

FD-1131-757

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT DATA SHEET	1. TRANSACTION CODE <input checked="" type="checkbox"/> A = Add <input type="checkbox"/> C = Change <input type="checkbox"/> D = Delete	Amendment Number <u>98112</u>	DOCUMENT CODE 3
2. COUNTRY/ENTITY WORLDWIDE	3. PROJECT NUMBER _____		
4. BUREAU/OFFICE Global/Democracy and Governance	5. PROJECT TITLE (maximum 40 characters) Global Democracy Support Project (GDSP)		
6. PROJECT ASSISTANCE COMPLETION DATE (PACD) MM DD YY 09 30 99	7. ESTIMATED DATE OF OBLIGATION (Under "E" below, enter 1, 2, 3, or 4) A. Initial FY <u>94</u> B. Quarter <u>2</u> C. Final FY <u>97</u>		

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY <u>94</u>			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	3,000		3,000	10,000		10,000
(Grant)	(2,500)	()	(2,500)	(5,000)	()	(5,000)
(Loan)	()	()	()	()	()	()
Other U.S. <u>1. Add-On/Buy-Ins</u>	500		500	5,000		5,000
<u>2.</u>						
Host Country						
Other Donor(s)						
TOTALS	3,000		3,000	10,000		10,000

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) DA	290					5,000		5,000	
(2)									
(3)									
(4)									
TOTALS						5,000		5,000	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

11. SECONDARY PURPOSE CODE

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code _____ B. Amount _____

13. PROJECT PURPOSE (maximum 400 characters)

The project's purpose is to provide a wide range of technical support services to meet the needs of the G Bureau, Regional Bureaus and field missions in DG strategic planning, and in designing, implementing, and evaluating DG projects

14. SCHEDULED EVALUATIONS

Interim MM YY MM YY Final MM YY

15. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 Local Other (Specify) _____

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page FF Amendment.)

BEST AVAILABLE COPY

17. APPROVED BY	Signature _____ Title Interim Director, Global Democracy Center	Date Signed MM DD YY _____	18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION MM DD YY _____
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U.S. AGENCY FOR
INTERNATIONAL
DEVELOPMENT

PD-ARM-459

ISN 98112

MAR 11 1994

ACTION MEMORANDUM FOR THE ACTING ASSISTANT ADMINISTRATOR,
GLOBAL BUREAU

FROM: G/DG, Peter Kimm *PK*

SUBJECT: Authorization of the Global Democracy Support
Project (GDSP)

Your approval is requested to initiate a five-year Democracy and Governance (DG) funded project entitled, "Global Democracy Support Project" (936-5466), in the amount of \$5,000,000. An additional \$5,000,000 may be contributed through regional bureau and field mission buy-ins.

Problem: The new G/DG Center was created to provide a wide range of technical democracy and governance field support services for the Agency. The Center must also incorporate, maintain and rationalize ongoing regional programs which have been assigned to it under the Agency reorganization. At present, the Center has no vehicle of its own through which to commit funding for design and programming of those activities. At the same time, those projects being transferred from regional bureaus will not, in the near term, permit world-wide response nor have the scope to answer all requests which field missions may make of the Democracy Center.

Discussion: The Center can develop and deliver effective field support oriented programs by establishing a new project specifically designed to provide the entire range of program and project services and consolidation activities proposed herein. Thus, the G/DG Office proposes the GDSP which is specifically designed to address the entire range of technical services required for field support in areas under the rubric of democracy and governance.

