris Stowe State College	15	46.00	21	253	30	82	287,000	21	212.03	593,684
Howard University	36	25.57	28	241.43	57	38.17	133,595	15	120.9	338,520
Jackson State University	14	41.13	30	207.43	8	37.9	132,650	31	296.9	831,320
Kentucky State University	0	0.00	19	32.77	0	0	0	35	257.5	721,000
Langston University	1	2.13	0	0	0	0	0	0	0	0
Lincoln University	0	0.00	2	22.33	34	19.23	67,305	1	5.07	14,196
Lincoln University	4	8.03	1	7.47	0	0	0	0	0	0
Mississippi Const. for International Developme	401	439.83	1	11.1	131	123.63	432,705	0	0	0
Mississippi Valley State University	0	0.00	2	19.37	0	0	0	1	8.73	24,444
Morehouse School of Medicine	8	22.57	0	0	0	0	0	0	0	0
Morgan University	0	0.00	11	82.07	22	10.27	35,945	8	75.57	211,596
Morris Brown College	8	6.40	0	0	0	0	0	0	0	0
Norfolk State University	3	7.53	0	0	5	12.87	45,045	0	0	0
North Carolina A and T State University	0	0.00	29	183.03	2	3.7	12,950	14	154.8	433,440
Prairie View A and M College	8	3.20	1	12.2	0	0	0	1	11.17	31,276
St. Phillips College	0	0.00	0	0	0	0	0	16	192	240,000
Sojourner Douglas College	3	6.43	0	0	1	3.07	10,745	0	0	0
Southern University A and M College	7	2.80	7	83.8	0	0	0	6	54.2	151,760
Spelman College	2	7.00	2	24.4	1	2.77	9,695	2	11.1	31,080
Tennessee State University	0	0.00	3	24.17	0	0	0	3	33.77	94,556
Texas Southern University	0	0.00	5	50.97	0	0	0	5	59.67	164,076
Tougaloo College	0	0.00	1	8.1	0	0	0	0	0	0
Tuskegee University	7	51.10	25	244.67	0	0	0	19	159.3	446,040
University of District of Columbia	2	12.03	1	3.07	4	2.67	9,345	0	0	0
University of Maryland-ES	4	4.23	6	56.33	3	1.4	4,900	5	26.8	75,040
Virginia State College	0	0.00	8	42.63	0	0	0	6	62.83	175,924
Wilberforce University	0	0.00	1	12.2	0	0	0	1	12.23	34,244
Winston-Salem State College	0	0.00	0	0	2	7.83	27,405	0	0	0
Xavier University	14	6.40	4	47.03	0	0	0	3	18.3	51,240
Grand Totals							1,562,980			6,291,120
HBCU Facilities	691	857.71	308	2563.93	395	432.28		254	2352.3	
Non-HBCU Facilities	13452	15600.96	2953	24578.9	9027	10023.08		2269	17993.54	
All Facilities	14143	16458.67	3261	27142.83	9422	10455.36		2507	20345.84	

Filename: FY95.wk4 04/30/97

TABLE IE: PROGRAM AWARDS FY1995 AND FY1996

(Participant training managed by Global Bureau under central contracts not included.)

GLOBAL BUREAU	FY95 ($000)	FY96 ($000)
Alabama A & M University		
HBCU Training Grant	50	--
Clark-Atlanta University		
HBCU Research Grant	50	--
BASICS	200	--
UDLP Development Grant	100	50
Central State University		
HBCU Research Grant	50	--
UDLP Development Grant	100	100
Florida A&M University		
HBCU Research Grant	89	--
Fort Valley State College		
HBCU Research Grant	100	--
HBCU Research Grant	100	--
Howard University		
HBCU Research Grant	100	--
Lincoln University, MO		
UDLP Development Grant	150	200
Lincoln University, PA		
HBCU Training Grant	100	--
Meharry Medical College		
HBCU Research Grant	99	--
Morgan State University		
HBCU Research Grant	100	--
HBCU Research Grant	100	--
HBCU Research Grant	20	--
UDLP Development Grant	100	100

