UNCLASSIFIED

PD-ACC-413

AGENCY FOR INTERNATIONAL DEVELOPMENT

ANNUAL BUDGET SUBMISSION

FY 1978

LA REGIONAL

DEPARTMENT OF STATE

UNCLASSIFIED

BEST AVAILABLE

LATIN AMERICA REGIONAL

FY 1978

ANNUAL BUDGET SUBMISSION

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Country/Program L.A. Regional

Summary Table I

Funding Levels for FY 1976, TQ, FY 1977, FY 1978
($000)

	FY 1976	Transition Quarter	FY 1977	FY 1978
Food/Nutrition				
Grants	1,081	215	1,357	1,787
Loans	4,000	-	-	-
Population/Health				
Grants	160	46	180	254
Loans	-	-	-	-
(Population)				
(Grants)	-	-	-	-
(Loans)	-	-	-	-
(Health)				
(Grants)	(160)	(46)	(180)	(254)
(Loans)	-	-	-	-
Education				
Grants	8,666	2,556	11,512	8,565
Loans	-	-	-	-
Section 106				
Grants	750	125	1,290	1,200
Loans	-	-	-	25,000
Totals				
Grants	10,657	2,942	14,339	11,806
Loans	4,000	-	-	25,000
HIGs	-	-	-	15,000
PL 480 Title II	-	-	-	-

AGENCY FOR INTERNATIONAL DEVELOPMENT

ABS/CP SUMMARY

1. TRANSACTION CODE: A (A = ADD, C = CHANGE, D = DELETE)

2. ABS/CP — DOCUMENT CODE 6

3. COUNTRY/ENTITY: LATIN AMERICA REGIONAL

4. DOCUMENT REVISION NO.:

5. OPERATIONAL YEAR FY: 77

6. BUREAU/OFFICE: A. SYMBOL LA; B. CODE 3

7. GEOGRAPHIC CODE: 598

8. TYPE DATA: 1 (1 = ABS, 2 = ABS REVISION, 3 = CP, 4 = CP NOTIFICATION)

9. TYPE ASSISTANCE: 1 (1 = PROJECT, 2 = PROGRAM)

10. PROJECT SEQUENCE NO.	11. PROJECT TITLE (40 CHARACTERS MAXIMUM)	12. QTR. FOR OBLIG.	13. EST. FY AUTH OBLIG. FINAL	14. APPROPRIATION	15. PRIMARY PURPOSE CODE	16. LOAN/GRANT INDICATOR	17. BUDGETS (IN $000) AY	TQ	OY	BY	LOP
FOOD & NUTRITION											
Ongoing											
0116	Cooperative Development	1	77	FN	250	GC	347	-	253	-	1,587
0569	Marine Scientist Exchange	1	79	FN	750	GC	-	-	100	100	300
0575	IGAD/LA	1	78	FN	120	GC	56	-	69	74	199
0554	Sector Analysis Support	1	cont	FN	290	GC	678	215	935	878	cont
0005	COLAC	1	76	FN	250	L	4,000	-	-	-	4,000
New											
0578	Improved Small Farm Production Systems	1	80	FN	251	RGC	-	-	-	500	1,090
0579	L.A. Crop/Credit Insurance System	1	81	FN	190	RGC	-	-	-	235	940
POPULATION PLANNING & HEALTH											
Ongoing											
0554	Sector Analysis Support	1	cont	PH	590	GC	160	46	180	254	cont
EDUCATION & HUMAN RESOURCES											
Ongoing											
0055	RTAC	1	77	EH	600	GC	250	12	50	-	17,700
0453	LASPAU	2/2	78	EH	601	GC	1,957	443	2,400	242	21,420
0551	BVE	2	77	EH	610	GC	349	93	176	-	1,768
0556	Experimental Radio	1/2	78	EH	611	GC	-	-	481	200	681
0558	ALER	1/2	78	EH	611	GC	70	200	220	200	790
0567	ECIEL	1/2	78	EH	680	GC	30	30	150	155	365
0571	Self-Instructional Systems	2/2	79	EH	610	GC	-	106	323	322	751
0572	Early Intervention	1/2	79	EH	612	GC	-	121	245	123	489
0573	Learning Resource Ctr.	2/2	78	EH	620	GC	-	138	77	200	415
0574	Motivational Media	1/3	78	EH	612	GC	-	-	762	283	1,045
0101	Free Labor Development	1/2	82	EH	610	GC	5,510	1,300	5,900	6,000	79,594
0568	Increasing Income of Poor Women	1	79	EH	610	GC	-	25	548	586	1,532
0109	Leadership Development for Women	3	76	EH	721	GC	123	-	-	-	2,579
0554	Sector Analysis Support	1	cont	EH	690	GC	377	88	180	254	cont

AGENCY FOR INTERNATIONAL DEVELOPMENT

ABS/CP SUMMARY

1. TRANSACTION CODE: A (A = ABS, C = CHANGE, D = DELETE)

2. ABS/CP — DOCUMENT CODE 6

3. COUNTRY/ENTITY: LATIN AMERICA REGIONAL

4. DOCUMENT REVISION NO.:

5. OPERATIONAL YEAR FY: 77

6. BUREAU/OFFICE: A. SYMBOL LA; B. CODE 3

7. GEOGRAPHIC CODE: 598

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10. PROJECT SEQUENCE NO.	11. PROJECT TITLE (40 CHARACTERS MAXIMUM)	12. QTR. FOR OBLIG.	13. EST. FY AUTH. OBLIG. FINAL	14. APPROPRIATION	15. PRIMARY PURPOSE CODE	16. LOAN/GRANT INDICATOR	17. BUDGETS (in $000) AY	TQ	OY	BY	LOP
SECTION 106 SELECTED DEV.											
Ongoing											
0044	Consultants & Seminars	1	cont	SD	790	GC	205	125	500	500	cont
0552	Regional Economic Integration	2	78	SD	310S	GC	-	-	100	100	400
0572	Science & Technology Information Transfer	2/2	79	SD	751	GC	-	-	250	250	750
0576	Role of Law in Social Change	4/3	77	SD	721	GC	70	-	40	-	110
0436	Partners of Americas	1/1	80	SD	760	GC	475	-	400	350	6,324
New											
0580	BIAPE A.I.D. & HG Loans	1/1	79	SD	890	L	-	-	-	25	25
	" " " " "					HG				15	30

18. DATE DOCUMENT RECEIVED IN AID/W: MM DD YY

AID 1330-7 (3-76)

Attachment 4

Country/Program LA Regional

Long Range Program Plan
($ thousands)

	1978	1979	1980	1981	1982
Food/Nutrition	1787	1410	1595	1735	1810
Grants	-	-	-	-	-
Loans					
Population/Health					
Grants	254	270	290	310	330
Loans	-	-	-	-	-
(Population)					
(Grants)	-	-	-	-	-
(Loans)	-	-	-	-	-
(Health)					
(Grants)	(254)	(270)	(290)	(310)	(330)
(Loans)	-	-	-	-	-
Education					
Grants	8565	8630	8715	8710	8710
Loans	-	-	-	-	-
Section 106	1200	1300	1100	1050	1025
Grants	-	-	-	-	-
Loans					
Total					
Grants	11806	11610	11700	11805	11880
Loans	-	-	-	-	-
PL 480 (non-add)	-	-	-	-	-
Title I	-	-	-	-	-
Title II					
HIGs (non-add)	-	-	-	-	-

UNCLASSIFIED

CLASSIFICATION

AID-S-SCA (9-62)

L.A. Regional

Evaluation Plan for FY 1977 and FY 1978

Project Title & Number		Date of Last Evaluation	Date for Submission of FY 1977 or FY 1978 PAR	Period to be Covered
RTAC	055	6/75	10/76	
LASPAU	453	6/76	10/77	
BVE	551	7/75	10/76	
ALER	558	-	6/77	6/76 - 6/77
ECIEL	567	-	10/76	5/76 - 10/76
Experimental Radio	556	-	10/77	Date of Approval to 10/77
Self-Instructional Systems	571	-	10/77	Date of Approval to 10/77
Early Intervention	572	-	10/77	Date of Approval to 10/77
LRC-BCES	573	-	10/77	Date of Approval to 10/77
Motivational Media	574	-	10/77	Date of Approval to 10/77
Marine Scientist Exchange	569	-	To be determined	
S&T Information Transfer	572	-	10/77	Date of Approval to 10/77
COLAC	116	-	6/78	1973 - 1977
Crop Insurance		-	9/78	FY 1978
Sm. Farmer Cropping Systems		-	9/78	FY 1978
AIFLD	101	10/76	10/77	Date of Approval to 10/77
Increasing Income of Poor Women	568	-	10/77	Date of Approval to 10/77
Partners of the Americas	436	1/76	10/77	Date of Approval to 10/77
Role of Law in Social Change	576	-	10/77	Date of Approval to 10/77
Regional Eco. Integration	552	-	10/77	Date of Approval to 10/77
Science and Technology	572	-	10/77	Date of Approval to 10/77

Attachment 3a

Country/Program LA Regional

Proposed New Projects - FY 1978 Alternative Funding Levels[1/]

Project (List and organize by appropriation account)	(Alternative A) Full Funding Level Dollars	(Alternative A) Months from Date of Obligations[2/]	(Alternative B) Forward Funding According to A-368 Dollars	(Alternative C) Mission Alternative if Grant Resources Curtailed Dollars	(Alternative C) Months from Date of Obligation[2/]
Food and Nutrition					
Crop Insurance	940	48	355	180	12
Small Farmer Cropping System	1,090	36	795	500	12
Total Funding Level					

1/ Do not attempt to break out cost components.

2/ We appreciate the difficulty of determining at this early stage, the exact relationship between the funding period and dollar requirements. Enter best current estimate.

NOTE: Attach to this table a brief narrative that states for each project the cost and benefits associated with each alternative.

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-13-920-116

Project Title Cooperative Development Regional Credit Union ~~Latin America Confederation of Credit~~ Unions

Contractor a)

b)

I. Implementation Progress Major Capital and Technical Assistance inputs for COLAC were authorized in FY 76 from AID, the IDB, and the United States National Central Credit Union. ($14.250 million in total) COLAC is now finalizing documentation and preparation to meet conditions precedent for these three contributions.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Detailed quantified outputs were developed during the AID intensive review for the FY 1976 loan. They will be revised and updated in early FY 1977. They primarily relate to the increased financial and technical capacity of member credit union federations to absorb and effectively utilize credit for the benefit of low income producers members.	Achievement of the outputs will directly contribute to the purpose of developing an effective regional delivery system for credit and service to low income members of credit unions throughout Latin America. In turn this will contribut to the goal of improved income and production for the small farmer and other low income producer members.

II. Project on Schedule: Life-of-Project Budget Accurate?
The project is approximately 2 months behind schedule. The budget is adequate.

III. Significant Change (s) in Project Proposed?

No change

IV. Role of Technical Office: Mandays required
Project Management will be shared between the technical and finance offices concerned. Approximately 60 person days will be required during FY 1977.

Project Manager: Fred Welz LA/DR, Ed Lijewski, LA/DR
Attachment: PAR dated

[illegible] PROJECTS

PROJECT NAME Cooperative Development Regional Credit Union
PROJECT NUMBER 598-13-920-116
APPROPRIATION F & N

INITIAL OBLIGATION FY 73
FINAL OBLIGATION FY 77
TOTAL COST $ 1,587,000

DATE PP ~~XXXXXXXXX~~12/12/75
DATE LAST PAR 1/
DATE NEXT PAR 1/

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	347	300	6/30/76
Estimated Interim Qtr.	-	150	9/30/76
Estimated FY 1977	253	300	9/30/77
Proposed FY 1978		33	9/30/78

FY 1977 and FY 1978 Obligations by Cost Component/POI

Cost Component	Direct Aid 77	Direct Aid 78	GRANT 77	GRANT 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			-					
Local & Tcn.			146				146	
Participants								
Commodities								
Other Costs			.107				107	
Total			253				253	

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from mo/yr to mo/yr	Int. Qtr. Obligations from mo/yr to mo/yr	FY 1977 Obligations from mo/yr to mo/yr	FY 1978 Obligations from mo/yr to mo/yr
Period 7/1/73-12/31/77				
Amount	$347	$000	$253	$000
Latin American Confederation of Credit Unions (COLAC) Period.				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire PASA Contract 2/ Participants	11	14	15	15

1/ A contract evaluation was completed in May 1975. A new PP was drafted and approved in December 1975. The [illegible] Plan calls for annual evaluation review beginning in June 1976. 2/ Professional Staff of COLAC

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-180-569

Project Title Marine Scientist Exchange

Contractor a) National Science Foundation

b)

I. Implementation Progress

PRP rejected - we question whether project should go further.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. Research linkages established between U.S. L.A. marine resource institutions. 2. Participants trained. 3. Increased knowledge on L.A. scientist of resources off coast of L.A. nations research project undertaken.	Concern as to specific manners in which this project will meet requirements of the Congressional mandate surfaced during preparation of initial PRP. As a result, the proposal has not been approved as yet, and is being revised.

