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U.S. AGENCY FOR
INTERNATIONAL
DEVELOPMENT

RECEIVED SEP 22 1993

September 20, 1993
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Section

Family Health International
Attn: Mr. Theodore M. King
P.O. Box 13950
Research Triangle Park, NC 27709

Subject: Cooperative Agreement No. CCP-3060-A-00-3021-00

Dear Mr. King:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to Family Health International (hereinafter referred to as "FHI" or "Recipient") the sum of \$1,991,700 in support of the Recipient's program concerning the Impact of Family Planning Programs on Women's Lives, as more fully described in Attachment 2, "Program Description."

This Cooperative Agreement (hereinafter also referred to as "Agreement") is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives for the period of the Agreement, as established in Section B.1. of the Schedule (see Attachment 1). Funds disbursed by A.I.D. but uncommitted by the Recipient at the expiration of this period shall be refunded to A.I.D.

The total estimated amount of this Cooperative Agreement is \$8,603,955, to be funded incrementally, subject to availability and project priorities. Of the total estimated amount, \$1,991,700 is obligated (hereinafter referred to as the "Total Obligated Amount"). A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the Total Obligated Amount. However, subject to Section 1C.4. of Attachment 1, additional funds may be obligated by A.I.D. until such time as the obligated amount may equal the total estimated amount of this Cooperative Agreement.

This Cooperative Agreement is made to the Recipient on the condition that the funds will be administered in accordance with the terms and conditions as set forth in this Cover Letter; Attachment 1, entitled "Schedule;" Attachment 2, entitled "Program Description;" Attachment 3, entitled "Standard Provisions;" and Attachment 4, entitled "Restrictions on Lobbying;" which together constitute the entire Cooperative Agreement document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

If you have any questions, please contact Ms. Carrie L. Woehler of my staff at (703) 875-1405.

Sincerely yours,


Thomas S. Bordone
Agreement Officer
FA/OP/CC/P
Office of Procurement

Attachments:

- 1. Schedule
- 2. Program Description
- ~~3. Standard Provisions~~
- ~~4. Special Provision entitled "Restrictions on Lobbying"~~

ACKNOWLEDGED:

FAMILY HEALTH INTERNATIONAL

BY: 

TYPED NAME: Dr. Theodore M. King

TITLE: President

DATE: 22 September 1993

FISCAL DATA

A. GENERAL

A.1. Total Estimated A.I.D. Amount: \$8,603,955
A.2. Total Obligated A.I.D. Amount: \$1,991,700
A.3. Cost-Sharing Amount (Non-Federal): \$446,303
A.4. Other Contributions (Federal): \$0
A.5. Project No.: 936-3060
A.6. A.I.D. Project Office: R&D/POP
A.7. Cognizant Technical Officer (CTO): Sawon Hong, R&D/POP
A.8. Funding Source: A.I.D./W
A.9. Tax I.D. No.: 23-741-3005
A.10. CEC No.: 06-718-0786
A.11. LOC No.: 72-00-1322

B. SPECIFIC

B.1.(a) PIO/T No.: 936-3060-3692216
B.1.(b) Project No.: 936-3060, Evaluating Family Planning
Program Impact - Impact of Family Planning Programs on
Women's Lives
B.1.(c) Appropriation: 72-1131021.4
B.1.(d) Allotment: 344-36-099-01-81-31
B.1.(e) BPC: DDPA-93-16969-IG11
B.1.(f) Amount: \$1,750,000

B.2.(a) PIO/T No.: 936-2750-3692463
B.2.(b) Project No.: 936-2750, Women Organization &
Participation
B.2.(c) Appropriation: 72-1131021.1
B.2.(d) Allotment: 341-36-099-01-20-31
B.2.(e) BPC: DDVA-93-16966-IG11
B.2.(f) Amount: \$241,700

Total Amount Obligated: \$1,991,700

SCHEDULE

1A. PURPOSE OF COOPERATIVE AGREEMENT

The purpose of this Cooperative Agreement is to provide support for the Recipient's program concerning the Impact of Family Planning Programs on Women's Lives, as more specifically described in Attachment 2 entitled, "Program Description."

1B. PERIOD OF COOPERATIVE AGREEMENT

1B.1. The effective date of this Cooperative Agreement is the date of the Cover Letter and the estimated completion date is five year thereafter. Funds obligated hereunder (see Section 1C.2. below) shall be used to reimburse the Recipient for allowable program expenditures incurred by the Recipient in pursuit of program objectives at any time during the period beginning on the effective date of this Cooperative Agreement and ending on the estimated completion date.

1B.2. However, because this Cooperative Agreement is incrementally funded (see Section 1C.4. below), funds obligated hereunder are only anticipated to be sufficient for program expenditures through December 31, 1994.

1C. AMOUNT OF COOPERATIVE AGREEMENT AND PAYMENT

1C.1. The total estimated amount of this Cooperative Agreement for its full period, as set forth in Section 1B.1. above, is \$8,603,955.

1C.2. A.I.D. hereby obligates the amount of \$1,991,700 as partial funding of the total estimated amount set forth in Section 1C.1. above for program expenditures during the indicated period set forth in Section 1B. above. Notwithstanding said total estimated amount, A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget" (see also Section 1C.4. below).

1C.3. Payment shall be made to the Recipient in accordance with procedures set forth in the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," shown in Attachment 3.

1C.4. As indicated in Section 1C.2. above, this Cooperative Agreement is partially funded. Until such time as the obligated amount (see Section 1C.2. above) shall equal the total estimated amount (see Section 1C.1. above) of this Cooperative Agreement, additional increments of funds may be obligated by A.I.D. under this Cooperative Agreement (by a Cooperative Agreement amendment), subject to availability of funds, possible evaluation of the program, program priorities at the time, and the requirements of the Standard Provisions of this Cooperative Agreement entitled "Revision of Grant Budget" and, if applicable (see Section 1M.2. for applicability) "Cost Sharing (Matching)," as set forth in Attachment 3.

1C.5. The total estimated amount of the program described in Attachment 2 of this Cooperative Agreement is \$9,050,258, of which A.I.D. may provide the amount specified in Section 1C.1. above, and the Recipient will provide \$446,303 in accordance with Section 1M. below.

1D. COOPERATIVE AGREEMENT BUDGET

1D.1. The following is the Budget for the total estimated amount of this Cooperative Agreement (see Section 1C.1. above) for its full period (see Section 1B. above). The Recipient may not exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," as shown in Attachment 3, the Recipient may adjust line item amounts as may be reasonably necessary for the attainment of program objectives.

Revisions to the budget shall be in accordance with Section 1C. above and the Standard Provisions entitled "Revision of Grant Budget" and, if applicable, "Cost Sharing (Matching)."

1D.2. Budget

<u>Cost Element</u>	<u>A.I.D.</u>	<u>Recipient/ Others (Non-Fed)</u>	<u>Total</u>
Direct Labor	\$2,453,685	\$ 141,065	\$2,594,750
Fringe Benefits	\$ 613,421	\$ 35,266	\$ 648,687
Consultants	\$ 27,029	\$ 0	\$ 27,029
Travel & Per Diem	\$1,020,544	\$ 0	\$1,020,544
Equipment	\$ 56,000	\$ 0	\$ 56,000
Subagreements	\$2,840,657	\$ 0	\$2,840,657
Other Direct Costs	\$ 0	\$ 155,000	\$ 155,000
Subtotal	\$7,011,336	\$ 331,331	\$7,342,667
G&A @ 34.7%	\$1,592,619	\$ 114,972	\$1,707,591
TOTAL	\$8,603,955	\$ 446,303	\$9,050,258

1D.3. Inclusion of any cost in the budget of this Cooperative Agreement does not obviate the requirement for prior approval by the Agreement Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Cooperative Agreement set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

1E. REPORTING REQUIREMENTS

1E.1. Financial Reporting

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1E.1.(b) An original and two (2) copies of all financial reports shall be submitted to A.I.D., Office of Financial Management, FA/FM/CMPD/DC, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) With the exception of the final financial report, all financial reports shall be submitted within thirty (30) calendar days following the end of the reporting period. The final financial report shall be submitted within ninety (90) calendar days following the estimated completion date of this Cooperative Agreement.

1E.1.(d) The Recipient's financial reports shall include expenditures of A.I.D. Cooperative Agreement funds provided hereunder, as well as non-federal matching funds and any other contributions in accordance with Section 1M. below.

1E.2. Program Performance Planning and Reporting

The exact timing and format of all reports will be determined in collaboration with the CTO. The Recipient shall submit the following:

1E.2.(a) Initial and Annual Work Plans

1E.2.(a)(1) Initial Work Plan: The initial annual work plan shall include a plan for program implementation for the first authorized year of the program. A draft of the work plan will be due within sixty (60) calendar days after the signature date of this Cooperative Agreement, and shall indicate the timing, by month, of the program elements over the 12 month period of implementation. The work plan will detail the approach to be taken for the program. It shall also indicate the responsible organization and/or individual for each program element or activity. Two (2) copies of the draft workplan shall be provided to the CTO and one (1) copy to the Agreement Officer. The CTO and/or Agreement Officer will provide comment on the work plan within 30 days, with the CTO receiving two (2) copies, and the Agreement Officer one (1) copy, of the final version. In needed, the work plan may be revised later to reflect subsequent findings. The Recipient may be required to make a formal annual presentation of the initial and subsequent workplans to A.I.D. staff in Washington, D.C.

