

QUARTERLY PERFORMANCE MONITORING REPORT

APRIL to JUNE 2001

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A Consortium of:

**Development Alternatives, Inc.
Cesar Virata & Associates, Inc.
PricewaterhouseCoopers**



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ABBREVIATIONS AND ACRONYMS

2Q2001	-	2 nd Quarter of 2001
ADB	-	Asian Development Bank
AGILE	-	Accelerating Growth Investment and Liberalization with Equity
BAP	-	Bankers' Association of the Philippines
BIR	-	Bureau of Internal Revenue (DOF)
BLGF	-	Bureau of Local Government Finance (DILG)
BOC	-	Bureau of Customs (DOF)
BOT	-	build-operate-and-transfer
BSP	-	Bangko Sentral ng Pilipinas
BTr	-	Bureau of Treasury
CAO	-	Customs administrative order
CCPSP	-	Coordinating Council for Private Sector Participation
CHED	-	Commission on Higher Education
CITMM	-	Corporate Income Tax Microsimulation Model
CMO	-	Customs memorandum order
CVAI	-	Cesar Virata and Associates, Inc.
DAI	-	Development Alternatives, Inc.
DBCC	-	Development Budget Coordinating Committee
DBM	-	Department of Budget and Management
DBCC	-	Development Budget Coordination Committee
DECS	-	Department of Education, Culture and Sports
DFA	-	Department of Foreign Affairs
DFG	-	Domestic Finance Group
DND	-	Department of National Defense
DOF	-	Department of Finance
DOJ	-	Department of Justice
DOTC	-	Department of Transportation and Communications
DPWH	-	Department of Public Works and Highways
DST	-	Documentary Stamp Tax
DTI	-	Department of Trade and Industry
EO	-	Executive Order
ETMS	-	Exercise Management System's
FEF	-	Foundation for Economic Freedom
FSTR	-	Financial Sector Tax Reform
GAMS	-	General Algebraic Modeling
GOP	-	Government of the Philippines
HB	-	House Bill
HIID	-	Harvard Institute for International Development
HLURB	-	Housing and Land Use Regulatory Board
IBRA	-	Indonesian Bank Restructuring Agency
IPO	-	Intellectual Property Office
IPR	-	intellectual property rights

IRA	-	International Revenue Allotment
IRR	-	Implementing Rules and Regulations
ITECC	-	Information and Technology and Electronic Commerce Council
KAMCO	-	Korean Asset Management Corporation
LAN	-	Local Area Network
LGC	-	Local Government Code
LGU	-	local government unit
MBC	-	Mindanao Business Council's
MIS	-	management information system
NEDA	-	National Economic and Development Authority
NTC	-	National Telecommunications Commission
OIC	-	Office of the Insurance Commission
OP	-	Office of the President
PAGCOR	-	Philippine Amusement and Gaming Corporation
PAL	-	Philippine Air Lines
PARDO	-	Philippine Association of Revenue District Officers
PBSP	-	Philippine Business for Social Progress
PCDI	-	Philippine Central Depository, Inc.
PCCI	-	Philippine Chamber of Commerce and Industry
PD	-	Presidential Decree
PEA	-	post-entry audit
PhilJA	-	Philippine Judicial Academy
PURE-PARO	-	Philippine Union of Revenue Examiners-Philippine Association of Revenue Officers
PSE	-	Philippine Stock Exchange
PwC	-	Pricewaterhouse Coopers
PRIC	-	Presidential Retirement Income Commission
RA	-	Republic Act
SB	-	Senate Bill
SCCP	-	Securities Clearing Corporation of the Philippines
SEC	-	Securities and Exchange Commission
SGL	-	Super Green Lane scheme
SRC	-	Securities Regulation Code
TAMCO	-	Thai Asset Management Corporation
TELCO	-	telecommunications company
TWG	-	technical working group
USAID	-	United States Agency for International Development
US-RTC	-	United States Resolution Trust Corporation
USTR	-	United States Trade Representative
VAT	-	Value-Added Tax
VMS	-	VAT Management System's
WTO	-	World Tourism Organization

1. BACKGROUND & CONTRACT OBJECTIVES

AGILE is a 40-month USAID-funded activity (1 June 1998 – 30 September 2001) designed to support economic policy changes in the Philippines, help bring about sustainable economic growth, and improve the country's economic resiliency by augmenting the efforts of pro-competition partners and stakeholders. It is an important mechanism by which USAID/Philippines seeks to contribute to the Agency-wide goal of promoting economic growth and development. Specifically, AGILE responds to USAID/Philippines Second Strategic Objective (SO2): "A More Stable and Competitive Economy." Its three areas of focus, identified from discussions with potential partners, are:

- Stabilizing and deepening financial institutions
- Making trade and investment more competitive and dynamic
- Improving economic governance

The provision of AGILE assistance to on-going reform efforts is managed by a consortium of Filipino and US organizations (the AGILE Consortium) experienced in economic policy reform in the Philippines and the region. It is led by Development Alternatives, Inc. (DAI), and includes the Harvard Institute for International Development (HIID), Cesar Virata & Associates, Inc. (CVAI), and PricewaterhouseCoopers (PwC).

All the work of the AGILE Consortium is demand driven. The broad areas of involvement are set under the strategic guidance of the AGILE Steering Committee, made up of representatives of the government, private sector, academia, and USAID. The Steering Committee is designed to meet on a semi-annual basis to decide on new areas of activity, monitor progress, and evaluate accomplishments.

Specific activities are likewise designed in conjunction with government, or in some cases, NGO or public-private partners who seek specific economic policy reforms. AGILE focuses on assisting the GOP and private sector stakeholders conduct focused policy analysis, formulation, and advocacy. Additionally, it provides technical assistance to both the GOP and USAID in the area of policy monitoring, assessment, and reporting for all activities designed to contribute to SO2.

This report focuses on the contribution of AGILE-supported activities undertaken during the 2nd quarter of 2001 (2Q2001), 34 months into the life of the Activity, in support of SO2 goals. Specifically, it reviews steps towards the achievement of intermediate results (IRs), outcomes that are to some extent within the control of the AGILE Consortium. For ease of tracking, the section on technical accomplishments is structured according to IRs. Special emphasis is given to dated events ("milestones") indicative of the achievement of policy reform, as set in the Steering Committee-approved AGILE Statement of Work (as revised in August 2000). Planned accomplishments and AGILE's success in meeting them are included in matrices at the end of the text on each IR section for easy reference. A section covering Activity (project)

administration, and one addressing problems on, and prospects for, improved management and service delivery follow the technical section. Supporting documents, including a list of reports, policy briefs, memos, and presentation materials; current staffing list by technical area; list of training/seminars and workshops; and financial remaining sheets are included in annex.

2. TECHNICAL ACCOMPLISHMENTS

Overview

Despite the distractions of highly charged campaigns and the conduct of national and local elections, the second quarter of 2001 was a period of policy review and reformulation for the new Administration. The elements of President Gloria Macapagal-Arroyo's Inauguration Speech--a new politics of ideas and not of personalities, good governance, leadership by example--began to be put into practice as new heads of agencies were appointed and began their stewardship of their respective agencies.

While the basic economic management philosophy did not change substantially from that of the previous administration, a new team, carrying the aspirations of EDSA II, began to make its mark on government agencies and the direction of the economy. There was increased attention to the importance of governance and administration, a renewed appreciation of the need for civil society to serve as a check against the abuse of public office, and increased optimism in the beneficial role of the public sector.

AGILE, during this time, was cementing its relationships with counterparts of on-going reform initiatives, and developing new relationships. It was a period of planning and preparing for the implementation of a new 2-year workplan that would embody to a large extent the governance, transparency and civil society emphasis of the new government.

Presented immediately below is a synopsis of the key AGILE accomplishments during the second quarter of 2001. Details are presented in subsequent sections.

Macroeconomics and Fiscal Sectors (DOF)

USAID asked AGILE to continue implementing USAID support to the Department of Finance (DOF) and Bureau of Internal Revenue (BIR) for establishing a tax database and the capability to effectively maintain it, undertake tax revenue forecasts and analyze proposed tax policy reforms using it. This program, previously implemented by another contractor, was added to AGILE's portfolio of assistance in June and will extend through October.

AGILE also provided assistance aimed at facilitating the issuance of municipal bonds by local government units, and at developing indicators of good governance at the local level. It also continued some follow-up work to its earlier Contingent Liabilities work with the DOF. This was focused on improving understanding among policy makers and technical staff of the DOF, National Economic Development Authority (NEDA) and Department of Budget and Management (DBM) on a proposed approach to improving the management of fiscal risk and the contingent liabilities of the government.

Also, during this quarter, AGILE began to provide support for critical reforms in tax administration that have been initiated by the BIR Commissioner. Activities of the BIR management included the formulation of a reform package in support of its revenue target and medium term reforms, including the institutional transformation of the Bureau to a more autonomous and taxpayer-focused tax collection agency. These reform initiatives are broadly aimed at reducing corruption in tax collection and improving tax collection to finance the delivery of essential services to help reduce poverty.

Financial Markets (SEC, BSP)

Government efforts to establish a framework for effectively combating money laundering were driven directly by two factors, one internal, the other external. Internally, the impeachment trial of former President Estrada appeared to provide a case study of the tight link between corruption and money laundering, and became a strong impetus for anti-money laundering reform. Added costs to undertaking international financial transactions arising from the country being placed by the International Financial Action Task Force on its list of "non-cooperating countries" because of its high vulnerability to money laundering also attracted the support of the local banking and financial community to the anti-money laundering effort.

In response to this opportunity for reform, AGILE helped the Bangko Sentral ng Pilipinas (BSP), Department of Justice (DOJ), DOJ, and Department of Foreign Affairs (DFA) draft the administration's proposed law on anti-money laundering. The participants in a forum on the proposed bill held at the UP Law Center with AGILE support discussed the bill and proposed improvements to it. Support of public officials for this bill was also secured.

Besides the legislative initiative, AGILE provided technical assistance to initiate measures within the executive branch. At the BSP, AGILE worked with BSP technical staff to develop a system for monitoring suspicious financial transactions. Plans are set to develop similar reform measures in the other critical agencies having a critical role in reducing money laundering in the Philippines such as the Securities and Exchange Commission (SEC), Office of the Insurance Commission (OIC), Philippine Amusement and Gaming Corporation (PAGCOR), and Housing and Land Use Regulatory Board (HLURB).

Meanwhile, AGILE's main focus in support of capital markets during this quarter was to help the SEC better regulate the capital market institutions, particularly the Philippine Stock Exchange (PSE), and implement under the Securities Regulation Code (SRC). The effective implementation of the SRC, which embodies international best practices in market regulation for market development, is the best hope the capital markets have of boosting investor and corporate confidence and participation in the market. AGILE assistance was based upon the assumption that, especially with the stock exchange, strong regulation can be equated with strong developmental support. Of particular emphasis, in this regard, was helping establish acceptable parameters for PSE demutualization and supporting the SEC establish new rules for the pre-need industry under the SRC.

Trade and Agriculture (DTI, DA, BOC)

AGILE trade-related assistance was provided primarily to the Bureau of Customs (BOC) for developing its capacity to implement the Customs Valuation Law. This law, Republic Act (RA) 9135, was passed by the 11th Congress in its last session and became effective in July of this year. This assistance focused on the drafting of the implementing rules and regulations (IRRs) of RA 9135 and an establishing a post-entry compliance audit (PEA) capacity for ensuring effective shift of the country's customs valuation towards transactions values and for protecting the international tax revenues of the government. It also emphasized developing a risk management system for identifying potential valuation issues and making compliance audits more effective, transparent and accountable.

In response to a request by the Intellectual Property Office (IPO), AGILE likewise conducted extensive background work in preparation for a program of support for improved enforcement of the Intellectual Property Code. An upcoming diagnostic will review the current implementation of the law and recommend workable reforms.

In agriculture, AGILE continued to provide support for the issuance of guidelines for the responsible importation and domestic use of biotechnology products.

Investments (DOTC, NTC, DTI-ITECC, DOT, PCCI)

In its telecommunications project this quarter, AGILE helped the National Telecommunications Commission (NTC) develop support for the pricing regime program (now called "Go for More Affordable Phones", or GMA Phones) by presenting the Program to the Department of Transportation and Communication (DOTC). It also continued to help the NTC develop a Memorandum Circular on interconnection and access charges to help speed up the necessary transition from cost-reflective to cost-based pricing by telcos. Also, this quarter, the project conducted workshops with industry and NTC staff to discuss the draft Memorandum Circulars on pricing. AGILE also helped the NTC conduct a public hearing on how best to allocate additional frequencies for wireless telecommunications.

To help boost electronic commerce, AGILE discussed with the new Information and Technology and Electronic Commerce Council (ITECC) Executive Director its program of assistance to the Council. The ITECC was transferred by executive order to the Office of the President (OP) and away from the Department of Trade and Industry (DTI).

The Consultative Document on the guidelines for Certificate Authorities, on which AGILE had worked, was issued by the DTI in May, as the project drafted the IRRs for electronic authentication. AGILE presented a draft E-Services Management Plan to the DTI, which then issued the document to industry stakeholders for comment.

In its ports and inter-island shipping project this quarter, AGILE secured the Mindanao Business Council's (MBC) support for ports and inter-island shipping reforms. The MBC subsequently joined the Coalition for Ports Modernization to push for competition in port privatization and a review of the shipping tariff setting process. When Executive Order (EO) 59 had not yet been

rescinded, the Philippine Port Authority (PPA) presented a revised alternative masterplan for North Harbor privatization, that still falls short of creating conditions for optimal competition. The replacement of EO 59 with a "competition-friendly" executive order, and the development of the terms of reference for such privatization remain important objectives of AGILE assistance in this area.

AGILE likewise provided continuing assistance towards consolidating liberalization in Philippine international air transport policy. AGILE assistance was, and continues to be, supportive of the new administration's aim of increasing tourist arrivals for a more vibrant tourism industry. This quarter, AGILE's air transport liberalization project helped push for designation of Cebu Pacific as the second national flag carrier (after Philippine AirLines or PAL), and accelerate negotiations for open skies with the United States.

Economic Governance (SEC, DBM, NEDA)

During this quarter, AGILE continued to support Philippine Judicial Academy (PhilJA) initiatives to address areas of friction between the judiciary and the two other branches of government in implementing economic policy. This support focused on training court personnel and prosecutors in insolvency procedures as encapsulated in the "Rules of Court for Corporate Recovery". It also emphasized organizing workshops for discussion and refining of papers written by PhilJA staff and AGILE consultants on topics including the "doctrine of primary jurisdiction," "docket decongestion," "judicial dysfunction and the cost of doing business," and "judicial review." A key accomplishment in this regard, was the decision of the PhilJA to condense the "primary jurisdiction" paper into a brochure/pamphlet to be provided to all courts. AGILE helped produce and distribute this document, which should help provide for a more balanced role of the judiciary vis a vis regulators in economic policy implementation.

In procurement reform, AGILE continued to help strengthen the capability of the Procurement Watch (PWI) to train government agencies in new procurement procedures in keeping with EO 262 and the IRRs for Presidential Decree (PD) 1594. The PWI worked with the DBM, the Department of Public Works and Highways (DPWH), the Department of Education, Culture and Sports (DECS), the Department of National Defense (DND), and the Commission on Higher Education (CHED), either to review procurement procedures or train staff.

AGILE began work with the BIR to support rapid and extensive reforms to tax administration. Much of the initial assistance focused on establishing a clear plan that gives short-to-medium term administrative measures for plugging the budget deficit as much importance as longer term structural changes that may require legislation for a deep overhaul of the tax administration apparatus. During this quarter, AGILE also provided substantial assistance in public relations and media campaigns.

IR1: FINANCIAL INSTITUTIONS STABILIZED AND DEEPENED

STRATEGIC RESULT 1.1 IMPROVED TAX POLICY AND ADMINISTRATION

Policy Impact Indicator: Domestic Tax Effort (ratio of domestic tax revenues to the gross domestic product)

Domestic tax effort is the ratio of total tax revenues less import duties, import taxes and export taxes, to gross domestic product (GDP). Between 1994 and 1999, the country's domestic tax effort stood within the range of 11.2% to 13.1%. In 2000, it fell to 10.7%, from 11.6% a year ago. Economic factors and governance explain the difference between the actual domestic tax effort ratio of 10.7%, and SO2's target of 13.5%.

In 1999, AGILE targeted a domestic tax effort of 14% for 2001, assuming continuing government commitment to fiscal reform and no major political disruptions at the national level. The annual rate, non-seasonalized domestic tax effort for the first four months of the year was 12.5%, an early indication that the target for the year might not be met.

POLICY RESULT 1.1.A. TAX INFORMATION SYSTEM IMPROVED

1.1.a-1 Fiscal Policy Analysis Strengthening

Initial assistance from USAID to the DOF Domestic Finance Group (DFG) provided tax databases and microsimulation models for revenue forecasting and estimation. These have already been proven useful in policy formulation exercises, such as that on the proposed financial sector tax reform (FSTR), and in quantifying revenue leakages (revenue loss of no less than ₱40 billion) in the excise taxes of gasoline, cigarettes and beer as well as on the value-added tax (VAT). It has also helped the BIR set objective standards for VAT audits as well as quantifies leakages in the documentary stamp tax (DST).

Despite these accomplishments, there remain several critical tasks to be done to strengthen fiscal policy analysis and formulation. More specifically, the tax databases and models need to be improved, and DFG and BIR officers and staff trained on the use of these databases and models.

ACCOMPLISHMENTS

In the 2nd quarter of 2001, AGILE:

Improvement of Tax Databases and Models

Corporate Income Tax

- Completed the development of the system for aging and re-weighting of the 9,879 samples of 1996 corporate income tax returns used in the forecasting and estimation models. The aging system is designed to extrapolate 1996 data to another year, say 2001. The re-weighting system is designed to blow-up sample data to population data.

Value Added Tax

- Reviewed the VAT Management System's (VMS) cleaning and reports modules to pinpoint the areas that need improvement. The cleaning module is designed to identify and correct errors on the VAT data entered in the VMS while the report module is designed to generate summary reports (e.g. VAT payments by industry) on the VAT data stored in the VMS. Alongside, preparations were initiated to identify local consultants who can conduct training on the General Algebraic Modeling (GAMS), which will be used to develop the VAT microsimulation model.

Excise Tax

- Improved the Excise Management System's (ETMS) data encoding, storage, and report modules of alcohol, fermented liquor, cigarettes, petroleum, and motor vehicles. Specifically, a browse command was added on the load file system and source codes were revised to generate more accurate reports (e.g. revenue and collections by manufacturer) on the excise tax data stored in the ETMS.

Capital Gains Tax

- Commenced the development of the system for aging and re-weighting sample data. Similar to those for corporate income tax, the aging system is designed to extrapolate data to another year while the re-weighting system is designed to blow-up sample data to population data.

Information System

- Designed the database security system to determine assignments of authorized users/groups and degrees of "permissions" (e.g. to add or delete records) to be granted to them in the use of the database and models. An initial test run, on a limited scale, proved the feasibility of the MS Access security module, an inherent feature of the MS Access software. Eventually, the database security system will be test run on the DOF Local Area Network (LAN).

Training DOF Senior Officials and BIR staff on Database Maintenance

Corporate Income Tax

- Trained DFG staff to generate revenue estimates from the Corporate Income Tax Microsimulation Model (CITMM). The staff simulated numerous scenarios on the DOF's proposal: (a) to adopt gross income tax on corporate income taxation, (b) to determine a revenue neutral tax rate, and (c) to impose full disallowance's on the most abused deduction items namely, representation, transportation, fringe benefits, and miscellaneous expenses.

PLANS AND ISSUES FOR 3Q2001

For the 3rd quarter, AGILE will:

Improvement of Tax Databases and Models

- Complete improvements on the database and models on corporate income, individual income, excise (e.g. alcohol, fermented liquor, cigarettes, petroleum and motor vehicle), capital gains, and value-added taxes.
- Complete the Alcohol Excise Tax Microsimulation Model.
- Install the database management systems in the BIR National Office or Revenue Regions.
- Install a database security system within the DFG LAN.

Training of DOF Senior Officials and BIR Staff on Database Maintenance

- Complete the training of DOF senior officials on the use of the database management systems and models.
- Complete the training of BIR staff on the use of the database management systems.
- Present the database and models to the Development Budget Coordination Committee (DBCC), Congress, etc.

POLICY RESULT 1.1.B. SECTORAL TAX REFORMS FORMULATED AND ADOPTED

1.1.b-2 Rationalizing Fiscal Incentives (Accelerated Depreciation)

ACCOMPLISHMENTS

During the quarter, AGILE consolidated comments by the members of the BIR-TWG on Accelerated Depreciation on the AGILE draft Revenue Regulation on accelerated depreciation. The regulations are designed to establish an accelerated cost recovery system and provide transparency in the determination of business taxes. The proposed Revenue Regulation is required to help fully implement the 1997 Comprehensive Tax Reform Program. The measure is expected to provide the economic benefit of increased investments by creating an alternative for reducing the tax base of businesses.

POLICY RESULT 1.1.C. BIR MADE MORE TRANSPARENT AND EFFICIENT

1.1.c-1 Strengthening Tax Administration at the Bureau of Internal Revenue

During the 2nd quarter, AGIE, in order to push for reforms at the BIR:

Media Advocacy and Coalition Building for BIR Tax Administration Reforms

- Through the Foundation for Economic Freedom Inc. (FEF), conducted an advocacy campaign in support of the administrative reforms being undertaken at the BIR through the initiative of Commissioner Rene Bañez. The FEF acted as catalysts by briefing potential talking heads such as columnists, desk editors, and government officials on the need for tax administration reforms. It briefed key government officials, including Executive Secretary Alberto Romulo and the President herself, Gloria Macapagal-Arroyo. It drafted a press statement signed by Secretary Romulo reiterating full support for the reform efforts of the BIR.

The FEF, with the support of AGILE, also undertook media advocacy in support of tax administration reforms. This media campaign resulted in, among other effects, substantial support being generated from civil society and the public. Under the campaign, the FEF caused articles and advertorials published in the media and its officers interviewed on television on the BIR reforms. These ads were instrumental in influencing other business sector groups, such as the Bankers Association of the Philippines, Bishops-Businessmen's Conference, and Makati Business Club, to release their own advertorial in support of Commissioner Bañez's reform efforts. These have neutralized the smear campaign launched by the Philippine Association of Revenue District Officers (PARDO) and the Philippine Union of Revenue Examiners - Philippine Association of Revenue Officers (PURE-PARO), organizations which oppose the reforms.

- Assisted the BIR formulate a reform package in support of the BIR's revenue target and medium term reforms, including the institutional transformation of the Bureau to a more autonomous and taxpayer-focused tax collection agency. These reform initiatives are broadly aimed at reducing corruption in tax collection and improving tax collection to finance the delivery of essential services to help reduce poverty. One objective of the exercise is to identify the technical assistance requirements of the BIR and facilitate the participation of donors in strengthening tax administration at the BIR.
- Provided support to the Informal Task Force for BIR Reforms, a small group composed of Commissioner Bañez's Project Team, and representatives from the DBM, NEDA, and FEF, tasked with formulating strategies on coalition building and media advocacy efforts, as well as strategies for BIR reengineering. AGILE is also a member of the Task Force.
- AGILE's support through its partnership with FEF and participation in the Task Force for these reform initiatives at the BIR not only helped the BIR Commissioner avoid being politically isolated but more importantly also attracted firm support from the President of the Republic for his reform initiatives.

PLANS AND ISSUES FOR 3Q2001

AGILE will, for the third quarter of 2001:

Draft BIR Reengineering Blueprint and BIR Reengineering Bill

- Assist the Task Force for BIR Reforms in drafting the BIR Reengineering Blueprint. The BIR Reengineering bill shall be drafted based on the blueprint.

