



**AGENCY FOR
INTERNATIONAL
DEVELOPMENT**

ANNUAL BUDGET SUBMISSION

FY 1981

DEVELOPMENT SUPPORT BUREAU

OFFICE OF URBAN DEVELOPMENT

**DEPARTMENT
OF
STATE**



MAY 1979

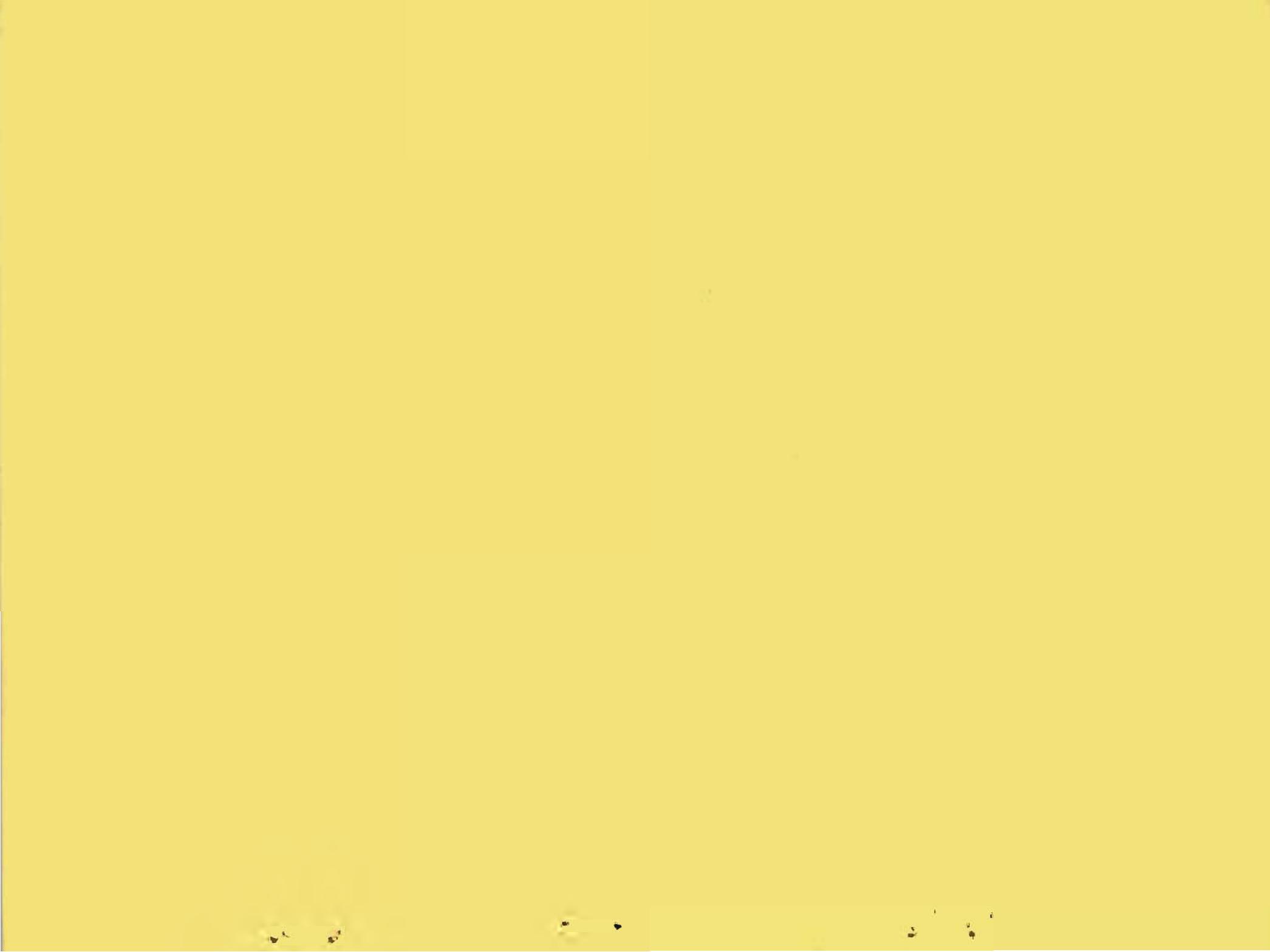


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DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

Bureau for Development Support
Office of Urban Development

ANNUAL BUDGET SUBMISSION, FY 1981

The Annual Budget Submission (ABS) for Fiscal Year 1981 of the Office of Urban Development (DS/UD) is based on a recognition of the continuing and quickening rate of urbanization in developing countries. The nature and consequences of that process have been delineated in DS/UD's Central Program Strategy Statement: FY 1980-1985 (CPSS), a copy of which is appended to this Submission. It is in consideration of these factors that DS/UD proposes in FY 1981 to continue and expand Agency efforts to help developing countries deal more effectively with the processes of urbanization and development.

New Emphasis. This ABS gives greater emphasis to increasing the capability of the urban poor to improve the quality of their lives and to enhancing the competence of urban places to provide the essential services needed to meet basic human needs. It contrasts with DS/UD's program to date, which has emphasized urban-rural relationships and the ways in which urban functions and places can support rural and regional development programs. This change in emphasis is responsive not only to growing unmet need in urban areas but also to increasing recognition in Agency programming of the major and significant role which urban centers play in rural, regional, and national development. Thus, the development objectives in the CPSS begin with a stronger and more assertive Agency policy and program in urban and regional development and concomitant regional bureau strategies and greater technical capability in regional bureaus and in field missions to implement that policy and program.

In order to attain those objectives, the specifics of the program in FY 1981 are concerned with

- creating employment and income-producing opportunities for the urban poor,
- improving local government financial and other resources and management capability,

- developing more innovative approaches to the delivery of essential services, and
- increasing the understanding and utilization of the dynamic linkages between the urbanization process and some of the Agency's special concerns, such as energy, environment, fertility, population, resource conservation, and women in development.

Minimum and Current Levels. The suggested budget (\$1.5 m.), which is substantially below that proposed for FY 1980 (\$2.5 m.), is the same at the Minimum and the Current Levels; therefore, the proposed program at these levels is the same. (See Table V.) Forty percent of the budget (\$0.6 m.) is devoted to addressing the problems of employment, including the extension of central support to field missions and other units in the Agency in their efforts along these lines. About 23% of the budget (\$0.35 m.) continues activities which are designed to increase efficiency and equity in the delivery of essential services. Twenty percent (20%) or \$0.3 m. is intended to help create and extend support services in urban and regional analysis, planning, and development to field missions as they increase their activities in these areas. Thirteen percent (\$0.2 m.) will be used to improve the management of urban centers and the participation of the poor in the decisions which affect their lives. Finally, about 3% (\$0.05 m.) will provide for the evaluation and premature wrap-up of the series of field demonstrations of the Rural Demand for Urban Service Systems project.

AAPL. The budget at the Approved Agency Planning Level (AAPL) is \$2.5 m. In addition to the proposed activities at the Minimum and Current Levels, this budget level provides for assisting with another field demonstration of the Rural Demand for Urban Service Systems project and for a larger effort in urban management and participation. It provides also for initiatory activities which are designed to understand and utilize the relationships between the process of urbanization and population, migration, fertility, and resource conservation, as well as the role of women in the urban economy. These initiatives are to be built on the results of a number of small research and other activities which will have been underway for several years.

This portfolio of projects, coupled with a continuing and active set of small research activities, will continue to support the nascent efforts of field missions in urban and regional analysis and planning, will help

prepare the Agency to implement a more assertive policy and program in urban and regional development, and will maintain DS/UD's probing and testing posture and pioneering activities in this field.

With the AAPL budget, therefore, the categories and levels of program activities will be as follows:

Urbanization and Agency Special Concerns	\$0.65 m.	26%
Employment	\$0.6 m.	24%
Urban and Regional Analysis and Planning	\$0.6 m.	24%
Delivery of Essential Services	\$0.35 m.	14%
Participation in Urban Management	\$0.3 m.	12%

As was noted earlier, the proposed projects in the FY 1981 program will be supplemented by a number of related small research activities; among them are the following: an assessment of global patterns of urban and rural poverty, an analysis of the structure and functioning of small towns and urban centers, a study of the impact of tax exemptions, development of shortcut techniques for measuring the multiplier effects of regional development projects, and identification of urban and urban-related socio-economic progress indicators. Done for rural areas.

Central to aid programs. Key to simpl. + decent. strategies. We know rel. little.

Compassion urban & rural poverty, not other than income. SOTA + Eval of regional program

Personnel. Table V and Attachment C suggest a beginning effort in FY 1981 to strengthen personnel resources by the addition of two staff members, one professional and one secretarial. This will make it possible to be less tentative in initiating the suggested new activities and will lessen the negative consequences of "straight lining" or, worse still, of reducing the funding from that of the previous year. This beginning effort also will be moving towards achieving the projections for FY 1985 of six professional and three secretarial staff members and a program budget of \$4.4 m., as noted in Attachment C.

A ten percent reduction in the current total staff of seven people (six direct-hire and one IPA appointee) would be the loss of seven-tenths of a person (six-tenths excluding the IPA appointee). This would mean effectively a 20%-25% reduction in the professional staff or a 50% reduction in the secretarial-clerical staff. The two-person secretarial staff serves five professional staff members and two or three Graduate Work/Study students who are treated and who function as staff members. In addition, the Office Director, unlike his peers in DSB, never has had the fulltime services of a secretary. Short of dismantling the Office, therefore, a reduction in the secretarial-clerical staff is not really an option.

DS/UD's program is divided into four clusters of activities, each one of which is the responsibility of one professional staff member, including the Deputy Director. At the same time important contributions are made by all professional staff members to the design and implementation of each cluster of activities. Therefore, a reduction in the professional staff would mean the elimination of one of the clusters and the loss of assistance to the remaining clusters. It would mean also a reduced capacity to respond to field mission and other Agency requests for technical support, to liaise with other units in the Agency and with relevant organizations outside of the Agency, and to fulfill DS/UD's role of keeping the Agency abreast of the urban aspects of the development process.

A fuller explanation of the rationale for these activities and of the problems they seek to address is contained in the accompanying CPSS and project activity data sheets.

Attachments:

A/S

TABLE V - FY 1981 PROPOSED PROGRAM RANKING

RANK	DECISION PACKAGES/PROGRAM ACTIVITY DESCRIPTION	TERM/ NEW/ CONT.	LOAN/ GRANT	APPROP. ACCT.	PROGRAM FUNDING (\$000)		WORKFORCE (Number of Positions)			
					INCR	CUM	USDH		FNDH	
							INCR	CUM	INCR	CUM
	<u>DECISION PACKAGE - BASE</u>									
	0058 Land Use Programming	Cont.	Grant	SD	0	0	2	2	0	0
	0210 Urban Functions in Rural Development	Cont.	Grant	SD	0	0	0	2	0	0
	1092 Urban and Regional Analysis	Cont.	Grant	SD	0	0	1	3	0	0
	1288 Rural Demand for Urban Service Systems	Cont.	Grant	SD	0	0	1	4	0	0
	1090 Small Enterprise Approaches	Cont.	Grant	SD	0	0	2	6	0	0
	0007 Integrated Improvement Program	Cont.	Grant	SD	0	0	0	6	0	0
	1110 Urban Financial Management	Cont.	Grant	SD	0	0	1	7	0	0
	5405 Central Support for Urban Employment	Cont.	Grant	SD	0	0	0	7	0	0
	<u>DECISION PACKAGE - MINIMUM/CURRENT</u>									
1	5405 Central Support for Urban Development	Cont.	Grant	SD	200	200	0	7	0	0
2	5411 Support Services for Urban/Reg. Devel.	New	Grant	SD	300	500	1	8	0	0
3	0007 Integrated Improvement Program	Cont.	Grant	SD	350	850	0	8	0	0
4	1090 Small Enterprise Approaches	Cont.	Grant	SD	400	1250	0	8	0	0
5	1228 Rural Demand for Urban Service Systems	Cont.	Grant	SD	50	1300	0	8	0	0
6	5400 Citizen Participation in Urban Management	New	Grant	SD	200	1500	0	8	0	0
	<u>DECISION PACKAGE - AAPL</u>									
7	1228 Rural Demand for Urban Service Systems	Cont.	Grant	SD	250	1750	0	8	0	0
8	5412 Population, Migration, Fertility in UD	Cont.	Grant	SD	300	2050	1	9	0	0
9	5402 Resource Conserving Urbanism	New	Grant	SD	200	2250	0	9	0	0
10	5413 Women's Participation in the Urban Economy	New	Grant	SD	150	2400	0	9	0	0
11	5400 Citizen Participation in Urban Management	New	Grant	SD	100	2500	0	9	0	0

TABLE III - PROJECT OBLIGATIONS BY APPROPRIATION ACCOUNT
FY 1979 TO FY 1981 (\$ THOUSANDS)

