

**AGENCY FOR
INTERNATIONAL
DEVELOPMENT**



ANNUAL BUDGET SUBMISSION

FY 1978

SOUTHERN AFRICA

BEST AVAILABLE

**DEPARTMENT
OF
STATE**

JULY 1976



ANNUAL BUDGET SUBMISSION (ABS)

for

SOUTHERN AFRICA

FY 1978

**Office of Southern Africa Regional
Activities Coordination (OSARAC)
Mbabane
Swaziland**

June 1976

FY 1978

ANNUAL BUDGET SUBMISSION FOR SOUTHERN AFRICA

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Continued Validity of OSARAC's DAPs

The DAPs for Botswana, Lesotho, Malawi and Swaziland, OSARAC's four countries with active AID programs, call for primary attention to the food and nutrition/agricultural rural development sector. OSARAC is following this strategy and considers it still to be clearly valid. Seventy-three percent of our FY 1976 grant program is in the F&N allotment category, and we expect a substantial concentration of this kind to continue for the foreseeable future.

However, especially with Southern Africa's acute manpower needs, there is no way to make a clear distinction between food and nutrition (F&N) on the one hand and education and human resource development (EHRD) on the other. Nor can population planning and health (PPH) be considered aside from EHRD. In our actual program practice there is considerable overlap of "sector" functions within any allotment, e.g. a health manpower training project funded from PPH obviously is also an EHRD activity. The EHRD category is expected to remain an important feature of the OSARAC program, consistent with the DAPs. PPH will grow absolutely and probably relatively upon approval of two new health manpower training projects.

The original DAPs for the BLS countries were strengthened in the EHRD Sector by additional studies that an AID contract team prepared during FY 1976.

In summary our DAP strategy remains valid and we are continuing to use it as the basis for future programming.

Program: Southern AfricaSummary Table I
(\$000)

FY 1976, IQ, FY 1977, FY 1978

	<u>FY 1976</u>	<u>Interim Quarter</u>	<u>FY 1977</u>	<u>FY 1978</u>
Food/Nutrition	3,816	400	3,207	12,990
Grants	(3,816)	(400)	(3,207)	(8,295)
Loans	(-)	(-)	(-)	(4,695)
Population/Health*	400	300	2,158	1,153
Grants	(400)	(300)	(2,158)	(1,153)
Loans	(-)	(-)	(-)	(-)
Education/Human Resources Development	930	129	1,850	4,500
Grants	(930)	(129)	(1,850)	(4,500)
Loans	(-)	(-)	(-)	(-)
Sub-Total				
Grants	5,146	829	7,215	13,948
Loans	-	-	-	4,695
Total	5,146	829	7,215	18,643
HIGs	-	-	-	1,300
PL 480, Title II	2,700	-	2,700	2,700

*OSARAC lacks the necessary information for separating Population and Health

AGENCY FOR INTERNATIONAL DEVELOPMENT ABS/CP SUMMARY		1. TRANSACTION CODE A = ADD C = CHANGE D = DELETE					2. ABS/CP DOCUMENT CODE 6				
5. COUNTRY/ENTITY OSARAC		4. DOCUMENT REVISION NO.		5. OPERATIONAL YEAR FY		6. BUREAU/OFFICE A. SYMBOL B. CODE		7. GEOGRAPHIC CODE			
		<input type="checkbox"/>		77		AFR [1]		[690]			
8. TYPE DATA 1 = ABS 2 = ABS REVISION 3 = CP 4 = CP NOTIFICATION				9. TYPE ASSISTANCE 1 = PROJECT 2 = PROGRAM							
1				2	3	4	1	2			
10. PROJECT SEQUENCE NO.	11. PROJECT TITLE (40 CHARACTERS MAXIMUM)	12. QTR. FOR OBLIG.	13. EST. FY AUTH. OBL. FINAL	14. APPROPRIATION	15. PRIMARY PURPOSE CODE	16. LOAN INDICATOR	17. BUDGETS (IN \$000)				
							AY	TQ	OY	BY	LOP
0058	Lesotho Health Manpower Training*	1/2	80	PH	420	GN	-	300	558	600	1,958
0062	Swaziland Health Manpower Training	1/3	81	PH	401	GN	-	-	1,200	418	2,386
0009	Swaziland Curriculum Development	2/3	81	EH	520	GC	90	-	600	621	3,239
0030	Southern Africa Development Personnel and Training	2/2	78	EH	550	GC	840	129	1,250	726	5,500
	New FY 78 Starts										
-	SADPT II (2)	3	82	EH	550	GN	-	-	-	1,300	7,500
0061	National Teacher Training College (5)	4	82	EH	530	GN	-	-	-	933	1,580
-	Exams Council (6)	4	82	EH	530	GN	-	-	-	920	2,850
							18. DATE DOCUMENT RECEIVED IN AID/W				
							MM	DD	YY		

Range and Livestock Management
690-0015

This project has completed its second year and, in terms of the detailed implementation schedule set forth in the PROP, is proceeding as planned.

