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USAID Project No 492-0473

PD-ABQ-598  
98.13

**AMENDMENT NO 7**

**TO THE**

**STRATEGIC OBJECTIVE GRANT AGREEMENT**

**BETWEEN THE**

**REPUBLIC OF THE PHILIPPINES**

**AND THE**

**UNITED STATES OF AMERICA**

**FOR THE**

**AIDS SURVEILLANCE AND EDUCATION PROGRAM**

Dated June 11, 1998

AIDS SURVEILLANCE AND EDUCATION PROGRAM  
AMENDMENT NO 7 TO STRATEGIC OBJECTIVE GRANT AGREEMENT  
NO 492-0473

THIS AMENDMENT NO 7, entered into this 11th day of June 1998, between the REPUBLIC OF THE PHILIPPINES (the "Grantee") and the UNITED STATES OF AMERICA, acting through the United States Agency for International Development ("U S A I D ")

WITNESSETH THAT

**WHEREAS** the Grantee and U S A I D (hereinafter the "Parties") entered into Project Grant Agreement No 492-0473 (the "Agreement") on September 15, 1992, whereby U S A I D agreed to provide an initial increment of \$650,000 in Grant funds for the AIDS Surveillance and Education Program" (the "Program"),

**WHEREAS** through previous amendments to the Agreement, the amount of Grant funds was increased to \$13,250,000,

**WHEREAS** U S A I D desires to increase further the amount of Grant funds by \$1,050,000, and

**WHEREAS** the Parties further agree to revise the set of indicators and benchmarks for measuring progress toward achievement of Program Objective and Intermediate Result

**NOW THEREFORE**, the Parties agree to amend the Agreement as follows

1        **Section 3 1 (a)** of the Agreement is hereby amended by deleting the phrase "not to exceed thirteen million two hundred fifty thousand United States Dollars (US \$13,250,000)" and inserting in lieu thereof the phrase "not to exceed fourteen million three hundred thousand United States Dollars (US \$14,300,000) "

2        **Section 3 2 (b)** of the Agreement is hereby amended in its entirety to read as follows

(b) The Grantee's estimated contribution over the life of the Program will not be less than the Peso equivalent of \$5,568,000 in cash and/or "in kind" This includes \$568,000 in cash expenditures to offset value-added, including E-VAT, charges on USAID-financed goods and services incurred during the remaining life of the agreement

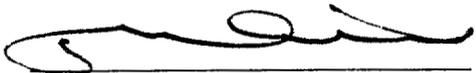
3        **Annex 1** of the Agreement is hereby amended by deleting Section IV (Results to be Achieved) and substituting therefor the new version of Section IV attached hereto

4        **Annex 1** of the Agreement is further amended by deleting Attachment 1 (Financial Plan) and Attachment 2 (Special Objective Framework) thereto and substituting therefor the new version of Attachments 1 and 2 attached hereto

**IN WITNESS WHEREOF**, the Grantee and the United States of America, each acting through its duly authorized representatives, have caused this Agreement to be signed in their names and delivered as of the day and year first above written

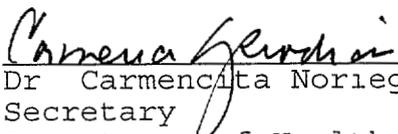
REPUBLIC OF THE PHILIPPINES

UNITED STATES OF AMERICA

By   
Cielito F Habito  
Director-General  
National Economic and  
Development Authority

By   
Kenneth G Schofield  
Mission Director  
United States Agency for  
International Development

WITNESSED BY

By   
Dr Carmencita Noriega-Reodica  
Secretary  
Department of Health

ANNEX 1  
AIDS SURVEILLANCE AND EDUCATION PROGRAM  
AMPLIFIED PROGRAM DESCRIPTION

IV RESULTS TO BE ACHIEVED

The Program Objective and Intermediate Result are defined in Section 2.1 of the Agreement. The performance indicators for the Program Objective and Intermediate Result, as contained in the attached Special Objective Framework (Attachment 2), to be achieved by the Completion Date specified in Article 4 are as follows

