

PD-ARK-135
ISN 92375

SEMI-ANNUAL REPORT (SAR)

April 1 - September 30, 1994

USAID/COSTA RICA

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(A) MISSION DIRECTOR'S NARRATIVE SUMMARY

1.1 DA Close-out Portfolio Overview

The Mission is reporting on 16 active dollar projects, with a total authorization of \$121.6 million. The total authorized Mission portfolio managed over the six-month period includes two large regional projects for which this Mission has implementation responsibility but which are not included in our OYB; the EARTH (596-0129) and Regional Administration of Justice (597-0002) Projects.

Consistent with the latest approved Mission Action Plan, USAID/CR is actively implementing the orderly completion of the bilateral development assistance program. With the exception of two projects, the PATS Project (515-0241) and CAPS II (515-0254), the Mission reviewed and amended PACDs to assure project termination by FY 96. The REFORMA Project (515-0263) will end no later than June 30, 1996 with the rest of the portfolio ending before March 31, 1996.

During this reporting period, the Mission reduced its project management units by 25% as it successfully ended the Tortuguero Project (515-0249), Support to PVOs (515-0252), Training for Private Sector Development (515-0212), and Non-traditional Agricultural Exports (515-0237) Projects. Close-out actions, including final audits and in some cases final evaluation, close-out reports, and processing of final vouchers, are well underway. The Mission has established a system to track and coordinate all project close-out actions as well as identify bottlenecks. One of the issues already identified is the large delay getting project AOCs from USAID/W. The Mission considers that support from USAID/W geared toward speeding-up the processing and submission of project AOCs is needed to fully close out project accounts as planned in our FY95-96 Action Plan.

Focusing on the Mission's overall portfolio and considering the financial summary and the pipeline tables, several points stand out:

- a. The pipeline decreased roughly a quarter in the last semester and current and planned expenditure rates demonstrate a consistent and rapid decline in the pipeline toward FY 96;
- b. Expenditure performance (83% of planned) reflects the Mission's strong emphasis on implementation, including the timely identification and resolution of constraints. Substantively, the Mission's portfolio is making good progress in achieving intended outputs.

c. Four projects account for 70% of the portfolio pipeline. They are as follows:

| | | |
|------|-------------------------------|-----|
| i. | PATS (515-0241) | 28% |
| ii. | FINANCIAL SERVICES (515-0247) | 17% |
| iii. | FORESTA (515-0243) | 15% |
| iv. | CAPS II (515-0254) | 10% |

A fifth project, REFORMA (515-0263), in its initial stages, also contributes to the pipeline primarily because of delays in negotiating a Cooperative Agreement and a complex and cumbersome host country budget process which has delayed GOOCR counterpart contribution. As of September 30, 1994, major hurdles have been overcome and recent experience indicates that the project is now underway with a strong performance. The Mission Director has scheduled monthly meetings to monitor REFORMA progress.

The PATS project has a \$4.2 million pipeline, a large part of which is committed to long term training. Only \$900 thousand dollars remain unearmarked. The remaining \$3.3 million is fully committed to: long-term academic training of economists who will return through September 1998 (\$1.5 million); Legislative Assembly (\$0.7 million); a GOOCR Reverting Government/Reform of State initiative (\$0.7 million); and short term technical assistance (\$0.4 million).

The Financial Services Project pipeline includes funds committed through a buy-in to a centrally funded project and \$1 million that are being obligated for a grant to Academia de Centroamérica (\$600k) and the Cooperative Agreement with FEDECREDITO (\$400k). The buy-in mechanism, while providing needed technical input and research into policy reform, has proven inadequate and unresponsive to Mission needs for timely financial reporting. Given the positive recommendations from the recent independent project evaluation and current OYB realities, the Mission is considering a six month extension needed to complete key activities while cutting the LOP by at least \$1.5 million.

The FORESTA project is a good example of the mission's emphasis on implementation. The project's currently approved work plans and FY 95 operational budget demonstrate that implementation is in high gear and the project is making substantial contribution to conservation of the Central Volcanic Area, improving park management and supporting innovations such as carbon sequestration. The project expended more than \$1.2 million in the last six months.

An important portion of CAPS II pipeline funds is fully committed with long-term training which will end in FY95. The Mission is analyzing the potential balance and will define the destination of these funds by the March 31 SAR.

1.2 Highlights, Problems and Delays of Program Results

The Financial Services Project has been the flag-ship of the Mission's policy reform efforts and the recent mid-term evaluation of the Financial Services Project indicated there has been substantial progress in the achievement of project objectives. Under the policy analysis and reform components the project has (1) supported the GOCR efforts to reform legislation and policies needed to modernize the financial sector, to eliminate discrimination between the state owned banks and the private banks and improve competition, and (2) improve GOCR oversight and management of the sector. Improvement in policy and regulatory environment for the financial sector has been accomplished primarily through Central Bank administrative actions resulting from project assistance. One concrete success is the Central Bank's decision to implement a clearinghouse for management of commercial bank checks. This system will facilitate the cashing of checks throughout the country. Progress in legislative reform, has been primarily due to the high degree of consultation and participation between the FSP Coordinator, the Central Bank key legislators and a team of local consultants who drafted a new Central Bank law, a law on Private Financial Institutions, and a law for Regulation and Supervision of all Financial Institutions. These drafts were approved in a special Commission of the previous Congress. The new Congress re-established this Commission and has asked to strengthen and expand the original bills, before formally submitting them to the Congress. As mentioned earlier, a six month extension is currently being considered by the Mission.

The Training for Private Sector Development Project successfully ended on September 30. The results of the mid-term (1990) and impact evaluation (1992), indicated the success of the project in meeting training needs of the managers, and the positive relationship between training and increase in exports. During this semi-annual period, approximately 70% of the participants were owners or managers of small and/or micro-businesses and the project's increased participation of small/micro-enterprises increased the participation of women administrators from 15% to 20%. In addition, a specific management course was implemented for female micro entrepreneurs. After applying cost analysis and marketing techniques learned in this training program, one of the producers of specialized cosmetic bags increased the business sales by 150%, and grew from six employees to ten within two months after the course. While investigating export markets, this manager was able to obtain large contracts with the most prestigious boutiques of the capital city.

In the environmental field, the Mission has helped environmental NGOs find new ways to increase revenues, cut deforestation, develop better forest policies and increase acceptance of improved forest management practices. Mission is currently paying careful attention to the Regulation for Forest Management Project in order to recover the delays resulting from GOCR's difficulties in complying with the project's substantive CPs. Since all the agreements with the implementation unit are already in

place, the environmental office will monitor closely the implementation of all project activities to minimize the impact of these delays. The BOSCOA project which reported significant implementation problems in the last SAR review, shows good progress in most of its components. However, the Mission continues giving careful attention to this project. The Northern Zone Consolidation Project was extended for an additional six months to allow the project to fully meet its purpose.

The Mission has committed itself to a phase down and the approved Action Plan for FY 95-96 contained a detailed plan for termination of all Mission presence and activities by September 30, 1996. For that reason, projects with significant pipeline amounts are being monitored closely to accelerate the project's expenditure rate.

1.3 Pipeline Analysis Table

The Pipeline Analysis Table shows a normal ageing pipeline pattern. Only 24% of the Mission's pipeline is older than three years (34% in last report). Significant progress has been made in terms of reducing the Mission's DA portfolio pipeline. The projects with the largest pipelines are PATS, FORESTA, Financial Services, and CAPS II. Explanations for their pipeline were given before.

2. Local Currency Portfolio Project Close-out Overview

Considerable progress has been made in closing the large legacy of local currency programs managed by USAID/CR. Of the 36 ESF and Section 108 local currency programs managed by the Mission 12 months ago, 21 have now been totally closed, with objectives met, funds fully disbursed, and/or program responsibility transferred to another entity. Of the 15 remaining activities, actions are now well advanced which will lead to closure of USAID/CR involvement with nine activities in the near future. One of the remaining six activities is USAID oversight of the EARTH Trust, where the Mission has no active responsibility or authority, and our involvement will automatically cease in September 1995. The remaining five ESF local currency programs require USAID/CR and GOOCR agreement on resource allocation and/or transfer of USAID/CR responsibilities. A Local Currency Commission, comprised of representatives from the Ministry of Planning, Office of the Second Vice-President and USAID/CR, is being formed to resolve issues related to remaining ESF local currency programs.

Progress has also been made in coming to closure on outstanding balances in the Mission managed PL-480 Title I Program which has unexpended balances equivalent to \$9.5 million in programmed principal and interest and \$1.5 million in

unprogrammed interest. The programmed portion of these resources are locked up in 69 interinstitutional agreements between the GOOCR Ministry of Planning and various community organizations and GOOCR implementing entities. At USAID/CR's request, the GOOCR is preparing a proposal to reprogram these resources into quick disbursing, sectoral rather than project activities, such as GOOCR counterpart to an ongoing IDB program, or for elements of the Ministry of Agriculture or Ministry of Rural Development budgets.

USAID/CR must also reach agreement with the GOOCR on final disposal of USAID/CR assets, including the office building, our three houses, the motor pool fleet, and any balances, at time of closure, of the operating expense trust fund. The Mission has initiated conversations with the GOOCR concerning these resources.

3. USAID Operations/Close-out Review

3.1 Controller's Overview

The Controller's Office has prepared a detailed schedule of their actions necessary for a smooth Mission closeout. During this period, they have resolved with RAMC Mexico 90% of the outstanding disbursement items. The analysis of outstanding AOC items has been completed, but will require close liaison with AID/W to effect the corrections. Planning for the transfer of accounting responsibility to Panama is underway, and the transfer will be completed by March 31. In addition, emphasis is being put on obtaining Recipient Contracted Audits on a more current basis and resolving any findings.

3.2 EXO's Overview

Management close-out plans are being executed basically as anticipated in Mission's FY 95-96 close-out/Action Plan. The Mission has initiated negotiations about final disposition of USAID facilities with the GOOCR. Outplacement contract with a private firm for FSNs leaving the Mission has been working well. Staff departures as of the end of FY 94 are ahead of schedule as follows: USDHs (3), USPCs (2), and FSNs (10). The Mission management is monitoring staffing closely to assure that we have adequate human resources to ensure prudential implementation and close-out of the program. In this regard, the Mission strenuously believes that staffing levels approved in the FY 95-96 Plan are what are required to carry-out our program and must be maintained.

4. Reporting System

In this reporting period the Mission abandoned its WANG VS based system for project reporting because of its incompatibility with the Mission's newly installed UNIX based accounting system and our LAN based system. In order to have confidence and accuracy in project financial information, the Mission developed a spreadsheet which incorporates all project financial information required by SARs directly from MACS. The information contained in this spreadsheet was then linked to each individual report. The result was a good quality report issued through Word Perfect and containing reliable and accurate financial information linked from our Mission Accounting System (MACS/UNIX). Time spent was minimal in developing and implementing the system and complexity is not an issue. We will provide LAC with a description and encourage AID/W to review this system as an option to help standardize and automate Semi-annual reports.