Phase One (First Year) "Systems Determination and Selection of Test Areas" has been completed and Phase Two (years two - four) "Establishment and Operation of Test Areas" is now well underway.

An in-depth external evaluation of the project has just been completed and the draft report is being prepared for AID/W review, following which necessary revisions to the PROP will be made. This project has been very tightly funded in past years. This coupled with evaluation recommendations for modifications in the project at the output and input levels will make alterations in composition and magnitude of the budget essential. In this respect, increases in the other cost estimates for FY 77 and 78 reflect inflationary pressures and actual experience with ranch development. The proposed budget for these years also takes into account costs associated with likely changes in team composition and numbers of personnel. These are preliminary estimates which will be detailed and justified in a revision to the PP. The currently estimated FY 1977 total will require a Congressional Notification since the FY 77 CP included only \$200,000 for this project.

Table III

Program Southern Africa (Botswana)

Range and Livestock Management
 PROJECT NAME
 PROJECT NUMBER 690-11-130-015
 APPROPRIATION Food & Nutrition
 INITIAL OBLIGATION FY 73
 FINAL OBLIGATION FY 78
 TOTAL COST 1,950
 DATE PROP/REVISION 1973
 DATE LAST PAR See Eval. Schedule
 DATE NEXT PAR May 1977

	FY Obligations	FY Expenditures	U.S. DOLLAR COST (IN THOUSANDS)		FY 1977 and FY 1978					
			Unliquidated as of:	Cost	Direct Aid	Contract	PASA	Total	Obligations by Cost Component/NOI	
Actual FY 1976	457	319	6/30/76	568	77	78	77	78	77	78
Estimated Interim Qtr.	-	61	9/30/76	507	54	54			54	54
Estimated FY 1977	506	476	9/30/77	537	25	98			217	98
Proposed FY 1978	552	449	9/30/78	640	271	152	235	400	506	552

Name of Contractor	FY 1976 Obligations		Int. Qtr. Obligations		FY 1977 Obligations		FY 1978 Obligations		On Board Personnel				
	From	to	From	to	From	to	From	to	6/30 1976	9/30 1976	9/30 1977	9/30 1978	
Near East Foundation									5	4	7	6	
Period *	N.A.				N.A.		N.A.		3	3	6	4	
Amount	440				235		400						

* Not applicable as project personnel are OPEX officers funded position by position for two years at a time.

Thaba Bosiu Rural Development
690-0031

Due to significant changes effected or planned in the project since the original PROP, an in-depth external evaluation was conducted in October, 1975. Based on this evaluation the project was redesigned by OSARAC, REISO/EA and the Government of Lesotho during the period 4/76-5/76. A revised PROP will be submitted prior to 6/30/76. Per this revision the length of project remains unchanged and funding increases from \$2.8 to \$3.3 million (compared to \$3.8 million shown in the FY 76 and 77 Congressionals). The addition is due primarily to a substantial increase in participant training, a moderate increase in technical assistance due to the assignment of additional types of personnel resulting from evaluation and redesign, and a modest increase in commodities due to requirements for heavier duty equipment. The local cost budget remains unchanged.

TABLE III

Program Southern Africa (Lesotho)

PROJECT NAME Thaba Bosiu INITIAL OBLIGATION FY 73 DATE PROP/REVISION 12/72-8/73-6/76
 PROJECT NUMBER 690-11-120-031 FINAL OBLIGATION FY 78 DATE LAST PAR See Evaluation Schedule
 APPROPRIATION Food & Nutrition TOTAL COST 3,300 DATE NEXT PAR. 10/77

U.S. DOLLAR COST (IN THOUSANDS)

Actual FY 1976	Estimated Interim Qtr.	Estimated FY 1977	Proposed FY 1978	FY 1976		Int. Qtr.		FY 1977		FY 1978		FY 1977 and FY 1978	
				Obligations	Expenditures	Obligations	Expenditures	Obligations	Expenditures	Obligations	Expenditures	Unliquidated as of:	Obligations by Cost Component/NOI
390	400	500	543	343	213	704	654	685	872	668	557	9/30/78	9/30/78
				Component		Local & Ten.		U.S. Tech.		Participants		Commodities	
				77	78	77	78	77	78	77	78	77	78
				150+	12+	150+	12+	150+	12+	150+	12+	150+	12+
				Total		Total		Total		Total		Total	
				350	531	150	12	500	543	350	531	150	12

+FY 77 includes 53 for short term;
 FY 78 is all short term.