II. Project on Schedule; Life-of-Project Budget Accurate?

No. (See above)

III. Significant Change (s) in Project Proposed?

Purpose being revised.

IV. Role of Technical Office; Mandays required

Not determined as yet

Project Manager: Janice Weber
Attachment: PAR dated

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Marine Scientist Exchange
PROJECT NUMBER 598-15-180-569
APPROPRIATION Food & Nutrition

INITIAL OBLIGATION 1977
FINAL OBLIGATION 1979
TOTAL COST 300

DATE PP /REVISION
DATE LAST PAR -
DATE NEXT PAR -

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976			6/30/76
Estimated Interim Qtr.			9/30/76
Estimated FY 1977	100	100	9/30/77
Proposed FY 1978	100	100	9/30/78

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct 77	Direct 78	Aid 77	Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.										
Local & Tcn.										
Participants							80	80	80	80
Commodities										
Other Costs							20	20	20	20
Total							100	100	100	100

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
N & F				
Amount			100	100
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA	-	-	10	10
Contract				
Participants				

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-11-190-575

Project Title International Group for Agricultural Development in Latin America

Grantee a) Inter-American Development Bank

b)

I. Implementation Progress

The inaugural meeting of the Group was held in May 1976. There have since been other working meetings of the donors and the Secretariat. As of the end of June, the Secretariat is preparing for presentation to the donors several project proposals stating clearly what IGAD/LA will do, how many man months of consultants are estimate which specific countries and/or topics are to be addressed, and what the costs will b

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Promote and coordinate a greater flow of donors technical & financial resources for food production and rural development through implementation of projects.	1. Group of donors inaugurated 2. LA Ministers of Agriculture at inaugural approve Group's objectives & approach. 3. Argentina offers facilities for regional AFTOSA center. 4. Secretariat developing proposals for desig of specific agricultural development activities for financing by donors.

II. Project on Schedule; Life-of-Project Budget Accurate?

The group has gotten off to a slow start. However, if concrete activities are selected and initiated in the next few months, considerable progress will have been made. We have reduced the FY 1976 contribution to IGAD/LA from this project from $64,000 as proposed in our C.P. to $56,000, since the Group had already received $8,000 in AID funded services under Project 598-15-995-554 (Sector Analysis Support). At this point we believe the original life-of-project budget is still approximately accurate, and would only reduce it by the $8,000 difference in FY 1976.

III. Significant Change(s) in Project Proposed?

None are now proposed.

IV. Role of Technical Office; Person-days required

The Director of LA/MRSD and Priscilla Boughton of MRSD have been the principal AID representatives at donor and working group meetings, accompanied by D. Chaij of LA/DF They have developed U.S. positions on the organization of the Group and priorities for Group activities. Person-days required in the past six months have averaged abo a day a week, or 26 person-days.

Project Manager: P. Boughton, ARA-LA/MRSD

ONGOING GRANT PROJECTS

PROJECT NAME International Group for Agricultural Development in Latin America
PROJECT NUMBER 598-11-190-575
APPROPRIATION 72-11X1023 FN

INITIAL OBLIGATION FY 1976
FINAL OBLIGATION FY 1978
TOTAL COST $199

DATE PROP/REVISION -
DATE LAST PAR -
DATE NEXT PAR -

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	56	-	6/30/76
Estimated Interim Qtr.	None	28	9/30/76
Estimated FY 1977	69	63	9/30/77
Proposed FY 1978	74	70	9/30/78

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Grant 77	Grant 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.								
Local & Tcn.								
Participants								
Commodities								
Other Costs			69	74			69	74
Total			69	74			69	74

Grant ~~Contract/PASA~~ Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period (from mo/yr - to mo/yr)	Inter-Am. Dev. Bank: 6/76 - 12/76		1/77 - 12/77	1/78 - 12/78
Amount	$56	-	$69	$74
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract				
Participants				
	N	O N E		

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-995-554

Project Title Sector Analysis Support

Contractor a) U.S. Dept. of Agriculture

b) Bureau of Census

I. Implementation Progress

Given the number and complexity of questions which arise in conjunction with developing sound programs of benefit to the poor in recipient countries, it seems likely that the L.A. Bureau will continue to require

(Continue next page)

Outputs	Progress to Date & Relationship to Project Purpose and Goal
See Above	See Above

II. Project on Schedule; Life-of-Project Budget Accurate?

See paragraph #I

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

LA/DR/Sector Analysis Division provides the technical leadership required and technical direction of ongoing analyses. This requires the full attention of Division personnel. Other technical (and management personnel in the

(Continue on next page)

Project Manager:
Attachment: PAR dated

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

I. Implementation Progress

an analytical support arm for as long as we continue to provide substantial levels of assistance. The Sector Analysis Support project was set up to help meet this continuing need. While the implementation of individual analytical efforts can be assessed, it isn't possible to speak of the progress of the project as such.

IV. Role of Technical Office; Mandays required

Agency are instrumental in helping to define work priorities. The time demands imposed by this requirement are not significant.

Objective

To provide AID and Latin American countries with analysis needed to better design programs in key development sectors, and in the process upgrade the capacity of host country personnel to carry out this function.

For this purpose:

a) Countries, sectors and key problems for analysis are selected for in-depth investigation consistent with AID's programming priorities and host country analytical capacities;

b) Methods appropriate to the problems and host country capacity are selected, adapted and tested; and

c) Counterpart staff may be trained.

Priorities

The Sector Analysis Support project provides a framework for direct-hire and RSSA staffs who provide technical leadership for the LA Bureau's sector analysis efforts. Functions include defining needs for analysis and organizing in-depth analyses using resources from the Bureau, other Bureaus and contractors. In order to maintain technical skills and state-of-the-art perspective on such analyses, the direct-hire and RSSA staffs plan and carry out three or four major analytical efforts at one time and assist Missions to meet short term assessment and project design needs. A scope of work for each major effort is reviewed by the LA Bureau.

Work priorities for the Sector Analysis Division and the RSSA groups (U.S. Department of Agriculture and Bureau of Census) have been under discussion in the LA Bureau during the past few months. The process is continuing and, for that reason, while it is possible to state a few general principles, the specific allocation of resources among areas suggested for

attention during the review process requires further discussion.

The following general conclusions have been reached with respect to priorities:

1) The Sector Analysis Division will maintain and stengthen its capacity to carry out analytical work at the sector level in agriculture, education, health and nutrition and across sectors, especially for regional planning. Consistent with L.A. Bureau priorities, agriculture will be the major focus of attention.

2) The major criterion for selecting countries in which new work might be initiated is AID's programming priorities.

3) New efforts would focus on development issues identified by L.A. Bureau (Washington) and Mission personnel.

The process by which more specific priorities for attention will be set will include:

1) A review of country priorities in the LA Bureau,

2) A review of priorities for the study of development issues, involving both LA Bureau technical divisions and other Bureau personnel,

3) Discussion with mission personnel in priority countries of the place of analysis in their overall mission program.

The situation of Haiti is unique, in that this country has been identified as the highest priority for long term analysis in all sectors. A task force is being formed in the LA Bureau to study the analytic program requirements for Haiti in depth.

Immediate Program Activities

While the definition of long term work priorities is under way, the project continues an active field program. An agriculture sector analysis is under way in the Dominican Republic, focusing on the economics of small farm production. An education sector analysis is being completed in El Salvador, focusing on accessability, efficiency and relevance of primary and secondary public education. Assistance is being provided for a health sector analysis in Colombia, focusing on requirements for health care. A nutrition sector study is under way, focusing on explanation of the nutrition gap in Bolivia in program related terms.

Schedule

Given the number and complexity of questions which arise in conjunction with developing sound programs of benefit to the poor in recipient countries, it seems likely that the L.A. Bureau will continue to require an analytical support arm for as long as we continue to provide substantial levels of assistance. The Sector Analysis Support project was set up to help meet this continuing need. As such it has no ending date. While individual analytical efforts can be evaluated against projected schedules developed at the time they were designed, the project as such cannot.

ONGOING GRANT PROJECTS

FOOD & NUTRITION

PROJECT NAME Sector Analysis Support
PROJECT NUMBER 598-15-995-554
APPROPRIATION 72-11X1023 FN

INITIAL OBLIGATION FY 74
FINAL OBLIGATION Continuing
TOTAL COST N/A

DATE PROP/REVISION Not Required
DATE LAST PAR "
DATE NEXT PAR "

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	678	839	6/30/76 53
Estimated Interim Qtr.	215	223	9/30/76 45
Estimated FY 1977	935	980	9/30/77 0
Proposed FY 1978	878	878	9/30/78 0

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.					900	840	900	840
Local & Tcn.								
Participants								
Commodities								
Other Costs					35	38	35	38
Total					935	878	935	878

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
USDA Period	7/75 9/76	7/76 9/76	10/76 9/77	10/77 9/78
Amount	253	67	235	252
USDA Period	7/75 9/76	7/76 9/76	10/76 9/77	10/77 9/78
Amount	294	90	345	369
BuCen Period	7/75 9/76	7/76 9/76	10/76 9/77	10/77 9/78
Amount	131	58	355	257

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA	25	28	31	27
Contract	"	"	"	"
Participants	"	"	"	"

Table 3

ONGOING GRANT PROJECTS

HEALTH

PROJECT NAME Sector Analysis Support
PROJECT NUMBER 598-15-995-554
APPROPRIATION 72-11X1024 PA

INITIAL OBLIGATION FY 74
FINAL OBLIGATION Continuing
TOTAL COST N/A

DATE PROP/REVISION Not Required
DATE LAST PAR "
DATE NEXT PAR "

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	160	262	6/30/76 12
Estimated Interim Qtr.	46	46	9/30/76 0
Estimated FY 1977	180	180	9/30/77 0
Proposed FY 1978	254	254	9/30/78 0

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.					175	249	175	249
Local & Tcn. Participants								
Commodities								
Other Costs					5	5	5	5
Total					180	254	180	254

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
BuCen Period	from to mo/yr-mo/yr 7/75 7/76	from to mo/yr-mo/yr 7/76 9/76	from to mo/yr-mo/yr 10/76 9/77	from to mo/yr-mo/yr 10/77 9/78
Amount	160	46	180	25
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire	4	4	5	7
PASA	"	"	"	"
Contract	"	"	"	"
Participants	"	"	"	"

ONGOING GRANT PROJECTS

EDUCATION

PROJECT NAME Sector Analysis Support
PROJECT NUMBER 598-15-995-554
APPROPRIATION 72-11X1025

INITIAL OBLIGATION FY 74
FINAL OBLIGATION Continuing
TOTAL COST N/A

DATE PROP/REVISION Not Required
DATE LAST PAR "
DATE NEXT PAR "

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	377	473	6/30/76 10
Estimated Interim Qtr.	88	98	9/30/76 0
Estimated FY 1977	180	180	9/30/77 0
Proposed FY 1978	254	254	9/30/78 0

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct 77	Direct 78	Aid 77	Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.							175	249	175	249
Local & Tcn.										
Participants										
Commodities										
Other Costs							5	5	5	5
Total							180	254	180	254

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
BuCen Period	from to mo/yr-mo/yr 7/75 9/76	from to mo/yr-mo/yr 7/76 9/76	from to mo/yr-mo/yr 10/76 9/77	from to mo/yr-mo/yr 10/77 9/78
Amount	377	88	180	254
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA	8	9	5	7
Contract	"	"	"	"
Participants	"	"	"	"

Attachment 4

Country/Program **LA Regional: Sector Analysis Support**

Long Range Program Plan
(Whole $ Millions)

	1978	1979	1980	1981	1982
Food/Nutrition					
Grants	878	939	1005	1075	1151
Loans					
Population/Health					
Grants	254	272	291	311	333
Loans					
(Population)					
(Grants)					
(Loans)					
(Health)					
(Grants)	(254)	(272)	(291)	(311)	(333)
(Loans)					
Education					
Grants	254	272	291	311	333
Loans					
Section 106					
Grants					
Loans					
Total					
Grants	1386	1483	1587	1698	1817
Loans					
PL 480 (non-add)					
Title I					
Title II					
HIGs (non-add)					

[illegible]

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-11-995-055

Project Title Regional Technical Aids Center (RTAC)

Contractor a) N.A.

b)

I. Implementation Progress

Phase-out on schedule.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
RTAC has helped expand technical publishing and audio visual aid development in Latin America.	The program is being phased out, having substantially met the purpose and goal stated in the PROP.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. Phase-out will be complete during FY-77.