POLICY RESULT 1.1.D. LG CODE'S FINANCIAL STRUCTURE IMPROVED

1.1.d-1 Strengthening Local Government Finance

Most of the policy interest in local government finance during this quarter has centered on municipal bonds, how to promote them and what role the central government should play in their regulation. As the new Congress was preparing to come into session, the issue of necessary amendments to the Local Government Code of 1991, which were considered in the previous Congress, were also taken up. AGILE engaged legislators, local government officials, national government agencies, donor agencies, and the general public in discussions about proposed amendments to the Local Government Code. Other accomplishments that AGILE was able to help its counterparts achieve are mentioned below.

ACCOMPLISHMENTS

During the 2nd quarter, AGILE:

Assist in efforts to pass fiscally sound LGC bills in the Lower House and the Senate

- Helped get recommended LGC amendments included in the briefing kit for incoming members of the House of Representatives. The kit contains, among other items, a list of proposed legislation that the members of the House of Representative may wish to sponsor.
- Secured the commitment of Representative Victor Sumulong, the Chairperson of the House Committee on Local Government in the 11th Congress, and a re-elected representative in the 12th Congress, to file the required amendments to the LGC, especially those on credit financing as it relates to build-operate-and-transfer (BOT) projects, and on the formula for sharing in the Internal Revenue Allotment (IRA).

Support to the DOF initiative of improving local government finance

- Supported the meeting of the DOF and the BLGF with private sector stakeholders to finalize the implementing guidelines for municipal bond flotation. More specifically, it provided resource persons and facilitates during the meeting.

The guidelines approved during the meeting provide for a speedier process for bond flotation, and for better access by prospective investors to information regarding the bond float. Better access to bond flotation information should boost the creation of a secondary market for municipal bonds. Furthermore, the guidelines are intended to provide information to the DOF and BLGF about the issuer and the project for which the bond is being floated.

Collaboration with other USAID contractors and partners (PBSP-GOLD and FINEX)

- Conducted, with the Philippine Business for Social Progress (PBSP), the Donor's Forum with the National Government Agencies on Local Government Benchmarking in June. The workshop/forum allowed the donor and national government agencies to coordinate their respective initiatives and projects on monitoring and measuring the performance of local government units. The donors and national government agencies agreed to organize a three-day workshop to formulate a framework for sharing information and further cooperation.
- Facilitated efforts of the FINEX in coordinating with the DOF and the BLGF, particularly in seeking co-sponsorship for the Municipal Bonds Regional Workshops in Cebu, Bacolod, Cagayan De Oro, and Davao and the inclusion of the Municipal Bonds Regional Workshops as part of the official activities celebrating the 10th year of the Local Government Code of 1991.

PLANS AND ISSUES FOR 3Q2001

AGILE will:

- Advocate in the 12th Congress to ensure legislative support for the strengthening of local government finance.
- Continue providing assistance to the BLGF and DOF to facilitate the issuance of the implementing guidelines for municipal bonds flotation.
- Assist in disseminating information about municipal bonds and their flotation by providing resources and resource persons to, and assisting in the documentation of, a series of regional workshops in Cagayan de Oro and Davao in August, and in Cebu and Bacolod in September.
- Support efforts in formulating, using, and disseminating standard performance indicators for local governance.
- Assist the DOF formulate and design the pilot testing of a program/policy lending facility for selected LGUs that will utilize the Municipal Development Fund Office's Second Generation Fund.

STRATEGIC RESULT 1.3. FINANCIAL MARKETS DEEPENED AND BETTER REGULATED

Policy Impact Indicator: Non-Performing Loan Ratio

AGILE's impact on the banking sector should be reflected in a declining non-performing loan (NPL) ratio. By the end of 1999, six months after it began assisting the Bangko Sentral ng Pilipinas (BSP), AGILE targeted an NPL ratio of 14.2%. The actual NPL ratio was 11.4%. At the end of 2000, the target NPL ratio was set at 12.5% while the actual ratio stood at 15.1%. For 2001, no target has been set. End-May figures from the BSP show the NPL ratio at 16.8%, reflecting even greater distress in the commercial banking sector.

POLICY RESULT 1.3.A. BANKING SUPERVISION STRENGTHENED

1.3.a-1 Strengthening Central Banking Practices (Bank Examiner Training)

ACCOMPLISHMENTS

AGILE, in the second quarter of this year:

Banking Supervision and Policy

Loan Classification and Loss Provisioning

- Provided the BSP a comparative matrix on the approaches made by the Asian crisis countries (Indonesia, Malaysia, Korea and Thailand) in implementing corporate and financial sector reforms. The matrix focused on loan classification and loss provisioning guidelines and standards, and the tax treatment on loss provisions and bad debt write-offs. As a result of the analysis, AGILE suggested that the BSP conduct a review of procedures on, and controls over, the approval and reporting of rescheduled / restructured loans; and examine how the loan classification and loss provisioning functions are organized within the financial institution.

Asset Management Corporation

- Reviewed the organizational framework, legal character and operations of Asset Management Corporations (AMCs) in the selected Asian countries to help the BSP resolve the issue of whether or not to create AMCs to help unburden the NPLs of the banking sector. These countries and their AMCs are as follows:
 - Indonesia – Indonesian Bank Restructuring Agency (IBRA)
 - Malaysia – Danaharta
 - Korea – Korean Asset Management Corporation (KAMCO)
 - Thailand – Thai Asset Management Corporation (TAMCO)

- Provided background research on best practices in other countries as it relates to AMCs. Notably, the AMCs which have operated for a longer period than the Asian counterparts are Securum of Sweden and the United States Resolution Trust Corporation (US-RTC).

SUPERVISORY CAPABILITY STRENGTHENED AT THE BSP

The Bangko Sentral ng Pilipinas (BSP) further strengthened its supervisory capability by issuing Circular No. 280, series of 2001 that mandates the adoption of a risk-based capital adequacy framework pursuant to section 34 of the General Banking Law of 2000. The new capital framework, which is to take effect on July 1, is an important step in the BSP's efforts to align the capital charges on banks more closely with the underlying risks they and their subsidiaries assume. The new capital framework introduced by BSP is in line with the new framework established by the Basel Committee on Banking Supervision. Said framework aims to promote safety and soundness in the financial system by ensuring the existence of an adequate capital cushion in the banking system, supervisory review of capital adequacy of financial institutions, and observance of market discipline. The new framework will enhance the competitiveness of the Philippine financial system considering that many central banks in the world have already started to adopt the new framework. It also makes the capital framework in the Philippines at par with internationally accepted standards of capital adequacy.

AGILE provided technical advice to the BSP in the formulation of this framework.

The framework establishes a minimum level of capital-to-risk assets ratio for banks, incorporating off-balance-sheet exposures and a risk weighting system aimed in part at ensuring that banks are not deterred from holding low risk assets. This should improve the way regulatory capital requirements reflect underlying risks. The framework will apply initially to capital requirements for credit risks pending the issuance of supplementary guidelines applicable to market risks.

To address the important risk issue, AGILE assisted in drafting specific and detailed rules on:

- Risk weights to be assigned to on-balance sheet assets

For instance, on-balance sheet items such as claims on or portions of claims guaranteed or collateralized by securities issued by the national government and the BSP, central governments, and foreign central banks with the highest credit quality are assigned a risk weight of 0%. For housing loans that are fully secured by first mortgage on residential property, a 50% risk weight is required.

- Risk weights to be assigned to off-balance sheet assets

For these assets, the credit equivalent amount of an off-balance sheet item is determined by multiplying the notional principal amount by an appropriate credit conversion factor. This process of assigning risk weighting to individual assets considers each asset's varying degrees of credit risk exposure and the borrower's default risks and relies on external credit assessments.

- Definition of qualifying capital as the sum of core capital (Tier 1 Capital) and supplementary capital (Tier 2 Capital).

SUPERVISORY CAPABILITY STRENGTHENED AT THE BSP (CONT.)

In developing the framework for risk based capital, the BSP and AGILE actively solicited inputs from non-government agencies specifically Bankers Association of the Philippines (BAP) and FINEX.

AGILE will continue to work with the BSP in developing the capital framework for market risk to enhance consistency of treatment between the banking and the trading books, and to ensure adequate capital coverage for trading book items. The methodology will take into account the financial innovation that has occurred in recent years such as improvements in risk measurement and control.

AGILE will also be working with the BSP in reviewing approaches to make the framework more sensitive to credit risk including proposing a modified set of rules for setting capital requirements based on the bank's internal credit ratings.

Finally, AGILE will assist in identifying more specific factors such as market, operational and associated risk management processes that should be considered in assessing a bank's overall risk profile and adequacy of its capital.

Anti-Money Laundering Initiatives

Drafting of Anti-Money Laundering Bill

- Finalized the working draft of the Anti-Money Laundering bill based on the agreements on principles and policy considerations forged within an ad hoc committee it convened for the purpose, and on the insights gathered during several consultation workshops with the private sector. The ad hoc committee is composed of senior officials of the Department of Justice (DOJ), the Department of Foreign Affairs (DFA), the DOF, the BSP, and the Philippine Center for Transnational Crime (PCTC).

Conduct of sectoral workshops to discuss bill

- Helped organize several conferences and workshops involving civil society groups to discuss the bill. During these forums, valuable insights from different perspectives on how to improve the bill were gathered. From the bankers and financial executives, comments were obtained on how to render specific provisions more palatable to business entities and yet not result in the weakening of the law. From the judges and lawyers, questions and doubts on the validity and enforceability of the law, in light of the statutory and constitutional limitations found in Philippine law, were resolved.

The major conferences and workshops held to date were (1) a meeting held on 28 May 2001 with the FINEX, (2) a conference held on 29 May 2001 with a group of judges from various branches of the Regional Trial Court of Makati City, (3) a half-day workshop held on 11

June 2001 conducted by the BAP, and (4) a whole-day workshop held on 22 June 2001 at the University of the Philippines Law Center for lawyers.

Civil Society

- Started to network with civil society resource organizations to gain advocacy support for the passage of the anti-money laundering legislation, while participating in the Open Conference on National Governance and the NCR Consultation on Developing A Civil Society Anti-Corruption Agenda to advocate for the Anti-Money Laundering bill.

PLANS AND ISSUES FOR 3Q2001

To push further the reform process to strengthen the banking industry, AGILE will, in the next quarter:

- Advocate for the enactment of the Anti-Money Laundering bill in the 12th Congress among member of the House of Representative and Senate, the media, and key civil society groups. It will compile a database of select civil society groups and conduct a workshop with them to discuss the bill.
- Conduct a review of the key economic indicators and other data related to money laundering. Included in the data suggested to be gathered are the volume of money transfers into and from the Philippines; volume of trade of gold and other precious metals in the country; and data on existing numbered and fictitious accounts in the Philippine banking system.

POLICY RESULT 1.3.B. PENSION FUND REGULATION STRENGTHENED

1.3.b-1 Institutionalizing Reforms in Pension Plans

ACCOMPLISHMENTS

- No work was done in this area during the quarter, as the work of the Secretariat of the lead ad-hoc group on pension programs, the Presidential Retirement Income Commission (PRIC), ended in March 2001, without an extension.

PLANS AND ISSUES FOR 3Q2001

- The AGILE-DOF Satellite Office will continue to monitor policy and administrative issues on pension reform, and provide assistance as requested by the DOF.

1.3.b-1 (2) Passage of a PERA Law Designed to Increase Savings Mobilization

Accomplishments

AGILE cooperated with a Mr. Lawrence Tang, a graduate student from University of New York, in undertaking a study on tax and policy impediments to savings. In its last meeting, the NCS had requested AGILE assistance in conducting two studies, the above study and another on the determinants of savings. Mr. Tang offered his services for this study without remuneration.

PLANS AND ISSUES FOR 3Q2001

In support, specifically, of savings mobilization AGILE will:

- Assist the National Commission on Savings (NCS) in the conduct of the second study on the determinants of savings. AGILE will cooperate with a graduate student from the University of the Philippines for the study.
- Complete the study on the tax and policy impediments to savings and present this to the NCS. This essentially complies various studies on the topic in accordance with the request of the NCS. It will help the NCS coordinate policy formulation activities in the country as they affect savings.
- Continue to provide technical assistance and advocacy support for the PERA legislation through the NCS, the clearinghouse for all savings-related legislation, and the RIC.

POLICY RESULT 1.3.E. STOCK EXCHANGE BETTER REGULATED

1.3.e-1 Strengthening the Securities and Exchange Commission

ACCOMPLISHMENTS

AGILE, during the second quarter:

Identify the role of the SEC in strengthening and providing oversight of self-regulatory organizations such as the Philippine Stock Exchange.

- Together with the ADB, provided advice on legal issues concerning the upcoming demutualization of the PSE, including the PSE's self-regulatory duties.

Assist in the drafting of the implementing rules and regulations for an appropriate regulatory framework.

- Helped the SEC draft a new regulatory framework for oversight of broker dealer experiencing financial difficulty. This new framework clarifies the role of new unimpaired

paid-up capital requirements, surety bond, and securities investor protection fund in ensuring the protection of customer accounts of an insolvent broker-dealer.

- In close coordination with the SEC Non-Traditional Securities and Instruments Department, drafted Pre-Need rules requiring that an information brochure contain material disclosure about the pre-need plan being offered, mandating disclosure in pre-need plan contracts to clarify the rights and duties of the pre-need plan company and pre-need planholder, and qualification and related training requirements for pre-need salesmen.

Assist in the drafting and implementation of a framework for the Securities Investor Protection Fund.

- See comment above relating to the protection of customer accounts and the role of the SIPF.

Provide legal advisory services on the Securities Act of 2000 and other related issues.

- Provided ongoing advice on a number of issues, including enforcement procedures (due process concerns arising in connection with an SEC investigation, implementation of reforms in the regulation of pre-need plans to make them more fair to planholders and ensure the solvency of pre-need companies, demutualization, broker dealer segregation, broker director rule, settlement offers, etc.).
- Concluded and completed a comprehensive evaluation of the internal supervision and control procedures of all broker dealers who applied for registration under the SRC. Based on the assessment, it concluded that firms did not understand their duty of self-regulation through the adoption of "effective procedures" to ensure compliance with the SRC and the appointment of a qualified Associated Person. The SEC requested it to draft model procedures to provide guidance on these duties.
- Finalized and submitted the legislative history of the SRC to the SEC for official endorsement for use in the consistent interpretation of the Code.

PLANS AND ISSUES FOR 3Q2001

To continue the process of strengthening the SEC, AGILE, will in the third quarter:

- Finalize draft model internal supervision, control and compliance procedures for brokers.
- Complete the assessment of compliance with beneficial ownership reporting requirements.
- Complete the assessment on compliance with rules governing reporting of restricted securities (Broker/Director rule).
- Prepare a paper on international best practice standards on the regulation of the public trading of fixed income securities.

- Prepare new questions and related training materials for the examination for Associated Persons and update questions and related training materials for the examination of Salesmen.
- Review and prepare amendments to the Pre-Need Code incorporating needed reforms.
- Prepare a draft Investment Advisers Act.
- Begin the assessment of the SEC's implementation of reforms under the Code.

1.3.e-2 Strengthening Standards and Self-Regulation of Market Participants (PSE, SCCP, PCDI)

ACCOMPLISHMENTS

Help develop and establish a system for trading in additional products (e.g. debt) at the PSE.

- AGILE discussed with the BAP possible assistance it can provide in the development of a regulatory framework for the public trading of fixed income securities in accordance with the SRC.

POLICY RESULT 1.3.F. FINANCIAL-SECTOR TAXATION RATIONALIZED

1.3.f-1 Rationalizing the Taxation of Financial Services

ACCOMPLISHMENTS

Advocacy and support for approval of the proposed FSTRP bill.

- Advocacy work for the FSTRP bill has been on hold pending the opening of the 12th Congress in July, during which time, the bill is expected to be refiled.

The proposed FSTRP seeks to remove differentiation in tax treatment of similar types of financial services in a way as to maintain the tax revenues of the government from the financial sector. Specifically, it will: a) replace the currently implemented gross receipts tax (GRT) and the unimplemented value added tax on banks and financial service providers with a Financial Institution Tax to remove differentiation in tax treatment of similar types of financial services; b) introduce a "Thin capitalization Rule" that will equalize the taxation treatment of interest-free capital between foreign and local banks, c) rationalize taxation laws governing incomes and interest from foreign exchange transactions which create tax disadvantages for local banks and local currency depositors; and d) rationalize the structure of Documentary Stamp Tax (DST) on financial instruments and remove the application thereof on the secondary trading of securities to encourage long-term savings through the development of the capital market.

PLANS AND ISSUES FOR 3Q2001

In the 3rd quarter of this year, AGILE will focus on advocacy work in order to push the required measures to rationalize the taxation of financial services. More specifically, it will:

- Assist the DOF identify sponsors for the FSTRP bill in the 12th Congress and secure their commitment to refile the said bill.
- Assist the DOF conduct briefings for lawmakers to gain support for the bill.
- Advocate for the lifting of the DST on secondarily traded instruments. With the Capital Market Development Council (CMDC), it will push for the re-filing of the DST bill in the 12th Congress.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
Policy Result 1.1.b-1 Rationalizing Road User Charges in the Philippines	
<ul style="list-style-type: none"> <input type="checkbox"/> Design a technically viable system for road user charges <i>(by September 1999)</i>. <input type="checkbox"/> Draft proposed legislation to effect the recommended system <i>(by November 1999)</i>. <input type="checkbox"/> Provide technical backstopping to the DOF and DPWH during the legislation for the bill into law <i>(by December 1999 to January 2000)</i>. <input type="checkbox"/> Conduct an advocacy campaign to enact the proposed legislation <i>(by January 2000)</i>. <input type="checkbox"/> Draft the Implementing Rules and Regulations (IRRs) for the new law <i>(by July 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> Accomplished in August 2000.
Policy Result 1.1.b-2 Rationalizing Fiscal Incentives (Accelerated Depreciation)	
<ul style="list-style-type: none"> <input type="checkbox"/> Develop a framework for accelerated depreciation as a form of investment incentive. <i>(by December 2000)</i>. <input type="checkbox"/> Draft the Internal Revenue Regulations on accelerated depreciation <i>(by December 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> A framework for developing the IRRs for accelerated depreciation under the CTRP was developed and submitted to the BIR TWG. <input checked="" type="checkbox"/> Accomplished.
Policy Result 1.1.c-1 Strengthening Tax Administration at the Bureau of Internal Revenue	
<ul style="list-style-type: none"> <input type="checkbox"/> Participate in the DOF-IMF Tax Administration workshop <i>(to be held in mid-1999)</i>. <input type="checkbox"/> Organize and fund a Tax Computerization Program Workshop <i>(by late 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 1.1.d-1 Strengthening Local Government Finance	
<ul style="list-style-type: none"> <input type="checkbox"/> Deploy the Local Government Public Finance Advisor <i>(by March 1999)</i> <input type="checkbox"/> Assess the impact of decentralization on local government finances <i>(by September 1999)</i>. <input type="checkbox"/> Identify key finance-related problems emanating from the existing LGC financial structure <i>(by June 1999)</i>. <input type="checkbox"/> Formulate and evaluate options for improving the financial structure of local governments and appropriate amendments to the LGC <i>(June 1999)</i>. <input type="checkbox"/> Help secure committee approvals by July 1999. <input type="checkbox"/> Assist in efforts to pass fiscally-sound LGC bills in the Lower House and the Senate, with Committee Reports completed in both houses <i>(by December 2000)</i>. <input type="checkbox"/> Review the Bureau of Local Government Finance (BLGF) role in local government finance <i>(by July 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Completed in the 3rd quarter of 1999. AGILE's findings and recommendations were incorporated in House Bill 7845. <input checked="" type="checkbox"/> Initial work was done during 2Q99; the work was completed, however, during 3Q99. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Delayed, unlikely to proceed. <input checked="" type="checkbox"/> Delayed legislative progress. Work will continue as soon as the 12th Congress opens next quarter. Briefings are being scheduled for Incoming members of Congress. <input checked="" type="checkbox"/> Accomplished. Final report was submitted in September.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Develop policies and programs to make the BLGF more relevant and responsive to LGU needs <i>(by July 2000)</i>. <input type="checkbox"/> Develop innovations in local government financing through bond floatation and private sector investment 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> A report on LGU's non-traditional financing options was submitted to the DOF in September 2000. Also, a FINEX-AGILE Municipal Bonds Issuance Manual was completed and launched in October 2000.
Policy Result 1.2.a-1 Institutionalizing the Budget Dialogue Group	
<ul style="list-style-type: none"> <input type="checkbox"/> Deploy the Budgeting Reform Advocacy Specialist to the DBM <i>(by January 1999)</i>. <input type="checkbox"/> Institutionalize the budget dialogue process <i>(by December 1999)</i>. <input type="checkbox"/> Help secure Congressional appropriations for the BDG process <i>(by March 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Cancelled. The budget dialogue process did not progress as originally envisioned. <input checked="" type="checkbox"/> Cancelled.
<p>(NOTE: For activities related to expenditure policy improvements programmed for the year 2000 and beyond, please refer to Strategic Result 3.1.)</p>	
Policy Result 1.3.a-1 Strengthening Central Banking Practices	
<ul style="list-style-type: none"> <input type="checkbox"/> Deploy the Bank Supervision Advisor <i>(by March 1999)</i>. <input type="checkbox"/> Phase I. Develop and implement the benchmark for marking-to-market procedures for trading account portfolios <i>(by March 1999)</i>. <input type="checkbox"/> Phase II. Conduct training sessions for BSP personnel on the improvement of on-site examination procedures and practices <i>(by June 1999-March 2000)</i>. <input type="checkbox"/> Phase III. Conduct training to improve off-site surveillance systems and capacity <i>(by December 1999)</i>. <input type="checkbox"/> Propose regulations that facilitate increased the efficiency, safety, and scope of the banking sector's savings mobilization efforts <i>(by December 2000)</i>. <input type="checkbox"/> Establish the use of financial models and other early warning systems to highlight emerging financial issues, systemic risks, and the impact of regulatory policy innovations, while improving BSP risk anticipation <i>(by December 2000)</i>. <input type="checkbox"/> Institutionalize approaches for risk identification and risk resolution for improved BSP examination and supervision of banks <i>(by June 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. The Bank Supervision Advisor was mobilized as an STTA in March, and then later on as an LTTA in end-May. <input checked="" type="checkbox"/> Accomplished as planned. The bank mark-to-market accounting system became effective in May. <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> AGILE submitted various recommendations to the BSP in the areas of risk management, valuation and accounting of forwards, derivatives licensing, and cash settled securities swap. This was accomplished in 1Q2001. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> A Risk-based capital adequacy framework was formulated and adopted by the BSP.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<input type="checkbox"/> Propose ways to soften the bank secrecy law, and institute some administrative law judge or other specialized court system to rule on bankruptcy and bank closure <i>(by June 2001)</i> .	<input checked="" type="checkbox"/> Initial work has begun. Pending legislation on money laundering is being reviewed.
Policy Result 1.3.b-1 Institutionalizing Reforms in Pension Plans	
<input type="checkbox"/> Examine the current state of occupational pension plans in the Philippines <i>(by April 1999)</i> .	<input checked="" type="checkbox"/> Accomplished as planned. The findings and recommendations of the DOF-CSTF have been submitted to the Presidential Retirement Income Commission (RIC).
<input type="checkbox"/> Evaluate and recommend best practices <i>(by June 1999)</i> .	<input checked="" type="checkbox"/> Accomplished as planned.
<input type="checkbox"/> Develop options for establishing the legal, regulatory, and administrative framework for the occupational pension sector <i>(by August 2000)</i> .	<input checked="" type="checkbox"/> Accomplished as planned. The RIC will address main issues of the policy reforms.
<input type="checkbox"/> Assist in the advocacy efforts to legally enable the identified policy reforms relating to occupational pension plans <i>(August 2000)</i> .	<input checked="" type="checkbox"/> Delayed. Work will resume upon the opening of the 12 th Congress in July.
<input type="checkbox"/> Help enact appropriate legislation into law <i>(by March 2001)</i> .	<input checked="" type="checkbox"/> Delayed. Work will resume upon the opening of the 12 th Congress in July.
Policy Result 1.3.b-2 Passage of a PERA Law Designed to Increase Savings Mobilization	
<input type="checkbox"/> Assist the DOF in the conduct of revenue impact analyses of the proposed PERA bill; this activity will continue as technical and advocacy assistance throughout the legislative process and until passage of the bill <i>(by March 2001)</i> .	<input checked="" type="checkbox"/> TA to the NCS and RIC are ongoing. More aggressive efforts will ensue upon the resumption of the 12 th Congress next quarter.
<input type="checkbox"/> Help the Capital Markets Development Council (CMDC) advocate for the passage of the PERA law.	<input checked="" type="checkbox"/> See above.
<input type="checkbox"/> Aid the DOF in their goal to complete and adopt the PERA implementing rules and regulations (IRRs) <i>(by March 2001)</i> .	<input checked="" type="checkbox"/> See above.
Policy Result 1.3.c-1 Promoting the Development of Philippine Securitization Markets	
<input type="checkbox"/> Review and assess the legal, policy, and regulatory infrastructure affecting the development of the securitization market <i>(by September 1999)</i> .	<input checked="" type="checkbox"/> Accomplished as planned.
<input type="checkbox"/> Assist in the convening of a series of roundtable conferences aimed at refining recommendations for reform and assessing industry concerns about securitization <i>(by September 1999)</i> .	<input checked="" type="checkbox"/> Accomplished as planned.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Formulate an appropriate policy framework that will guide the Philippine government in promoting reforms in the securitization markets <i>(by September 1999)</i>. <input type="checkbox"/> Formulate policy and operational recommendations for securitization market reforms by identifying the required legal, regulatory, fiscal, and institutional reforms <i>(by September 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 1.3.d-1 Developing Options for Strengthening the Philippine Mutual Funds Industry	
<ul style="list-style-type: none"> <input type="checkbox"/> Formulate options for developing the Philippine mutual funds industry and establish innovative distribution channels for mutual funds shares <i>(by March 1999)</i>. <input type="checkbox"/> Present the development options and their impact on the industry to Congress and relevant stakeholders groups <i>(beginning March 2000)</i>. <input type="checkbox"/> Conduct technical briefings and other policy advocacy activities geared towards mutual funds industry reforms, and continuing until passage of the RICA bill <i>(beginning July 2000)</i>. <input type="checkbox"/> Secure passage of the RICA bill containing provisions proposed by AGILE consultants <i>(by December 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Work completed in March 2000. <input checked="" type="checkbox"/> Accomplished in March 2000. <input checked="" type="checkbox"/> Technical work completed; advocacy phase ongoing. <input checked="" type="checkbox"/> Delayed. Work will begin when the 12th Congress opens next quarter.
Policy Result 1.3.d-2 Strengthening the Pre-Need Industry	
<ul style="list-style-type: none"> <input type="checkbox"/> Formulate policy options for legal, regulatory, and administrative reforms to improve regulation of the pre-need industry <i>(by March 2000)</i>. <input type="checkbox"/> Develop a sounder structure for the comprehensive regulation of the pre-need industry <i>(by June 2000)</i>. <input type="checkbox"/> Secure the passage of a Pre-Need Code containing AGILE's recommendations on the Pre-Need industry <i>(by March 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> AGILE submitted the final version of the Pre-Need Study to the DOF in June 2000. <input checked="" type="checkbox"/> Completed in March 2000. <input checked="" type="checkbox"/> Passage will not take place during the 11th Congress.
Policy Result 1.3.e-1 Strengthening the Securities and Exchange Commission	
<ul style="list-style-type: none"> <input type="checkbox"/> Install the Securities Regulation Advisor at the SEC <i>(by June 1999)</i>. <input type="checkbox"/> Formulate policy options for the reforms to strengthen the regulatory framework of the SEC <i>(by September 1999)</i>. <input type="checkbox"/> Design and conduct training for the SEC and principal capital markets institutions <i>(by October 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished with slight delay in mobilization. Actual placement of the Securities Regulation Advisor (LTTA) was in August 1999. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Ongoing.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Identify the role of the SEC in strengthening and providing oversight of self-regulatory organizations such as the Philippine Stock Exchange <i>(by September 2000)</i>. <input type="checkbox"/> Assist in the drafting of the implementing rules and regulations for an appropriate regulatory framework <i>(by June 2000)</i>. <input type="checkbox"/> Assist in the drafting and implementation of a framework for the Securities Investor Protection Fund. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished through a provision in the SRC. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Completed
Policy Result 1.3.e-2 Strengthening Standards and Self-Regulation of Market Participants (PSE, SCCP, PCDI)	
<ul style="list-style-type: none"> <input type="checkbox"/> Formulate options for reforms to strengthen the role and regulation of the SCCP and the PCDI <i>(by June 2000)</i> <input type="checkbox"/> Help develop and establish a system for trading in additional products (e.g. debt) at the PSE <i>(by August 2000)</i>. <input type="checkbox"/> Formulate options for reforms to strengthen the self-regulatory framework of the PSE in conformity with international best practices <i>(by September 2000)</i>. <input type="checkbox"/> Assist in the drafting of rules and amendments thereto for an appropriate self-regulatory framework in accordance with international best practices <i>(by December 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Accomplished as planned. However, the necessary hardware and software for bonds trading is not yet fully in place, thus active trading in loan products has not begun. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Completed.
Policy Result 1.3.f-1 Rationalizing the Taxation of Financial Services	
<ul style="list-style-type: none"> <input type="checkbox"/> Develop policy and operational recommendations on how the taxation of the financial sector can be rationalized <i>(by May 1999)</i>. <input type="checkbox"/> Assess revenue implications of the imposition of such a tax on financial institutions <i>(by June 2000)</i>. <input type="checkbox"/> Draft a proposed bill on the FSTRP to be filed in the Lower House by <i>(September 2000)</i>. <input type="checkbox"/> Conduct consultative meetings (including preparation of primers, briefing materials, and other information kits) with the legislators and the private sector on the merits of the recommended provisions of the FSTRP <i>(beginning July 2000)</i>. <input type="checkbox"/> Provide advocacy support for the approval of the proposed FSTRP bill: <ul style="list-style-type: none"> ⇒ in the Lower House <i>(by October 2000)</i>. ⇒ in the Senate <i>(by November 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Completed in mid-September 2000. A budgetary neutral package has been submitted. <input checked="" type="checkbox"/> Completed in September 2000. <input checked="" type="checkbox"/> Advocacy was conducted to relevant stakeholders during the previous quarters. A more aggressive advocacy effort will begin when the 12th Congress opens in July 2001. <input checked="" type="checkbox"/> Please see notation above.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Draft the FSTRP IRRs (<i>to be adopted by December 2000</i>). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Work has not begun pending the passage of the FSTRP bill.
Policy Result 1.3.g-1 Revitalizing Housing Finance	
<ul style="list-style-type: none"> <input type="checkbox"/> Examine the current policy framework and the environment of the housing finance sector and determine the appropriate roles of the different housing agencies (<i>by September 1999</i>). <input type="checkbox"/> Formulate the necessary infrastructure for strengthening and regulating the housing finance sector (<i>by September 1999</i>). <input type="checkbox"/> Recommend the appropriate legal, regulatory, and administrative framework to more efficiently mobilize funds (<i>by October 1999</i>). <input type="checkbox"/> Incorporate the findings into the bills currently filed in Congress (<i>by October 1999</i>). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Work in this area is postponed pending USAID's decision on continued support to this activity.

IR2: TRADE AND INVESTMENT MORE COMPETITIVE AND DYNAMIC

STRATEGIC RESULT 2.0 OVERALL TRADE AND INVESTMENT MORE COMPETITIVE AND DYNAMIC

Policy Impact Indicator: Growth in formal sector employment of both males and females, and the ratio of the gross domestic capital formation to the gross domestic product.

Private, formal sector employment of both men and women is an indicator of progress in achieving AGILE's objective of fostering a more competitive and dynamic trade and investment climate. From 1999 through 2001, AGILE tentatively targets a 5% growth in private, formal sector employment for both males and females, substantially exceeding the rate of growth of the working-age population of about 2.5%. In 1999, female employment exceeded expectations, growing by 7.4%. Male employment fell short of target, growing only by 3.7%. In 2000, female employment declined by 0.8%, and male employment contracted by 1.4%.

The higher the ratio of investment, as measured by Gross Domestic Capital Formation (GDCF), to GDP, the better the prospect of increased growth in the future. In the aftermath of the Asian financial crisis, the Philippine GDCF/GDP ratio declined to 21.1% in 1999 from 22.2% in 1998. It has fallen further, to 20.7% in 2000. These ratios are substantially lower than the AGILE targets (established in 1999) of 28% for 1999 and 2000.

For 2001, AGILE initially targeted a GDCF/GDP ratio of 30.0%. Preliminary figures, however, show that this year's ratio might be lower than last year's, hence the target is unlikely to be met. During the first quarter of 2001, the ratio was 23.4%, a reduction from the 24.00% in the same period in 2000.

STRATEGIC RESULT 2.1. TRADE POLICY AND REGULATORY REFORM

Policy Impact Indicators: The ratio of imports and exports to the GDP, and Effective Protection Rates (EPRs)

The ratio of imports plus exports of goods and services to the GDP measures the openness of the economy. This ratio went down by 3.1 percentage points in 1999, from 95.9% in 1998 to 92.8% in 1999. It, however, bounced back to 98.8% during the first three quarters of 2000.

Effective Protection Rates (EPRs), meanwhile, measure how well trade policy is promoting the international competitiveness of local industries. EPRs quantify the net effect of tariffs on an industry's local value added at a specific point in time with what would have been the local value-added under free trade.

The latest available EPR estimates are still those made under the 1999 USAID-commissioned study by Manasan and Pineda. This study found that the tariff restructuring undertaken in 1997-1998 under EO 486 resulted in a marked reduction in overall levels of protection from 19.0% in 1998 to 16.8% in 1999 (and an expected 14.4% in 2000). AGILE targeted 18.0% and 15.0% for 1999 and 2000, respectively. During this period, however, it was observed that there was a marked increase in the dispersion of nominal/implicit tariff rates and EPRs, indicating greater unevenness in the protection structure across industries.

POLICY RESULT 2.1.0. OVERALL TRADE POLICY AND REGULATORY REFORM

2.1.0-2 Policy Task Management for AGILE-Supported Trade Policy Reforms

Intellectual Property Rights (IPR) protection was identified as a new area to work on, considering the growing serious problems of piracy and counterfeiting which have caused the country's inclusion in the Priority Watch List of the Office of the United States Trade Representative (USTR).

In preparation for work in support of better implementation of the Intellectual Property Code, AGILE held consultations with regulators (e.g. IPO and VRB) and with industry groups. As a result of these meetings, AGILE concluded that a diagnostic that would focus on what it could do to help strengthen intellectual property protection would need to be conducted before proceeding with specific technical assistance.

PLANS AND ISSUES FOR 3Q2001

In the next quarter, AGILE will:

- Complete the diagnostic evaluation of IPR issues and continue coordinating with government and the private sector to harness support for its anti-piracy reform process.
- Draft a work program from the diagnostic evaluation.
- Mobilize a consultant to draft IRRs for border IPR enforcement by the BOC.
- Provide technical assistance to the DTI in the conceptualization and execution of the proposed Anti-Piracy Program.

POLICY RESULT 2.1.B TRANSACTION VALUATION IN USE BY THE BOC

2.1.b-1 Implementing the WTO System of Import Valuation

The Customs Valuation Bill (HB 8623 and SB 2196) automatically became law as RA 9135 on 28 April.¹ The law became effective on 2 July, two weeks after being published in two major newspapers.

The BOC and USAID signed an MOA on 20 June to formalize technical cooperation on work related to implementing RA 9135 (The Customs Valuation Act). The MOA focused on assistance that would ensure transparency and accountability in the new customs procedures, and that would improve trade facilitation and institutionalize compliance or "post-entry" audits. To ensure effective implementation of the new law, the Commissioner of Customs created a Post-Entry Audit Management Steering Committee, assisted by a Technical Subcommittee that is

¹ "An Act Amending Certain Provisions of Presidential Decree No. 1464. Otherwise known as the Tariff and Customs Code of the Philippines, As Amended, and For Other Purposes".

subdivided into four Technical Working Groups: Legal Matters, Operational Systems and Procedures, Risk Management, and Change Management. The AGILE workplan was considered as the baseline document for the PEA-TSC work.

ACCOMPLISHMENTS

To assist in the implementation of a WTO-consistent system of import valuation, AGILE:

Draft and issuance of the IRRs based on the new law

- Helped the BOC TWG on Legal Matters draft the general IRRs, soliciting written comments from importers and brokers.² The draft has been under discussion with representatives from the Philippine Chamber of Commerce and Industry (PCCI), Chamber of Customs Brokers, Inc. (CCBI), Port Users Confederation (PUC), Federation of Philippine Industries (FPI), and Purchasing Managers Association of the Philippines (PMAP), and will be submitted for public hearing.

Design of a post-entry audit system (by August 2000), with implementation and training

- Reviewed the PEA guidelines issued by the TWG on Operational Systems and Procedures. The review essentially clarified the details of the procedures and aligned them with ongoing processes without changing the overall basic steps.

Design a risk management system to complement the post-entry audit scheme

- Submitted the Risk Management (RM) concept paper to the PEA-TSC that contains the RM steps, sampling methodology, and selectivity criteria. The same was also presented to core BOC MIS and enforcement personnel. The presentation focused on four key steps in RM (collect data and information, analyze and assess risk, prescribe actions, and track report findings.) Risk management areas were categorized into two: voluntary and enforced compliance, and the sampling methodology drew from each of these.
- Finalized the annexes to the RM guidelines, consisting of (a) Overview of Statistical Software, (b) Creating a Forecast Model, and (c) Pinpointing Risk Areas Using the Answer Tree.
- Developed a program for automating the identification of commodity sections, and for extracting data per port and per HS code. This is necessary to ensure consistency in valuation and help analyze revenue forecasts. This is the first time that this is being done using the software which allows one to determine the reasons for discrepancies among revenue district. It will help set realistic targets, which can be used as bases for evaluating performance. AGILE presented the software to MIS personnel who will be involved in implementing the RM system.

² This will be issued as a Customs Administrative Order.

Assist in the formulation and implementation of the required BOC organizational changes

- Helped the TWG on Change Management draft a workplan for necessary changes. AGILE also helped prepare an order creating an Interim PEA Unit, specifying personnel requirements and qualifications, and recommending qualified personnel. This Unit will consist of a Trade Information and Risk Analysis Unit, and a Compliance Assessment Unit. The TWG decided to create an Interim Unit to speed up the initiation of audits. A permanent PEA unit, which would require an EO and budgetary approval from the DBM and Civil Service Commission (CSC), could take a long time to set up.
- Prepared and circulated in all BOC offices and ports promotion and advocacy materials for PEA implementation.

Others

- Initiated work with the NSO and the Tariff Commission on developing detailed commodity descriptions for importers to use to reduce classification errors in entry declarations. The NSO has a handbook that could be used as a guide.³ The Tariff Commission is awaiting the approval, by the Association of South East Asian Nation (ASEAN) Economic Ministers, of the ASEAN Harmonized Tariff Nomenclature (AHTN), which will be used across ASEAN members starting in 2002 in lieu of the harmonized system.
- Began to discuss with the EDI contractor how to decrease the high cost of electronic lodgment of entry declarations, networking, and electronic payment facility for importers at the BOC.
- Provided the DOF with data on credit information mechanisms to facilitate the establishment of the DOF-led Importer Classification Monitoring and Review Board.
- Liaised with the Transparency and Accountability Network towards developing an anti-corruption program. This coordination effort aims at developing and implementing a civil society program for monitoring implementation of the new customs valuation procedures.
- Drafted the response to the WTO Customs Valuation checklist, and finalized it to include the comments of the PEA-TSC members. The information required in the checklist was requested by DTI Asst. Secretary Antonio Buencamino in response to the US Embassy's inquiry on the status of compliance by the Philippine Government of its obligation to notify the WTO about its implementation of the valuation agreement.
- Drafted the response to the Japan International Cooperation Agency (JICA) questionnaire on PEA implementation.

³ Handbook of Harmonized System – Philippine Standard Commodity Classification (HS-PSCC) concordance at the sub-8-digit level.

PLANS AND ISSUES FOR 3Q2001

For the third quarter of this year, AGILE will:

- IRRs
 - Assist the BOC conduct stakeholder consultations on the IRRs of RA 9135.
 - Finalize the Customs Administrative Order (CAO) containing the RA 9135 IRRs incorporating comments and suggestions from stakeholders in the process.
 - Conduct a public information campaign on the CAO, upon its approval by the Secretary of Finance.
 - Draft Customs Memorandum Orders (CMOs) incorporating further details of the proposed CAO and then assist the BOC conduct public/stakeholders consultations on them.
 - Conduct public information campaign on the CMOs.
- PEA Preparations
 - Help obtain approval for the CMO creating the Interim PEA Office.
 - Conduct orientation seminars for stakeholders and BOC personnel on RA 9135 and the PEA.
- Conduct Test PEA
 - Formulate a compliance audit strategy.
 - Supervise the audit team in conducting an actual test audit, and provide a refresher course on profiling and other preparatory steps, and on PEA procedures to the team.
 - Mobilize an international expert to help the BOC align its PEA system with international standards.
- Risk Management and the IT systems
 - Submit to the BOC the RM paper, including the results of revenue forecasting and targeting, sampling methodology, selectivity criteria, and description of software, for comments and suggestions.
 - Develop means of integrating the SGL Facility into the general RM system.
 - Run the software to analyze trade compliance issues raised by the TWG on RM.

- Train designated BOC analysts on the methodologies
- Coordinate with government statistical agencies on the use of the model and in establishing standards units of measure and detailed commodity descriptions.
- Suggest complementary action by the Importer Classification Monitoring and Review Board (ICMRB).
- Consultations and Information Dissemination
 - Develop communication materials on the new systems and procedures.
 - Coordinate with anti-corruption groups in developing programs for civil society's involvement in protecting the new systems from corruption.

STRATEGIC RESULT 2.2. INVESTMENT POLICY AND REGULATORY REFORM

Policy Impact Indicators: Private Formal Sector Employment by Gender

A new set of indicators of overall AGILE impact is private formal sector employment by gender. Private, formal sector employees correspond, in the Integrated Survey of Households, to the category of wage and salary workers in private establishments. As AGILE-sponsored reforms take effect, a 5% annual growth in employment for both males and females should indicate success for AGILE as this rate substantially exceeds the growth rate of the working-age population, which is about 2.5%. In 2000, male private, formal sector employment contracted by 1.4%, while that of females declined by 0.8%.

POLICY RESULT 2.2.0. OVERALL INVESTMENT POLICY AND REGULATORY REFORM

2.2.a-2 Developing a Policy Framework for Contingent Liabilities

ACCOMPLISHMENTS

For the period, AGILE:

- Presented the results of the study it conducted on contingent liabilities to the Coordinating Council for Private Sector Participation (CCPSP), NEDA, DBM, DOF, and Asian Development Bank (ADB).
- Refined the framework for computing risk in Government-owned and-Controlled Corporations (GOCC)-related contingent liabilities.
- Refined the draft legislation repealing Automatic Guarantees by specifying the sections in the various charters of GOCCs that will be repealed.

PLANS AND ISSUES FOR 3Q2001

- AGILE is currently finalizing with the DOF the scope of its possible support to the agency in the area of contingent liabilities. This will entail following up on draft SOWs submitted to the DOF and the DBM for possible assistance:
 - A draft SOW for AGILE assistance to the DOF in the setting-up of a unit to manage guarantees; evaluate contingent liability risks; establish benchmarks for project approval; and systematically monitor, provision, and budget for contingent liabilities on the long term.

- A draft SOW for AGILE assistance to the DBM on the interfacing of the DOF contingent liability management system with the agency's Medium-Term Expenditure Framework (MTEF) and Operational Performance Indicator Framework (OPIF).

POLICY RESULT 2.2.B. EXPANDED INVESTMENT IN TELECOMMUNICATIONS

2.2.b-1 Promoting Telecommunications Policy Reform

ACCOMPLISHMENTS

Convergence Policy

- AGILE, during the quarter, continued assisting the DOTC gather formal comments from telecommunication companies (telcos) on the Infocomms/Convergence Consultative Paper it prepared and was issued by the DOTC last December 2000.

PLANS AND ISSUES FOR 3Q2001

- AGILE will determine additional technical and advocacy support on convergence policy.

2.2.b-2 Promoting Telecommunications Regulatory Reform

ACCOMPLISHMENTS

To push forward the reform process in telecommunications regulation, AGILE:

- Advocated for the implementation of the GMA phones program among DOTC senior officials and key staff. The program is designed to have the 3.8 million unsubscribed telephone lines in the country subscribed. To build internal and external support for the entire pricing regime program, it named the program "Go for More Affordable Phones" or GMA phones.
- Presented the IRRs on Interconnection of Authorized Public Telecommunication Entities (PTEs) to the technical staff of the NTC and clarified issues on dispute resolution and the charging guidelines.

Wholesale Pricing Regime

- Drafted the Memorandum Circular (MC) on interconnect and access charges for a revenue-neutral starting point for firms. This will begin the transition from cost-reflective to cost-based pricing.
- Conducted a workshop on the overall framework embodied in MCs 1-5 (interconnection, wholesale pricing, retail price regulation, and price control arrangement and processes)

among the three Commissioner and by the Technical and Rates Division staff of the Common Carriers Authorization Department of the NTC.

Retail Pricing Regime

- Conducted a series of workshops on the wholesale pricing regime to educate the stakeholders; get buy-in from key staff of the NTC, and to discuss the draft MC on retail pricing.

Universal Access

- Secured from NTC Com. Eliseo Rio an acceptance of the proposed timeline for its assistance on Universal Access.
- Started the assessment of the Service Area Scheme (SAS) and reviewed options for an alternative successor program.

Frequency Management

- Assisted the NTC conduct a public hearing on additional frequencies for the fast-growing cellular mobile segment of the telecommunications market.
- Assisted the NTC finalize the MC on frequency management based on inputs from the public hearing.
- Started developing a strategic plan and framework for its assistance on frequency management.

Accounting regime

- The previous work plan envisioned AGILE assistance to develop a cost allocation manual to guide the NTC in its pricing approval process. However, the revised pricing approach will focus on forward-looking costs as opposed to historical costs. With that revision in strategy, AGILE decided that, while waiting for the outcome of the five MC frameworks, there is no rationale for developing the cost allocation manual. If the country adopts cost-based pricing/tariff rebalancing, which AGILE foresees as likely in the next couple of years, the Cost Allocation Manual will have become obsolete. At the moment, developing a cost allocation manual is not sustainable in the near term, as it is always subject to periodic changes. Further, the NTC does not have the necessary number of skilled personnel to undertake the task.

PLANS AND ISSUES FOR 3Q2001

For the third quarter, AGILE will:

- Facilitate a meeting between the NTC and the CEOs of public telecommunication entities (PTEs) to discuss the NTC's approaches in moving towards cost-based pricing both in retail and wholesale. It will also assist NTC Commissioner Rio in making a presentation to key PTEs to get buy-in for the GMA Phone Program.

Wholesale Pricing Regime

- Assist the NTC consolidate inputs from the PTEs on the proposed wholesale pricing regime.
- Assist the NTC with public hearings on the wholesale pricing regime.
- Draft the MC on the wholesale pricing regime based on inputs from the PTEs and the results of the public hearings.

Retail Pricing Regime

- Assist the NTC conduct the first public hearing for the MC on retail pricing.
- Assist the NTC refine the MC based on the results of the public hearing.