Name of Contractor	FY 1976		Int. Qtr.		FY 1977		FY 1978		On Board Personnel				
	Obligations from	to	6/30 1976	9/30 1976	9/30 1977	9/30 1978	9/30 1978						
Near East Foundation	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	0	0	0	0	0
Period */	NA	NA	NA	NA	NA	NA	NA	NA	0	0	0	0	0
Amount	185	70	97	0	0	0	0	0	4	5	4	3	9

*/ Not applicable, as project personnel are OPEX officers funded position by position for two years at a time.

LESOTHO

Land and Water Resource Development
690-0048

Although full project implementation was delayed due to late arrival of technicians and key commodities, the project is expected to terminate on schedule and within budget. It is expected that the participant training element will be exceeded by approximately \$60,000. This will be offset by a reduction in commodity budget. The types of commodities procured will change. Less emphasis will be placed on providing heavy duty earth-moving equipment as other donor inputs of this type are increasing. More emphasis will be placed on maintenance and workshop commodities. There will be minor changes in the phasing of T.A. inputs due to late arrivals and minor deviations in length of funding for positions. Total man/years and total funding are expected to remain unchanged. No significant change in purpose and outputs has been made.

Table III

Program Southern Africa (Lesotho)

Development

PROJECT NAME Land & Water Resource/ INITIAL OBLIGATION FY 75 DATE PROP/REVISION 6/74
 PROJECT NUMBER 690-11-120-048 FINAL OBLIGATION FY 81 DATE LAST PAR See Evaluation Schedule
 APPROPRIATION Food and Nutrition TOTAL COST 3,000 DATE NEXT PAR 5/77

	FY Obligations	FY Expenditures	Unliquidated as of:	U.S. DOLLAR COST (IN THOUSANDS)		FY 1977 and FY 1978 Obligations by Cost Component/ROI				
				Component	Direct Aid	Contract	PASA	Total	6/30/76	9/30/76
Actual FY 1976	400	410	893	77	78	77	78	77	77	78
Estimated Interim Qtr.	0	258	635	U.S. Tech.		380*	335*			380
Estimated FY 1977	625	725	535	Local & Ten. Participants	195					195
Proposed FY 1978	641	581	595	Commodities	50					50
			9/30/78	Other Costs	45					45
				Total	245	306	380	335		625
										641

* FY 77 includes 30 for short term
 * FY 78 includes 25 for short term

Name of Contractor	FY 1976 Obligations		Int. Qtr. Obligations		FY 1977 Obligations		FY 1978 Obligations		On Board Personnel				
	from	to	from	to	from	to	from	to	6/30/1976	9/30/1976	9/30/1977	9/30/1978	6/30/1978
Near East Foundation									0	0	0	0	8
									0	0	0	0	0
									5	6	7	8	0
									10	17	19	20	0
Period 1/	N.A.		N.A.		N.A.		N.A.						
Amount	70		0		350		310						

1/ Not applicable as project personnel are OPEX officers funded position by position for 2 years at a time.

Bunda Agricultural College
690-0054

The Project Paper was approved March 10, 1976 and the project agreement obligating the first year of funds was issued April 29, 1976. The implementation of this project is considered to be on schedule.

Table III

Program Southern Africa (Malawi)

Bunda Agricultural College

PROJECT NAME
PROJECT NUMBER 690-11-110-054
APPROPRIATION Food & Nutrition

INITIAL OBLIGATION
FINAL OBLIGATION
TOTAL COST

FY 76
FY 80
4,000

DATE PROP/REVISION 3/10/76
DATE LAST PAR
DATE NEXT PAR 5/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:	FY 1977 and FY 1978 Obligations by Cost Component/NOT					
				Component	Direct Aid	Contract	PASA	Total	
Actual FY 1976	1,445	-0-	1,445 6/30/76	77	78	77	78	77	78
Estimated Interim Qtr. FY 1977	-0-	-0-	1,445 9/30/76	15	9	135	540	150	549
Estimated FY 1977	750	892	1,303 9/30/77	94	170			94	170
Proposed FY 1978	1,537	1,097	1,743 9/30/78	273	818			273	818
			Total	233	818	135	540	750	1,537

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations		Int. Qtr. Obligations		FY 1977 Obligations		FY 1978 Obligations		On Board Personnel					
	from	to	from	to	from	to	from	to	6/30 1976	9/30 1976	9/30 1977	9/30 1978		
Contractor to be selected	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	mo/yr-mo/yr	Direct Hire PASA	Contract Participants				
Period */	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	6	6	6	6		
Amount	405	-0-	135	540					13	20				

* Not applicable as project personnel are OPEX officers funded position by position for 2 years at a time.

