



CAIRO, EGYPT

UNITED STATES AGENCY for INTERNATIONAL DEVELOPMENT

PD-ABL-177
94850

August 6, 1992

National Association for Equal
Opportunity in Higher Education
NAFEO
Black Higher Education Center
Lovejoy Building
400 12th Street, N.E.
Washington D.C. 20002

DUPLICATE
ORIGINAL

NOV 5 0 1993

Section

Subject: Grant No. 263-0211-G-00-2210-00

Dear Sir:

This document is the second edition of the subject grant. The initial signed grant was not received by USAID/Cairo. Therefore, we are canceling in whole the original grant document sent to you. Any signed or unsigned grant documents, as well as photocopies shall be returned to USAID/Cairo Grants Officer immediately. Please return via international courier to the following address:
Office of Contract Services
USAID/Cairo
106 Kasr El Aini Street
Cairo Center Building, 10th floor
Cairo, Egypt

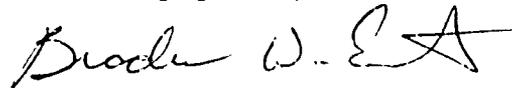
Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1977, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to the National Association For Equal Opportunity in Higher Education (hereinafter referred to as "NAFEO" or "Grantee") the sum set forth in Section C.2. of Attachment 1 of this Grant to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

This Grant is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Grantee for allowable program expenditures for the period set forth in Section B. of Attachment 1 of this Grant.

This Grant is made to the Grantee on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Grant document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Grant by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned. Please be sure to return all copies marked "Funds Available."

Sincerely yours,



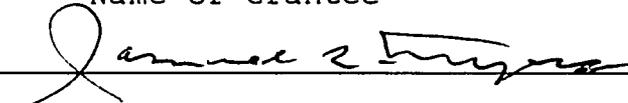
Braden W. Enroth
Grant Officer
Office Of Contract Services
USAID/Egypt

Attachments:

1. Schedule
2. Program Description
- ~~3. Standard Provisions~~

ACKNOWLEDGED:

NAFED
Name of Grantee

BY: 

TYPED NAME: _____

TITLE: _____

DATE: _____

FISCAL DATA

A. GENERAL

1. Total Estimated A.I.D. Amount: \$277,534
2. Total Obligated A.I.D. Amount: \$277,534
3. Project No.: 236-0211
4. A.I.D. Project Office: HRDC/ET
5. Funding Source: USAID/Egypt
6. Tax I.D. No.: 23-7439804
7. DUNS No.: 03-775-9057

B. SPECIFIC

- (a) PIO/T No.: 263-0211-3-91110
- (b) Appropriation: 72-111/21037
- (c) BPC: QES1-91-23263-KG13
- (d) Amount: \$277,534

SCHEDULE

A. PURPOSE OF GRANT

The purpose of this Grant is to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

B. PERIOD OF GRANT

The effective date of this Grant is the date of the Cover Letter and the estimated completion date is October 31, 1993. Funds obligated hereunder (see Section C.2. below) shall be used to reimburse the Grantee for allowable program expenditures incurred by the Grantee in pursuit of program objectives during the period starting August 6, 1992 through the October 31, 1993. Funds obligated hereunder are anticipated to be sufficient for completion by the Grantee of the program described in Attachment 2 of this Grant by the estimated completion date.

C. AMOUNT OF GRANT AND PAYMENT

C.1. The total estimated amount of this Grant for its full period, as set forth in Section B. above, is \$277,534.

C.2. A.I.D. hereby obligates the amount of \$277,534 for the purposes of this Grant during the indicated period set forth in Section B. above, thereby fulfilling A.I.D.'s funding requirements. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Grant entitled "Revision of Grant Budget."

C.3. Payment shall be made to the Grantee in accordance with procedures set forth in the Standard Provision of this Grant entitled "Payment - Letter of Credit," as shown in Attachment 3.

D. GRANT BUDGET

D.1. The following is the Budget for the total estimated amount of this Grant (see Section C.1. above) for its full period (see Section B. above). The Grantee may not exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Sections C.1. and C.2., respectively, above), except as specified in the Standard Provision of this Grant entitled "Revision of Grant Budget," as shown in Attachment 3.