III. Significant Change (s) in Project Proposed?

No. Phase-out plan is on schedule.

IV. Role of Technical Office; Mandays required

Monitor phase-out progress.
Five mandays.

Project Manager: J. Smith
Attachment: PAR dated

CAL DATA
ANNUAL BUDGET SUBMISSION

'ION FY 1957 DATE PROP/REVISION 11/69
N FY 1977 DATE LAST PAR 7/75
.700 (Est.) DATE NEXT PAR 3rd Quarter FY 77

(IN THOUSANDS)

FY 1977 and FY 1978
Obligations by Cost Component/MOI

Cost	Direct Aid		Contract		PASA		Total	
mponent	77	78	77	78	77	78	77	78
S. Tech.								
cal & Tcn.								
rticipants								
mmodities								
her Costs	50						50	
tal								

1978 gations: to r-mo/yr	On Board Personnel	6/30 1976	9/30 1976	9/30 1977	9/30 1978
	Direct Hire	No.	No.	No.	No.
	PASA				
	Contract				
	Participants				

RTAC personnel covered in Operating Expense Budget.

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-13-690-453

Project Title LASPAU

Contractor a) LASPAU, Inc.

b)

I. Implementation Progress

Project is entering final phases of A.I.D. funding. PIO/T illustrating total amount of A.I.D. funds has been authorized; contract amendment has been processed through T.Q. Project is on schedule both operationally and for phase-out of A.I.D. funding.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Since 1966, 1920 LASPAU scholars have attended 253 U.S. institutions. They represent 261 Latin American universities. Currently there are 430 on board, of which 404 are A.I.D. funded.	Program goals remain to help L.A. colleges and university prepare future faculty members. As of 6/30/76, a total of 1,458scholars have graduated and returned to their countries. Currently 87% of these are employed in higher education. Approximately 55% of the current scholars (430 on board) are enrolled in science and technology with the remainder in business administration, agriculture, education and the social sciences.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. Phase-out plans for A.I.D. funding is on track, except that LASPAU is having difficulty in securing funding for scholars from smaller, generally poorer countries. A.I.D. is encouraging them to continue their search. No new A.I.D. funded scholars will enter the program after FY 77.

III. Significant Change (s) in Project Proposed?

None. Obligation for new scholars will cease FY-77.

IV. Role of Technical Office; Mandays required

Periodic coordination on progress and problems. A.I.D. documentation and reporting. Approximately five (5) Mandays/year.

Project Manager: James F. Smith
Attachment: PAR dated 6/76

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Latin American Scholarship Program at American Universities (LASPAU)
PROJECT NUMBER 598-13-690-453
APPROPRIATION 72-11X1025 E & HR

INITIAL OBLIGATION FY-76
FINAL OBLIGATION FY-78
TOTAL COST 21,420

DATE PROP/REVISION 4-3-75
DATE LAST PAR 6/30/76
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	1,957	1,530	6/30/76 427
Estimated Interim Qtr.	443	479	9/30/76 391
Estimated FY 1977	2,400	1,822	9/30/77 969
Proposed FY 1978	242	1,013	9/30/78 198

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			497	242			497	242
Local & Tcn.								
Participants			1,903	-			1,903	-
Commodities								
Other Costs								
Total			2,400	242			2,400	2

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period (from to mo/yr-mo/yr)	LASPAU 7/1/75-6/30/76	7/1/76-9/30/76	10/1/76-9/30/77	10/1/77-9/30/78
Amount	1,957	443	2,400	242
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire	21	21	21	21.
PASA				
Contract Participants	135*	100*	50*	-

*Refers to new participants selected by date shown. On board (formerly selected) participant, total 430

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-551

Project Title Basic Village Education

Contractor a) Academy for Educational Development

b)

I. Implementation Progress

Broadcasting schedule and research has been completed in the Ladino area. Broadcasting in the Indian area has approximately one more year to run, research has begun in that area and will follow same timetable. Project was extended one year (in FY-77 C.P.) to allow for this.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. Production of audio materials and capability.	1. Completed in Ladino area; in progress in Indian area.
2. Production of visual material and capability.	2. Completed in Ladino area, in progress in Indian area. More stress to be added on visual material research.
3. Baseline data on rural Ladinos and Indians.	3. Completed for Ladino; data collected for studies.
4. Research information on attitudes and of practices of Ladinos and Indians.	

II. Project on Schedule; Life-of-Project Budget Accurate?

Project was extended through FY-77 to complete research in Indian area. Earthquake caused temporary delay, but project will terminate on time according to that extension. Additional money was also assed. Budget is accurate with that addition.

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

Monitoring implementation process; review final analyses, and termination arrangements. 20 mandays required.

Project Manager: Clayton Seeley, LA/DR/EST
Attachment: PAR dated

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project #_____

Project Title_____

Contractor a)_____

b)_____

I. Implementation Progress

Outputs	Progress to Date & Relationship to Project Purpose and Goal
	4. Research on Ladino area about to be published; research on-going in Indian area.
5. Analysis of effect of mass media on changes on attitudes.	5. Final analysis complete in Ladino area; waiting for completion of Indian area

II. Project on Schedule; Life-of-Project Budget Accurate?

III. Significant Change (s) in Project Proposed?

IV. Role of Technical Office; Mandays recuired

Project Manager:
Attachment: PAR dated_____

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Basic Village Education
PROJECT NUMBER 598-15-690-551
APPROPRIATION

INITIAL OBLIGATION 1972
FINAL OBLIGATION 1977
TOTAL COST $1,768,000 **

DATE P /REVISION 1974
DATE LAST PAR 7/75
DATE NEXT PAR 10/76

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
			6/30/76
Actual FY 1976	349	356	215
Estimated Interim Qtr.	93	90	9/30/76 218
Estimated FY 1977	176	270	9/30/77 124
Proposed FY 1978			9/30/78 -

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			38	-			38	
Local & Tcn.			57	-			57	
Participants								
Commodities								
Other Costs			81	-			81	
Total			176	-			176	

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to	Int. Qtr. Obligations from to	FY 1977 Obligations from to	FY 1978 Obligations from to
Period	mo/yr-mo/yr	mo/yr-mo/yr	[illegible]-mo/yr	mo/yr-mo/yr
A.E.D.	12/75 12/76	10/76 4/77	4/77 9/78	
Amount	349	93	176	
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire	23*	23*	23*	
PASA				
Contract				
Participants				

*Fourteen full time and nine part time.

**Exceeds amounts shown in FY-77 C.P.

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-556

Project Title Experimental Radio Education

Contractor a) To be determined - probable grantee is Florida State University

b)

I. Implementa[illegible] rogress

PRP (and subse[illegible] PP) requires revision.
Must obtain in[illegible]on of willingness of University of the West Indies to cooperate on a[illegible]hases of project.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Empirical research and analysis of efficient techniques for radio for in-school and out-of-school learning, particularly as applied to Jamaica and/or the English-speaking Carribean	PRP preparation delayed because of uncertainty of cooperation by UWI.

II. Project on Schedule; Life-of-Project Budget Accurate?
No. Project originally scheduled for FY-76. Budget has been reduced by $70,000.

III. Significant Change (s) in Project Proposed?

No.

IV. Role of Technical Office; Mandays required

Develop PRP and PP, assist in contract negotiation, monitor initial implementation. 20 mandays.

Project Manager: S. Carbin
Attachment: PAR dated

ONGOING GRANT PROJECTS

PROJECT NAME Experimental Radio Education
PROJECT NUMBER 598-15-690-556
APPROPRIATION

INITIAL OBLIGATION FY 77
FINAL OBLIGATION FY 79
TOTAL COST $681,000

DATE PROP/REVISION
DATE LAST PAR
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976			6/30/76
Estimated Interim Qtr.			9/30/76
Estimated FY 1977	481	350	9/30/77 131
Proposed FY 1978	200	300	9/30/78 31

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77
U.S. Tech.			232	150			232
Local & Tcn.							
Participants			80				80
Commodities			75				75
Other Costs			94	50			94
Total			481	200			481

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Amount			1/77 9/77 232	10/77 9/78 150
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract			2	2
Participants			10	

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-960-558

Project Title Radio Schools of Latin America (ALER)

Contractor a) Center for Educational Technology of Florida State University

b)

I. Implementation Pr[illegible]ss

Project approval was ob[illegible] 4/76. PIO/T was approved 5/76. Grant (will be) signed with CET/FSU by 6/30/76. Implementation was begun.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. Evaluation of effectiveness of methodologies employed by educational radio programming of "Accion Cultural Popular (ACPO) of Colombia. 2. Dissemination of results of evaluation and promotion of methodological adaptation by appropriate radiophonic system.	Initial implementation arranged in CET/FSU. This evaluation and adaptation will assist in improving the effectiveness of radiophonic learning in upgrading the well-being of the rural poor, who are the principal audience of such radiophonic system.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. Budget has been reduced to total of $790,000.

III. Significant Change (s) in Project Proposed?

None.

IV. Role of Technical Office; Mandays required

Monitor initial implementation; assist in coordination of activities of grantee, ACPO. Will be supported also by USAID Colombia.

Project Manager: James F. Smith
Attachment: PAR dated

Table

ONGOING GRANT PROJECTS

(ALER)

PROJECT NAME Radio Schools of Latin America
PROJECT NUMBER 598-15-960-558
APPROPRIATION

INITIAL OBLIGATION FY-76
FINAL OBLIGATION FY-78
TOTAL COST $790,000

PP
DATE ~~PROJ REVISION~~ 4/76
DATE LAST PAR
DATE NEXT PAR 6/30/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	70	25	6/30/76 45
Estimated Interim Qtr.	200	135	9/30/76 110
Estimated FY 1977	320	365	9/30/77 65
Proposed FY 1978	200	210	9/30/78 55

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Tot 77
U.S. Tech.			152	125			152
Local & Tcn.			34	25			34
Participants			30	10			30
Commodities			44	15			44
Other Costs			60	25			60
Total			320	200			320

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
rida State Univ.	6/76 - 6/76	7/76-9/76	10/76 - 10/79	10/77-10/78
Amount	70	200	320	200
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/ 19
Direct Hire				
PASA				
Contract	5	11	15	
Participants	-	4	10	

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-567

Project Title Economics of Education Studies (ECIEL)

Contractor a) ECIEL

b)

I. Implementation Progress

Progress has been as projected. Surveys have been completed for most projects. Data is being coded and processed. The first A.I.D. disbursement is expected in the last week of June, 1976.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Outputs are the completed studies.	Progress has been satisfactory. Studies are on schedule.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes

II. Significant Change (s) in Project Proposed?

No

IV. Role of Technical Office; Mandays required

Technical and financial review. Attend ECIEL seminars twice annually. Requires approximately twenty (20) Mondays.

Project Manager: Gerald R. Wein, LA/DR/EST

Attachment: PAR dated N/A

Table 3

ONGOING GRANT PROJECTS

(ECIEL)
PROJECT NAME Economics of Education Studies
PROJECT NUMBER 598-15-690-567
APPROPRIATION E & HR

INITIAL OBLIGATION FY-76
FINAL OBLIGATION FY-78
TOTAL COST $365,000

DATE PP /REVISION 1/13/76
DATE LAST PAR -
DATE NEXT PAR 10/76

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	30	15	6/30/76 15
Estimated Interim Qtr.	30	20	9/30/76 25
Estimated FY 1977	150	145	9/30/77 30
Proposed FY 1978	155	145	9/30/78 40

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77
U.S. Tech.							
Local & Tcn.							
Participants							
Commodities							
Other Costs			150	155			150
Total			150	155			150

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
ECIEL Period	1/13/76-9/30/76	7/1/76-9/30/76	10/1/76-9/30/77	10/1/77-9/30/77
Amount	30	30	150	155
Period				
Amount				

On Board Personnel *

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract				
Participants				

*Studies will be carried out by sub-contracts through central ECIEL institution. Personnel components will vary according to specific study requirements. Four studies will be funded.

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-571

Project Title Self-Instructional Systems

Contractor a) (To be Determined)

b)

I. Implementation Progress

Project on schedule - PP being drafted.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Development and testing on pilot basis, of self-instructional material, related to improvement of rural poor, in agricultural skills, nutrition and family living, health and fundamental cognitive learning.	PP being drafted. Incorporates project purpose and goal.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. PP will be reviewed during T.Q.

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

Development of project design; constractual arrangements; monitor implementation
Time required: 15 mandays of LA/DR/EST time after project approval.

