



U S AGENCY FOR
INTERNATIONAL
DEVELOPMENT

PD-ARC-824

97000

598-0000

PD+S

(Grant)

JUL 8 1999

Dr Arturo Valenzuela
Director
Georgetown University
Center for Latin American Studies
37th & O Street, NW
Washington, DC 20057

SUBJECT Award No LAG-G-00-98-00037-00

Dear Dr Valenzuela

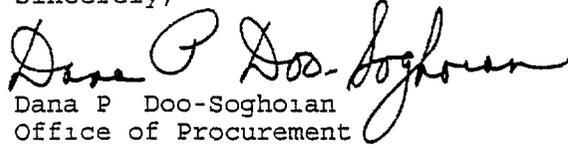
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the U S Agency for International Development (hereinafter referred to as "USAID" or "Grantor") hereby grants to the Georgetown University (herein after referred to as GTU or "Recipient"), the sum of \$135,300 00 to provide support for a program in governability issues, as described in the Schedule of this award and the Attachment 2, entitled "Program Description "

This award is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives during the period beginning with the effective date and ending 06/07/1999 USAID shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount

This award is made to GTU, on condition that the funds will be administered in accordance with the terms and conditions as set forth in 22 CFR 226, entitled "Administration of Assistance Awards to U S Non-Governmental Organizations" Attachment 1, entitled "Schedule" Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions "

Please sign the original and each copy of this letter to acknowledge your receipt of this award, and return the original and all but one copy to the Grant Officer

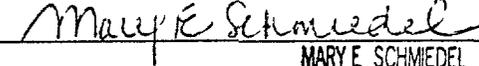
Sincerely,


Dana P Doo-Soghoian
Office of Procurement
Grant Officer
M/OP/B/LA

Attachments

- 1 Schedule
- 2 Program Description
- 3 ~~Standard Provisions~~
- 4 ~~Standards for USAID Funded Communications Projects~~

ACKNOWLEDGED Georgetown University

BY 
MARY E SCHMEDEL
Title ACTING DIRECTOR
Date 4/17/98 OFFICE OF SPONSORED PROGRAMS

ACCOUNTING AND APPROPRIATION DATA

A GENERAL

1	Total Estimated Amount	\$135,300 00
2	Total Program Amount	\$173,900 00
3	Total Obligated Amount	\$135,300 00
4	Cost-Sharing Percentage (Non-Federal)	22%(\$38,600)
5	Activity Title	
6	USAID Technical Office	LAC/RSD-DHR (Margaret Sarles) USAID - Rm 5 09-073 RRB Washington, DC 20523
7	Tax I D Number	53-0196603
8	CEC No	04-052-594B
9	LOC Number	N/A

B SPECIFIC

1	NMS Request No	214
2	Org ID No	10258
3	Account No	DV97/98LDV798
4	Amount Obligated	\$135,300

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ATTACHMENT 1

SCHEDULE

1 1 PURPOSE OF AGREEMENT

The purpose of this Agreement is to provide support for the program described in Attachment 2 of this Agreement entitled "Program Description "

1 2 PERIOD OF AGREEMENT

The effective date of this Agreement is the date of the Cover Letter and the estimated completion date is 06/07/1999

1 3 AMOUNT OF AWARD AND PAYMENT

- (a) USAID hereby obligates the amount of \$135,300 00 for the purposes of this Agreement
- (b) Payment shall be made to the Recipient by Payment - Periodic Advance in accordance with procedures set forth in 22 CFR 226 22

1 4 BUDGET

The following is the Agreement Budget, including local cost financing items, if authorized Revisions to this budget shall be made in accordance with 22 CFR 226 25

GRANT BUDGET
6/8/98 to 6/7/99

<u>Cost Line Item</u>	<u>Amount</u>
Internal War and Democratic Governability	\$135,300
USAID Grant Amount	135,300
Grantee Cost Share	<u>38,600</u>
TOTAL ESTIMATED PROGRAM AMOUNT	\$173,900

5

1 8 INDIRECT COSTS (DEC 1997)

Pending establishment of revised provisional or final indirect cost rates, allowable indirect costs shall be reimbursed on the basis of the following negotiated provisional or predetermined rates and the appropriate bases

Description	Rate	Base	Type	Period
Fringe Benefit	24%	1/	1/	1/
overhead rate	10%	2/	2/	2/

1/ Base of Application Salaries and wages
 Type of Rate Provisional
 Period 07/01/96 until amended

2/ Base of Application administrative costs only
 Type of Rate Predetermined
 Period Date of award until completion

1 9 RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Agreement shall be resolved by applying the following descending order of precedence

Attachment 1 - Schedule
 22 CFR 226
 Attachment 3 - Standard Provisions
 Attachment 2 - Program Description

1 10 COST SHARING

The Recipient agrees to expend an amount not less than 22% of the total Federal contribution. Cost sharing contributions will meet the criteria as set out in 22 CFR 226.23

1 11 COMMUNICATIONS PRODUCTS (OCT 1994)

- (a) Definition - Communications products are any printed materials (other than non-color photocopy material), photographic services or video production services
- (b) Standards - USAID has established standards for communications products. These standards must be followed unless otherwise specifically provided in the agreement or approved in writing by the agreement officer. A copy of the standards for USAID financed publications and video productions is attached
- (c) Communications products which meet any of the following criteria are not eligible for USAID financing under this agreement unless specifically authorized in the agreement schedule or in writing by the agreement officer

1 5 REPORTING AND EVALUATION

1 5 1 Financial Reporting

In keeping with the requirements established in 22 CFR 226 52, the Recipient is required to

- (a) Submit an original and two copies of a "Request for Advance or Reimbursement", SF 270, on a monthly basis to