Overall Objective The Indicator for achieving the Program Objective is HIV seroprevalence rates among Registered Female Commercial Sex Workers in HSS Sites Remain <3% in year 2000

Intermediate Result The Indicators for achieving the Intermediate Result are

- A Increase in knowledge about STD/HIV prevention among High Risk Groups (HRGs)
- B Increased perception of risk of infection by HRG members
- C Increase in proportion of HRGs who report condom use with partner at risk during the past week

The units the Parties will use to measure progress towards the Indicators for the Intermediate Result will be

- A By 2000, percent of HRGs who are able to identify at least three correct ways to protect themselves from

STD/HIV infection are

- registered female commercial sex workers > 79%
- free-lance female commercial sex workers > 75%
- men who have sex with men > 88%
- injecting drug users > 73%

B By 2000, percent of HRGs who perceive themselves at risk of STD/HIV infection due to their behavior are

- registered female commercial sex workers > 50%
- free-lance female commercial sex workers > 45%
- men who have sex with men > 40%
- injecting drug users > 47%

C-1 By 2000, percent of HRGs who report consistent condom use with partner at risk in the past week are

- registered female commercial sex workers > 50%
- free-lance female commercial sex workers > 40%
- men who have sex with men > 30%
- injecting drug users > 30%

C-2 By 2000, percent of HRGs who report condom use during the last sexual intercourse at risk are

- registered female commercial sex workers > 92%
- free-lance female commercial sex workers > 75%
- men who have sex with men > 61%
- injecting drug users > 54%

C-3 By 2000, percent of injecting drug users who report sharing injection equipment is < 40%

**AIDS Surveillance and Education Program  
Financial Plan  
(In US\$000)**

Program Elements	Obligations prior to this Amendment No 7	Obligation under Amendment No 7	Obligation Status after Amendment No 7	Anticipated Future Year Obligations by USAID	Total Life of Program Obligations by USAID	G O P Contribution*
1 Technical Assistance	1 440	50	1 490	50	1 540	59
2 Training	950	0	950	50	1 000	563
3 Surveillance	2 740	0	2 740	350	3 090	3 109
4 IEC	7 070	1 000	8 070	100	8 170	1 570
5 Research	900	0	900	0	900	261
6 Monitoring Evaluation and Audit	150	0	150	150	300	6
<b>TOTAL</b>	<b>13 250</b>	<b>1 050</b>	<b>14 300</b>	<b>700</b>	<b>15 000</b>	<b>5 568</b>

\* The GOP contribution is the host country contribution. It can be from non government sources (NGOs) and local government units (LGUs) as well as from the DOH and other GOP entities. The total amount of \$5 568 million includes \$568 000 in cash expenditures to offset value added tax (including E VAT) charges on USAID financed goods and services over the remaining life of the agreement. For purposes of determining the peso equivalent of the GOP contribution, an exchange rate of \$1 = ₱25 shall be used for this Agreement except for value added tax (VAT) which is computed using the prevailing exchange rate at the time funds are obligated.

# SPECIAL OBJECTIVE RAPID INCREASE OF HIV/AIDS PREVENTED

## INTERMEDIATE RESULT Knowledge, Attitudes and Practices for STD/HIV/AIDS Prevention by High Risk Groups (HRG)\* Increased

### INDICATORS

#### A. Knowledge

Percent of HRGs who are able to identify at least three correct ways to protect themselves from STD/HIV infection

RFCSWs 59% in 1997 to >79% in 2000  
FFCSWs 55% in 1997 to >75% in 2000  
MSMs 68% in 1997 to >88% in 2000  
IDUs 53% in 1997 to >73% in 2000

#### B. Attitudes

Percent of HRGs who perceive themselves at risk of STD/HIV infection due to their behavior

RFCSWs 30% in 1997 to >50% in 2000  
FFCSWs 25% in 1997 to >45% in 2000  
MSMs 20% in 1997 to >40% in 2000  
IDUs 27% in 1997 to >47% in 2000

#### Note

1993 baseline data in C1 and 1994 baseline data in C3 are established by the HSS\*\*  
1997 baseline data in A, B and C2 are established by the 1997 BSS\*\*\*