South Carolina State College		
HBCU Research Grant	100	--
Tennessee State University		
HBCU Research Grant	100	--
Texas Southern University		
HBCU Research Grant	100	--
Tuskeegee University		
HBCU Research Grant	100	--
HBCU Research Grant	100	--
HBCU Research Grant	97	--
Virginia State University		
HBCU Research Grant	100	--
HBCU Research Grant	100	--
Total	2,405	450

AFRICA BUREAU	**FY 95**	**FY96**
Clark Atlanta University		
Zambia Training Grant	1,293	--
EAGER Research Project	550	120
BESO Project	518	--
Guinea Training Grant	224	140
Florida A & M University		
PARTS Project	100	--
West Africa Regional Health 396	--	
HRDA Training Grant	89	--
Howard University		
HRDA Training Grant	19	--
Jackson State University		
HRDA Training Grant	65	--
HRDA Training Grant	12	--
HRDA Training Grant	17	--
Lincoln University, MO		
Malawi Ag. Sector Assistance Project	--	195

Lincoln University-PA		
HRDA Training Grant	45	--
Maryland, University of-Eastern Shore		
AELGA Project	248	125
PARTS Project	1,000	455
Morehouse College of Medicine		
HHRAA Project	353	128
West Africa Regional Health	936	--
Traditional Medicine Project	843	--
HIV/AIDS Prevention	3,000	1.240
Senegal Child Survival & Family Planning	--	150
North Carolina A&T University		
PARTS Project	300	136
Southern University System		
Democracy/Governance in Zambia	2,000	1,600
Tuskegee University		
Sokaine University Project, Tanzania	2,715	--
PARTS Project	190	100
Total	16,510	4,389
ENI BUREAU	**FY 95**	**FY96**
Mississippi Consortium for International Develpmnt		
CEE Training Grant	343	--
Total	343	--
ANE BUREAU	**FY95**	**FY96**
Clark Atlanta University		
Pilot Studies for Revenue Generation	--	695
Total	---	695
Grand Totals	19,035*	5,534

* Award amounts have been revised since FY 1995 report.

IV. AWARDS AND NARRATIVE INFORMATION

A. Introduction

Provided below is the FY 1996 report of activities and initiatives which were implemented by the U.S. Agency for International Development to continue the participation of Historically Black Colleges and Universities (HBCUs) in its program. The total funding awarded to HBCUs in FY 1996 was $13.4 million. Approximately $7.9 million was awarded for participant training and $5.5 million was awarded for other activities. These amounts reaffirm the continued commitment of the Agency to significant involvement of HBCUs, despite budgetary constraints, the removal of Gray Amendment language from the Foreign Operations Appropriations bill, and the Adarand Decision.

The information below is organized by Bureau and, as requested on page 3 of the guidance, lists each activity, specific dollar amounts, a brief description, name and number of awards, the HBCUs involved, and other relevant, measurable data.

All 1996 Agency awards were discretionary. Each fit within one of two categories: (1) Research and Development and (2) Student Tuition Assistance, Scholarships, and Others. Funds to the HBCU community for international development technical assistance and research contracts and grants are included in Research and Development. USAID's student financial assistance, which is limited to individuals from selected developing countries for tuition and student maintenance (especially under participant training), is in Student Tuition Assistance.

B. Overview of USAID

USAID has four regional and four central Bureaus. The regional Bureaus are Africa, Asia and the Near East, Latin America and the Caribbean, and Europe and the New Independent States. USAID's activities are carried out in 92 USAID-eligible countries in these regions. USAID maintains 87 missions and offices in developing countries.

The four central Bureaus are: a Global Bureau which has responsibilities for technical leadership research and field support as well as for strategies, programs and activities which are transregional, that is, common to or appropriate for more than one country or region; a Bureau for Legislative and Public Affairs; a Bureau for Humanitarian Assistance and the Bureau for Management.

1. Agency Goals

All projects and activities within the Agency must be within the context of at least one of the strategic priority areas of the Agency.