File: JGomez

PIPELINE ANALYSIS
USAID/COSTA RICA
(\$000)

As of September 30, 1994

| PROJECT NO. | PROGRAM/ PROJECT TITLE | CUMUL. AMOUNT OBLIG. | FY OBLIGATIONS | | | | | | | PIPELINE | PIPELINE ANALYSIS | | | | | | | | |
|-----------------|--------------------------------|----------------------------|----------------|---------------|---------------|---------------|--------------|---------------|--------------|--------------|-------------------|------------|------------|--------------|------------|--------------|--------------|--------------|------|
| | | | 1987 | 1988 | 1989 | 1990 | 1991 | 1992 | 1993 | | 1994 | 1987-88 | 1989 | 1990 | 1991 | 1992 | 1993 | 1994 | |
| ACTIVE PROJECTS | | | | | | | | | | | | | | | | | | | |
| 515-0235 | Northern Zone Consold. (G) | 5,150 | 0 | 5,150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 723 | 723 | 0 | 0 | 0 | 0 | 0 | |
| 515-0237 | Non-traditional Ag. Exp.(G) | 3,000 | 2,000 | 1,500 | 0 | 0 | 0 | 0 | 0 | 300 | 0 | 352 | 52 | 0 | 0 | 0 | 0 | 300 | |
| 515-0241 | Policy and Trng Support (G) | 10,752 | 0 | 0 | 1,039 | 3,361 | 1,168 | 3,079 | 1,200 | 905 | 0 | 4,254 | 0 | 0 | 0 | 0 | 2,149 | 1,200 | |
| 515-0242 | CAPS (G) | 20,362 | 14,762 | 3,500 | 2,100 | 0 | 0 | 0 | 0 | 0 | 0 | 90 | 0 | 90 | 0 | 0 | 0 | 0 | |
| 515-0243 | FORESTA (G) | 7,500 | 0 | 0 | 5,500 | 2,000 | 0 | 0 | 0 | 0 | 0 | 2,352 | 0 | 352 | 2,000 | 0 | 0 | 0 | |
| 515-0244 | Justice Sector Improvment (G) | 4,000 | 0 | 1,500 | 1,400 | 0 | 0 | 0 | 0 | 500 | 600 | 932 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 515-0247 | Financial Services (G) | 5,350 | 0 | 0 | 0 | 0 | 3,250 | 700 | 750 | 650 | 0 | 2,544 | 0 | 0 | 0 | 444 | 700 | 750 | |
| 515-0254 | CAPS II (G) | 6,960 | 0 | 0 | 0 | 4,000 | 1,000 | 1,000 | 960 | 0 | 0 | 1,494 | 0 | 0 | 0 | 0 | 534 | 960 | |
| 515-0255 | BOSCOSA (G) | 1,616 | 0 | 0 | 0 | 1,000 | 0 | 0 | 0 | 300 | 316 | 149 | 0 | 0 | 0 | 0 | 0 | 149 | |
| 515-0257 | IESC. OPG (G) | 1,300 | 0 | 0 | 0 | 650 | 650 | 0 | 0 | 0 | 0 | 21 | 0 | 0 | 0 | 21 | 0 | 0 | |
| 515-0261 | Reprod Health Cons Proj (G) | 710 | 0 | 0 | 0 | 0 | 0 | 0 | 552 | 266 | 0 | 486 | 0 | 0 | 0 | 0 | 220 | 266 | |
| 515-0262 | Native Tree Reforest Proj (G) | 650 | 0 | 0 | 0 | 0 | 0 | 0 | 500 | 0 | 150 | 409 | 0 | 0 | 0 | 0 | 259 | 0 | |
| 515-0263 | Regulation for Forest Mgmt (G) | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 400 | 600 | 900 | 0 | 0 | 0 | 0 | 0 | 300 | |
| 515-0277 | Ariza Foundation Endow. (G) | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 600 | |
| 596-0129.02&04 | EARTH (G) | 16,292 | 0 | 0 | 10,942 | 0 | 700 | 2,900 | 1,500 | 250 | 0 | 152 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 596-0177.00 | Partnership Dem & Develop (G) | 869 | 0 | 0 | 0 | 0 | 0 | 0 | 715 | 0 | 0 | 452 | 0 | 0 | 0 | 0 | 0 | 132 | |
| 597-0002 | Regional Admin. of Just. (G) | 13,586 | 0 | 0 | 2,300 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 452 | |
| 598-0642 | Regional Admin. of Just. (G) | 6,872 | 0 | 0 | 900 | 1,450 | 2,000 | 1,700 | 317 | 0 | 0 | 297 | 0 | 0 | 0 | 0 | 0 | 297 | |
| TOTAL. | | 107,479 | 16,762 | 11,630 | 24,181 | 12,461 | 8,700 | 10,431 | 7,700 | 3,471 | 15,667 | 775 | 443 | 2,000 | 444 | 3,062 | 4,837 | 3,186 | |
| | | | | | | | | | | | | PIPELINE | 5% | 3% | 13% | 3% | 25% | 32% | 20% |
| | | | | | | | | | | | | CUMULAT | 5% | 8% | 21% | 24% | 49% | 80% | 100% |

**FINANCIAL SUMMARY OF USAID/CR PORTFOLIO
(APRIL 1, 1994 THROUGH SEPTEMBER 30, 1994)
(0000)**

| PROJECT NO. | PROGRAM/PROJECT TITLE | CATE-GORY | No. of E.O. Supported by Project | DATE OF INIT. OBLIG. | LAST REVISED PACD | % OF LOP ELAPSED | % OBLIG. EXPEND. | AUTH. LOP AMOUNT | CURRENT OBLIG. TO DATE | CUMUL. OBLIG. | MORTGAGE | BEGINNING PIPELINE | PLANNED SEMESTER EXPEND. | ACCRUED SEMESTER EXPEND. | AS % OF PLANNED | ACCURD EXPEND. | ENDING PIPELINE | PLANNED EXPEND. NEXT SEM. | PROJECT'S CONTRIBUT TO TOT PIPEL. |
|-------------------------------------|--------------------------------|-----------|----------------------------------|----------------------|-------------------|------------------|------------------|------------------|------------------------|---------------|----------|--------------------|--------------------------|--------------------------|-----------------|----------------|-----------------|---------------------------|-----------------------------------|
| ACTIVE PROJECTS | | | | | | | | | | | | | | | | | | | |
| 515-0247 | Financial Services (G) | B | 1 | 31-May-91 | 30-Jun-95 | 82% | 52% | 7,500 | 650 | 5,350 | 2,150 | 2,914 | 600 | 370 | 62% | 2,806 | 2,544 | 690 | 17% |
| 515-0257 | IESC. OPG (G) | B | 1 | 1-Jan-90 | 31-Dec-94 | 95% | 90% | 1,300 | 0 | 1,300 | 0 | 50 | 50 | 29 | 59% | 1,279 | 21 | 21 | 0% |
| 515-0244 | Justice Sector Improvement (G) | B | 2 | 14-Sep-88 | 31-Mar-96 | 80% | 77% | 4,000 | 680 | 4,000 | 0 | 1,106 | 518 | 174 | 34% | 3,068 | 932 | 210 | 6% |
| 515-0277 | Arias Foundation Endow. (G) | B | 2 | 30-Sep-93 | 31-Mar-96 | 40% | 100% | 500 | 0 | 500 | 0 | 500 | 500 | 500 | 100% | 500 | 0 | 0 | 0% |
| 596-0177.00 | Partnership Dem & Develop (G) | B | 2 | 17-Aug-92 | 30-Sep-95 | 68% | 48% | 869 | 0 | 869 | 0 | 675 | 200 | 223 | 112% | 417 | 452 | 185 | 3% |
| 597-0002 | Regional Admin. of Just. (G) | B | 2 | 22-Mar-85 | 31-Dec-94 | 97% | 100% | 13,586 | 0 | 13,586 | 0 | 1 | 1 | 0 | 0% | 13,584 | 1 | 1 | 0% |
| 598-0642 | Regional Admin. of Just. (G) | B | 2 | 14-Aug-86 | 31-Dec-94 | 97% | 96% | 6,872 | 0 | 6,872 | 0 | 565 | 190 | 269 | 141% | 6,576 | 297 | 130 | 2% |
| 515-0243 | FORESTA (G) | B | 3 | 28-Apr-89 | 31-Mar-96 | 78% | 69% | 7,500 | 0 | 7,500 | 0 | 3,567 | 800 | 1,215 | 152% | 5,148 | 2,352 | 654 | 15% |
| 515-0255 | BOSCOSA (G) | C | 3 | 31-Mar-90 | 31-Mar-96 | 75% | 91% | 1,900 | 316 | 1,616 | 284 | 0 | 150 | 167 | 112% | 1,467 | 149 | 151 | 1% |
| 515-0262 | Native Tree Reforest Proj (G) | B | 3 | 30-Sep-92 | 31-Mar-96 | 57% | 37% | 800 | 150 | 650 | 150 | 399 | 100 | 140 | 140% | 241 | 409 | 110 | 3% |
| 515-0263 | Regulation for Forest Mgmt (G) | B | 3 | 30-Aug-93 | 30-Jun-96 | 38% | 2% | 2,000 | 680 | 1,000 | 1,000 | 400 | 200 | 20 | 10% | 20 | 980 | 418 | 6% |
| 596-0129.01 | EARTH (G) | B | 3 | 30-Sep-85 | 30-Sep-95 | 90% | 100% | 6,705 | 0 | 6,705 | 0 | 0 | 0 | 0 | N/A | 6,705 | 0 | 0 | 0% |
| 596-0129.02 | EARTH (G) | B | 3 | 29-Aug-89 | 30-Sep-95 | 84% | 100% | 10,732 | 230 | 16,292 | 2,439 | 42 | 0 | 259 | N/A | 16,259 | 34 | 34 | 0% |
| 596-0129.03 | EARTH (G) | B | 3 | 30-Sep-85 | 30-Sep-95 | 90% | 100% | 295 | 0 | 295 | 0 | 0 | 0 | 0 | N/A | 295 | 0 | 0 | 0% |
| 596-0129.04 | EARTH (AID Adm.) (G) | B | 3 | 30-Sep-85 | 28-Aug-94 | 101% | 85% | 668 | 0 | 668 | 0 | 113 | N/A | 15 | N/A | 570 | 98 | N/A | 1% |
| 515-0235 | Northern Zone Consolid. (G) | B | 4 | 24-Aug-88 | 31-Mar-95 | 92% | 86% | 5,190 | 0 | 5,190 | 0 | 1,036 | 400 | 313 | 78% | 4,427 | 723 | 722 | 3% |
| 515-0242 | CAPS (G) | B | 4 | 8-Apr-87 | 30-Sep-95 | 88% | 100% | 20,342 | 0 | 20,342 | 0 | 424 | 562 | 334 | 60% | 20,272 | 90 | 90 | 1% |
| 515-0254 | CAPS II (G) | B | 4 | 4-May-90 | 30-Sep-98 | 52% | 79% | 6,960 | 0 | 6,960 | 0 | 2,537 | 438 | 1,043 | 238% | 5,666 | 1,494 | 438 | 10% |
| 515-0261 | Reprod Health Cons Proj (G) | B | 4 | 25-Jan-92 | 30-Sep-95 | 69% | 47% | 920 | 0 | 920 | 0 | 726 | 302 | 240 | 79% | 434 | 486 | 269 | 3% |
| 515-0241 | Policy and Trng Support (G) | B | 1,2,3 | 31-Aug-89 | 30-Sep-98 | 56% | 60% | 15,000 | 905 | 10,752 | 4,248 | 4,257 | 2,000 | 908 | 45% | 6,498 | 4,254 | 1,400 | 28% |
| SUBTOTAL ACTIVE PROJECTS | | | | 24-Jun-89 | 2-Jun-96 | 31% | 86% | 121,619 | 3,477 | 111,547 | 10,272 | 20,806 | 7,482 | 6,220 | 83% | 96,032 | 15,315 | 6,524 | 2 |
| TERMINATED PROJECTS | | | | | | | | | | | | | | | | | | | |
| 515-0237 | Non-traditional Ag. Exp.(G) | B | 1 | 25-Sep-87 | 30-Sep-94 | 100% | 91% | 3,800 | 0 | 3,800 | 0 | 399 | 352 | 7 | 2% | 3,448 | 352 | 399 | |
| 515-0212.01 | Trng for Pvt. Sect. Dvmt(G) | B | 1 | 24-Jun-92 | 28-Sep-94 | 100% | 100% | 1,800 | 925 | 1,425 | 100 | 1,026 | 751 | 1,026 | 137% | 1,425 | 0 | 0 | |
| 515-0252 | Support to PVO's (G) | B | 1 | 15-Apr-90 | 20-Jul-94 | 100% | 100% | 2,000 | 0 | 2,000 | 0 | 107 | 107 | 107 | 100% | 2,000 | 0 | 0 | |
| 515-0249.00 | Tortugosa OPG (G) | B | 3 | 30-Mar-89 | 31-May-94 | 106% | 100% | 550 | 0 | 550 | 0 | 0 | 0 | 0 | 0% | 550 | 0 | 0 | |
| SUBTOTAL TERMINATED PROJECTS | | | | 8-Dec-89 | 12-Aug-94 | 103% | 96% | 8,190 | 925 | 7,975 | 100 | 1,492 | 1,210 | 1,140 | 94% | 7,623 | 352 | 399 | |

