

PD-ABP-815

**CONFORMED COPY**

USAID Project No. 492-0473

95676

**AMENDMENT NO. 6**

**TO THE**

**STRATEGIC OBJECTIVE GRANT AGREEMENT**

**BETWEEN THE**

**REPUBLIC OF THE PHILIPPINES**

**AND THE**

**UNITED STATES OF AMERICA**

**FOR THE**

**AIDS SURVEILLANCE AND EDUCATION PROGRAM**

**Dated:** August 29, 1997

- 1 -

AIDS SURVEILLANCE AND EDUCATION PROGRAM  
AMENDMENT NO. 6 TO STRATEGIC OBJECTIVE GRANT AGREEMENT  
NO. 492-0473

THIS AMENDMENT NO. 6, entered into this 29th day of August, 1997, between the REPUBLIC OF THE PHILIPPINES (the "Grantee") and the UNITED STATES OF AMERICA, acting through the United States Agency for International Development ("U.S.A.I.D.").

WITNESSETH THAT:

**WHEREAS** the Grantee and U.S.A.I.D. (hereinafter the "Parties") entered into Project Grant Agreement No. 492-0473 (the "Agreement") on September 15, 1992, whereby U.S.A.I.D. agreed to provide an initial increment of \$650,000 in Grant funds for the AIDS Surveillance and Education Program" (the "Program");

**WHEREAS** through previous amendments to the Agreement, the amount of Grant funds was increased to \$10,800,000;

**WHEREAS** U.S.A.I.D. desires to increase further the amount of Grant funds by \$2,450,000; and

**WHEREAS** the Parties further agree to revise the set of indicators and benchmarks for measuring progress toward achievement of Program Objective and Intermediate Result.

**NOW THEREFORE**, the Parties agree to amend the Agreement as follows:

1. **Section 2.1** of the Agreement is hereby amended by

deleting the phrase "Intermediate Result: Adoption of STD/HIV/AIDS Prevention Practices by Target Groups Increased" and substituting in lieu thereof the phrase " Intermediate Result: Knowledge, Attitudes and Practices for STD/HIV/AIDS Prevention by High-Risk Groups (HRG) Increased".

2. **Section 2.2** of the Agreement is hereby amended in its entirety to read as follows:

Section 2.2 Performance Indicators. Performance indicators allow the Parties to verify progress towards the overall Program Objective and Intermediate Result over agreed upon periods of time, so that the Parties can make necessary adjustments in the Benchmarks and Activities described in Annex 1. The indicators the Parties will use to measure progress towards the Program Objective and Intermediate Result are specified in Annex 1.

3. **Section 3.1 (a)** of the Agreement is hereby amended in its entirety to read as follows:

(a) To help achieve the Program Objective and Intermediate Result set forth in the Agreement, U.S.A.I.D., pursuant to the Foreign Assistance Act of 1961, as amended, agrees to grant the Grantee under the terms of the Agreement not to exceed thirteen million two hundred fifty thousand United States Dollars (US \$13,250,000) (the "Grant").

4. **Section 3.1(b)** of the Agreement is hereby amended in its entirety to read as follows:

(b) U.S.A.I.D.'s total estimated contribution to achievement of the Program Objective and Intermediate Result is hereby increased from U.S. \$10,000,000 to U.S. \$15,000,000, which will be provided in increments. (In addition, approximately U.S. \$1,750,000 is expected to be made available from USAID/Washington Global Bureau projects outside of the Agreement, which will be provided in increments). Subsequent increments will be subject to availability of funds to U.S.A.I.D. for this purpose, progress towards the Program Objective and Intermediate Result, and the mutual agreement of the Parties, at the time of each subsequent increment, to proceed.

5. Section 3.2 (b) of the Agreement is hereby amended in its entirety to read as follows:

(b) The Grantee's estimated contribution over the life of the Program will not be less than the Peso equivalent of \$5,433,000 in cash and/or "in kind". This includes \$433,000 in cash expenditures to offset value-added, including E-VAT, charges on USAID-financed goods and services incurred during the remaining life of the agreement.

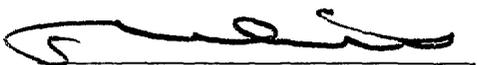
6. Annex 1 of the Agreement is hereby amended by deleting Section IV (Results to be Achieved) and Section V (Activities) and substituting therefor the new version of Sections IV and V attached hereto.

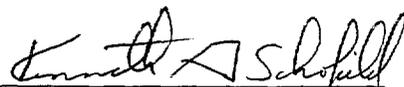
7. Annex 1 of the Agreement is further amended by deleting Attachment 1 (Financial Plan) and Attachment 2 (Special Objective Framework) thereto and substituting therefor the new version of Attachments 1 and 2 attached hereto.

IN WITNESS WHEREOF, the Grantee and the United States of America, each acting through its duly authorized representatives, have caused this Agreement to be signed in their names and delivered as of the day and year first above written.