1E.2.(a)(2) Annual Work Plans: At least sixty (60) calendar days before the end of the initial work plan period, the Recipient shall submit a draft of the work plan for Year 2 to both the CTO and Agreement Officer for review and comment. The CTO and/or Agreement Officer will provide comments on the draft annual workplan within thirty (30) days of the submission of the draft annual workplan. Within thirty (30) days of receiving the CTO and/or Agreement Officer's comments, the Recipient shall submit two (2) copies of the final version of the work plan to the CTO with one (1) copy to the Agreement Officer.

The annual work plans shall include, but not be limited to:

- o Description of the type and magnitude of planned activities under each program element, key long-term and short-term personnel to be involved, their level of effort, where the activities will be carried out, and ongoing/anticipated subproject activities by country.
- o Benchmarks and scheduled completion dates for program activities, including the production schedule for any printed material.
- o Reports, assessments, and other events to be undertaken.
- o Expenditures for the current year and previous years.
- o Next year's budget.
- o Planned travel by staff and consultants by quarter.

1E.2.(b) Quarterly Reports by Reference to Quantifiable Indicators and Other Evaluation Benchmarks

1E.2.(b)(1) The Recipient shall submit four (4) copies of brief quarterly program performance reports (maximum length suggested: 6 single-spaced pages) which coincide with the financial reporting periods described in Section E.1.C. above to the CTO specified in the Cover Letter's Fiscal Data page of this Cooperative Agreement. The reports will review progress toward achieving program objectives specified in the work plan, subproject activities, and any modifications proposed in planned future activities. Reports shall also include quantitative and qualitative measures of performance; shall be submitted within thirty (30) days following the end of the reporting period; and shall briefly present the following information:

1E.2.(b)(1)(A) Implementation status including a comparison of actual accomplishments with the established evaluation benchmarks for the period. If the output of programs can be readily quantified, such quantitative data shall be related to cost data for computation of unit costs.

1E.2.(b)(1)(B) Reasons why established goals were not met, if applicable, including any problems or difficulties requiring U.S.G., possibly host country, or implementing organization attention.

1E.2.(b)(1)(C) Summary financial report of all program expenditures, by activity.

1E.2.(b)(2) Between the required performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Recipient shall inform the CTO as soon as the following types of conditions become known:

1E.2.(b)(2)(A) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any U.S.G., or possibly host country, assistance needed to resolve the situation.

1E.2.(b)(2)(B) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

1E.2.(b)(2)(C) If any performance review conducted by the Recipient discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget", the Recipient shall submit a request for budget revision to the Agreement Officer and the CTO specified in the Cover Letter of this Cooperative Agreement.

1E.2.(b)(3) Updates and proposed modifications of annual work plans, specifically to include travel projected for the subsequent quarter.

1E.2.(c) Annual Report of Program

Within ninety (90) calendar days following the anniversary of the effective date of this Agreement, the Recipient shall submit an annual report of the year's preceding program activities. The annual report will substantively review performance against planned quantitative and qualitative measures, including a discussion and analysis of (a) the success of the program's impact per the established mechanism(s) for measuring research, technical assistance, dissemination and advocacy and (b) the progressive knowledge and skills of the organization's staff. The report shall also synthesize all lessons learned, in-country capability strengthening successes and problems, and should also include: (1) an executive summary of the program's accomplishments or failings; (2) a description of specific program activities from its inception; (3) significance of these activities; (4) comments and recommendations; (5) a fiscal report that describes in detail how Cooperative Agreement funds were used. Incremental funding will be contingent on submitting complete reporting, effectively resolving implementation problems, as well as on the availability of funds and any revisions in the overall program structure that A.I.D. may require.

1E.2.(d) Final Report of Program

Within ninety (90) days following the estimated completion date of this Cooperative Agreement and in lieu of a final year annual report, the Recipient shall submit a draft of the final report to the CTO for review and comment. CTO responses will be expected within thirty (30) days, at which time the Recipient may chose to incorporate comments by A.I.D. The Recipient shall then submit three (3) copies to the CTO in Washington D.C., one (1) copy to the Agreement Officer, and two (2) copies to the following address: A.I.D.; Center for Development Information and Evaluation; Document Information Division, POL/CDIE/DI; Room 209F, SA-18; Washington, D.C. 20523-1802.

1E.2.(e) Subproject Reports

Three (3) copies of the final reports or published manuscripts for all completed subprojects, including an executive summary, will be provided within 90 days of completion of the activity. Information on subprojects will be submitted to the R&D/POP Population Project Database through the CTO in accordance with database guidelines.

1E.2.(f) Mailing Lists for Dissemination

The Recipient is responsible for developing and updating, as frequently as necessary, a master mailing list and sub-mailing lists for the purpose of disseminating information resulting from or related to the work defined in the Program Description. The format of the mailing list will be worked out in consultation with the CTO and should be structured so that different disciplines and levels of programs personnel can be targeted for specific mailings.

1E.2.(g) Trip Reports

Trip reports for all A.I.D.-funded international and domestic travel supported by the project made by the Recipient's personnel, consultants or advisors, are to be submitted to the CTO in three (3) copies within 30 days of completion of said travel. The report shall include a summary, the objective of the visit, identify the person(s) who conducted the visit, locations visited and persons contacted, and describe the activities undertaken, project-related problems, accomplishments and any other information related to the activity. The CTO will make copies of relevant trip reports available to appropriate A.I.D. staff.

1E.2.(h) Technical Advisory Committee Reports

Three (3) copies of reports of the Technical Advisory Committee (TAC) meetings and any special Working Groups, special reviews or special advisory meetings must be submitted to the CTO within six (6) weeks of the meeting.

1F. SUBSTANTIAL INVOLVEMENT UNDERSTANDINGS

It is understood and agreed that A.I.D. will be substantially involved during performance of this Cooperative Agreement as follows:

1F.1. General guidance. Primary technical and administrative responsibility for the Impact of Family Planning on Women's Lives program will be with the R&D Office of Population. The A.I.D. Cognizant Technical Officer (CTO) from the Office of Population will provide policy and technical guidance to the Recipient, ensure that implementation of the proposed activities is consistent with the design of the Recipient's program, and monitor the Recipient's progress toward meeting the intent and objectives of this Agreement.

1F.2. Country selection. The CTO will prepare an initial cable to the USAID field missions that describes the project and requests mission interest in participating. The CTO will assist the Recipient in selecting appropriate countries for participation in the program as "emphasis countries" and obtain USAID/Mission concurrence.

1F.3. Research program. The CTO will assist in the review of the conceptual framework, the statement on research priorities for the project, and proposed strategies and research plans for the emphasis countries. Prior, written consent of the CTO is required for all research and other activities. Research activities and protocols proposed by the Recipient will be sent to the CTO for approval prior to their implementation.

1F.4. Program Elements II through IV. Plans by the Recipient for additional program activities including technical assistance, training, dissemination and liaison with womens advocacy groups will be reviewed by the CTO. Program reports including the annual workplans, annual progress reports, research and dissemination reports, and budgets and financial reports will be reviewed by the CTO.

1F.5. Subcontracts and subagreements. The Recipient will submit to the CTO any proposed subcontracts, subagreements, or grants over \$10,000 for review, comment and approval. The Recipient will obtain the necessary concurrences from USAID missions and the host-country governments for all proposed subcontracts and subagreements/grants, regardless of level of support.

1F.6. Additional Reviews. Additional reviews or site visits may be performed by the CTO and other A.I.D. staff in monitoring the project's activities.

1F.7. International Travel. The Recipient is required to notify the CTO of the proposed itinerary for each planned international trip financed by this agreement, by providing the name of the traveller, purpose of the trip, origin/destination (and intervening stops), and dates of travel, as far in advance of the proposed travel as possible, but at least six (6) weeks before travel is planned to commence so that U.S. Mission or Embassy concurrence can be obtained. International travel, as provided for in the agreement, is authorized unless otherwise disapproved by the CTO in writing prior to the commencement of travel.

1F.8. Technical Advisory Committee. A technical advisory committee (TAC) will be formed to guide the project's activities. The CTO will review and approve the proposed membership of the TAC and dates of meeting, and will participate in its meetings.

1F.9. Coordination and Collaboration. The Recipient's staff will establish contacts with the relevant A.I.D. offices, including the R&D Offices of Population and Health, WID, CDIE, Regional Bureaus, and USAID missions. In addition, the Recipient will establish a relationship with other A.I.D.-supported projects, national and international organizations, and other donors. Such coordination and collaboration may involve the participation of the groups in the program's activities and/or an exchange of information and materials about the respective programs and activities of the different organizations. Where appropriate, the CTO will facilitate these contacts and will participate in meetings to further coordination and collaboration between the Recipient and the various A.I.D. offices and other organizations.

1F.10. Approved Positions/Personnel.

A. The following positions are the preferred key personnel in performance of this activity: Project Director (Principal Investigator), Deputy Director, and Administrator.

B. Prior to making any change in the approved personnel for the above noted positions, filling vacancies, or diverting any of the personnel or their percent effort, the Recipient shall notify the CTO reasonably in advance and in sufficient detail to permit evaluation of the impact on the program. No vacancies should be filled nor diversions made without the prior written concurrence of the CTO.