Strategic Objectives:

1. Increase Economic Competitiveness
2. A More Streamlined, Responsive, Efficient Government
3. Maintenance of Natural Forest Habitat

4. Other Objectives (No relation with E.O.)

- (G) Grant

(B) Project Status Reports

USAID/COSTA RICA
PROJECT STATUS REPORTS

REPORT DATE: 12/15/04

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USAID/COSTA RICA

PROJECT STATUS REPORTS - GLOSSARY OF TERMS

The following terms or abbreviations are explained:

| <u>ABBREV. TITLE</u> | <u>COMPLETE TITLE</u> | <u>DEFINITION</u> |
|----------------------|--|---|
| PRJ # & NAME | PROJECT NUMBER AND NAME | Assigned number and title of project followed by "G" or "L" signifying "Grant" or "Loan", respectively. |
| CAT | CATEGORY | A full description of all possible categories follow. |
| -- A | CATEGORY "A" PROJECT | These are projects the Mission wishes to characterize and report as real success stories. Not only is implementation proceeding well under such projects with only minor problems, but they are having a significant impact on the problems they were designed to solve as indicated by successful progress toward the most important End-of-Project Status (EOPS) indicators. |
| -- B | CATEGORY "B" PROJECT | These projects while proceeding more or less as planned, are experiencing typical implementation problems and minor delays which can be resolved through normal project management. Short PACD extensions of a year or less may be necessary. The project is still expected to have a positive impact on the problem it addresses, but impact is still uncertain or is not so significant to date that the project can be singled out as a success story. |
| -- C | CATEGORY "C" PROJECT | These are those projects with significant problems which require extra attention from senior Mission management, or on an exceptional basis, from senior Washington Bureau management. Category C projects may have one or more of the following types of problems: the project is significantly behind schedule; a PACD extension of more than one year is likely, the host country or NGO no longer appears to support the project; the host country/NGO is not providing planned resources and the shortfall significantly impedes implementation; expenditure of USAID'S assistance is moving very slowly, i.e., the pipeline exceeds what is normal by a large margin; it is possible that project impact will be such less than planned; achievement of macroeconomic or other policy-based change is seriously lagging or is in doubt; the implementation agency seems ineffective, unable or unwilling to implement the project; or the project has major audit problems. |
| PRJ OFF | PROJECT OFFICER | USAID Mission Officer responsible for the management of the project. |
| AGRDT | AGREEMENT DATE | Date on which the Project Agreement was signed. |
| IPACD | INITIAL PROJECT ASSISTANCE COMPLETION DATE | Date, as specified in the original Project Agreement, by which AID assistance ought to be completed. |

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PROJECT STATUS REPORTS - GLOSSARY OF TERMS

(Continued)

| <u>ABBREV. TITLE</u> | <u>COMPLETE TITLE</u> | <u>DEFINITION</u> |
|-----------------------------|---|--|
| PACD | PROJECT ASSISTANCE COMPLETION DATE | The Initial Project Assistance Completion Date (IPACD), as amended. |
| | AUTHORIZATION (LOP) | The maximum project amount as specified by the Project Authorization (\$000). |
| | CURRENT OBLIGATIONS | The amount of the Grant or Loan as specified by the Project Agreement (\$000). |
| | COMMITMENTS TO DATE | The value of all contracts executed to date under the project (\$000). |
| | ACCRUED EXPENDITURES | Total expenditures minus total disbursements on the last day of the current quarter (\$000); i.e. "COM EXP" minus "COM DIS". |
| FY PLANNED EXPS. | FISCAL YEAR PLANNED EXPENDITURES | Planned expenditures for the total fiscal year (all four quarters) (\$000). |
| SEMESTER PLAN. EXPS. | SEMESTER PLANNED EXPENDITURES | Planned expenditures for this fiscal year through the last completed quarter (\$000). |
| ACTUAL SEM. EXPS. | ACTUAL SEMESTER EXPENDITURES | Actual expenditures for this fiscal year through the last completed quarter (\$000). |
| | PIPELINE | Unexpended portion of the obligated amount; i.e., current obligations minus expenditures accumulated to date since project inception (\$000). |
| NEXT SEM. PLAN. EXPS. | NEXT SEMESTER PLANNED EXPENDITURES | Planned expenditures for next fiscal year through the second completed quarter (\$000). |
| % ACTUAL TO PLAN. EXPS. | PERCENT OF ACTUAL TO PLANNED EXPENDITURES | Actual fiscal year expenditures through the last completed quarter as a percent of planned expenditures for the total fiscal year (\$000). |
| EOPS | END OF PROJECT STATUS | The primary indicators which demonstrate when a project has successfully achieved its purpose. |
| LOP | LENGTH OF PROJECT | The period from the date of project authorization to last revised PACD. |
| ACR | ANNUAL COUNTERPART REPORT | GOCR counterpart entities shall provide reports based on auditable documentation of their cash or in-kind contributions. ACRs must be prepared in accordance with AID guidelines set forth in Mission Order 574. |
| AFAS | ANNUAL FUNDS ACCOUNTABILITY STATEMENT | The Non-governmental grantee shall produce an annual funds accountability statement for the counterpart contribution made by the grantee or other third parties. AFAS must be prepared in accordance with the guidelines contained in Mission Order 574. |

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16

IMPLEMENTATION & NON-MACS PROJECTS

PROGRAM OFFICE

DOLLAR PRJ. - USAID/COSTA RICA
(\$000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|--|-----------------|------------------------------------|--|
| Prj Name: Policy & Training Support (PATS) | Agrdt: 08/31/89 | Prj Officer: Marta Vega | Planned Yearly Non-Fed Audits: N/A |
| Prj #: 515-0241.00G | IPACD: 08/31/93 | Contractors: N/A | Non-Fed Audits Contracted For/Completed: N/A |
| CAT: B | PACD: 09/30/98 | Implem. Agencies: USAID/Costa Rica | |

B. Financial: (\$000)

| | | | |
|-----------------------------|----------------------------|------------------------------|--------------------------------------|
| Authorization (LOP): 15,000 | FY Planned Exps.: 3,400 | Next Sem. Plan. Exps.: 1,400 | Counterpart Planned: ₡484,340 |
| Current Obligations: 10,752 | Semester Plan.Exps.: 2,000 | % Actual to Plan. Exps.: 45 | Counterpart to date (Est.): ₡484,340 |
| Commitments to date: 9,629 | Actual Sem.Exps.: 908 | % LOP Elapsed: 71 | |
| Accrued Expenditures: 6,498 | Pipeline: 4,254 | % Total Oblig. Exps.: 52 | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Through targeted training activities, studies and technical assistance, the PATS Project supports all three S.O's. The Training for Development component support downstream macroeconomic stability. The Legislative Assembly and Reform of the State components support public sector reform.

B. % of LOP Funds Relating to S.O.:

| | |
|-------------------------------|-----|
| More Streamlined Government | 50% |
| Improved Business Climate | 44% |
| Maintenance of Natural Forest | 6% |

III. PROJECT PURPOSE: To improve policy formulation, planning and design, support reforms of public sector management/administrative systems, assist investment and export initiatives in support of the private sector, and natural resources management in Costa Rica through the provision of technical assistance and training.

PROJECT DESCRIPTION: The project consists primarily of targeted technical assistance and training for studies, assessments, and administrative/managerial improvements.

V. PROJECT STATUS:

A. Progress to Date: This project has supported the Mission's overall portfolio and policy dialogue through a carefully selected balance of short to medium term, limited-scope studies and technical assistance inputs. This technical assistance has filled critical information gaps and played an important role in the accomplishment of the Mission's Strategic Objectives.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|-------------------------------------|---------------------------|---|--|--------------------------|
| 1. Private Sector Support | 1. Twenty activities | 1. Two activities | 1. None | 1. Pending decision on activities to be funded | 1. Two activities |
| 2. Economic Policy and Admin. Managerial Improvements (including Reform of the State) | 2. Eighty-four activities | 2. Three activities | 2. TAs for Pension, Regulatory (NRECA), Customs & Fiscal Reform. One course, one forestry trip and one Invitational Travel. | 2. Activities remain within planned limits | 2. Three activities |
| 3. Training for Development (Economics and Business Majors) 1 BA, 25 MA, 4 BMAs and 8 PHDs Scholarships | 3. 1 BA, 25 MAs, 4 MBAs, and 8 PHDs | 3. 5 PHDs | 3. 4 PHDs & 2 MAs | 3. 2 MAs were substituted by 1PHD | 3. 3 BAs |

PRJ NAME: Policy and Training Support (PATS)
 PRJ #: 515-0241.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|---|---------------------------------|---|--|---------------------------------|
| 4. Legislative Assembly Support Development and implementation of modernization plan for Legislative Assembly | 4. 8 study trips, 15 TAs, 13 seminars, 17 courses, 4 publications, equipment purchases, 2 conferences | 4. Six activities | 4. 3 study tours, 5 TAs, 11 seminars, 17 courses, 4 publications, computers purchased | 4. Project has greatly exceeded planned limits | 4. Ten activities |
| 5. Training (persons) Long-term Short-term | 5. M = 30; F = 11 M = 32; F = 18 | 5. M = 4; F = 4 M = 0; F = 0 | 5. M = 6; F = 1 M = 0; F = 2 | 5. Activities remain within planned limits | 5. M = 0; F = 0 M = 0; F = 0 |

C. Other Accomplishments:

- Support for Trade Development and the private sector projects are being negotiated with the GOCR and will start next semester, subject to DIR approval and availability of funds.
- The need for a National Pension Policy was the theme of a well attended conference of newly elected legislators, magistrates and pension plan managers in the public and private sectors.
- Technical assistance and information from U.S. Customs provides valuable operational support in internal controls and supervisory functions, in conjunction with the Automation Project. Technical assistance was also provided for the reorganization plan of the National regulatory entity, which has counted on the support of the Ohio Public Service Commission and the National Research and Regulatory Institute.
- The Project has been very successful and is making an important contribution to the modernization of the Legislative Assembly. The Project will be extended for an additional 13 month period, through March 31, 1996, subject to availability of funds.
- Project output completed: 1BA, 25 MA, 4 MBA and 8PhD. Most participants already completed academic programs and returning to Costa Rica. Last participants enrolled: 4 PhD (to finish in 1996) and 2 MA (to finish in 1995).
- Mission and FFM is considering financing three students now enrolled at Universidad Católica de Chile (PUC). They received a one-year scholarship from the IDB. PUC is to confirm if these students can obtain a MA degree by December 1995. According to FFM no additional money will be required to finance the three MA's.