SWAZILAND

Cooperatives and Marketing
690-0055

The Project Paper has been submitted to AID/W and final approval is expected before the end of FY 76. A project agreement has been prepared which will obligate FY 76 funds for the first year of implementation for this new project.

Table III

Program Southern Africa (Swaziland)

Cooperatives and Marketing

PROJECT NAME: 690-11-140-055
 PROJECT NUMBER: Food & Nutrition
 INITIAL OBLIGATION FY 76: 2,714
 FINAL OBLIGATION FY 80: 7/77
 TOTAL COST: 2,714
 DATE PROP/REVISION: 7/77
 DATE LAST PAR: 7/77
 DATE NEXT PAR: 7/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:	FY 1977 and FY 1978		Total
				Obligations by Cost Component/MOT		
				Direct Aid	Contract PASA	
Actual FY 1976	616	-0-	6/30/76	77	78	78
Estimated Interim Qtr.	-0-	171	9/30/76	18	165	165
Estimated FY 1977	426	332	9/30/77	78	77	77
Proposed FY 1978	739	487	9/30/78	78	78	78
			Total	261	206	165
						533
						426
						739

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations		Int. Qtr. Obligations		FY 1977 Obligations		FY 1978 Obligations		Direct Hire PASA Contract Participants	On Board Personnel				
	From	to	From	to	From	to	From	to		6/30 1976	9/30 1976	9/30 1977	9/30 1978	
	mo/yr	-mo/yr	mo/yr	-mo/yr	mo/yr	-mo/yr	mo/yr	-mo/yr						
Contractor to be selected														
Period	1/77-1/78				1/78-7/78		7/78-7/79							
Amount	330		-0-		165		533							

Crop Production and Marketing
690-0056

The Project Paper has been submitted to AID/W and final approval is expected before the end of FY 76. A project agreement has been prepared which will obligate FY 76 funds for the first year of implementation for this new project.

BOTSWANA

Maternal and Child Health/Family Planning
690-0032

The project is making satisfactory progress against target outputs and end-of-project objectives specified in the PROP. No change in purpose and outputs or funding deviation is contemplated.

End-of-project outputs are as follows:

- (1) An in-service MCH/FP and public health curriculum for nurses, and related training plans;
- (2) In-service training for approximately 500 nurses,
- (3) An integrated curriculum for basic nursing in use; with approximately 200 nurses having completed such training;
- (4) Four field practice facilities established;
- (5) Approximately ten nurse tutors trained;
- (6) A functioning health education unit established within the MOH and approximately seven Batswana trained or in training as health educators;
- (7) A post-partum family planning service established in government hospitals;
- (8) Two or three participants trained in administration and evaluation of MCH/FP programs;
- (9) Counterparts working with contract personnel six months to a year prior to end-of-project.

Recent reviews of the project indicate that progress toward the above targets has generally been satisfactory. Specifically,

- (1) In the roughly 2½ years since arrival of the contract team, an in-service training program for nurses has been organized and implemented;
- (2) About 200 nurses have completed the new in-service course,
- (3) The basic nursing curriculum has been revised to integrate MCH/FP, promotive and preventive health and is presently under review by the MOH;
- (4) Field practice programs have been staged at eight facilities in rural and urban locales,
- (5) Two Batswana are currently in training as nurse-tutors. Progress has been hindered by a dearth of qualified candidates. Efforts to identify participants have been intensified and this output target remains unchanged;
- (6) Development of the MOH Health Education Unit is slower than anticipated due to the resignation of the first health education specialist. This activity has moved forward again since the arrival of a replacement health educator in April 1975, and the MOH is now more energetic. Qualified candidates for health education training have been lacking, and it appears that the project will fall short of the number projected in the PROP. One participant is studying for a BS in health education;
- (7) Post-partum family planning services have been organized and are being offered at government hospitals;

(8) Three participants have enrolled in the Meharry MCH/FP short course and one nurse has completed short-term training in MCH/FP program administration and evaluation in the U.S.;

(9) Counterpart personnel have been selected and are either working with contract technicians or completing training.