REPUBLIC OF THE PHILIPPINES

UNITED STATES OF AMERICA

By:   
Cielito F. Habito  
Director-General  
National Economic and  
Development Authority

By:   
Kenneth G. Schofield  
Mission Director  
United States Agency for  
International Development

WITNESSED BY:

By: Carmencita Noriega  
Dr. Carmencita Noriega-Reodica  
Secretary  
Department of Health

ANNEX 1  
AIDS SURVEILLANCE AND EDUCATION PROGRAM  
AMPLIFIED PROGRAM DESCRIPTION

IV. RESULTS TO BE ACHIEVED

The Program Objective and Intermediate Result are defined in Section 2.1 of the Agreement. The performance indicators for the Program Objective and Intermediate Result to be achieved by the Completion Date specified in Article 4 are as follows:

Overall Objective: The Indicator for achieving the Program Objective is: HIV seroprevalence rates among Registered Female Commercial Sex Workers in HSS Sites Remain <3% in year 2000.

Intermediate Result. The Indicators for achieving the Intermediate Result are:

- 1) Increase in knowledge about STD/HIV prevention among High Risk Groups (HRGs).
- 2) Increased perception of risk of infection by HRG members.
- 3) Increase in proportion of HRGs who report condom use with partner at risk during the past week.

The units the Parties will use to measure progress towards the Indicators for the Intermediate Result will be:

- 1) By 2000, percent of HRGs who are able to identify at least three correct ways to protect themselves from STD/HIV infection are:
  - registered female commercial sex workers > 90%

- free-lance female commercial sex workers > 70%
  - men who have sex with men > 70%
  - injecting drug users > 50%
- 2) By 2000, percent of HRGs who perceive themselves at risk of STD/HIV infection due to their behavior are:
- registered female commercial sex workers > 60%
  - free-lance female commercial sex workers > 50%
  - men who have sex with men > 40%
  - injecting drug users > 35%
- 3) By 2000, percent of HRGs who report consistent condom use with partner at risk in the past week are:
- registered female commercial sex workers > 50%
  - free-lance female commercial sex workers > 40%
  - men who have sex with men > 30%
  - injecting drug users > 30%
- 4) By 2000, percent of HRGs who report condom use during the last sexual intercourse at risk are:
- registered female commercial sex workers > 80%
  - free-lance female commercial sex workers > 70%
  - men who have sex with men > 50%
  - injecting drug users > 40%
- 5) By 2000, percent of injecting drug users who report sharing injection equipment is < 40%.

## V. ACTIVITIES

To achieve the Intermediate Result specified above, a series

of activities have been identified to be financed under this Agreement. Grant funds will be provided for technical assistance, supplies, IEC materials, training research, and subgrants with local NGOs to support the activities discussed below.

- 1) Establishment of a functioning HIV sentinel surveillance system and behavioral surveillance system in 8 strategic sites.
- 2) Effective delivery of IEC services to STD/HIV/AIDS HRGs by a network of NGOs, government organizations and private commercial sector groups.
- 3) Design of a National Safe Voluntary Blood Bank System by the DOH.
- 4) Management by local government units (LGUs)/NGOs of an effective and sustainable STD/HIV/AIDS prevention and control program in their cities/municipalities.
- 5) Analysis, dissemination of results, and advocacy efforts conducted on policy and environmental/structural constraints to promoting STD/HIV prevention.

**AIDS Surveillance and Education Program  
Financial Plan  
(In US\$000)**

Program Elements	Obligations prior to this Amendment No. 6	Obligation under Amendment No. 6	Obligation Status after Amendment No. 6	Anticipated Future Year Obligations by U.S.A.I.D.	Total Life of Program Obligations by U.S.A.I.D.	G.O.P. Contribution*
1. Technical Assistance	1,340	100	1,440	100	1,540	42
2. Training	950	0	950	50	1,000	697
3. Surveillance	2,240	500	2,740	350	3,090	3,082
4. IEC	5,470	1,600	7,070	1,100	8,170	1,218
5. Research	650	250	900	0	900	386
6. Monitoring, Evaluation and Audit	150	0	150	150	300	8
<b>TOTAL</b>	<b>10,800</b>	<b>2,450</b>	<b>13,250</b>	<b>1,750</b>	<b>15,000</b>	<b>5,433</b>

\* The GOP contribution is the host country contribution. It can be from non-government sources (NGOs) and local government units (LGUs) as well as from the DOH and other GOP entities. The total amount of \$5.433 million includes \$433,000 in cash expenditures to offset value added tax (including E-VAT) charges on USAID-financed goods and services over the remaining life of the agreement. For purposes of determining the peso equivalent of the GOP contribution, an exchange rate of \$1 : P/25 shall be used for this Agreement.

8

**SPECIAL OBJECTIVE  
RAPID INCREASE OF HIV/AIDS  
PREVENTED**

**INTERMEDIATE RESULT  
Knowledge, Attitudes and Practices for STD/HIV/AIDS Prevention  
by High-Risk Groups (HRG)\* Increased**

**INDICATORS**

**A. Knowledge**

Percent of HRGs who are able to identify at least three correct ways to protect themselves from STD/HIV infection.