OFFICE: DS/UD

APPROPRIATION ACCOUNT - SD

PROJECT#	PROJECT TITLE	FY 1979	FY 1980	FY-81 MINIMUM	FY-81 CURRENT	FY-81 AAPL	
912-0007.01	IMPROVEMENT PGM: URBAN POOR (DS/UD)	\$695	\$1,600	\$350	<i>P</i> \$350	\$350	<i>P</i>
931-0058.	LAND USE PROGRAMMING	\$40	\$150	\$0	\$0	\$0	
931-0210.	URBAN FUNCTIONS IN RURAL DEVELOPMENT	\$0	\$200	\$0	\$0	\$0	
✓ 931-1090.	SMALL ENTERPRISE APPROCHES TO EMPLOYMENT	\$495	\$100	\$400	<i>M</i> \$400	\$400	<i>M</i>
931-1092.	URBAN/REGIONAL ANALYSIS	\$200	\$0	\$0	\$0	\$0	
931-1110.	URBAN FINANCIAL MANAGEMENT	\$170	\$0	\$0	\$0	\$0	
931-1228.	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	\$400	\$430	\$50	\$50	\$300	<i>M</i>
931-1331.	REGIONAL TECHNOLOGICAL OPPORTUNITIES	\$150	\$0	\$0	\$0	\$0	
✓ 936-5400.	CITIZEN PARTICIPATION IN URBAN MNGNT	\$0	\$0	\$200	\$200	\$300	<i>B</i>
✓ 936-5402.	RESOURCE CONSERVING URBANISM	\$0	\$0	\$0	\$0	\$200	<i>P</i>
936-5405.	CENT.SUPPORT FOR URBAN EMPL.PROJ.IMPL.	\$50	\$50	\$200	\$200	\$200	<i>M</i>
936-5407.	OTHER PROJECT RELATED ACTIVITIES	\$0	\$0	\$0	\$0	\$0	
936-5411.	SUPPORT SERVICES FOR URBAN/REG DEVEL.	\$0	\$0	\$300	\$300	\$300	<i>M</i>
✓ 936-5412.	POPULATION MIGRATION FERTILITY IN U D	\$0	\$0	\$0	\$0	\$300	<i>B</i>
✓ 936-5413.	WOMEN'S PARTICIPATION IN THE URBAN ECON.	\$0	\$0	\$0	\$0	\$150	<i>B</i>
TOTALS FOR SD		\$2,200	\$2,530	\$1,500	\$1,500	\$2,500	
* * OFFICE TOTAL:		\$2,200	\$2,530	\$1,500	\$1,500	\$2,500	

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M = 1,200
B = 1,750
P = 550

SUMMARY FY 1979 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS)

OFFICE: 031 OFC. OF URBAN DEVELOPMENT

B SUMMARY FY 79 05/06/79

DIVISION	TOTAL STAFF	FY 79 ABS-OYB \$000	FIELD SUPPORT	TECHNICAL REPRESENTATION	ADMINISTRATION	CLERICAL SUPPORT	PROGRAM MANAGEMENT	TOTAL
DS/UD URBAN DEVELOPMENT	7.00	2,200	6.75	2.75	5.75	24.00	44.75	84.00
TOTAL	7.00	2,200	6.75	2.75	5.75	24.00	44.75	84.00

SUMMARY FY 1980 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS)

OFFICE: 031 OFC. OF URBAN DEVELOPMENT

B SUMMARY FY 80 05/06/79

DIVISION	TOTAL STAFF	FY 80 ABSCPRED \$000	FIELD SUPPORT	TECHNICAL REPRESENTATION	ADMINISTRATION	CLERICAL SUPPORT	PROGRAM MANAGEMENT	TOTAL
DS/UD URBAN DEVELOPMENT	7.00	2,530	10.50	2.00	4.75	24.00	42.75	84.00
TOTAL	7.00	2,530	10.50	2.00	4.75	24.00	42.75	84.00

SUMMARY FY 1981 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS)

OFFICE: OFC. OF URBAN DEVELOPMENT

ATTACHMENT B-2 05/06/79

DIVISION	TOTAL STAFF	BGT INCR \$000	PROJ AGGR \$000	FIELD SUPPORT	TECHNICAL REPRESENTATION	ADMINISTRATION	CLERICAL SUPPORT	PROGRAM MANAGEMENT	TOTAL
BASE DS/UD URBAN DEVELOPMENT	8.00			9.50	3.50	4.50	36.00	42.50	96.00
TOTAL BASE	8.00			9.50	3.50	4.50	36.00	42.50	96.00

SUMMARY FY 1981 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS)

OFFICE: OFC. OF URBAN DEVELOPMENT

ATTACHMENT B-2 05/06/79

DIVISION	TOTAL STAFF	BGT INCR \$000	PROJ AGGR \$000	FIELD SUPPORT	TECHNICAL REPRESENTATION	ADMINISTRATION	CLERICAL SUPPORT	PROGRAM MANAGEMENT	TOTAL

MINIMUM									
DS/UD URBAN DEVELOPMENT	8.00	1,500	1,500	9.75	3.00	4.50	36.00	42.75	96.00

TOTAL MINIMUM	8.00	1,500	1,500	9.75	3.00	4.50	36.00	42.75	96.00

CURRENT									
DS/UD URBAN DEVELOPMENT	8.00		1,500	9.75	3.00	4.50	36.00	42.75	96.00

TOTAL CURRENT	8.00		1,500	9.75	3.00	4.50	36.00	42.75	96.00

AAPL									
DS/UD URBAN DEVELOPMENT	9.00	1,000	2,500	11.50	2.75	4.75	36.00	53.00	108.00

TOTAL AAPL	9.00	1,000	2,500	11.50	2.75	4.75	36.00	53.00	108.00

FY 1979 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS AND \$000 DOLLARS)

DECISION UNIT#	0310DS/UD	URBAN DEVELOPMENT	DEP	UR	FIN	LAB	SEC	B REPORT FY 79			05/05/79	
RANK	WORK PROJECT	ABS-DYB	DIR	PLNR	ECON	ECON	CLERK				TOTAL	RECNO
CATEGORIES	TITLE	\$000										
	FIELD SUPPORT											603
	TECHNICAL REPRESENTATION		2.00	2.00	0.75	0.50	1.50	0.00			6.75	268
	ADMINISTRATION		0.50	0.50	0.75	0.50	0.50	0.00			2.75	603
	CLERICAL SUPPORT		4.00	0.75	0.25	0.25	0.50	0.00			5.75	266
	PROGRAM MANAGEMENT		0.00	0.00	0.00	0.00	0.00	24.00			24.00	603
			5.50	8.75	10.25	10.75	9.50	0.00	0.00	0.00	44.75	296
												505
												265
936-1400	PLANNING & STRATEGIZING		(1.00)	(0.75)	(0.50)	(0.25)	(0.50)	()	()	()	(3.00)	2407
936-1402	MANAGEMENT IN OTHER DIVISIONS		(0.25)	()	()	()	(0.25)	()	()	()	(0.50)	2409
936-1403	GENERAL PROGRAM SUPPORT		(0.50)	(0.50)	()	(0.25)	()	()	()	()	(1.25)	2410
936-1404	ABS AND CP PREPARATION		(0.75)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	()	(2.00)	2411
931-1331	REGIONAL TECHNOLOGICAL OPPORTUNITIES	150	(0.25)	()	(1.00)	()	()	()	()	()	(1.25)	2206
931-0058	LAND USE PROGRAMMING	40	(0.25)	(0.50)	(1.00)	()	()	()	()	()	(1.75)	269
931-0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT		(0.25)	(0.50)	(1.00)	()	()	()	()	()	(1.75)	603
931-1092	URBAN/REGIONAL ANALYSIS	200	(0.25)	(1.00)	(0.50)	()	()	()	()	()	(1.75)	271
931-1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	400	(0.25)	(2.00)	(1.50)	()	()	()	()	()	(3.75)	272

FY 1979 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS AND \$000 DOLLARS)

DECISION RANK	UNIT# WORK CATEGORIES	0310DS/UD PROJECT TITLE	URBAN DEVELOPMENT								B REPORT FY 79			05/05/79		
			ABS-0YB \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLERK	TOTAL	RECNO					
931-1090		SMALL ENTERPRISE APPROCHES TO EMPLOYMENT	495	(0.25)	()	()	()	()	(6.00)	()	()	()	()	()	(6.25)	274
936-5405		CENT.SUPPORT FOR URBAN EMPL.PROJ.IMP L.	50	()	()	()	()	()	(2.00)	()	()	()	()	()	(2.00)	275
931-1110		URBAN FINANCIAL MANAGEMENT	170	(0.50)	(0.50)	()	()	(8.50)	()	()	()	()	()	()	(9.50)	276
912-000701		IMPROVEMENT PGM: URBAN POOR (DS/UD)	695	(0.50)	(1.25)	(3.75)	(0.50)	(0.50)	()	()	()	()	()	()	(6.50)	277
936-5402		RESOURCE CONSERVING URBANISM		()	(0.75)	(0.25)	()	()	()	()	()	()	()	()	(1.00)	603 281
936-5407		OTHER PROJECT RELATED ACTIVITIES		(0.50)	(0.50)	(0.50)	(1.00)	()	()	()	()	()	()	()	(2.50)	603 278
TOTAL			2,200	12.00	12.00	12.00	12.00	12.00	24.00	0.00	0.00	0.00	0.00	84.00		

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FY 1980 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS AND \$000 DOLLARS)

DECISION RANK	UNIT#	WORK PROJECT CATEGORIES TITLE	URBAN DEVELOPMENT ABSPRED \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLK	B REPORT FY 80				05/05/79 TOTAL	RECNO
		FIELD SUPPORT		2.00	2.00	2.00	1.50	3.00						10.50	518
		TECHNICAL REPRESENTATION		0.50	0.50	0.50	0.25	0.25						2.00	1583
		ADMINISTRATION		3.00	0.75	0.25	0.50	0.25						4.75	518
		CLERICAL SUPPORT													518
		PROGRAM MANAGEMENT							24.00					24.00	1581
				6.50	8.75	9.25	9.75	8.50	0.00	0.00	0.00	0.00		42.75	505
936-1400		PLANNING & STRATEGIZING	(1.00)	(0.75)	(0.25)	(0.75)	(0.50)	()	()	()	()	()	()	(3.25)	2412
936-1402		MANAGEMENT IN OTHER DIVISIONS	()	()	()	()	(0.25)	()	()	()	()	()	()	(0.25)	2414
936-1403		GENERAL PROGRAM SUPPORT	(0.75)	(0.75)	(0.25)	(0.25)	(0.25)	()	()	()	()	()	()	(2.25)	2415
936-1404		ABS AND CP PREPARATION	(0.75)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	()	()	()	()	(2.00)	2416
931-0058		LAND USE PROGRAMMING	150	(0.50)	(0.50)	(1.50)	()	()	()	()	()	()	()	(2.50)	1568
931-0210		URBAN FUNCTIONS IN RURAL DEVELOPMENT	200	(0.50)	(0.50)	(1.50)	()	()	()	()	()	()	()	(2.50)	1569
931-1092		URBAN/REGIONAL ANALYSIS	(0.25)	(0.25)	(0.50)	()	()	()	()	()	()	()	()	(1.00)	1570
931-1228		RURAL DEMAND FOR URBAN SERVICE SYSTEMS	430	(0.25)	(1.75)	(2.00)	()	()	()	()	()	()	()	(4.00)	1571
931-1090		SMALL ENTERPRISE APPROCHES TO EMPLOYMENT	100	(0.50)	()	()	()	(4.00)	()	()	()	()	()	(4.50)	1573

FY 1980 WORKFORCE ALLOCATION TABLE
(IN WORK-MONTHS AND \$000 DOLLARS)

DECISION UNIT#	0310DS/UD	URBAN DEVELOPMENT									B REPORT FY 80			05/05/79
RANK	WORK PROJECT	ABSPRED	DIR	DEP	UR	FIN	LAB	SEC				TOTAL	RECNO	
	CATEGORIES TITLE	\$000		DIR	PLNR	ECON	ECON	CLK						
936-5405	CENT.SUPPORT FOR URBAN EMPL.PROJ.IMP L.	50	(0.25)	()	()	()	(2.00)	()	()	()	()	()	(2.25)	1572
931-1110	URBAN FINANCIAL MANAGEMENT		(0.25)	(0.25)	()	(6.00)	()	()	()	()	()	()	(6.50)	1576
912-000701	IMPROVEMENT PGM; URBAN POOR (DS/UD)	1,600	(0.75)	(2.00)	(2.25)	(2.00)	(0.75)	()	()	()	()	()	(7.75)	1575
936-5402	RESOURCE CONSERVING URBANISM		(0.25)	(0.75)	(0.25)	()	()	()	()	()	()	()	(1.25)	1574
936-5407	OTHER PROJECT RELATED ACTIVITIES		(0.50)	(0.75)	(0.50)	(0.50)	(0.50)	()	()	()	()	()	(2.75)	1579
TOTAL		2,530	12.00	12.00	12.00	12.00	12.00	24.00	0.00	0.00	0.00	84.00		

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FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO

BASE	FIELD SUPPORT												
	TECHNICAL REPRESENTATION				2.00	2.00	2.00	1.50	2.00	0.00		9.50	16000
	ADMINISTRATION				0.75	0.75	0.75	0.50	0.75			3.50	16001
	CLERICAL SUPPORT				3.00	0.75	0.25	0.25	0.25			4.50	16002
	PROGRAM MANAGEMENT									36.00		36.00	16003
					6.25	8.50	9.00	9.75	9.00	0.00	0.00	42.50	16004
936-1400	PLANNING & STRATEGIZING		0	(1.00)	(0.75)	(0.50)	(0.50)	(0.50)	()	()	()	(3.25)	16005
936-1402	MANAGEMENT IN OTHER DIVISIONS		0	(0.50)	(0.25)	()	()	(0.25)	()	()	()	(1.00)	16006
936-1403	GENERAL PROGRAM SUPPORT		0	(0.75)	(0.50)	(0.50)	(0.50)	(0.50)	()	()	()	(2.75)	16007
936-1404	ABS AND CP PREPARATION		0	(0.75)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	()	(2.00)	16008
931-0058	LAND USE PROGRAMMING		0	()	()	(0.50)	()	()	()	()	()	(0.50)	16009
931-0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT		0	()	(0.25)	(0.25)	()	()	()	()	()	(0.50)	16011
931-1092	URBAN/REGIONAL ANALYSIS		0	()	(0.50)	(0.25)	()	()	()	()	()	(0.75)	16010
931-1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS		0	(0.25)	(1.50)	(2.00)	()	()	()	()	()	(3.75)	16012

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO	
931-1090		SMALL ENTERPRISE APPROCHES TO EMPLOYMENT	0	(0.25)	()	()	()	(1.00)	(3.25)	()	()	()	(4.50)	16013
912-000701		IMPROVEMENT PGM; URBAN POOR (DS/UD)	0	(0.75)	(1.00)	(2.75)	(1.00)	(0.25)	()	()	()	(5.75)	16016	
931-1110		URBAN FINANCIAL MANAGEMENT	0	(0.25)	()	()	(3.50)	()	()	()	()	(3.75)	16015	
936-5405		CENT.SUPPORT FOR URBAN EMPL.PROJ.IMPL.	0	(0.25)	()	()	(0.50)	(3.25)	()	()	()	(4.00)	16014	
936-5400		CITIZEN PARTICIPATION IN URBAN MNGNT	0	()	()	()	(0.75)	()	()	()	()	(0.75)	16023	
936-5407		OTHER PROJECT RELATED ACTIVITIES	0	(0.25)	(0.25)	(0.25)	(0.25)	(0.25)	()	()	()	(1.25)	16019	
936-5411		SUPPORT SERVICES FOR URBAN/REG DEVEL.	0	(0.50)	(0.75)	(1.00)	(1.00)	(0.25)	()	()	()	(3.50)	16021	
936-5413		WOMEN'S PARTICIPATION IN THE URBAN ECON.	0	(0.25)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	()	(1.50)	16022	
936-5412		POPULATION MIGRATION FERTILITY IN U D	0	(0.25)	(0.75)	(0.25)	()	()	()	()	()	(1.25)	16020	
936-5402		RESOURCE CONSERVING URBANISM	0	(0.25)	(1.00)	(0.25)	(0.25)	()	()	()	()	(1.75)	16017	
SUBTOTAL BASE			0	0	12.00	12.00	12.00	12.00	12.00	36.00	0.00	0.00	0.00	96.00

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO

MINIMUM	FIELD SUPPORT												
	TECHNICAL REPRESENTATION				2.00	2.00	2.00	1.75	2.00			9.75	16024
	ADMINISTRATION				0.75	0.75	0.50	0.50	0.50			3.00	16025
	CLERICAL SUPPORT				3.00	0.75	0.25	0.25	0.25			4.50	16026
	PROGRAM MANAGEMENT									36.00		36.00	16027
					6.25	8.50	9.25	9.50	9.25	0.00	0.00	0.00	506
										0.00	0.00	42.75	16028
936-1400	PLANNING & STRATEGIZING		0	(1.00)	(0.75)	(0.25)	(0.50)	(0.50)	()	()	()	(3.00)	16029
936-1402	MANAGEMENT IN OTHER DIVISIONS		0	()	()	(0.25)	()	(0.25)	()	()	()	(0.50)	16030
936-1403	GENERAL PROGRAM SUPPORT		0	(0.50)	(0.25)	(0.25)	(0.25)	(0.25)	()	()	()	(1.50)	16031
936-1404	ABS AND CP PREPARATION		0	(0.75)	(0.50)	(0.25)	(0.50)	(0.25)	()	()	()	(2.25)	16032
931-0058	LAND USE PROGRAMMING		0	()	()	(0.50)	()	()	()	()	()	(0.50)	16033
931-0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT		0	()	(0.50)	()	()	()	()	()	()	(0.50)	16034
931-1092	URBAN/REGIONAL ANALYSIS		0	()	(0.50)	()	()	()	()	()	()	(0.50)	16035
931-1110	URBAN FINANCIAL MANAGEMENT		0	(0.25)	()	()	(3.50)	()	()	()	()	(3.75)	16039

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO
936-5402	RESOURCE CONSERVING URBANISM			0	(0.25)	(0.75)	(0.50)	(0.50)	()	()	()	(2.00)	16041
936-5407	OTHER PROJECT RELATED ACTIVITIES			0	(0.25)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	(1.50)	16043
936-5413	WOMEN'S PARTICIPATION IN THE URBAN ECON.			0	(0.25)	(0.25)	(0.25)	(0.25)	(0.50)	()	()	(1.50)	16046
936-5412	POPULATION MIGRATION FERTILITY IN U D			0	(0.50)	(0.75)	()	()	()	()	()	(1.25)	16044
936-5405	CENT.SUPPORT FOR URBAN EMPL.PROJ.IMPL.		200	200	(0.25)	()	()	()	(3.50)	()	()	(3.75)	16038
936-5411	SUPPORT SERVICES FOR URBAN/REG DEVEL.		300	300	(0.50)	(1.25)	(2.00)	(0.50)	(0.25)	()	()	(4.50)	16045
912-000701	IMPROVEMENT PGM: URBAN POOR (DS/UD)		350	350	(0.75)	(1.25)	(2.75)	(1.25)	()	()	()	(6.00)	16040
931-1090	SMALL ENTERPRISE APPROCHES TO EMPLOYMENT		400	400	(0.25)	()	()	(0.50)	(3.50)	()	()	(4.25)	16037
931-1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS		50	50	(0.25)	(1.25)	(2.00)	()	()	()	()	(3.50)	16036
936-5400	CITIZEN PARTICIPATION IN URBAN MNGNT		200	200	(0.50)	()	()	(1.50)	()	()	()	(2.00)	16047
SUBTOTAL MINIMUM			1,500	1,500	12.00	12.00	12.00	12.00	12.00	36.00	0.00	0.00	96.00

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO	
CURRENT														
	FIELD SUPPORT													
	TECHNICAL REPRESENTATION				2.00	2.00	2.00	1.75	2.00			9.75	16048	
	ADMINISTRATION				0.75	0.75	0.50	0.50	0.50			3.00		
	CLERICAL SUPPORT				3.00	0.75	0.25	0.25	0.25			4.50	16049	
	PROGRAM MANAGEMENT									36.00		36.00	16050	
					6.25	8.50	9.25	9.50	9.25	0.00	0.00	0.00	0.00	
												42.75	16051	
936-1400	PLANNING & STRATEGIZING		0	(1.00)	(0.75)	(0.25)	(0.50)	(0.50)	()	()	()	()	(3.00)	16052
936-1402	MANAGEMENT IN OTHER DIVISIONS		0	()	()	(0.25)	()	(0.25)	()	()	()	()	(0.50)	16053
936-1403	GENERAL PROGRAM SUPPORT		0	(0.50)	(0.25)	(0.25)	(0.25)	(0.25)	()	()	()	()	(1.50)	16054
936-1404	ABS AND CP PREPARATION		0	(0.75)	(0.50)	(0.25)	(0.50)	(0.25)	()	()	()	()	(2.25)	16055
931-0058	LAND USE PROGRAMMING		0	()	()	(0.50)	()	()	()	()	()	()	(0.50)	16056
931-0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT		0	()	(0.50)	()	()	()	()	()	()	()	(0.50)	16057
931-1092	URBAN/REGIONAL ANALYSIS		0	()	(0.50)	()	()	()	()	()	()	()	(0.50)	16058
931-1110	URBAN FINANCIAL MANAGEMENT		0	(0.25)	()	()	(3.50)	()	()	()	()	()	(3.75)	16062

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO
936-5402		RESOURCE CONSERVING URBANISM		0	(0.25)	(0.75)	(0.50)	(0.50)	()	()	()	(2.00)	16064
936-5407		OTHER PROJECT RELATED ACTIVITIES		0	(0.25)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	(1.50)	16066
936-5413		WOMEN'S PARTICIPATION IN THE URBAN ECON.		0	(0.25)	(0.25)	(0.25)	(0.25)	(0.50)	()	()	(1.50)	16069
936-5412		POPULATION MIGRATION FERTILITY IN U D		0	(0.50)	(0.75)	()	()	()	()	()	(1.25)	16067
936-5405		CENT.SUPPORT FOR URBAN EMPL.PROJ.IMPL.		200	(0.25)	()	()	()	(3.50)	()	()	(3.75)	16061
936-5411		SUPPORT SERVICES FOR URBAN/REG DEVEL.		300	(0.50)	(1.25)	(2.00)	(0.50)	(0.25)	()	()	(4.50)	16068
912-000701		IMPROVEMENT PGM: URBAN POOR (DS/UD)		350	(0.75)	(1.25)	(2.75)	(1.25)	()	()	()	(6.00)	16063
931-1090		SMALL ENTERPRISE APPROCHES TO EMPLOYMENT		400	(0.25)	()	()	(0.50)	(3.50)	()	()	(4.25)	16060
931-1228		RURAL DEMAND FOR URBAN SERVICE SYSTEMS		50	(0.25)	(1.25)	(2.00)	()	()	()	()	(3.50)	16059
936-5400		CITIZEN PARTICIPATION IN URBAN MNGNT		200	(0.50)	()	()	(1.50)	()	()	()	(2.00)	16070
SUBTOTAL CURRENT			0	1,500	12.00	12.00	12.00	12.00	12.00	36.00	0.00	0.00	96.00

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO

AAPL	FIELD SUPPORT				2.00	2.00	2.00	2.00	2.00		1.50	11.50	16071
	TECHNICAL REPRESENTATION				0.50	0.50	0.50	0.25	0.25		0.75	2.75	16072
	ADMINISTRATION				3.00	0.75	0.25	0.25	0.25		0.25	4.75	16073
	CLERICAL SUPPORT									36.00		36.00	16074
	PROGRAM MANAGEMENT				6.50	8.75	9.25	9.50	9.50	0.00	9.50	53.00	16075
936-1400	PLANNING & STRATEGIZING		0	(1.00)	(0.50)	(0.50)	(0.50)	(0.50)	()	()	(0.50)	(3.50)	16076
936-1402	MANAGEMENT IN OTHER DIVISIONS		0	()	()	()	()	(0.25)	()	()	(0.25)	(0.50)	16077
936-1403	GENERAL PROGRAM SUPPORT		0	(0.75)	(0.50)	(0.25)	(0.25)	(0.25)	()	()	(0.25)	(2.25)	16078
936-1404	ABS AND CP PREPARATION		0	(0.75)	(0.25)	(0.25)	(0.25)	(0.25)	()	()	(0.25)	(2.00)	16079
931-0058	LAND USE PROGRAMMING		0	()	()	(0.75)	()	()	()	()	()	(0.75)	16080
931-0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT		0	()	()	(0.75)	()	()	()	()	()	(0.75)	16081
931-1092	URBAN/REGIONAL ANALYSIS		0	()	(0.50)	()	()	()	()	()	()	(0.50)	16082
931-1110	URBAN FINANCIAL MANAGEMENT		0	(0.50)	()	()	(3.50)	()	()	()	(0.50)	(4.50)	16086

FY 1981 WORKFORCE ALLOCATION BY ZBB FUNDING LEVEL
(IN WORK-MONTHS)

DECISION UNIT: 0310 DS/UD

URBAN DEVELOPMENT

ATTACHMENT B-1 05/06/79

RANK	WORK CATEGORIES	PROJECT TITLE	BGT INCR \$000	PROJ AGGR \$000	DIR	DEP DIR	UR PLNR	FIN ECON	LAB ECON	SEC CLKS	SOCIAL SCNTST	TOTAL	RECNO
936-5407		OTHER PROJECT RELATED ACTIVITIES		0	(0.25)	(0.25)	(0.25)	(0.25)	(0.25)	()	(0.25)	()	(1.50) 16090
936-5405		CENT.SUPPORT FOR URBAN EMPL.PROJ.IMPL.		200	(0.25)	()	()	()	(3.50)	()	()	()	(3.75) 16085
936-5411		SUPPORT SERVICES FOR URBAN/REG DEVEL.		300	(0.50)	(1.50)	(2.25)	(0.50)	(0.50)	()	(0.50)	()	(5.75) 16092
912-000701		IMPROVEMENT PGM: URBAN POOR (DS/UD)		350	(0.75)	(0.75)	(2.00)	(1.25)	(0.25)	()	(4.25)	()	(9.25) 16087
931-1090		SMALL ENTERPRISE APPROCHES TO EMPLOYMENT		400	(0.25)	()	()	(1.00)	(3.00)	()	(1.25)	()	(5.50) 16084
931-1228		RURAL DEMAND FOR URBAN SERVICE SYSTEMS	250	300	(0.25)	(1.00)	(1.25)	()	()	()	(1.00)	()	(3.50) 16083
936-5412		POPULATION MIGRATION FERTILITY IN U D	300	300	(0.25)	(1.00)	(0.75)	()	()	()	()	()	(2.00) 16091
936-5402		RESOURCE CONSERVING URBANISM	200	200	(0.25)	(2.00)	(0.25)	()	()	()	()	()	(2.50) 16088
936-5413		WOMEN'S PARTICIPATION IN THE URBAN ECON.	150	150	(0.25)	(0.50)	()	()	(0.75)	()	(0.50)	()	(2.00) 16093
936-5400		CITIZEN PARTICIPATION IN URBAN MNGNT	100	300	(0.50)	()	()	(2.00)	()	()	()	()	(2.50) 16094
SUBTOTAL APL			1,000	2,500	12.00	12.00	12.00	12.00	12.00	36.00	12.00	0.00	0.00 108.00

Title Population, Migration and Fertility in Urban Development	Number 931-5412
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BENEFICIARIES:

The end product should have applicability in developing countries in all regions. Since it is a policy tool, benefits will be indirect and could flow to either urban and rural target groups.