The FY 76 PAR for this project is in draft and will be submitted following mission review.

Table III

Program Southern Africa (Botswana)

PROJECT NAME MCH/FP INITIAL OBLIGATION FY 1973 DATE PROP/REVISION 1972
 PROJECT NUMBER 690-11-540-032 FINAL OBLIGATION FY 1978 DATE LAST PAR See narrative
 APPROPRIATION Population Planning and Health TOTAL COST 2,099 DATE NEXT PAR May 1977

U.S. DOLLAR COST (IN THOUSANDS)

Actual FY 1976	Estimated Interim Qtr. FY 1977	Proposed FY 1978	FY Obligations	FY Expenditures	Unliquidated as of:	FY 1977 and FY 1978				
						Obligations by Cost Component/MOI				
						Cost	Direct Aid	Contract	PASA	Total
400	-	135	400	272	458	77	78	77	78	78
					6/30/76	U.S. Tech.		232	100	232
					9/30/76	Local & Tcn. Participants		88	35	88
					9/30/77	Commodities		46		46
					9/30/77	Other Costs		34		34
					9/30/78	Total		400	135	400
										135

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations		Int. Qtr. Obligations		FY 1977 Obligations		FY 1978 Obligations		On Board Personnel				
	from	to	from	to	from	to	from	to	6/30/1976	9/30/1976	9/30/1977	9/30/1978	
Meharry Medical College	mo/yr	mo/yr	mo/yr	mo/yr	mo/yr	mo/yr	mo/yr	mo/yr	5	5	5	5	
	4/76	6/77	-	-	6/77	6/78	6/78	6/79	6	8	10	3	
Amount	399				400		135						

Direct Hire
 PASA
 Contract
 Participants

Health Manpower Training
690-0058

No table is submitted, per instructions in A-201. The PP design team was in the field during ABS preparation (arrival 5/31/76). The T.Q. CP level (\$300) will remain unchanged. The possibility of adding an additional T.A. position (Health Education) and additional U.S. participant training as indicated in the ECPR analysis may require an increase in the FY 77 budget and hence a Congressional Notification as current T.Q. and FY 77 budgets are already bare-bone.

Curriculum Development
690-0009

Implementation of the technical assistance element began with the arrival of the first contract team members in August 1975. The financing, outputs and purpose projected in the Project Paper remain valid; however, due to later-than-scheduled project approval, construction, contractor selection and team arrival, various items of the project schedule shown in the PP have slipped several months, on the average. In March 1976 a Project Performance Tracking system was established which takes progress to date into account. The project is now on schedule in accordance with the PPT network.

Table III

Program Southern Africa (Swaziland)

Swaziland Curriculum

PROJECT NAME Development
 PROJECT NUMBER 690-11-620-009
 APPROPRIATION Education & Human Resources
 INITIAL OBLIGATION FY 75
 FINAL OBLIGATION FY 81
 TOTAL COST 3,239
 DATE PROP/REVISION 10/74
 DATE LAST PAR
 DATE NEXT PAR 11/76

	FY		FY		Unliquidated as of:	FY 1977 and FY 1978		Obligations by Cost Component/MOI			
	Obligations	Expenditures	Obligations	Expenditures		Cost	Direct Aid	Contract	PASA	Total	
Actual FY 1976	90	435			495	77	78	77	78	77	78
Estimated Interim Yr.	-	180			6/30/76	U.S. Tech.					
Estimated FY 1977	600	505			9/30/76	Local & Tcn.				45	65
Proposed FY 1978	621	600			9/30/77	Commodities				50	25
					431	Other Costs					
					9/30/78	Total				600	621

Name of Contractor	FY 1976		Int. Qtr.		FY 1977		FY 1978		On Board Personnel
	Obligations From	Obligations to							
Eastern Michigan University									6
Period	2/77 - 4/77				4/77 - 6/78		6/78 - 6/79		6
Amount	90				600		621		1

Contract/PASA Funding Periods	Direct Hire	Contract	Participants
	6	6	6
	1	2	3
	6	6	5

Southern Africa Development Personnel and Training (SADPT)
690-0030

A joint AID/Washington-consultant team carried out a detailed external evaluation of this project in May and June 1976. Their report, in effect, constitutes the current PAR, and will be formally submitted as such when the final copies are available to OSARAC.

As the PROP notes, SADPT is really a form of program assistance rather than a traditional project. Consequently the detailed inputs and outputs of the logical framework had to be illustrative. However, the external evaluation makes it clear that actual inputs and outputs to date have in fact been appropriate to the project purpose and are leading toward its achievement.