The purpose of the GDSP is to provide, on an interim basis, a wide range of technical support services to meet the needs of the Global Bureau, regional bureaus and field missions in DG strategic planning, and in designing, implementing, and evaluating DG projects. This project purpose will be accomplished through: (a) development of global projects to meet specific needs, (b) the provision of long and short-term technical specialists with expertise in electoral processes, rule of law, civic education, governance and other DG related areas of concern, and (c) the conduct of

pilot cross-sectoral (or cross G Bureau cluster lines) initiatives, studies, seminars, conferences and workshops that help to address cross-cluster issues relating to Democracy and Governance. It is further anticipated that the majority of services required of the G/DG Center will ultimately be provided by new projects to be developed under this (GDSP) project. More specifically, the GDSP will be implemented through the execution of Indefinite Quantity Contracts (IQCs), cooperative agreements, grants, purchase orders, and contracts, Participating Agency Support Agreements (PASAs), Resources Support Services Agreements (RSSAs), grants to the American Association for the Advancement of Sciences (AAAS), and Interagency Personnel Agreements (IPAs), to support:

- Project and program development activities, feasibility studies, operational research and pilot testing of hypotheses which may lead to a final project design or to the exploration of new program directions;
- Project- or program-related studies, sector assessments, or other special studies which enhance democracy and governance development and dialogue efforts, or lead to a better understanding of technical and institutional constraints to DG development in targeted countries;
- Project design and evaluation;
- Financial reviews;
- Workshops, seminars and conferences and short-term training courses on DG priority issues;
- Program- and project-related technical support to field missions;
- Publications and information systems development, in accordance with A.I.D.'s guidelines.

A direct-hire staff person from the G/DG Office will be identified to serve as overall project manager, whose functions will include providing financial and administrative oversight. In addition, specific GDSP activities will be monitored separately by appropriate technical officers in G/DG who will be responsible for tracking progress towards meeting interim activity objectives and deliverables.

Project Issues: Given that the Agency is preparing for an expanded role in democracy and governance and also that start up work needs to begin to realign and systematize

projects being transferred from regional bureaus, the Democracy Center needs to be able to respond immediately to meet these needs. The creation of the Global Democracy Support Program will meet this need and this memorandum constitutes the justification in lieu of a Project Identification Document (PID) and Project Paper (PP). It is further proposed that the need for a review by a Bureau Review Committee be waived. You are requested to approve this project based on the contents of this action memorandum.

Project Data: It is estimated that the \$5,000,000 of centrally-funded core funds will come from the Development Assistance Funds (DAF) appropriation account.

The initial year of obligation will be FY 94 and it is estimated that \$2,500,000 will be obligated in the first year. The planned final year of obligation will be FY 97, and the estimated PACD will be September 30, 1999.

In addition to the amount of core funds to be authorized as described above, an estimated \$5,000,000 may be contributed to this project by missions, regional bureaus, and other offices of A.I.D. Funding may be provided from the Economic Support Fund (ESF), the Development Fund for Africa (DFA), Support for East European Democracy funds (SEED), Assistance to the New Independent States funds (NIS), and from the Development Assistance Funds (DAF) account.

The overall project budget is based on historical information on costs associated with implementing similar activities in the past (e.g., PASAs, RSSAs, AAAS, the costs of studies, evaluations and financial audits). Budgetary requirements and activities for this project will be reported in the same manner as any Bureau project in the Congressional Presentation and Annual Budget Submission.

Procurement Plan: In accordance with A.I.D.'s Buy America Initiative, source and origin of goods and nationality of suppliers of goods and services under the project will be from the United States, except as otherwise agreed. Local source procurement will be authorized to the extent permitted in A.I.D. Handbook 1, Supplement B, Chapter 18.

The project will be implemented, subject to the concurrence of the Office of Procurement, by a series of competitive and noncompetitive procurement instruments including RSSAs, Purchase Orders, IQCs, Interagency Personnel Agreements (IPAs), Contracts, Cooperative Agreements and Grants. Project implementation will begin in FY 94, with \$2,500,000 from the FY 94 OYB.

Obligations of funding for existing Resource Support Services Agreements (RSSAs) currently funded under other G projects may be transferred to this project. In these cases, the scope of technical assistance under the RSSAs will not change and the 621(a) Determinations have already been approved. The approval of new RSSAs to be initiated under this project will be justified as necessary through a separate 621(a) Determination.