RFCSWs: **60%** in 1997 to **>90%** in 2000

FFCSWs: **40%** in 1997 to **>70%** in 2000

MSMs: **40%** in 1997 to **>70%** in 2000

IDUs: **20%** in 1997 to **>50%** in 2000

**B. Attitudes**

Percent of HRGs who perceive themselves at risk of STD/HIV infection due to their behavior.

RFCSWs: **30%** in 1997 to **>60%** in 2000

FFCSWs: **20%** in 1997 to **>50%** in 2000

MSMs: **10%** in 1997 to **>40%** in 2000

IDUs: **5%** in 1997 to **>35%** in 2000

*Note: 1997 baseline data in A, B, C2 are from professional estimates derived from general population studies. Baseline and targets to be adjusted after 1997 studies completed. 1993 baseline data in C1 and 1994 baseline data in C3 are established by the HSS. \*\**

**USAID- SUPPORTED ACTIVITIES**

1. HIV Sentinel Surveillance (HSS), Behavioral Surveillance Survey (BSS), and NGO program monitoring systems are coordinated and utilized by the DOH, LGUs and NGOs to monitor HIV prevalence and risk behavior among HRGs with national and local program interventions guided by results.  
**Benchmarks:**
  - A.. HIV Sentinel Surveillance System (HSS) functioning in HSS sites: 0 HSS sites in 1993 to 8 HSS sites by 2000.
  - B. Behavioral Surveillance System (BSS) functioning in HSS sites: 0 HSS sites in 1993 to 8 HSS sites by 2000.
  - C. STD/HIV Committee involving DOH/FETP and AIDS Unit and PATH organized and meeting quarterly to coordinate activities: 2 meetings in 1997 to 12 meetings by 2000.
  - D. NGOs routinely reviewing and using behavioral data for project development: 5 NGO projects in 1997 to 20 NGO projects by 2000.
- 2.. Network of NGOs, GOs and Private Commercial Sector groups delivering IEC services to STD/HIV/AIDS HRGs.  
**Benchmarks:**
  - A. HIV/AIDS outreach workers trained and active in STD/HIV/AIDS work: 30 in 1993 to 120 by 2000.
  - B. Peer educators supported and active in STD/HIV/AIDS education: 50 in 1993 to 1000 by 2000.
  - C. Private and public health care providers in HSS sites trained in improved STD management: 0 providers in 1993 to 1200 providers by 2000.
  - D. Entertainment establishments in 2 HSS sites promoting 100% condom use policy: 0 in 1997 to 50% of establishments in 2 sites by 2000.
3. National Safe Voluntary Blood Bank System designed.  
**Benchmark:** - Strategic Plan for the National Safe Voluntary Blood Bank System designed by December 1996.
4. LGUs and NGOs jointly manage and sustain effective STD/HIV/AIDS prevention and control program in their cities/municipalities.  
**Benchmarks:**
  - A. Joint LGU/NGO comprehensive STD/HIV/AIDS Prevention Action Plans developed and implemented: 0 plans in 1995 to 8 plans by 2000.
  - B. Local STD/AIDS Multi-sectoral Committee established and actively supporting STD/HIV policy reforms: 0 sites in 1996 to 4 sites by 2000.
  - C. LGUs and partner NGOs finance STD/HIV activities through new revenue sources: 0 LGUs in 1997 to 4 LGUs by 2000.
5. Policy and environmental/structural constraints to promoting STD/HIV prevention being analyzed, results disseminated and advocacy efforts conducted.  
**Benchmark:** - Studies on policies/constraints completed, results disseminated and advocacy efforts conducted: 0 in 1997 to 5 advocacy efforts by 2000.

**INDICATOR**

**ATTACHMENT 2**

**HIV Seroprevalence Rates among  
Registered Female Commercial  
Sex Workers in HSS Sites Remain  
<3% in 2000.**

\*HRGs composed of :

Registered Female Commercial Sex Workers - RFCSWs

Free-lance Female Commercial Sex Workers - FFCSWs

Men who have sex with Men - MSM

Injecting Drug Users - IDU

(RFCSW and FFCSWs data are from all HSS\*\* sites,

MSM data cover Cebu and Quezon cities only, IDU data

are for Cebu only.)

**C. Practices**

1. Percent of HRGs who report consistent condom use with partner at risk in the past week.  
RFCSWs: 32% in 1993 to >50% in 2000  
FFCSWs: 14% in 1993 to >40% in 2000  
MSMs: 2.5% in 1993 to >30% in 2000  
IDUs: 2.0% in 1993 to >30% in 2000
2. Percent of HRGs who report condom use during the last sexual intercourse at risk.  
RFCSWs: 50% in 1997 to >80% in 2000  
FFCSWs: 40% in 1997 to >70% in 2000  
MSMs: 20% in 1997 to >50% in 2000  
IDUs: 10% in 1997 to >40% in 2000
3. Percent of IDUs who report sharing injection equipment.  
IDUs: 67% in 1994 to <40% in 2000

**BEST AVAILABLE COPY**