Universal Access

- Present the draft assessment of the SAS and the recommendations for the post-SAS scheme during an NTC-AGILE workshop.
- Based on the results of the workshop, formulate a more detailed strategy and set of activities for its advocacy program on the SAS.

Frequency Management

- Present to USAID and the NTC a strategic plan for its assistance in Frequency Management.
- Once approved, commence implementing the strategic plan.

2.2.b-3 Promoting Electronic Commerce

AGILE's current counterpart for this activity is the Information Technology and Electronic Commerce Council (ITECC). In June, a new Executive Order was issued transferring the secretariat from the Department of Trade and Industry (DTI) to the Office of the President. In addition, a new Executive Director was appointed. On June 27, AGILE met with the new Executive Director to discuss AGILE's assistance program for promoting e-commerce.

ACCOMPLISHMENTS

To promote e-commerce, AGILE:

Certificate Authority

- Drafted the IRRs for Electronic Authentication based on comments from various stakeholders.
- Drafted the Consultative Document establishing the guidelines for Certification Authority. The DTI issued this document to various industry stakeholders for formal comments.

E-Services Management Plan

- Presented its draft e-services management plan to the DT for review.

IT Services Survey

- Drafted the terms of reference (TOR) for a cost-sharing arrangement to conduct a survey on IT services, proposed by USAID/Washington.

Intellectual Property Association

- Continued the search for the Executive Director the IP Association, which it will mobilize and support once such an officer is identified.

PLANS AND ISSUES FOR 3Q2001

Certificate Authority

- Help the DOTC conduct the public hearing on the rules on Electronic Authentication it drafted.
- Assist the DOTC revise the IRRs based on the comments from the public hearing.

E-Services Management Plan

- Assist the ITECC conduct a workshop to review the management plan.
- Discuss with the new ITECC Executive Director the next steps for the management plan.

IT Services Survey

- Determine future assistance to the ITECC in this area, if warranted.

Intellectual Property Association

- Select and recruit and select an Executive Director for the association.
- Formulate a more detailed plan of assistance to the counter part.

POLICY RESULT 2.2.E. INCREASE EFFICIENCY IN INTER-ISLAND SHIPPING

2.2.e-1 Ports Privatization

ACCOMPLISHMENTS

PORTS MODERNIZATION

- Through the Coalition for Ports Modernization (CPM), presented to the Mindanao Business Council (MBC) on the North Harbor, ports and shipping tariff issues and the RORO project, after which the MBC committed to become a member of the Coalition and to advocate for the review of tariff setting process.
- Continued advocating for the issuance of a new EO rescinding EO 59.

North Harbor Privatization

- Through the CPM, secured the commitments of Philippine Ports Authority (PPA) General Manager Al Cusi that if North Harbor is privatized, full competition will be ensured in each area of operation, namely, the RORO, LOLO, and “break bulk”. The Coalition commented on the alternative masterplan of the PPA for the North Harbor, i.e., to divide North Harbor operations into 3 areas. Based on these comments, the PPA revised the plan.
- Discussed with the PCCI and some members of the North Harbor Monopoly Consortium developments in the alternative masterplan.
- Advocated with DOTC Sec. Alvarez the need to address the pending issues on E.O. 59, the monopoly in North Harbor, and the controversies at the PPA.

Port Administration

- Continued to work on the draft legislation on the PPA Charter to separate the body’s operator and regulatory functions, which hamper competition.

Tariff Setting

- Secured from the commitment PPA GM Cusi to review the tariff structure and previous rate increases (focusing on the process of consultation and public hearing).
- Began efforts to develop a cost-based methodology and a “how to” manual for establishing cargo handling and port charges.
- Provided users and other stakeholders with information on how tariffs are derived and the relevant cost component that should be considered in determining the tariff rates so they can negotiate with shipping companies for more competitive rates in cargo handling.

- Secured a commitments from MARINA Administrator Oscar Sevilla to review the process for increasing port fees.
- Assisted the DMAP seek a temporary restraining order and preliminary injunctions from the Court of Appeal against the petition of shipping lines for a 20% like in freight rates.

Promoting RORO

- Together with its government counterpart, the DMAP, organized seminars to discuss the proposed RORO project with shippers, truckers, and ship operators in Manila, Cebu and Davao.
- Identified other possible participants who may want to operate the ship and prepared pre-feasibility studies.
- Facilitated the organizing of the Philippine RORO Service Corporation.

PLANS AND ISSUES FOR 3Q2001

AGILE will:

Ports Modernization

- Draft a new EO for port modernization incorporating competition and transparency.

North Harbor Privatization

- Through the CPM, reviews the PPA draft of the TOR for the North Harbor Port Development Plan.
- Continue advocacy efforts with, among others, DOTC Sec. Alvarez and Sec. Dominguez, Presidential Adviser on Regional affairs.

Port Administration

- Begin the drafting of a legislation on the new Port Administration Policy (including the amendments to the PPA Charter) to decentralize PPA's regulatory functions and establish independent regulatory bodies.

Tariff Setting

- Finalize the case filed with the Court of Appeals against the MARINA for culpable violation of the Public Service Act in connection with the 20% rate increase approved last February.
- Recommend to PPA GM Cusi the adoption of MARINA's rules as a quasi-judicial body in implementing its rate-setting powers.

Promoting RORO

- Coordinate with a potential RORO investor to explore how it can assist in securing financing from the DBP on its existing port and ferry project, and to discuss its possible role in the Trans-Visayas Intermodal Network.
- Meet with Mindanao shippers, DMAP and some truckers in Davao to discuss the feasibility of the RORO project.

POLICY RESULT 2.2.F. INCREASED COMPETITION IN AIR TRANSPORTATION

2.2.f-1 Addressing Transportation Policies to Promote Tourism

ACCOMPLISHMENTS

In the more specific are of transport policy reform, AGILE:

During the quarter, former Freedom to Fly Coalition (FFC) spokesperson, Bertie Lim, was appointed as member of The Civil Aeronautics Board (CAB). This appointment opened up a new avenue for AGILE to continue advocating for the reforms in transportation policy.

In the meantime AGILE continued its advocacy efforts mainly through a media briefing and the release of articles and press statements.

Legislation for Civil Aviation Liberalization

- Reviewed legislative advocacy strategies to push for bills on civil aviation liberalization in the 12th Congress.

Bilateral and Multilateral Open Skies Agreement

- Continued its research for the drafting of policy briefs on bilateral open skies and capability-building for the CAB.

Clark Autonomy

- Met with board members of the Clark Development Corporation (CDC) to discuss a possible technical assistance package for air transport liberalization and regional development.

PLANS AND ISSUES FOR 3Q2001

AGILE will:

Legislation for Civil Aviation Liberalization

- Continue review of the bills on civil aviation liberalization for the convening of the next Congress in August 2001.

Implementation of EO 219

- Together with the World Tourism Organization (WTO), the Coalition to prepare a position paper on Philippine civil aviation and its impact on the economy.

Bilateral and Multilateral Open Skies Agreement

- Assist in the public information on the multilateral open skies and the RP-US exploratory talks, which is set for July 30, 2001.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
Policy Result 2.1.0-1 (a) Policy Advisory Services to the GOP on Trade and Related Matters	
<ul style="list-style-type: none"> <input type="checkbox"/> Establish a satellite office at the Department of Trade and Industry (DTI) <i>(by March 1999)</i>. <input type="checkbox"/> Supply a Trade Policy Task Manager to the DTI <i>(by March 1999)</i>. <input type="checkbox"/> Establish a satellite office at the Department of Agriculture (DA) <i>(by April 1999)</i>. <input type="checkbox"/> Supply an Agriculture Policy Task Manager to the DA <i>(by April 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Established as scheduled. <input checked="" type="checkbox"/> Supplied as scheduled. <input checked="" type="checkbox"/> Established as scheduled. <input checked="" type="checkbox"/> Accomplished as scheduled.
Policy Result 2.1.a-1 Sustaining the Implementation of the Government's Tariff Reduction Program	
<ul style="list-style-type: none"> <input type="checkbox"/> Formulate the Executive Order for duty exemptions on agriculture and fisheries inputs, granted under Section 109 of the Agriculture and Fisheries Modernization Act of 1997 (AFMA) <i>(beginning June 1999)</i>. <input type="checkbox"/> Formulate the criteria for tariff setting and submit a draft Executive Order with the technical justifications <i>(by the government's target date of June 2000)</i>. <input type="checkbox"/> Conduct policy analysis and advocacy work to contribute to the issuance of an Executive Order lowering tariffs by the Philippines <i>(by December 2000)</i>. <input type="checkbox"/> Conduct consultations to obtain public support of the EO, which will be issued in December 2000. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned. The criteria were formulated in 4Q 2000. <input checked="" type="checkbox"/> Provision of technical assistance has been completed. Awaiting issuance of the EO. <input checked="" type="checkbox"/> Discussions have been held with stakeholders during the drafting of the EO.
Policy Result 2.1.b-1 Implementing the WTO System of Import Valuation	
<ul style="list-style-type: none"> <input type="checkbox"/> Conduct a U.S. study tour and training for customs examiners on the application of the transactions value method under the auspices of the U.S. Customs Bureau <i>(December 1998)</i>. <input type="checkbox"/> Design the implementation of information technology systems <i>(February-June 1999)</i>. <input type="checkbox"/> Assist in the formulation and implementation of a strategic advocacy plan for conversion to the transactions valuation (TV) method <i>(March-December 1999)</i>. <input type="checkbox"/> Conduct echo seminars for BOC personnel nationwide <i>(April 1999-February 2000)</i>. <input type="checkbox"/> Assist the BOC in drafting amendments to Republic Act 8181 <i>(by May 1999)</i>. <input type="checkbox"/> Coordinate U.S. Customs Bureau complementary assistance to the BOC on census edit checks, risk management, and training <i>(by March 2000)</i>. <input type="checkbox"/> Ensure the passage of a WTO-consistent bill <i>(by September 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. Seven (7) BOC officials took part in the study tour. <input checked="" type="checkbox"/> Accomplished as planned. Assisted in operationalizing the IT system, data warehouse, and valuation module. <input checked="" type="checkbox"/> Completed. Aggressive information campaign to all stakeholders have been conducted. <input checked="" type="checkbox"/> Completed. Valuation training program turned over to the BOC. Training will now focus on the PEA. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> The bicameral conference committee report of the Customs Valuation bill has been approved. The bill is awaiting signature of the President to make it into law by next quarter.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Draft and work for the issuance of the IRRs based on the new law <i>(by October 2000)</i>. <input type="checkbox"/> Design a post-entry audit system <i>(by August 2000)</i>, with implementation and training <i>(by October 2000)</i>. <input type="checkbox"/> Design a risk management system to complement the post-entry audit scheme <i>(by December 2000)</i>. <input type="checkbox"/> Assist in the formulation and implementation of the required BOC organizational changes <i>(by September 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Ongoing. The draft IRRs is currently being reviewed by the stakeholders. <input checked="" type="checkbox"/> Completed with J. Singh visit. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Organization plan completed.
Policy Result 2.1.c-1 Continuing the Agriculture Trade Reform Process	
<ul style="list-style-type: none"> <input type="checkbox"/> Make available consultants to the DA to help develop options and strategy for next round of WTO agriculture negotiations <i>(beginning November 1998)</i>. <input type="checkbox"/> Develop options for continuing agriculture trade reform process; support consultations on these options <i>(by October 1999)</i>. <input type="checkbox"/> Assist government in drafting policy instruments based on agreed upon strategy <i>(by March 2000)</i>. <input type="checkbox"/> Drafting a rice tariffication bill with the DA <i>(by May 2000)</i> <input type="checkbox"/> Provide technical and advocacy support for passage of the legislation <i>(by June 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Cancelled.
Policy Result 2.1.c-2 Effective Implementation of International Trade Remedies	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft proposed legislative and executive issuances on trade relief measures such as the countervailing and the anti-dumping bills <i>(beginning first semester of 1999)</i>. <input type="checkbox"/> Provide legal and technical advice on pending legislative and executive proposals concerning WTO-consistency such as the special safeguard and general safeguard measures <i>(second semester of 1999)</i>. <input type="checkbox"/> Review related reports, papers, legislative bills, and other proposed government issuances as well as international agreements <i>(by June 1999)</i>. <input type="checkbox"/> Design an advocacy plan to facilitate passage into law of the countervailing measures bill <i>(by June 1999)</i>. <input type="checkbox"/> Conduct research on regulations, policies, and practices in selected developed and developing countries <i>(by October 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished ahead of schedule. <input checked="" type="checkbox"/> Accomplished ahead of schedule. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished ahead of schedule. <input checked="" type="checkbox"/> Accomplished as planned.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Support the government's advocacy efforts to pave the way for the enactment of WTO-consistent anti-dumping, special safeguards and general safeguard measures <i>(by May 2001)</i>. <input type="checkbox"/> Assist in the formulation of implementing rules and regulations for these measures to facilitate their issuance <i>(by September 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished ahead of schedule. <input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 2.1.c-3 Providing Copyrights Protection	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft the implementing rules and regulations for copyright registration and deposit <i>(by May 1999)</i>. <input type="checkbox"/> Draft the implementing rules and regulations addressing the other aspects of copyright protection <i>(by August 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 2.1.c-4 Instituting Intellectual Property Protection for Plant Varieties	
<ul style="list-style-type: none"> <input type="checkbox"/> Prepare a substitute bill incorporating the concerns of private and public stakeholders <i>(by the second quarter of 1999)</i>. <input type="checkbox"/> Conduct an observation tour for concerned legislators and private sector stakeholders to a third country <i>(by December 1999)</i>. <input type="checkbox"/> Provide technical backstop support to the DA and the Congress Committees on Agriculture during the deliberations on the PVP bill for passage into law <i>(by September 2000)</i>. <input type="checkbox"/> Provide technical assistance in the drafting of, and support consultations on, the implementing rules and regulations for promulgation <i>(by January 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> House Bill 10654 was passed in the House of Representatives. Awaiting passage of Senate counterpart bill. <input checked="" type="checkbox"/> Delayed since the law has not been passed, the issuance of the IRRs has been targeted for the 2nd quarter of 2001.
Policy Result 2.2.a-1 Facilitation of Investment Activities through the Office of the President	
<ul style="list-style-type: none"> <input type="checkbox"/> Mobilize Senior Advisor to the Chairman of the PCFPP and CCPSP <i>(by April 2000)</i>. <input type="checkbox"/> Promulgate reforms in the Government procurement process involving private sector participation in infrastructure through reforms in the BOT Law and its Implementing Rules and Regulations <i>(by September 2000)</i>. <input type="checkbox"/> Reengineer the organizational processes of the CCPSP <i>(by September 2000)</i>, PCFPP <i>(by October 2000)</i>, and the Southern Philippine Development Authority <i>(by November 2000)</i>, which will act as the PCFPP's implementing arm in Mindanao. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> The Senior Advisor was mobilized in 18 March 2000. <input checked="" type="checkbox"/> Draft amendments have been presented to the ICC Technical Board. <input checked="" type="checkbox"/> Completed. A strategic plan has been presented to CCPSP and SPDA.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Provide the vision, mission and strategic and policy direction for CCPSP as well as its oversight <i>(by October 2000)</i>. <input type="checkbox"/> Develop and structure the Project Development Facility <i>(by March 2001)</i> which would fund the project development and preparation by National Agencies, and the PSP Restructuring Fund <i>(by January 2001)</i> which would finance the restructuring of PSP contracts unfavorable to the Government. <input type="checkbox"/> Coordinate, facilitate and monitor the Flagship and ODA projects, especially those projects on which the President has requested the PCFPP to focus on -- the Philippine-Japanese Friendship Railway System <i>(Implementation Plan by March 2001)</i>, the restructuring of the Philippine National Railroad <i>(EO issuance by October 2000)</i> and the Metro Manila Solid Waste Management Project <i>(Memos on financial aspects of terms of reference by September 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Discussion draft has been completed. <input checked="" type="checkbox"/> Completed. Outputs have been submitted to PCFPP senior management, the NEDA and the DBM in February 2001. <input checked="" type="checkbox"/> Final draft on the EO on the PNR restructuring and privatization completed. Awaiting approval by the High Level Committee on PNR Restructuring and Privatization. <input checked="" type="checkbox"/> Draft contract for the Metro Manila Solid Waste Project completed.
Policy Result 2.2.a-2 Developing a Policy Framework for Contingent Liabilities	
<ul style="list-style-type: none"> <input type="checkbox"/> Assess the current size of the contingent liabilities of the government and estimate the probable delinquency on existing contingent liabilities <i>(by July 2000)</i>. <input type="checkbox"/> Evaluate the fiscal implications of the National Government's contingent liabilities <i>(by August 2000)</i>. <input type="checkbox"/> Formulate an overall framework and clear-cut policies for rationalizing the extension of guarantees or performance undertakings <i>(by October 2000)</i>. <input type="checkbox"/> Revise existing guarantee fees to consider project risks, the government corporation's financial position, and other factors <i>(by October 2000)</i>. <input type="checkbox"/> Examine alternative mechanisms to fund potential calls on guarantees and performance undertakings previously issued by the government <i>(by October 2000)</i>. <input type="checkbox"/> Assess possible benchmarks for pricing National Government guarantees <i>(by November 2000)</i>. <input type="checkbox"/> Cause the establishment of a fully established system for managing contingent liabilities <i>(by November 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Completed. Final Report was submitted to USAID during the early part of 1Q2001. <input checked="" type="checkbox"/> Completed. Assessments have been made in 7 selected key projects. <input checked="" type="checkbox"/> Completed.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
Policy Result 2.2.a-3 Developing Contingency Plans for Y2K Problems	
<ul style="list-style-type: none"> <input type="checkbox"/> Provide partial sponsorship of the Global Y2K Summit, particularly in infrastructure-related areas <i>(by March 1999)</i>. <input type="checkbox"/> Provide advisory assistance in the development of an air transport contingency plan <i>(February to December 1999)</i>. <input type="checkbox"/> Conduct workshops to develop and implement a contingency plan for the air transport sector <i>(February to December 1999)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 2.2.b-1 Telecommunications Policy Reform	
<ul style="list-style-type: none"> <input type="checkbox"/> Gather and analyze actual 1998 data on the indicators of Universal Access in terms of availability, reliability and affordability of telecommunications service <i>(by April 2000)</i>. <input type="checkbox"/> Conduct a Convergence seminar/workshop <i>(by December 1999)</i>. <input type="checkbox"/> Conduct a second round of consultations with stakeholders on the second draft of the bill. <input type="checkbox"/> Prepare a third draft of the bill prior to its official endorsement to Congress. <input type="checkbox"/> Assist in the completion of a Convergence Masterplan for the Philippines <i>(by November 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Affordability analysis completed. Data from telcos on availability and reliability have been gathered and analyzed. The final version of the UA Benchmarking Study has been submitted to USAID and the DOTC. <input checked="" type="checkbox"/> Accomplished <input checked="" type="checkbox"/> Postponed. <input checked="" type="checkbox"/> The DOTC Communications Cluster decided to discontinue the drafting of the E.O. because the representative from the Office of the President (OP) informed the cluster that the provisions in the Convergence E.O. will be subsumed in another E.O. being initiated at the OP. <input checked="" type="checkbox"/> Ongoing. The Cable TV bill was approved in the House; undergoing second reading in the Senate. <input checked="" type="checkbox"/> Infocomms (Convergence) Policy Consultative Document completed.
Policy Result 2.2.b-2 Telecommunications Regulatory Reform	
Interconnection	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft the interconnection rules and regulations <i>(by November 1999)</i>. <input type="checkbox"/> Conduct a series of workshops to draft and finalize Interconnection IRRs <i>(by December 1999)</i>. <input type="checkbox"/> Cause the promulgation of the Interconnection IRRs <i>(by June 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished with slight delay. <input checked="" type="checkbox"/> See above. <input checked="" type="checkbox"/> See above.
Wholesale Pricing Regime	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft and issue a Consultative Document to guide the industry and the NTC towards a rationalized wholesale (interconnection) pricing regime <i>(by June 2000)</i> 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Completed.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Draft the implementing rules and regulation (IRRs) on wholesale pricing <i>(by September 2000)</i>. <input type="checkbox"/> Conduct a series of public hearing and industry consultation on the proposed interconnection and access charge regime <i>(from August to October 2000)</i>. <input type="checkbox"/> Issue IRRs on wholesale pricing <i>(by January 2001)</i>. <input type="checkbox"/> Assist the NTC implement the new regime by evaluating rate filings <i>(from February to September 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> AGILE finalized a Memorandum Circular on Interconnect and Wholesale Pricing. The NTC has issued a notice for public hearing. <input checked="" type="checkbox"/> Work is on-going. <input checked="" type="checkbox"/> Work is on-going. <input checked="" type="checkbox"/> Work is on-going.
Retail Pricing Regime	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft and issue a Consultative Document on Retail Price Regulation to guide the industry and the NTC towards a rationalized retail pricing regime <i>(by September 2000)</i>. <input type="checkbox"/> Conduct a series of public hearings and industry consultations on the proposed retail pricing regime <i>(from October 2000 to March 2001)</i>. <input type="checkbox"/> Issue IRRs on retail pricing <i>(by May 2001)</i>. <input type="checkbox"/> Assist in the implementation <i>(from June to September 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Work is ongoing. AGILE is drafting a Memorandum Circular on Retail Price Regulation. <input checked="" type="checkbox"/> Work will begin when the MC has been finalized and issued. <input checked="" type="checkbox"/> Work will begin when the MC has been finalized and issued.
Accounting Regime	
<ul style="list-style-type: none"> <input type="checkbox"/> Draft and assist in the promulgation of a Uniform System of Accounts <i>(by June 2000)</i>. <input type="checkbox"/> Prepare an approach to the development of a Cost Allocation Manual <i>(by October 2000)</i>. <input type="checkbox"/> Develop a Cost Allocation Manual <i>(by March 2001)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Work in this area has been put on hold pending the appointments of the new Commissioners. <input checked="" type="checkbox"/> The Memorandum Circular on the Chart of Accounts was issued last March 2000. <input checked="" type="checkbox"/> AGILE is addressing the pricing issues prior to beginning work on the Cost Allocation Manual.
Policy Result 2.2.b.3 Promoting Electronic Commerce	
<ul style="list-style-type: none"> <input type="checkbox"/> Provide technical assistance to the Senate Committee on Trade and Industry, in facilitating the passage of the E-Commerce bill. <input type="checkbox"/> Assist the Committee on Trade and Industry draft the committee report (First Reading). It is envisioned that the committee report will be approved <i>(by March 2000)</i>, and the law signed by President Estrada <i>(by June 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> The E-Commerce bill was signed into law on 14 June 2000.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<input type="checkbox"/> Assist in drafting the E-Commerce IRRs and other implementation documents (eg. rules of court) <i>(by December 2000)</i> .	<input checked="" type="checkbox"/> Based on discussions with the USAID grantee, Philexport, AGILE agreed not to provide assistance to the drafting of the E-commerce IRRs.
Policy Result 2.2.c-1 Power Sector Restructuring	
<input type="checkbox"/> Conduct an analysis of the costs and benefits associated with privatization of the NPC <i>(by January 2000)</i> . <input type="checkbox"/> Conduct a review of the draft legislation for reorganizing the power industry <i>(by January 2000)</i> . <input type="checkbox"/> Assist in drafting the implementing rules and regulations <i>(by June 2000)</i> .	<input checked="" type="checkbox"/> The NAPOCOR Privatization Study was submitted last May 2000. <input checked="" type="checkbox"/> See above. <input checked="" type="checkbox"/> See above.
Policy Result 2.2.d-1 Restructuring the National Food Authority (NFA)	
<input type="checkbox"/> Mobilize the Policy Advocacy Advisor who will serve concurrently as the NFA Restructuring Advisor <i>(beginning January 1999)</i> . <input type="checkbox"/> Assess the present NFA organizational structure and staffing, identify alternative organizational structures and staffing patterns, and assess the viability of identified options for reorganizing the NFA <i>(by January 2000)</i> . <input type="checkbox"/> Conduct a study that will formulate options for the NFA's financial transformation <i>(by March 2000)</i> . <input type="checkbox"/> Assist in ensuring the approval of the GSDP loan <i>(by June 2000)</i> . <input type="checkbox"/> Compliance by government with the GSDP policy reform conditionalities for the first tranche <i>(by June 2000)</i> . <input type="checkbox"/> Advocate for the passage of House Bill 3898 and Senate Bill 1834 <i>(throughout 2000)</i> . <input type="checkbox"/> Assist in ensuring the adoption of a Committee Report <i>(by December 2000)</i> , in either the House or the Senate, that is consistent with the findings of the Contractor.	<input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> The Integration Report was submitted to Congress, ADB, NFA, NEDA, USAID, and DA in early January. <input checked="" type="checkbox"/> The Draft Final Report was completed in end-March 2000. <input checked="" type="checkbox"/> The Grains Sector Development Program (GSDP) loan agreement was signed on July 18. <input checked="" type="checkbox"/> All first tranche conditionalities have been complied with since the first quarter of the year. <input checked="" type="checkbox"/> The Senate has filed the Committee Report amending SB1834; the House, however, was unable to submit a report on the counterpart bill before end of the 11 th Congress.
Policy Result 2.2.d-2 Commercialization of Genetically Modified Organisms (GMOs)	
<input type="checkbox"/> Review, revise and streamline guidelines governing the importation and laboratory testing as well as field trials of biotechnology products. <input type="checkbox"/> Prepare the guidelines for the commercialization and marketing of transgenic crops <i>(by August 2000)</i> , and its subsequent issuance <i>(by October 2000)</i> .	<input checked="" type="checkbox"/> The draft AO containing the rules and regulations for the importation and release into the environment of plants and plant products has been completed and subjected to public consultations, and is now currently being reviewed by the DA Secretary. <input checked="" type="checkbox"/> Completed.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<input type="checkbox"/> Assist the efforts of the USDA in mounting a public information campaign to support the guidelines' issuance.	<input checked="" type="checkbox"/> Work in progress.
Policy Result 2.2.d-3 Improving Plant Quarantine Systems	
<input type="checkbox"/> Assist the DA in assessing the Philippine plant quarantine systems to ensure that they facilitate trade and investment (<i>by March 2000</i>).	<input checked="" type="checkbox"/> Accomplished as planned.
<input type="checkbox"/> Provide inputs to the ongoing reorganization of the DA -- leading to a more transparent plant quarantine system (<i>by May 2000</i>).	<input checked="" type="checkbox"/> Accomplished as planned.
Policy Result 2.2.d-4 Strengthening Effectiveness of the Comprehensive Agrarian Reform Program (CARP)	
<input type="checkbox"/> Assess the broader economic impact of the CARP by developing an approach to isolating the impacts of CARP (<i>by June 2000</i>).	<input checked="" type="checkbox"/> Inception report outlining an approach was completed Other donors sought for the full analysis of the CARP
Policy Result 2.2.e-1 Ports Privatization	
<input type="checkbox"/> Address anti-competitive provisions of Executive Order 59 through advocacy work and proposing a new EO geared towards a more transparent and effective model for ports privatization (<i>beginning April 2000</i>).	<input checked="" type="checkbox"/> Completed. A new EO was submitted to the PCCI and the ECC.
<input type="checkbox"/> Assist in the filing of a draft bill (<i>by September 2000</i>).	<input checked="" type="checkbox"/> The draft bill revising the Chapter of the PPA has been put on hold until Congress resumes in July 2001.
Policy Result 2.2.f-1 Addressing Transportation Policies to Promote Tourism	
<input type="checkbox"/> Support the drafting and filing of bills in the Philippines Congress to implement the government's "progressive liberalization" policy (<i>by mid-2000</i>).	<input checked="" type="checkbox"/> In progress.
<input type="checkbox"/> Galvanize tourism industry support for air transport liberalization through a Tourism and Transportation Summit in June of 1999.	<input checked="" type="checkbox"/> AGILE funded the publication of last years' Summit proceedings.
<input type="checkbox"/> Identify key policy constraints affecting air, sea, and land transportation.	<input checked="" type="checkbox"/> Completed.
<input type="checkbox"/> Develop strategies and an action agenda for the "progressive liberalization" of the Philippine transportation sector through developing the Implementing Rules and Regulations for EO 219, which seeks to liberalize air transport (<i>by June 2000</i>).	<input checked="" type="checkbox"/> Completed.
<input type="checkbox"/> Develop an implementation plan for liberalizing the Philippine air transport sector.	<input checked="" type="checkbox"/> Completed.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Support the DOT in its advocacy efforts to liberalize Philippine air, sea, and land transportation through, among other activities, organizing a coalition to support efforts at liberalizing transportation. <input type="checkbox"/> Draft legislation on "open skies" which will be filed in the Senate by June 2000. <input type="checkbox"/> Facilitate the development of charter and scheduled flights to increase seat capacity for specific regional routes. <input type="checkbox"/> Assess action plans and recommendations put forward in the Tourism and Transportation summit held in 1999, and draft policy recommendations for endorsement to the President. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> This objective was achieved with the establishment of a broad-based Coalition promoting the progressive liberalization of air transport. <input checked="" type="checkbox"/> A House Bill on "open skies" (HB 7681) was filed by Rep. Ace Durano in May. However, a counterpart bill on "open skies" in the Senate has not been filed. <input checked="" type="checkbox"/> This objective is being indirectly pursued through the provision of technical support to the legislation of appropriate bills, continued advocacy, and the drafting of IRRs. <input checked="" type="checkbox"/> Completed.
Policy Result 2.2.g-1 Supporting the Liberalization of the Retail Trade Industry	
<ul style="list-style-type: none"> <input type="checkbox"/> Design and manage the implementation of advocacy activities to promote constituency building for passage of a retail trade liberalization law. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> The retail trade liberalization law was passed during the 2Q2000.
Policy Result 2.2.h-1 Strengthening NEDA's Macroeconomic and Investment Planning Capability	
<ul style="list-style-type: none"> <input type="checkbox"/> Refine the NEDA econometric model to incorporate changes required in the agriculture, industry and service sectors <i>(by September 1999)</i>. <input type="checkbox"/> Train NEDA personnel and other government agencies on macroeconomic modeling and the revised models <i>(by March 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> AGILE submitted a report entitled, "An Annual Macro-social Model for the Philippines" to the NEDA. <input checked="" type="checkbox"/> Accomplished as planned.