Congressional Notification: A Congressional Notification and Advice of Program Change will be forwarded to Congress in FY 94 as required, the first FY of obligation.

Environmental Determination: This project complies with A.I.D.'s environmental regulation, found at 22 CFR Part 216. A justification for the conclusion that the project warrants a categorical exclusion from the normal IEE requirement is attached.

Recommendation: That you sign the attached project authorization for the Global Democracy Support Project (936-5466).

Attachments:

1. Project Authorization for Funding
2. Project Data Sheet
3. Project Budget
4. IEE Justification

Clearances:

A-DAA/G, D.Gillespie _____	Date: _____
G/DG, P. Kimm _____	Date: _____
G/PO, J. Bierke _____	Date: _____
GC/G, C. Stephenson (draft) _____	Date: 3/2/94
FA/OP, A. Quinlan (draft) _____	Date: 3/3/94

Drafted:FFuller:ff:03/04/94:5-4785:Doc. DEMO2.PDS

PROJECT AUTHORIZATION

Country/Entity: Worldwide
Name of Project: Global Democracy Support Project
Number of Project: 936-5466

1. Pursuant to Section 103, Agriculture, Rural Development and Nutrition (ARDN); Section 105, Education (ED); Section 106, Private Sector, Environment and Energy (PSEE); Section 496, Development Fund for Africa (DFA-through OYB transfers); Section 498, Assistance to the New Independent States (NIS-through OYB transfers); Section 531, Economic Support Fund (ESF-through OYB transfers), of the Foreign Assistance Act of 1961, as amended, and from the Support for East European Democracy (SEED-through OYB transfers) Act of 1989, as amended, I hereby authorize the Democracy and Governance Program Development and Field Support (DG/PDFS) project (936-5466), involving planned obligations of not to exceed \$5,000,000 in grant funds from the accounts listed above, subject to the availability of funds in accordance with the A.I.D. OYB/allotment process.

The initial obligation year for the project is FY 1994, the final obligation year is FY 1997, and the PACD is September 30, 1999.

In addition to the amounts authorized above, an estimated \$5,000,000 may be contributed to the project by Missions, Regional Bureaus and other offices of A.I.D. from funding accounts they are authorized to use. Funding may be provided from any of the accounts authorized above for G funding.

2. The project's purpose is to provide a wide range of technical support services to meet the needs of the G Bureau, Regional Bureaus and field missions in DG strategic planning, and in designing, implementing, and evaluating DG projects.

This project purpose will be accomplished through: (a) the provision of long and short-term technical specialists with expertise in electoral processes, rule of law, civic education, good governance and other DG related areas of concern; and (b) the conduct of pilot cross-sectoral (or across G Bureau cluster lines) initiatives, studies, seminars, conferences and workshops that help to address cross-cluster issues relating to Democracy and Governance.

3. The agreements which may be negotiated and executed by the officer(s) to whom such authority is delegated in accordance with A.I.D. regulations and Delegations of

Authority shall be subject to the following essential terms and covenants and major conditions, together with such other terms and conditions as A.I.D. may deem appropriate:

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a. Source and Origin of Commodities, Nationality of Services: Commodities financed by A.I.D. under the project shall have their source and origin in the United States, except as A.I.D. may otherwise agree in writing, or as otherwise provided in paragraph b. or c., below.

Except for ocean shipping, the suppliers of commodities or services shall have the United States as their place of nationality, except as A.I.D. may otherwise agree in writing, or as otherwise provided in paragraph b. or c. below.

Ocean shipping under the project, except as A.I.D. may otherwise agree in writing, shall be financed only on flag vessels of the United States.

b. Local Cost Financing:

Financing local procurement is the use of project funds to finance the procurement of goods and services supplied by local businesses, dealers, or producers, in a cooperating country (i.e. one in which research, training, or technical or other assistance takes place under the project), with payment normally being in the currency of that country.