D. Issues and Corrective Actions Planned for Next Period: Out of the \$4.2 million pipeline, only \$0.9 is unarmarked and the remaining \$3.3 million is fully committed to: Long term training through September 1996 (ATIE \$1.5 million); Legislative Assembly (Center for Democracy \$0.7 million); Reform of the State (Sigma One Contract \$0.7 million); and long and short term technical assistance (\$0.4 million)

E. Status of CP's and Covenants: All met

F. Status of Audits: N/A

G. Status of Evaluations: Reform of the State evaluation completed.

H. Number of Site Visits as per USO 570A: N/A

I. Quarterly Performance Reports Received as per USO 562: Yes _____ No _____ N/A X

J. ACR/AFAS Received as per USO 574: Yes _____ No X

PROGRAM OFFICE

LC ACTIVITIES (\$000,000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|----------------------------------|--------|----------|-------------------|------------------|--------------------------------|-----|
| Prj Name: | Policy & Training Support (PATS) | Agdrt: | 06/30/89 | Prj Officer : | Marta Vega | Planned Yearly Non-Fed Audits: | N/A |
| Prj #: | 515K241.01G | IPACD: | | Contractors: | N/A | Non-Fed Audits Contracted | |
| CAT: | B | PACD: | | Implem. Agencies: | USAID/Costa Rica | For/Completed: | N/A |

B. Financial: (C000,000)

| | | | | | | | |
|-----------------------|---------|----------------------|---------|--------------------------|---------|-----------------|---------|
| Authorization (LOP): | 484,340 | FY Planned Exps.: | 110,000 | Next Sem. Plan. Exps.: | 100,000 | Counterpart | |
| Current Obligations: | 443,840 | Semester Plan.Exps.: | 20,000 | % Actual to Plan. Exps.: | 163 | Planned: | 484,340 |
| Commitments to date: | 296,318 | Actual Sem.Exps.: | 32,608 | % LOP Elapsed: | 71 | Counterpart | |
| Accrued Expenditures: | 161,268 | Pipeline: | 282,574 | % Total Oblig. Exps.: | 52 | to date (Est.): | 484,340 |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Through targeted training activities, studies and technical assistance, the PATS Project support all three S.O's. The Training for Development component support downstream macroeconomic stability. The Legislative Assembly and Reform of the State components support public sector reform.

B. % of LOP Funds Relating to S.O.:

| | |
|-------------------------------|-----|
| More Streamlined Government | 46% |
| Improved Business Climate | 44% |
| Maintenance of Natural Forest | 10% |

III. PROJECT PURPOSE: To improve policy formulation, planning and design, support reforms of public sector management/administrative systems, assist investment and export initiatives in support of the private sector, and natural resources management in Costa Rica through the provision of technical assistance and training.

PROJECT DESCRIPTION: The project consists primarily of targeted technical assistance and training for studies, assessments, and administrative/managerial improvements.

IV. PROJECT STATUS:

A. Progress to Date: This project has supported the Mission's overall portfolio and policy dialogue sense through a carefully selected balance of generally short and long-term, limited-scope studies and technical assistance inputs. This technical assistance has filled critical information gaps and plays an important role in the accomplishment of the Mission's Strategic Objectives.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|--|--------------------------------|---------------------------|--|--------------------------|
| 1. Technical Assistance (short and long term) | 1. Ten short term and seven long term TAs | 1. Three activities | 1. Two TA's funded | 1. Few activities due to new GOCR Administration | 1. Two activities |
| 2. Short-term training, seminars and invitational travel | 2. Four short training, one seminar and six invitational travels | 2. Two activities | 2. N/A | 2. N/A | 2. Two activities |
| 3. Establishment of Trust Fund with Academia | 3. Trust Fund Contract signed | 3. Establishment of Trust Fund | 3. Trust Fund established | 3. N/A | 3. None |

PRJ NAME: Policy and Training Support (PATS)
 PRJ #: 515K241.01G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|--------------------------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 4. Legal and Regulatory Environment reforms and actions plans for their implementation | 4. Three technical studies completed | 4. None | 4. None | 4. Activity completed | 4. None |
| 5. Training (persons) Short Term | 5. M = 27; F = 14 | 5. M = 1; F = 1 | 5. F = 2 | 5. N/A | 5. M = 1; F = 1 |

C. Other Accomplishments:

- The Customs Modernization Program includes activities such as: Analysis of personnel requirements; recruitment plan; procurement and installation of computer equipment; computers modules for creating values data base, controlling courier operations and links with Free Zone for export facilitation; policy review to General Customs Law; reworked Customs/National Registry data transmission system to improve vehicle registration process.
- The Local Government Project was signed on July 28, 1994 by the Mission, ACORDE and the Partners of America to carry out a 15-month "Decentralization and Strengthening of Local Governments Project" in three pilot municipalities of the country. Considerable progress has been achieved in Perez Zeledon with the establishment of district councils and the participation of many community leaders in sponsored training seminars. Some activities have been initiated in Puriscal and, with the recent appointment of a Project coordinator in Alajuela, it is expected that activities will also be initiated there in the very near future.

D. Issues and Corrective Actions Planned for Next Period: None

E. Status of CP's and Covenants: All met

F. Status of Audits: N/A

G. Status of Evaluations: Reform of State Evaluation was completed.

H. Number of Site Visits as per USO 570A: N/A

I. Quarterly Performance Reports Received as per USO 562: Yes _____ No _____ N/A X _____

J. ACR/AFAS Received as per USO 574: Yes _____ No X _____

x:\sar\515-K241

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|--------------------------------------|------------|--|------------------------------------|
| Prj Name: PL-480 Title I Generations | Agrdt: * | Prj Officer: Marta Vega | Planned Yearly Non-Fed Audits: N/A |
| Prj #: 515-K485/487/489/491 | IPACD: N/A | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: B | PACD: N/A | Implem. Agencies: MAG/IDA/MIRENEM/MIDEPLAN/MOPT MOPT/CNE/IFAM | For/Completed: N/A |

B. Financial: (C000,000)

| | | | |
|--------------------------|--------------------------|------------------------------|---------------------|
| Authorization (LOP): N/A | FY Planned Exps.: N/A | Next Sem. Plan. Exps.: N/A | Counterpart |
| Current Obligations: * | Semester Plan.Exps.: N/A | % Actual to Plan. Exps.: N/A | Planned: N/A |
| Commitments to date: * | Actual Sem.Exps.: N/A | % LOP Elapsed: N/A | Counterpart |
| Accrued Expenditures: * | Pipeline: * | % Total Oblig. Exps.: * | to date (Est.): N/A |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.

B. % of LOP Funds Relating to S.O.: 50%

III. PROJECT PURPOSE:

1. Improve rural infrastructure in roads, community buildings.
2. Increase incomes through production of basic grains and export crops.
3. Generate Section 108 funds for private sector investment.

IV. PROJECT STATUS:

There are 68 on-going projects with an unexpended balance of \$9.5 million. An additional \$1.5 million is available for programming.

A. Planned EOPS: N/A

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------------------|----------------------------|---------------------------|-------------------------|--------------------------------------|---|
| 1. 42 Infrastructure activities | 1. 18 activities completed | 1. None | 1. None | 1. 6 activities will be reprogrammed | 1. Continue with implementation of 18 activities |
| 2. 20 production activities | 2. 16 activities completed | 2. One activity | 2. None | 2. 4 activities will be reprogrammed | 2. None |
| 3. 6 Natural Resource Activities | 3. 2 activities completed | 3. None | 3. None | 3. One activity will be reprogrammed | 3. One activity and continue implementation of 2 activities |
| 4. One Land Titling Activity | 4. None | 4. None | 4. None | 4. Activity will be reprogrammed | 4. None |

* 515K485 Agrdt: 11/19/84 Cur.Oblig.: 1,043,3 Comm. to date: 1,028,8 Pipeline: 14,5
 515K487 Agrdt: 01/14/87 Cur.Oblig.: 932,6 Comm. to date: 851,3 Pipeline: 81,3
 515K489 Agrdt: 03/03/88 Cur.Oblig.: 1,191,4 Comm. to date: 969,7 Pipeline: 221,7
 515K491 Agrdt: 06/30/91 Cur.Oblig.: 1,718,6 Comm. to date: 739,9 Pipeline: 978,7

PRJ NAME: PL 480 Title I Generations
PRJ #: 515-K485/487/489/491

- C. Other Accomplishments: Mission initiated negotiations with the GOCR to re-program PL-480 funding into quicker disbursing activities.
- . Issues and Corrective Actions Planned for Next Period: Mission will finalize negotiations with GOCR and formalize reprogramming of PL-480 resources.
- E. Status of CP's and Covenants: All self-help measures complied with.
- F. Status of Audits: Findings were discussed with MIDEPLAN's Project Unit. Recommendations were closed 3/17/93.
- G. Status of Evaluations: None planned
- H. Number of Site Visits as per USO 570A: None
- I. Quarterly Performance Reports Received as per USO 562: Yes _____ No _____ N/A X _____
- J. ACR/AFAS Received as per USO 574: Yes _____ No _____ N/A X _____

x:\sar\pl480

OFFICE OF PRIVATE ENTERPRISE DEVELOPMENT

DOLLAR PRJ. - USAID/COSTA RICA
(\$000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|------------------------|--|--|
| Prj Name: Training for Priv. Sector Dev. | Agrdt: 09/28/84 | Prj Officer : Luis Solera/Mary Helen Bialas | Planned Yearly Non-Fed Audits: 10 |
| Prj #: 515-0212.00G | IPACD: 09/30/89 | Contractors: EDC (expired 9/91) | Non-Fed Audits Contracted |
| CAT: A | PACD: 09/28/94 | Implem. Agencies: CINDE/Commercial Division | For/Completed: 10 |

B. Financial: (\$000)

| | | | |
|------------------------------------|--------------------------------|--------------------------------------|--------------------------------|
| Authorization (LOP): 5,000 | FY Planned Exps.: 91 | Next Sem. Plan. Exps.: N/A | Counterpart |
| Current Obligations: 4,990 | Semester Plan.Exps.: 11 | % Actual to Plan. Exps.: 827% | Planned: €11.0 M |
| Commitments to date: 4,990 | Actual Sem.Exps.: 91 | % LOF Elapsed: 100% | Counterpart |
| Accrued Expenditures: 4,990 | Pipeline: 0 | % Total Oblig. Exps.: 100% | to date (Est.): €11.5 M |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: As Costa Rica moves from a protected to an open market economy, the competitiveness of businesses is of utmost importance for their success and growth. The project advances accomplishment of the strategic objective of increased economic competitiveness by upgrading Costa Rican managerial and technical skills that companies need to compete at an international level.