IR3: ECONOMIC GOVERNANCE IMPROVED

Policy Result 3.1.A. Reform of Judiciary's Role in Economic Policy and Regulation

3.1.a-1 Optimizing the Developmental Impact of Judicial Action

PHILJA ISSUES AGILE-PROPOSED GUIDELINES ON PRIMARY JURISDICTION DOCTRINE

Reacting to the proliferation of judges hearing cases that should first have been heard by quasi-judicial agencies, the Philippine Judicial Academy (PHILJA) has recently issued a set of guidelines for trial court judges on the proper application of the doctrine of primary jurisdiction. The doctrine essentially entrusts to administrative agencies of government the regulation and supervision of matters that requires special knowledge and skills. It allows the administrative agency concerned to administer the specialized concern assigned to it while also allowing the courts to adjudicate on economic, industrial, and technical matters with the assistance of, and input from, specialized agencies. Moreover, it restrains the courts from premature intervention when matters are best left to the initial attention and disposition of administrative agencies.

AGILE proposed the issuance of these guidelines and provided technical assistance in their formulation. The proposal was first received and considered by the PHILJA's Judicial Reforms Office, which is composed of retired Supreme Court justices and PHILJA officials. The Judicial Reform Office unanimously approved the proposal.

The guidelines will minimize confusion regarding the use of the doctrine and allow quasi-judicial and legislative agencies to effectively deal with highly specialized at their level without premature intervention from the regular courts. When properly applied, the guidelines would also enable the courts to make use of the invaluable inputs from specialized agencies in deciding complicated economic, industrial and technical disputes. These cases include those involving franchise disputes, patents, the issuance and revocation of building permits, and intellectual property rights.

The PHILJA, through its Judicial Reforms Office, also approved the following AGILE recommendations to decongest the dockets of the Court of Appeals (CA) and will endorse the same to the Supreme Court:

- Allowing for mediation in the CA.
- Providing for limited specialization of divisions within the CA to make these specialized divisions more competent in handling highly technical cases.
- Pushing for full implementation of the law increasing the number of justices and divisions in the CA to help declog the dockets.
- Instituting administrative measures to address the inefficiency caused by vacancies in the CA.

ACCOMPLISHMENTS

To further the reforms it supports in this week, AGILE:

Draft Supreme Court Administrative Circulars.

- Formally submitted to the Judicial Reforms Office of the Philippine Judicial Academy PhilJA the results of the policy studies it conducted on (a) docket decongestion in the Court of Appeals, (b) restatement of the primary jurisdiction doctrine, and (c) the power of judicial review. Of the policy proposals recommended by these studies, the following were approved by the PhilJA:
 - a. Amendment to the Internal Rules of the Court of Appeals to allow mediation of certain appealed case pending before the appellate court;
 - b. Amendment to the Internal Rules of the Court of Appeals to designate certain divisions as specialized divisions;
 - c. Full implementation of the law increasing the number of divisions and justices in the Court of Appeals;
 - d. Issuance of guidelines to the trial court judges on the proper application of the primary jurisdiction doctrine.
- Presented the first three proposals to the Court of Appeals en banc. All the three proposals were received favorably by the 45 justices comprising the court.
- Secured the approval of the PhilJA for the publication and distribution of a reference manual/guideline for judges on the proper application of the doctrine of primary jurisdiction. This mini-handbook, which uses the AGILE study as basis, clarifies the doctrine and cites its specific application. The PhilJA will distribute the manual to all the trial judges of the lower court numbering around 1,700.

Conduct training of judges and court officials.

- Secured from the PhilJA an agreement in principle to integrate into its regular judicial training program a course on law and economics. AGILE submitted to the PhilJA its proposed curriculum, which is now being reviewed by the Commercial Law Department of the academy.
- Through its consultants, gave lectures and conducted a workshop on law and economics and the role of the judicial system in economic development, to newly-appointed judges. Both lectures, as well as the workshop, were received favorably by the new judges.
- In coordination with the ADB and the Asian Institute of Management (AIM), developed a comprehensive training module for commercial court judges on corporate

disputes/governance, rehabilitation, insolvency and securities. The training seminar will tackle legal, business and financial aspects of cases that are normally brought to a commercial court. The curriculum consists of three 4-day training seminars to be administered by the PhilJA. All the sixty (60) commercial court judges will be required to attend the seminar. The ADB will shoulder the bulk of the training expenses. The first training seminar is scheduled in early September of 2001.

In this connection, AGILE and with AIM, spearheaded a pre-training consultation workshop with NCR judges. The workshop put into proper perspective the curriculum that is being developed for each module.

Conduct analysis of the economic costs of judicial action affecting economic policy.

- With the World Bank, finished the survey of CEOs of different companies in connection with the on-going study on "Justice and the Cost of Doing Business." AGILE sponsored two roundtable discussions involving a number of local CEOs, where the study's initial results were presented to the Chief Justice. A number of justices also attended the presentation.
- Completed the initial draft of his study on the economic costs of judicial action.

PLANS AND ISSUES FOR 3Q2001

For the period, AGILE will:

- Formulate three benchbooks, namely, those on insolvency and rehabilitation, law and economics, and primary jurisdiction.
- Draft amendments to the Internal Rules of the CA on mediation and specialization.

**POLICY RESULT 3.1.B. GOP PROCUREMENT SYSTEM MORE EFFICIENT AND
TRANSPARENT**

3.1.b-1 Improving the Government Procurement System

ACCOMPLISHMENTS

In the 2nd quarter of 2001, AGILE persisted in its efforts at pushing for reform to improve the government procurement system. More specifically, it:

Establish an independent NGO called Procurement Watch Inc. to help implement procurement reforms.

- Continued to provide advise and support to the Procurement Watch, Inc. (PWI).

During the second quarter, the PWI inked a Memorandum of Agreement with the DBM Procurement Service, under which it shall monitor the DBM's procurement activities and train procurement personnel. Through one of its Program Directors, it was appointed co-chair of the Sub-committee on Government Re-engineering of the Information Technology and E-Commerce Council ("ITECC") Legal Cluster, the body tasked with the formulation of recommendations with respect to the promulgation of rules and regulations for the implementation of RA 8792 (the E-Commerce Act). As such, it actively participates in all the meetings of the Cluster and its Sub-committee on Government Re-engineering in the interest of pushing for e-procurement reforms. It provided technical and legal assistance to the Procurement Policy Board in drafting the IRRs for EO 322 (on electronic procurement).

The PWI has also been tapped for legal advisory services by the DBM. It has given the DBM advise on confidential procurement issues, including BOT matters.

The PWI, through one of its Program Directors, had also been invited to, and participated in, as witness, in the April 3, 2001 public bidding conducted by the DBM Procurement Service on behalf of the CHED for its CHEDLINK Project. The bidding was for a Service Provider Proponent that shall be responsible for the supply, delivery, and installation of the hardware and software requirements of the CHEDLINK Project, including training of the CHED Staff on the management, administration and utilization of the CHED network. As a result of its participation, PWI prepared a Diagnostic Report on the procedures followed in the bidding, recommending measures to speed-up and make more transparent the process.

Coordinate with other agencies and donors to organize a Trainors' Training Program to professionalize the conduct of government procurement procedures.

- Through the PWI, conducted three (3) training seminars for government.

The first seminar, funded by the Asia Foundation, was for Bids-and-Awards Committee (BAC) members of core government client-partners and held on April 27, 2001 at the Development Academy of the Philippines. The second seminar, participated in by the Regional District Directors of the DBM, was held on June 22 and was July sponsored by the DBM. The third seminar was on electronic procurement. It was held on June 25, 2001 in coordination with the ITECC and The Asia Foundation.

Refined the Government Procurement Reform Act of 2000 for modernizing and improving the transparency of government procurement and provide advocacy for this Act.

- Together with the PWI, and in coordination with the NEDA revised the proposed Government Procurement Reform Act (GPRA) of 2000 to include more language on the procurement of consulting services. The refined version is now called the proposed Government Procurement Reform Act of 2001.
- Secured the commitments of the DBM and the DPWH to recommend to the President the GPRA as a priority legislation.

- Through the PWI, advocated with the technical staffs of Senators Sergio Osmeña and Loren Legarda which both expressed strong interest in sponsoring the GPRA bill.

PLANS AND ISSUES FOR 3Q2001

For the 3rd quarter, AGILE will:

Revision of EO 322

- Together with the PWI, assist the Procurement Policy Board in revising the EO 322, which deals with E-procurement, and the drafting of its IRRs. The revised EO shall give guidelines on how the private sector will get involved in government E-procurement.

Legislative Advocacy for the Government Procurement Reform Act of 2001

- With the PWI, provide technical backstopping to the DBM, DPWH, and the sponsors of the GPRA bill during the legislative deliberations. The GPRA is expected to be discussed by both the House of Representatives and the Senate.

Diagnostic study on Procurement Implementation

- Finalize the SOW for, and mobilized, the Logistics Advisor to draft a diagnostic study on procurement implementation.

REGISTRY OF CONTRACTORS

Following AGILE's success in bolstering the Implementing Rules and Regulations (IRRs) of PD 1594 (new rules on government procurement of infrastructure contracts), a Registry of Civil Works Contractors primarily designed to serve as a tool by which the IRRs of PD 1594 can be effectively enforced, was recently instituted by the Department of Public Works and Highway (DPWH).

The Registry is a database containing relevant project information necessary for contractors to submit a responsive bid to the government as well as pertinent information of all interested contractors who would like to do or are currently doing business with the government. The DPWH central office processes more than 100 contract profiles per day, with more than 50 contractors expressing interest to bid (applying for eligibility). As it is a computerized system, the Registry effectively reduces the procurement processing time (from advertisement to award) from 7 to 9 months to only 2 to 5 months. DPWH's BAC (Bids and Awards Committee) Manager Antonio Molano Jr. revealed that eligibility processing for a contract for Region IV-A that has 60 contractors expressing interest can be processed using the computerized Registry in less than 5 minutes.

The effect of the new IRR of PD1594, combined with the Registry, now addresses the chronic problems experienced by government agencies and independent contractors in the procurement process. DPWH has observed that the reduction in processing time has encouraged new contractors to submit bids thereby increasing competition and lessening collusion among bidders. The computerized database also addresses the problem of lack of transparency as all prospective bidders now have access to the same information.

POLICY RESULT 3.1.C REENGINEERING THE BUREAUCRACY TO IMPROVE GOVERNANCE

3.1.c-1 Improved Efficiency of Public Sector Management

(Please refer to Policy Result 1.1.c BIR Made More Transparent & Efficient)

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
Policy Result 3.1.a-1 Optimizing the Developmental Impact of Judicial Action	
<ul style="list-style-type: none"> <input type="checkbox"/> Mobilize a Task Manager and two quarter-time experts (Judicial Policy Reform Expert and Economist) <i>(by July 2000)</i>. <input type="checkbox"/> Draft Supreme Court Administrative Circulars <i>(by July 2001)</i> to: <ul style="list-style-type: none"> ⇒ Guide the lower courts in the use of the power of judicial review in cases involving economic policies ⇒ Encourage the use of the doctrine of primary administrative jurisdiction ⇒ Minimize court delays and docket congestion ⇒ Guide the courts in the conduct and use of expert testimony in technical cases <input type="checkbox"/> Conduct training of judges and court officials <input type="checkbox"/> Conduct analysis of the economic costs of judicial action affecting economic policy. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> All technical papers on the power of judicial review, primary jurisdiction doctrine, and docket congestion have been completed. <input checked="" type="checkbox"/> In progress. <input checked="" type="checkbox"/> The initial draft of the study has been completed during the 2Q2001.
Policy Result 3.1.b-1 Improving the Government Procurement System	
<ul style="list-style-type: none"> <input type="checkbox"/> Design and implement unit costing of government projects <i>(by July 1999)</i>. <input type="checkbox"/> Design and implement a system for competitive bidding instead of solicited bidding <i>(by October 1999)</i>. <input type="checkbox"/> Train DBM personnel in conducting performance audits <i>(by June 2000)</i>. <input type="checkbox"/> Conduct a diagnostics of current procurement laws and procedures <i>(by August 1999)</i>. <input type="checkbox"/> Draft a proposed bill for modernizing and improving the transparency of government procurement <i>(by December 1999)</i>. <input type="checkbox"/> Draft an Executive Order to amend EO 302, and its implementing rules and regulations, for the government procurement of goods/supplies/materials <i>(by June-August 2000)</i>. <input type="checkbox"/> Draft a new set of IRRs for PD 1594, the law governing the government procurement of infrastructure contracts <i>(by July 2000)</i>. 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished. A comprehensive diagnostic study on the government's procurement of goods, services and civil works was drafted. <input checked="" type="checkbox"/> Accomplished. An overarching procurement bill, with the accompanying IRRs and standard forms, was drafted. <input checked="" type="checkbox"/> Training will follow the enactment of the overarching procurement bill into law. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> The Government Procurement Reform Act of 2000 was submitted to Congress. <input checked="" type="checkbox"/> Accomplished as planned. The EO was signed into law by President Estrada on July 5. <input checked="" type="checkbox"/> The IRRs were signed into law by President Estrada on July 2000, and implemented in August.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<ul style="list-style-type: none"> <input type="checkbox"/> Assist in ensuring the enactment of the Government Procurement Reform Act 2000 (by July-August 2000) and help in the advocacy of this Act (by September 2001 - March 2001). <input type="checkbox"/> Draft the IRR and standard forms for the implementation of the Procurement Reform Act once it becomes law (by March 2001). <input type="checkbox"/> Secure donor funding and organize an NGO-managed Procurement Watch, to help mainstream and sustain procurement reforms (by September - November 2000). <input type="checkbox"/> Coordinate with other donors to organize a Trainers' Training Program to professionalize the conduct of government procurement procedures (by November 2000 - February 2001). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Advocacy work in progress. <input checked="" type="checkbox"/> Work in progress. <input checked="" type="checkbox"/> Accomplished. In September, AGILE secured funding from the World Bank to put up an NGO called Procurement Watch. <input checked="" type="checkbox"/> On hold pending the approval of the Procurement Act.
Policy Result 3.1.c.1 Improved Efficiency of Public Sector Management	
<ul style="list-style-type: none"> <input type="checkbox"/> Mobilize a Senior Strategy Advisor who will be based at the DBM (by March 2000). <input type="checkbox"/> Guide and assist in the development of a Strategic Plan for implementing the two reform programs, with key outputs being: <ul style="list-style-type: none"> ⇒ Framework for the Strategic Sector Reviews (by August 2000). ⇒ Guide to public expenditure management (PEM) (by September 2000). ⇒ Four workshops on PEM for government agencies and Congressional staff (by December 2000). <input type="checkbox"/> Guide and assist in the development of a specific program for reengineering and streamlining government through initial programs in a particular agency (by February 2001). <input type="checkbox"/> Assist in passage of key legislative measures that would support the re-engineering of the bureaucracy (by June 2001). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Strategic sector reviews completed. Comments are being made to the draft scopes of work. <input checked="" type="checkbox"/> Training workshops on PEM have been conducted. <input checked="" type="checkbox"/> Accomplished. AGILE facilitated the release of ASEM grant fund to support reengineering reform projects/activities. <input checked="" type="checkbox"/> Work in progress.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
Policy Result 3.2.a-1 Strengthening Bankruptcy Procedures and Practices	
<ul style="list-style-type: none"> <input type="checkbox"/> Mobilize a Bankruptcy Law Advisor (<i>by April 1999</i>). <input type="checkbox"/> Conduct capacity-building activities to strengthen the SEC's ability to effectively implement quasi-judicial responsibilities (<i>beginning May 1999</i>). <input type="checkbox"/> Formulate options for improving the existing SEC legal and regulatory procedures (<i>by September 1999</i>). <input type="checkbox"/> Prepare a set of rules and regulations for suspension of payments (<i>by September 1999</i>). <input type="checkbox"/> Draft a bill on insolvency (<i>by June 2000</i>). <input type="checkbox"/> Develop systematic and prioritized recommendations for legal, regulatory, and institutional reforms (<i>by March 2000</i>). <input type="checkbox"/> Draft a comprehensive bankruptcy law (<i>by May 2000</i>). <input type="checkbox"/> Organize presentations and other forums regarding the new bankruptcy law on an as-needed basis. <input type="checkbox"/> Provide technical assistance and advocacy to Congress regarding its efforts to transfer jurisdiction to the courts (through passage of the Securities Act, expected in July 2000). <input type="checkbox"/> Provide technical assistance, including training, to PhilJA and the Supreme Court regarding (1) devising and implementing a bankruptcy law training curriculum and (2) developing a circular that would govern rehabilitation proceedings at the regional trial courts (<i>to be completed by December 2000</i>). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Accomplished as planned. <input checked="" type="checkbox"/> Completed. <input checked="" type="checkbox"/> Accomplished as planned. The SEC formally approved and published its Revised Rules of Procedure 2000, which incorporated numerous changes recommended by AGILE. <input checked="" type="checkbox"/> Accomplished. The rules actually issued several months later. <input checked="" type="checkbox"/> Accomplished. A bill was drafted by mid-May 2000. <input checked="" type="checkbox"/> Accomplished. <input checked="" type="checkbox"/> In progress.
Policy Result 3.2.c-1 Promoting Competition in Public Utilities and Basic Industries	
<ul style="list-style-type: none"> <input type="checkbox"/> Assist in the preparation and filing of a bill in Congress on competition policy (<i>by August 1999</i>). <input type="checkbox"/> Support advocacy campaign to enact the bill into a law (<i>by January 2000</i>). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Postponed pending decision on best approach in promoting competition. <input checked="" type="checkbox"/> Postponed (see above)
Policy Result 3.2.c-2 Promoting Competition in Inter-island Shipping	
<ul style="list-style-type: none"> <input type="checkbox"/> Review the legal and regulatory framework affecting inter-island shipping and formulating options for promoting competition (<i>by December 1999</i>). <input type="checkbox"/> Engage in advocacy efforts in enacting appropriate legislative reforms (<i>by June 2000</i>). 	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Funds initially earmarked for this activity were transferred to the ports privatization initiative. <input checked="" type="checkbox"/> See above.