The strategic priority areas for USAID are:

- Protecting the Environment
- Building Democracy
- Stabilizing World Population Growth and Protecting Human Health
- Encouraging Broad-Based Economic Growth
- Providing Humanitarian Assistance and Aiding Post Crisis Transition

C. Summary of USAID Involvement and Accomplishments with Historically Black Colleges and Universities

Within the universe of HBCUs there is considerable diversity in interests, capabilities, breadth and depth of involvement in development issues. There are those institutions with a long history of substantive involvement in international affairs and which have trained a number of international affairs leaders both in the United States and other countries. There also are HBCUs with little or no first hand experience overseas, and proportionately limited readiness to participate fully in development assistance programs. USAID encourages them to undertake voluntary collaborative relationships with other universities and private sector organizations with relevant developing country experience when common interests exist. This approach gives those HBCUs, which may serve initially as subcontractors or subgrantees, the opportunity to gain sufficient experience and capability to compete successfully and qualify as primary contractors/grantees.

USAID's interest in and involvement with HBCUs spans decades. As detailed in its FY 1995 annual report, the major focus began in agriculture and later extended to health, population, economics and basic education.

Through the years USAID has had varying success with increasing the funding amounts to HBCUs and the levels of HBCUs involvement in USAID programming. USAID continued its support to HBCUs in FY 1996. It is important to note that despite major funding constraints, the Agency continues to make a concreted effort to maintain, and even increase, the number and variety of HBCUs involved in USAID activities.

Table One below provides a summary of the actual funding for the past 15 years. The average annual funding level for the five years prior to FY 1996 was $22.7 million. The 1996 total funding represents a decrease of $ 9.3 million from that average.

TABLE ONE: USAID FUNDING TO HBCUS
FY 1981- FY 1995
(in $ millions)

FY 1982	3.7	FY 1987	17.2	FY 1992	18.2
FY 1983	5.9	FY 1988	19.9	FY 1993	25.0
FY 1984	12.8	FY 1989	29.5	FY 1994	28.6
FY 1985	18.5	FY 1990	20.6	FY 1995	29.2
FY 1986	20.7	FY 1991	12.7	FY 1996	13.4

D. Agency-Wide Activities and Initiatives

In fiscal year 1996, the Agency continued its existing initiatives. It did not initiate any new ones.

Continued from FY 1995:

1. Minority Serving Institutions Initiative

This activity comprises the MSI Policy Committee (consisting of senior level managers from throughout the Agency) and an MSI Coordinator. The MSI Policy Committee provides leadership in the formulation of policy relating to MSIs. The Committee's objectives are to:

1. Establish and maintain a set of policies relating to USAID's inclusion of Minority Serving Institutions in the activities and projects of the Agency.

2. Establish and maintain a comprehensive and systematic plan for Agency-wide coordination of activities with HBCUs and other MSIs.

3. Establish and maintain mechanisms to ensure familiarity with MSIs as resources among USAID staff and familiarity with USAID priorities, programs and activities within MSI communities.

As a central coordinating mechanism, the Committee is not directly involved in making awards.

2. Minority Institutional Coordination

The Coordinator is responsible for data collection, information sharing and technical assistance to USAID Bureaus as they develop initiatives and outreach activities to the MSI constituencies. During FY 1996 the MSI Coordinator made additional presentations to HBCUs. She also held individual and group meetings with representatives from HBCUs and made numerous referrals of HBCUs to units throughout USAID. Other activities included the White House Initiatives reports, presentations to MSI constituencies, meetings, and organization of the HBCU Day at USAID.

3. United Negro College Fund Grant

The UNCF continued its activities to expand the participation of HBCUs and Hispanic Serving Institutions (HSIs) in the programs of USAID. These included additional workshops and conferences, to refine grantsmanship skills and strategies for accessing USAID. To ensure timely information dissemination about HBCUs to USAID staff and to

expand the internationalization of those interested institutions, the grant also assists the Agency in some of its MSI efforts.