B. % of LOP Funds Relative to S.O.: 100%

III. PROJECT PURPOSE: To strengthen the human resources needed for Costa Rican private sector development through a program of selected training activities directed at increasing non-traditional exports.
PROJECT DESCRIPTION: To train Costa Rican managers and technicians in the U.S. and in Costa Rica who are working in the private non-traditional productive sector, the financial sector, or in positions in the educational system which provide managerial or technical training aimed towards increasing competitiveness of the private productive sector.

IV. PROJECT STATUS:

A. Planned EOPS:

1. In-country seminars to train 32,307 top and mid-level financial (FS) and private sector (PS) managers.
2. U.S. ST training of 922 top and mid-level FS and PS managers.
3. U.S. technical training of 12 vocational/technical instructors.
4. Twenty-five professionals better prepared to support linkage between university and private sector.

Progress to Date:

1. More than 30,000 participants from over 8,200 companies have participated in in-country seminars. Companies continually seek additional in-country training activities.
2. 1002 managers participated in training activities. The private business contributed \$180,000 towards direct training costs.
3. Technical 2-3 month training provided at U.S. community colleges to 30 participants.
4. Twenty-seven participants received higher degrees. Fifteen are employed as university professors and twelve are in top management positions in the financial sector.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|------------------------------------|------------------------------|------------------------------|---|--------------------------|
| 1. In-country seminars, 32,307 managers from FS/PS | 1. 30,131 M = 21,427; F = 8,779 | 1. 1940 M = 1650; F = 290 | 1. 1199 M = 1000; F = 199 | 1. Original target inaccessible due to budget cutbacks. | 1. N/A |
| 2. U.S. short-term training for 922 managers | 2. 1002 M = 813; F = 189 | 2. 200 M = 113; F = 70 | 2. 287 M = 206; F = 81 | 2. Exceeded target. | 2. N/A |
| 3. U.S. training for 12 voc. tech. instructors | 3. 30 M = 22; F = 8 | 3. 25 | 3. 30 | 3. Target exceeded; 27% of participants are women. | 3. N/A |

PRJ NAME: Training for Private Sector Development
 PRJ #: 515-0212.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|-------------------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 4. University Sector | 4. 27 participants | 4. 0 | 4. 0 | 4. Exceeded project goal | 4. 0 |
| a. U.S. long-term for 25 professionals | a. 24 MS / 3 PHD; M=22 F=5 | a. N/A | a. N/A | a. | a. N/A |

C. **Other Accomplishments:** The results of the mid-term (1990) and impact evaluation (1992), indicated the success of the project in meeting training needs of the managers, and the positive relationship between training and increase in exports. For this semi-annual period report, approximately 70% of the participants were owners or managers of small and/or micro-businesses. Increased participation of small/micro-enterprises also increased the participation of women administrators from 15% to 20%. In addition, a specific course was developed to meet the needs of the female micro entrepreneurs.

In a custom-designed training course, on how to prepare and exhibit at international fairs, 18 artisans had the opportunity to participate in the Washington Gift Show. Although this was a learning experience, 3 participants received orders for \$50,000, five participants received orders for \$10,000 and others made important contacts or responded to requests for samples or products on a consignment basis. Particularly notable was a consignment order by the Smithsonian Institute for Indian pottery to be sold in the History Museum Gift Shop.

D. **Issues and Corrective Actions Planned for Next Period:** This period report is the last of the project. Close-out activities are underway. The final audit is well underway. The final evaluation will begin early in the second quarter of FY 95.

E. **Status of CP's and Covenants:** All met.

F. **Status of Audits:** The final audit will be completed by December 1994.

G. **Status of Evaluations:** CINPE has presently contracted an evaluation of the long-term component. A final overall evaluation will expand the information found in the 1992 impact evaluation and investigate case studies.

H. **Number of Site Visits as per USO 570A:** 44

I. **Quarterly Performance Reports Received as per USO 562:** Yes No N/A
 Final quarterly report for last semester of project are still pending.

J. **ACR/AFAS Received as per USO 574:** Yes No

x:\sar\515-0212

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|------------------------|--|---|
| Prj Name: Training for Priv. Sector Dev. | Agrdt: 09/28/84 | Prj Officer : Richard Wheeler/Mary Helen Bialas | Planned Yearly Non-Fed Audits: 2 |
| Prj #: 515-0212.01G | IPACD: 06/26/92 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: A | PACD: 09/28/94 | Implem. Agencies: CINDE | For/Completed: 2 |

B. Financial: (\$000)

| | | | |
|------------------------------------|---------------------------------|-------------------------------------|-----------------------|
| Authorization (LOP): 1,800 | FY Planned Exps.: 1,487 | Next Sem. Plan. Exps.: N/A | Counterpart |
| Current Obligations: 1,625 | Semester Plan.Exps.: 751 | % Actual to Plan. Exps.: 127 | Planned: |
| Commitments to date: 1,625 | Actual Sem.Exps.: 951 | % LOP Elapsed: 100 | Counterpart |
| Accrued Expenditures: 1,625 | Pipeline: 0 | % Total Oblig. Exps.: 100 | to date (Est): |

* This Project is jointly implemented with Project #515-0212.00G.

x:\sar\515-0212

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | |
|---|-----------------|-------------------|----------------------------|--------------------------------|---|
| Prj Name: Non-Trad. Agric. Exports (NETS) | Agord: 09/10/87 | Prj Officer : | Luis Solera/John Holder | Planned Yearly Non-Fed Audits: | 8 |
| Prj #: 515-0237.00G | IPACD: 09/30/91 | Contractors: | N/A | Non-Fed Audits Contracted | |
| CAT: B | PACD: 09/30/94 | Implem. Agencies: | CINDE/Development Division | For/Completed: | 8 |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-------|----------------------|---------------|--------------------------|----------|-----------------|----------|
| Authorization (LOP): | 3,800 | FY Planned Exps.: | 761 | Next Sem. Plan. Exps.: | \$25,000 | Counterpart | |
| Current Obligations: | 3,800 | Semester Plan.Exps.: | 363 | % Actual to Plan. Exps.: | 2 | Planned: | \$2.65 M |
| Commitments to date: | 3,770 | Actual Sem.Exps.: | 7 | % LOP Elapsed: | 100 | Counterpart | |
| Accrued Expenditures: | 3,448 | Pipeline: | 352 (see "C") | % Total Oblig. Exps.: | 99 | to date (Est.): | \$2.83 M |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** This project support the Mission's first Strategic Objective - "Increased Economic Competitiveness" - through: (a) technical assistance to non-traditional export growers/producers to improve product quality (including post-harvest handling); (b) development of new NT crops; (c) identification of new markets/customers; and (d) by supplying high-quality agricultural testing laboratory services.
- B. % of LOP Funds Relating to S.O.:** 100%

III. PROJECT PURPOSE: To support the development of Costa Rican export programs for non-traditional export products.

PROJECT DESCRIPTION: This project is the principal technical assistance activity in the Mission's NTAE promotion strategy. It complements ESF local currency and contributions from producers. CINDE/Development Division, formerly DIVAGRI, coordinates production and investment promotion activities and plays a catalytic role between emerging export industries and the GOCR. An agricultural testing laboratory was partially financed under NETS in this period in the amount of \$270,000.

IV. PROJECT STATUS:

A. Planned EOPS:

1. Development Division (Agric. Section) functioning effectively in developing technical support plans, addressing policy promotion issues and developing investment promotion packages for export of non-traditional agricultural products.
2. Production and marketing plans developed and implemented, and investment packages formulated.
3. Development Division (Agric. Section), GOCR agencies and agribusiness export groups to be effectively cooperating re mutually agreed upon goals.

Progress to Date: (end of project)

1. With the help of project technical assistance, non-traditional agricultural exports (NTAE) have increased dramatically during the last 7 years, earning over \$1 billion.
2. Project is promoting sectoral policy reforms needed to assure the proper environment for NTAE success as a strategy for promoting economic growth.
3. Development Division (Agric Section) organized production promotion plans by providing technical support to agricultural production and marketing as well as promotion of product investment from within Costa Rica. Mango certification program started in FY 94 as second program following success with the melon program. Chayote program started this period.
4. Agricultural testing laboratory under construction to aid in export quality assurance. To open in January '95.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|-------------------|---------------------------|-------------------------|--|--------------------------|
| 1. Investment promotion 5750 has. planted | 1. 5961 has. | 1. 7 has. berries | 1. 11 has. berries | 1. | 1. N/A |
| 2. \$32.0 million invested | 2. \$31.9 million | 2. \$99,300 | 2. \$69,000 | 2. Does not include maintenance costs. | 2. N/A |
| 3. Export \$77 million | 3. \$96.8 million | 3. \$4.0 million | 3. \$5.6 million | 3. | 3. N/A |

PRJ NAME: Non-Traditional Agricultural Exports
 PRJ #: 515-0237.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|--|---|--|--------------------------------------|--------------------------|
| 4. Other services improved NTA crop productivity | 4. | 4. New project in forestry to be started | 4. Negotiated project with Chamber of Forestry (to start in November 94 with CINDE's own resources) | 4. | 4. N/A |
| 5. NTAE technical and extension services ("Cost Recovery Program") | 5. \$665,535 | 5. \$75,000 | 5. \$104,920 | 5. | 5. N/A |
| 6. Melon export certification program | 6. Implemented successfully since 1992. | 6. Quality certification program in pineapple and berries for next period | 6. Quality certification program for pineapple implemented. Berries program switched for chayote program (already implemented) | 6. | 6. N/A |
| 7. Preparation of feasibility studies on NTA activities | 7. 34 crops feasibility studies prepared. | 7. No additional activities planned for this period | 7. | 7. | 7. N/A |
| 8. Identification of 10 new NTA products and establish new crop | 8. Over 20 new NTA products identified and established as NTE. | 8. No additional activities planned for this period | 8. | 8. | 8. N/A |

C. Other Accomplishments:

1. Agricultural Services Laboratory to start operation in January 1995. All equipment procured.
2. Export quality certification program implemented this year for mango (62,000 boxes certified) and chayote.
3. Continued to export plantains for CINDE-EARTH project (more than 17,000 boxes exported to date).
4. The project PACD was September 30, 1994 and all project activities were completed as of that date. The Mission, in consultation with the RLA, decided to extend the grant termination dated to February 28, 1995 to allow for audit and evaluation activities to be performed. These have been contracted at a total cost of \$20,000 (vs. \$30,000 budget) and will be completed by December 31, 1994. Note: Pipeline is overstated by \$270,000 as grantee received goods for this amount before 9/30/94.