C. Prior to hiring any new staff ranked at the equivalent of a GS-12 or above, or establishing any new positions to be supported by this agreement, regardless of equivalent GS level, the Recipient must obtain the concurrence of the CTO.

D. Without the prior written approval of the CTO, compensation for any individual (including employees and consultants) under this Cooperative Agreement will not exceed 1) the current compensation or the highest rate of annual compensation received by the individual during any full year of the immediately preceding three years, or 2) the maximum daily rate of a Foreign Service 1 (FS-1), whichever is less.

1G. PROCUREMENT AND (SUB) CONTRACTING

1G.1. Applicability

This Section 1G. applies to the procurement of goods and services by the Recipient (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Cooperative Agreement entitled "Air Travel and Transportation," "Ocean Shipment of Goods," "Procurement of Goods and Services," "AID Eligibility Rules for Goods and Services," and "Local Cost Financing"), and not to assistance provided by the Recipient (i.e., a subgrant or [sub]agreement) to a subrecipient (see the Standard Provision of this Cooperative Agreement entitled "Subagreements").

1G.2. Requirements

1G.2.(a) In addition to other applicable provisions of this Cooperative Agreement, the Recipient shall comply with paragraph (b)(1) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," concerning Cooperative Agreements funded under the Development Fund for Africa (DFA) and Cooperative Agreements with a total procurement value of less than \$250,000 under this Cooperative Agreement. However, paragraph (b)(1) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" does not apply to:

1G.2.(a)(1) The restricted goods listed in paragraph (a)(3) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services," which must be specifically approved by the Agreement Officer in all cases, except to the extent that such approval may be provided in Section 1I.4. below;

1G.2.(a)(2) Paragraph (d) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" pertaining to air and ocean transportation, to which the Standard Provisions entitled "Air Travel and Transportation" and "Ocean Shipment of Goods" apply, respectively;

1G.2.(a)(3) Paragraph (c) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services;"

1G.2.(a)(4) Construction implemented by U.S. firms, regardless of dollar value, which requires that at least 50% of the supervisors and other specified key personnel working at the project site must be U.S. citizens or non-U.S. citizens lawfully admitted for permanent residence in the United States; and

1G.2.(a)(5) Engineering services, regardless of dollar value, which shall be limited to the United States (Geographic Code 000).

1G.2.(b) Paragraph (b)(2) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" does not apply.

1G.3. Approvals

Inclusion of costs in the budget of this Cooperative Agreement for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) for prior approval of such purchases by the Agreement Officer, nor any other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I.2. below.

1G.4. Title to Property

Title to property acquired hereunder shall vest in the Recipient, subject to the requirements of the Standard Provision of this Cooperative Agreement entitled "Title To and Use of Property (Grantee Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 1I. below.

1H. INDIRECT COST RATES

1H.1. Pursuant to the Standard Provision of this Cooperative Agreement entitled "Negotiated Indirect Cost Rates - Provisional (Nonprofits)," an indirect cost rate or rates shall be established for each of the Recipient's accounting periods which apply to this Cooperative Agreement. Pending establishment of final or revised provisional indirect cost rates, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate(s) applied to the base(s) which is (are) set forth below:

<u>Type</u>	<u>Rate</u>	<u>Base</u>
General and Administrative	<u>34.70%</u>	<u>1/</u>

1/ Base of Application: Total Direct Cost excluding equipment and subgrant and subcontract costs in excess of \$25,000.

1I. SPECIAL PROVISIONS

1I.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs

1I.1.(a) Employee Salaries

Except as the Agreement Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Recipient for any costs allocable to the salary portion of direct compensation paid by the Recipient to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

1I.1.(b) Consultant Fees

Compensation for consultants retained by the Recipient hereunder shall not exceed, without specific approval of the rate by the Agreement Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

1I.2. Publications

1I.2(a) The Recipient agrees to provide one copy of the manuscript of any proposed publication to the CTO not later than submission to the publisher, and to give serious consideration to any comments received from the CTO.

1I.2(b) In the case of publication of any of the reports described in Section 1E.2. of this Cooperative Agreement, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves the right to dissociate itself from sponsorship or publication. In both cases, the Recipient will consult with the CTO as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

1I.2(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Recipient shall, in accordance with the Standard Provision of this Cooperative Agreement entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of Population, Research and Development (R&D) Bureau, U.S. Agency for International Development, under Cooperative Agreement No. CCP-3060-A-00-3021-00."

1I.2(d) In addition to providing one copy of all published works and lists of other written work produced under this Cooperative Agreement to the CTO, as required by paragraph (b) of the Standard Provision of this Cooperative Agreement entitled "Publications," the Recipient shall also provide two copies of such publications and lists to A.I.D., POL/CDIE/DI, Washington, D.C. 20523-1802.

1I.3. Equipment Purchases

1I.3.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Cooperative Agreement entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section 13 of Attachment B of OMB Circular A-122, the Recipient must obtain A.I.D. Agreement Officer approval for purchases of the following:

1I.3.(a)(1) General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property which is usable for other than research, medical, scientific or technical activities, whether or not special modifications are needed to make them suitable for a particular purpose (e.g., office equipment and furnishings, air conditioning equipment, reproduction and printing equipment, motor vehicles, and automatic data processing equipment), having a useful life of more than two years and an acquisition cost of \$500 or more per unit; and

1I.3.(a)(2) Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or technical activities (e.g., microscopes, x-ray machines, surgical instruments, and spectrometers), and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit.

1I.3.(b) Approvals

In furtherance of the foregoing, the Agreement Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Section 1C. above):

Not Applicable

1I.3.(c) Exception for Automation Equipment

Any approval for the purchase of automation equipment which may be provided in Section 1I.3.(b) above or subsequently provided by the Agreement Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Recipient must, under such circumstances, obtain the approval of the Agreement Officer for the total planned system of any automation equipment, software, or related services.

1I.3.(d) Compliance with A.I.D. Eligibility Rules

Any approvals provided in Section 1I.3.(b) above or subsequently provided by the Agreement Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Cooperative Agreement, unless specifically stated.

1I.4. Restricted Goods

Pursuant to Section 1G. above, paragraph (a)(3) of the Standard Provisions of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," the Agreement Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Agreement Officer does hereby provide such approval to the extent set forth below. The Agreement Officer's approval is required for purchases of such restricted goods if all of the conditions set forth below are not met by the Recipient. Any approval provided below or subsequently provided by the Agreement Officer shall not serve to waive any terms and conditions of this Cooperative Agreement unless specifically stated.

1I.4.(a) Agricultural Commodities

Agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Agreement Officer. However, if this Agreement is funded under the Development Fund for Africa (DFA) (see Section 1G.2.(a) above), procurement of agricultural

commodities from Special Free World countries (Geographic Code 935) is authorized, except that procurement of agricultural commodities outside the United States must have advance written approval of the Agreement Officer when the domestic price of the commodity is less than parity, unless the commodity cannot reasonably be procured in the U.S. in order to meet the needs of the project.

1I.4.(b) Motor Vehicles

Motor vehicles, if approved for purchase under Section 1I.3.(b) above or subsequently approved by the Agreement Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The source of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 1G.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks. However, if this Agreement is funded under the Development Fund for Africa (DFA) (see Section 1G.2.(a) above), the procurement of non-U.S. vehicles shall be held to an absolute minimum.

1I.4.(c) Pharmaceuticals

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 1G. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 1G. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 1G. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Recipient and the Standard Provision of this Cooperative Agreement entitled "Procurement of Goods and Services."

1I.4.(d) Pesticides

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of plant or animal life or viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or desiccant.

1I.4.(e) Rubber Compounding Chemicals and Plasticizers

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Agreement Officer.

1I.4.(f) Used Equipment

Used equipment may only be purchased with the prior written approval of the Agreement Officer.

1I.4.(g) Fertilizer

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Agreement Officer. However, if this Agreement is funded under the Development Fund for Africa (DFA) (see Section 1G.2.(a) above), procurement of fertilizer from Special Free World countries (Geographic Code 935) is authorized; provided, however, that procurement of more than 5,000 tons of non-U.S. fertilizer must have the advance written approval of the Agreement Officer.

1I.5. Limitation on Use of Funds

1I.5.(a) The Recipient shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with similar commodity grown or produced in the United States.

1I.5.(b) The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 1I.5.(a) above.

1I.5.(c) The Recipient agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 1I.5.(a) above.

1I.5.(d) No funds provided by A.I.D. under this Cooperative Agreement shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Cooperative Agreement entitled "Ineligible Countries").

1I.6. Disposition of Property

With reference to Sections 1G.4. and 1I.4. above, disposition of nonexpendable property acquired hereunder shall be as follows:

Title to property purchased by the Recipient under this Cooperative Agreement shall be vested in the Recipient. The Standard Provision of this Cooperative Agreement entitled "Title to and Care of Property (Grantee Title)" applies. Disposition of property shall be in accordance with said Standard Provision.

1I.7. Cost Principles

The cost principles applicable to this Cooperative Agreement are set forth in Office of Management and Budget (OMB) Circular A-21.

1I.8. (Sub)contracting with Nonprofit Organizations

For the purposes of this Cooperative Agreement, references to "OMB Circular A-122" in the Standard Provisions of this Cooperative Agreement shall include the A.I.D. implementation of this Circular, as set forth in Subpart 731.7 of the A.I.D. Acquisition Regulations (AIDAR) (48 CFR Chapter 7).