4. The Minority On-Line Information Service

MOLIS is a state-of-the-art database service, available 24-hours a day, seven days a week via Internet. The service provides key information on HBCUs and Hispanic-Serving institutions (HSIs). MOLIS, which provides information on Federal education programs, research equipment and employment opportunities, has a unique interactive feature. HBCUs and HSIs are able to respond directly to information requests (e.g., procurement interests and conference participation) from Federal agencies. A number of Federal agencies, interested in supporting HBCU and HSI efforts, provide annual funding for this project.

The Agency contributed $32,000 in FY 1996 and will contribute $48,000 in FY 1997 as its share of funding for MOLIS. This system is one means of making information more easily and broadly available in USAID's efforts to widen its use of HBCUs in implementing its programs and activities.

5. HBCU Day at USAID Committee

The standing ad hoc HBCU Day Planning Committee planned and developed the HBCU Day program held on September 24, 1996. During the day, several special sessions were held which included region specific round tables, a plenary with Assistant and Deputy Assistant Administrators on the panel, a meeting with HBCU Presidents, the Administrator and key senior USAID assistant administrators.

New Initiatives in FY 1996: None

E. Bureau Activities and Initiatives

The Africa Bureau again ranked first in R & D awards with $4,389,000; ANE ranked second with $695,000 and the Global Bureau ranked third with total of $450,000. Both the ENI and the LAC Bureaus funded participant training at HBCUs.

1. Bureau for Global Programs, Field Support and Research

Several of the Agency's programs or initiatives are collaborative and involve more than one bureau. For example, the MSI Coordinator and the UNCF Grant, discussed above as Agency-wide activities, were housed in the Global Bureau in FY 1996. Likewise, individual training participants are selected and funded by field missions and geographic bureaus, but are placed and monitored by central contracts backstopped by the Global Bureau.

Within the Global Bureau are five Centers which address the specific technical areas of health, nutrition, and population; environment; democracy and governance; energy; human capacity development; and economic growth. There is also the Women in development (WID) Office which provides technical assistance to the Agency on issues relating to the participation and well-being of women.

a. Center for Human Capacity Development

Continued from FY 1995:

1) Participant Training

USAID provides training opportunities to actual and aspiring professionals in developing countries in such areas as business administration, technical and scientific areas, and agricultural technology. Traditionally, of the total allocated, approximately 10% has gone to HBCUs as part of the Gray Amendment target. Even though the Gray Amendment language was removed from the FY 1996 Appropriation, 649 participants, or 5.4% of the total recorded, were trained in HBCUs during FY 1996. This compares with 5.7% in FY 1995. The total in-service trainees include those who began in an earlier year but were still in training as the new FY began. Provided in the table "Award 1 D" is a summary of those trainee assignments by each HBCU.

In the fiscal year 1996, 34 HBCUs received participant training placements through Global Bureau contractors. The total dollar amount for new starts and "continuing trainees" at HBCUs in FY 1996 was $7.9 million. If recent trends hold, the Agency's increased emphasis on short-term, specialized training, will mean increasingly smaller percentages assigned to HBCUs.

2) Historically Black Colleges and Universities Research Grant Program

This program involves HBCU researchers in seeking solutions to developing country problems, and simultaneously strengthens the expertise at the HBCUs in the area of international development. It encourages developing countries and USAID missions overseas to identify and make use of outstanding HBCU scientists. The total cost of each grant may not exceed $100,000 and, in most cases, should be scheduled for completion within two years after the grant award.

No new awards were issued in FY 1996. Twenty Awardees conducted research under previously awarded grants.

3) University Development Linkages Program

Established in 1991, the University Development Linkages Project (UDLP) promotes and supports collaboration of U.S. colleges and universities with developing country institutions to: a) strengthen developing country institutions so that they may more effectively meet the development needs of their societies, and b) further the internationalization objectives of U.S. universities.