D. Issues and Corrective Actions Planned for Next Period:

1. Final project expense liquidation received from CINDE for period ended 9/30/94.
2. Post-PACD close-out activities are: (a) Audit finished through 9/30/94 by Peat Marwick. Mission has not yet received report. (b) Project evaluation in progress and final report to be received by January 15, 1995.
3. Project close-out report to be prepared within 30 days of receipt of audit and evaluation are received.
4. Estimated funds available for deobligation after audit and evaluation should be around \$21,000.

E. Status of CP's and Covenants: All met

F. Status of Audit: Annual external certification audit to be finished in November 1994.

G. Status of Evaluations: Last evaluation performed 5/90. Final evaluation currently in progress. To be completed by December 31, 1994.

H. Number of Site Visits as per USO 570A: 16

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A

J. ACR/AFA Received as per USO 574: Yes No

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|------------------------------|-----------------|-------------------------------------|----------------------------------|
| Prj Name: Financial Services | Agrdt: 05/31/91 | Prj Officer: Rolando Quirós | Planned Yearly Non-Fed Audits: 4 |
| Prj #: 515-0247.00G | IPACD: 06/30/95 | Contractors: OSU, CUNA | Non-Fed Audits Contracted |
| CAT: B | PACD: 06/30/95 | Implem. Agencies: AGEF, FEDECREDITO | For/Completed: 3 |

B. Financial: (\$000)

| | | | |
|-----------------------------|--------------------------|-----------------------------|-------------------------|
| Authorization (LOP): 7,500 | FY Planned Exps.: 1,100 | Next Sem. Plan. Exps.: 690 | Counterpart |
| Current Obligations: 5,350 | Semester Plan.Exps.: 600 | % Actual to Plan. Exps.: 62 | Planned: \$2.0 M |
| Commitments to date: 3,772 | Actual Sem.Exps.: 370 | % LOP Elapsed: 82 | Counterpart |
| Accrued Expenditures: 2,806 | Pipeline: 2,544 | % Total Oblig. Exps.: 62 | to date (Est.): \$1.4 M |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** The project addresses constraints to increased economic competitiveness related to inefficiencies in the financial system. These range from the need to broaden policy analysis, strengthen the supervision and regulation of non-banking financial intermediaries and improve ways in which small-scale borrowers may have access to credit. The FSP helps to increase economic competitiveness by promoting policy analysis, the strengthening of credit cooperatives and the search for new and innovative delivery systems for credit to small-scale borrowers.
- B. % of LOP Funds Relating to S.O.: 100%**

- III. PROJECT PURPOSE:** Contribute to financial liberalization; development of efficient financial market systems, improve the safety of credit, strengthen the regulatory infrastructure to protect credit union members' savings, and create models for savings protection strategies for financial intermediaries in Costa Rica.
- PROJECT DESCRIPTION:** The project provides assistance to support (1) a policy environment favorable to the expansion of financial services, (2) strengthen the supervision and viability of cooperative credit unions and (3) test and introduce a range of financial innovations to improve services to project clientele and the management of financial institutions serving the project clientele.

IV. PROJECT STATUS:

A. Planned EOPS:

1. Establishment of a policy environment favoring sound expansion of financial services.
2. Existence of regulatory and supervisory system for cooperative credit unions in FEDECREDITO and the BCCR's AGEF.
3. Development of innovative mechanisms for financial service delivery.
4. Improved management of credit unions and other IFI's.

Progress to Date:

1. New members of the Commission to study the Reforms of the Financial System of the Legislative Assembly have been designated in order to continue the study of BCCR Law reforms, including the transition of AGEF to a superintendency of financial institutions.
2. The law that regulates and supervises credit and loan cooperatives was signed in April. AGEF is taking steps to prepare the cooperatives to comply with new reporting requirements.
3. Technical assistance to FINCA resulted in the establishment of Certificates of Equity Participation (CAPs) which allows the Community Banks to build a capital reserve.
4. FEDECREDITO is preparing its member cooperatives to be able to comply with the regulatory and supervisory requirements of the new law.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|-------------------|-----------------------------------|-------------------------|--------------------------------------|--------------------------|
| 1. Better informed decision making | 1. 52 papers | 1. 5 studies | 1. 8 Occasional Papers | 1. | 1. Five studies |
| 2. Wider public support for adequate financial policies | 2. 11 | 2. Organization of three seminars | 2. 4 | 2. | 2. Three seminars |

PRJ NAME: Financial Services
 PRJ #: 515-0247.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|--|--|--|--------------------------------------|---|
| 3. Adequate regulation and supervision | 3. 8 cooperatives improved | 3. 8 cooperatives improved | 3. 8 cooperatives | 3. | 3. 5 cooperatives* |
| 4. Financial innovations | 4. 20 community banks with equity certificates | 4. 20 community banks with equity certificates | 4. 20 community banks with equity certificates | 4. | 4. a) 5 community banks with equity certificates. b) reactivate Juntas Rurales de Credito. |

C. **Other Accomplishments:** The recent Mid-Term Project Evaluation indicates there has been substantial progress in achievement of project objectives, with a consistent high technical and professional quality. Under the policy analysis and reform components the bulk of the effort has been directed to (1) supporting the GOCR efforts at policy development and financial sector management, and (2) supporting GOCR efforts in the area of legislative reform. Improvement in policy and regulatory environment for the financial sector was accomplished primarily through Central Bank administrative actions. One direct result is the Central Bank's decision to implement a clearinghouse for management of commercial bank checks. This system will facilitate the cashing of checks throughout the country. Progress in legislative reform, is primarily due to permanent participation of the FSP Coordinator and team consultants who drafted a new Central Bank law, a law on Private Financial Institutions, and a law for Regulation and Supervision of all Financial Institutions. These drafts are yet to be approved in special Commission before being passed to the Congress. Under the prudential supervision component to strengthen the supervision and viability of cooperative credit unions, a major break through was the passage in April 94 of the Supervision of Cooperatives Law, which designates AGEF as the Superintendency of all cooperative credit unions. Preparation for the implementation of this law included developing a standardized accounting system and manuals for cooperatives. In addition, due to technical assistance provided under FSP, 8 of 13 cooperatives that were assessed as financially insolvent, have become stable and financially secure. Under the financial management and innovation for microenterprises component, most of the project activity was technical assistance directed toward institutional strengthening of three major second-story PVOs (ACORDE, FINCA and AVANCE). Technical assistance included institutional assessments, development of organizational manuals, strategic plans, portfolio management, and training of 360 staff members of these institutions. The creation of Certificates of Equity Participation in 20 of the Community Banks in the FINCA Project was a major innovation achievement.

D. **Issues and Corrective Actions Planned for Next Period:** The actual to planned expenditures for the semester are only 62%. The change in Government Administration in April 1994 slowed down planned project activity to a great degree, as the FSP underwent a re-programming analysis with project implementors and USAID Staff. The Mission is considering a six-month PACD extension, reducing the project LOP by at least \$1.5 million and a major budget revision to ensure that key project outputs are achieved. This re-programming of project components includes the negotiation of a new lead implementor to (1) work directly with the Central Bank on policy reform and (2) further develop and implement innovations with microenterprise credit institutions. As a result of this negotiation, the buy-in with OSU will be ended by December 94, and a Cooperative Agreement with "Academia de Centroamerica" is planned to be initiated in November 1994. The law that established AGEF as the regulatory and supervisory entity of credit unions, was signed in April, but is not effective until Nov. 24, 1994. This implies that AGEF is in preliminary stages of establishing norms and reporting requirements. Actual implementation of this law is unlikely to become effective until March 1995. Therefore, the re-programming also included discussions with FEDECREDITO and WOCCU (CUNA) on the 1995 planned project activities and requirements for additional technical assistance and funding in order to prepare the credit unions for compliance with this new law. Activity in the microenterprise component was focussed mainly on institutional strengthening. The implementation of currently researched and planned innovation activities with credit institutions will require an extension into the second semester of 1995. The project's current pipeline includes \$1 million that will be reserved for the Cooperative Agreement with Academia de Centroamerica (\$600) and funds (\$400) to be added to the Cooperative Agreement with FEDECREDITO. The Evaluation indicates that to complete the project activities much work lies ahead. Policy reform implying passage of a financial intermediation law package, the establishment of AGEF as Superintendency for all financial institutions, the development of credit union capacity to comply with new reporting requirements, and the implementation of innovations for credit institutions serving small and microenterprises will extend into the second semester of 1995. Consequently, a six-month extension is required to complete project activities and meet the proposed project purpose.

E. **Status of CP's and Covenants:** Met.

F. **Status of Audits:** An audit needed to create a Stabilization Fund for the Cooperative Sector is underway. FEDECREDITO's draft audit is under review by the AID Controller.

G. **Status of Evaluations:** The Mid-Term Evaluation Draft Report is completed. The evaluation recommends extending the Project through Dec. 31, 1995 to meet the proposed project purpose.

H. **Number of Site Visits as per USO 570A:** 17

I. **Quarterly Performance Reports Received as per USO 592:** Yes No N/A

J. **ACR/AFAS Received as per USO 574:** Yes No

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|------------------------------------|-----------------|---|--|
| Prj Name: Support to PVOs (ACORDE) | Agrdt: 07/20/90 | Prj Officer: Luis Solera/Rolando Quirós | Planned Yearly Non-Fed Audits: 4 |
| Prj #: 515-0252.00G | IPACD: 07/20/94 | Contractors: N/A | Non-Fed Audits Contracted For/Completed: 4 |
| CAT: A | PACD: 07/20/94 | Implem. Agencies: ACORDE | |

B. Financial: (\$000)

| | | | |
|-----------------------------|--------------------------|-----------------------------|------------------------------------|
| Authorization (LOP): 2,000 | FY Planned Exps.: 329 | Next Sem. Plan. Exps.: 0 | Counterpart Planned: €661 |
| Current Obligations: 2,000 | Semester Plan.Exps.: 179 | % Actual to Plan. Exps.: 60 | Counterpart to date (Est.): €1,153 |
| Commitments to date: 2,000 | Actual Sem.Exps.: 107 | % LOP Elapsed: 100 | |
| Accrued Expenditures: 2,000 | Pipeline: 0 | % Total Oblig. Exps.: 100 | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: The strengthening of a self-sustaining financial intermediary (ACORDE) to provide credit, technical assistance, training and other financial services to micro and small entrepreneurs contributes to increased economic competitiveness, the Mission S.O. No. 1.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: By providing resources to meet PVO's requests for financial assistance, technical assistance and training, the Project strengthens the microenterprise sector which employs 21% of the total national labor force thereby enabling it to participate competitively in the national economy.

PROJECT DESCRIPTION: The Project provides ACORDE with resources to meet the PVO's requests for financial assistance, technical assistance and training. It also promotes the institutional strengthening and self-sufficiency of ACORDE, and the self-sufficiency of the PVOs through the establishment of sound credit systems.