1I.9. Defense Base Act (DBA) and/or Medical Evacuation Insurance

Pursuant to Section 18 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions), the Recipient is authorized to purchase DBA and/or medical evacuation insurance under this Cooperative Agreement.

1J. Closeout Procedures

1J.1.

This paragraph prescribes uniform closeout procedures, which are in accordance with the Office of Management and Budget (OMB) Circular A-110, for A.I.D. grants and cooperative agreements with recipients.

1.J.2.

The following definitions shall apply for the purpose of this paragraph.

1.J.2.(a) Closeout The closeout of a grant or cooperative agreement is the process by which A.I.D determines that all applicable administrative actions and all required work of the grant or cooperative agreement have been completed by the recipient and A.I.D.

1.J.2.(b) Date of completion The date of completion is the date on which all work under grants and cooperative agreements is completed or the date on the award document, or any supplement or amendment thereto, on which A.I.D. sponsorship ends.

1.J.2.(c) Disallowed Costs Disallowed costs are those charges to a grant or cooperative agreement that A.I.D. or its representatives determines to be unallowable, in accordance with the applicable Federal cost principles or other conditions contained in the grant or cooperative agreement.

1.J.3. A.I.D. closeout procedures include the following requirements:

1.J.3.(a) Upon request, A.I.D. shall make prompt payments to a recipient for allowable reimbursable costs under the grant or cooperative agreement.

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1.J.3.(b) The recipient shall immediately refund any balance of unobligated (unencumbered) cash that A.I.D. advanced or paid and that is not authorized to be retained by the recipient for use in other grants or cooperative agreements.

1.J.3.(c) A.I.D. shall obtain from the recipient within 90 calendar days after the date of completion of the grant or cooperative agreement all financial, performance, and other reports required as the condition of the grant or cooperative agreement. A.I.D. may grant extensions when requested by the recipient.

1.J.3.(d) When authorized by the grant or cooperative agreement, A.I.D. shall make a settlement for any upward or downward adjustments to A.I.D.'s share of costs after these reports are received.

1.J.3.(e) The recipient shall account for any property acquired with A.I.D. funds, or received from the Government in accordance with the provisions of paragraph 1T of A.I.D. Handbook 13.

1.J.3.(f) In the event a final audit has not been performed prior to the closeout of the grant or cooperative agreement, A.I.D. shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

1K. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Cooperative Agreement shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
Cover Letter
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on
Lobbying"
- Attachment 2 - Program Description

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1L. STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Cooperative Agreement consist of the following Standard Provisions denoted by an "X" which are attached hereto and made a part of this Cooperative Agreement:

1L.1. Mandatory Standard Provisions For U.S., Nongovernmental Recipients

- (X) Allowable Costs (November 1985)
- (X) Accounting, Audit, and Records (August 1992)
- (X) Refunds (September 1990)
- (X) Revision of Grant Budget (November 1985)
- (X) Termination and Suspension (August 1992)
- (X) Disputes (August 1992)
- (X) Ineligible Countries (May 1986)
- (X) Debarment, Suspension, and Other Responsibility Matters (August 1992)
- (X) Nondiscrimination (May 1986)
- (X) U.S. Officials Not to Benefit (November 1985)
- (X) Nonliability (November 1985)
- (X) Amendment (November 1985)
- (X) Notices (November 1985)
- (X) Metric System of Measurement (August 1992)

**1L.2. Additional Standard Provisions For U.S.,
Nongovernmental Recipients**

- (X) OMB Approval Under the Paperwork Reduction Act (August 1992)
- (X) Payment - Letter of Credit (August 1992)
- () Payment - Periodic Advance (January 1988)
- () Payment - Cost Reimbursement (August 1992)
- (X) Air Travel and Transportation (August 1992)
- (X) Ocean Shipment of Goods (August 1992)
- (X) Procurement of Goods and Services (November 1985)
- (X) AID Eligibility Rules for Goods and Services (June 1993)
- (X) Subagreements (August 1992)
- (X) Local Cost Financing (June 1993)
- (X) Patent Rights (August 1992)
- (X) Publications (August 1992)
- () Negotiated Indirect Cost Rates - Predetermined (August 1992)
- (X) Negotiated Indirect Cost Rates - Provisional (Nonprofits) (August 1992)
- () Negotiated Indirect Cost Rates - Provisional (For-Profits) (August 1992)
- (X) Regulations Governing Employees (August 1992)
- (X) Participant Training (August 1992)
- (X) Voluntary Population Planning (June 1993)
- (X) Protection of the Individual as a Research Subject (August 1992)
- () Care of Laboratory Animals (November 1985)
- (X) Title To and Use of Property (Grantee Title) (November 1985)
- () Title To and Care of Property (U.S. Government Title) (November 1985)
- () Title To and Care of Property (Cooperating Country Title) (November 1985)
- (X) Cost Sharing (Matching) (August 1992)
- (X) Use of Pouch Facilities (August 1992)
- (X) Conversion of United States Dollars to Local Currency (November 1985)
- (X) Public Notices (August 1992)
- (X) Rights in Data (August 1992)

1M. COST SHARING AND OTHER CONTRIBUTIONS

1M.1. The Recipient agrees to expend an amount not less than the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from non-federal funds (see Section 1D.).

1M.2. The Standard Provision of this Cooperative Agreement entitled "Cost Sharing (Matching)" makes reference to project costs. "Project Costs" are defined in Attachment E of OMB Circular A-110 as all allowable costs (as set forth in the applicable cost principles [see the Standard Provision of this Cooperative Agreement entitled "Allowable Costs"]) incurred by a Recipient and the value of in-kind contributions made by the Recipient or third parties in accomplishing the objectives of this Cooperative Agreement during the program period.

1M.3. The restrictions on the use of A.I.D. funds provided hereunder, as set forth in this Cooperative Agreement, do not apply to cost-sharing (matching) or other contributions unless such restrictions are stated in the applicable federal cost principles and/or imposed by the source of such cost-sharing (matching) funds or other contributions.

1N. EVALUATIONS

1N.1. The program will be monitored and evaluated on an ongoing basis by the CTO. Informal evaluation of the Cooperative Agreement progress will be an integral part of all activities with new procedures adapted as experience dictates.

1N.2. In addition, A.I.D. will conduct annual management reviews of the performance and program direction of the Cooperative Agreement. This will permit the redirection of resources to meet changed circumstances in target countries if that is needed. Generally, the formal evaluations address the performance towards achieving program objectives in the four (4) elements, adequacy of the Recipient's administrative and managerial capabilities, adequacy of use of subgrantees to provide assistance, and the level of continuing host country demand for assistance. The Recipient shall cooperate fully with the assessment of activities.

1N.3. A final evaluation is scheduled for year four and will be carried out and supported through sources external to this Agreement. Final decisions in the timing and focus of this evaluation will depend on program events and management needs. The evaluation may use outside experts and A.I.D. staff. Evaluation plans and documents will be shared with the Recipient for comment prior to implementation and to assist the Recipient in determining what program-related documents need to be prepared for the evaluation.

(End of Attachment 1)

The Impact of Family Planning Programs on Women's Lives

Program Description

I. Introduction

Through this Cooperative Agreement, A.I.D. will fund the Recipient to conduct a program of research and other activities on the impact of family planning programs on women's lives. While attention and resources have been and are currently being devoted to studying the impact of family planning on fertility, the broader implications of family planning programs, such as on women's lives, have received almost no attention. The proposed program will help to fill critical gaps in the understanding of how family planning programs affect women's lives. This greater understanding will be used to strengthen programs by enhancing their positive and minimizing the negative consequences for women.

The proposed program will focus its efforts on a limited number of developing countries so that project resources will be concentrated. It is anticipated that the likelihood of obtaining useful results and actually having an impact on program implementation will be increased if a more in-depth effort is supported in fewer settings. Further, the proposed program will also help to strengthen institutions, both those from the developing countries who participate (referred to as "host" or "cooperating" countries) and those responsible for implementing the project.

To carry out the proposed program, the Recipient should have a core staff of experts knowledgeable about research on women, family planning programs, and women's issues and perspectives vis-a-vis family planning in developing countries. The Recipient will need to have credibility among women's advocacy groups and be able to conduct a program of research and activities that assists developing country institutions in the formulation and conduct of research and in the application of research findings to improve the women's lives and family planning programs.

II. Background

In 1990, the Office of Population initiated a major effort to evaluate the impact of population and specifically family

planning programs. After an extensive assessment of current needs in evaluation, a comprehensive evaluation plan was developed. A central element of the plan was the design of a new project, the EVALUATION Project. In September 1991, A.I.D. awarded a contract for the EVALUATION Project that now serves as the locus of technical support for improving existing evaluation mechanisms and focuses on the evaluation of the impact of family planning programs on levels and patterns of fertility.

One recommendation from the assessment of current needs in evaluation was to ensure that A.I.D. look at the broader impacts of family planning, not simply those related to fertility. In keeping with this recommendation and simultaneous with the development of EVALUATION Project, the Office of Population began studying another critical consequence of family planning programs. Based on a year's effort, the Office prepared a paper entitled, "The Impact of Family Planning on Women's Lives: A Conceptual Framework and Research Agenda."