As of fiscal year 1996, of the 42 awards made in the UDLP to date, as individual institutions or a part of consortia/groupings, HBCUs participated in nine (9) or 21% of the total awards. HBCUs represented 15% of the 60 of higher education institutions. HBCUs were the sole, lead institutions in four grants and in FY 1996 they received incremental funding as follows:

HBCU	COUNTRY	ACTIVITY	FY 96 FUNDING
1. Clark-Atlanta	Madagascar	Women in Development	$50,000
2. Central State	Ghana	Environmental Technology	$100,000
3. Morgan State	Bangladesh	Women in Development	$100,000
4. Lincoln (MO)	Malawi	Animal Husbandry/Child Nutrition	$200,000

Lincoln University built on its UDLP-related presence in Malawi to develop its winning bid for the bilaterally-funded Agricultural Sector Grant, to establish a non-USAID funded linkage with the University of Capetown in South Africa, and to recruit 38 Malawian students to the Lincoln campus.

FY 1996 Initiatives: None

b. Center for Population, Health and Nutrition

Continued from FY 1995:

The Center for Population, Health and Nutrition has three projects which involve HBCUs. Provided below are descriptions of the three projects.

1) Basic Support for Institutionalizing Child Survival

The project is designed to assist USAID missions, regional bureaus and USAID-assisted countries meet the dual challenges facing child survival programs in the 1990s: extending access to and use of child survival interventions, and institutionalizing those interventions through strengthened health service delivery systems, sustained behavior change and policies that support child health and child survival. BASICS provides expertise both in the focused technical interventions of child survival and in cross-

cutting areas of service delivery support, communication and marketing; and primary health care management, planning and policy. In FY 96, Clark-Atlanta University continued activities commenced under its FY 1995 subcontractual arrangement.

2) Health Care and Financing Sustainability

The goal of this project is to improve the health status of target population groups in developing countries though the improvement in the allocation and use of resources within the health sector. The project is designed to demonstrate that (a) improvement in access, affordability, efficiency and quality health care can occur through enhancing the financial base from which cost effective health activities can be organized and implemented; and (b) improvement in the allocation, use and management of private and public health sector resources. Under the sub-project "Health Financing and Sustainability", the contractor will utilize the expertise of several HBCUs.

3) Population Fellows Program

During FY 1996, the University of Michigan continued to engage in recruitment activities. Currently the program works with three institutions (Howard University, Clark-Atlanta University, and Morgan State University) to select potential fellowship candidates, identify course requirements, and provide necessary qualifying courses through Johns Hopkins University for up to four fellowship candidates per year.

New in FY 1996: None

2. Bureau for Africa

Major activities which can and/or do involve HBCUs are as follows:

Continued from FY 1995:

a. Equity and Growth Through Economic Research Program

EAGER is a six-year multifaceted project which focuses on economic and social science research for Africa. Its purpose is to increase the use of economic and social research and analysis by decision makers in both public and private sector institutions of sub-Saharan Africa.

HBCU Awardee	FY 1996 Award Amount
1. Clark-Atlanta/Howard University	$120,000

b. Guinea Training Grant

HBCU Awardee	FY 1996 Award Amount
1. Clark-Atlanta University	$140,000

c. Malawi Agriculture Sector Assistance Program

This activity provides technical and institutional support for the establishment of an agricultural policy research unit at the Bunda College of Agriculture and other assistance to increase growth and efficiency in agricultural markets.

HBCU Awardee	FY 1996 Award Amount
1. Lincoln University (MO)	$195,000

d. African Emergency Locust and Grasshopper Activity

This activity conducts seminars and provides training and advice on the control of locusts and grasshoppers.

HBCU Awardee	FY 1996 Award Amount
1. Maryland, University of-Eastern Shore	$125,000

e. Policy Analysis, Research and Technical Services

This activity provides analytical, research, and technical services support in agriculture, the environment, and natural resources protection.
It is active in about 25% of the Sub-Saharan African countries.

HBCU Awardee	FY 1996 Award Amount
1. Maryland, University of-Eastern Shore	$455,000
2. North Carolina A & T University	$136,000
3. Tuskegee University	$100,000

f. Health and Human Resources Analyses for Africa

This activity funds analyses that support policy change and institutional reform in east and southern Africa in the fields of health and education. The award to Morehouse

School of Medicine complements its project to help prevent HIV/AIDS in Zambia.