Project Manager: J. Smith
Attachment: PAR dated

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Self Instructional Systems
PROJECT NUMBER 598-15-690-571
APPROPRIATION E & HR

INITIAL OBLIGATION T.Q.
FINAL OBLIGATION FY-79
TOTAL COST 751

DATE PROP/REVISION T.Q.
DATE LAST PAR -
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976			6/30/76
Estimated Interim Qtr.	106	75	9/30/76 31
Estimated FY 1977	323	285	9/30/77 69
Proposed FY 1978	322	391	9/30/78 --

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77
U.S. Tech.			115	105			115
Local & Tcn.			40	50			40
Participants			40	40			40
Commodities			66	65			66
Other Costs			62	62			62
Total			323	322			323

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
(To be determined)				
Amount	--	106	323	322
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/3 197
Direct Hire		-	-	-
PASA		11	11	11
Contract		14	10	10
Participants				

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-572

Project Title Home Instructional Early Intervention

Contractor a) (To be determined - likely grantee is Project Portage)

b) _____

I. Implementation Progress

Project on schedule - PP being drafted. Will be revised during T.Q.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Development, testing and promotion of home-based early intervention methodology, utilizing-parents, from lower socio-econimic classes to teach their pre-school children. Will be developed in at least two sites in L.A.	PP being drafted.. Incorporates project purpose and goal. Preliminary discussions held with probable grantee, and Missions expected to participate.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. PP will be reviewed during T.Q. Life of project budget is accurate.

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

Development of project design, contractual arrangements, monitor implementation.
Time required: 15 mandays of LA/DR/EST time after project approval.

Project Manager: J. Smith, LA/DR/EST
Attachment: PAR dated _____

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Home Instructional Early Intervention
PROJECT NUMBER 598-15-690-572
APPROPRIATION E & HR

INITIAL OBLIGATION T.Q.
FINAL OBLIGATION FY-79
TOTAL COST 489

DATE PP /REVISION T.Q.
DATE LAST PAR --
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	-	-	6/30/76 -
Estimated Interim Qtr.	121	70	9/30/76 51
Estimated FY 1977	245	226	9/30/77 70
Proposed FY 1978	123	165	9/30/78 5

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			110	38			110	3
Local & Tcn.								
Participants			52	52			52	5
Commodities			44	-			44	-
Other Costs			39	33			39	3
Total			245	123			245	12

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
Period (To be determined)				
Amount		121	245	123
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire		-	-	
PASA		-	-	
Contract		8	7	8
Participants		10	10	-

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-670-573

Project Title Learning Resource Center-Based Community Education System (LRC-BCES)

Contractor a) to be selected

b)

I. Implementation Progress

Project on schedule - PP being drafted.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. An assessment report of local learning needs, 2. Conceptual model of LRCBCES (plus multimedia presentations) 3. Inventory of validated instructional materials. 4. Advocacy workshop and curriculum, 5. Plans for implementating /improving LRCBCES, 6. Economic feasibility study, 7. Guidelines for development of LRCBCES 8. Fault Tree Analysis Evaluation system	PP being drafted. Incorporates project purpose and goal.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes. PP will be reviewed during T.Q. Life-of-Project Budget is being increased for FY 1978.

III. Significant Change (s) in Project Proposed?

NONE

IV. Role of Technical Office; Mandays required

Development of project design; contractual arrangements; monitor implementation
Time required: 15 man/days of LA/DR/EST time. After project approval

Project Manager: LA/DR/EST:James D. Singletary
Attachment: PAR dated

ONGOING GRANT PROJECTS

PROJECT NAME Learning Resource Center-Based Community Education System (LRD-BCES)
PROJECT NUMBER 598-15-670-573
APPROPRIATION E& HR

INITIAL OBLIGATION T.Q.
FINAL OBLIGATION FY 78
TOTAL COST 415

DATE PROP/REVISION T.Q.
DATE LAST PAR -
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	-	-	6/30/76
Estimated Interim Qtr.	138	50	9/30/76 88
Estimated FY 1977	77	143	9/30/77 22
Proposed FY 1978	200	222	9/30/78 -

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77
U.S. Tech.			65	135			65
Local & Tcn.							
Participants				30			
Commodities			5	5			5
Other Costs			7	30			7
Total			77	200			77

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Amount		138	77	200
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract		8	5	10
Participants		8		8

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-690-594

Project Title Motivational Media for Low Income Women

Contractor a) (To be determined)

b)

I. Implementation Progress

Project implementation has been postponed until FY-77 - PP being drafted.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. Radio components for motivation of low-income women developed. 2. Components tested for validity. 3. Analysis of effect completed. 4. Utilization of radio programming of this type promoted in L.A.	PP being drafted. Incorporates project purpose and goal.

II. Project on Schedule; Life-of-Project Budget Accurate?

No. Project implementation has been postponed until FY-77.
Life of Project Budget is accurate.

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

Development of project design, contractual arrangements; monitor implementation
Time required: 15 mandays of LA/DR/EST time after project approval.

Project Manager: Clayton Seeley, LA/DR/EST
Attachment: PAR dated

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME	Motivational Media for Low Income Women	INITIAL OBLIGATION	FY-77	DATE PROP/REVISION	FY-77
PROJECT NUMBER	598-15-690-574	FINAL OBLIGATION	FY-78	DATE LAST PAR	-
APPROPRIATION	E & HR	TOTAL COST	1,045	DATE NEXT PAR	10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	-	-	6/30/76
Estimated Interim Qtr.	-	-	9/30/76
Estimated FY 1977	762	550	9/30/77 255
Proposed FY 1978	283	420	9/30/78 175

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			63	105			63	105
Local & Tcn. Participants			102	115			102	115
Commodities			149	15			149	15
Other Costs			448	48			448	48
Total			762	283			762	283

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
Period	--			
be determined)				
Amount			762	283
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire		-	-	-
PASA		-	-	-
Contract			4	3
Participants			20	20

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-410-101

Project Title Free Labor Development

Contractor a) American Institute for Free Labor Development

b) _____

I. Implementation Progress

See Attached Narrative

Outputs	Progress to Date & Relationship to Project Purpose and Goal

II. Project on Schedule; Life-of-Project Budget Accurate?

See Attached Narrative

III. Significant Change (s) in Project Proposed?

See Attached Narrative

IV. Role of Technical Office; Mandays required

LA/MRSD/L is project manager. Requires two (2) professional personnel full-time, plus secretarial support. Project Support Officers designated in 18 countries.

Project Manager: George W. Phillips

Attachment: PAR dated 1976 received from 9 countries, two more due

NARRATIVE FOR THE ABS FOR 1978

AIFLD: For the past two years AIFLD has been carrying out programs in free labor development at an annual level of about $5.74 million. This includes bilateral programs in thirteen LA countries, plus several regional sub-programs, including these and other countries, and program and headquarters support activities in the US.

Significant progress has been achieved in a number of countries with respect to the size and financial strength of the trade unions involved; more and better contracts have been negotiated; and labor leaders are better trained, as a result of educational activities conducted under the AIFLD contract and, increasingly, by the local unions themselves. (Progress in these areas is increasingly clear from the verifiable indicators established under the AID AIFLD contract within recent years.) Serious problems remain in many countries, however, as a result of factors such as the low earning levels of the labor sector, the frequently unfriendly political climate and the difficulty of channeling local financial resources from local unions and federations to the confederations, which are AIFLD's counterpart organizations. Thus, much work remains to be done.

Basic questions about AID support of labor programs (which might affect funding levels) are presently under consideration, as a result of the study conducted by the consultants Alexander/Hammond in 1975. For example, the consultants recommend that AIFLD programs be continued in a number of countries where AID may be phased out. They also suggest some form of continued AIFLD representation in countries where current style programs are no longer appropriate. These and other recommendations, or such alternatives as are adopted, will be reflected in a new project paper and will, of course, have to be applied country by country. Overall, the outlook would appear to suggest the continuation of AIFLD activities in most present countries and perhaps even the reinstatement of some form of program or representation in several countries where bilateral programs have been closed. At this point we cannot forecast with any degree of assurance the prospects for each country program in 1978, thus probably the best overall assumption is that these conflicting trends would cancel each other out; this would suggest a continuing base program level roughly that of the present.

Among the several regional sub-programs the largest is that of the Union-to-Union, or International Trade Secretariat, program. This was recently increased from the level of $600,000 to about $730,000 annually. This sub-program, which relies upon the private identities of the organizations and permits greater programming flexibility than AIFLD traditional formats, appears to offer attractive long term possibilities. Thus, increases for future years may be anticipated, although there are no specific additional requirements for 1977-1978 at this time.

Another sub-regional program of particular significance led to the establishment of research and documentation centers in Central America and the Caribbean within the past two years. These centers are now in full operation and are supplying high quality data, technical materials and expert services to constituent unions for use in their negotiations with employers on contracts and with host governments on wages and regulatory issues. The first successful uses of these services have now been made and demand is growing. Hopefully, this will lead the way toward operation of these centers on a partly or fully self-supporting basis, and will encourage the establishment of similar centers in other areas.

Another sub-program showing good progress in recent years is the Agrarian Union Development Services (AUDS). Strong campesino unions are now operating in three countries, and several others are participating in training programs. Agrarian labor is of particular significance to AID in view of our priority interest in the rural poor. In 1977 AIFLD plans to establish a small international campesino union training center perhaps in Venezuela or El Salvador. In co-operation with the agrarian unions of those countries and Israel, it would offer specialized national and international training programs, technical assistance, and perhaps small credit facilities to unions participating in the program, i.e., mainly those of Central America, the Caribbean and the northern countries of South America. (Estimated incremental cost for 1977, $160,000; 1978, $260,000).

Given anticipated shortages of regional funds in 1977-1978, AIFLD will strive to achieve further economies of operations,

Explanation of Increase in Funding over Level Contained in FY 77 CP

The increase requested for FY 77 is required solely for the AIFLD agrarian union program. The request results from an extensive review of LA agrarian union programs carried out since submission of the FY 77 CP. This review covered all the most important countries of the area, including those taking part in the AUDS programs. The discussions with the campesino union leaders, especially those representing the most effective unions, led to a clear consensus that the major obstacle to further development of the AUDS program was the absence of an Inter-American agrarian union training center. The costs which AID would incur in the establishment of such a center in 1977 accounts for the entire requested increase ($160,000) over the FY 77 CP budget level.

The rationale for the proposed level of the balance of the AIFLD country programs and regional sub-programs, is contained in the accompanying narrative.

ONGOING GRANT PROJECTS

PROJECT NAME Free Labor Development
PROJECT NUMBER 598-15-410-101
APPROPRIATION 72 - 11 X 1025

INITIAL OBLIGATION FY 62
FINAL OBLIGATION FY 82
TOTAL COST $79,594,000

DATE PROP/REVISION 3/76
DATE LAST PAR 4/76*
DATE NEXT PAR 9/76*

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	5,510,000	4,783,000	6/30/76
Estimated Interim Qtr.	1,300,000	1,530,000	9/30/76 4,671,000
Estimated FY 1977	5,900,000	6,010,000	9/30/77 4,561,000
Proposed FY 1978	6,000,000	6,050,000	9/30/78 4,301,000

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			2450	2450			2450	2450
Local & Tcn.			565	615			565	615
Participants			1370	1370			1370	1370
Commodities								
Other Costs			1515	1565			1515	1565
Total			5900	6000			5900	6000

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
AIFLD Period	from mo/yr-to mo/yr 4 76 12 76	from mo/yr-to mo/yr 12 76 3 77	from mo/yr-to mo/yr 4 77 3 78	from mo/yr-to mo/yr 4 78 4 79
Amount	4,791	1,300	5,200	5,300
AIFLD (ITS) Period	1 76 12 76	-	1 77 12 77	1 78 12 78
Amount	719	-	700	700

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire	No.	No.	No.	No.
PASA	"	"	"	"
Contract	165	165	160	160
Participants	240	60	240	240

* PARs are submitted on each country program
** Includes U.S., third country, and in-country participants

Attachment 5

Country/Program _____

Mission Evaluation Plan for FY 1977 and FY 1978 1/ 2/

(1) Project Title & Number/Subject	(2) Date of Last Evaluation	(3) Number of Last PAR (if applicable) 3/	(4) Date of Submission FY 1977 and/or FY 1978 Evaluation	(5) Period Covered Next Evaluation	(6) Remarks 4/
Free Labor Development 598-15-410-101 Spec. Evaluation Univ. Program	August 1975	See Attachment for Country Program	January 1977 January 1978		

Grants (List all active grant activities)

Loans (List all active loan activities)

Other (List all special evaluations)

1/ Supplementary information may be provided either as footnotes or an attachment.
2/ FY 1977 to be firm; FY 1978 estimate.
3/ Footnote where PAR was supplemented by other evaluation report.
4/ To include indication of help needed from AID/W--for information and planning only; action request to be submitted in accordance with normal procedures.

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-13-670-568

Project Title Increasing Income of Poor Women

Contractor a) Overseas Education Fund

b) _____

I. Implementation Progress

See attached.