Recent Congressional Presentations raised the life-of-project total to \$5 million in recognition of rising costs and the obsolescence of original project estimates. Two events this year may have made that total unrealistic: AID/Washington's addition, above the project's manpower ceiling, of two new positions in Lesotho, with attendant financial implications; and the recent UNDP crisis which led to a Swaziland request for three new positions, also above ceiling, recently approved by AID/W. We are, therefore, setting the new life-of-project cost in this ABS at \$5.5 million.

Table III

Program Southern Africa (Botswana, Lesotho and Swaziland)

PROJECT NAME SADPT INITIAL OBLIGATION FY 73
 PROJECT NUMBER 690-11-720-030 FINAL OBLIGATION FY 78
 APPROPRIATION Education and Human Resources Development TOTAL COST 5,500
 DATE PROJ/REVISION 11/73
 DATE LAST PAR See Eval. Schedule
 DATE NEXT PAR See Eval. Schedule

U.S. DOLLAR COST (IN THOUSANDS)

Actual FY 1976	Estimated Interim Qtr.	Estimated FY 1977	Proposed FY 1978	FY 1977 and FY 1978		FY 1977 and FY 1978				
				Obligations	Expenditures	Unliquidated as of:	Cost	Direct Aid	Contract	PASA
840	604	1464	6/30/76	1241	9/30/76	1283	9/30/77	957	9/30/78	957
129	352	1241	9/30/76	269	9/30/76	49	9/30/77	44	9/30/78	44
1250	1208	1283	9/30/77	49	9/30/77	5	9/30/77	5	9/30/78	5
726	1052	957	9/30/78	362	9/30/78	150	9/30/78	888	9/30/78	1250
				Total		Total				
				362	150	888	576	888	576	726

* Includes 20 for short term

Contract/PASA Funding Periods

Name of Contractor	FY 1976		Int. Qtr.		FY 1977		FY 1978		On Board Personnel
	Obligations from mo/yr-mo/yr	to mo/yr-mo/yr							
Near East Foundation									16
Fields, Freeman 1/	NA		NA		NA		NA		21
Amount	519		129		868		576		21

Direct Hire	On Board Personnel			
	6/30 1976	9/30 1976	9/30 1977	9/30 1978
PASA				
Contract Participants	16	16	23	21
	21	20	28	20

1/ Not applicable as project personnel are OPEX officers funded position by position for two years at a time.

Table III a

Program: Southern AfricaAlternative Funding Levels for Only Those New FY 1978 Projects Using
Institutional Contracts

	(\$000)		Months from date of obligation	Forward Funding According to A-368 Dollars	Mission Alternative if Grant Resources Curtailed	Months from date of obligation
	(Alternative A)	(Alternative B)				
	Full Funding Level					
	Dollars	Dollars		Dollars	Dollars	
(F & N)						
Farming Systems (Lesotho)	3,178	1,460	36	1,460	1,120	12
Agricultural Planning (Botswana)*	705	395	36	395	335	12
(EHRD)						
NTTC/IMRC (Lesotho)	1,353	933	36	933	813	12
Schools Examination Council (Botswana, Lesotho, Swaziland)	1,800	920	36	920	695	12
Totals	7,036	3,708	36	3,708	2,963	12

* Alternatives for this project exclude the OPEX component, as OPEX contracts are funded for two-year increments. Thus these figures show only the impact of different funding levels on the institutional contract portion of the project. For a complete list of proposed new FY 1978 projects, see Table III b.

TABLE III a

Most contract technicians financed by the Southern Africa program are provided position-by-position through OPEX recruitment contractors, not under institutional contracts. Each OPEX position is financed for one two-year tour at a time, which costs approximately the same as one man-year of services from an institutional contractor.

Given this standard arrangement, Table IIIa is applicable only to those new OSARAC projects starting in FY 1978 which will be implemented with institutional contracts. As shown in the table, there are four such projects, three for which we expect institutional contractors to be the implementing agents and one that will be partly implemented in this manner. The observations below apply to all four cases.

Alternative A funds the first three years of the projects. No real benefits accrue from this option except to the contractors in form of more assured continuity. The chief disadvantage is the large pipelines. Alternative B is the level at which OSARAC normally budgets such projects; we consider it the desirable course of action and have used it in this ABS. Alternative B funds field technical assistance, campus backstopping and local costs critical to technical assistance for 18 months. Participants, commodities and the balance of local costs are funded for 12 months. Alternative C differs from Alternative B only in that the institutional contract and related local costs are funded for 12 instead of 18 months. Unless unusual slippage between date of obligation and date of institutional contract occurs, it is expected that this alternative would be resisted by the contractors.