Unless a further specific waiver of source, origin, or nationality rules is obtained under Handbook 1, Supplement B, Chapter 5, locally financed procurements may not be in excess of that permitted under the rules for local procurement in Handbook 1, Supplement B, Chapter 18.

c. Other Funds:

Funds used under the project, such as the Development Fund for Africa (DFA), will retain any wider source basis upon which they may be appropriated.

Signature:

Ann Van Dusen
Acting Assistant Administrator
Global Bureau

Date:

March 23 1994

Clearances:

A-DAA/G, D. Gillespie	Date:	_____
G/DG/H, P. Kimm	Date:	_____
G/PO, J. Bierke	Date:	_____
GC/G, C. Stephenson (draft)	Date:	3/2/94
FA/OP, A. Quinlan (draft)	Date:	3/3/94

Drafted:FFuller:ff:03/04/94:5-4785:Doc. DEMO2.PDS

CATEGORICAL EXCLUSION
TO THE PROCEDURES FOR AN
INITIAL ENVIRONMENTAL EXAMINATION

Project Title Number: Global Democracy Support Project
936-5466

Country: Worldwide

Originating Office: G/DG

A.I.D. is required to ensure that the environmental consequences of A.I.D.-financed activities are identified and considered prior to a final decision to proceed and that, where necessary, appropriate environmental safeguards are adopted. The Initial Environmental Examination (IEE) is the first review of the reasonably foreseeable effects of a proposed action on the environment.

Under Part 216.2(c) of 22CFR, IEEs are generally not required when the project to be funded supports "education, technical assistance or training programs except to the extent such programs include activities directly effecting the environment (such as construction of facilities, etc.)," or "analyses, studies, academic or research workshops and meetings."

The proposed project will improve the design, implementation, management and evaluation of democracy and governance projects and provide essential services and resources. The project will establish a mechanism through which the Office can fund appropriate development-related activities which will have no direct impact on land use, water quality, the atmosphere, or natural resources. Since the project involves technical assistance in the shape of research, analyses, training, packaging and dissemination of information, it qualifies for a categorical exclusion under both of the sections mentioned above. An Initial Environmental Examination is, therefore, not required.

Approved: Peter Kimm Date: 3/22/94
Peter Kimm, G/DG/H
Acting Director Democracy Center

Clearance:

G/DG, P. Fn'Piere: (draft) Date: 3/3/94

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GLOBAL DEMOCRACY SUPPORT PROJECT BUDGET

MAJOR COMPONENTS	FY 94 CORE	FY 94 BUY-IN	FY95 CORE	FY95 BUY-IN	FY96 CORE	FY96 BUY-IN	FY97 CORE	FY97 BUY-IN	FY98 CORE	FY98 BUY-IN	TOTAL CORE	TOTAL BUY-IN
Program Imp. Support												
Project Development	250	50	100	100	0	250	100	300	0	200	450	900
Global Assessments	500	0	50	200	50	250	50	350	0	250	650	1050
Research	500	0	0	250	0	250	100	300	0	250	600	1050
Election Services	500	0	100	250	100	250	100	300	0	200	800	1000
Evaluations	0	0	50	50	50	50	0	50	50	50	150	200
Other Initiatives	250	100	200	200	200	200	100	250	100	50	850	800
Subtotal	\$2,000	\$150	\$500	\$1,050	\$400	\$1,250	\$450	\$1,550	\$150	\$1,000	\$3,500	\$5,000
Tech. Asst. Support												
RSSA	250	0	300	0	0	0	300	0	200	0	1050	0
AAAS Fellows	150	0	0	0	0	0	150	0	0	0	300	0
IPAs	100	0	0	0	0	0	50	0	0	0	150	0
Subtotal	\$500	\$0	\$300	\$0	\$0	\$0	\$500	\$0	\$200	\$0	\$1,500	\$0
TOTAL	\$2,500	\$150	\$800	\$1,050	\$400	\$1,250	\$950	\$1,550	\$350	\$1,000	\$5,000	\$5,000