FY 1981 PROGRAM:

In FY 1981, phase two of the research plus the synthesis and policy document will be funded.

MAJOR OUTPUTS:CUMMULATIVE

<u>Thru FY 1979</u>		<u>Life of Project</u>	
<u>Actual</u>	<u>Per Approved PP</u>	<u>Proposed</u>	<u>Per Approved PP</u>
		Reports on research findings in several field applications.	
		Synthesis and policy Guidelines Report.	

A.I.D. FINANCED INPUTS: (\$ Thousands)

FY 1981	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>
	0	0	300
Salaries	160	Consultants	50
Travel	40	Other Costs	50

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	-	-	-	-	-
FY 1980	2.0	.5	-	-	2.5
FY 1981	1.25	.75	-	-	2.0

PROGRAM: CENTRALLY FUNDED

Project Manager: Michael Farbman

TITLE Central Support for Urban Employment		FUNDS SDA	Proposed Obligation FY 1981 200	Proposed Life of Project Cost 300	Init. Oblig. FY 79	Proposed Est. Final Oblig. FY 81	Proposed Completion Date FY 82
NUMBER 931-5405	New <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP		Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/>	Loan <input type="checkbox"/>						
	Continuing <input checked="" type="checkbox"/>		Date of Last Intensive Evaluation: None				

PURPOSE: To create for the use of field missions and regional bureaus, in response to rapidly growing demand, a centralized resource capable of assisting with design and implementation of projects that address developing countries' needs in respect of employment.

BACKGROUND AND PROGRESS TO DATE: In all but a handful of countries in which A.I.D. is working, underemployment and unemployment loom large as problems which most country development strategies are attempting to address. Increasingly, missions and regional bureaus are directing their thinking toward so-called employment generation projects, and to the extent possible are orienting other sectoral projects so as to have maximum positive employment impacts. Inasmuch as this explicit employment concern is a new focus for A.I.D. programming, central technical support capabilities are not yet well established. This project, whose purpose is to develop such central resources, was first proposed and accepted as a PID in the 1980 ABS. Due to the urgent need for its outputs, however, it was advanced for FY 1979 funding and implementation, with contractor selection taking place in late FY 1979.

HOST COUNTRY AND OTHER DONORS: Close collaboration with host country institutions has been developed and there is regular exchange of information with other donors.

BENEFICIARIES: Proximate beneficiaries will be the field missions and regional bureaus for whom this central resource is being created,

while the ultimate beneficiaries will be the developing country men and women for whom new productive employment opportunities, and the associated income, will have been generated.

FY 81 PROGRAM: Continuation of field testing of program design handbook, and incorporation of necessary revisions. Also, as part of project evaluation, determinations will be made during FY 1981 concerning appropriate follow-on activities, as indicated by an analysis of experiences of the project with assisting then-current Agency employment programming.

MAJOR OUTPUTS:

Actual	Thru FY 1979		Cumulative Life of Project	
	Per	Approved PP	Proposed	Per Approved PP

Program design handbook. Technical resources identified for use by field missions, etc. Increased use of employment generation goals in Agency program and project design.

A.I.D. FINANCED INPUTS (\$ Thousands):

(See next page)*

FY 1981	Minimum 200	Current 200	AAPL 200
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	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	To be selected.
Estimated FY 1979	50	0	XXXXXXXXXXXXXXXXXXXX	Aug 1979 - Feb 1980	
Estimated Through FY 1979	50	0	50	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980	50	75	XXXXXXXXXXXXXXXXXXXX	Mar 1980 - Sept 1980	
Estimated Through FY 1980	100	75	25	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	200	Future Yr. Obligation	Estimated Total Cost	Oct 1980 - Mar 1982	
		0	300		

Title	Central Support for Urban Employment	Number	931-5405
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TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>CONSULT.</u>	<u>RSSA</u>	<u>TOTAL</u>
FY 1979	2.0	-	-	-	2.0
FY 1980	2.25	-	-	-	2.25
FY 1981	3.75	-	-	-	3.75

*Salaries	150	Consultants	10
Travel	30	Other	10

PROGRAM: CENTRALLY FUNDED

Project Manager: William R. Miner

TITLE Support Services for Urban and Regional Development	FUNDS SDA	Proposed Obligation FY 1981 300	Proposed Life of Project Cost 1,300	Init. Oblig. FY 81	Proposed Est. Final Oblig. FY 84	Proposed Completion Date FY 85
NUMBER 931-5411	New <input checked="" type="checkbox"/> Continuing <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP	Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>			Date of Last Intensive Evaluation: N/A			

PURPOSE:

To develop a flexible and ready response capability to meet field mission and Regional Bureau demand for centrally funded and supplied assistance in urban and regional development.

BACKGROUND & PROGRESS TO DATE:

Urban and regional development is an integral part of many Agency field programs. Regional development and the interdependence between rural and urban areas, in particular, are becoming increasingly important in field mission and host country strategies and programs. Nonetheless, the number of professionals in this field in the regional bureaus and field missions literally can be counted on one hand. The Agency's small central urban and regional development staff will continue to be instrumental in developing urban and regional development activities in Agency programming, especially in connection with rural and agricultural development efforts and the treatment of poverty problems. However, missions and regional bureaus demand and require more services to probe specific problems, develop regional strategies, conduct country analyses, develop programs and projects, and assist with recruitment and training programs.

This project is designed to establish over the course of the next three to five years a central resource to meet this rising demand. It could take a number of different forms, including a mechanism for integrating urban and regional development IQG resources, establishment of a specific private institutional capacity, extension or strengthening of some existing center of expertise, etc. Since it is being developed as a support service, it will be formulated over the coming months through intensive consultation with the regional bureaus and tailored to their present and anticipated needs and to the Agency's financial capacity.

HOST COUNTRY & OTHER DONORS:BENEFICIARIES:

The service is to be sufficiently adaptable to address any selected target group, whether urban or rural poor or a more broadly defined population.

FY 1981 PROGRAM:

A resource service will be established during FY 1981. Its precise nature is to be determined in consultation with regional bureaus over the coming months.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	To be selected
Estimated FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1979				XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1980				XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	300	Future Yr. Obligation	Estimated Total Cost	Oct 1980-March 1982	
		1,000	1,300		

Title Support Services for Urban and Regional Development	Number 931-5411
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MAJOR OUTPUTS:CUMMULATIVEThru FY 1979Life of Project

<u>Actual</u>	<u>Per Approved PP</u>	<u>Proposed</u>	<u>Per Approved PP</u>
		Central resource for urban and regional develop- ment.	

*A.I.D. FINANCED INPUTS: (\$ Thousands)

	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>
FY 1981	300	300	300
Salaries	240	Consultants	20
Travel	30	Other Costs	10

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	1.0	.5	-	-	1.5
FY 1980	1.0	.5	-	-	1.5
FY 1981	3.5	2.25	-	-	5.75

PROGRAM: CENTRALLY FUNDED

Project Manager: John W. Dickey

Attachment A

TITLE Program for the Urban Poor		FUNDS SDA	Proposed Obligation FY 1981 350	Proposed Life of Project Cost 3,900	Init. Oblig. FY78	Proposed Est. Final Oblig. FY 84	Proposed Completion Date FY 85
NUMBER 912-0007.01	New <input type="checkbox"/> Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>	PRIOR REFERENCE FY 80 CP Annex V p.161	FY 81 Oblig. Auth. by PP 400	Life of Project per App. PP 2,600		Final Obligation per PP FY 82	Completion Date per PP FY 85
	Continuing <input checked="" type="checkbox"/>		Date of Last Intensive Evaluation: N/A				

PURPOSE:

To help determine and demonstrate ways in which human services for the urban poor can be made more adequate, equitable, and efficient and with more desirable socio-economic impacts.

Urban poor recipients of urban services in conjunction with HG or mission programs. Host country personnel trained to deliver services.

FY 1981 PROGRAM:

Field demonstration test in one country.

BACKGROUND & PROGRESS TO DATE:

Joint project with DS/H. That Office already has underway several field tests in conjunction with HG program. DS/UD is to finish State-of-the-Art pieces and initiate one non-HG field test this year.

MAJOR OUTPUTS:

State-of-the Art Demonstrations
Summary/Guidelines
Overall Evaluation
Multi-Media Presentation

	Thru FY 1979		CUMMULATIVE	
	Actual	Per Approved	Proposed	Per Approved PP
State-of-the Art Demonstrations	1	1	0	0
Summary/Guidelines	0	0	1	1
Overall Evaluation	0	0	1	1
Multi-Media Presentation	0	0	1	1

HOST COUNTRY & OTHER DONORS:

Demonstration field tests to be set up in a variety of countries, perhaps in conjunction with other DSB, USAID, and IFI projects. Host country to supply most of funds for actual services.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978	0	0	0		
Estimated FY 1979	695	0	XXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1979	695	150	XXXXXX	May 79 to Sept 81	
Proposed FY 1980	700	385	545	XXXXXXXXXXXXXXXXXXXX	Mathematica, Inc.
Estimated Through FY 1980	1,395	535	860	May 80 to Sept 82	Abt. Assoc.
Proposed FY 1981	350			XXXXXXXXXXXXXXXXXXXX	PADCO, Inc.
		Future Yr. Obligation	Estimated Total Cost	May 81 to Sept 83	To be selected.
		2,155	3,900		

Title Program for the Urban Poor

Number 912-0007.01

A.I.D. FINANCED INPUTS (\$ Thousand):

350	Salaries	290	Consultants	20
	Travel	30	Other Costs	10

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	2.75	3.00	0	0	5.75
FY 1980	5.25	1.75	0	0	7.00
FY 1981	4.50	1.00	0	0	5.50

	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>	<u>Total</u>
Obligation(\$)	350	0	0	350

Outputs

State-of-the-Art	0	0	0	0
Demos.	1	0	0	1
Summary/Guidelines	0	0	0	0
Overall Eval.	0	0	0	0
Multi-Media Present.	0	0	0	0

PROGRAM: CENTRALLY FUNDED

Project Manager: Michael Farbman

TITLE Small Enterprise Approaches to Employment		FUNDS SDA	Proposed Obligation FY 1981 400	Proposed Life of Project Cost 1,520	Init. Oblig. FY 78	Proposed Est. Final Oblig. FY 81	Proposed Completion Date FY 82	
NUMBER 931-1090	New <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP 100	Life of Project per App. PP 1,350		Final Obligation per PP FY 81	Completion Date per PP FY 82	
Grant <input checked="" type="checkbox"/>	Loan <input type="checkbox"/>	Continuing <input checked="" type="checkbox"/>	Date of Last Intensive Evaluation:					

PURPOSE: To expand employment opportunities for urban poor men and women by increasing the capacities of small enterprises (SSEs) to create jobs.

BACKGROUND AND PROGRESS TO DATE: Urban poor people, and particularly urban women in many areas, are primarily dependent on small and/or informal sector enterprises for their incomes. Often these enterprises, and the people whose well-being is intimately tied to their survival, must fight for their existence in an environment which is hostile in both policy and economic terms and which, into the bargain, benefits little if at all from traditional donor technical and credit assistance programs. This project is designed to enhance A.I.D.'s understanding of how it, as a donor agency with a commitment to assisting this "sector", can best improve the productivity, income, and employment-generation capabilities of small-scale enterprises. The project consists of three complementary components: (1) Evaluation - designing a methodology for accurately assessing the employment and income impacts of SSE assistance programs; (2) Housing - providing a methodology for assessing the employment implications of alternative approaches to low-cost housing construction, and guidelines for programming maximum employment generation into housing projects; (3) PISCES - research, development and field testing of cost-effective mechanisms for extending appropriate assistance to otherwise unassisted informal sector micro-enterprises.

Phase I activities are well underway and possible field demonstration sites are being identified. It is anticipated that the results

of these activities will be disseminated through workshops and seminars and that the identified mechanisms, guidelines, and techniques will begin to be tested in field demonstrations late in FY 1979 and during FY 1980 as planned.

HOST COUNTRY AND OTHER DONORS: Host country institutions are cooperating closely with A.I.D. as the project progresses. Technical consultation and information exchange with other donors continues to be excellent.

BENEFICIARIES: Poor men and women in urban areas through increased employment and income-producing opportunities, and thereby fuller participation in the benefits and responsibilities of national development.

