

UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT

REGIONAL ECONOMIC DEVELOPMENT SERVICES OFFICE
FOR EAST AND SOUTHERN AFRICA (REDSO/ESA)

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POST OFFICE BOX 30261
NAIRC BI, KENYA

January 21, 1993

Mr. Peter Simkin
Resident Representative
United Nations Development Programme
P.O. Box 5580
Addis Ababa, Ethiopia

Subject: Grant No. 623-0007-G-00-3051

Dear Mr. Simkin:

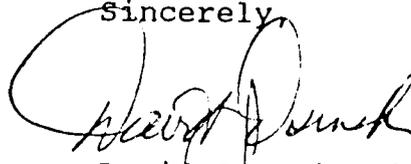
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Government of the United States acting through the Agency of International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby provides to the United Nations Development Programme (hereinafter referred to as "UNDP" or "Grantee") the sum of One Hundred Seventy-Two Thousand Eight Hundred Thirty-Six and 61/100 Dollars (\$172,836.61) in support of the Democracy/Governance Support Project to purchase vehicles and radios as more fully described in Attachment 1, The Schedule, and Attachment 2, Program Description.

This grant is effective and obligation is made as of the date of this cover letter and shall apply to commitments, obligations and expenditures made and/or incurred by the Grantee in support of this program from June 1, 1992 through the estimated completion date of January 31, 1993. Funds disbursed by A.I.D. but uncommitted by the Grantee at the expiration of this period shall be refunded to A.I.D. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount.

This Grant is made to the Grantee on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, the Schedule; Attachment 2, Program Description; Attachment 3, Standard Provisions; Attachment 4, Grantee Certifications.

Please acknowledge receipt of this Grant by signing all copies of this cover letter, retaining one set for your files, and returning the remaining copies to me.

Sincerely,



David J. Osinski
Grant Officer

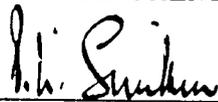
Attachments:

1. Schedule
2. Program Description
- ~~3. Standard Provisions~~
4. Grantee Certifications

ACKNOWLEDGED:

UNITED NATIONS DEVELOPMENT PROGRAMME

BY: _____



TYPED NAME: Peter L. Simkin

TITLE: Resident Coordinator

DATE: 24 February 1993

FISCAL DATA

PIO/T No.	:	663-0007.00-3-20014
Appropriate Number	:	72-112/31014
Budget Plan Code	:	GSS2-92-21-663-KG13
Total Estimated Cost	:	\$172,836.61
Total Obligated Amount	:	\$172,836.61
Project Office	:	USAID/Ethiopia
Project Officer	:	Dr. Steve Morrison Dr. Victor Barbiero
Funding Source	:	D/G Support Project

ATTACHMENT I

SCHEDULE

A. PURPOSE OF GRANT

The purpose of this grant is support and strengthen the Democracy and Governance project through the provision of vehicles and radios to UNDP for use in election monitoring and reporting as more specifically described in Attachment 2 to this Grant "Program Description."

B. PERIOD OF GRANT

1. The effective date of this Grant is June 1, 1992. The expiration of this Grant is January 31, 1993.
2. Funds obligated hereunder are available for program expenditures for the estimated period of June 1, 1992 to January 31, 1993 as shown in the Grant budget below.

C. AMOUNT OF GRANT AND PAYMENT

1. A.I.D. hereby obligates the amount of US\$ 1/2,836.61 for the purposes of this Grant.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Standard Provision 11 entitled "Payment - Periodic Advance."
3. Payment will be made by USAID, RFMC, P.O. Box 30261, Nairobi, Kenya. Original Invoices will be submitted to the USAID/Ethiopia Project Officer.
4. Unless otherwise instructed by Grantee, following receipt of request for advance by RFMC Nairobi, payment will be made to:

United Nations Development Programme
WFP/RLU Account NT 3233
Commercial Bank of Ethiopia
Africa Hall Branch
Addis Ababa, Ethiopia

5. The Grantee may request an advance of up to 100% of the amount obligated under this Grant.

ATTACHMENT I

D. FINANCIAL PLAN

The following is the Grant Budget. Revisions to this budget shall be made in accordance with Standard Provisions of this Grant, entitled "Revision of Grant Budget."

US Dollar BudgetCost ElementAmountExpenditures for Vehicles and Radios.

Six Toyota Landcruisers ex-Djibouti	US\$ 173,328
Air-freight Djibouti-Addis Ababa (estimate)	US\$ 15,000
Subtotal	US\$ 188,328
20 Radios, spares & cables Ex-Holland	US\$ 70,962.54 (1)
Total	US\$ 259,290.54
<u>Contributions</u>	
Contribution by US Government	US\$ 172,836.61(2)
Contribution by Canadian Government	US\$ 27,608.93(3)
Subtotal	US\$ 200,445.54
Contribution by UNDP (advance purchase of project vehicles for pipeline projects)	US\$ 58,845.00
Contributions total	US\$ 259,290.54

(1) Airfreight by courtesy of the Dutch Government.

(2) Contribution by US Government US\$ 43,353.61 for radios (as per letter 22 May 1992) and US\$ 129,483 for vehicles.

(3) Contribution by CAN Government for radios US\$ 27,608.93 (as per letter 28 May 1992)

Footnote: Installation and Dismantling of approximately Birr 250 per radio birr 5,000 charged to UNDP ETH/92/008.