Outputs	Progress to Date & Relationship to Project Purpose and Goal

II. Project on Schedule; Life-of-Project Budget Accurate?

III. Significant Change (s) in Project Proposed?

IV. Role of Technical Office; Mandays required

Project Manager:
Attachment: PAR dated _____

The project herein described is a further development and refinement of the proposed project which appeared in the FY 77 ABS under the title, "Integration of Women into Development." As currently envisioned, the objective of the project is to improve the social and economic status of targeted poor women in Costa Rica and Honduras by providing them with skills which can immediately be used to increase their income through the production of products or employment in established light industries. The number of countries in which the project will operate has been reduced from 3 to 2. A minimum of 2 training centers will be established in each country, one in an urban location and one in a rural area. The project now appears to be focused more heavily on training for employment in industry than on providing skills from which income may be earned at home.

Because of delayed submission of the Project Paper by the OEF, planned implementation of the project has been postponed from July 1, 1976 to o/a September 1, 1976, subject to final review and approval of the project by AID.

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Increasing Income of Poor Women
PROJECT NUMBER 598-13-670-568
APPROPRIATION

INITIAL OBLIGATION 77
FINAL OBLIGATION 79
TOTAL COST 1,532

DATE PROP/REVISION N/A
DATE LAST PAR N/A
DATE NEXT PAR 10/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	-	-	6/30/76
Estimated Interim Qtr.	25,026		9/30/76
Estimated FY 1977	547,137		9/30/77
Proposed FY 1978	584,922		9/30/78

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.	163	135					163	135
Local & Tcn.	128	158					128	158
Participants	-	-					-	-
Commodities	153	182					153	182
Other Costs	104	111					104	111
Total	548	586					548	586

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
Overseas Educ. Fund				
Period	- -	9/76-10/76	10/76-9/77	10/77-9/78
Amount		25,026	547,137	584,922
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978	79
Direct Hire	-				
PASA	-				
Contract	-	6	15	27	27
Participants	-				

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-670-109

Project Title Leadership Development for Women

Contractor a) Overseas Education Fund (OEF)

b)

I. Implementation Progress

E. End of project evaluation by OEF.	Evaluation training workshop to be held early July 76. Evaluation to be completed by end August 76.
Outputs	**Progress to Date & Relationship to Project Purpose and Goal**
A. Colombia - Establish institutional framework. Effective network with increasing numbers and quality of self-help projects.	Progress on schedule.
B. Costa Rica - Develop effective voluntary coordinating agency in San Jose.	Progress on schedule.
C. Ecuador - Establish 3 coordinating agencies.	2nd coord. agency est. in FY 75. Planned 3rd C.A. in Cuenca not est. due to insufficient interest.
D. Bolivia - Develop CONIF federations	Agreement with CONIF terminated 3/75. OEF is providing assist. to FEDIFE in Cochabamba.
E. (see E above)	

II. Project on Schedule; Life-of-Project Budget Accurate?

Life of project extended by 2 months to permit time for evaluation. Life of project budget is accurate.

III. Significant Change (s) in Project Proposed?

NONE

IV. Role of Technical Office; Mandays required

Monitor implementation and evaluate progress.

Time required: 20 man days of LA/MRSD

Project Manager: Asst. Director, LA/MRSD, or his authorized designee
Attachment: PAR dated -

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME OEF - Leadership Development for Women
PROJECT NUMBER 598-15-670-109
APPROPRIATION

INITIAL OBLIGATION FY 66
FINAL OBLIGATION IQ
TOTAL COST 2,593

DATE PROP/REVISION FY 73
DATE LAST PAR FY 75
DATE NEXT PAR 9/76

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	123,000	198,559.52	6/30/76 37,133.00
Estimated Interim Qtr.	-	37,133.00	9/30/76
Estimated FY 1977	-	-	9/30/77
Proposed FY 1978	-	-	9/30/78

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.								
Local & Tcn.								
Participants								
Commodities								
Other Costs								
Total								

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr 7/75-6/76	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Amount				
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract	8	-	-	-
Participants				

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-995-044

Project Title Consultants and Seminars

Contractor a) Various

b)

I. Implementation Progress

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Project exempted from PP requirement, used for short studies and seminars concerning topical regional problems.	

II. Project on Schedule; Life-of-Project Budget Accurate?

N/A

III. Significant Change (s) in Project Proposed?

N/A

IV. Role of Technical Office; Mandays required

N/A

Project Manager: F. Wayne Tate
Attachment: PAR dated N/A

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Consultants and Seminars
PROJECT NUMBER 598-15-995-044
APPROPRIATION Sec. 106 S.D.

INITIAL OBLIGATION 1965
FINAL OBLIGATION cont.
TOTAL COST cont.

DATE PROP/REVISION N/A
DATE LAST PAR N/A
DATE NEXT PAR N/A

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	208	186	6/30/76 22
Estimated Interim Qtr.	125	130	9/30/76 17
Estimated FY 1977	500	395	9/30/77 122
Proposed FY 1978	500	575	9/30/78 57

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.			230	230			230	230
Local & Tcn.			25	25			25	25
Participants			25	25			25	25
Commodities								
Other Costs	55	55	165	165			220	220
Total	55	55	445	445			500	500

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Amount		VARIOUS		
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract				
Participants		VARIOUS		
		SHORT	TERM	EXPERTS

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-13-920-552

Project Title Regional Economic Integration

Contractor a) Various; to be determined

b)

I. Implementation Progress Project purpose as in FY-77 C.P. reflects change from FY-76 C.P. plan for achieving new objectives (i.e., food technology assistance) calls for identification of specific assistance needs under special Andean-area nutrition projects which are in process of development with approval expected within a few months (by Sept.). Progress is satisfactory, as planned.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
P.P. not yet prepared for the FY-77 activity. Specific outputs not yet identified.	

II. Project on Schedule; Life-of-Project Budget Accurate?

On schedule. LOP accurate.

III. Significant Change (s) in Project Proposed?

No

IV. Role of Technical Office; Mandays required

Total of 15-25 staff days required to prepare-review PP (or substitute) documentation, issue PIO/Ts, etc.

Project Manager: Edward Lijewski
Attachment: PAR dated N.A.

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Regional Economic Integration
PROJECT NUMBER 598-13-920-552
APPROPRIATION Section 106 S.D.

INITIAL OBLIGATION FY-1972
FINAL OBLIGATION FY-1978
TOTAL COST 400

DATE PROP/REVISION N.A.
DATE LAST PAR ---
DATE NEXT PAR ---

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	0	0	6/30/76 0
Estimated Interim Qtr.	0	0	9/30/76 0
Estimated FY 1977	100	100	9/30/77 0
Proposed FY 1978	100	100	9/30/78 0

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.	100	100	-	-	-	-	100	100
Local & Tcn.								
Participants								
Commodities								
Other Costs								
Total	100	100					100	100

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Amount			Various: To be determined.	
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire	0	0	-	-
PASA	0	0	2	1
Contract	0	0	1	1
Participants	0	0	0	0

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-995-572

Project Title Science and Technology Information Transfer

Contractor a) National Technical Information Service (NTIS)

b)

I. Implementation Progress

PP being re-drafted. Review anticipated during T.Q. or early FY-77.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
1. 10 additional L.A. countries with NTIS agents 2. 9 info. seminars conducted 3. 9 training workshops conducted 4. Increase in flow of USG S and T info. products over current level 5. Inc. in monthly issues of AMTIO: 6 portions of network self-sustaining.	Initial contacts made for purpose of preparing PP. Purpose is to increase transfer of technological information through development information transfer system.

II. Project on Schedule; Life-of-Project Budget Accurate?

Yes Yes

III. Significant Change (s) in Project Proposed?

The addition of other information services is being considered for inclusion in this information project.

IV. Role of Technical Office; Mandays required

not determined as yet

Project Manager: Janet Weber
Attachment: PAR dated

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Science & Technology Info. Transfer
PROJECT NUMBER 598-15-995-572
APPROPRIATION Sec. 106, Dev. Active.

INITIAL OBLIGATION 1977
FINAL OBLIGATION 1979
TOTAL COST 750 1

DATE PROP/REVISION
DATE LAST PAR
DATE NEXT PAR

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976			6/30/76
Estimated Interim Qtr.			9/30/76
Estimated FY 1977	250	215	9/30/77 35
Proposed FY 1978	250	285	9/30/78

FY 1977 and FY 1978, Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.					67	67	67	67
Local & Tcn. Participants					17	17	17	17
Commodities					17	17	17	17
Other Costs					149	149	149	149
Total					250	250	200	250

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations from to mo/yr-mo/yr	Int. Qtr. Obligations from to mo/yr-mo/yr	FY 1977 Obligations from to mo/yr-mo/yr	FY 1978 Obligations from to mo/yr-mo/yr
Period				
NTIS				
Amount			250	250
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
Contract				
Participants				

(To be determined during preparation of PP)

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-576-1-6567089

Project Title Role of Law in Social Change in Latin America

Grantee a) The American Society of International Law (ASIL)

b)

I. Implementation Progress

Grant to be signed June/1976. First progress report due March/1977.

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Recommendations for specific action programs for further AID or other group initiatives.	Upon signing of grant, ASIL is prepared to commence immediately preliminary research study.

II. Project on Schedule; Life-of-Project Budget Accurate?

Project is on schedule; Life of Project Budget is accurate.

III. Significant Change (s) in Project Proposed?

None

IV. Role of Technical Office; Mandays required

Monitor implementation and evaluate progress
Time required: 9 man/days of LA/MRSD and LA/GC

Project Manager: Asst. Director LA/MRSD or his authorized designee
Attachment: PAR dated

* Explanation of Increase in Funding over Level Contained in FY 77 C.P.

When the FY 77 C.P. was prepared, the ASIL anticipated receiving a grant from the Federal Republic of Germany. This did not materialize and the ASIL asked for an increase in AID support. This was approved and is reflected in the FY 76 funding.

ONGOING GRANT PROJECTS

PROJECT NAME: Role of Law in Social Change in Latin America
PROJECT NUMBER: 598-576-1-6567089
APPROPRIATION: Sec 106

INITIAL OBLIGATION: FY 76
FINAL OBLIGATION: FY 77
TOTAL COST: 110

DATE PROP/REVISION: FY 76
DATE LAST PAR: Grant Evaluation
DATE NEXT PAR: Planned for 3/77

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	70	10	6/30/76 60
Estimated Interim Qtr.	-	-	9/30/76 -
Estimated FY 1977	40	80	9/30/77 30
Proposed FY 1978	-	-	9/30/78 -

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.	7						7	
Local & Tcn.								
Participants								
Commodities								
Other Costs	33						33	
Total	40						40	

Grant ~~Contract/PASA~~ Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
Period (from mo/yr - to mo/yr)	Am Society for Internat'l Law: 6/76 - 6/77	- -	6/77 - 6/78	
Amount	70		40	
Period				
Amount				

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
~~Contract~~ Grant	5	5	5	
Participants				

SUMMARY PROGRESS STATEMENT
ONGOING PROJECTS

Project # 598-15-810-436

Project Title Partners of the Americas

Contractor a) NAPA

b)

I. Implementation Progress

Outputs	Progress to Date & Relationship to Project Purpose and Goal
Evaluation of project by Development Associates, Inc., completed in February 1976, indicated that project represented a very effective use of funds and recommended its extension for 3 to 5 years (to FY 79 - FY 81), preferably five.	

II. Project on Schedule; Life-of-Project Budget Accurate?

Project which was scheduled to terminate in FY 76 was extended after consideration of the recommendations of above evaluation.

III. Significant Change (s) in Project Proposed?

Project is being extended primarily to allow NAPA additional time to develop its fund-raising capabilities. Evaluation recommended this be accomplished, inter alia, through increased membership base and intensification of efforts in NAPA headquarters to develop a more effective fund-raising operation.

IV. Role of Technical Office; Mandays required

NONE

Project Manager:
Attachment: PAR dated

(See Attachment)

*Explanation of Increase in Funding over Level Contained in FY 77

When the FY 77 CP was prepared, only preliminary results of the project evaluation conducted by Development Associates, Inc. were available. Those results were positive, however, and $250,000 was included as an interim request. This was the same amount as had been included in the FY 76 CP. Subsequently, after consideration of the evaluation and its recommendations, additional funds were made available in FY 76 through notification. Additional funds beyond those included in the CY 77 CP are being requested herein and notification procedures will be followed.