Table III b

Summary of All New FY 1978 Projects Regardless of
Type of Contractor or Implementing Agent*

	<u>Likely Method of Implementation</u>
Food and Nutrition	
Agriculture Planning (Botswana)	Institutional contract and OPEX recruitment contract
Farming Systems (Lesotho)	Institutional contract
RDA Infrastructure Support (Swaziland)	OPEX recruitment contract
Education and Human Resources Development	
National Teacher Training College/ Instructional Materials Resource Center (Lesotho)	Institutional contract
Schools Examinations Council (Botswana, Lesotho, Swaziland)	Institutional contract
Southern Africa Development Personnel and Training II (Botswana, Lesotho, Swaziland)	OPEX recruitment contract

*To be read in conjunction with Table III a and accompanying narrative.

Program: Southern AfricaLong Range Program Plan
(\$ millions)

	<u>1978</u>	<u>1979</u>	<u>1980</u>	<u>1981</u>	<u>1982</u>
Food/Nutrition	13.0	13.5	13.9	11.4	17.1
(Grants)	(8.3)	(5.5)	(6.9)	(6.4)	(5.1)
(Loans)	(4.7)	(8.0)	(7.0)	(5.0)	(12.0)
Population/Health	1.2	2.2	1.4	1.6	2.3
(Grants)	(1.2)	(2.2)	(1.4)	(1.6)	(2.3)
(Loans)	(-)	(-)	(-)	(-)	(-)
Education/Human Resources					
Development	4.5	3.2	4.4	6.5	5.7
(Grants)	(4.5)	(3.2)	(4.4)	(4.5)	(5.7)
(Loans)	(-)	(-)	(-)	(2.0)	(-)
Totals	18.7	18.9	19.7	19.5	25.1
(Grants)	(14.0)	(10.9)	(12.7)	(12.5)	(13.1)
(Loans)	(4.7)	(8.0)	(7.0)	(7.0)	(12.0)
PL 480, Title II	2.7	2.7	2.7	2.7	2.7
HIGs	1.3	2.0	2.0	-	3.0

Long range projection is an uncertain business, and OSARAC claims no greater prescience than anyone else. Obviously the predictions become less certain the farther away they get. Though the Southern Africa program has been growing, the FY 1978 estimate still constitutes a large increase over the past. But it is an increase based on specific planning for projects in three different stages: those already underway, those for which funding will start between now and FY 1978, and those that we propose for first funding in FY 1978 and have or are submitting PIDs to start the process.

Following the high point shown for the grant program in FY 1978, we anticipate a period of consolidation and digestion during which grant obligations will remain relatively stable. The loan program, always more subject to fluctuation if only because funding is usually not incremental, will have its ups and downs according to the pace of project preparation. It must be remembered that these projections cover the four active AID programs in Southern Africa (Botswana, Lesotho, Malawi, Swaziland) as well as a few regional projects, and the highs or lows that occur in any one country in any one year may be offset by funding for another.

No provision has been made for activities in Zambia, which we understand are being programmed in Washington.

The major aim of the OSARAC program will continue to be the food and nutrition/agricultural development sector, with important emphasis also going to the education and human resources development category. The two are inextricably linked, just as EHRD is strongly connected with population planning and health, for which we foresee increasing opportunities compared to the current program. We believe that this kind of program emphasis offers the most fruitful possibility for giving development meaning to the new American foreign policy interest in Southern Africa.

2 Program: Southern Africa

Table V

Project Title and number	Mission Evaluation Plan for FY 1977 and FY 1978			Remarks
	Last External Evaluation	Last PAR	Date of Submission FY 1977 and FY 1978 PARs	
Botswana Range Management and Livestock Development 690-11-130-015	6/76	-	5/77	Special Eval. 8/78
Thaba Bosiu Rural Development 690-11-120-031	10/75	7/76	10/77	External Eval. 10/78
Land & Water Resource Development 690-11-620-048	None	7/76	8/78	External Eval. 8/77
Bunda Agricultural College 690-11-110-054	-	-	5/77 - 5/78	External Eval. 3/79
Cooperatives and Marketing 690-11-140-055	-	-	7/77 - 7/78	External Eval. 7/79
Crop Production and Marketing 690-11-150-056	-	-	9/77 - 9/78	External Eval. 7/79
Farming Systems 065	-	-	-	-
Botswana MCH/FP Training 690-11-540-032	-	-	5/77 - 5/78	PAR 76-3 in draft
Health Manpower Training 690-15-540-058	-	-	1/78	External Eval. 8/78
Swaziland Curriculum Development 690-11-620-009	-	-	11/76-11/77	External Eval. 5/77