HBCU Awardee	FY 1996 Award Amount
1. Morehouse School of Medicine	$128,000

g. HIV/AIDS Prevention

This project contributes to the Government of Zambia's program for the prevention, monitoring, and care of HIV/AIDS-related diseases. Activities include public health education for high-risk target groups, increasing the availability of condoms on the commercial market, improving the availability of medications, promoting the correct identification and management of sexually transmitted diseases, and improving counseling/testing facilities.

HBCU Awardee	FY 1996 Award Amount
1. Morehouse School of Medicine	$1,240,000

h. Democracy and Governance in Zambia

This project supports activities that increase citizens' awareness of their civil rights and responsibilities, promote independent and professional journalism, and improve coordination of policy implementation in the Cabinet Office.

HBCU Awardee	FY 1996 Award Amount
1. Southern University System	$1,600,000

New in FY 1996:

3. Bureau for Europe and the New Independent States

The Bureau for Europe and the New Independent States (ENI) manages USAID's programs in Central Europe and the New Independent States.

The Bureau, through its buy-in to the Global Bureau's training core contract, obligated $1,949, 669 in FY 1996 and plans to obligate $1,772,256 through the core contract this Fiscal Year and $1,188,000 in fiscal Year 1998.

However, ENI is seeking to further deepen its relationships with HBCUs beyond the traditional participant training activities (which over the past six years have resulted in

3,103 training opportunities in 18 HBCUs) to more technical support programs which address the highly specialized economies of ENI countries.

4. Bureau for Asia and the Near East

Continued from FY 1995: None

New in FY 1996:

a. Local Government Strengthening Support

This project, which was completed at the end of FY 1996, undertook a combination of technical assistance, training, and analytical activities to advance democracy and governmental accountability. In FY 1996, Clark-Atlanta University received an award to provide pilot studies and activities designed to promote local revenue generation and local government accountability.

HBCU Awardee	FY 1996 Award Amount
1. Clark Atlanta University	$695,000

5. Bureau for Latin America and the Caribbean

a. Cooperative Association of States for Scholarships (CASS) Program

Three HBCUs are currently sub-grantees to the CASS program. CASS is a LAC regional participant training program, implemented by Georgetown University. The sub-grantees, the number of students, and estimated expenditure in FY 96 are outlined below.

HBCU Awardee	FY 1996 Award Amount
1. Harris Stowe State College	$324,000
2. Kentucky State University	$514,000
3. St. Phillips College	$240,000

6. Bureau for Legislative Affairs and Public Affairs

The Bureau for Legislative Affairs and Public Affairs has engaged in several activities to increase the collaboration with USAID in the area of public information dissemination and information sharing. To increase exposure of the Agency within the HBCU and

other Minority Serving Institutions communities and increase Agency awareness of MSI capabilities, when feasible, LPA includes at least one visit to one of those institutions on the itinerary of senior administrators. Travel in FY 1996 was curtailed due to activities related to the Agency's major personnel reductions.

7. Bureau for Management

a. Office of Human Resources

The Recruitment Branch in the Office of Human Resources has developed an innovative and aggressive recruitment program to both interest young people in international affairs as a career choice during their formative undergraduate years in college, and to encourage their considering working with USAID, which would contribute to increasing diversity in its workforce.

b. Internship Investment Program

Appropriately entitled, "The Internship Investment Program," the program was established in 1994 and, thus far, several recruitment visits have been made to colleges and universities. The program is designed to encourage young people, women and minorities to consider foreign service as a career choice and provide qualified persons to become involved with USAID and international development organizations. Students initially access the program during the summer between their sophomore and junior years and, if qualified, could return during the summers until they are in graduate school. FY 1995 was the first year of full operation for the program. The HBCUs selected for the special recruitment effort are:

Clark Atlanta University
Howard University
Florida A&M University
Jackson State University
Morehouse College
Prairie View A&M University
Savannah State College
Spelman College
Texas Southern University