Table 3

ONGOING GRANT PROJECTS

PROJECT NAME Partners of the Americas
PROJECT NUMBER 598-15-810-436
APPROPRIATION Section 106

INITIAL OBLIGATION FY 65
FINAL OBLIGATION FY 80
TOTAL COST $6,324

DATE PROP/REVISION FY 72
DATE LAST PAR Contract Evaluation
DATE NEXT PAR Completed 2/76

U.S. DOLLAR COST (IN THOUSANDS)

	FY Obligations	FY Expenditures	Unliquidated as of:
Actual FY 1976	475	637	6/30/76 225
Estimated Interim Qtr.	-	115	9/30/76 110
Estimated FY 1977	400	400	9/30/77 110
Proposed FY 1978	350	360	9/30/78 100

FY 1977 and FY 1978 Obligations by Cost Component/MOI

Cost Component	Direct Aid 77	Direct Aid 78	Contract 77	Contract 78	PASA 77	PASA 78	Total 77	Total 78
U.S. Tech.	175	140					175	140
Local & Tcn.								
Participants								
Commodities								
Other Costs	225	210					225	210
Total	400	350					400	350

Contract/PASA Funding Periods

Name of Contractor	FY 1976 Obligations	Int. Qtr. Obligations	FY 1977 Obligations	FY 1978 Obligations
	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr	from to mo/yr-mo/yr
Period	1/76-6/76		1/77-12/77	1/78-12/78
Amount	$250		$400	$350
Period	7/76-12/76			
Amount	$225			

On Board Personnel

	6/30 1976	9/30 1976	9/30 1977	9/30 1978
Direct Hire				
PASA				
~~Contract~~ Grant	11(9m/y)	11(9m/y)	11(9m/y)	10(8m/y)
Participants				

AGENCY FOR INTERNATIONAL DEVELOPMENT

PROJECT IDENTIFICATION DOCUMENT FACESHEET

TO BE COMPLETED BY ORIGINATING OFFICE

1. TRANSACTION CODE ("X" appropriate box): [X] Original [ ] Change [ ] Add [ ] Delete

PID — DOCUMENT CODE 1

2. COUNTRY/ENTITY: LA Regional

3. DOCUMENT REVISION NUMBER: –

4. PROJECT NUMBER:

5. BUREAU: a. Symbol: LA; b. Code:

6. PROPOSED NEXT DOCUMENT: a. [X] PRP [ ] PP b. DATE mo. 0 3 yr. 7 7

7. PROJECT TITLE - SHORT (stay within brackets): [Improved Small Farm Production Systems]

8. ESTIMATED FY OF AUTHORIZATION/OBLIGATION: a. INITIAL FY 7 7 b. FINAL FY 7 9

9. ESTIMATED COST (life of project) ($000 or equivalent, $1 =)

FUNDING SOURCE	AMOUNT
a. AID APPROPRIATED	1,090
b. OTHER U.S.	
c. HOST GOVERNMENT	
d. OTHER DONOR(S)	
TOTAL	

10. ESTIMATED COSTS/AID APPROPRIATED FUNDS ($000)

a. Appropriation (Alpha Code)	b. Primary Purpose Code	c. Primary Tech. Code	FIRST YEAR FY		ALL YEARS	
			d. Grant	e. Loan	f. Grant	g. Loan
FN			500		1,090	
TOTAL						

11. OTHER U.S. ($000)

a. FUNDING SOURCE	b. FIRST YEAR	c. ALL YEARS

12. SECONDARY TECHNICAL CODES (maximum six codes of three positions each)

13. SPECIAL CONCERNS CODE (maximum six codes of four positions each)

14. SECONDARY PURPOSE CODE

15. PROJECT GOAL (stay within brackets)

[To increase farm incomes and labor utilization on small farms to achieve improved social well-being among the rural poor.]

16. PROJECT PURPOSE(S) (stay within brackets)

[To stimulate integrated agricultural development in two least developed countries of Latin America through a program which will research and promote profitable production systems and increase the markets for agricultural commodities raised on small farms.

To motivate other LA Missions to implement similar activities in their own countries.]

17. PLANNING RESOURCE REQUIREMENTS (staff/funds)

PRP - 1 pm of direct-hire agronomist; 3 pm of consulting services specialized in crop production and produce markets, $15,000

18. ORIGINATING OFFICE CLEARANCE

Signature: Donor M. Lion

Title: Assoc. Assist. Administrator

Date Signed: mo. 7 day 6 yr. 76

19. Date Received in AID/W, or for AID/W Documents, Date of Distribution: mo. day yr.

AID 1330-2 (7-75)

Title:	Research and Development of Improved Small Farm Production Systems to Increase Income Generation and Social Well-being Among the Rural Poor
Goal:	To increase farm incomes and labor utilization on small farms to achieve improved social well-being among the rural poor.
Purpose:	To stimulate integrated agricultural development in two least developed countries of Latin America through a program which will research and promote profitable production systems and increase the markets for agricultural commodities raised on small farms.
Sub-purpose:	To motivate other LA missions to implement similar activities in their own countries.

I. Summary of Problem and Proposed Response

A. The Problem

In Latin America, the numbers of small farms many times outnumber the relatively few large farms. In most of these countries more than seventy five per cent of the farm holdings are five hectares or less in size. In contrast to the large farms which traditionally have been owned by a few privileged families and which generally produce export, or so-called colonial crops, the small farms grow a majority of the region's basic food crops. More often than not, they are characterized by the following disparities:

They tend to be located predominantly on the poorest land where a large percentage of many holdings may not be arable.

For the most part, they are either on depleted soils, or in newly colonized regions where, if not carefully managed, the soil fertility rapidly becomes impoverished--soil erosion is a predominant problem.

Many such farms tend to be in belts of inadequate rainfall and lack facilities for low-cost irrigation.

Marketing facilities and all-weather roads for the transport of fresh produce are often inadequate or lacking. Yet it is from such farms that the vast majority of all available rural labor must derive its livelihood. Thus, any long-range

program for increasing income generation and social well-being among the rural poor will have to be motivated by changes in the existing farming systems.

Land reform such as that which has taken place in Cuba, Honduras, Peru and Chile is no doubt one approach. Another is the payment of subsidies for specific products grown on small farms. The purpose of the present proposal, however, is to research and develop improved small farm production systems. Systems will be investigated which can increase total labor use by intensifying farm production per day over a longer growing season while at the same time the attempt will be made to reduce the drudgery of heavy hand labor. The use of new crops and improved varieties are implied. Intercropping, rapid rotations and minimum tillage practices will have to be developed and extended so as to reap more abundant harvests on the same sized units of productive land. Aquaculture and the husbandry of small animals will be encouraged and incorporated as part of the farm system to make use of unused crop wastes and to derive further benefit from units of land that might otherwise not be productive.

Anticipated as components of the proposed project are the following types of activities:

1. The investigation of markets.

   (a) for placement of additional supplies of traditional produce

   (b) for placement of new types of farm produce.

2. The investigation of possibilities for establishing rural agro-industries that could economically process small farm produce and thereby increase rural employment.

3. The development of farming systems which can either increase small farm production of economically marketable produce or else be consumed on the farm to improve the social well-being of the farmer's family.

4. The investigation of the factors which influence the small farmer to accept a change in his farming system.

   (a) education (extension promotion, radio, peer pressure)

   (b) the role of cooperatives in motivating the marginal farmer

(c) the profit motive

(d) alternative methods for reducing risk

(e) availability of credit

(f) elimination of heavy, burdensome labor

(g) the influence of special subsidies or incentives.

5. Cost benefit ratio studies.

6. Small farm mechanization.

7. Alternative off-the-farm-labor employment opportunities.

8. Related opportunities in social welfare projects on the farm.

(a) erosion control

(b) development of irrigation facilities

(c) windbreak protection.

9. Social welfare projects off the farm.

(a) road construction

(b) forestation

(c) terrace construction

(d) watershed protection.

The concept of investigation on farming systems directly reverses the commodity oriented process of research as it has been practiced at the agricultural research centers of the developed countries. Both there and at CGIAR sponsored International Agricultural Research Centers scientists have perfected new "miracle" varieties of crops. By using heavy applications of high-priced biochemicals, they have developed practices which can multiply the production of such varieties many-fold. These advances have had considerable effect in increasing food production in the developed countries. They have had an effect on the large farms in the LDCs as well. However, the net result has been to widen the gap between production on large commercial farms and

that of the small marginal or subsistence farmer who comprise the majority of the rural poor. The methodology of investigating farming systems for small farms is, in contrast, one of studying on-the-farm conditions and then with the farmer as motivator developing improvements which he and his family can implement at low cost and with a minimum of risk.

It is not the intent of this proposal to duplicate any of the activities which are undertaking similar work to alleviate the problems associated with small farm production. ROCAP already has a project on crop production systems which is being managed for Central America out of CATIE in Turrialba, Costa Rica. TA/AGR has a n approved PID for the development of a state of the art study of farming systems on a world-wide basis. Rather, it is considered that work in this field is of such priority that considerably more effort is required to affect advantageous changes for rural development.

B. Description of Proposed Project

The proposal is for a three year grant to be managed out of the LA Bureau in Washington. It will undertake demonstrational activities in two least developed countries serving there as models for other USAID Missions which subsequently may wish to initiate their own projects. Paraguay and Haiti are considered as the prime recipients in which to place the demonstrations. In each case, the project will work through the USAID in a program focused on increased small farm production, income generation, and labor employment for integrated rural development. The project will cooperate with other international donors and host country programs to further rural development through improved small farm innovations in Latin America.

In each of the demonstration countries, a market analysis will precede a program of on the farm development designed to be self perpetuating. The extent to which traditional food crops can be increasingly released on the market will be investigated. Opportunities for the sale of new types of produce will be studied both within the country and for export. The potential for processing farm produce in small local agro-industries will be considered as a possibility of increasing rural employment. As a result of the market analysis, four alternate types of small farm production systems will be designed based on market demand. One system, the control, will be that traditionally employed in the area. The three others will test different rotations, multiple cropping practices and integrated crop/animal husbandry systems which have good prospects of achieving rural development objectives. These will be verified in terms of:

1. Increased farm production.

2. Increased labor employment.

3. Improved farm income.

4. Greater amounts of more nutritive foods consumed on the farm.

The attempt will be made to achieve these ends by maximizing the economic production per unit area of the farm on a per day basis for the maximum number of days possible during the calendar year.

The programs will be carried out by local research and promotion personnel of the country's Ministry of Agriculture on representative small farms, thus providing an in-service training opportunity for the research technician, the extention agent, and the farm family. The method has the advantage that the program will be motivated from the farmer's requirements to the research agent, and thus need not suffer the built-in bias of many experts; that is the belief that they know what the farmer needs.

It is anticipated that the four farming systems will be tested, one here each, on four different farms in each of four different ecological zones of each trial country.

Because the available experience has been developed overseas on the "systems" approach to alleviating the conditions which have retarded innovation in subsistence farming, use will be made of technical know how available in such countries as Taiwan, the Philippines and Costa Rica. A corps of experts will be recruited to provide the technical assistance required to develop and implement the work. To the extent possible U.S. consultants will be hired, but foreign experts will be heavily relied on. These consultants will be contracted on fixed monthly retainers for specific numbers of months during each of the three years of the project's life. Their primary responsibilities will be to the two demonstration countries and will consist of:

1. In-service training of host country research and promotion personnel.

2. The study of farm products and farming systems under the local conditions where trials will be placed.

3. Establishing the original trials on representative small farms.

4. The organization and presentation of training sessions workshops and field days.

The experts will organize community teams of local farmers and host country technicians to carry out the on-the-farm trials. Then as quickly as possible they will turn the work over to these teams, returning only as necessary to offer needed technical know-how, and to inject a desired multiplier effects into the activities they started.

The implementation of similar activities for improved small farm production systems in other countries is a sub-purpose of this project. It is anticipated that additional USAID Missions will be motivated to develop such activities. We expect that after the first year of operation in the two demonstration countries, the amount of time required of the LA Regional project consultants will start to decrease there. Then if other Missions want to make use of the consultants' expertise, the LA Bureau would provide their non-committed time as requested with regional project funds. Missions would be encouraged to utilize these services in planning and developing such projects through the project paper phase.

C. Major Assumptions Pertinent to Project Success

1. That the respective USAID will, in each case, provide logistic support and backstopping to the country activity.

2. That farming systems can be found which will prove greater benefit on small farms than the traditional systems now being employed.

3. That the host governments will consider the activity to have proven of sufficient benefit to replicate the trials on their own with increasing numbers of similar demonstrations in other regions of their country.

4. That the project will motivate other LA Missions to implement similar activities.

II. Financial Requirements and Plans

The project cost is envisioned to be approximately $1,089,500. This includes U.S. consultants which it is estimated should cost in the neighborhood of $517,500. It also includes two demonstration activities to be activated overseas on research and development of small farm production systems in two Latin American countries. It is estimated that each of the latter will cost $286,000 or a total of $572,000 over three years of the proposed project grant.