Table V

Program: Southern Africa

Mission Evaluation Plan for FY 1977 and FY 1978

<u>Project Title and Number</u>	<u>Last External Evaluation</u>	<u>Last PAR</u>	<u>Date of Submission FY 1977 and FY 1978 PARs</u>	<u>Remarks</u>
Southern Africa Development Personnel and Training 690-11-720-030	6/76	6/75	7/77 - 7/78	External Evaluation 9/78
National Teacher Training College (NTTC) 0061	-	-	7/78	

PL-480 TITLE II FY 78

Country: Southern Africa (Lesotho)

Sponsor's Name: Catholic Relief Services

A. Maternal and Child Health Total Recipients 120,000

<u>No. of Recipients by Commodity</u>	<u>Name of Commodity</u>	(Thousands)	
		<u>KGS</u>	<u>Dollars</u>
120	OIL	655	390
65	BULGER	1,418	261
55	CSM	<u>1,200</u>	<u>564</u>
		3,273	1,215

B. Food for Work Total Recipients 34,500

<u>No. of Recipients by Commodity</u>	<u>Commodity</u>	(Thousands)	
		<u>KGS</u>	<u>Dollars</u>
34.5	CORNMEAL	3,569	423
34.5	FLOUR	376	74
34.5	OIL	<u>150</u>	<u>90</u>
		4,095	587

Note: 898 dollars for transportation should be added to the above, making a total cost of 2,700. Cost increases are due primarily to inflation.

P.L. 480 TITLE II FY 78

The CSM to be supplied to 55,000 recipients has previously been provided to CRS by the World Food Program. This commodity is an essential part of the ongoing formal nutrition program. Direct receipt from the P.L. 480 program will reduce administrative problems.

All other commodities remain same as last year. CRS is not now in a position to provide a full scope, multi-year proposal but feels relatively safe in saying that detailed FY 78 requirements will not differ significantly from the past two years.

Under current CRS distribution, pre-school caloric intake averages 672 calories per day. Food for Work intake averages 1,185 calories per day.

All CRS P.L. 480 distributions are program oriented. There is close coordination between CRS and various agencies of the GOL in reference to all aspects of Food for Work distribution. The CRS-sponsored clinics handling the pre-school program have used the commodities as an effective tool in ongoing nutrition programs.

Botswana Volag FundingONGOING OPGs

<u>Organization</u>	<u>Funding Info</u>
1. African-American Labor Center (AALC)	Available AID/W
2. Credit Unions (CUNA)	" "
3. International Voluntary Services (IVS)	" "

ONGOING NON-OPG

1. National Council of Negro Women (NCNW)	Available AID/W
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Lesotho Volag Funding

<u>Organization</u>	<u>1st year of Oblig.</u>
1. Opportunities Industrialization Centers International	76
2. National Council of Negro Women	76
3. Planning Assistance Inc. (PAI)	76
4. Dental Health International (DHI)	75
5. Environmental Research Institute of Michigan (ERIM)	75
6. University of California at Santa Cruz (UCSC)	72
7. African-American Labor Center	?
8. Credit Unions (CUNA)	75
9. CARE	77
10. Catholic Relief Services	77
11. Private Health Association of Lesotho (PHAL)	77

Funding information on the first eight organizations above is available in Washington but not in OSARAC. The last three organizations listed are in the process of preparing OPG proposals. CARE is developing a cottage industry project for wool and mohair spinning; the funding level is roughly estimated at 840-850. CRS is developing a project in community health and nutrition based on the P.L. 480 pre-school feeding program it manages. Funding is roughly estimated at 100-200. PHAL is preparing a project in health information/communications. Funding is roughly estimated at 100.

Swaziland Volag Funding

A Development Program Grant (AID/PHA-G-1120) was awarded to the National Council of Negro Women, Inc. (NCNW) in July 1975 to fund their program for a three year period.

The purpose of the DPG is to improve the planning, implementation, and evaluation capabilities of NCNW to develop and coordinate an international program designed to upgrade the lives of rural women through application of NCNW domestic activity principles. NCNW is in the process of developing an international program in Swaziland and although funding levels have not yet been established it is anticipated that some implementation will begin in FY 1977.

Detailed financial information on this activity is not available to OSARAC.