D.2. Budget

<u>Cost Element</u>	<u>Total</u>
Salaries	\$108,625
Fringe Benefits (39.57%)	42,983
International Travel	18,000
Per Diem	9,300
Local travel	1,000
Stipend for consulting Professors	15,000
Miscellaneous Expenses	7,000
Subcontract with the NCNW	15,000
Overhead (27.95%)	<u>60,626</u>
Total	\$277,534

D.3. Inclusion of any cost in the budget of this Grant does not obviate the requirement for prior approval by the Grant Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Grant set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Grant, unless specifically stated in Section H. below.

D.4. Notwithstanding the effective date of this Grant as shown in Section B. above, and subject to the Standard Provision of this Grant entitled "Allowable Costs," costs incurred by the Grantee in pursuit of program objectives beginning January 1, 1992 above shall be eligible for reimbursement hereunder up to a maximum amount of \$10,000 for travel and per diem. Such costs are included in the Grant Budget shown above.

E. REPORTING

E.1. Financial Reporting

E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Grant entitled "Payment - Letter of Credit," as shown in Attachment 3.

E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, PFM/FM/CMPD/DCB, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Grant, concurrently with submission of the Quarterly Technical Reports (See Section E.2. below).

E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Grant referred to in Section E.1.(a) above.

E.2. Program Performance Planning and Reporting

The grantee shall agree to furnish AID with any written reports that are requested by the HRDC/ET Office Director and the AID Project Officer. In addition, the grantee shall submit the following reports, three copies each, to USAID/Cairo/HRDC/ET:

E.2.(a) Quarterly Reports

Quarterly reports listing specific activities of the contractor in the previous Month in each individual task area, as outlined in Attachment 2, Program Description, shall be due within 15 days of the end of the previous quarter. Due with the quarterly grantee vouchers, these reports shall include what was accomplished on each subtask or activity and compare the scheduled and actual start and completion dates for each task and subtask thus far initiated or which should have been started by that point in the life of the grant.

E.2.(b) Special Reports

Between the required program performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Grantee shall inform the A.I.D. Project Officer as soon as the following types of conditions become known:

E.2.(b)(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any A.I.D. assistance needed to resolve the situation.

E.2.(b)(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

E.2.(b)(3) If any performance review conducted by the Grantee discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Grant entitled "Revision of Grant Budget," the Grantee shall submit a request for budget revision to the Grant Officer and the A.I.D. Project Officer specified in the Cover Letter of this Grant.

E.2.(c) Trip Reports

Within 30 days following the completion of each trip to Egypt or HBCU, the Grantee shall submit 3 copies of a trip report summarizing the accomplishments of the trip to the A.I.D. Project Officer specified in the cover letter of this Grant. If several individuals are travelling together to one site, a single report representing the group will suffice. The report shall include the purpose of the trip, technical observations, suggestions and recommendations, overall impressions of the site situation (if appropriate), and a list of persons visited with their title and organization affiliation.

E.2.(d) Final Report

Within 90 days following the estimated completion date of this Grant (see Section B. above), the Grantee shall submit three (3) copies of a final report to the A.I.D. Project Office specified in the cover letter of this Grant. In addition, two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802. It will cover the entire period of the Grant and include all information shown in Sections E.2.(b) through E.2.(f) above.

F. INDIRECT COST RATES

F.1. Pursuant to the Standard Provision of this Grant entitled "Negotiated Indirect Cost Rates - Provisional," an indirect cost rate or rates shall be established for each of the Grantee's accounting periods which apply to this Grant. Pending establishment of final or revised provisional indirect cost rates, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate(s) applied to the base(s) which is (are) set forth below:

<u>Type</u>	<u>Overhead Rate (a)</u>	<u>Fringe Benefits (b)</u>	<u>Period</u>
Provisional	27.95%	39.57%	7/1/89 - until amended

Base of Application:

- (a) Total Direct Costs
- (b) Salaries

G. SPECIAL PROVISIONS

G.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs

G.1.(a) Employee Salaries

Except as the Grant Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Grantee for any costs allocable to the salary portion of direct compensation paid by the Grantee to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

G.1.(b) Consultant Fees

Compensation for consultants retained by the Grantee hereunder shall not exceed, without specific approval of the rate by the Grant Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

G.2. Compliance With Federal Guidelines and Regulatory Procedures Pertaining to Recombinant DNA

G.2.(a) The Grantee shall implement any research activities under this Grant which involve recombinant DNA in accordance with:

G.2.(a)(1) The National Institutes of Health Guidelines for Research Involving Recombinant DNA Molecules;

G.2.(a)(2) Procedures issued by the U.S. Department of Agriculture (USDA), the Environmental Protection Agency (EPA), or other appropriate Federal agency;

G.2.(a)(3) A.I.D.'s environmental procedures; and

G.2.(a)(4) Such other Federal guidelines and procedures as may apply during the course of research.