The AID contribution would be as follows:

U.S. Consultants		Total
Consultants services for field work (TDY) $2,500/mo @36 mo/yr=$ 9,000/yr for 3 yrs		$ 270,000
Differential on Consultants services @ 25%		67,500
	Subtotal	337,500
Travel & Per Diem $60,000/yr for 3 yrs		180,000
	Grand total	$ 517,500

The Overseas Component (As Per One Country Program)

	Total Single Country	Total Two Countries
Personnel		
One local-hire Project Manager (Ing.Agro.) $11,000/yr for 3 yrs	$ 33,000	$ 66,000
One half time Agricultural Economist $6,000/yr for 3 yrs	18,000	36,000
Two local hire Extension Agents $8,000/yr ea=$16,000 for 3 yrs	48,000	96,000
One local hire Extension Training Specialist $9,000/yr for 2 yrs	18,000	36,000
Subtotals	$117,000	$234,000
Commodities		
Five project vehicles @$6,000 ea.	30,000	60,000
Small farm machinery & equipment	25,000	50,000
Subtotals	$ 55,000	$110,000
Local Training		
Field Day (48) @$250 ea.	12,000	24,000
Local Workshops (24) @$2,000 ea.	48,000	96,000
Country Conferences (3) @$5,000 ea.	15,000	30,000
Subtotals	$ 75,000	$150,000

Local Costs

Travel & Per Diem $5,000/yr for 3 yrs	$ 15,000	$ 30,000
Vehicle Maintenance $12000 yr ea. x5=6000 for 3 yrs	18,000	36,000
Local purchases $2000 yr for 3 yrs	6,000	12,000
Subtotals	39,000	78,000
Grand Total	$286,000	$572,000

Although the host government contributions to the respective two overseas activities have not been calculated, it is expected that as soon as effective farming systems have been demonstrated under the AID grant, they will replicate similar demonstrations on their own in each individual country. The two countries to be selected--probably Paraguay and Haiti--will be least developed countries.

The grants will provide them an opportunity to initiate a type of activity which they would not be likely to undertake unless their utility had first been demonstrated.

The USAID in each case will be expected to provide logistical support and in-country monitoring of the activity.

III. Development of the Project

The present PID is proposed for submission in the 1978 Annual Budget Submission. If it is approved for implementation, it would require the assistance of an outside contractor to prepare the necessary PRP and PP documents. LA/DR is inadequately staffed to undertake such an endeavor on its own. Possibly ATAC would be in a position to assist in this matter.

The PRP could well be ready for presentation at the DAEC in March of 1977. A PP could then be completed for DAEC review by May the same year.

Approximately four person months of consultants time would be needed to develop the PRP and PP. Previous to this, a field trip of some two weeks would be required to the two countries where field activities are proposed, i.e., Paraguay and Haiti. Discussions would be required to review the USAID or U.S. Embassy interest in having the activity implemented there. The activity would require joint planning with host government personnel. This should be completed no later than November of 1976.

AGENCY FOR INTERNATIONAL DEVELOPMENT

PROJECT IDENTIFICATION DOCUMENT FACESHEET

TO BE COMPLETED BY ORIGINATING OFFICE

1. TRANSACTION CODE ("X" appropriate box): [X] Original [ ] Change [ ] Add [ ] Delete

PID

DOCUMENT CODE: 1

2. COUNTRY/ENTITY: LA Regional

3. DOCUMENT REVISION NUMBER:

4. PROJECT NUMBER:

5. BUREAU: a. Symbol: LA; b. Code:

6. PROPOSED NEXT DOCUMENT: a. [X] PRP [ ] PP b. DATE: mo. 10 yr. 76

7. PROJECT TITLE - SHORT (stay within brackets): LA CROP/CREDIT INSURANCE SYSTEM

8. ESTIMATED FY OF AUTHORIZATION/OBLIGATION: a. INITIAL FY 78 b. FINAL FY 81

9. ESTIMATED COST (life of project) ($000 or equivalent, $1 =)

FUNDING SOURCE	AMOUNT
a. AID APPROPRIATED	940
b. OTHER U.S.	
c. HOST GOVERNMENT	
d. OTHER DONOR(S)	
TOTAL	

10. ESTIMATED COSTS/AID APPROPRIATED FUNDS ($000)

a. Appropriation (Alpha Code)	b. Primary Purpose Code	c. Primary Tech. Code	FIRST YEAR FY___ d. Grant	e. Loan	ALL YEARS f. Grant	g. Loan
FN			235		940	
		TOTAL				

11. OTHER U.S. ($000)

a. FUNDING SOURCE	b. FIRST YEAR	c. ALL YEARS
TOTAL		

12. SPECIAL CONCERNS CODE (maximum six codes of four positions each)

13. SECONDARY PURPOSE CODE

14. PROJECT GOAL (stay within brackets)

To develop cost-effective approaches for future AID-LDC programs and policies which reduce or diffuse risk as perceived by Latin American small farmers.

15. PROJECT PURPOSE(S) (stay within brackets)

To further the development of viable national level crop/credit insurance schemes which service small farmer needs and to design and study the feasibility of an internationally financed inter-country crop/credit re-insurance mechanism for Latin America.

17. PLANNING RESOURCE REQUIREMENTS (staff/funds)

PRP - IPM of direct - hire ag. economist; 2 PMs of consulting services specialized in crop insurance, $15,000:

18. ORIGINATING OFFICE CLEARANCE

Signature: [signature]

Title: Donor M. Lion
Assoc. Assist. Administrator

Date Signed: mo. 7 day 6 yr. 76

19. Date Received in MDR, or for MDR Documents, Date of Distribution: mo. day yr.

AID 1330-2 (7-75)

1. Summary of the Problem to be Addressed and Proposed Response

Many AID small farmer projects assume either implicitly or explicitly that no natural disasters will occur. This unrealistic assumption has become almost a standard feature of AID agricultural project design. Although we know of no formal study of the topic, the probability of an abnormal crop year falling within the period of any given AID project, say five years, is no doubt quite high. Although AID projects may often survive this often erroneous assumption, small farmers cannot. They realistically assume that natural disasters are normal events, not abnormal ones.

Traditional peasant societies have developed ways of "coping" with natural disaster. Examples of "coping" mechanisms are extended family groupings, diversified cropping patterns, share cropping, off-farm labor, patron-peon social relationship, and "high price" non-institutional credit sources. These complex systems for coping with disaster have developed over time and now are part of the social fabric. AID programs intended to improve the small farmer's position and welfare by converting him to more modern methods should not take away his intricate protection against risks, but should offer a level of protection comparable to that which he traditionally enjoyed.

Small farmer credit programs, for example, can bring producers living on the margin of subsistence into an environment that makes them more vulnerable to disaster than they were before; the programs may leave them abandoned after a disaster occurs. Often after disasters, lending institutions deal with small farmers in ways which minimize losses to the institution as opposed to ways which maximize their potential for recovery. AID's concern about collection rigor often reinforces these

institutional concerns, and somehow the fate of the small farmer gets lost in the shuffle.

Given the increased insecurity that the small farmer faces upon entering most institutionalized small farmer programs, it is no wonder that he may be hesitant to adopt new technology which frecuently involves increased risk and at the minimum is shrouded in uncertainty. Thus, means that are developed to decrease the small farmer's exposure to risks could also have important side benefits in that the adoption of new technology might be facilitated.

Increasing attention is being paid to the small farmer risk problem by the programs of AID, other donors, and the international research centers. For example the international research centers are making efforts in their research programs to increase the genetic resistance of new varieties to the threats of drought, pests, and diseases. Many donors are working in improved water control and irrigation. Efforts are underway to stabilize the conditions of input and output markets which the small farmer faces.

This project will attempt to develop cost-effective approaches for future AID-LDC programs which reduce or diffuse risk as perceived by Latin American small farmers; it will assist in the development of national crop insurance type schemes and will study the feasibility of and design an internationally financed inter-country crop reinsurance fund for Latin America.

There have been efforts in the past to establish national insurance schemes in the hemisphere. The Latin America crop insurance program that has the most experience and widest coverage is the Mexican one. The Mexican program is compulsory for all farmers receiving credit from commercial banks and provides coverage for about 35 crops. The Mexican

program is subsidized by the government in the approximate amount of 40% of which 28% is for administrative costs and 12% is a premium subsidy. Other Latin American countries which are in various stages of developing a crop insurance system are Costa Rica, Brazil, Chile and Argentina. The countries of the Andean Pact, through the Andean Finance Corporation, are studying the feasibility of an Andean Reinsurance Fund. There existed considerable interest in the late 1960s in organizing a Latin American Crop Reinsurance Fund and three seminars, in 1966, 1967 and 1969, were held under the auspices of IICA and IDB.

The lack of a functioning Latin American Crop Reinsurance Fund has inhibited the formation of national schemes because many Latin American countries are so small that even one natural disaster could easily wipe out a national fund. Similarly, there has existed no entity to adivse and assist the establishment of national programs. The lack of national programs has, in turn, inhibited the establishment of the regional mechanism. Limited expertise in the area, the complexity of organization and the lack of consistent interest and financial backing on the part of donor agencies has also inhibited the establishment of crop insurance mechanisms.

Project Description and Course of Action

It is presently anticipated that the Project will be structured into two distinct phases. The first phase would concentrate on system planning and its outputs would consist of the following:

1. A systematic review and evaluation of experiences of

establishment of both national and Latin America-wide crop/credit insurance schemes.

4. The development of several cost-effective crop/credit insurance models which would be applicable to Latin American countries and be compatible with a Latin America-wide crop/credit reinsurance scheme. Such models should contemplate options for the participation of both the public and private sectors (especially cooperatives). These models should take into account the general principles enunciated at the 1969 Santiago Agricultural Insurance Seminar.

5. An appraisal of the demand for crop/credit insurance in the hemisphere. This appraisal would attempt to determine whether adequate demand exists among the target group for crop/credit insurance services and whether sufficient demand exists among lenders and hemisphere government officials for Latin America-wide re-insurance.

6. A preliminary design of a Latin America-wide re-insurance scheme. Such a design would concentrate upon operating principles, organization and financing. With regard to financing, an investigation would be undertaken regarding the feasibility of utilizing U.S.-owned Social Progress Trust Fund (SPTF) reflows and IDB-owned local currencies, both for the financing of national systems and of a hemisphere-wide re-insurance scheme.

7. Determinations of whether or not the expansion of national crop/credit insurance schemes and the organization of a hemisphere-wide re-insurance scheme are priority concerns for development programming.

8. Assuming the above determinations are positive, the development of a strategy and detailed plan of action for the Project's second phase.

The Project's second phase would provide technical assistance

for the establishment of up to six national crop/credit insurance systems and/or the establishment of a hemisphere-wide re-insurance scheme.

The Project's first phase is expected to last for approximately 18 months. Its second phase is expected to last 30 months. Prior to initiating the Project's second phase, a formal AID/W review of the findings of the first phase and the Project phase II strategy and detailed plan of action would be required.

It is anticipated that a total of eight person-years of senior staff level input will be required for the realization of the Project, of which three person-years would be required for the first phase of the Project's operation. Four person-years of support staff would also be required as would travel, per diem, computer time and an allotment for seminars and publications.

Major Assumptions Pertinent to Project Success

1. Cost-effective approaches to crop/credit insurance schemes can be developed.

2. Small farmers will prefer to be protected to some extent from the vagaries of nature and will be willing to pay a premium for this protection.

3. Crop/credit insurance premium rates can be established in the absence of perfect information on actuarial risks.

4. Sources of adequate initial financing for crop/credit insurance reserve funds can be found.

Beneficiaries

The target group of this activity are Latin American Small

farmers who form portions of the poor majority in their respective countries. An important issue that will have to be addressed in the course of project development and execution will be whether or not participation in the crop/credit insurance schemes to be developed under this project should be restricted to the target group only. Given the need for any crop/credit insurance type scheme to spread risks among persons, climatic regions and crops, it may well be in the interests of the target group to have insurance coverage under any system to spread beyond it, i.e., the result of having broader participation may well be lower premium costs to target group members. If it were determined that coverage should extend beyond the target group, mechanisms will have to be developed to assure that the target group's needs will in fact be served. A similar consideration will be whether the development of crop/credit insurance schemes under the project and participation in the possible hemisphere-wide re-insurance system should be restricted only to countries where AID has active programs or whether "graduate" countries should be included as well.

2. Financial Requirements and Plans

The anticipated financial requirements of the Project are summarized in the following table:

	($000)		
	Phase I (FY78-FY79)	Phase II (FY79-FY81)	Total
I. Personnel			
A. Technical Staff	150	250	400
B. Support Staff	40	60	100
C. Overhead	95	155	250
II. Project Support			
A. Travel/Per Diem	25	50	75
B. Seminars/Publications	15	25	40
C. Computer Time	15	20	35
D. Contingencies	15	25	40
Total	355	585	940

3. Project Development

Responsibility for developing this project will be with the Rural Development Division of LA/DR. Project development will require that officials in IICA, IDB, FAO and the UNDP be contacted in relation to their current and past efforts in the crop/credit insurance area. A trip to Mexico to consult with Mexican crop insurance officials on current and past technical assistance requests may also be required. It is also anticipated that it will be necessary to canvas existing literature and technical reports.