US AGENCY FOR INT'L DEVELOPMENT
M/FM/CMP/MP
Room 7 07-095 RRB
Washington, DC 20523

- (b) Prepare a "Financial Status Report", SF 269a, on an accrual basis and submitted quarterly in an original and two copies to

US AGENCY FOR INT'L DEVELOPMENT
M/FM/CMP/MP
Room 7 07-095 RRB
Washington DC 20523

1 5 2 Monitoring and Reporting Program Performance

- (a) Requirements The Recipient shall submit an original and one copy of a brief quarterly program report to the address listed in the Cover Letter. In addition one copy shall be submitted to U S Agency for International Development, PPC/CDIE, Attn Ms Gloria White, Room 6 07-121 RRB, 1300 Pennsylvania Ave, Washington DC 20523-6802. A final performance report is also required.
- (b) Contents The Program report shall briefly present the information contained in 22 CFR 226 51(d)

1 6 TITLE TO AND CARE OF PROPERTY

Title to all property financed under this award shall vest in the Recipient subject to the requirements of 22 CFR 226 30 through 37

1 7 AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under this award is 935

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LAG-G-00-98-00037-00

Attachment 2

ATTACHMENT 2

PROGRAM DESCRIPTION

The Recipient's proposal entitled "Internal War and Democratic Governability" and dated 4/30/98 is incorporated as part of grant file and the Program Description (Attachment 2) is made a part of this Award

PROGRAM DESCRIPTION

I SUMMARY

Georgetown University, through its Center for Latin American Studies, proposes to undertake an analysis of internal conflict and its relationship to governability issues. This project will help illuminate the political, economic and social causes and correlates of insurrectionary violence and its consequences for democratic governability and social and economic progress. The study will focus primarily on the case of Colombia as an exemplar. The study should help US AID/LAC improve its capacity to address issues of internal violence and their implications for democracy and social progress.

The study will enhance the work of the "Governability Project" of Georgetown University's Center for Latin American Studies, a program designed to conduct research and outreach activities on the institutional dimensions of democratic governability in the Western Hemisphere.

II JUSTIFICATION

Insurrections and internal conflicts have affected several countries in Latin America in the second half of the twentieth century. With the signing of the Guatemalan peace accords, internal war in Central America finally came to an end. The defeat of the Shining Path by the security forces in Peru also ended one of the most violent and regime-threatening movements in the region. Although Mexico currently faces a stand-off with rebellious forces in Chiapas, the Mexican insurrection has involved little loss of life and does not threaten the overall stability of the regime. Only in Colombia does an insurrectionary movement with a long history seriously threaten regime stability and social peace. Guerrilla movements now operate with impunity in almost half of the territory of the second largest country in Spanish America. Colombia has managed to maintain several critical elements of any democracy such as representative institutions and the rudiments of the *rule of law*, nevertheless, human rights violations have escalated and governmental authorities have lost the capacity to guarantee national sovereignty in much of the country's national territory. The volatile links between guerrillas and narco-traffickers pose a serious problem not only for Colombia but for other countries in the hemisphere affected by the narcotics trade.

III METHODOLOGY

Georgetown University's analysis will draw on the extensive theoretical literature in the field of insurrectionary and revolutionary conflict to examine the causes and consequences of internal war with particular reference to Colombia. The increasingly sophisticated literature that deals with the civil conflicts in other

parts of the world, including Central America, will be especially useful. It will also draw extensively on historical and contemporary studies of the Colombian conflict dating primarily to the period of "La Violencia" that began after the assassination of Gaitan in 1948.

Although based on a thorough analysis of theoretical and historical sources, the study will also seek to provide guidelines for a possible resolution of the Colombian civil conflict. As such it will analyze the views and strategies of the principle protagonists in order to determine possible scenarios that might lead to the end of the insurrectionary threat. Indeed, the study will make an effort to draw into the analysis representatives of various key players, providing the project with a participatory dimension. The project will thus examine several of the initiatives that have been broached to resolve the conflict including those undertaken by the state (peace commissions, legislative initiatives, Government Adviser for the Displaced), international organizations (UN Human Rights High Commissioner) and civil society (National Commission on Conciliation, Citizen Mandate for Peace, International Committee of the Red Cross, Regional Peace Commissions of Civil Society, Peace Commission of Organized Labor). The project will also examine a broad array proposals for peaceful resolution proffered by leading public figures. It will also examine survey research data on popular attitudes towards conflict resolution and democratic governability, making use of comparative data that addresses the links between democratic culture and tolerance and democratic governability.

The primary objective of the study is to contribute to formulating an agenda for peace in cases like Colombia's. This agenda will also include a detailed examination of several major themes of direct relevance to insurrectionary phenomena such as those found in Colombia. Some are suggested by the experience of the peace process in Central America, while others relate more specifically to the Colombian situation. They include the following:

- Human rights
- Forced displacement of civilians and demobilization
- Political participation
- Economic development and restructuring
- Land tenure patterns and agrarian reform
- Natural resources (especially petroleum and coal)

- Environmental impact
- Narco-trafficking
- Armed forces
- National Assemblies and/or campaigns

The Georgetown project will seek to share some of the preliminary findings of the study with relevant parties from Colombia and resource people from international agencies interested in situations of internal conflict and the particulars of the Colombian situation. Such meetings will be held in the United States or a third country where USAID has a presence.

IV DELIVERABLES

Georgetown University will provide three background documents

- A A synopsis of the analytical findings in each of the themes of the study including those listed above
- B A description/narration of the potential contribution which each of the identified protagonists could bring to the process of achieving peace, eliminating violence and enhancing governability in Colombia,
- C A set of recommendations describing the particular sensitivities or susceptibilities affecting the protagonists' ability to contribute to the cessation of armed conflict and the enhancement of governability in Colombia