G.2.(b) The Grantee cannot commence testing in any foreign location until written approval for such testing is obtained from the A.I.D. Project Officer and the government of the country where testing is planned. Testing shall be conducted in accordance with all applicable regulations of that country.

G.2.(c) In addition, and prior to commencement of any such testing, the Grantee shall make a judgement and communicate same to the A.I.D. Project Officer as to whether the regulations, procedures, or facilities of the

country in question are adequate to ensure testing in an environmentally sound manner. In the event such judgement is that they are not, the Grantee and the A.I.D. Project Officer will consult and agree on the conditions to be applied to the testing which will have such environmental effect.

G.2.(d) Reports submitted to A.I.D. under this Grant will address regulatory issues as noted above related to the activity.

G.3. Publications

G.3.(a) The Grantee agrees to provide one copy of the manuscript of any proposed publication to the A.I.D. Project Officer not later than submission to the publisher, and to give serious consideration to any comments received from the A.I.D. Project Officer.

G.3.(b) In the case of publication of any of the reports described in Section E.2. of this Grant, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves the right to dissociate itself from sponsorship or publication. In both cases, the Grantee will consult with the A.I.D. Project Officer as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

G.3.(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Grantee shall, in accordance with the Standard Provision of this Grant entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of Education And Training, U.S. Agency for International Development, under Grant No. 263-0211-G-00-2210-00."

G.3.(d) In addition to providing one copy of all published works and lists of other written work produced under this Grant to the A.I.D. Project Officer, as required by paragraph (b) of the Standard Provision of this Grant entitled "Publications," the Grantee shall also provide two copies of such publications and lists to A.I.D., PPC/CDIE/DI, Washington, D.C. 20523-1802.

H. DEFENSE BASE ACT (DBA) AND/OR MEDICAL EVACUATION INSURANCE

Pursuant to Section J.16. of OMB Circular A-21 (for educational institutions) or Section 18 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions), the Grantee is authorized to purchase DBA and/or medical evacuation insurance under this Grant.

I. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Grant shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 2 - Program Description
- Attachment 3 - Standard Provisions

J. COST SHARING

The recipient is exempt from any cost sharing under this grant.

K. CLOSEOUT PROCEDURES

- a. Upon request, AID shall make prompt payments to a recipient for allowable reimbursable costs under the grant being closed out.
- b. The Grantee shall immediately refund any balance of unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the grantee for use in other grants or cooperative agreements.
- c. AID shall obtain from the recipient within 90 calendar days after the date of completion of the grant or cooperative agreement all financial, performance, and other reports required as the condition of the grant. AID may grant extensions when requested by the grantee.
- d. When authorized by the grant, AID shall make a settlement for any upward or downward adjustments to AID's share of costs after these reports are received.
- e. The grantee shall account for any property acquired with AID funds, or received from the Government.
- f. In the event of a final audit has not been performed prior to the closeout of the grant, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

PROGRAM DESCRIPTION

The National Association for Equal Opportunity in Higher Education (NAFEO) shall play the coordinator role and perform the Locator/Facilitator function for linkage grants under the Historically Black Colleges and Universities (HBCUs) of the Linkages II Project (ULP/II).

Work Statement

A. The Role of NAFEO and NCNW in Coordination with The Set-Aside

During the first phase of the University Linkages Project (ULP/I) the selection of the U.S. university counterparts was often achieved through personal contacts, and on occasion the lack of counterparts was due to the lack of being able to identify a suitable U.S. research team or individual. This appeared to contribute to an uneven participation by the U.S. counterparts once linkages were formed. This second phase of the project attempts to overcome this limitation by developing linkages between Egyptian and U.S. universities in a more systematic fashion. This will be done by establishing a Locator/Facilitator service to facilitate making contacts for linkages between U.S. and Egyptian researchers who have approved concept papers.