ACHIEVEMENTS As per Work Statement (August 2000)	STATUS
<input type="checkbox"/> Facilitate the drafting and filing of bills in the Philippines Congress to deregulate inter-island shipping (by June 2000).	<input checked="" type="checkbox"/> See above
Policy Result 3.2.d-1 Improved Corporate Governance	
<input type="checkbox"/> Assist the SEC in drafting and conducting consultations on a set of rules and regulations that will enhance corporate transparency and accountability (by December 1999).	<input checked="" type="checkbox"/> The Corporate Governance Expert, who was mobilized in 4 th Qtr. of 1999, determined that few, if any, substantive rules changes were crucially necessary.
<input type="checkbox"/> Help ensure that such rules and regulations are promulgated (by June 2000).	<input checked="" type="checkbox"/> See above
<input type="checkbox"/> Promote more effective SEC monitoring and supervision of corporations (beginning December 1999).	<input checked="" type="checkbox"/> See above
<input type="checkbox"/> Strengthen disclosure and financial reporting requirements that will enhance corporate transparency and accountability (beginning December 1999).	<input checked="" type="checkbox"/> See above
<input type="checkbox"/> Enhance as well as protect shareholder rights (beginning December 1999).	<input checked="" type="checkbox"/> See above

3. ACTIVITY MANAGEMENT

AGILE's project management and administration components provided a wide range of support, guidance and oversight to the task order activities. In the first quarter of 2001, this support included the following:

Recruitment

Long-term

There was no long-term recruitment conducted during this quarter.

Short-term

AGILE implemented short-term expatriate technical assistance in the following areas during the second quarter of 2001:

Name of Consultant	Position	LOE (Person Days)	Duration
Ross Lunn	Retail Regime Expert 2.2.b. Expanded Investment in Telecommunications	7	04/23/01- 04/30/01
David Dawson	Wholesale Regime Expert 2.2.b. Expanded Investment in Telecommunications	5.5 11	05/20/01- 05/24/01 06/05/01- 06/25/01
Daniel Fitzpatrick	Advisor on Insolvency Law 3.1.a.-2. Optimize Developmental Impact of Court Action	14	06/28/01- 07/14/01

Conferences, training, seminars and workshops

Seven different discrete policy-oriented activities, with a total of 150 participants, were undertaken during this quarter. Three of the activities were in-country (146 participants) and four were held in outside the Philippines (6 participants). A complete listing of all the meetings, seminars, travel, conferences and training is attached as an appendix to this report.

Contractual Issues

- DAI signed Modification Ten (10), which extended the AGILE completion date to June 1, 2003.
- DAI coordinated with OFM/USAID on the follow-up to the Cost Effectiveness Audit.

ANNEX 1

**LIST OF REPORTS, POLICY BRIEFS
& MEMOS, AND PRESENTATION
MATERIALS**

**LIST OF REPORTS, POLICY BRIEFS & MEMOS, AND
PRESENTATION MATERIALS**

TITLE	DATE
PRESENTATION/WORKSHOP MATERIALS	
FINANCIAL SECTOR	
Philippine Conference on Securitization: Roundtable Discussion I: Market Concerns	August 1999
A Brief on the Salient Issues of the Securities Act of 1999	August 1999
The Pre-Need Industry	September 1999
Questions Raised by Senators during the SA 99 Interpellations	September 1999
Philippine Conference on Securitization	September 1999
Materials for Bankruptcy and Adjudication Skills Seminar	December 01, 1999
Securities Act 2000	May 22, 2000
Financial Markets Sector Presentation to USAID	May 22, 2000
Securities Act 2000 Bicameral Committee Briefing Materials	May 29, 2000
Securities Act of 1999	
Revitalizing the Pre-Need Industry and Its Regulation	
FISCAL SECTOR	
Strategic Planning Workshop on Municipal Bonds	May 1999
BIR-DOF-IMF Workshop on Tax Administration	June 1999
Rationalizing Road User Charges in the Philippines	June 1999
DOF Workshop on LGC Amendment	August 1999
AGILE Legislative Briefers: DOF Strategic Advocacy Planning Workshop	October 1999
TRADE AND OTHER RELATED MATTERS	
United Nations Conference on Trade and Development	February 1999
The General Agreement on Trade in Services and Related Instruments	April 1999
Primer on the WTO Anti-Dumping and Countervailing Measures	September 1999
Powerpoint Presentation on Twenty Years of Deregulation 1978-1998	October 1999
Retail Trade Liberalization Presentation	October 19, 2000
AGRICULTURE	
Trade Related Problems and Policies Issues in the Philippine Agriculture	June 1998
Workshop on the Marketing and Financial Operations of NFA, General Santos City	May 1999
The Marketing & Financial Operations of NFA (Kit)	May 1999
Presentation/Workshop on Modeling the Impact of NFA Interventions	June 1999
WTO Renegotiation Consultation, Butuan City	June 1999
Regional Consultations on Issues for the Next Round of WTO Negotiations for Agriculture	September 1999
INVESTMENT AND REGULATION	
Comments on the Pre-Need Securities Code (S.B. Nos. 1187, 12171 & 1424)	February 1999
1 st Contingency Planning Workshop Civil Aviation Training Center	April 1999
2 nd Contingency Planning Workshop Air Traffic Management (ATM) Year 2000 (Y2K)	May 1999
Kick-Off Meeting Universal Access Bench Marking	July 1999
Transportation Policies to Promote Tourism (ATO)	July 1999
Interconnection IRR Workshop	September 1999
Powerpoint Presentation on E.O. 59 & 59-A to COCAFAM	August 1999
Regional Tourism and Transportation Workshop (Cebu)	August 1999
Powerpoint Presentation on International Air Services Inquiry	October 1999
Powerpoint Presentation on Air Transport Policies	October 1999
NTC Website Planning Workshop	October 1999
Interconnection IRR Workshop II	December 1999
Convergence Seminar/Workshop	December 1999
Draft: Implementing Rules & Regulations (IRR) for the Interconnection of Authorized Public Telecommunications Entities	January 2000
How Does our Civil Aviation Serve Us? Freedom To Fly Coalition	January 2000

TITLE	DATE
Communication Act of 1934: Federal Communication Commission	April 2000
Universal Access Benchmark Study	May 2000
A Comparative Matrix of the E-commerce Bills (SB No. 002 & HB No. 9971)	June 2000
The Preliminary E-commerce Law: A Preliminary Analysis	June 2000
Interconnection Study	August 31, 1999
Putting Convergence into Context	December 23, 1999
How Does Our Civil Aviation Serve Us?	February 04, 2000
NTC Presentation to the 6 th Meeting of the Mindanao Telecommunications Task Force	March 23, 2000
Presentation to USAID: UA Benchmark Study	March 31, 2000
Foundation for Economic Freedom Accomplishment Report Media Campaign on Tax Administration	May 14 – June 14, 2001

GOVERNANCE

Budget Dialogue Group (DBM)	February 1999
Proceedings of the Congressional Forum on H.B. No. 7845	July 1999
Proceedings of the Congressional Forum on HB No. 7845: Proposed Amendments to the Local Government Code of 1991	August 1999
Powerpoint Presentation on Modernization of Public Procurement	August 1999
Air Traffic Management Year 2000 (Y2K) Contingency Plan of the Philippines	October 1999
SEC Hearing Officer Training: Bankruptcy & Adjudication Skills Seminar (<i>Supplemental Case</i>)	December 1999
SEC Hearing Officer Training: Bankruptcy & Adjudication Skills Seminar (<i>Phase I</i>)	December 1999
SEC Hearing Officer Training: Bankruptcy & Adjudication Skills Seminar (<i>Supplemental Case Vol. II</i>)	December 1999
SEC Hearing Officer Training/Bankruptcy & Adjudication Skills Seminar/An Introduction to the Rules of Procedure on Corporate Recovery Volume II	January 2000
Seminar Slides (Final Version): Introduction to the Rules of Procedure on Corporate Recovery	January 14, 2000
Seminar Slides (Final Version): Review of Liquidation	January 21, 2000
Seminar Slides (Final Version): Procedures for Applying Rules to Current Cases	January 21, 2000

POLICY PRIMERS/BRIEFS/MEMORANDUM

FINANCIAL SECTOR

Comments on Securities Act of 1999 (HB 297 and 3349 with SEC Proposals)	February 1999
Summary of Findings and Conclusions Regarding Insolvency Resolution and the Role and Capacity of the SEC Therein	March 1999
Comment on Senate Version of General Banking Act	March 1999
AGILE's proposed Changes to Proposed Rules of Procedure on Corporate Recovery	August 16, 1999
Draft briefing Paper on the Proposed Rules of Procedure on Corporate Recovery issued by the SEC	July 1999
Amended and Annotated Version of the Rules on Corporate Recovery taking into Account Proposed Changes	August 1999
Summaries of Comments Received by SEC on Rules of Procedure on Corporate Recovery	August 1999
Comments on the Lender-Specific Redemption Provisions in Senate Bill No. 1519 and House Bill No. 6814	August 1999
Comparison of Proposed Rules of Procedure on Corporate Recovery with Amendments Provided by the Bankers' Association According to Criteria	September 1999
Housing Finance Briefer	October 27, 1999
Comments on the General Banking Act	October 29, 1999
Letter to Bankers' Association of the Philippines on Redemption Issue in General Banking Act	November 17, 1999
SCCP Licensing	July 07, 2000
Rationale for HB 878 Proposing Amendments to R.A. No. 7653	
28 Ways in Which House Bill No. 8015, as proposed to be amended, would: Prevent and Deter Insider Trading and other Fraudulent	

TITLE	DATE
FISCAL SECTOR	
External Debt Memo to Assistant Secretary Jun Paul	May 28, 1999
Continuation of CTRP (R.A. 8424) List of Implementing Rules and Regulations (Q&A Form) for the DOF	
TRADE AND OTHER RELATED MATTERS	
Proposed Acceleration of AFTA-CEPT for BIMP-EAGA Products	April 12, 1999
Implications of Harmonized Rules of Origin on Other WTO Agreements	March 9, 1999
Proposed Trade and Economic Cooperation Agreement	March 9, 1999
2 nd TPR of the Philippines	March 5, 1999
WTO Harmonized Rules of Origin	March 9, 1999
Study on the Philippine Implementation of WTO Commitments	March 9, 1999
Proposed Negotiation Under GATT Article XXVIII	March 9, 1999
WTO-Inconsistent Provisions of Pending Legislation on Countervailing Duties	March 9, 1999
"Fair Market Value" and "Normal Value" in the Philippine Jurisprudence	April 14, 1999
Consolidated Comments on Senate Bill No. 1330 on Countervailing Duties	April 16, 1999
Imposition of Special Safeguards Under the Flexible Tariff Clause	May 5, 1999
Action Agenda for APEC Meeting	May 1999
Memo to Sec. Medalla on Analysis of a Unified Contractor Scheme	September 1999
A Primer on Retail Trade Liberalization	November 26, 1999
Potential Effects of a Shift to Transaction Value System: A Short Note	November 30, 1999
Retail Trade Liberalization Legislation Report	December 10, 1999
Creating an Interim Special Unit to Process Countervailing and Anti-Dumping Petitions	
AGRICULTURE	
DA Comments on the NFA Reorganization Bill	December 1998
Letter of Sugar Producers Regarding the MAV	March 2, 1999
Proposals of SRA for the Importation of Raw Sugar	March 2, 1999
TOR of Proposed Task Force on APEC Food System	March 5, 1999
Proposed TOR for the Assessment Impact Study	March 8, 1999
Materials for the Task Force on WTO Agriculture Agreement Re-negotiation	March 8, 1999
Communications Re: Sugar Importation	March 8, 1999
SRA Proposals Re: Sugar Importation	March 8, 1999
Draft Report of Committee on Trade and Industry on Sugar	March 9, 1999
Tariff Commissions Proposal on Sugar	March 9, 1999
DA Comments Proposals on Sugar	March 9, 1999
Memorandum on Administrative Options for the DA – Anti Dumping Law	November 19, 1999
Compilation of Memos: Admin Options for the DA to Administer the Anti-Dumping & Countervailing	December 1999
INVESTMENT AND REGULATION	
Nationality Requirements Imposed on Foreign Investments	April 27, 1999
Position Paper on Electronic Commerce Bills Before the House of Representatives	September 1999
A Primer on E.O. 59 and 59-A	September 1999
New Draft E.O. 59	August 1999
ASEAN Information Infrastructure White Paper	December 06, 1999
Freedom to Fly Coalition Documents	December 1999
Primer: An Introduction to E-Commerce	January 31, 2000
A Primer on Civil Aviation Policy in the Philippines	February 08, 2000
The E-Commerce Bill and Hacking	May 12, 2000
Electronic Direct Registration	May 23, 2000
The E-Commerce Law: A Preliminary Analysis	June 12, 2000
E-Commerce Position Paper presented to the House of Representatives	
GOVERNANCE	
Follow-Up on the Notion that Rehabilitation is an Investment	July 1999

TITLE	DATE
Memo to Sec. Medalla on Raising Concerns Over Competitive Impact of Government Activity	July 1999
Briefing Paper for Chairman on Suspension of Payment Rules	July 1999
Matrices Comparing Proposed SEC Draft Rules on Corporate Recovery with that Developed by USAID/WB Consultants	August 1999
Summary of Comments on Proposed Rules on Corporate Recovery Received as of August 2	August 1999
Questions and Answers on Adequate Protection	September 1999
Rules of Procedure on Corporate Recovery with Proposed Amendments and Annotations	September 03, 1999
Matrix on Proposed Rules on Corporate Recovery Compared to World Bank Standards	September 15, 1999
Pros and Cons of Five Controversial Issues Regarding the Rules of Procedure on Corporate Recovery	October 07, 1999
The BDG Experience	November 17, 1999
Final Version: Suggested New Section to the Rules of Procedure on Corporate Recovery on Management Committees	December 07, 1999
Final Version: Memorandum to the Commission En Banc Re Proposed Changes to the Rules on Corporate Recovery in Light of Latest Discussions	December 08, 1999
Final Version: Technical and Quasi Technical Changes to the Proposed Rules on Corporate Recovery (12/8 version)	December 08, 1999
Final Version: Suggested Changes to Rules on Corporate Recovery	December 09, 1999
Final Version: Memorandum to Chairman Discussing Supreme Court Case on PD 902-A	January 18, 2000
Final Version: Model Order in Response to a Petition for Rehabilitation under the New Rules	February 02, 2000
Final Version: Model SEC Order in Response to a Petition Seeking Suspension of Payments and Rehabilitation	February 02, 2000

TECHNICAL REPORTS

FINANCIAL SECTOR

DOF Senior Management Retreat, Summary of Proceedings	March 1999
Capital Market Development Component Project Work Plan (<i>Draft Work Plan/For Discussion Only</i>)	March 1999
Technical Assistance Needs of the Department of Finance & GOP	April 1999
Macroeconomic Advisor International Finance Group, Department of Finance	April 1999
A Comprehensive Strategy for the Continuing Development of the Philippine Capital Market	June 1999
A Strategic Vision: Strengthening Philippine Mutual Funds and Improving the Public's Perception of Them	August 1999
Comprehensive Study on Pre-Need	July 16, 1999
Report on Securitization in the Philippines	
Trip Report: Developing A Secondary Market for Debt Products in the Philippines	
Draft: Revitalizing the Pre-Need Industry & Its Regulation	
Philippine Stock Exchange Governance	March 2000
Operating A Secondary Debt Market in the Philippines	March 2000
System & Trading Status Debt Trading in a Secondary Market Place	March 2000
Final Report: Securities Law Disclosure Expert	March 2000
STTA – Final Report – Macroeconomic Advisor International Finance Group Department of Finance of the Philippines	April 25, 1999
Technical Assistance Needs of the Department of Finance and the GOP	April 30, 1999
Guideline for A Definitive Study of the Philippine Pre-Need Industry and a Program for its Regulation	May 21, 1999
Corporate Governance in the Philippines: An Assessment of Needed Reform Efforts	November 15, 1999
Final Version: Report on the Insolvency System in the Philippines	December 03, 1999
Final Report: William F. Archerd, Securities Law Disclosure Expert	March 20, 2000
Closing Report of Robert H. Davenport: Securities Enforcement Expert	March 22, 2000

FISCAL SECTOR

Road User Charges Study: Draft Final Report First Draft of Congestion Costs Section	February 1999
Meeting with BAP and BIR and Notes Concerning Remaining VAT Issues	April 1999

TITLE	DATE
Revenue Regulations	September 09, 1999
Rationalizing Road User Charges in the Philippines	November 1999
Philippine Central Depository (PCD) Operations Review	August 07, 2000
Comparative Taxation of Financial Instruments and Financial Organization in the Asia-Pacific Region	September 1999
TRADE AND OTHER RELATED MATTERS	
Assessment of Philippine Tariff Reform: A 1998 Update (<i>Final Report</i>)	March 1999
Safeguards and Regulations on Copyrights	April 1999
IRR for the Anti-Dumping Act of 1999	June 12, 1999
Copyright Safeguards and Regulations	July 31, 1999
Primary Agency to Regulate Wholesale and Retail Regimes	August 25, 1999
Imperatives for Philippine Productivity and Global Competitiveness Report (A Preliminary Report)	August 31, 1999
An Analysis of Philippine Trade Reforms in 1995-2000: Using the 1994 APEX Model	October 31, 1999
Retail Trade Liberalization Without Apology	December 08, 1999
Legal Opinion on the Kintanar-proposed EO on Wholesale and Retail Pricing	July 31, 2000
The Philippines Under 4 Years of the WTO: An Empirical And Analytical Assessment of the Post-WTO Trade Reforms in the Philippines	
AGRICULTURE	
Financial Options for Restructuring the NFA Draft Interim Report	August 1999
Strategic Planning for the Reorganization of the National Food Authority Inception Report	May 1999
Draft-Market-Friendly Food Security: Alternatives for Restructuring NFA	August 1999
Financial Options for Restructuring the National Food Authority Inception Report	May 1999
Interim Report on International "Best Practices" in Privatization of Parastatals in Agriculture and Preliminary Implementation	July 19, 1999
Privatization of the National Food Authority	November 1999
Agricultural Trade Remedies Organization Study: Final Report	November 1999
Philippine Implementation of WTO Commitments on Agriculture	December 1999
Fisheries Trade Policy	December 1999
Agricultural Trade Policy	December 1999
The WTO and the Philippine Agriculture	December 1999
Farms, Food and Foreign Trade: The WTO and Philippine Agriculture	December 1999
Institutional Aspects of Plant Variety Protection	December 1999
Implications for Philippine Agriculture Policy and WTO Negotiations Strategy	December 1999
Plant Variety Protection Act of 1999	December 1999
Draft Final Report: Strategic Reorganization of the National Food Authority for the New Millennium	January 2000
International "Best Practices" in Privatization of Parastatals in Agriculture and Preliminary Implementation Strategies for Decoupling	July 19, 1999
Patterns of Trade Protection in Corn: A Summary of Simulation Exercises	January 11, 2000
Final Report: Strategic Reorganization of the NFA for the New Millennium	January 31, 2000
Final Report: Financial Options for Restructuring the National Food Authority	March 31, 2000
Draft Final Report: Financial Options for Restructuring the National Food Authority: Executive Summary	March 31, 2000
Case Studies on Selected Subsectors (Grains, Hog, Poultry, Meat Processing, Mango & Asparagus)	
INVESTMENT AND REGULATION	
Review of Interconnection Legislation Final Report	June 1999
Short-Term Telecommunications Policy Contract Final Report	June 1999
Evaluation of the Philippine Y2K Contingency Plan in Air Transport	June 1999
Universal Access Benchmarking Workshop	July 1999

TITLE	DATE
Diagnostic Study on the BOT Law RA 7718 and IRRs	August 15, 1999
Proposed IRR for Interconnection Draft Report	August 16, 1999
Role of Air Transportation in Tourism Development Airline Policies	
Draft IRRs on Interconnection (revision as of August 25, 1999)	August 25, 1999
Study on Restructuring of the Financial Liabilities of Power Sector, Final Report (NAPOCOR)	March 2000
Final Report on Interconnection Legislation	April 30, 1999
Review of Interconnection Legislation (Final Report)	June 30, 1999
Air Transportation Project Strategy	December 20, 1999
The Issue of Admissibility in Evidence of Electronic Documents	January 31, 2000
FFC's Proposed Final IRRs to E.E. 219	February 28, 2000
Legislative Response to E-commerce	March 02, 2000
Universal Access Benchmark Report	May 31, 2000
Comparative Matrix of Senate and House Provisions on the E-commerce Bill	June 09, 2000
Industry Comments Matrix on the Interconnection IRRs	June 20, 2000
NTC Memorandum Circular on the Interconnection IRRs	July 20, 2000
Draft NTC Memorandum Circular on Price Controls (ver. 1)	July 20, 2000
Comparative Analysis on Proposed House Bills on E-commerce	

GOVERNANCE

Ideas on Revision in the Local Code Government	April 1999
Piloting a Budget Management System	May 21, 1999
An Integrated Financial Management Information System for the GOP	August 1, 1999
Draft White Paper on Review and Assessment of Procurement Systems and Procedures in the Philippines	August 16, 1999
Proposed TA for Procurement Reforms	August 30, 1999
Considerations for Modernizing GOP Procurement	April 2000
Matrix: Book One	April 2000
Book One: General Provisions	April 2000
Proposed Amendments to Book II of the Local Government Code of 1991	April 30, 1999
DBM MIS Rapid Assessment	June 04, 1999
Nature and Power of Authority of NTC vis-à-vis DOTC	August 25, 1999

PERFORMANCE MONITORING REPORTS

<i>The Revised AGILE Life of Contract WorkPlan: Confronting Policy Reform Challenges for the 21st Century</i>	October 1998
<i>The Second Year Task Order Work Plan: Accelerating the AGILE Response to Strategic Policy Changes</i>	December 1998
<i>The Revised Second Year Task Order Work Plan: Accelerating the AGILE Response to Strategic Policy Changes</i>	January 1999
Quarterly Performance Monitoring Report (October to December 1998)	January 31, 1999
Quarterly Performance Monitoring Report (January to March 1999)	May 06, 1999
Quarterly Performance Monitoring Report (April to June 1999)	August 18, 1999
Quarterly Performance Monitoring Report (July to September 1999)	October 30, 1999
Quarterly Performance Monitoring Report (October to December 1999)	January 31, 2000
Quarterly Performance Monitoring Report (January to March 2000)	May 2000
Quarterly Performance Monitoring Report (April to June 2000)	June 2000
Statement of Work	June 23, 1999
Statement of Work	December 1999
Statement of Work	June 2000
Steering Committee No. 3	July 28, 1999
Steering Committee No. 4	June 2000
AGILE Legislative Briefing Notes	September 1999
AGILE Legislative Briefing Notes	January 18, 2000