### INDICATOR HIV Seroprevalence Rates among Registered Female Commercial Sex Workers in HSS Sites Remain <3% in 2000

#### \*HRGs composed of

Registered Female Commercial Sex Workers (RFCSWs)  
Freelance Female Commercial Sex Workers (FFCSWs)  
Men who have sex with Men (MSM)  
Injecting Drug Users (IDU)  
(RFCSW and FFCSWs data are from all HSS sites  
MSM data cover Cebu and Quezon cities only  
IDU data are for Cebu only)

#### C. Practices

- Percent of HRGs who report consistent condom use with partner at risk in the past week  
RFCSWs 32% in 1993 to >50% in 2000  
FFCSWs 14% in 1993 to >40% in 2000  
MSMs 25% in 1993 to >30% in 2000  
IDUs 20% in 1993 to >30% in 2000
- Percent of HRGs who report condom use during the last sexual intercourse at risk  
RFCSWs 72% in 1997 to >92% in 2000  
FFCSWs 55% in 1997 to >75% in 2000  
MSMs 41% in 1997 to >61% in 2000  
IDUs 34% in 1997 to >54% in 2000
- Percent of IDUs who report sharing injection equipment  
IDUs 67% in 1994 to <40% in 2000

### USAID SUPPORTED ACTIVITIES

- HIV Sentinel Surveillance (HSS) Behavioral Surveillance Survey (BSS) and NGO program monitoring systems are coordinated and utilized by the DOH, LGUs and NGOs to monitor HIV prevalence and risk behavior among HRGs with national and local program interventions guided by results  
**Benchmarks:**  
A HIV Sentinel Surveillance System (HSS) functioning in HSS sites 0 HSS sites in 1993 to 8 HSS sites by 2000  
B Behavioral Surveillance System (BSS) functioning in HSS sites 0 HSS sites in 1993 to 8 HSS sites by 2000  
C DOH/ITC and AIDS Unit and PAIH meeting quarterly to coordinate activities 2 meetings in 1997 to 12 meetings by 2000  
D NGO routinely reviewing and using behavioral data for project development 5 NGO projects in 1997 to 20 NGO projects by 2000
- Network of NGOs, GOs and Private Commercial Sector groups delivering ILC services to STD/HIV/AIDS HRGs  
**Benchmarks:**  
A HIV/AIDS outreach workers trained and active in STD/HIV/AIDS work 30 in 1993 to 170 by 2000  
B Educators supported and active in STD/HIV/AIDS education 50 in 1993 to 1000 by 2000  
C Private and public health care providers in HSS sites trained in improved STD management 0 providers in 1993 to 1200 providers by 2000  
D Entertainment establishments in 2 HSS sites promoting 100% condom use policy 0 in 1997 to 50% of establishments in 2 sites by 2000
- National Safe Voluntary Blood Bank System designed  
**Benchmark:** Strategic Plan for the National Safe Voluntary Blood Bank System designed by December 1996
- LGUs and NGOs jointly initiate and sustain effective STD/HIV/AIDS prevention and control program in their cities/municipalities  
**Benchmarks:**  
A Joint LGU/NGO comprehensive STD/HIV/AIDS Prevention Action Plans developed and implemented 0 plans in 1995 to 8 plans by 2000  
B Local STD/AIDS Multi-sectoral Committee established and actively supporting STD/HIV policy reforms 0 sites in 1996 to 4 sites by 2000  
C LGUs and partner NGOs finance STD/HIV activities through new revenue sources 0 LGUs in 1997 to 4 LGUs by 2000
- Policy and environmental/structural constraints to promoting STD/HIV prevention being analyzed results disseminated and advocacy efforts conducted  
**Benchmark:** Studies on policies/constraints completed results disseminated and advocacy efforts conducted 0 in 1997 to 5 advocacy efforts by 2000

DATA Sources: National HIV Sentinel Surveillance (HSS)\*\* and National Behavioral Surveillance (BSS)\*\* Surveys, DOH Focus Groups, Site Visits and Key Informants

HIV01HLS/SRLS/STRA 1 PPT