In view of NAFEO's and NCNW's familiarity with HBCUs and the experience that they have gained with the Egyptian higher education system under phase I, they will carry-out the Locator/Facilitator role for proposals under the set-aside and coordinate the submission of these proposals. This will be done under a grant to NAFEO with a subgrant to the NCNW. This role for both NAFEO and the NCNW is clearly set forth in the Project Paper.

In addition to the general announcements about the project to be published during the Solicitation procedures, additional announcements and materials will be directed to NAFEO and to NCNW for dissemination among the HBCUs. In some situations an Egyptian university and an HBCU would have developed a relationship under phase I and may present a jointly prepared concept paper. If the concept paper is acceptable, the proposers will complete the full proposal development under a seed grant. Even in such cases in which NAFEO did not assist with the selection of HBCU counterpart, it will be responsible for the coordination of proposal finalization efforts and for facilitating the communication between the two universities during the period of proposal preparation, review, revision and start of implementation.

If such cases are not enough to utilize the entire HBCU set-aside, the Foreign Relations Coordination Unit of the Supreme Council of Universities "FRCU" will select the most suitable from among the approved concept papers, which do not have a U.S. counterpart, and assign them to the HBCU set-aside. Tentatively, 30 concept papers are expected to be assigned to HBCUs the first funding year, and 40 the second year. For each concept paper selected, the

FRCU will send the initial research plan, funding criteria and proposal guidelines to NAFEO and the NCNW. NAFEO/NCNW will identify one or more researchers and HBCU institutions which have the appropriate research experience, skills and professional interests as possible U.S. counterparts for participation in developing the selected concept papers into proposals. Their professional background (affiliation, education, research experience, published papers, etc.) together with an expression of interest on the part of the HBCU principal investigator and his university department will be sent back to the FRCU and the Egyptian principal investigator for review. Once the FRCU and Egyptian investigators have agreed on the appropriate U.S. counterparts from the HBCUs, they will seek from them a commitment to participate. Seed grants will be used for proposal development.

NAFEO will employ experts, possibly emeritus professors, in those research areas receiving the most attention. For acceptable concept papers the Locators/Facilitator will search for, contact and recommend several institutions where likely HBCU counterpart researchers operate. They will use existing computerized and other data bases to assist in identifying appropriate U.S. institutions for the Egyptian investigator as well as establish their own inventory of research interests and capabilities of HBCU faculty members. NAFEO will provide HBCU researchers appropriate documents such as the ULP project announcements, proposal guidelines, funding criteria, etc. The Egyptian investigator will follow up this initial contact by providing them with capability and research information.

The proposals resulting from HBCU collaboration will be reviewed according to the same proposal guidelines and funding criteria as all other proposals. It is therefore one of the major tasks for NAFEO/NCNW under the Grant to familiarize the participating HBCUs with these criteria and guidelines and ensure that any misunderstandings are clarified early on in the proposal preparation process. Ten grants (5 micro, 5 mini) will be reserved for award to HBCUs in the first funding year. Unless the solicitation, review and award procedures are changed, the process will be repeated for the second funding year with 12 grants (6 micro, 6 mini) reserved for HBCUs. The award of a maxi-grant under the set-aside will reduce the number of micro- and mini-awards in the second year to 2 micro-grants and 2 min-grants.

B. Description of Some of the Main Tasks To Be Performed By NAFEO

1. NAFEO will coordinate all activities related to the matching of Egyptian and HBCU counterparts for acceptable concept papers to be funded under the HBCU set aside; and will also facilitate the contacts between researchers preparing proposals from Egyptian universities and HBCUs. This coordination function will include:

- suggestion of suitable HBCU counterparts for acceptable concept papers;