TITLE	DATE
AGILE Legislative Briefing Notes	February 2000
AGILE Legislative Briefing Notes	April 2000
Monthly Activity Report	February 2000
Monthly Activity Report	March 2000
Monthly Activity Report	
Monthly Monitoring of Philippine Economic Performance within the SO2 Framework	September 1999
Monthly Monitoring of Philippine Economic Performance within the SO2 Framework	January 2000
Monthly Monitoring of Philippine Economic Performance within the SO2 Framework	February 2000
Monthly Monitoring of Philippine Economic Performance within the SO2 Framework	March 2000
Monthly Monitoring of Philippine Economic Performance within the SO2 Framework	May 2000
The Revised AGILE Life of Contract WorkPlan: Confronting Policy Reform Challenges for the 21 st Century	October 1998
Statement of Work, Revised Draft	May 16, 2001
Quarterly Performance Monitoring Report January to March 2001	May 10, 2001
DAI Statement of Work for AGILE Contract – June 2001 – June 2003	June 2001
Towards a framework for managing the Contingent Liabilities of Government of the Philippines	March 2001
Legislative Briefing Notes	April 2001
Quarterly Performance Monitoring Report (October to December 200)	February 6, 2001
Monthly Activity Report	February 2001
DAI Statement of Work	March 13, 2001
Monthly Activity Report	January 2001

PUBLIC INFORMATION MATERIALS

FINANCIAL SECTOR

Corporate Rehabilitation: The Philippines Experience	June 21, 1999
Proposed Rules of Procedure on Corporate Recovery	July 14, 1999
Business World Article: Introduction to the Rules of Procedure on Corporate Recovery	February 15, 2000

FISCAL SECTOR

TRADE AND OTHER RELATED MATTERS

EPG Guesting on "Jeep ni Erap" For August 21, 1999	August 21, 1999
Statement of Support for Retail Trade Liberalization	November 26, 1999
The Case for Retail Trade Liberalization	December 08, 1999
Analysis of the Retail Trade Liberalization Law	March 15, 2000
Retail Trade Liberalization Study	March 27, 2000

AGRICULTURE

INVESTMENT AND REGULATION

For Whom Shall We Fly: Challenges to Philippine Aviation	February 20, 2000
An Overview of E-commerce	March 15, 2000
Consultative Document on Wholesale Charging Regime Access and Interconnect Arrangements	August 03, 2000

OTHERS

A Preliminary Inquiry on Child Labor in the Philippines	
An Explanatory Analysis of the Labor Force in the Philippines	
AGILE Brochure	

ANNEX 2

**CURRENT STAFFING LIST BY
TECHNICAL AREA**

LISTING OF KEY STAFF BY TECHNICAL AREA
as of June 30, 2001

Key: R= Recruited; R/M= Recruited and Mobilized; C=Completed

Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
AGILE HEADQUARTERS				
Project Management and Administration				
Chief of Party	Ramon Clarete	880	283.3	R/M
Managing Director	David Tardif-Douglin	726	65.1	R/M
Senior Policy Advisor	Cesar Virata	126	42.75	R/M
Technical Backstop	Raymund Fabre	88	34.52	R/M
Project Administrator & SAF Manager	Matthew Buzby	352	64.7	R/M
Project Administrator & SAF Manager	Suzanne Babb	880	328.8	Resigned
Senior Staff Economist	Emmanuel de Dios	11	0	C
Senior Office Manager	Maritess Avila	880	63.8	R/M
Executive Assistant	Mitos Aldave	675.84	62.2	R/M
Accounting and Finance Unit				
Senior Project Accountant	Imma Cordero	880	67.8	R/M
Assistant Project Accountant	Cathy Pollisco	550	64.8	R/M
Satellite Office Finance Specialist	Carlito Magnaye	698	62.4	R/M
Finance Assistant	Imelda Nival	264	182	Resigned
Finance Assistant	Cora Calanasan	487	63.6	R/M
Recruitment Unit				
Recruitment Manager	Bernardo Fernandez	726	58.5	R/M
Personnel Assistant	Iza Soriano	264	198	Resigned
Personnel Assistant	Charito Sulit	441.2	63.6	R/M
Policy Advocacy Unit				
Advocacy Advisor	Joni Reyes	253	97.5	Terminated
Policy Advocacy/Research Associate	Maya Cui	718	153.1	End Contract
Legislative Monitoring Specialist	Maya Cui-Odulio	300		R/M
Policy Conference and Training Unit				
Policy Conference/Training Specialist	Minerva Dacanay	576	89.3	End Contract
Training Assistant	Annaliza Majomot	726	70.1	R/M
Performance Monitoring Unit				
SO2 Strategic Specialist	Peter Gajewski	58	13.98	C
Policy Monitoring Specialist	Rowena Arceo	572	64.9	R/M
Performance Monitoring/TAMIS Manager	Edith Joan Nacpil	693	66.6	R/M

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as of June 30, 2001

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Result/Activity/Position	Name	Budgeted LOE (Person/Days)	Remaining (Estimated) LOE	STATUS
Publication/Production Unit				
Chief Public Information Pub. Unit	Benedicto Rayco	498.4	65.4	R/M
Production Specialist/Librarian	Josephine Janolino	264	0	Terminated
Production Assistant	Renerlita Bacus	858	108.1	R/M
Policy Reform Associate (News Information Service)	Gmelina Guiang	594	264.1	Resigned
Policy Information Analyst	Jay Ramos	110	62.4	Resigned
Policy Information Analyst (part-time)	Melody Bejosano	88	49.5	R/M
Production and Presentation Assistant	Arnold Gonzales	723	73.6	R/M
Information Technology Unit				
Network Administrator	Orlie Go	660	65.1	R/M
General Office Support				
Receptionist	Marione Ilacio	704	132.4	C
Messenger/Utility	Ricky Caampued	616	60.8	R/M
Driver	Henry Delfin	651	92	R/M
Driver	Alfredo Borja	651	75.1	R/M
Research Assistant	Camilla Villegas	290	0	Terminated
Administrative Officer	Herteny Hernandez	264	32.5	End Contract
Administrative Support Assistant	Aida Bautista	440	76.9	R/M
Receptionist	Guia Janson	565	63.5	R/M
Messenger/Janitor	Josefine Camagay	616	27	End Contract
Project Driver	Luis Santos	726	64.1	R/M
Messenger/Utility	Wilfredo Estanislao	858	72.1	R/M
Satellite Offices (Technical & Staff Personnel)				
Financial Institutions Stabilized and Deepened				
The DOF Satellite Office				
Macrofinance Policy Advisor (LFTA)	Stephen Lewarne (expat)	282	104	Resigned
Macrofinance Policy Advisor	Eric Graber	240	0	C
Macrofinance Policy Advisor (SFTA)	Hans Marges	13	0	C
Macrofinance Policy Advisor (LTPA)	Hans Marges	264	0	C
Tax Policy Advisor (SFTA)	Khwaja Sultan	31	0	C
Interim Tax Policy Advisor	Fuat Andic	14	0	C
Strategic Planning Facilitator/Advisor	Alejandro Ferrera	17	0	C
Strategic Planning Facilitator/Resource Person	Victor Limlingan	5	0	C
Fiscal Policy Task Manager (IFG)	Gilbert Garchitorena	638	199	Terminated
Fiscal Policy Task Manager (DBM & DFG)	Teresa Taningo	132	27/25	C

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as of June 30, 2001

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Legal Specialist	Mane Bautista	264	0	C
Legal Specialist	Paterno Mantaring	132	0	C
Legal Specialist	Juan Jose Feliza	38.5	0	C
Technical Assistant	Lenard Lopez	187	0	Resigned
Technical Assistant	Arnold Sayson	231	0	C
Policy Task Manager	Aurea Lyn Batencila	275	110	R/M
Policy Reform Associate	Angelita Arcilla	286	119.9	Resigned
Office Manager	Amelia Cosca	352	12	C
Administrative Assistant	Manoel Ilaco	32	0	C
Administrative Assistant	Monique Lapina	132	38	Resigned
Messenger/Utility	Edouardelos Santos	198	0	Terminated
Messenger/Utility	Michael Glenn Ocampo	462	45.6	R/M
Driver	Rogelio Gacal	143	0	End Contract
Road User Charges				
Transport Economist/Team Leader	Gabriel Rohn (expat)	76	0	C
Transport Economist/Dea Team Leader	Terresa Villareal	102	0	C
Road Transport Specialist	Olegario Villora	77	0	C
Road Transport Specialist	Rore Santiago	59	11	Terminated
Road Tax Analyst	Telesa Tamingco	66	1.6	C
Research Assistant	Jennifer Padillo	22	0	C
Policy Advocacy Advisor	John Reyes	76	24.5	Terminated
Public Finance Advocacy Expert/Team Leader	Cesar Viera	10	0	C
Road Infrastructure Advisor	Rosaldo Esmar	145	0	C
Institutional Reform Advisor	Amanda Eabella	10	0	C
Legal Specialist	Samilo Bolonay	13	0	C
RUC Advocacy Specialist/Project Coordinator	Garo Miranda	40	0	C
Fiscal Incentives				
Policy Advisor on Accelerated Depreciation Scheme	Victor Deofeno	66	0	C
Research Assistant	Ross Quisao	90	0.5	C
Managing Contingent Liabilities for Private Sector Participation of Infrastructure Project				
Team Leader/Financial Economist	Jude de Vera	132	0	C
Financial Market Expert	Renato Reside	99	0	C
Financial Economist	Renato Reside	7	7	R/M
Legal Expert	Angello Impeno	64	0	C

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as of June 30, 2001

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Documentation Specialist	Arnold Sayson	66	54	Resigned
Documentation Assistant	Manolo Santos	66	0	C
Documentation Specialist	Raymunda Albert	32	0	C
Tax Administration at the BIR				
Tax Administration Advisor	Glenn Jenkins (expat)	8	0	C
Tax Systems Expert	Graham Glenday (expat)	8	0	C
Tax Administration Economists	Bill Bigby (expat)	12	0	C
Legal Expert Tax Economist	TBD	264	264	On Hold
Local Government Finance				
Local Government Finance Expert	William Loehr	53	5	C
Public Finance Economist	Rosario Manasan	204	62	C
Local Government Task Manager	Raymund Fabre	231	15.82	R/M
Local Government Advisor to Senate	Alex Brillantes	44	15.5	C
LGU Advisor	Raul Biton	90	0	C
Local Government Finance Expert	Dante Carlas	33	31	C
Local Govt Credit and Tax Expert	Max Cealla Soriano	38	31	C
Local Administration Expert	Sofronio Urcal	45	0	C
Research Assistant	Elen Villanueva	22	0	C
Research Assistant	Janel Ordoña	22	0	C
Research Assistant	Ross Qulsao	22	0	C
Research Analyst (Luzon)	Zacyl Rivera	132	0	C
Research Assistant (Mindanao)	Ross Qulsao	132	0	C
Research Assistant (Visayas)	Brenda Solis	132	0	C
Admin Officer/Technical Assistant	Florideliza Guice	66	46	C
Research Analyst (Luzon)	Zacyl Rivera	132	0	C
Research Assistant (Visayas)	Brenda Solis	132	0	C
Fiscal Policy Analysis Strengthening Activity				
Senior Tax Policy Advisor/Team Leader	Victor Abola	60		R/M
Tax Policy Economist/Task Manager	Francis Vicente	110		R/M
Tax Policy Economist/ Statistician	Joyce Gracia	110		R/M
Lead Information Technology Specialist	Rinaldo de Vera	88		R/M
Information Technology Specialist	Jeanette Yu	88		R/M
Senior Research Assistant	Leandro Tan	110		R/M
Programming Assistant	Allan Morales	88		R/M
Administrative Officer	Annaliza Mones	110		R/M

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Reengineering the Bureaucracy for Better Governance (includes Government Procurement System)				
Senior Strategic Reform Advisor	Edgardo Campos	264	0	C
MIS/Software Design Advisor	Herbert Koudry (expat)	46	5	C
Budget Management Advisor	Sir Ga. Gobeil (expat)	116	0	C
Government Procurement System Specialist	Lady Jobidon (expat)	116	0	C
MIS/Software Design Expert	Salvador Aquino	50	0	C
IT/MIS Team Leader	Salvador Aquino	66	46	C
Budget Reform/Advocacy Specialist	Ruben Pascual	88	0	C
Advocacy Specialist	Ruben Pascual	66	0	C
Budget Reform Task Manager	Teresa Taningco	396	52.6	R/M
Project Driver	Rodolfo Fausto	726	200.1	Non-Renewal
Project Driver	Larry Mabini	176	78.8	R/M
Administrative Officer	Flor Guce	239	48	R/M
Research Asst/Admin Assistant	Fernando Antolin	132	64	Resigned
Administrative Officer	Michelle Ferrer	264	35	Resigned
Legal Specialist (1)	Allen Cruz	50	0	C
Legal Specialist (2)	Mary Eileen Trumbocon	45	0	C
The SEC Satellite Office				
Financial Markets Advisor	Eleanor Rivera	660	295.6	Resigned
Securities Regulation Advisor	Debra Kertzman (expat)	396	80.6	R/M
Capital Markets Advocacy Advisor	Margarito Reyes	74	27	C
Capital Market Specialist	Dennis Grubb	36	0	C
Corporate Financial Disclosure Expert	William Archer	45	0	C
Securities Enforcement Expert	Robert Davenport	47	0	C
Corporate Financial Disclosure Expert	Robert Davenport	22	0	C
Securities Market Regulation Advisor	Stanley Judd	27	10	C
Senior Economist (on advocacy)	Ma. Socorro Bautista	66	50	C
Capital Markets Advocacy Specialist (STTA)	Marite Damsani	660	44.1	Change to STTA
Capital Markets Advocacy Specialist (STTA)	Marite Damsani	130	96	R/M
Finance Markets Legal Specialist	Socorro Lerrer	246	52	R/M
Research Associate	Patricia Lim	616	76.8	R/M
Administrative Assistant	Irene Dionisio	506	81.4	R/M
Driver	Charlo Sajol	484	84.7	R/M
Administrative Assistant	Guia Janson	66	0	C
Driver	Edilberto Bico	264	0	C

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Driver	Larry Mabini	845	57.1	Transfer to DBM
Messenger/Utility	Melanio Bolga	594	91.1	R/M
The Makati Stock Exchange Satellite Office				
Securities Markets Advisor (expat)	Joe Schenk (expat)	260	42	C
Debt Markets Advisor (expat)	Julius Joseph	60	8	C
Capital Markets Training Specialist (expat)	Susan Hertel	15	0.8	C
Central Banking Practices				
Team Leader/Multi-lateral Coordinator	George Gregorash (expat)	260	0	C
Bank Supervision Advisor	Francesca Baniqued	462	54.1	R/M
Bank Examination Trainer (STTA)	Bein Lewame (expat)	80	68.5	C
BSP Task Manager	Teresa Tamingco	37	6.13	C
Bank Supervision Researcher	Jean Bustamante	300.96	0	C
Bank Supervision Researcher	Heidee Lozan	143	83	Resigned
Legal Analyst (probationary for LTTA)	Michelle Serrano	66	15	R/M
Bank Policy Researcher	John Xavier Chavez	77	34	R/M
Administrative Officer	Ma. Theresa Contreras	462	65.1	R/M
Research/Administrative Assistant	Janice Suarez	132	0	C
Driver	Eddie Taganap	264	21	R/M
Pension Reform				
Regulator/Lawyer (expat)	David Eardsley (expat)	10	40	C
Tax Expert on Contractual Savings	Martin Homer (expat)	19	0	C
Research/Administrative Assistant	Mary Anne Caparas	93	0	Resigned
Research Assistant	Janice Suarez	264	150	Resigned
Securitization Reform				
Activity Currently on HOLD				
Securitization Lawyer	Robert Dodge (expat)	143	0	C
Research Assistant	Paolo Hernando	66	0	C
Mutual Fund Industry				
Financial Economist	Lewis Mendelson (expat)	52	0	C
Mutual Funds Specialist	Noel Gamco	88	0	C
Mutual Funds Analyst	Arturo Ilano	88	0	C
Pre-Need Industry				
Pre-Need Advisor	Lewis Mendelson (expat)	140	0	C
Contractual Savings Specialist	Winston Padojinog	88	19.3	C

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Financial Specialist Pre Need	Cheryl Posadas	66	24.5	C
Financial Specialist-SEC	Gino Gallegos	44	0	C
Taxation of Financial Services				
Tax Economist	Tim Morris (expat)	52	0	C
Taxation Expert in Life Insurance	Malcolm Gammie (expat)	6	0	C
Finance Specialist	Angel Yungco	25.5	0	C
Housing Finance	Activity Currently on Hold			
Trade and Investment More Competitive and Dynamic				
The NEDA Satellite Office				
Macroeconomic Policy Task Manager	Raymond Fabre	132	71	Closed out
Macro Economic Researcher	Clarence Pascual	264	0	Closed out
Senior Economic Researcher	Wenilda Costantino	132	0	C
Administrative Officer	Flor Guce	264	31.6	Closed out
Competition Policy Legislative Specialist:				
Retail Trade	Roman Romulo	66	0	C
Privatization	Caroline Hanson	264	0	C
Power Sector	Cristina Uy	66	0	Resigned
Legal Specialist on Investment Liberalization	Ma. Lourisa Burdoo	66	0	C
The DTI and DA Satellite Offices				
Trade Policy Advisor	Ramon Clarete	286	85.5	R/M
(study of impact of trade reform)	Cielito Habito (DTI)	26	0	C
Economist (Trade in Services)	Maria Duano (DTI)	73	2	C
(study of trade & investment issues)	Florian Albino (DTI)	22	0	C
Agricultural Trade Economist	Beulah dela Pena (DA)	132	0	C
Economic Modeller (for CGE model)	Caesar Coronado (DTI)	66	0	C
Trade Policy Task Manager	Loreli de Dios (DTI)	726	77.6	R/M
Agriculture Policy Task Manager	Teresina Galabia (DA)	866	127.1	End Contract
Research Associate	Cecile Corneo (DTI)	132	30.8	C
Legislative Liason	Joel Grenas (DA)	319	147.3	C
Research Associate	Kabarian Valmonte (DTI)	264	0	Resigned
Research Associate	Ethel Maraon (DTI)	264	205	Resigned

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Research Associate	Janet Cuenca (DTI)	44	0	C
Research Associate	Rashiel Velarde (DTI)	44	0	C
Administrative Officer	Isabella Colina (DA)	616	133.3	C
Driver/Messenger	Rolando Torre (DA)	264	43	Terminated
Driver/Messenger	Jose Comines (DA)	264	0	C
Policy/Research Intern	Roland Quong (DTI)	25	0	C
Tariff Reduction Program				
Trade Economist	Gwendolyn Tecson	40	10	C
Trade Economist	Emmanuel de Dios	22	10	C
Research Assistant	Cathy Lawas	88	10	C
Research Assistant	Eden Villandeva	5	0	C
WTO System of Import Valuation at BOC				
Customs Valuation Policy Advisor	Bienvenido Alano	327	3.3	C
Customs Valuation Advocacy Specialist	Ironi Reyes	33	31.75	Terminated
PURCHASE ORDER, Post-Entry/Audit Expert	Joginder Singh	61	7.0	C
Organization Development/Apprentice	Maria Vica Gomez	462	21.1	C
Training/Apprentice	Catherine Ramos	38	52	C
Data Management Assistant	Vincent Gabriel Reyes	572	0	C
Advocacy/Apprentice	Oscar Gomez	168	0	C
Systems and Procedure Expert	Manuelito Cera	372	0	C
Customs Valuation Advocacy Associate	Carmencita Balbosa	24	0	C
Legal Specialist	Patrick Santo	46	0	C
Administrative Officer	Eduardo Pilao	231	0	C
Organization Development/Apprentice	Brian Alano	374	0	C
Risk Management Specialist	Catherine Lawas	91	0	C
Trade Economist	Bienvenido Alano	66	50	R/M
Legal Specialist	Patrick Santo	22	0	C
Organizational Assistant	Vincent Gabriel Reyes	110	55.5	R/M
Management Specialist	Catherine Lawas	52	8	R/M
International Trade Remedies				
Legislative Advocacy Advisor	Margarito Teves	66	18.9	C
Trade Remedies Policy Specialist/Reform Associate	Carmencita Balbosa	471	406.5	C
Inst'l Dev'l Specialist for Agri'l Trade Remedies	Johnson Mercader	17	0	C
Legal Advisor for Agri'l Trade Remedies	Alfredo de Roda	17	0	C
Trade Remedies Legal Expert	Ma. Louisa Bunggo	176	36	Resigned

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Agriculture Trade Reform: Rice Trade				
Agricultural Policy Economist	Cielito Habito	30	0	C
Labor Economist	Michael Alba	22	0	C
International Development Specialist	Ponciano Intal	16	0	C
Case Study Writer	David Llorito	55	0	C
Research Assistant	Rosemarie Ragonhan	88	0	C
Research Associate	Elcee Noveno	264	0	C
Copyrights Protection				
Copyrights Expert	Eduardo Paras	10	0	C
Senior Copyrights Expert	Ernesto Basa	10	0	C
IPR Specialist	Ignacio Sapalo	5	0	C
Legal Specialist	Jacqueline Swann	10	0	C
Intellectual Property Protection for PV				
US PVP Specialist	Mark Condon	12	0	C
Argentina PVP Specialist	San Hernandez (expat)	12	0	C
Institutional Specialist	Johnson Mercader	22	0	C
PVP Legal Specialist	Jorge San Diego	22	0	C
Advocacy Specialist	Jorge San Diego	55	41	C
Reforms in Investment Facilitation & Lib.				
Investment Policy Advisor	Jaime Faustino (expat)	660	116.1	R/M
Investment Policy Associate	Patricia Pascual	572	33.8	Resigned
Eminent Persons Group (EPG)				
Policy Team Leader	Rosemary Ong	166	0	C
Industry Economist	Armi Cortes	55	0	C
Publications Design Specialist	Romero Inamac	7	0	C
Econ. Reforms thru the Office of the Pres.				
Presidential Advisor on Flagship Projects	Jose Espejo (expat)	20	0	C
Presidential Advisor on Flagship	Jose Espejo (expat)	260	0	C
Driver	Nestor San Andres	264	54	C
Telecommunications				
A. Policy Reform				
Telecoms Policy Advisor (STTA)	Ronald Pump (expat)	42	0	C
Convergence Policy Expert	PO with Gutter & Co.	10	0	C

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Telecommunications Policy Specialist	Francisco Galema	50	0	C
Telecommunications Policy Task Manager	Francisco Galema	264	0	Closed out
Telecommunications Policy Associate	Julito D. Vitriolo	88	0	C
Telecommunications Economist	Ramurette Serafica	70	0	C
Convergence Policy Team Leader	Emmanuel Lallana	54	21.7	C
Convergence Financial Analyst	Armi Ruby Cortes	30	9.6	C
Administrative Officer	Imelda Romero	264	88.1	Closed out
B. Regulatory Reform				
Telecommunications Regulatory Associate	Edmundo Ramos	374	73.4	R/M
Interconnection Policy Advisor	Sima Fishman (expat)	38	0	C
Regulatory Advisor	Jack Lightle (expat)	162	0	C
Telecommunications Legal Expert	Manuel Casino	564.96	42.5	R/M
Chart of Accounts Expert	Annie Mendoza	39	0	C
Wholesale Regime Expert	David Dawson (expat)	118	0	C
Cost Allocation and Rules Expert	Kenneth Garner	45	0	C
Telecommunications Expert	Romeo Mendoza	7	0	C
Administrative Officer	Mary Grace Castelo	528	90.1	R/M
Electronic Commerce				
Electronic Commerce Specialist/Team Leader	Emmanuel Lallana	110	0	C
Legal Expert	Miro Quimbo	84	9.6	C
Research Associate	Lorraine Salazar	45	0	C
Project Associate	Zorayda Andam	118	0	C
CA Guidelines Team Leader	Jose Gerardo Alampay	30	5.6	R/M
Legal Specialist	Rodolfo Quimbo	7	3.6	R/M
ITECC Management Plan				
IT Legal Expert	Jose Gerardo Alampay	15	4.4	R/M
Power Infrastructure Projects				
Team Leader	Guido Delgado	55	4.6	C
Infrastructure Economist	Patricia Lopez	66	0	C
Financial Advisor	Rauf Tan	66	0	C
Research Officer	Constacia Geroso	66	0	C