This paper presents a conceptual framework for examining the impact of family planning in developing countries on women and identifies priorities for future research. It attempts to fill a gap in our understanding of the potential impact of family planning on women -- those who use contraceptive effectively and those who are employed by family planning programs. It looks at both positive and negative consequences of family planning. In reviewing literature, the paper finds that there is a paucity of research on this topic; that no single conceptual model has guided past work; and that the topic is complex and varies by social, cultural, and economic setting. The reciprocal influences among key aspects of a woman's situation and family planning are many and may be difficult, if not impossible, to separate. Further, although family planning programs may improve certain areas of a woman's life (health, for example), some minimal level of status or autonomy may be needed before a woman may benefit from what family planning has to offer her.

The conceptual framework in the paper looks at the impact of family planning through two paths - use of contraception and employment in programs. While the order of magnitude of the impact of these paths is vastly different, both are of interest. In the first path, contraceptive use affects a woman's ability to determine the number and timing of her pregnancies. These fertility effects, in turn, influence a woman's ability to control other important aspects of her life. In the second path, employment influences a woman's level of skill and knowledge, her income, and the nature and extent of

her contacts. Again, these effects influence other aspects of her life. Six aspects of women's lives--health status, personal autonomy and self-esteem, educational attainment, employment/economic resources, familial relations, and public standing--constitute the dependent variables. The paper further presents a number of key issues for future research, considering both the impact of family planning on contraceptive users and on women employed in family planning programs. It also recommends a number of preliminary measures that could be undertaken by donors, technical assistance agencies, and host governments to enhance the beneficial impacts on women's lives.

Following the completion of the paper, the Office of Population considered how it might best address the impact of family planning on women's lives. The need to develop and support specific activities was motivated primarily by the lack of information on the important effect of family planning on women's lives, but also by the increasing concerns of women's advocates about the lack of attention to women's perspectives in many family planning programs. Some women's advocacy groups believe that only the demographic rationale for family planning is of interest to donors and host-country governments. They view family planning programs and international population assistance as, at best, insensitive to or, at worst, hostile to women's interests, particularly in terms of women's reproductive rights and health. At the same time, many recognize the critical need to expand access to family planning and improve the quality of programs in developing countries not only as a way to meet the needs of individual women to improve their health and family life, but also as one component of the larger process of social and economic development.

In response to the above mentioned needs, the Office of Population will work in three areas: 1) support for special efforts to work with women's groups; 2) greater attention to women's and gender issues in existing population projects; and 3) the development of an assistance agreement (through means of this Cooperative Agreement) for a program of research and activities on the impact of family planning programs on women's lives. This Agreement will help fill critical gaps in our knowledge, which should ultimately serve to improve the design and implementation of family planning programs by giving greater emphasis to the women's perspective.

III. Program Objectives

The cooperative agreement has two primary objectives:

1. To improve understanding of how family planning programs affect women's lives; and
2. To make recommendations regarding improving the design and implementation of family planning programs from the perspective of women's interests and needs.

IV. Project Elements

To carry out the objectives of this cooperative agreement, the Recipient shall undertake the following four principal program elements:

1. Support for a research program in a limited number of developing countries based on an initial conceptual framework of the impact of family planning on women's lives.
2. Provision of technical assistance for the analysis of women's needs and gender issues in population and family planning programs.
3. Development of dissemination activities to ensure that research results will be used to the greatest extent possible.
4. Liaison with women's advocacy groups in both selected developed and developing countries.

While the relative emphasis on any one element may change once the project is underway, the desired preliminary distribution among the different elements is approximately follows:

<u>Elements</u>	<u>Percent</u>
A. Research Program	60
B. Technical Assistance	20
C. Dissemination Activities	10
D. Liaison with Women's Groups	10
<hr/>	
Total	100

The four elements to be addressed by the Recipient are as follows:

A. Research Program

A research program will be established to address the complex relationship between women's lives and family planning programs. Given the wide variations by time and place in the situation of women and in family planning programs, it will be essential to study key research questions in different socio-cultural settings and over time.

The program will be guided by an overall conceptual framework by Hong and Seltzer. The Recipient will review this framework as an initial step in developing the research program. Based on this framework and other relevant information, the Recipient will then develop a statement of research priorities that will guide the overall program. A central outcome of the research should be to improve overall understanding of the relationship between women's lives and family planning programs. Methodologies include both qualitative and quantitative approaches.

Reflecting the dual objectives of the project--to improve understanding of the impact of family planning on women's lives and to use that enhanced understanding to improve family planning programs--the selection criteria for choosing research topics include: 1) whether the research results will yield information useful to improve some aspect of the family planning program; 2) whether the topic addresses the needs of clients and the concerns of women's groups; and 3) whether the research has wide regional or universal applicability.

An illustrative list of research priorities includes:

1. How does a woman's (or her partner's) use or non-use of a contraceptive method affect her life in terms of autonomy, physical and psychological health, familial relations, and so forth? Does the type of method, stage in a woman's life cycle, and who uses a method (i.e. the woman or her partner) make a difference in terms of the consequences? Do women in each cohort who used family planning have better outcomes than those who did not?

2. What kind of programs have the most beneficial impact on women's lives? Do different service delivery programs or projects (e.g., a project run by and for women, a stand alone family planning service delivery program, etc.) make a difference in the relative impact on women's lives? Do programs designed with a client orientation have a more positive effect on women?
3. What are the effects of widespread adoption of effective contraception on women's roles and status in their communities and in the larger society? How do aggregate patterns of contraceptive use affect women's access to educational and employment opportunities, among other factors?
4. Do female providers of contraception increase the acceptance of contraception and its continuing use among female clients? Do female providers have an impact on women's attitudes and behavior in other ways than in the use of contraception? What managerial and programmatic conditions make female providers most effective in influencing the lives of their female clients? Should men provide family planning services to women?
5. How has employment in family planning programs affected women's lives? What working conditions are most conducive to enhancing positive effects? What aspects of the job (i.e., job training, job security, income) are most important to changes in women's lives? Are female providers perceived as innovators or role models in their communities?

In each of the emphasis countries, the Recipient will be expected to assess key research issues, potential researchers and institutions, and prepare an overall strategy for the in-country research program. The Recipient is also expected to set up an in-country advisory committee to guide the research program. The advisory committee will include in-country representation from women's advocacy groups and those with strong experience in the delivery of family planning services and research. The committee will help to define key research questions, ensure that different perspectives (i.e. women's advocacy and family planning service delivery) are taken into account, and also guide or assist in the dissemination and application of research results to family planning policies and programs. It is expected that the in-country advisory committee will be established prior to initiating activities in the selected countries.

After an initial review of key research issues and potential researchers and institutions, the program should be designed so that the Recipient will select and work with the local researchers in each emphasis country. Those researchers selected to participate will have the necessary research skills and experience to carry out the research program. The researchers will be responsible for devising a research plan for the country with the advice and assistance of the in-country technical advisory committee and the Recipient.

The development of each country-specific research plan will depend on the situation and needs in a given setting. Defining the research issues and questions may entail assessing the policies and practices of one or more service delivery programs in a country. Ascertaining women's perspectives on the types and nature of the services will be very important in this effort. The methodologies used to implement the research plan may vary by topic. It is expected that both quantitative and qualitative methods will be used. When appropriate, the Recipient is encouraged to use multiple methods. Possible methodologies for data collection include focus groups, in-depth or case studies, and the first-phase of longitudinal studies. Additional methods for assessing family planning programs and projects include situational analyses or rapid assessment techniques. The duration of the various studies will vary according to the types of methodologies used, size of the samples, study sites, and so forth.

While the program will need to support research on specific questions or issues relevant to particular settings, comparative or cross-country studies should also be carried out. These studies would hopefully yield more generalizable results on the relationship between women's lives and family planning programs. It is anticipated that comparative studies would adopt common research questions and methodologies, but that these could be adapted to address additional issues relevant to each participating country.

In addition to supporting the in-country research programs, the Recipient will also follow research developments related to this project in other countries and by other groups. In so doing, the Recipient will maintain an up-to-date list of related research studies and projects, maintain contact with groups conducting these activities, and synthesize the results of the various efforts.

A principal activity of the research component of this project will be to operationalize and revise the Hong and Seltzer conceptual framework. It is expected that revisions of the conceptual framework will be made throughout the project as results of research become available. During the first three months of the project, the Recipient will review the conceptual framework and suggest initial revisions. Beginning in year two and at yearly intervals, the Recipient and the TAC members will review the framework.

In the final year of the project, the Recipient will prepare a report that summarizes what has been learned from the research about the relationship between women's lives and family planning programs. The report will draw especially on the research that has been carried out under the project, but will also incorporate the results of other research. One section of the report should present a conceptual framework, modified as needed by the research results. Another section should give an up-dated statement of research priorities highlighting important topics and methodological approaches that should be pursued in the future.

B. Technical Assistance

The effort to incorporate systematically women's needs and perspectives into the design and implementation of family planning programs is new. Local commitment and capacity to incorporate women's perspectives into policies and practices often does not yet exist. Through the project, the Recipient is expected to respond to such needs in a targeted way, with the flexibility to address unanticipated needs as they arise.

The Recipient will provide technical assistance to the in-country researchers either through core staff or consultants. The Recipient will provide this assistance throughout the research process, as needed, by helping to identify key issues, formulate the research questions, design and develop appropriate methodologies to address the questions, provide training and guidance to assure understanding of and compliance with research protocols and processes, support the local processing and analysis of data and the preparation of research results, and develop plans for dissemination and use of expected results. These direct collaboration with local researchers will serve to strengthen in-country research skills, and to assure that studies are designed, implemented and disseminated with maximum awareness of the local context.