- checking the availability and interest in participation of the suggested HBCU counterparts;
 - relaying those suggestions to the FRCU and to the Egyptian Principal Investigators together with sufficient justification for the choice of HBCU counterparts in terms of the experience and previous involvement of those counterparts in similar development projects;
 - orienting suggested HBCU counterparts with the ULP/II project: its terms and conditions; and its policies, procedures and guidelines in order to ensure that suggested counterparts have a serious interest in participation under the existing parameters of the project. This orientation for HBCU counterparts should take place before the suggestions are relayed to the Egyptian side in order to avoid later misunderstandings about the project and the allowable costs and activities under it.
2. To enable the location of suitable counterparts, NAFEO will retain a number of senior professors emeritus in the major areas in which the project will be making grants. Primarily these will be in Industry, Energy, Infrastructure, Agriculture and Food Production, Land Development and Education. NAFEO will retain these locators on contracts and pay them based on the number of counterpart location services they participate in and advise with.
 3. Once matches between HBCUs and Egyptian Universities are made and the full-proposal preparation process starts, NAFEO will act as clearinghouse for HBCU questions about the Egyptian University system, the project, the end-users involved, and other relevant details related to the future success of the research activity. To be able to perform this task, NAFEO will rely on the services of NCNW which will act as a subcontractor. Through its office in Cairo, NCNW will assist NAFEO in relaying these information requests to the FRCU or directly to other concerned parties. NCNW will relay responses back to NAFEO and act through NAFEO as the communication link between the HBCU community in the U.S. and the Egyptian university research community. Results of typical and repeated questions about the project and Egypt, which have general project-wide significance will be accumulated and maintained by NAFEO (in easily-usable but not necessarily automated form) and help it to build a sustained capability to play this coordination role between Egyptian universities and HBCUs in future years and even after the completion of the project.
 4. Using the same type of assistance from the Cairo office of the NCNW, NAFEO will perform the reciprocal function of acting as the clearinghouse for questions from the Egyptian university community about HBCUs and their capabilities. This would help both the FRCU and the Egyptian principal investigators in choosing the most adequate counterparts and utilizing the input of these counterparts efficiently in the different aspects of the proposed research grants e.g., research, training, etc.

5. During the preparation of full proposals under seed grants, NAFEO will continue to act as the main communication link between Egyptian Universities and HBCUs to facilitate and expedite the transfer of information needed for the preparation of the proposal and for reaching an agreement between the two universities to be linked under the specific linkage-grant. This is expected to be performed by NAFEO on the U.S. side and by NCNW (through its Cairo office) on the Egyptian side.

AID 1350-1 (3 87) *PIO/T	AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	1. Cooperating Country	Page 1 of 3 Pages
		2. PID/T No. 263-0211-3-91110	<input checked="" type="checkbox"/> Original or Amendment No. _____
		4. Project/Activity No. and Title University Linkage Project II ELEMENT # 7	

DISTRIBUTION	5. Appropriation Symbol 72-111/21037		6. Budget Plan Code QES1-91-23263-KG13		
	7. Obligation Status <input type="checkbox"/> Administrative Reservation <input checked="" type="checkbox"/> Implementing Document		8. Project Assistance Completion Date (Mo., Day, Yr.) 09-30-97		
	9. Authorized Agent		10. This PIO/T is in full conformance with PRO AG No 263-0211 Date 09-31-91		
	11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 13) <input type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> Other			11b. Contract/Grant/Cooperative Agreement PASA/RSSA Reference Number (if this is an Amendment)	

12. Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. _____)					
Maximum AID Financing Available	A Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
		-0-	308,523	-0-	308,523
B U.S.-Owned Local Currency					

13. Mission References	14A. Instructions to Authorized Agent CS is requested to execute a grant to the National Association for Equal Opportunity in Higher Education (NAFEO) to play the coordination role and perform the Locator/Facilitator function for linkage grants under the Historically Black Colleges and Universities (HBCUs) set-aside of the University Linkages Project as described in detail in Attachment # 1.
	14B. Address of Voucher Paying Office USAID, Cairo

15. Clearances - Include typed name, office symbol, telephone number and date for all clearances			
A. The Project Officer certifies that the specifications in the statement of work or program description are technically adequate.	Phone No	B. The statement of work or program description lies within the purview of the initiating office and approved agency programs	Date
	Date		6/4/92
	HRDC/ET: AGohar Adely Johar		5/31/92
C. OD/HRDC/ET: PKresge	Date	D. Funds for the services required	<div style="border: 2px solid black; padding: 5px; text-align: center;"> CLEARED FM/FO/PA Hoda 6/6/92 </div>
	Date		
E. AD/MGT: HDaveler	Date	FM/FO: HABoud	
	6/4/92		
16. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to		17. For the Agency for International Development	
Signature See Attachment # 5 Date _____		Signature AD/HEDC: DMiller Date 6/7/92	
Title _____		Title _____	

REC'D CS
8 JUN 1992

*See HB 3, Sup. A, App. C, Att. B, for preparation instructions. Note: The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action.