IV. PROJECT STATUS:

A. Planned EQPS:

1. ACORDE will be partially (60%) self-sufficient.
2. ACORDE will be strengthened in areas such as credit systems and organization planning, investment and credit portfolio.
3. Establish a sound credit system, which charges real interest rates to the end-users.
4. Strengthen the participation of PVOs in the microenterprise sector.

Progress to Date:

1. ACORDE has reached an operational level of close to 100% self-sufficiency.
2. ACORDE has established operational systems for portfolio investment control, credit follow-up and administrative controls. During the last two external audits, there have been no open observations from the auditors.
3. All disbursed funds were subject to positive interest rates both by ACORDE and by the PVOs it served.
4. ACORDE has established working relationships with other NGOs (Indonesia, Bolivia, Bangladesh) that have experienced or are experiencing the evolution from non-profit NGO to financially independent organizations.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|-------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 1. Five projects financed | 1. 27 | 1. 3 | 1. 5 | 1. | 1. N/A |
| 2. 1500 new jobs generated or consolidated | 2. 1220 | 2. 160 | 2. 503 | 2. | 2. 300* |
| 3. 20 T.A. | 3. 20 | 3. 3 | 3. 4 | 3. | 3. N/A |

PRJ NAME: Support to PVOs (ACORDE)
 PRJ #: 515-0252.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--------------------------------------|-----------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 4. 40 PVOs assisted through training | 4. 70 | 4. 15 | 4. 13 | 4. 4 courses postponed | 4. N/A |
| 5. Training Short Term | 5. M=192, F=82, T=274 | 5. M=15, F=5, T=20 | 5. M=9, F=10, T=19 | 5. 1 course postponed | 5. N/A |
| 6. 10 Observational Visits | 6. 7 | 6. 1 | 6. 1 | 6. | 6. N/A |

C. Other Accomplishments:

- With USAID support, ACORDE visited Bancosol in Bolivia and, as expected, a working relationship was established that will allow it to continue with its plans to become a fully pledged financial institution for microenterprise financing. The visit also resulted in ACORDE being invited to attend the annual meeting of the Micro Financial Services Network (MFSN) in South Africa and will probably be granted active membership in the near future.
- Although the Project for AID is "completed", the benefits will continue to be felt. As a direct result of AID assistance, ACORDE loan financing of small and microenterprise will continue to generate new employment. For example, an estimated 300 additional new jobs will be created during the next semester with loans from grant repayments.
- ACORDE has modified its lending policies as a result of the recommendations of a mid-term evaluation and analytical work by Academia de Centroamerica under the FSP Project. Based on these recommendations, ACORDE among other actions, started to charge real interest rates and discontinued the policy of providing sub-grants to PVOs. As a direct result of these changes, ACORDE has reached close to 100% self-sufficiency.

D. Issues and Corrective Actions Planned for Next Period: There are no issues or actions required. The final audit, already received in draft by CONT Office, has no open findings. The Mission will close-out this project next semester.

E. Status of CP's and Covenants: Completed.

F. Status of Audits: Final audit has been done and draft report is being prepared.

G. Status of Evaluations: Completed in June, 1992.

H. Number of Site Visits as per USO 570A: 3

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A:

J. ACR/AFAS Received as per USO 574: Yes No

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|--|-----------------|------------------------------|--|
| Prj Name: Int'l Ex. Service Corps (IESC) | Agrdt: 01/01/90 | Prj Officer: Mario Gomar | Planned Yearly Non-Fed Audits: 4 |
| Prj #: 515-0257.00G | IPACD: 12/31/92 | Contractors: IESC Volunteers | Non-Fed Audits Contracted For/Completed: 3 |
| CAT: B | PACD: 12/31/94 | Implem. Agencies: IESC | |

B. Financial: (\$000)

| | | | |
|-----------------------------|--------------------------|-----------------------------|------------------------------------|
| Authorization (LOP): 1,300 | FY Planned Exps.: 276 | Next Sem. Plan. Exps.: 135 | Counterpart Planned: \$1,000 |
| Current Obligations: 1,300 | Semester Plan.Exps.: 150 | % Actual to Plan. Exps.: 20 | Counterpart to date (Est.): \$ 768 |
| Commitments to date: 1,300 | Actual Sem.Exps.: 29 | % LOP Elapsed: 94 | |
| Accrued Expenditures: 1,279 | Pipeline: 21 | % Total Oblig. Exps.: 86 | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** This Project contributes to the Mission's Strategic Objective of Increased Economic Competitiveness by helping local companies overcome production, quality, management and market constraints to increased exports of non-traditional industrial and agroindustrial products.
- B. % of LOP Funds Relating to S.O.:** 100%

- III. PROJECT PURPOSE:** Assist local producers to expand or locate new industries and to develop new export markets for industrial and agroindustrial products by matching experienced private sector volunteers with Costa Rican companies.
- PROJECT DESCRIPTION:** The project provides partial funding of IESC's technical and marketing assistance to local companies, mainly small and medium sized firms with the potential to export or firms which are recently exporting. The project also finances IESC's technical assistance to private sector institutions supporting the development of non-traditional exports.

IV. PROJECT STATUS:

A. Planned FOPS:

1. N/A. However, the expected products is increased exports as a result of the assistance provided by IESC.

Progress to Date:

1. 64 companies/institutions assisted in 144 projects. A total of 5501 project/days have been implemented with project funds.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------|-------------------|---------------------------|-------------------------|--|--------------------------|
| 1. 7268 project/days | 1. 5501 | 1. 400 | 1. 277 | 1. In many cases length of visit, agreed with client, is reduced by VE confronting the real situation, or because clients were unable to implement some of the VE's recommendations and plans. | 1. |
| 2. 18 ABLE* studies | 2. 20 | 2. 0 | 2. 0 | 2. - | 2. - |
| 3. Training | 3. 8 | 3. 0 | 3. N/A | 3. 0 | 3. 0 |

* American Business Linkage Enterprise (ABLE)

PRJ NAME: International Executive Service Corps (IESC)
PRJ #: 515-0257.00G

- C. **Other Accomplishments:** Approximately 78% of the volunteer assistance was provided to companies/institutions working for the non-traditional export market. Most of the assistance was channelled to the industrial sector. The latest evaluation confirmed that as a result of IESC's interventions, more than 90% of the companies assisted has increased their efficiency, improved their quality standards or strengthened their management capabilities. As a result of the assistance, there are companies whose production improved almost 55% in the last quarter.
- D. **Issues and Corrective Actions Planned for Next Period:** The Mission approved the extension of the Grant to December 1994, based on the evaluation's positive findings. IESC estimated that they will complete 20 projects by December 1994. If they reach this target, almost full disbursement (96%) of grant funds will be accomplished. IESC projects a total of 6,077 projects days which is less than originally planned.
- E. **Status of CP's and Covenants:** All met.
- F. **Status of Audits:** As part of a worldwide audit, the audit of IESC/Costa Rica for FY 1992 was completed. The audit, reviewed by USAID/Costa Rica, did not report material weaknesses. Audit for FY 93 contracted by AID/Washington has not begin yet.
- G. **Status of Evaluations:** The latest evaluation (3/93) reviewing project and administrative performance during 1991 and 1992 concluded that the IESC program has been effective in meeting its objectives.
- H. **Number of Site Visits as per USO 570A:** 4
- I. **Quarterly Performance Reports Received as per USO 562:** Yes No N/A
- J. **ACR/AFAS Received as per USO 574:** Yes No N/A

x:\sar\515-0257

OFFICE OF PRIVATE ENTERPRISE DEVELOPMENT

LC ACTIVITIES (\$000,000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|-----------------------------|--------|----------|-------------------|---------------|--|---|
| Prj Name: | CODESA Divest./FINTRA Trust | Agdrt: | 01/18/85 | Prj Officer : | Luis Solera | Planned Yearly Non-Fed Audits: | 2 |
| Prj #: | 515K194.09G | IPACD: | N/A | Contractors: | N/A | Non-Fed Audits Contracted For/Completed: | 2 |
| CAT: | B | PACD: | N/A | Implem. Agencies: | FINTRA/CODESA | | |

B. Financial: (C000,000)

| | | | | | | | |
|-----------------------|-------|----------------------|-----|--------------------------|-------|-----------------------------|-----|
| Authorization (LOP): | 9,054 | FY Planned Exps.: | N/A | Next Sem. Plan. Exps.: | 1,000 | Counterpart Planned: | N/A |
| Current Obligations: | 9,054 | Semester Plan.Exps.: | N/A | % Actual to Plan. Exps.: | N/A | Counterpart to date (Est.): | N/A |
| Commitments to date: | 9,054 | Actual Sem.Exps.: | N/A | % LOP Elapsed: | N/A | | |
| Accrued Expenditures: | N/A | Pipeline: | 267 | % Total Oblig. Exps.: | 86 | | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: The project support the Strategic Objective "A more Streamlined, Responsive and Efficient Government" by divesting or liquidating state-owned enterprises and services. Specifically, the project support the GOCR for divesting state-owned enterprises in order to reduce its fiscal deficit and dedicate its resources to the provision of public services.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To assist the GOCR in its divestiture of the equity interests of its holding company, CODESA.

PROJECT DESCRIPTION: In 1985, an AID/GOCR agreement provided for AID to establish and fund a private sector trust, FINTRA, from an ESF-generated local currency account to buy, or hold in trust, holdings of CODESA for packaging and resale to the private sector. FINTRA has funds totalling about Q1,900 million earmarked for privatization. In addition, there is an ESF special currency account of approximately Q267.5 million earmarked to support the privatization process.

IV. PROJECT STATUS:

A. Planned EOPS:

1. The complete divestiture or liquidation of CODESA-held companies.
2. The closure of CODESA itself.
3. Supporting Reform of the State programs.

Progress to Date:

1. 41 of 42 CODESA companies closed, privatized or transferred.
2. Manpower reduced to 8 from a peak of 330; new activities have ceased.
3. See "Actual In Period" and "Other Accomplishments".

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|---|--|--|--|---|
| 1. Full divestiture of: 32 CODESA originally had 32 subsidiaries (owned 51% or more) and 10 affiliates (owned less than 50%) eligible for privatization. By law, CODESA has had to retain up to a 60% majority in two large affiliates. | 1. 40 of 42. Thirty-six companies and minority interests have been sold, liquidated or transferred to other government agencies. FINTRA bought and resold three others, held and restructured CATSA and sold all of its shares. | 1. FINTRA will assist the GOCR in completing the privatization of CEMPASA and FERTICA. | 1. Ninety-nine percent of CEMPASA shares sold. First round of FERTICA bid completed. | 1. FERTICA bidding interrupted by an appeal to the Constitutional Court. | 1. FINTRA will assist the GOCR in advancing the CEMPASA and FERTICA privatizations. |
| 2. Closure of CODESA | 2. Bill for closing CODESA drafted. | 2. FINTRA will assist the GOCR in drafting and submitting a bill to the Legislative Assembly for liquidating CODESA. | 2. Bill drafted. | 2. Submission of bill to the Legislative Assembly is pending the completion of the CEMPASA and FERTICA privatizations. | 2. |

PRJ NAME: CODESA Divest./FINTRA Trust
PRJ #: 515-K194.09G

C. Other Accomplishments:

1. FINTRA completed the study of the restructuring of FERTICA which identified the changes the company has to undertake to increase profits needed to repay FINTRA's loans to individual buyers of FERTICA shares.
2. FINTRA is updating a valuation of FANAL, the national liquor company, that will be used by the GOCR as reference for the sale of this company.
3. At the GOCR request, FINTRA has initiated its support to the GOCR's government restructuring program - part of its third Structural Adjustment Program - with the IBRD and BID including support to establish a one-stop import center and Hacienda's customs and tax reforms.