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Overall Support				
NFA Activities Director	Joji Reyes	132	0	C
NFA Policy/Specialist	EJ. Nacpil	123	0	C
NFA Task Manager	Carmencita Balbosa	142	33.6	C
NFA Reorganization				
Agricultural Policy Governance & Institutional Reform Advisor	James Roumasset (expat)	105	0	C
Organization Specialist/Team Leader	Irene Villapando	132	0	C
Finance and Accounting Specialist	Eleanora Tan	33	0	C
Institutional Development/Personnel Specialist	Teresito Lalap	55	0	C
Legal/Labor Specialist	Elizabeth Macalbay	62	0	C
Grains Policy/Modeling/Research/Associate	Joan Bustamante	55	0	C
Research Assistant	Linda Barrios	220	0	C
Administrative Assistant	Eleanor Bartolome	66	0	Resigned
Administrative Assistant	Elyea Soriano	110	0	C
Grains Policy Research Assistant	Catherine Lwiza	121	0	C
Grains Management Advisor	Adolfo de Leon, Sr.	16	0	C
NFA Financial Valuation				
Privatization Advisor	E. Abartiente (expat)	66	0	C
Team Leader/Privatization Specialist	Teresa Villalera	99	0	C
Deputy Team Leader/Finance and Accounting Specialist	Nyma Javier	47	0	C
Accounting Analyst	Arthur Aguas	15	0	C
Accounting Analyst	Lourdes Pingoy	66	0	C
Appraisal/Data Analyst	Marissa Benitez	29	0	C
Designing and Implementing a Targeted Food Subsidy Program				
Food Security Policy Advisor	Ramon L. Clarete	11	0	C
NFA Legislative Associate	Carmencita SJ. Balbosa	33	0	C
Commercialization of Biotechnology Products				
International Biotechnology Regulations Expert	Patricia Ann Koch	52	6	C
Biotechnology Specialist	Reynaldo dela Cruz	22	0	C
Economist/Team Leader	Leonardo Gonzalez	55	0	C
Legal Specialist	Jose Ochave	33	0	C
Public Relations Specialist	Ma. Theresa Jazmines	14	0	C
Research Assistant	Benilda Zamora	187	6	C
Research Assistant	Peter Turingan	72	8	Resigned

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Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Rationalizing Plant & Animal Quarantine Systems				
Organizational/Institutional Specialist/Team Leader	Johnson Mercader	33	0	C
Legal Specialist	Elizabeth Macalbay	33	0	C
Research Assistant	Rogelio Casaje	73	0	C
Impact Assessment of Comprehensive Agrarian Reform				
Agrarian Reform Expert/Team Leader	Clelito Habito	14	0	C
Research Assistant	Peter Turingan	154	83	C Transfer to GMO
Y2K Problems in Air Transport Sector				
Y2K Contingency Planning Expert	Jim Evanoff (expat)	12	0	C
Liberalization of the Retail Trade Industry				
Grant to the Foundation for Economic Freedom				Completed
	Grant Agreement Signed			
NEDA's Macroeconomic and Investment Planning Capability				
Macroeconomist/Advisor/Model Reviewer	Peter Patuly (expat)	20	0	C
Macroeconomic Modeler	Celia Reyes	132	0	C
Research Assistant	Sheila Buenate	198	0	C
Research Assistant	Marie Anne Gagas	198	0	C
Economic Governance Improved				
Government Procurement System				
covered under DBM Activities above				
Bankruptcy, Procedures & Practices				
Bankruptcy Legal Advisor (LPTA)	Daniel Fitzpatrick (expat)	461	20.2	C
Corporate Restructuring Expert	Daniel Donovan (expat)	20	0	C
Bankruptcy/Adjudicator Expert	Milo Stevanovich (expat)	91	1	C
Legal Specialist	Jose Maria Carpio	55	0	Resigned
Bankruptcy Judge	Frank Conrad	5	0	C
Legal Specialist	George Carmona	197	0	C
Investment Banking Specialist	Francis Orena	15	0	C
Judicial Reform Activity				
Judicial Reform Task Manager	George Carmona	264	0	R/M
Judicial Policy Reform Specialist	Ma. Lourdes Sereno	61	20.5	R/M

LISTING OF KEY STAFF BY TECHNICAL AREA
as of June 30, 2001

Key: R= Recruited; R/M= Recruited and Mobilized; C=Completed

Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Economist	Joseph Capuno	40	18	R/M
Judicial Policy Reform Advocate	Rhodora Raterta	26	15	R/M
Research Assistant	Mhelvin Abajon	38	10	R/M
Administrative Assistant	Flor Guce	264	239	Transfer to DBM
Legal Researcher	Patrick Verzosa-Santo	60	0	C
Comp. In Public Utilities & Basic Industries	ACTIVITY CANCELLED			
Team Leader	TBD	132	132	
Legal Specialist	TBD	132	132	
PR Expert	TBD	132	132	
Competition in Inter-Island Shipping				
Grant to The ASIA Foundation				
Ports Privatization				
Grant to ASIA Foundation	Grant Agreement			Completed
Ports Expert	Peter Yee (ex-pat)	82	0	C
Transport Economist	Michelle Paludato (PO)	25	0	C
Ports Advocacy Specialist	Yen Makabarta	66	0	C
Transportation Policies to Promote Tourism				
Air Transport Expert	Victor Limingan	76	0	C
Policy Team Leader	Mila Abad	104.5	1	R/M
Advocacy Specialist	Yen Makabarta	66	0	C
Legal Expert	Joe Tesoro	66	0	C
Summit Organizer	Cesar Topacio	77	0	C
Tourism Transport Facilitation Specialist	Alfonso Nicolas	21	0	C
Creative Marketing/Promotion Spcl	Maria Rosario Garcia	21	0	C
Coalition Organizer	Marzalina Lum	60	47.2	Phased out
Air Transport Policy Specialist	Cherrylyn Rodolfo	66	0	C
Air Transport Policy Specialist	Cherrylyn Rodolfo	128	58.1	R/M
Research Associate	Marge Macasaet Barro	132	0	C
Advocacy Coordinator	Jennifer Pascual	352	200	Resigned

LISTING OF KEY STAFF BY TECHNICAL AREA
as of June 30, 2001

Key: R= Recruited; R/M= Recruited and Mobilized; C=Completed

Result/Activity/Position	Name	Budgeted LOE (Person Days)	Remaining (Estimated) LOE	STATUS
Advocacy Coordinator	Haydee Peras	231	69	R/M
Legal Specialist	Jose Claro Tesoro	20	8	R/M
Corporate Governance				
Comm'l Law/Corporate Governance Expert	Kevin Forgarty (expat)	45	0	C

SUMMARY

Hired Expat on Board - 5

Hired Technical Staff on Board - 40

Hired Administrative Staff on Board- 29

Total No. of Personnel on Board - 74

Total No. of Personnel whose contract completed and Resigned with AGILE- 235

ANNEX 3

**LIST OF TRAINING, SEMINARS
AND WORKSHOPS**

**PARTICIPANT TRAINING, SEMINAR-WORKSHOPS AND CONFERENCES FUNDED
FOR THE PERIOD
APRIL – JUNE 2001 IN SUPPORT OF THE AGILE POLICY RESULTS**

SR. 1.0 Overall Financial Institutions Stabilized and Deepened

POLICY RESULT	DATE	ACTIVITY	BENEFICIARY AGENCY/PARTICIPANTS	VENUE/COUNTRY
1.3.b-1	May 20 – 25, 2001	4 th Annual Meeting of the Asia/Pacific Group on Money Laundering	Department of Justice (DOJ) Usec. Jose Calida	Kuala Lumpur, Malaysia
1.1.a	May 27 – June 3, 2001	Seminar on "Exchange of Information & Bank Secrecy"	Department of Finance (DOF) Ms. Yuvelinda R. Sabino	Chonan, Korea
1.3.b-1	June 22, 2001	Roundtable Discussion on the Anti-Money Laundering Bill No. of Participants: 75 pax	Department of Justice (DOJ)	UP Law Center Lecture Room, UP Diliman, Q.C.
1.3.c	June 21 – July 1, 2001	26 th Annual Conference of the International Organization of Securities Commission (IOSCO)	Securities and Exchange Commission (SEC) Chair. Lilia R. Bautista Comm. Fe Eloisa C. Gloria Atty. Jose P. Aquino	Stockholm, Sweden

SR. 2.0 Overall Trade and Investment more Competitive and Dynamic

POLICY RESULT	DATE	ACTIVITY	BENEFICIARY AGENCY/PARTICIPANTS	VENUE/COUNTRY
2.2.b-2	April 26 – 27, 2001	Workshop on Retail Price Framework No. of Participants: 20 pax	National Telecommunication Commission (NTC)	Discovery Suites Pasig City
2.2.b-2	June 17 – 22, 2001	Regional Telecommunications Regulatory Workshop	National Telecommunication Commission (NTC) Comm. Eliseo M. Rio, Jr.	Jakarta, Indonesia

SR. 3.0 Economic Governance Improved

POLICY RESULT	DATE	ACTIVITY	BENEFICIARY AGENCY/PARTICIPANTS	VENUE/COUNTRY
3.1.a-2	May 23, 24 & 30, 2001	Two Focus Group Discussions (FGDs) and a Roundtable Discussion for the study on Justice and the Cost of Doing Business No. of Participants: 51 pax	Supreme Court of the Philippines	Supreme Court Conf. Room, Supreme Court Bldg., Manila

* Participants list is shown in the attachment.

**FOCUS GROUP DISCUSSION FOR THE STUDY ON
JUSTICE AND THE COST OF DOING BUSINESS
May 22 – 23, 2001**

LIST OF PARTICIPANTS

May 22, 2001

- | | | |
|-----|--------------------------|---|
| 1. | Atty. Erotido Valdez | Pilipinas-Kao |
| 2. | Mr. Harvey Tan | Allied Domecq |
| 3. | Atty. Jose Cabatuando | Philippine Airlines |
| 4. | Atty. Benedicto Valerio | International Exchange Bank |
| 5. | Mr. Hernani Geronimo | Lapanday Holdings Corporation |
| 6. | Atty. Rosanna Burkley | Lapanday Foods Corporation |
| 7. | Mr. Ramon Reyes | Marigold Commodities |
| 8. | Mr. Glando A. Perez | JEA Steel Industries |
| 9. | Atty. George Carmona | AGILE |
| 10. | Prof. Ma. Lourdes Sereno | AGILE |
| 11. | Prof. Joseph Capuno | UP School of Economics |
| 12. | Mr. Ramon Tuazon | Asian Institute of Journalism and
Communication (AIJC) |
| 13. | Prof. Corazon Arboleda | AIJC |
| 14. | Ms. Mahleen Delfin | AIJC |
| 15. | Mr. Dante Pangan | AIJC |
| 16. | Ms. Rhemah Legaspi | AIJC |
| 17. | Ms. Aileen Abner | AIJC |
| 18. | Mr. Sandro Espolong | AIJC |
| 19. | Mr. Rodel Robrigado | AIJC |

May 23, 2001

- | | | |
|-----|------------------------|--|
| 1. | Mr. Miguel Manalo | Victoria Wave, Phil. Economic Zone
Authority |
| 2. | Atty. Antonio Viray | Metropolitan Bank and Trust Company
(METROBANK) |
| 3. | Mr. Fausto C. Aquino | Fame Laboratories |
| 4. | Mr. Prudencio Samson | Analyst Supply House, Inc. |
| 5. | Mr. Victor Fernandez | Phil. Asso. of Service Exporters, Inc.
(PASEI) |
| 6. | Atty. Leonides David | Chamber of Customs Brokers, Inc. (CBBi) |
| 7. | Mr. Raul Cordenillo | Phil. Chamber of Commerce and
Industry (PCCI) |
| 8. | Atty. George Carmona | AGILE |
| 9. | Prof. Noel de Dios | UP School of Economics |
| 10. | Prof. Joseph Capuno | UP School of Economics |
| 11. | Prof. Corazon Arboleda | AIJC |
| 12. | Ms. Becky Paleracio | AIJC |

95

- 13. Ms. Mahleen Delfin AIJC
- 14. Mr. Dante Pangan AIJC
- 15. Ms. Rhema Legaspi AIJC
- 16. Mr. Sandro Espolong AIJC
- 17. Mr. Rodel Robrigado AIJC

**NTC's WORKSHOP ON RETAIL PRICE FRAMEWORK
DISCOVERY SUITES
APRIL 26, 2001**

LIST OF PARTICIPANTS

- | | | |
|-----|--------------------------|--|
| 1. | Comm. Eliseo M. Rio, Jr. | National Telecommunications Commission (NTC) |
| 2. | Ms. Alodia M. Bartolome | NTC |
| 3. | Mr. Nestor Virata | AGILE |
| 4. | Atty. Manuel P. Casiño | AGILE |
| 5. | Ms. Grace R. Castelo | AGILE |
| 6. | Mr. Edmundo Ramos | AGILE |
| 7. | Ms. Chriz U. Gonzales | NTC |
| 8. | Ms. Sophia Lynn Lumantod | NTC |
| 9. | Ms. Flora B. Aliño | NTC |
| 10. | Ms. Pricilla F. Demition | NTC |
| 11. | Mr. Dante M. Vengud | NTC-CCAD |
| 12. | Mr. Samuel S. Sabile | NTC-CCAD |
| 13. | Ms. Rosemarie M. Arceo | NTC-CCAD |
| 14. | Ms. Flora E. Anacay | CCAD-RRD |
| 15. | Ms. Armi Jane Borje | DC-NTC |
| 16. | Mr. Raul Suarez | NTC-CCAD |
| 17. | Mr. Jaime Faustino | AGILE |
| 18. | Ms. Abigail Santillan | NTC |
| 19. | Ms. Kathy G. Heata | NTC |
| 20. | Mr. Arnold P. Gonzales | AGILE |

**SMALL GROUP MEETING ON THE STUDY
"JUSTICE AND THE COST OF DOING BUSINESS"
June 21, 2001**

LIST OF PARTICIPANTS

1.	DCA Bernardo Ponfecedna	OCA-Supreme Court
2.	Atty. Rhodora M. Roy-Raterta	AGILE-USAID
3.	Ms. Evelyn Toledo-Dumpay	SC-PMO
4.	Mr. Rick Messick	World Bank
5.	Mr. Mellou Sereno	
6.	Mr. Dockon J. Capuno	UPSE
7.	Mr. Gerry A. Porta	USAID
8.	Mr. Lloyd M'kay	World Bank
9.	Mr. Santiago M. Kapunan	Supreme Court (SC)
10.	Mr. Josue N. Bellosillo	Supreme Court (SC)
11.	H. G. Davide, Jr.	Chief Justice, SC
12.	Ms. Angelina G. Gutierrez	SC
13.	Ms. Zenaida N. Elepano	SC
14.	Mr. Jose P. Perez	SC
15.	Mr. Ismael G. Khan, Jr.	SC
16.	Ms. Cora R. Arboleda	AIJC
17.	Ms. J. Quisumbing	SC
18.	Ms. Mahleen Delfin	AIJC
19.	Mr. Dante Pangan	AIJC
20.	Mr. Dodel Robrigado	AIJC
21.	Dr. Noel de Dios	UPSE

**ROUNDTABLE DISCUSSION ON THE
ANTI-MONEY LAUNDERING BILL
Conference Room, UP Law Center
Bacobo Hall, Diliman, Quezon City
June 22, 2001**

LIST OF PARTICIPANTS

GOs & NGOs

Bangko Sentral ng Pilipinas

- | | | |
|----|--------------------------------|-----------------------|
| 1. | Mr. Vicente Z. Bolivar | Bank Officer VI |
| 2. | Mr. Elmore O. Capule | Deputy Director |
| 3. | Atty. Juan D. De Zuñiga, Jr. | General Counsel |
| 4. | Mr. Nestor A. Espenilla, Jr. | Director |
| 5. | Mr. Roberto B. Manuel | Bank Officer V |
| 6. | Dr. Vicente B. Valdepeñas, Jr. | Monetary Board Member |

Bankers Association of the Philippines

- | | | |
|----|------------------------|--------------------|
| 7. | Mr. Leonilo G. Coronel | Executive Director |
|----|------------------------|--------------------|

Board of Investments

- | | | |
|----|-----------------------------|------------|
| 8. | Atty. Richardson G. Agbisit | Attorney I |
|----|-----------------------------|------------|

Dangerous Drugs Board

- | | | |
|----|---------------------------|--------------------|
| 9. | Mr. Bernardo T. Lastimoso | Executive Director |
|----|---------------------------|--------------------|

Department of Finance – DMIO

- | | | |
|-----|-----------------------------|-------------------------|
| 10. | Atty. Ariel Genaro G. Jawid | Intelligence Officer IV |
|-----|-----------------------------|-------------------------|

Department of Justice

- | | | |
|-----|---------------------------|-------------------------|
| 11. | Mr. Jose C. Calida | Undersecretary |
| 12. | Mr. Ricardo V. Paras, III | Assistant Secretary |
| 13. | Mr. Rommel M. Adela | Security of ASEC Calida |

Financial Executives Institute of the Philippines

- | | | |
|-----|-------------------------|----------------------------|
| 14. | Mr. Ronnie B. Alcantara | Chairman, Prof. Dev. Comm. |
|-----|-------------------------|----------------------------|

House of Representatives

- | | | |
|-----|-------------------------------|---|
| 15. | Atty. Ramon R. Federizon | Committee Secretary, Committee
on Banks & Financial Intermediaries |
| 16. | Atty. Melita De Leon Salvador | Committee Secretary |

Philippine Center on Transnational Crime

- | | | |
|-----|-------------------------|----------------------|
| 17. | Capt. Edwin A. Quilates | OIC, Legal Affairs |
| 18. | Ms. Myla B. Dy | Sr. Research Analyst |

Philippine Deposit Insurance Corporation

- | | | |
|-----|---------------------------------|---------------------|
| 19. | Atty. Josette Sonia H. Marcilla | Department Manager |
| 20. | Atty. Luisito Z. Mendoza | Asst. Dept. Manager |

Privatization & Management Office

- | | | |
|-----|---------------------------|------------------------------|
| 21. | Atty. Juan G. Rañola, Jr. | Department Manager |
| 22. | Atty. Raul B. Villanueva | Deputy Privatization Officer |

Securities & Exchange Commission

- | | | |
|-----|----------------------------|--------------------|
| 23. | Ms. Marie Lycette A. Ramos | Assistant Director |
| 24. | Atty. Eugenio E. Reyes | General Counsel |

USAID

- | | | |
|-----|------------------------|---------|
| 25. | Mr. Karoly Okolicsanyi | Adviser |
|-----|------------------------|---------|

U.P. Law Center

- | | | |
|-----|-----------------------------------|---|
| 26. | Prof. Eduardo A. Labitag | Head, Training & Convention |
| 27. | Atty. Rosa Maria T. Juan-Bautista | Law Reform Specialist V |
| 28. | Atty. Lorenzo O. Navarro, Jr. | Head, National Administrative Register |
| 29. | Atty. Concepcion L. Jardeleza | OIC, Institute of Judicial Administration |

University of the Philippines Legal Services

- | | | |
|-----|------------------------|--------------------------|
| 30. | Atty. Rowena S. Disini | University Legal Counsel |
|-----|------------------------|--------------------------|

LAW FIRMS

ACCRA Law

- | | | |
|-----|---------------------------|----------------|
| 31. | Atty. Teresita J. Herbosa | Senior Partner |
| 32. | Atty. Maricar N. San Jose | Associate |

Agabin Versola Hermoso & Layaoen

- | | | |
|-----|--------------------------|-----------|
| 33. | Atty. Anna Liza G. Logan | Associate |
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Bello Gozon Parel Asuncion & Lucila

- | | | |
|-----|--------------------------------|----------------|
| 34. | Atty. Roberto Rafael V. Lucila | Senior Partner |
|-----|--------------------------------|----------------|

Orendain & Associates

- | | | |
|-----|------------------------|------------------|
| 36. | Ms. Leslie Ann V. Jose | Writer/Associate |
|-----|------------------------|------------------|

Picazo Buyco Tan Fider & Santos

- | | | |
|-----|----------------------------------|------------------|
| 37. | Atty. Rosalia S. Bartolome-Alejo | Senior Associate |
|-----|----------------------------------|------------------|

Ponce Enrile Reyes & Manalastas

- | | | |
|-----|------------------------|---------|
| 38. | Atty. Bob L. Guinto | Lawyer |
| 39. | Atty. Rosanno P. Nisce | Partner |

Quasha Ancheta Peña & Nolasco

- | | | |
|-----|-----------------------------|---------|
| 40. | Atty. Delfin A. Manuel, Jr. | Partner |
|-----|-----------------------------|---------|

Quiason Makalintal Barot Torres & Ibarra Law Firm

- | | | |
|-----|------------------------|------------------|
| 41. | Atty. Miguel K. Mathay | Junior Associate |
|-----|------------------------|------------------|

Quisumbing Torres

- | | | |
|-----|-------------------------|---------|
| 42. | Atty. Natividad B. Kwan | Partner |
| 43. | Atty. Cornelio B. Abuda | Partner |

Sycip Salazar Hernandez & Gatmaitan

44. Atty. Rafael A. Morales Senior Partner

Tan Acut & Madrid

45. Atty. Maritoni Z. Liwanag Junior Partner

BANKS

Banco De Oro

46. Atty. Roy Philippe R. Talao Lawyer

Citibank, N.A.

47. Atty. Angelica Pia P. Lacson Vice-Pres./Country Legal Counsel

Development Bank of the Philippines

48. Atty. Leilani S. David-Capule Bank Executive Officer IV
49. Atty. Carlos R. Cruz Chief Legal Counsel & First Senior Vice-President

East-West Bank

50. Atty. Ione Fay S. Canto Head

Security Bank Corporation

51. Mr. Rudy C. Mariano Asst. Compliance Officer
52. Mr. Herminio J. Matutue Head, Control Department/Auxillary Compliance Officer

CONFERENCE LECTURERS & ORGANIZERS (UPLC-AGILE)

Lecturers/Reactor

53. Justice Ricardo P. Galvez
54. Atty. Ramon S. Esguerra
55. Judge Sixto C. Marella, Jr.
56. Atty. Carlos Roberto Z. Lopez

AGILE/USAID

- 57. Ms. Francesca Baniqued
 - 58. Atty. Michelle Serrano
 - 59. Mr. John Xavier S. Chavez
 - 60. Dr. Ramon L. Clarete
- Bank Policy Research Associate
Chief of Party

U.P. Law Center (Officers & Staff)

- 61. Dean Raul C. Pangalangan
 - 62. Prof. Carmelo V. Sison
 - 63. Atty. Gisella N. Dizon-Reyes
 - 64. Ms. Roshan Jose
 - 65. Ms. Milagros Baetiong
 - 66. Mr. Primo S. Ariñas
 - 67. Ms. Aurelia T. Tolentino
 - 68. Ms. Ma. Luisa D. Belen-Tirol
 - 69. Ms. Eleanor G. Valdecañas
 - 70. Ms. Sally J. Casis
 - 71. Mr. Paul John Alix
 - 72. Mr. Ireneo Liwag
 - 73. Mr. Julio Odulio, Jr.
 - 74. Mr. Ronnie Felonia
 - 75. Ms. Erlinda N. Lopez
 - 76. Ms. Bella T. Desamito
- Conference Consultant
Coordinator
Documentor
Documentor
Conference Staff
Conference Staff
Conference Staff
Conference Staff
Conference Staff
Law Monitor Photographer
Artist/Support Staff
Utility Staff
AV/PA Technician
AV/PA Technician
Head, Information & Publication
OIC, Office of the Associate Dean