The total contribution of the US Government towards this effort is the amount of this grant, \$172,836.61

ATTACHMENT I

E. REPORTING AND EVALUATION

1. Financial Report

- a. Financial reporting requirements shall be in accordance with the Mandatory Standard Provisions for Non-U.S. nongovernmental grantees "Payment - Periodic Advance", as shown in Attachment 3.
- b. The Grantee shall prepare and submit financial reports in 2 copies and technical reports (1 copy) to the Project Officer, the Democracy/Governance Adviser, USAID/Ethiopia.
- c. The financial report shall include financial statements of expenditures on an accrual basis and supported by the necessary financial supporting documents. This Financial status report should be submitted no later than 30 days after the end of each specified reporting period.
- d. The Grantee shall submit the final financial status report (original plus one copy) no later than 90 days after the completion of the grant.

2. Program Evaluation Monitoring

- a. The Grantee shall monitor the performance under this grant and ensure that time schedules are being met and performance goals are being achieved as set out in the Project Description. The Grantee shall submit quarterly technical reports stating the accomplishments achieved within the reporting period; problems encountered; reasons why goals were not met, if appropriate, and any other pertinent information which relates to the goals and objectives of this grant.
- b. The Grantee shall make a final report. The report should document the Grantee's success in meeting the objectives as outlined in Section A, Purpose of Grant. It should include the number of operations successfully completed and number of ex-soldiers discharged as a result of the intervention. The report will also report on the refurbishment of the orthopedic wards in the Black Lion hospital including the number of patients that would benefit from the improved facilities. The report shall also include a list of equipment purchased for the operating theaters. There should be confirmation of the employment of an executive secretary for the Department of Orthopedics and a report on accomplishments achieved through this measure. Problems encountered by the grantee, corrective procedures followed, and any other significant events that occurred during the program period should also be reported.

ATTACHMENT I

Analysis and explanation of actual unit costs versus the budgeted costs should also be included. One copy of the final report should be submitted to the Democracy/Governance Officer in the USAID/Ethiopia Mission no later than 90 days after the completion of the project.

c. The Grantee shall inform the USAID/Ethiopia Mission of events which have occurred or which the Grantee has reason to believe will occur that effect project implementation. Notification will be provided in writing of problems, delays, or adverse conditions which may materially affect the ability of the Grantee to attain program objectives, and thereby prevent the meeting of time schedules and goals, or preclude the attainment of the project work.

F. INDIRECT COST RATE

There is no indirect cost rate associated with this grant.

G. PROJECT OFFICE/OFFICER

The USAID Office responsible for the management of this effort is the Project Implementation Office, USAID/Ethiopia. The Project Manager is Dr. Steve Morrison. The USAID/Ethiopia Project Officer is the Chief of the Project Implementation Office, Dr. Victor Barbiero, or his designee.

ATTACHMENT II

Program Description

A. BACKGROUND

During Ethiopia's June 1992 local and regional elections, USAID, via the Democracy/Governance Support Project, took a lead role in supporting the National Election Commission (through a direct grant for ballots), in organizing a large American observer mission (funded through a cooperative agreement with the African American Institute), and in supporting the unified international observer effort, the Joint International Observer Group (JIOG). On the latter, USAID made two major commitments:

(i) a \$177,163. contribution to the UNDP Project ETH/92/016 - Support to the Elections (covered under a separate PIO/T. originally dated July 2, 1992; funding was formally approved PIO/T, implemented September 31 1992); and

(ii) a \$172,836.61 grant to the UN/Ethiopia Emergency Transport Fund (ETF) towards the purchase of vehicles and radios.

The JIOG's transport and communications needs were a major concern to USAID and other donors. The ETF was in a position to organize the rapid purchase and delivery of 6 Toyota Land Cruisers ex-Djibouti, and 20 multi-requency radios, spares and cables ex-Holland. USAID judged in June that it was very important that these vehicles and radios be made available in a timely way, if safe and adequate transport and communications were to be assured for the 200 plus observers (including 70 Americans recruited through AAI) organized into 60 plus teams through the JIOG and deployed to 15 hub sites across Ethiopia, frequently in areas where road and security conditions were problematic. The addition of six new Landcruisers and 20 multi-frequency radios greatly strengthened the JIO's confidence that it could fulfill its mandate.

Hence USAID agreed to the \$172,836.61 grant, with the understanding that, following the June elections and upon payment by USAID, three of the vehicles equipped with three of the radios would be turned over to USAID/Ethiopia in satisfactory condition. One of the vehicles is to become the D/G Project vehicle; the remaining two are to be assigned to agencies of the Transitional Government of Ethiopia which are actively engaged in those areas in which the D/G Project concentrates (e.g. elections, constitution redrafting) and in which there is an acute transport shortfall.

Further, there is an understanding between USAID and the UN that the other three vehicles (for which the USAID grant made a partial contribution) and the balance of the radios will be made available in 1993 during international observation of national elections.

ATTACHMENT II

B. PROJECT OBJECTIVES

The objective of this grant is to provide essential support to the JIOG effort to assist in transportation and communications for international observers participating in elections in Ethiopia.

C. PROJECT DESCRIPTION

This project provides partial funding for the purchase of vehicles and radios to be used by UNDP in its and USAID's Democracy/Governance projects. Vehicles purchased with USAID contributions will be used only for such purposes as approved by the USAID/Ethiopia Project Manager.

D. Duration

The project is expected to last for approximately seven months, as set forth in the cover letter.