It is anticipated that most of the central funds available for technical assistance will be allocated to activities in emphasis countries. Other countries, organizations, or donors may access project technical assistance through USAID mission add-ons or on a fee-for-service basis. The Recipient is encouraged to leverage central resources whenever possible. Generally the level of assistance will be more limited than in the emphasis countries, although additional funding from USAID missions or other offices of A.I.D. may permit a larger effort.

The Recipient will be expected to assist in pursuing the overall objectives of the agreement in such areas as: 1) assessing existing family planning programs from the women's perspective, 2) reviewing the design of new family planning projects by incorporating the women's perspective, 3) training staff of family planning organizations and/or USAID, and 4) developing research studies. The assistance will deal primarily with the analysis of women's perspectives vis-a-vis family planning programs, but may also extend to more general issues of gender analysis in population and family planning programs and projects.

The concept of gender issues will be used to expand and complement the concept of women's perspectives. It is a means of recognizing that family planning decisions are seldom made by individuals in isolation, but rather by couples, and in many instances by family groupings. Moreover, men have occupied important positions in service delivery system as policy makers, managers, or service providers, which affect women's lives. Men, therefore, are an important part of the family planning environment for women and men are a women's issues. As such, gender issues should not be ignored in research or practice. Including men's perspectives in the general discussions during training would help reduce resistance by men to implementation of the guidelines.

As part of the technical assistance effort, the Recipient will develop a general set of guidelines for designing and implementing family planning programs that emphasize the perspective of women's interests and needs. A preliminary set of guidelines will be drawn up based on current understanding of women's perspectives and needs in programs. Later in the project, these guidelines will be revised as appropriate using the results of the various country-specific and comparative studies and the assessments of family planning programs carried out with the project's support as well as the results of other relevant research efforts.

The Recipient will prepare a booklet on the guidelines or other material (e.g., flipchart, set of transparencies, slides, etc.) as deemed appropriate for use in training staff of family planning organizations and international donors, especially USAID. The Recipient should consider consulting other Cooperating Agencies and donors as this project element is being developed to address their concerns and to determine if other groups would be interested in using the guidelines. Further, since other groups have prepared or will be preparing guidelines for different aspects of family planning projects (e.g., the EVALUATION Project for evaluating family planning projects), it will be important that the Recipient coordinate the development of the relevant guidelines with these groups to ensure consistency and to prevent duplication of effort.

The Recipient will provide technical assistance and training to family planning organizations in the emphasis countries and to USAID and other donors (at such donors' expense) in those same countries using the guidelines. The objective of the assistance and training will be to increase staff awareness of the shortcomings or problems of current family planning projects from the women's perspective, to describe the steps or efforts needed to improve the programs, and to engage staff in exercises aimed at actual improvements in current programs or projects. In some cases, the local research team or staff of the local family planning organizations may assist or lead the assistance and training activities.

While the Recipient's own staff and/or consultants will provide such technical assistance, the Recipient will also be encouraged to support the provision of similar assistance from one developing country to another. For example, certain individuals from emphasis countries who were involved in carrying out the research plan or developing their country's guidelines may be called on as consultants to the project. In some cases, it may be that this South-to-South assistance will be as, if not more, effective than the Recipient's direct provision of assistance.

C. Dissemination Activities

There is little information on the effect of family planning programs and contraceptive use on women and on society. This lack of information contributes to a cycle in which research and programs to improve the status of women have consistently received low priority or have appeared as stand-alone women in development projects. Furthermore, such

projects are often based on intuition, rather than real scientific data. Policy-makers and other decision-makers are rarely presented with data that establish a clear link between use of family planning and broad improvements in women's health, education, well-being, and economic status.

It is important that the conceptual framework, and the research findings that validate it or modify it, intrinsically aim to contribute to the scientific understanding of the impact of family planning on women. Scientific models and research play an extremely important role in policy change because of their credibility. However, for the research results to have a major and sustained impact on women's lives and on society, they must ultimately be translated into messages understandable to not only national and community leaders, but the family planning provider, the client, and her partner. Effective dissemination requires a sound understanding of the different audiences that need to be addressed, their information needs, and a well-conceived strategy for satisfying these different audiences.

The Recipient will develop and carry out an overall dissemination plan for the project. The plan will ensure that the results of the project's various activities (research, assessments, technical assistance, liaison, etc.) are presented and generally made available to key groups in the emphasis countries and internationally, including women's advocacy groups, family planning organizations, relevant ministries, key private sector providers of health and family planning services, the research community, and donor organizations. The plan will involve identifying the specific audiences for the project's results and selecting appropriate means of communication (such as publications and meetings) for the various audiences.

It is expected that the Recipient will develop in-country research utilization skills through sponsoring of workshops at which researchers involved in different studies will share their experiences in study design, implementation, and analysis. Sharing of experiences will allow researchers to learn from the experiences of their peers. In addition, regional meetings will be scheduled to allow researchers to discuss their experience with their peers in other countries; this is particularly important in situations in which researchers are working on similar studies. Moreover, for those researchers working on multi-country studies, it is essential that meetings be held to ensure comparability of design and analysis. In addition, such meetings will be scheduled to present results and lessons learned.

The Recipient should be knowledgeable about important host-country, regional and international fora that might be appropriate avenues for presenting information about the project's objectives, activities and research results as they become available. Two examples of international fora are the 1994 UN Population and Development Conference and the 1995 UN Women's Conference. The Recipient should enlist its own staff to participate actively in such fora and/or support the participation of those individuals involved in project activities in the emphasis countries.

In addition to the general dissemination activities, the Recipient will ensure that each research program as well as the efforts to improve family planning service delivery programs in the project countries will incorporate appropriate dissemination activities. These must be built into the country plans from the beginning to increase the usefulness of research results. The Recipient will provide the necessary technical assistance and equipment, as appropriate, to enable the host-country team to produce its own summaries of findings and other important project documents.

D. Liaison with Women's Advocacy Groups

Liaison with women's advocacy groups will be an important element in the project. It is assumed that family planning programs must not only better meet women's needs but can do so only when these programs listen to women's views, involve them as valued equal partners to guide program efforts, and ensure that they assume rightful leadership roles. The involvement of women's advocacy groups will enhance both the selection of key research topics and improvements in the design (by A.I.D. and host-countries) and implementation of family planning service delivery programs.

Meaningful dialogue and successful collaboration with women's advocacy groups depends upon several important factors: 1) acknowledgment of the essential roles that women's advocacy groups can play; 2) recognition of different viewpoints and needs among women; 3) a willingness to address differences and controversies openly and honestly; and 4) early recognition of the mutual benefit of partnerships without exploitation.

The Recipient will establish links with women's advocacy groups -- both international groups and those in the emphasis countries. Other groups or individuals may also be deemed appropriate for involvement in the project's various activities. It is anticipated that women's groups represent diverse interests and constituents. Different groups will have different needs, opinions, and types of input into the project, but commonalities in approaches exist. These different groups may participate in the project in several ways through: 1) membership on a technical advisory committee (either to the overall project or in the emphasis countries); 2) consultancies that help to carry out specific project activities; 3) participation in meetings, seminars or workshops; and 4) receipt of project publications.

At the beginning of the project, the Recipient should draw upon a list of leading women's advocacy groups and individuals, representing international and regional interests. The final selection of these groups should be made, in consultation with TAC, based on a careful assessment of their potential contribution to the project based upon their strengths in advocacy, networking, and information generation and documentation.

In addition, the Recipient is expected to work with donor agencies, such as Ford, MacArthur, and Rockefeller that have taken the lead in supporting women's advocacy by defining this work as a priority in their programs. Such donors greatly influence progress in achieving women's rights through funding to projects and groups they choose to support. Finally, although not defined strictly as women's advocacy groups, the project will seek collaboration with key international service agencies, such as IPPF, because through their service they serve as advocates for women.

V. Implementation Plan

The project on the impact of family planning programs on women's lives will be implemented over a five-year period through a cooperative agreement. The agreement will require substantial involvement by staff of A.I.D.'s R&D Office of Population.

Standard procedures for reviewing annual work plans and assessing the program's progress will be followed by the CTO. Management reviews of the project will be conducted by the CTO on an annual basis. An external evaluation will be undertaken in year 4.

The four project elements will be undertaken in three phases over the five years.

A. Phase I. Planning

During the project's first year, the Recipient will carry out a number of key planning activities. These will include developing the first-year work plan, reviewing the preliminary conceptual framework, preparing a statement of research priorities, and reviewing various methodologies and approaches to be used in research studies aimed at operationalizing the conceptual framework. Within sixty (60) calendar days after the cooperative agreement is awarded, the Recipient will meet with the CTO in Washington, D.C. to review the first annual work plan.

The Recipient will appoint an overall technical advisory committee (TAC) to help guide the project. The first meeting of the TAC should occur within the first three to four months of the project. The Recipient will also identify and contact women's advocacy groups for involvement in the project. In all of the emphasis countries, the Recipient will establish contacts with the USAID mission, local women's advocacy groups, family planning organizations, and the researchers and initiate planning activities for the research program. Some initial research studies (such as focus groups and more descriptive, cross-country studies) may be started. In terms of dissemination activities, the Recipient will undertake some preparatory work for the project's involvement in the 1994 UN Population Conference and the 1995 UN Women's Conference.