FY 1981 PROGRAM: Continuation of field demonstrations in respect of all three components. Funding level represents essentially a catching-up necessitated by the slower-than-planned start of the project. It also reflects and is supportive of greatly increasing interest in this project on the part of field missions and regional bureaus. NB: This project is concerned as a start-to-finish package; a follow-on project on SSE employment (or increased funding under this project) subsequent to the proposed completion date is a certainty. Determination of the nature and magnitude of the follow-on effort will be considered a high priority activity during FY 1981.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978	525	0	525	XXXXXXXXXXXXXXXXXXXX	Michigan State University
Estimated FY 1979	495	700	XXXXXXXXXXXXXXXXXXXX	Oct 1978 - Oct 1980	ACCION/AITEC
Estimated Through FY 1979	1,020	700	320	XXXXXXXXXXXXXXXXXXXX	Development Alternatives, Inc.
Proposed FY 1980	100	300	XXXXXXXXXXXXXXXXXXXX	Oct 1979 - Oct 1980	Partnership for Productivity
Estimated Through FY 1980	1,120	1,000	120	XXXXXXXXXXXXXXXXXXXX	Developing World Industry and Technology, Inc.
Proposed FY 1981	400	Future Yr. Obligation	Estimated Total Cost	Oct 1980 - Sept 1982	
		0	1,520		

Title	Small Enterprise Approaches to Employment	Number	931-1090
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<u>MAJOR OUTPUTS*</u>				<u>TECHNICAL OFFICE SUPPORT (in person months):</u>						
<u>Thru FY 1979</u>		<u>Proposed</u>	<u>Cumulative Life of Project</u>		<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>CONSULT.</u>	<u>RSSA</u>	<u>TOTAL</u>
<u>Actual</u>	<u>Per Approved PP</u>			<u>Per Approved PP</u>						
<u>Evaluation Component</u>										
Contract let in March 1979.	Evaluation mechanisms. Selected field sites.	Field-tested evaluation mechanisms.	Same		FY 1979	6.25	-	-	-	6.25
					FY 1980	4.50	-	-	-	4.50
					FY 1981	5.50	-	-	-	5.50
		3-4 assessment reports of small-scale enterprises.	Same							
<u>Housing Component</u>										
6 field studies underway.	3-4 field studies underway.	Field-tested guidelines/strategy for maximizing employment benefits from HG program.	Same							
<u>PISCES Component</u>										
Analyses of outreach techniques	Same	Field-tested outreach techniques for assisting smallest enterprises.	Same							
4 selected field sites and in-depth studies.	Same									
2 workshops	1 workshop	3 workshops	2 workshops							

A.I.D. FINANCED INPUTS: (\$ Thousands)

	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>
FY 1981	400	400	400
Salaries	250	Commodities	75
Travel	50	Other Costs	25

PROGRAM: CENTRALLY FUNDED

Project Manager: John W. Dickey

Attachment A

TITLE Rural Demand for Urban Service Systems		FUNDS SDA	Proposed Obligation FY 1981 300	Proposed Life of Project Cost 2,695	Init. Oblig. FY 78	Proposed Est. Final Oblig. FY 82	Proposed Completion Date FY 83
NUMBER 931-1228	New <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP 400	Life of Project per App. PP 2,695		Final Obligation per PP FY 82	Completion Date per PP FY 83
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>	Continuing <input checked="" type="checkbox"/>	FY 80 CP Annex V, p155					
Date of Last Intensive Evaluation: N/A							

PURPOSE:

To increase local participation in planning and implementing rural and urban development programs by (1) identifying, testing, refining, and disseminating methods for obtaining information on the perceived needs and demands of rural people for urban-based services; and (2) developing, testing, revising, and disseminating the information to improve the planning process.

BACKGROUND & PROGRESS TO DATE:

Effective planning and implementation of rural and urban development programs should reflect the perceived needs and priorities expressed by potential beneficiaries. The particular concern of this project is for services, both public and private, that could be established in urban places -- villages, towns, and small cities -- in rural areas, to facilitate development in those regions. While in some countries there is attention to central planning for such services (e.g., for health clinics, schools, and market structures), there usually is no input from the potential local benefactors. DS/UD has identified several general methods for determining local perceptions of urban service needs. These methods include sample surveys, community meetings, interviews with local officials, and the like. Several of these (and perhaps combinations suitable to country and local conditions) will be tested in six field locations. The results of the background studies and field tests will be

synthesized into an information package for dissemination and further use. An agreement was entered into with the Government of Guatemala late in FY 1978 for the first field test; one additional field demonstration will be started in FY 1979, probably in Bangladesh.

HOST COUNTRY & OTHER DONORS:

Host countries to contribute four to ten professional workyears of effort to each field application. It is intended to see the involvement of other donors as project sites are identified.

BENEFICIARIES:

Farmers and other rural people; host country planners and decision makers; donors.

FY 1981 PROGRAM:

One more field demonstration will be funded, bringing the total to four. The results and evaluation of earlier and completed field demonstrations will be employed.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978	400	0	400	XXXXXXXXXXXXXXXXXXXX	
Estimated FY 1979	430	200	XXXXXXXXXXXXXXXXXXXX	Sept 79 to Sept 81	Development Group for Alternative Policies, Title XII contractor, and others to be selected.
Estimated Through FY 1979	830	200	630	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980	400	500	XXXXXXXXXXXXXXXXXXXX	Sept 80 to Sept 82	
Estimated Through FY 1980	1,230	700	530	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	300	Future Yr. Obligation	Estimated Total Cost	Sept 81 to Sept 83	
		1,165	2,695		

Title	Rural Demand for Urban Service Systems	Number	931-1228
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MAJOR OUTPUTS:

	<u>Thru FY 1979</u>		<u>CUMULATIVE</u> <u>Life of Project</u>	
	<u>Actual</u>	<u>Per Approved PP</u>	<u>Proposed</u>	<u>Per Approved PP</u>
Field Applications	2	4	4	6
Institutionalization of Improved Planning	0	1	1	1
Synthesis of Literative/ Experience	1	1	1	1
Dissemination Workshops/ Seminars	0	0	5	5

*A.I.D. FINANCED INPUTS (\$ Thousands):

300	Salaries	240	Consultants	20
	Travel	30	Other Costs	10

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	2.25	1.50	-	-	3.75
FY 1980	2.00	2.00	-	-	4.00
FY 1981	2.25	1.25	-	-	3.50

	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>	<u>Total</u>
Obligation(\$ 1000)	50	0	250	300

Outputs

Field Applications	0	0	1	1
Institutionalization	0	0	0	0
Synthesis	0	0	0	0
Workshops	1	0	0	1

PROGRAM: CENTRALLY FUNDED

Project Manager: Raymond Urquhart

Attachment A

TITLE Citizen Participation in Urban Management		FUNDS Selected Development Activities	Proposed Obligation FY 1981 300	Proposed Life of Project Cost 800	Init. Oblig. FY 81	Proposed Est. Final Oblig. FY 84	Proposed Completion Date FY 84
NUMBER 931-5400	New <input checked="" type="checkbox"/> Continuing <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP		Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>			Date of Last Intensive Evaluation: N/A				

PURPOSE:

To strengthen and develop citizen access to the processes that determine a city's quality of life and to encourage more responsive and effective local government.

HOST COUNTRY & OTHER DONORS:

Host country entities will be expected to furnish in-kind contributions for each field application. It is intended also to seek the appropriate involvement of Peace Corps volunteers in the field demonstrations.

BACKGROUND & PROGRESS TO DATE:

The legislative requirements of the FAA support the people's growing desire in developing countries for greater participation in making, implementing, and benefitting from the development decisions which affect the quality of their lives. A critical issue is the feasibility and authenticity of efforts to accommodate to this struggle for "a piece of the action." Garnering and institutionalizing citizen understanding and support are necessary concomitants of any institutional framework for facilitating efficient and equitable financial practices to support the delivery of essential public services. This project will identify mechanisms and policies required to foster broader citizen participation, understanding, and support for local government decision-making and to achieve more responsive local government. These mechanisms and policies will be tested in several field locations and the results will be made available for wider use.

BENEFICIARIES:

The urban poor in their role as beneficiaries in the targeted urban centers, government officials and personnel and other local decision-makers.

FY 1981 PROGRAM:

Field demonstrations will be funded in three geographical regions and the results are to be published and disseminated.

MAJOR OUTPUTS:

Actual Thru FY 1979 Per Approved PP

CUMULATIVE

Life of Project
Proposed Per Approved PP

Report on approaches to citizen participation.
3 field demonstrations.
Information package.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	To be selected.
Estimated FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	300	Future Yr. Obligation	Estimated Total Cost	Oct 1980 - Sept 1982	
		500	800		

Title Citizen Participation in Urban Management	Number 931-5400
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*A.I.D. FINANCED INPUTS: (\$ Thousands)

	<u>Minimum</u>	<u>Current</u>	<u>AAFL</u>
FY 1981	0	0	300
Salaries 214		Consultants 10	
Travel 60		Other Costs 16	

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	-	-	-	-	-
FY 1980	-	-	-	-	-
FY 1981	2.0	-	-	-	2.0

PROGRAM: CENTRALLY FUNDED

Project Manager: Eric Chetwynd, Jr.

TITLE Resource Conserving Urbanism.		FUNDS SDA	Proposed Obligation FY 1981 200	Proposed Life of Project Cost 1,400	Init. Oblig. FY 81	Proposed Est. Final Oblig. FY 83	Proposed Completion Date FY 83
NUMBER 931-5402	New <input checked="" type="checkbox"/> Continuing <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP		Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>		Date of Last Intensive Evaluation: N/A					

PURPOSE:

To help reduce the heavy consumption of scarce resources in rapidly growing cities of developing countries through adaptation of resource conserving approaches to urban growth and development.

intervention in the resource consumption process; (3) identification of technologies and approaches to facilitate such interventions; (4) identification of a specific field site and adaptation and packaging of the technologies and approaches for that specific situation. This will produce a generic methodology and a case example of its adaptation to a specific situation. It is assumed that evaluation will suggest the need for a second phase to apply the resource-conserving approach in a variety of field situations both to improve the approach and create widespread awareness of its utility. The proposed project will stop short of an extensive field operation.

BACKGROUND & PROGRESS TO DATE:

DS/UD developed a PID, Resource Conserving Urbanism, in FY 1979. It called for an intensive and extensive effort budgeted at \$3.3 million over the life of the project. This project had to be dropped in last year's final round of budget cuts. Since that time, two small unsolicited proposals to do exploratory work in this area have been received and are being encouraged. In addition, the Office of Energy approved a small proposal to explore alternative energy sources for urban areas to be managed with DS/UD participation.

Examples of the kinds of interventions that are envisioned under this project include planning and design approaches that reduce urban waste and improve efficiency; wind, solar, and other means of energy generation; architectural designs for houses and buildings that take advantage of the assets in the natural environment, thereby conserving resources; water conservation and recycling; waste recycling, etc. The project PID and PP will be developed more fully from the results of a proposed small activity - i.e., a resource-conserving urbanism workshop to be held early in FY 1980.

However, funding ceilings still do not permit the level of effort thought necessary to do field applications and adaptations in each region, which is the usual and preferred DS/UD approach. Therefore, a somewhat modified effort is proposed which will draw upon the small activities already noted and develop the resource-conserving urbanism concept to the point of one specific field demonstration, for which the field mission in India already has expressed an interest. The project would include: (1) adaptation of methodologies for identifying and quantifying urban resource consumption patterns to be developed through small research activities; (2) determination of points of

HOST COUNTRY & OTHER DONORS:

Will be consulted during key stages of the activity.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	To be selected.
Estimated FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1980				XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	200	Future Yr. Obligation	Estimated Total Cost	Oct 1980-March 1982	
		1,200	1,400		

Title Resource Conserving Urbanism.	Number 931-5402
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BENEFICIARIES:

This project cuts across Agency concerns for energy, food, water, natural resources, and the environment.

FY 1981 PROGRAM:

A contract will be let to carry out the initial steps in the process outlined above.

MAJOR OUTPUTS:

CUMMULATIVE

Thru FY 1979

Life of Project

<u>Actual</u>	<u>Per Approved PP</u>	<u>Proposed</u>	<u>Per Approved PP</u>
		Final Report with analysis of adaptive techno- logies.	

A.I.D. FINANCED INPUTS: (\$ Thousands)

	<u>Base</u>	<u>Minimum</u>	<u>AAPL</u>
FY 1981	0	0	200
Salaries	130	Consultants	40
Travel	10	Other Costs	20

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	.75	.25	-	-	1.00
FY 1980	1.0	.25	-	-	1.25
FY 1981	2.0	.25	-	-	2.25

PROGRAM: CENTRALLY FUNDED

Project Manager: Eric Chetwynd

TITLE Population, Migration and Fertility in Urban Dev.		FUNDS SDA	Proposed Obligation FY 1981 300	Proposed Life of Project Cost 450	Init. Oblig. FY 79	Proposed Est. Final Oblig. FY 81	Proposed Completion Date FY 83
NUMBER 931-5412	New <input checked="" type="checkbox"/> Continuing <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP		Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>			Date of Last Intensive Evaluation:				

PURPOSE:

1. To increase understanding of the relationships between rural-to-urban migration and fertility; and
2. To produce a synthesis of current knowledge on urban population dynamics (growth, fertility, and migration) and formulate a policy framework and guidelines to help governments understand, plan for, and guide better their growing urban populations.