It is anticipated that a PRP for this Project will be submitted during October of 1976 and that the PP will be submitted in the first quarter of FY78.

It is estimated that approximately one person-month of an AID/W direct hire agricultural economist will be required to develop the PRP and that three person-months of similar talent will be required to develop the PP.

In addition to the above direct hire time, it is anticipated that two person-months of consulting services will be required to assist in the development of the PRP and that an additional four person-months of consulting services will be required to complete the PP.

4. Issues

A. Would it be preferable for other entities such as FAO, IICA, IDB, UNDP or IGAD to develop and implement this project?

B. Should coverage of crop/credit insurance be broader than the AID target group?

C. Can SPTF or other reflows be made available for financing insurance reserve funds? Might hard currencies be more appropriate?

D. What should be the relationships between crop insurance

and supervised credit programs?

E. Should the Moss Amendment authority be broadened to reflect crop insurance?

F. Should Project development responsibility be shared with LA/DR's Development Banks Division?

5. Environmental Considerations

This project will have no direct environmental impact.

LA/DR/RD:BBSchouten:nmv:06/16/76
Draft #1

AGENCY FOR INTERNATIONAL DEVELOPMENT

PROJECT IDENTIFICATION DOCUMENT FACESHEET

TO BE COMPLETED BY ORIGINATING OFFICE

1. TRANSACTION CODE ("X" appropriate box): [X] Original [ ] Change [ ] Add [ ] Delete

PID — DOCUMENT CODE 1

2. COUNTRY/ENTITY: Latin America Regional

3. DOCUMENT REVISION NUMBER:

4. PROJECT NUMBER: 598-0580

5. BUREAU: a. Symbol: LA; b. Code: 3

6. PROPOSED NEXT DOCUMENT: a. [X] PRP [ ] PP b. DATE mo. 10 yr. 76

7. PROJECT TITLE - SHORT (stay within brackets): [BIAPE A.I.D. and HG Loans]

8. ESTIMATED FY OF AUTHORIZATION/OBLIGATION: a. INITIAL FY 78 b. FINAL FY 79

9. ESTIMATED COST (life of project) ($000 or equivalent, $1 =) $55 million

FUNDING SOURCE	AMOUNT
a. AID APPROPRIATED	$25
b. OTHER U.S. HG	$30
c. HOST GOVERNMENT	
d. OTHER DONOR(S)	
TOTAL	$55

10. ESTIMATED COSTS/AID APPROPRIATED FUNDS ($000)

a. Appropriation (Alpha Code)	b. Primary Purpose Code	c. Primary Tech. Code	FIRST YEAR FY 78 d. Grant	e. Loan	ALL YEARS f. Grant	g. Loan
S.D.				$25		$25
		TOTAL		$25		$25

11. OTHER U.S. ($000)

a. FUNDING SOURCE	b. FIRST YEAR	c. ALL YEARS
HG	$15	$30
TOTAL	$15	$30

12. SECONDARY TECHNICAL CODES (maximum six codes of three positions each)

13. SPECIAL CONCERNS CODE (maximum six codes of four positions each)

14. SECONDARY PURPOSE CODE

15. PROJECT GOAL (stay within brackets)

[Provide improved housing conditions for lower income families in the less developed countries of Latin America.]

16. PROJECT PURPOSE(S) (stay within brackets)

[a) Assist in the Development of BIAPE as a housing finance institutions for the Latin American Countries.
b) Provide BIAPE with funds to establish a mechanism for and finance housing for low income families (defined as families with income below the median) in the countries of Latin America.]

17. PLANNING RESOURCE REQUIREMENTS (staff/funds)

SER/H will provide monitoring and technical assistance from Washington.

18. APPROVAL OF OFFICE ORIGINATING

Signature: C. Richard Zenger

Title: Director for Operations

Date Signed: mo. 10 day 9 yr. 76

19. Date Received in AID/W, or For AID/W Documents, Date of Distribution: mo. day yr.

AID 1330-7 (7-75)

I. Summary of Problem and Proposed Program

A. Summary of Problem

In the course of the last 12 years the Latin American Savings and Loan System has experienced rapid growth and development. From 1962 through 1972, the number of Latin American Savings and Loan Associations increased from virtually zero to over 250 associations. During the same period total assets went from zero to over $3 billion and savings deposits grew to nearly $1.75 billion. Through concessional loans and Housing Guaranty funds, A.I.D. has played an integral part in this development. However, the development of these specialized housing finance institutions has varied considerably from one country to another, depending on availability of financial resources and technical know-how. The savings and loan industry in Latin America is convinced that additional resources have to be found in financial markets outside the individual countries to meet the increasing housing demands particularly from lower income families. With the exception of Housing Guaranty related programs, the Savings and Loan System in Latin America has not been able to tap the international capital markets. To develop this capacity, both regionally and world-wide, the Inter-American Savings and Loan Bank (BIAPE) was formed.

The initiative to establish an Inter-American Savings and Loan Bank solely for the purpose of housing finance originated in 1966 under the auspices of the Inter-American Savings and Loan Union (ISLU). The ISLU has as its primary objective the promotion of permanent and effective housing finance systems in Latin America. Membership in ISLU includes virtually every public and private housing finance institution in Latin America as well as many United States housing finance institutions and national trade associations.

To determine the feasibility of establishing such an international housing finance institution, the Inter-American Savings and Loan Union (ISLU) in 1971 employed ADELATEC, an international consulting firm, to undertake a study. ADELATEC concluded that due to the highly technical nature of housing and housing finance an Inter-American bank specializing solely in this area was justifiable. The ADELATEC report emphasized economies of scale in concentrating international financing operations in a single institution i.e., lower administrative cost and interest rates resulting from one central institution tapping the international money market. Also other technical and managerial services could be provided to the individual systems by a multinational institution specializing in housing. Finally ADELATEC concluded that initially the proposed regional bank should seek financial and technical assistance from AID and I.D.B. In November 1975, in Caracas, Venezuela, the Inter-American Savings and Loan Bank (BIAPE) was formed by the savings and loan systemsof Latin America.

BIAPE was created to establish an international market for mortgage and housing loans as well as an international secondary mortgage market. A secondary mortgage market is designed to increase liquidity to the primary housing market through the process of selecting, purchasing, packaging, and selling of mortgage instruments to the investing public.

BIAPE is a privately-owned investment bank specializing in housing and housing finance on an international basis. It is empowered by its bylaws to borrow, lend, and guaranty loans to its members for the expressed purpose of facilitating their housing finance operations. The following types of institutions are eligible for membership in BIAPE: a) Central savings and loan Banks from individual countries; b) Individual savings and loan associations; c) Leagues, Federations, or chambers representing private savings and loan associations; d) Public and private entities and institutions involved in housing programs where savings and loan systems have yet to be established, as well as national mortgage insurance companies such as Federal Housing Administration, Guatemala; and e) International and national organizations involved in helping the American countries in the development process such as the United Nations, A.I.D., and the Inter-American Savings and Loan Union.

BIAPE's basic objectives include:

a) To attract short, medium and long term resources and to lend such resources to its members in accordance with their needs;

b) To act as financial broker for its members;

c) To act as a depository, on a short term basis, of the excess liquidity of its members;

d) To guaranty certain financial operations of its members;

e) To conduct studies of common interest; and

f) To provide technical assistance to its members in the preparation of projects, management of associations, and training of personnel.

The problem now confronted by BIAPE is the need for resources, in addition to man capital contributions, to meet the demand for assistance from its members.

BIAPE has received already loan requests for $35 million and expects the requests will increaseto a total of $50 million by the end of 1976--BIAPE needs additional capital resources to meet the requests for long term financing and to generate income to cover operating costs. Until BIAPE establishes itself as an institution capable of handling large mortgage finance operations, it will have difficulty in raising additional resources in the international market.

BIAPE also needs to start lending operations to cover administrative costs that are now being covered by interest earnings on the capital contributions deposited with Venezuelan banks. The lending operations should provide BIAPE with the largest spread possible thus allowing BIAPE to cover operations costs and to establish the necessary reserves.

In requesting the $55 million A.I.D. loans ($30 million Housing Guaranty and $25 million Development Loan) BIAPE is seeking to solve the above problems.

B. Purpose of the Program

The A.I.D. loans will increase the image and prestige of BIAPE by indicating to the international financial community that a major international lending institution considers the Bank viable. This indication of financial soundness will permit BIAPE to compete more effectively in raising capital in international money marktes. CABEI is a successful example of a similar project financed, in part, through A.I.D. loans.

BIAPE is at the same stage CABEI was when it started operations and A.I.D. loans helped CABEI in developing a portfolio that could be used in the international market as evidence of sound financial activity deserving additional support.

The $25 million Development Loan at a 5 percent interest rate, and the $30 million Housing Guaranty Loan at 9½ percent together will cost BIAPE 7¼ percent. Assuming an effective yield on mortgage investments of 10 percent - 12 percent BIAPE will realize an income of $1.5 million to $2.6 million per year.

BIAPE will use the A.I.D. loans to purchase mortgages related to houses affordable by families below the median income in urban areas and to finance shelter programs directed to the improvement of the urban poor such as sites and services and slum up-grading. The provision of the A.I.D. loans will motivate BIAPE to work in the low income area with the knowledge that adequate margins are available for the institution.

C. Activities of Other Donors

In addition to the combined A.I.D. loans BIAPE has requested a loan from the Inter-American Development Bank which has recently completed its field work for a local currency loan equivalent to $15 million. The Government of Venezuela is also considering a $25 million loan to BIAPE. BIAPE has also secured a short term line of credit with two New York Banks for a total of $2 million.

D. Major Intended Direct and Indirect Benefits

The primary direct benefits to be derived from BIAPE's operation are as follows: a) Increase the supply of low cost housing for those families in low income categories; b) accelerate the growth of individual savings and loan systems, resulting in an increased supply of housing; c) develop not only national secondary mortgage market operation, but develop an international secondary mortgage market operation, this too will provide financing for a larger volume of housing; d) the creation of new savings and loan systems; e) provide short term loans to savings and loan systems to satisfy temporary liquidity requirements.

II. Financial Requirements and Plan

When BIAPE was established in November 1975, it had a capital authorization of $100 million. Paid in capital is $9.1 million and callable capital is $23 million. Initial subscriptions and capital contributions came from the following countries: Honduras, El Salvador, Ecuador, Dominican Republic, Costa Rica, Bolivia Nicaragua, the Inter-American Savings and Loan Union, Chile, Venezuela, Brazil and the United States. Legislation is currently before the United States Congress to permit individual savings and loan associations to invest up to 1 percent of their assets in the share capital or capital reserves of BIAPE.

Additional sources of funds as indicated in the table below are expected to include a $25 million loan from the Government of Venezuela, a $15 million local currency loan from the Inter-American Development Bank (IDB) and two $1 million lines of credit from two New York Banks. The A.I.D. loan request is for $25 million concessional loan (40 years 5 percent interest) and $30 million Housing Guaranty loan.

BIAPE has now request for assistance for $35 million and by the end of 1976 such requests will be increased to $50 million. BIAPE estimates requests for $70 million in CY 1977 and $80 million in CY 1978. The capital and loan resources outlined above are not sufficient to meet the estimated demand through 1978. BIAPE will have to seek additional resources in the international market.

The AID loans will allow BIAPE to generate a large portfolio payable in U.S. dollars that can be used in support of the secondary mortgage operations of BIAPE. The portfolio generated by BIAPE with the IDB local currency loan will not provide BIAPE with a portfolio that can be used to seek additional resources in the international secondary mortgage market.

Source and Application of Funds
(millions of dollars)

Established		
Paid in Capital		$ 9.1
Line of Credit--New York Banks		2.0
	Total	11.1
Anticipated		
New Capital Subscriptions (including private U.S.)		50
Venezuela Loan		25
Inter-American Development Bank Loans		15
Agency for International Development Loans		55
Mortgage backed international secondary markets (beginning 1979)		50
	TOTAL	$ 195
Estimated Loan Requests		
1976		$ 50 million
1977		70 million
1978		80 million
	TOTAL	200 million

III. Policy or Program Issues

The proposed program is consistent with A.I.D. Shelter Sector Policy in that it will develop an institution which will substantially increase resource flows to the shelter target income group.

At this point the bank's operations, capital accumulation, and relending policies are in formation. SER/H is providing consultants on fiscal policy. It is assumed that policies and procedures finally adopted will fully support proposed program objectives. These questions will be more fully explored in the PRP.