B. Phase II. Implementation

During years 2-4 of the project, the research plans will be implemented in the emphasis countries. As the various research studies are completed, including the comparative or cross-country studies, appropriate dissemination activities will be conducted to ensure use of the results. These dissemination activities will occur at both country and international levels.

Also in the emphasis countries, the Recipient will provide advice and technical assistance to other population Cooperating Agencies and/or local agencies for their own research or program activities related to women's perspectives. Such assistance will be provided to a limited extent and as resources permit.

As appropriate, the Recipient will provide technical assistance to a number of non-emphasis countries in the review of existing projects, the design of new projects, the training of USAID missions and host-country institutions, and development of research studies. Also during this period, the Recipient will prepare preliminary guidelines for improving family planning service delivery from the perspective of women's interests and needs. Technical assistance and training will be carried out to help translate the guidelines into actual improvements in program or project design and implementation.

The technical assistance will, in part, be based on the guidelines for improved family planning service delivery programs and projects from the women's perspective, but may also involve the more general perspective of gender analysis. During this second phase, the Recipient will continue to involve women's advocacy groups at both international and country levels in the project's activities.

C. Phase III. Consolidation

During the project's final phase, all research studies will be completed. Dissemination of the research results through publications and meetings will be an important focus of the Recipient's effort. The Recipient will prepare a report summarizing and synthesizing the results of the research supported by the project as well as other relevant research, modifying the conceptual framework as needed, and recommending key issues for further research. The Recipient will revise the guidelines for improving family planning programs from the women's perspective.

Technical assistance and training will continue in the emphasis countries to ensure that the guidelines for improving family planning service delivery are utilized to the extent that time permits. Such assistance will be given to non-emphasis countries as time and resources permit. Finally, during this last phase, the Recipient will continue to work with key women's advocacy groups and will provide recommendations to A.I.D. about the need and ways to maintain links after the end of the project.

VI. Personnel

The project staff should be knowledgeable about research on women, family planning programs, and women's issues and perspectives vis-a-vis family planning in developing and developed countries. The project staff should have credibility among women's advocacy groups and experience in working with these groups both internationally and in host countries. The Recipient should assemble a staff with complementary skills in terms of subject area and geographic experience. The staff should also have experience in working with international, inter-governmental, and bilateral donor agencies in health and family planning.

A. Long-Term Personnel

The Recipient will be expected to assemble a core staff of 6 professionals and 2 support personnel that will be responsible for implementing the cooperative agreement. The members of the core professional staff should have good technical and managerial skills and be available for frequent travel to developing countries in support of the project's objectives. At least two members of the professional staff must possess foreign language proficiency at the FSI S-3/R-3 level, one in French and one in Spanish. Of the professional positions, the three positions are considered "key" personnel by A.I.D.: the project director, the deputy project director, and the project administrator. It is preferred that these three staff be available on a full-time basis.

The following skills, experience, and technical capabilities are called for in key personnel:

Project Director. The Project Director should be a senior manager with an advanced degree or equivalent in the social sciences and extensive experience in conducting research in the health and family planning fields in developing countries. The Project Director should have considerable experience in managing international research projects. S/he should also have experience in working with U.S. government or international agencies. S/he will travel about 30-40 percent of the time to assist in program implementation. In addition, s/he should have the ability to interact effectively and as a colleague with A.I.D./Washington staff.

Deputy Project Director/Senior Researcher. The Deputy Project Director should be a senior social scientist with an advanced degree in the social sciences, or equivalent, and extensive experience in applied research of social programs in developing countries. S/he should have experience in managing international research projects and will have worked with women's groups and/or health and family planning organizations in developing countries. S/he should be available to travel about 30-40 percent of the time to assist in the project's implementation. The Deputy Director for the cooperative agreement will assume the functions of the Director in his/her absence. In addition, s/he should have the ability to interact effectively and as a colleague with A.I.D./Washington staff.

Project Administrator. The Project Administrator should possess an advanced degree or the equivalent, preferably in social sciences, public administration or business administration, and experience administering an international program. S/he should be conversant with U.S. Government contracting procedures and, preferably, with A.I.D. procedures and regulations. In addition, s/he should have the ability to interact effectively and as a colleague with A.I.D./Washington staff.

Over the life of the cooperative agreement, additional staff may be needed to supplement the skill of the core technical and administrative staff. Any additional key staff or any changes in key staff must be reviewed and approved by the CTO.

B. Short-Term Personnel and Consultants

The Recipient will be responsible for recruiting and hiring specialists as needed during the course of the cooperative agreement. The specialists may be hired as consultants and can participate in any aspect of the cooperative agreement deemed appropriate by the Recipient. Consultants will serve to supplement the areas of expertise of the Recipient's long-term staff.

C. Technical Advisory Committee

The Recipient will receive general technical guidance from a Technical Advisory Committee (TAC) in order to reflect views of the research groups and those of women's groups. The role of the TAC will be to counsel the Recipient on the research agenda and the project's implementation. The TAC will provide a peer

review mechanism for research to be carried out under the agreement. The TAC will play an advisory role in reviewing the statement of research priorities and the proposed research studies. However final decisions on research activities will be made by the Recipient with the approval of the R&D/POP CTO.

The Recipient will assemble a five to 10 member committee that will include experts on women's advocacy issues in developing countries, family planning service delivery programs, research on women's issues, family planning program research, and donor assistance. It would also be useful to invite the EVALUATION Project director or a member of that project's Technical Advisory Group to participate in the meetings. A representative of R&D/POP and the A.I.D. Women in Development (WID) staff will serve as ex-officio members of the TAC. Members of the TAC may serve for a term that is shorter than the term of the cooperative agreement.

The relationships between the Recipient, the TAC described above, the in-country technical advisory committees, and the in-country researchers will need to be determined.

VII. Country Selection

Program activities will be available to all geographic regions. The project will work intensively in a limited number of diverse countries (in 7-8 "emphasis" countries) so that project resources will be concentrated and also to ensure diversity in socio-cultural settings. It is anticipated that the likelihood of getting concrete results and actually having an impact on program implementation will be increased if a more in-depth research effort is supported in fewer settings.

The selection of emphasis countries will be based on the following criteria: a) USAID mission interest in program activities; b) host-country willingness to participate in the project; c) presence of an active women's group with experience in advocating women's interests particularly in regard to family planning and women's reproductive rights; d) the existence of service delivery projects carried out as women-centered projects (i.e. by, of, and for women); and e) the presence of researchers, research units and/or institutions that could be local collaborators.

Actual country selection will occur following the award of the cooperative agreement. The CTO will prepare a cable to USAID missions announcing the initiation of the project. Based on the missions responses, the Recipient will prepare a list of the emphasis countries and will then contact and/or visit those selected to establish formal relationships with those chosen as emphasis countries and to develop the strategy for the project activities in each country. The proposed strategy will need to respond to issues and concerns identified by the local groups participating in the project as well as the USAID mission. The selection of countries for the project's involvement will be carried out by the Recipient with the concurrence of the CTO, the cognizant USAID missions, and A.I.D. Regional Bureau staff.

Additional countries may benefit in limited ways from the project's technical assistance and training. Such countries will be selected on the basis on the USAID missions' requests, some or all of the above cited criteria, and the project's staffing and financial resources. Additional funding in the form of "Add-ons" from USAID missions and OYB transfers from other offices and bureaus at A.I.D. may be provided to help extend the project's resources so that additional countries may receive more extensive project assistance.

VIII. Relationship to Other A.I.D. Projects

In carrying out this project, the Recipient will collaborate with a number of the R&D/POP projects whose activities complement those of the project. For example, data from the Demographic and Health Surveys (DHS) project may be helpful in planning the strategies in the emphasis countries or in preparing research reports on given countries. The project may support some further analysis of DHS data as might be relevant to the project. In turn, the Recipient's research results may be useful in revising the DHS questionnaires.

Other R&D/POP projects such the EVALUATION Project and Operations Research program conduct activities that may help to inform the project's overall research agenda and/or may be useful avenues for testing or applying the project's research findings. In particular, this project's conceptual framework complements the EVALUATION Project framework by helping to more clearly define and operationalize the "other health and social improvements" aspects. In turn, the work by the EVALUATION Project to more clearly define what has been called the "black box" of family planning can be used in the present project to

help determine what aspects of family planning programs have a beneficial impact on the lives of women. It is expected that the work on female employment in family planning programs undertaken in this project will inform the revision of the EVALUATION Project framework.

In addition, a number of projects in the Family Planning Services Delivery area are involved in training staff of family planning organizations. As the guidelines are prepared for this project, it would be useful to have staff of the service delivery projects review and perhaps use the guidelines.

Further, the Recipient should keep abreast of and exchange information with other A.I.D. projects in health, WID, or from CDIE. The CTO will serve as an initial liaison between the Recipient and other key population and A.I.D. projects.

Over the life of the cooperative agreement, the Recipient will work particularly with the other Cooperating Agencies (CAs) that are implementing population projects and ensure that there is a continuing exchange of information about the various projects' programs and outcomes.

IX. Evaluation

A. Internal Evaluation

The Recipient will be responsible for monitoring project activities on an ongoing basis. Routine monitoring procedures will include regular meetings between the CTO and the project staff and review of the project's statement of research priorities, country strategies and research plans, research proposals and reports. The CTO will conduct yearly management reviews of the project consistent with the Guidelines for Management Review. Audits will be conducted in accordance with standard A.I.D. regulations and operating procedures.