BACKGROUND & PROGRESS TO DATE:

Through concerted efforts a new threshold of knowledge on urban population dynamics rapidly is being created. DS/POP with DS/UD assistance sponsored a Bureau of the Census state-of-the-art study entitled Planning for Internal Migration; DS/RAD is producing a state-of-the-art study on the impact on rural fertility of rural-to-urban migration; and DS/UD sponsored a study on the impact of development activities on rural-to-urban migration and a study entitled Patterns of Urban-Rural Fertility Differentials in Developing Countries. The last study spawned one of the key activities under this project - namely, a study of the relationships between rural-to-urban migration and migrants' fertility and of the possible policy implications. Upon completion of this analysis there

will be an excellent opportunity to combine the results of this and of the other studies already noted into a policy-oriented document representing a synthesis of current knowledge on the population dynamics in urban development. Production of this piece will be the second and concluding major activity of this project.

The RAC has just approved the urban migration and fertility study. The first phase of this study focuses on Korea while the second phase involves field application in several other countries, as yet to be determined. Funding will begin in FY 1979 at a level of \$150,000 to cover phase one of the research.

HOST COUNTRY AND OTHER DONORS:

The Korean Family Planning Institute and the Korean Development Corporation will collaborate in the conduct of Phase I of the research. Collaborating institutions will be sought in each of other field applications. Developing country experts will be involved also in the formulation of the synthesis and policy guidelines. Other donors will be involved through use of their research and through being asked to critique the work at various stages for its substance and applicability to their programs.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	
Estimated FY 1979	150	20	XXXXXXXXXXXXXXXXXXXX	Aug 79 - Sept 79	
Estimated Through FY 1979	150	20	120	XXXXXXXXXXXXXXXXXXXX	Research Triangle Institute --
Proposed FY 1980				XXXXXXXXXXXXXXXXXXXX	Proposal approved by RAC 4/30/79.
Estimated Through FY 1980	150	130		Oct 80 - Sept 81	
Proposed FY 1981	300	Future Yr. Obligation	Estimated Total Cost	XXXXXXXXXXXXXXXXXXXX	
		-0-	450	Oct 80 - Dec 81	

PROGRAM: CENTRALLY FUNDED

Project Manager: Raymond Urquhart

Attachment A

TITLE Women's Participation in Urban Economy		FUNDS SDA	Proposed Obligation FY 1981 150	Proposed Life of Project Cost 150	Init. Oblig. FY 81	Proposed Est. Final Oblig. FY 81	Proposed Completion Date FY 82
NUMBER 931-5413	New <input checked="" type="checkbox"/> Continuing <input type="checkbox"/>	PRIOR REFERENCE	FY 81 Oblig. Auth. by PP	Life of Project per App. PP	FY 81	Final Obligation per PP FY	Completion Date per PP FY
Grant <input checked="" type="checkbox"/> Loan <input type="checkbox"/>		Date of Last Intensive Evaluation: N/A					

PURPOSE:

To test the demand for and feasibility of selected proposals for enhancing the contribution and participation of low-income women in the urban economy.

HOST COUNTRY & OTHER DONORS:

A number of host countries will be visited during the course of this project. These will be identified during preparation of the project PID and PP. The IBRD and BID are following DS/UD's research and development in this subject area.

BACKGROUND & PROGRESS TO DATE:

DS/UD has contracted for a small state-of-the-art study on the role of women in urban development. This project was undertaken as a first step towards identifying specific projects to improve participation of low-income women in the urban economy in developing countries. It points out the problems and constraints facing women in this area and it also indicates the kinds of things women traditionally do in the urban economy. Finally, the study suggests a number of specific opportunities to help improve women's participation. The next step is to test the feasibility of some of these recommendations in field demonstrations, designing specific applications in countries where there is the requisite need and receptivity. The results of the field demonstrations will be articulated also in a manner useful to UD efforts in other areas. A contractor will be selected to carry out this task. DS/UD will develop the parameter and scope of this activity in consultation with PPC/WID, the International Center for Research on Women, and the Regional Bureaus.

BENEFICIARIES:

It is intended that direct beneficiaries of the specific projects identified through this activity will be low-income urban women and their families. The project per se is a programming tool and can be only indirectly beneficial to this group.

FY 1981 PROGRAM:

A contract will be awarded early in FY 1981 to carry out this project in its entirety.

MAJOR OUTPUTS:

Actual Thru FY 1979 Per Approved PP

CUMULATIVE

Life of Project
Proposed Per Approved PP
 Final report identifying and setting out in detail specific projects judged to be both useful and feasible.

	Obligations (\$000)	Expenditures (\$000)	Unliquidated (\$000)	Funding Period	Principal Contractors or Agencies & Contract Number
Through September 30, 1978				XXXXXXXXXXXXXXXXXXXX	To be determined.
Estimated FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1979			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Estimated Through FY 1980			XXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX	
Proposed FY 1981	150	Future Yr. Obligation 0	Estimated Total Cost 150	Dec 80 to Dec 81	

Title Women's Participation in Urban Economy

Number 931-5413

A.I.D. FINANCED INPUTS: (\$ Thousands)

	<u>Minimum</u>	<u>Current</u>	<u>AAPL</u>
FY 1981	0	0	150
Salaries	80	Consultants	15
Travel	40	Other Costs	15

TECHNICAL OFFICE SUPPORT (in person months):

<u>FY</u>	<u>DH</u>	<u>IPA</u>	<u>Consult.</u>	<u>RSSA</u>	<u>Total</u>
FY 1979	-	-	-	-	-
FY 1980	-	-	-	-	-
FY 1981	2.0	-	-	-	2.0

FUNDING AND STAFF PROJECTIONS

	<u>FY 79</u>		<u>FY 80</u>		<u>FY 81</u>			<u>FY 82</u>		<u>FY 83</u>		<u>FY 84</u>		<u>FY 85</u>				
	<u>DH</u>	<u>PT</u>	<u>DH</u>	<u>PT</u>	<u>MIN.</u>	<u>CURRENT</u>	<u>AAPL</u>	<u>DH</u>	<u>PT</u>	<u>DH</u>	<u>PT</u>	<u>DH</u>	<u>PT</u>	<u>DH</u>	<u>PT</u>			
Total Staff Members	6	0	6	0	7	0	7	0	8	0	9	0	9	0	9	0		
Funding Levels (OYB)	2.2 m.																	
(Proposed)			2.5 m.		1.5 m.		1.5 m.		2.5 m.									
(Projected)											2.5 m.		3.5 m.		4.2 m.		4.4 m.	

FIELD SUPPORT

Project Number and Name	FY 1979			FY 1980			FY 1981			FY 1981					
	Total Cost	Field Support		Total Cost	Field Support		Total Cost	MINIMUM		Total Cost	CURRENT		Total Cost	AAPL	
		\$000	Pers. Mos.		\$000	Pers. Mos.		\$000	Pers. Mos.		\$000	Pers. Mos.		\$000	Pers. Mos.
0058 Land Use Programming	40	0	0	150	0	0	0	0	0	0	0	0	0	0	0
0210 Urban Functions in Rural Development	0	0	0	200	0	0	0	0	0	0	0	0	0	0	0
1092 Urban and Regional Analysis	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1228 Rural Demand for Urban Service Systems	400	0	0	430	0	0	50	0	0	50	0	0	300	0	0
1090 Small Enterprise Approaches	495	0	0	100	0	0	400	0	0	400	0	0	400	0	0
5408 Integrated Improvement Program	695	0	0	1600	0	0	350	0	0	350	0	0	350	0	0
1110 Urban Financial Management	170	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5405 Central Support for Urban Employment	50	50	7	50	50	7	200	200	25	200	200	25	200	200	25
1331 Regional Technological Opportunities	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5411 Support Services for Urban/Reg. Devel.	0	0	0	0	0	0	300	300	37	300	300	37	300	300	37
5400 Citizen Participation in Urban Management	0	0	0	0	0	0	200	0	0	200	0	0	300	0	0
5412 Population, Migration, Fertility in UD	0	0	0	0	0	0	0	0	0	0	0	0	300	0	0
5402 Resource Conserving Urbanism	0	0	0	0	0	0	0	0	0	0	0	0	200	0	0
5413 Women's Participation in the Urban Economy	0	0	0	0	0	0	0	0	0	0	0	0	150	0	0
TOTAL	2200	50	7	2500	50	7	1500	500	62	1500	500	62	2500	500	62

TABLE IV PROJECT BUDGET DATA														DECISION UNIT		
														DS/Urban Development		
PROJECT			OBLIGATION DATE		DATE OF NEXT PLANNED NON-ROUTINE EVAL.	CUM. PIPELINE AS OF 9/30/78	ESTIMATED U.S. DOLLAR COST (\$000)						FY 1981 APPL OBLIG.	FORWARD FUNDED TO (MO/YR)	FUTURE YEAR OBLIGATIONS	
							FY 1979		FY 1980			FY 1982			FY 1983 & BEYOND	
NUMBER	TITLE	G/L	INITIAL	FINAL	OBLIG.	EXPEND.	CUM. PIPELINE	OBLIG.	EXPEND.	CUM. PIPELINE						
931-5412	Population, Migration and Fertility in Urban Development	G	79	81	-	150	20	120	-0-	130	-0-	300	12/81	-0-	-0-	
931-5405	Central Support for Urban Development	G	79	81	-	50	-0-	50	50	75	25	200	3/82	-0-	-0-	
931-5411	Support Services for Urban and Regional Development	G	81	84	-	-	-	-	-	-	-	300	3/82	-	1000-	
912-0007	01*Program for the Urban Poor	G	78	84	-0-	695	-0-	695	700	385	860	350	9/83		2155-	
931-1090	Small Enterprise Approaches to Employment	G	78	81	525	495	700	320	100	300	120	400	9/82	-0-	-0-	
931-1228	Rural Demand for Urban Service Systems	G	78	82	400	430	200	630	400	500	530	300	9/83	-	1165-	
931-5400	Citizen Participation in Urban Development	G	81	84	-	-	-	-	-	-	-	300	9/82	-	500-	
931-5402	Resource Conserving Urbanism	G	81	83	-	-	-	-	-	-	-	200	9/82	-	1200-	
931-5413	Women's Participation in Urban Economy	G	81	81	-	-	-	-	-	-	-	150	12/81	-0-	-0-	
931-0058	Land Use Programming	G	75	80	312	40	100	252	150	100	302	-0-	9/80	-0-	-0-	
931-0210	Urban Functions in Rural Dev.	B	76	80	406	-0-	300	106	200	200	106	-0-	9/80	-0-	-0-	
931-1092	Urban and Regional Analysis	G	77	79	6	200	150	56	-0-	56	-0-	-0-	9/79	-0-	-0-	
931-1110	Urban Financial Management	G	79	79	-	170	100	70	-0-	70	-0-	-0-	7/79	-0-	-0-	
931-1331	Regional Technological Opportunities	G	79	79	-	150	150	-0-	-	-	-	-0-	7/79	-0-	-0-	

AID 1330.8 (\$-79) * Includes DS/UD portion only.

REVISED PROJECT FUNDING FOR FY 1980

<u>Project Number and Name</u>	<u>FY 1980</u>	
	<u>Current</u>	<u>Revised</u>
931-0058 Land Use Programming	150	75
931-0210 Urban Functions in Rural Dev.	200	75
931-1092 Urban and Regional Analysis	0	200
931-1090 Small Enterprise Approaches	100	100
931-1110 Urban Financial Management	0	0
931-1228 Rural Demand for Urban Serv.	430	430
931-1331 Regional Technological Oppor.	0	0
931-5405 Central Support for Urban Emp.	50	100
931-5408 Integrated Improvement Program	<u>1,600</u>	<u>1,550</u>
TOTAL	2,530	2,530

Explanation

The principal reason for the proposed changes is to continue for another year (instead of terminating) the Urban and Regional Analysis project and to increase the funding for the Central Support for Urban Employment project. These changes are responsive to increasing programming in these subject matter areas on the part of field missions and the resulting need for technical support. The Land Use Programming and the Urban Functions in Rural Development projects will be concluding field demonstrations and the reduced funding is believed to be sufficient for the final evaluation and for synthesizing and disseminating the results thereof.

Note: All of these projects are Selected Development Activities and are funded from Section 106 of the FAA.

DEVELOPMENT SUPPORT BUREAU
PROJECT ACTIVITY BY OFFICE

OFC	COUNTRY	PRO- JECT	TITLE	ACTI- VITY	ACTIVITY STATUS	PROJECT MANAGER	LOP DOLLARS (\$ 000)	ITEM NO.
UD	BOLIVIA	0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	RESEARCH	ACTIVE	DICKEY, J.		1800
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	CONF/SEM	ACTIVE	DICKEY, J.		1801
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	CONS/ADV	ACTIVE	DICKEY, J.		1802
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	DEV/ADAP	ACTIVE	DICKEY, J.	250	2487
	GHANA	0058	LAND USE PROGRAMMING - INTERCITIES	RESEARCH	ACTIVE	DICKEY, J.		448
		0058	LAND USE PROGRAMMING - INTERCITIES	CONF/SEM	ACTIVE	DICKEY, J.		449
		0058	LAND USE PROGRAMMING - INTERCITIES	CONS/ADV	ACTIVE	DICKEY, J.		450
		0058	LAND USE PROGRAMMING FOR INTER. CITIES	DEV/ADAP	ACTIVE	DICKEY, J.	330	2484
	GUATEMALA	1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	DEV/ADAP	ACTIVE	DICKEY, J.	430	465
		1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	CONF/SEM	ACTIVE	DICKEY, J.		466
		1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	CONS/ADV	ACTIVE	DICKEY, J.		467
		1228	RURAL DEMAND FOR URBAN SERVICE SYSTEMS	RESEARCH	ACTIVE	DICKEY, J.		2867
	HONDURAS	1092	URBAN AND REGIONAL ANALYSIS	RESEARCH	ACTIVE	DICKEY, J.		462
		1092	URBAN AND REGIONAL ANALYSIS	DEV/ADAP	ACTIVE	DICKEY, J.	200	463
		1092	URBAN AND REGIONAL ANALYSIS	CONS/ADV	ACTIVE	DICKEY, J.		464
	PANAMA	5408	INTEGRATED IMPROVEMENT PROGRAM	RESEARCH	PROJECTED	DICKEY, J.	10	485
		5408	INTEGRATED IMPROVEMENT PROGRAM	DEV/ADAP	PROJECTED	DICKEY, J.	390	486
		5408	INTEGRATED IMPROVEMENT PROGRAM	CONF/SEM	PROJECTED	DICKEY, J.		487
		5408	INTEGRATED IMPROVEMENT PROGRAM	CONS/ADV	PROJECTED	DICKEY, J.		488
	THAILAND	0058	LAND USE PROGRAMMING - INTERCITIES	RESEARCH	ACTIVE	DICKEY, J.		445
		0058	LAND USE PROGRAMMING - INTERCITIES	CONF/SEM	ACTIVE	DICKEY, J.		446
		0058	LAND USE PROGRAMMING - INTERCITIES	CONS/ADV	ACTIVE	DICKEY, J.		447
		0058	LAND USE PROGRAMMING - INTERCITIES	DEV/ADAP	ACTIVE	DICKEY, J.	310	2483
	UNITED STATES	1090	SMALL ENTERPRISE APPROACHES	RESEARCH	ACTIVE	FARBMAN, M.	300	474
		1090	SMALL ENTERPRISE APPROACHES	DEV/ADAP	ACTIVE	FARBMAN, M.	1000	475
		1090	SMALL ENTERPRISE APPROACHES	CONF/SEM	ACTIVE	FARBMAN, M.	50	476

DEVELOPMENT SUPPORT BUREAU
 PROJECT ACTIVITY BY OFFICE

OFC	COUNTRY	PRO- JECT	TITLE	ACTI- VITY	ACTIVITY STATUS	PROJECT MANAGER	LOP DOLLARS (\$ 000)	ITEM NO.
UD	UNITED STATES	1090	SMALL ENTERPRISE APPROACHES	CONS/ADV	ACTIVE	FARBMAN, M.		477
		1110	URBAN FINANCIAL MANAGEMENT	RESEARCH	ACTIVE	URQUHART, R.	110	2864
		1110	URBAN FINANCIAL MANAGEMENT	DEV/ADAP	ACTIVE	URQUHART, R.	207	2865
		1312	UNCSTD: REGIONAL TECH OPPORTUNITIES	RESEARCH	PROJECTED	DICKEY, J.	20	489
		1312	UNCSTD: REGIONAL TECH OPPORTUNITIES	DEV/ADAP	PROJECTED	DICKEY, J.	150	490
		5405	CENTRAL SUPPORT FOR URBAN EMPLOYMENT	RESEARCH	PROJECTED	FARBMAN, M.		491
		5405	CENTRAL SUPPORT FOR URBAN EMPLOYMENT	DEV/ADAP	PROJECTED	FARBMAN, M.	240	492
		5405	CENTRAL SUPPORT FOR URBAN EMPLOYMENT	CONS/ADV	PROJECTED	FARBMAN, M.	10	493
		5408	INTEGRATED IMPROVEMENT PROGRAM	DEV/ADAP	PROJECTED	DICKEY, J.	530	482
		5408	INTEGRATED IMPROVEMENT PROGRAM	CONF/SEM	PROJECTED	DICKEY, J.		483
		5408	INTEGRATED IMPROVEMENT PROGRAM	CONS/ADV	PROJECTED	DICKEY, J.		484
		5408	INTEGRATED IMPROVEMENT PROGRAM	RESEARCH	PROJECTED	DICKEY, J.	165	2863
	UPPER VOLTA	0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	CONS/ADV	ACTIVE	CHETWYND, E.		1798
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	RESEARCH	ACTIVE	CHETWYND, E.		1803
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	CONF/SEM	ACTIVE	CHETWYND, E.	40	1804
		0210	URBAN FUNCTIONS IN RURAL DEVELOPMENT	DEV/ADAP	ACTIVE	CHETWYND, E.	310	2486
CNT						42		
SUM							5052	

CENTRAL PROGRAM STRATEGY STATEMENT: FY 1980-1985

FOR URBAN DEVELOPMENT

INTRODUCTION

Demographic projections, whatever their source, indicate a continuing and quickening rate of urbanization in developing countries. In most of these countries the urban growth rate ~~is and~~ is expected to continue to be two or more times the national growth rate. Much of that increase ~~is occurring and~~ will occur in the poorer segments of the population ~~and as a result of~~ ^{population} natural increase as well as from rural-to-urban migration.

Urban Problems. Rapid urban growth does present serious problems in most developing countries. Existing facilities and services are incapable of meeting the overwhelming demand and often break down as a result of the overload. Government agencies, especially at the local level, have neither the financial resources nor the administrative capacity to cope. The private sector, while growing, is not expanding fast enough or in a manner which can absorb a rapidly increasing labor force with few marketable skills. Meanwhile, the people, most of whom are poor, do not have the means of availing themselves of the limited services which exist. The result has been the now familiar self-help efforts leading to squatter settlements on the perimeter of the cities in developing countries and the creation of inner city slums as a consequence of the overuse and misuse of the existing housing stock.

^{employment and other}
Urban Opportunities. The urban environment also provides a wide range of opportunities for individuals and families, and many have opted for considerably less than desirable living conditions in order to avail themselves of those opportunities. Their exposure to a greater variety of experiences stimulates change and tends to dilute cultural and other constraints to development. Thus: lower fertility levels in urban areas than in rural areas, greater opportunities for women to benefit directly from their economic activity, and a greater general upward mobility of poor people.

Role of Urban Centers. Urban centers play a major and significant role in rural, regional, and national development, a dynamic which already is accepted in Agency programming. That role is being articulated in many Country Development Strategy Statements, particularly in terms of the contribution of market towns and small service centers to rural development. Urban centers are seen also as a stimulant to regional development and to overall national economic development. A greater

application of this awareness and understanding is required, as well as a continuing testing of and probing for more knowledge of the process of urbanization and its role in overall development.

Rural Emphasis Reconsidered. A common concern among international assistance agencies, including A.I.D., is that their scarce resources might be swallowed in the "bottomless pit" of urban problems. Consequently, there has been a deliberate effort to concentrate resources on development programs in rural areas and for the rural poor, almost to the exclusion of assistance efforts in urban areas.

There are a number of reasons for reassessing that position. First of all "urban" does not equate only with "big city" or "primate city" and never has in Agency policy and programs. At the level of market towns and small urban centers the concerns of urban development and of rural development are much the same.

Whatever definitions are used for "urban" and "poor majority," there are many poor people who live outside of rural areas. Their number is increasing rapidly (much faster than the rural poor) and is expected to more than double (to 550 million) by the year 2000. That number of people cannot be ignored, any more than their rural brethren, by development assistance agencies.

A third factor is the continuing migration of people from rural to urban areas, which is responsible for nearly one-half of the expected increase in urban population. There is little that any development assistance agency can do about that process. Indeed, most policies which advocate development activities in rural areas as a means of retarding rural-to-urban migration are misinformed. While some rural development activities have that desired effect, recent studies show that other such activities actually may stimulate and contribute to rural-to-urban migration.

Even so, migration is not necessarily a "bad" thing. As was mentioned earlier, cities have and will continue to offer the greatest number and variety of employment and other opportunities. It could be that those who "vote with their feet" really do know what they are doing!

Moreover, it does not follow necessarily that rural areas are the best places in which to serve the rural poor. The unit cost has to be higher or the level and quality of service lower in providing health, education, transportation, and other services to people in sparsely settled rural areas than to those in urban places. In any case, helping the poor, wherever they are located, will require resources far in

excess of those which are available to development assistance agencies.

These considerations do not suggest a "do nothing" posture in urban areas. On the contrary. They indicate a need for the Agency to use its resources in a manner which demonstrates useful approaches to poverty problems in urban as well as in rural areas. The proposed objectives for FY 1980-1985 take account of the foregoing factors in advocating a modification of existing Agency policies and programs which will lead to an allocation of more priority and resources to the urban aspects of development.

Assumptions. The proposed objectives assume the continuation of the principal approaches or mechanisms by which the Agency has sought to be responsive on a selective basis to the growing recognition, especially on the part of national and local governments in developing countries, of the consequences of rapid urbanization. These approaches have included:

- rural development programs which seek (quite incorrectly) to help stem the flow of people to already overburdened cities; *explain*
- the Housing Guaranty program which is directed towards meeting the shelter needs of people below the median income;
- capital loans, especially in security supporting assistance countries, for urban infrastructure water, power, and sanitation projects;
- sectoral programs in education, health, nutrition, and population planning whose beneficiaries include the urban poor; and
- a small research and development program, including field demonstration projects, which addresses selected aspects of urban and regional development.

It is expected that the following will occur also: (1) increasing attention and resources will be devoted to improving the effectiveness of these approaches and to making fuller use of them; (2) economic development and equity will continue to be major and pervasive emphases in whatever is undertaken; and (3) the focus will continue to be on the poor majority wherever they are located.

DEVELOPMENT OBJECTIVES: FY 1980-1985

It is proposed that the following seven development objectives guide A.I.D.'s urban development program during the period of FY 1980-1985:

1. A stronger, clearer, and more assertive policy and program in urban and regional development which recognize the urban and spatial dimensions of the development process;
2. Regional bureau strategies for urban and regional development;
3. Greater technical capability in regional bureaus and in field missions for urban and regional development and related activities;
4. Creation of increased employment and income-producing opportunities for the urban poor;
5. Improvement of local government financial and other resources and management capability to support a more efficient and equitable provision and delivery of essential services to the urban poor;
6. More innovative approaches to the delivery of services to meet basic human needs; and
7. Greater understanding and utilization of the dynamic linkages between the urbanization process and population, fertility, participation, women in development, resource conservation, environment, energy, and other horizontal concerns of Agency programming.

Each of these objectives is considered below in terms of its rationale and of the projected role of the Bureau for Development Support (DSB) in its realization.

****A stronger, clearer and more assertive Agency policy and program in urban and regional development which recognize the urban and spatial dimensions of the development process.**

This objective is responsive to the implications of the demographic data and projections cited earlier. It is designed to move A.I.D. towards a broader development posture which is more in keeping with its role as a development assistance organization.

Despite demographic and other evidence, Agency guidelines for the preparation of the current round of Country Development Strategy Statements (CDSSs) did not suggest a discussion of the urban aspects of development. Nevertheless, these aspects are mentioned in passing in many CDSSs and are dealt with substantively in a number of them.

Moreover, A.I.D.'s working definition of urban development has been and is a functional one, rather than one which is based on size of place, and has included the urban type functions and facilities and services which may be located in small towns and market towns, as well as in big cities. Thus, urban development and rural development have many of the same concerns. It has been this nexus of concerns -- i.e., urban-rural linkages and relationships -- which understandably has dominated the Agency's urban development program to date.

However, A.I.D.'s definition of urban development has contained also a concern for the functioning of the urban place per se, in terms of its being a viable, service-providing, properly managed entity meeting its responsibility to its residents and particularly to its poor residents. It is this aspect of urban development which has received the least amount of time and attention, given the Agency's primary concern with the rural aspects of development and its limited and essentially pilot demonstration and field testing posture as regards urban development.

The appropriateness of a more broadly focussed and assertive policy and program in urban development in the 1980s is suggested strongly by two important factors: the current and anticipated need for essential services in urban areas and the growing recognition of that need on the part of host governments, other donors, and A.I.D. field missions. The Agency's success in recent years in dealing with one aspect of its definition of urban development -- namely, the role of the urban place in rural, regional, and national development and especially in articulating and focussing attention on urban-rural linkages -- also supports

the notion of doing more to deal with the urban aspects of development.

Such a policy and program would help interested field missions. With very few exceptions they have felt compelled to ignore pressing urban problems; some have addressed them under rubrics which belied the real nature of the activities and thereby constrained the use of available Agency resources. Among other things, they could make use of the results of pilot and field demonstrations and other research and development activities in urban and regional development.

The kind of policy and program which is envisioned by this objective would relate to and be supportive of other Agency concerns -- for example, population planning and the delivery of essential services. It is recognized that lower fertility rates have been achieved in urban areas and that urban areas offer economies of scale, in terms of the unit cost of providing health, education, transportation, and other essential services.