B. External Evaluation

An external evaluation will be conducted in year 4 according to the Office of Population's Guidelines for External Evaluation of Population Projects. The purpose of this evaluation will be to examine whether the Recipient has carried out the major project elements as outlined in the cooperative agreement and also whether the project as designed is meeting its intended objectives. The expected outcomes of the agreement and the suggested means of verification are indicated below.

1. The impact of family planning on women's lives is better understood. Means of verification: Research reports from the various studies, the state-of-the-art report, and contents of statements made by women's advocacy groups.
2. The conceptual framework is modified based on the results of the research studies. Means of verification: Revised conceptual framework.
3. Guidelines are available for improving the ways family planning programs affect women as clients, providers and decision-makers. Means of verification: A set of guidelines is published and project reports on technical assistance and training of host-country and A.I.D. staff.
4. Existing family planning projects are modified and new projects are developed incorporating the perspective of women's interests and needs. Means of verification: A.I.D. project papers, RFPs, contracts and cooperative agreements, project workplans, and evaluation reports.
5. USAID and host-country projects are assessed in terms of the impacts on women as clients, providers, and decision-makers. Means of verification: Case studies, cross-country comparisons, and evaluation guidelines for programs and projects.

1. Cooperating Country Worldwide	2. PIO/T No. 936-3060 3692216	3. PIO/T Amend No. Original
4. Project/Activity No. and Title 936-3060 (EFPP1) Evaluating Family Planning Program Impact Project - Impact of Family Planning Programs on Women's Lives	5. Appropriation Symbol(s) 72-1131021.4	6. Budget Plan Code(s) DDPA-93-16969-IG11/344-36-099-01-81-31
7. Pro Ag No. or Project Authorization Date PAF Signed by AA/R&D on February 4, 1993	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) 9/30/01	10. Authorized Agent FA/OP/COMS/P	
11. Type of Action and Governing A.I.D. Handbook [B] A. A.I.D. Contract (HB14) C. PASA/RSSA (HB 12) B. A.I.D. Grant or Cooperative Agreement (HB 13) D. Other	12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award)	

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.)

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars		750,000		750,000
B. U.S.-Owned Local Currency				0

14. Mission References

15. Instructions to Authorized Agent

Request that you issue a Request for Application (RFA) in order to negotiate a five-year cooperative agreement for the services contained in the attached scope of work. Evaluation criteria for procurement are listed in an attachment. Work should begin on/a July 31, 1993 and continue to July 30, 1998. The funds in this PIO/T cover the first year of the cooperative agreement. A detailed budget is attached.

16. Address of Voucher Paying Office A.I.D., FA/FM/CMP/DC
 Room 700, SA-2 Washington, DC 20523

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333).

R&D/POP/R, SHong	Date: 3/26/93	Phone No: 875-4778
B. The statement of work or program description lies within the purview of the initiating office and approved agency programs.	c. R&D/POP/R, JMSpieler JS Date: 3/31/93	
R&D/POP, JDShelton JDS Date: 3/31/93	R&D/POP/OCS, KKosar KE Date: 3/31/93	
D. Funds for the services requested are available	E. R&D/POP, EMaguire JEM Date: 3/31/93	
FA/FM/A/NPA&PA, RAnderson Date:	R&D/PO, FERN FINNEY Date: 4/1/93	

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: Elizabeth P. Roche Title: Chief, R&D/PO/PR Date: 4/1/93

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.

FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.

PROJECT IMPLEMENTATION ORDER/TECHNICAL REFERENCE ORDER

1. Cooperating Country Worldwide	2. PIO/T No. 936-2750- 3692463	3. PIO/T Amend No. Original
4. Project/Activity No. and Title 936-2750 Women Organization & Participation (IMPACT.3145 - POP Longitudinal Project) Doc 3145, CTR, (WN Earmark)	5. Appropriation Symbol(s) 72-1131021.1	
7. Pro Ag No. or Project Authorization Date PAF signed 5/19/92	6. Budget Plan Code(s) DDVA-93-16966-IG11 (341-36-099-01-20-31)	
9. Project Assistance Completion Date (Month, Day, Year) 9/30/96	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
	10. Authorized Agent FA/OP/CCP	

11. Type of Action and Governing A.I.D. Handbook [B]	12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award) TBD
A. A.I.D. Contract (HB14) B. A.I.D. Grant or Cooperative Agreement (HB 13)	C. PASA/RSSA (HB 12) D. Other

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment n.a.)				
	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars		150,000		150,000
B. U.S.-Owned Local Currency				

14. Mission References

15. Instructions to Authorized Agent FA/OP/CCP is requested to increase the FY93 obligated funding for the Cooperative Agreement entitled "The Impact of Family Planning on Women's Lives" to be competitively awarded under the Office of Population's Project No. 936-3060, "Evaluating Family Planning Program Impact." R&D/WID & R&D/POP have reached an understanding, as referenced in Attachment A, regarding the technical directions forthcoming from R&D/POP in consideration of this incremental funding. These funds are associated with R&D/POP's PIO/T No. 936-3060-3692216 and are to be included in the budget in that PIO/T. The remaining funds obligated by R&D/POP for this cooperative agreement are considered the match for WID's contribution since the whole activity relates to women and family planning.

Address of Voucher Paying Office Agency for International Development
FA/FM/CMP/DC, Rm 700, SA-2, Wash, D.C. 20523

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OP-333).

Signature Timothy Seims, R&D/WID <i>Timothy Seims</i>	Date: <u>29 Apr 93</u>	Phone No: 703 875-4540
B. The statement of work or program description lies within the purview of the initiating office and approved agency programs.	C. Patricia A. Allen, R&D/ID <i>Patricia Allen</i>	Date: <u>4/30/93</u>
Signature: R&D/WID, Sam Rea, (Acting) <i>Sam Rea</i>	Date: <u>7/4/93</u>	Signature: Sawon Hong, R&D/POP <i>Sawon Hong</i>
D. Funds for the services requested are available	E. <i>P. Finley</i>	Date: <u>5/3/93</u>

Signature: FA/FM/A/NPA, Rose Anderson Date: _____
Signature: Fern Finley, R&D/PO Date: 5/3/93

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: _____ Title: _____ Date: _____

19. For the Agency for International Development:

Signature: *Elizabeth P. Roche* Title: Chief, R&D/PO/PR Date: 5/5/93

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE PAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSURER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.

FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.

FA/FM/A/NPA & PA

AID 1350-1 (11/91) U:\RDWIDPUB\ACTS\HN\MOTHER.PIO

OFFICE OF FINANCIAL MANAGEMENT

Khan, RD/POP/OCS - 5/28/93

ACTION: Reserved

DATE: 5/4/93 INITIALED LR

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PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES (PIO/T)

1. Cooperating Country Worldwide	2. PIO/T No. 936-2750-3692463	3. PIO/T Amend No. 1
4. Project/Activity No. and Title 936-2750 Women Organization & Participation (IMPACT.3145 - POP Longitudinal Project) Doc 3145A CTR, (WN Earmark)	5. Appropriation Symbol(s) 72-1131021.1	6. Budget Plan Code(s) DDVA-93-16966-IG11 (341-36-099-01-20-31)
7. Pro Ag No. or Project Authorization Date PAF signed 5/19/92	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
Project Assistance Completion Date (Month, Day, Year) 9/30/96	10. Authorized Agent FA/OP/CCP	

11. Type of Action and Governing A.I.D. Handbook (A) A. A.I.D. Contract (HB14) B. A.I.D. Grant or Cooperative Agreement (HB 13) C. PASA/RSSA (HB 12) D. Other	12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award) TBD
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13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment n.a.)

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	150,000	91,700		241,700
B. U.S.-Owned Local Currency				

14. Mission References

15. Instructions to Authorized Agent FA/OP/CCP is requested to increase the incremental funding contributed by R&D/WID by \$91,700 for the Cooperative Agreement entitled "The Impact of Family Planning on Women's Lives" to be competitively awarded under the Office of Population's Project No. 936-3060, "Evaluating Family Planning Program Impact." This will provide a total of \$241,700 for this year's funding. The total three year contribution is expected to remain unchanged at \$465,000. All other conditions and terms remain the same.

Address of Voucher Paying Office Agency for International Development
FA/FM/CMP/DC, Rm 700, SA-2, Wash, DC 20523 *Reserve*

17. Clearances - Include typed name, office symbol, and date for all clearances
DATE: 9/20/93 *RA*

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333). *Am*

Signature Timothy Seims, R&D/WID *T Seims* Date: 17 Sep 93 Phone No: 703 875-4540

B. The statement of work or program description lies within the purview of the initiating office and approved agency programs. *Patricia A. Allen* C. Patricia A. Allen, R&D/WID Date: 9/17/93

Signature: Kathy Blakeslee, RD/WID (Actg) Date: 17 Sep 93 Signature: Swan Hong, R&D/POP Date: 9/17/93

D. Funds for the services requested are available
Signature: FA/FM/A/NPA, Rose Anderson Date: Signature: Fern Finley, R&D/PO Date: 9/10/93

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: Title: Date:

19. For the Agency for International Development:

Signature: Elizabeth P. Roche Title: Chief, R&D/PO/PR Date: 9/20/93

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