DSB's Role. In the achievement of this objective, DSB's role would include the following:

- Work with PPC and other central and regional bureaus and the field missions to establish a new Agency policy in urban and regional development.
- Encourage and assist with program initiatives from field missions to deal with some of the consequences of rapid urbanization in a manner which also can link the Bureau's problem-solving and technical support roles.
- Continue and expand research and development activities which strengthen the knowledge base and the professional and technical competence for addressing the problems and opportunities of urban growth and development.
- Provide information on the urban aspects of development for use with Congressional committees, OMB, and other policy and decision making bodies.
- Keep other donors, international agencies, and relevant domestic and overseas public and private organizations informed of Agency policy and program in urban and regional development by participation in appropriate meetings and through dissemination of the results of research studies and of pilot and field demonstration projects.

****Regional bureau strategies for urban and regional development.**

The nature, level, and rate of urbanization vary from country to country and especially among geographic regions. Whereas Agency policy can provide overall direction to the efforts of field missions and other units, it is necessary to translate it into a framework or strategy which is responsive to important regional and developmental differences and complements other aspects of that region's development program.

The increasing demand from field missions for technical support in the identification, design, and implementation of projects and other activities in urban and regional development during the past year or two makes it imperative that what is developed responds not only to host country needs but relates also to Agency-wide and regional policies.

Such a regional strategy can increase the awareness and understanding of the urban aspects of development throughout a regional bureau and its related field missions and would be supportive of a stronger Agency policy and program.

DSB's role would be to assist in the formulation of these regional strategies by providing information and other appropriate technical support. DSB would play a similar role in the implementation of these strategies. In addition, DSB would take these strategies appropriately into consideration in designing and implementing centrally-funded pilot and field demonstration projects.

****Greater technical support capability in regional bureaus and in selected field missions for urban and regional development activities and related activities.**

In urban development as in other technical "sectors," the experience has been that the presence of a technical specialist in the regional bureaus has increased, rather than decreased, the demand for technical support services from a central staff. Having a knowledgeable point of contact so placed enables a central staff to be more relevant and effective in responding to and assisting field missions in using these and other resources efficiently.

Where increasing attention is given in field missions to addressing some of the consequences of rapid urbanization, it would be advisable to have nearer at hand the required technical competence to give leadership and direction to those efforts. This is true especially for the kinds of multisectoral, multidisciplinary approaches which are required to deal with the complexity of urban and regional phenomena. (Urban improvement loans in LAC, regional planning and development programs in Indonesia, Jordan, and the Philippines, and the Sahel and SADAP programs in AFR are examples which come readily to mind.) Nor are the problems and opportunities likely to become easier to address in the foreseeable future. It is important that A.I.D. as a development assistance agency anticipates and prepares for what seems to be inevitable.

DSB's role would be to assist regional bureaus and field missions in the recruitment of capable technical and professional specialists with the appropriate combination of training and experience, and to provide ongoing information and other technical support services to these specialists as needed. DSB would expect to involve them substantively in the design and implementation of centrally-funded research and development activities and especially in pilot and field demonstrations.

****Increased employment and income-producing opportunities for the urban poor.**

An essential ingredient to survival in urban areas is income, and it is the difficult task of meeting the income needs of growing urban populations which is at the heart of this objective. The economies of most developing countries are growing, but they are not creating jobs and employment opportunities in sufficient quantity to meet the demands of a growing labor force. Without such opportunities and the income therefrom, it is not possible for people to survive in urban areas, nor contribute to the national product.

Growth in demand for urban employment and income therefrom is intensified by the emergence of women-headed families among the poor. These are estimated in some urban areas to comprise 30-40% of all households. The interaction between male marginality, overpopulation, heavy migration, and overurbanization and its effect on traditional support systems have accelerated the increase of women who function economically as de facto family heads with responsibility for their own and their children's survival.

The ability of and opportunity for people to earn income have a direct bearing on the ability of government, especially local government, to provide the essential services to meet basic human needs. Unemployment and underemployment, particularly in the fast-growing poorer segments of urban populations, make it as difficult for government as it is for the people to be responsive to their needs. It is unnecessary to delineate the crippling long-term consequences of such unmet need and the short-run effects of the failure to use human resources effectively and efficiently.

Evidence of a growing awareness of the importance of this problem is contained in the current set of CDSSs. Nearly all of them address this problem in some detail and propose ways in which field missions can be more responsive to it.

DSB's Role. DSB would help the Agency understand and deal with employment as a substantive issue in the development process in the following ways:

- Technical leadership in the formulation of Agency policy and program.
 - Technical support to assist with the identification, design, and implementation of projects which address unemployment and underemployment and other projects which might be made employment-generating, in addition to their primary raison d'etre.
 - Information and technical support to increase Agency and host government understanding of specific labor markets and to enhance the functioning of those markets.
 - The identification and bringing together of training needs and resources in this field.
- ** Improvement of local government financial and other resources and management capability to support more efficient and equitable provision and delivery of essential services to the urban poor.
-

Throughout the developing world there is a general weakness in governance -- that is, in the data base, administrative procedures, institutional arrangements, and trained personnel required for making and implementing policy, allocating resources, and providing and managing programs and services in the public sector. This is particularly true at the local level where there is limited understanding of the development dimensions of administration and management. This weakness affects not only the ability of developing country governments to get on with the day-to-day tasks and to meet the special needs of the poor, but also their capacity to entertain external assistance and to use it effectively.

While this general weakness manifests itself in many ways, there are particular aspects which have a negative impact on local government's ability to provide and deliver essential services effectively and equitably. One aspect is the need for identifying and using more effectively data which are appropriate to the policy making and management tasks at hand. Another and related aspect is the need to make better choices in the use of limited resources. Still another aspect is the need to provide and deliver services in a more equitable manner, especially in terms of serving the urban poor.

The lack of resources, both financial and personnel, is most acute at the level of local government. If there has been any devolution of responsibility and resources from central to local government, it usually has been in the form of deconcentration, not decentralization. The local decision making and administrative powers are often extensions of central government authority, and the ability to tap lucrative sources of revenue is constrained by the prior rights and greater competence of central government. The more attractive and more remunerative jobs are in central, not local, government which results in a brain drain of serious proportions.

DSB's Role. In order to improve local government resources and management capability, DSB would help to develop an Agency awareness and understanding of the financial and management aspects of using scarce resources, especially at the local level in developing countries. This awareness and understanding are essential to effective project design and implementation, whatever the sector.

DSB also would help develop approaches, methodologies, and systems which can be used to overcome deficiencies in the collection of financial data and in their use in decision making. Some of these mechanisms would be tested in field demonstrations.

In addition, DSB would help develop programs and resources which can be used to assist with on-the-job and in-service training of local government officials and with the upgrading of host country administrative management training institutions.

Finally, DSB would be concerned with the development of mechanisms for assessing the impact of allocative decisions on target populations (both male and female) and for involving them in program development and implementation.

****More innovative approaches to the delivery of services to meet basic human needs.**

All people, and particularly the poor, have need of health, education, transportation, and other essential services, including the identification of employment opportunities, and almost all of these needs are multidimensional. Rarely does one service or type of service suffice in meeting the need of an individual or a family, although services usually are provided in this manner.

In developing countries the provision and delivery of services are constrained not only by the traditional modes of delivery but also by the dearth of available services. Thus, there is need to do better with existing services, as well as to increase the availability of essential services and especially poor people's accessibility to them. Innovative approaches are needed to meet better basic human needs generally and to address the particular service delivery needs of poor urban women.

There are a number of constraints to the more efficient and equitable delivery of services; among them are the following:

- a multiplicity of service agencies;
- legal and policy restrictions and poor and corrupt administrative practices;
- turf maintenance and bureaucratic and administrative costs of coordination;
- insufficient skilled personnel, funding, and organizational stability;
- inappropriate and improper services; and, especially as they affect women,
- cultural, psychological, and physical barriers.

DSB's Role. Most of the important human services are found also in DSB. Seldom, however, has there been other than a sector-by-sector, unidimensional approach to service delivery. Many of the constraints to better service delivery which were cited above apply equally within DSB. There is need within DSB for mechanisms and structure which can make possible the development and application of better service delivery systems.

DSB has a role also in helping to develop and test in field demonstrations other approaches to service delivery. This would include the training of Agency and host country personnel in these new approaches and the sharing of the results of these research and development activities. Technical support would be given to regional bureaus and field missions in developing and adapting approaches to service delivery which are appropriate for specific situations.

** Greater understanding and utilization of the dynamic linkages between the urbanization process and population, fertility, women in development, participation, resource conservation, energy, environment, and other horizontal concerns of Agency programming.

Perceptions in the Agency about the process of urbanization and its links with development have risen considerably in recent years, even though urban development has not been a priority area of Agency programming. As more is known about these relationships, it is clear that they have a significant impact on important horizontal concerns in Agency programming. In some of these areas, as in the link between urban and rural development, findings are being translated already into Agency policies and programs.

In other areas, such as population movement, fertility, participation, and environment, the state-of-the-art is at the point of being transferred to and applied in field programs. For example, well-argued statements have been published on the dynamics of migration, causes of rural-to-urban migration, and the influence on migration of optional development strategies. Knowledge about the difference between urban and rural fertility and its causes is advancing rapidly and soon could be applied in the formulation of population policies.

The population densities, visibility of impacts, and the politically charged atmosphere in cities make pursuit of meaningful participation an advisable strategy in urban development programming, recognizing that this is a difficult and sensitive matter in most countries. Nevertheless, various practical approaches and methods already have been described and developed, based on a synthesis of current experience, and these approaches are being tested in field situations.

There are, however, some areas of considerable importance to the Agency whose relationships with urban development are recognized but are not well understood. This is partly a result of the relative newness of urbanization as a field of study. Urban and regional economics, urban systems analysis, and urban anthropology, for example, still are young

derivatives of established sciences; much of the state-of-the-art, such as it is, is based on western experiences and models. Progress has been made in applying current knowledge to development, but knowledge gaps and uncertainty are great. Areas of particular importance to A.I.D. in which the urban element is large, but not well understood, include resource conservation and efficiencies, energy, the role of women in urban development, and what makes a city grow and prosper in developing countries.

On the last point the IBRD already is involved in a major research project to develop a comprehensive understanding of the internal workings of large cities, since these centers are the targets for much of the World Bank's investments.

A.I.D., on the other hand, is becoming involved increasingly with small and medium-sized cities in its programs, even though surprisingly little is known about how these cities develop and "what makes them tick." Until now A.I.D.'s focus has been limited essentially to the impact of these cities on rural and agricultural development. Knowledge must be developed rapidly in this more broadly defined area, in order to keep abreast of urban policy formulation in developing countries and to help avoid unintended consequences there and within Agency programs.

Similar observations can be made with respect to advancing knowledge about the role of cities in water, energy, and other resource consumption. With growing recognition of the finiteness of scarce resources and of global interdependencies, actions should be taken now to help decrease the voracious consumption of these resources in urban areas. There is an excellent opportunity in developing countries to avoid the intensive and wasteful resource consumption models of urbanization which are found in the more developed countries.

There also are opportunities in cities for enhancing the participation of women in the development process. These opportunities should be defined and converted as quickly as possible into Agency programs. There is a similar need to improve the Agency's knowledge of opportunities for bettering conditions for urban children, who are especially vulnerable to exploitation in the cities of many developing countries.

DSB's Role. DSB has helped to bring about the improvement in the Agency's perception of the role of urbanization in development. Its research and development programs have helped to advance the state-of-the-art, translate that knowledge into field mission programs, and identify areas in which new knowledge and field testing are needed. This role should be continued with emphasis on generating knowledge about and practical approaches to the development of small and medium-sized cities, in order to complement A.I.D.'s major program emphases. As in the past special emphasis should be given also to the translation of new knowledge into field mission programs.

RESOURCE ESTIMATES

All Offices in the Bureau will be required to assist appropriately in fulfilling DSB's role in meeting the foregoing seven development objectives. However, the Office of Urban Development (DS/UD) will have primary responsibility, and it is estimated that DS/UD will require increases in personnel and program budget resources in order to carry out that responsibility.

Personnel. Three additional positions are proposed during the planning period which will make a total of eight professional staff members (seven direct-hire and one IPA appointee) in DS/UD. The additional staff members will be specialists in social service delivery systems and social planning, urban and regional planning, and urban economics. It is proposed that one of these positions be added in FY 1981.

An appropriate number of secretarial-clerical personnel will be required also. An additional secretarial-clerical staff member is proposed for FY 1981.

No other additions to the central core staff are envisioned. Rather, the regional bureaus and field missions will be expected to add urban and regional development specialists to their staffs in order to meet the requirements of their growing programs.

Program Budget. The proposed DSB budget provides for a gradual doubling of DS/UD's program budget over the five-year planning period -- that is, from \$2.2 m. in FY 1981 to \$4.4 m. in FY 1985. This pattern of funding is based on the notion that a certain level of technical backstopping and of testing and probing into selected urban problems and opportunities and related aspects of the urbanization process will need to be continued. This level of funding recognizes also that the risk of testing and probing resides more appropriately in a central unit of the Agency, rather than in the regional bureaus and/or field missions.

At the same time it is expected that the regional bureaus and field missions will be involved substantively in the development and implementation of the Agency's research and development agenda in urban and regional development. Moreover, the further application and utilization of the results of the centrally-funded research and development program --

that is, beyond the initial field tests and demonstrations -- will be funded by the regional bureaus and field missions. DS/UD staff members will continue to be available to assist them in the design and implementation of these field applications.