D. Issues and Corrective Actions Planned for Next Period:

1. In April a case went to the Supreme Court questioning the sale of CEMPASA and FERTICA. Although the CEMPASA sale was in its trial stage and the FERTICA sale had been initiated, CODESA had to halt both processes, pending the resolution from the Supreme Court.
2. The Mission will have to determine ASAP the final use of the \$267.5 million in the ESF special account earmarked for privatizations in order to close such account.
3. Negotiations with the GOCR regarding future uses of colon residuals will have to be completed in order to finalize this project.
4. FINTRA is continuing to make efforts in court to resolve the dispute over 500 has. with the previous owner of the woodlands acquired for the STABAPARI shares. Two cases have been presented to the local courts.

E. Status of CP's and Covenants: All CP's and Covenants have been met, except that the GOCR is late in the divestiture timetable agreed in ESR VI.

F. Status of Audits: Audits on FINTRA are performed semi-annually. The current audit which covers the period 04/01/94 to 09/30/94 is being carried out.

G. Status of Evaluations: Center for Privatization evaluation completed in May 1988. Findings were complimentary to the Project's evaluation and structure. On-going activities are evaluated quarterly by USAID's Project Management. A final evaluation is planned for the first semester of FY 95.

H. Number of Site Visits as per USO 570A: 25

I. Quarterly Performance Reports Received as per USO 562: Yes _____ No _____ N/A X

J. ACR/AFAS Received as per USO 574: Yes _____ No _____ N/A X

x:\sar\515-k194

GENERAL DEVELOPMENT OFFICE

DOLLAR PRJ. - USAID/COSTA RICA
(\$000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|-------------------------------|--|
| Prj Name: C.A.Peace Scholarships (CAPS) | Agrdt: 04/08/87 | Prj Officer: Flora Ruiz | Planned Yearly Non-Fed Audits: 1 |
| Prj #: 515-0242.00G | IPACD: 06/28/93 | Contractors: PIET, CID, FUNAC | Non-Fed Audits Contracted For/Completed: 1 |
| CAT: B | PACD: 09/30/95 | Implem. Agencies: USAID | |

B. Financial: (\$000)

| | | | |
|------------------------------|--------------------------|-----------------------------|---------------------------------|
| Authorization (LOP): 20,362 | FY Planned Exps.: 729 | Next Sem. Plan. Exps.: 129 | Counterpart Planned: N/A |
| Current Obligations: 20,362 | Semester Plan.Exps.: 562 | % Actual to Plan. Exps.: 59 | Counterpart to date (Est.): N/A |
| Commitments to date: 20,272 | Actual Sem.Exps.: 334 | % LOP Elapsed: 90 | |
| Accrued Expenditures: 20,272 | Pipeline: 90 | % Total Oblig. Exps.: 99 | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.:

Non-strategic objective: Enhanced access to resources needed by predominantly lower income groups.

B. % of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To counter Soviet Bloc and Cuban Training activity by increasing the number of U.S. trained individuals from the socially and economically disadvantaged classes; to increase the number of U.S. trained public and private sector individuals at the planning, implementation, technical, managerial, and administrative levels.

PROJECT DESCRIPTION: Long-term (university and high school) and short-term (technical) training programs are components of the project. Candidates are selected with careful attention to CAPS guidelines, including economic need, leadership potential, and rural origins. The "Experience America" component provides the opportunity for immersion in the U.S. culture and complements the academic/technical component of the program.

IV. PROJECT STATUS:

A. Planned EOPS:

1. U.S. trained leaders, technicians and administrators employing newly acquired skills in host country and private sector programs.
2. Institutions providing increased development related services.
3. A system in place which provides more cost-effective and meaningful undergraduate training and technical training for the disadvantaged,

Progress to Date:

1. To date, over 1945 participants (target 1822) have returned to Costa Rica and are applying their skills to their respective areas of expertise.
2. The Mission continually seeks and has achieved lower costs through cost-containment initiatives. Areas of study selected have been consistent with both the intentions of the participants, and with Costa Rica's development priorities.
3. The selection system assures broad participation of the socio-economically disadvantaged.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------------|----------------------|---------------------------|---|--------------------------------------|--------------------------------------|
| 1. 1822 total participants | 1. 2023 participants | 1. N/A | 1. Of the 17 participants (long term academic) trainees 13 initiated training during the 3rd and 4th quarters under this project and 515-0254. These participants are included in the cumulative total. | 1. N/A | 1. Monitor participants performance. |

PRJ NAME: Central America Peace Scholarship (CAPS)
 PRJ #: 5150242.00G

Progress in achieving CAPS guidance includes:

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------|----------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 2. 30% long term | 2. 32% long term | | | | |
| 3. 40 women | 3. 42% women | | | | |
| 4. 70% disadvantaged | 4. 94% disadvantaged | | | | |
| 5. Participants M F | 5. M F | 5. M F | 5. M F | 5. M F | 5. M F |
| Long Term 328 219 | 354 307 | 0 0 | 0 0 | N/A | 0 0 |

C. **Other Accomplishments:** The current total of 2023 participants long term academic and short term exceeds the target of 1822 by almost 9%. Under CAPS I the percentage of women participating in our training program exceeds the 40% figure established by USAID/Washington. As of this date under CAPS I, of the 2023 participants (long term academic) and (long term technical) trainees 858 are women, or 42% of the total.

Contribution to the Achievement of Mission Goals: Because the focus of CAPS has been on the socio-economically disadvantaged and women, this project has contributed significantly to the Mission goal to "enhance sustainable access to the resources needed by the disadvantaged in order to participate actively in the economy." Our short term and high school groups, for example, were comprised of community and school leaders who live and work in rural or semi-rural areas. Their training and role as change agents are important factors in achieving wider participation in the benefits of a growing economy through a continual strengthening of the democratic process.

D. Issues and Corrective Actions Planned for Next Period:

1. No major problems have constrained the implementation of this project.
2. If approved the balance of funds remaining in the project will be used for short term training. Although funds are almost exhausted, this project cannot be closed out because several participants will not complete their programs and return to Costa Rica until August/September 1995.

E. **Status of CP's and Covenants:** All met

F. **Status of Audits:** N/A

G. **Status of Evaluations:** Successfully completed in 4th quarter of 1994.

H. **Number of Site Visits as per USO 570A:** N/A

I. **Quarterly Performance Reports Received as per USO 562:** Yes _____ No _____ N/A X

J. **ACR/AFAS Received as per USO 574:** Yes _____ No _____ N/A X

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|--|----------------------------------|
| Prj Name: Justice Sector Improv. (JSIP) | Agrdt: 09/28/88 | Prj Officer: F. Ruiz/R. Buergethal | Planned Yearly Non-Fed Audits: 8 |
| Prj #: 515-0244.00G | IPACD: 09/31/91 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: B | PACD: 03/31/98 | Implem. Agencies: ILANUD, Supreme Court, and National Commission | For/Completed: 4 |

B. Financial: (\$000)

| | | | |
|-----------------------------|--------------------------|-----------------------------|------------------------------|
| Authorization (LOP): 5,100 | FY Planned Exps.: 827 | Next Sem. Plan. Exps.: 210 | Counterpart |
| Current Obligations: 4,000 | Semester Plan.Exps.: 518 | % Actual to Plan. Exps.: 34 | Planned: ₡152,966,400 |
| Commitments to date: 3,995 | Actual Sem.Exps.: 174 | % LOP Elapsed: 65 | Counterpart |
| Accrued Expenditures: 3,068 | Pipeline: 932 | % Total Oblig. Exps.: 84 | to date (Est.): ₡337,839,385 |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Project contributes to S.O. No. 2, "A More Streamlined, Efficient and Responsive Government", Third Program Output, "Strengthened Legislative and Judicial Process". It contributes towards this objective by working to increase the speed and efficiency with which justice is administered in Costa Rica, thereby reinforcing its ability to contribute positively to the maintenance of democratic government and an expanding modernizing economy.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: The purpose of the Project is to consolidate and modernize the Costa Rican justice system by strengthening important areas of the justice system: a) national coordination and planning in the justice sector; b) professional judicial education and training; c) availability of up-to-date information on legislation currently in force; and d) Court administrative systems and other mechanisms (delay reduction and alternative dispute resolution (ADR)) to improve court efficiency in handling cases.

PROJECT DESCRIPTION: a) Strengthen the Costa Rican National Commission for the Administration of Justice; b) Strengthen and expand Judicial School; c) Establish a legislative reference system in the Office of the Attorney General of Costa Rica; and d) Provide assistance to the Supreme Court in modernizing basic administrative systems and taking other actions (delay reduction mechanisms and alternative dispute resolution programs). **NOTE:** The Project was amended on 5/26/93, separating it into two stages - JSIP I covers the period 9/88 thru 5/93 and JSIP II which covers the period 6/93 thru 3/98. Under JSIP II the Legislative Reference System Component was eliminated and a new component on Court Administration was added. Discussion under section four reflects the Implementation Progress made in JSIP II only.

IV. PROJECT STATUS:

A. Planned EOPS:

1. Effective National Commission.
2. The Judicial School provides a system-wide, education/training program.
3. Attorney General's Office automated info system.
4. ILANUD assisting other countries implement other justice sector improvement projects.
5. Supreme Court modernized.

Progress to Date:

1. Core funding achieved. Contracted core staff. Reformed executive Decree. Four seminars hosted on modernization themes.
2. Training programs designed, implementation begun.
3. System completed and operational.
4. N/A under JSIP II.
5. Workplans and budgets completed. Project implementation initiated.

PRJ. NAME: Justice Sector Improvement Project (JSIP)
 PRJ. #: 515-0244.00G

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|---|---|--|---|---|
| 1. National Commission: institutionalized, promoting modernization | 1. Completed workplan and budgets, began project implementation. | 1. Complete institutional study, continue inst.dev. efforts, link with key just. sector institutions. | 1. Institutional study completed, institutional development continuing. Hosted seminars. | 1. None | 1. National Commission: Complete 1995 workplan, continue multi-sectorial activities. |
| 2. Judicial School (JS): Training on modernization themes for prominent judicial officers. | 2. Completed workplan / budget; began project implementation of new activities; consultant team contracted. | 2. Accelerate project implementation. | 2. Accelerated Project Implementation of new activities. Two courses held on Institutional Dev./Management. | 2. None | 2. Judicial School: Complete 1995 workplan, implement new training modules. |
| 3. Court Administration: | 3. Three draft workplans prepared and presented without the assistance of US TA team. | 3. Submit JSIP II workplan for approval, begin project implementation. | 3. Court Administration: Began project implementation: | 3. Delayed approval of workplan limited project implementation to three months. | 3. Court Administration: Complete 1995 workplan, continuation of project implementation: |
| A) Modernization of Administrative practices. | | | A) Administration subproject: Opened Limon regional office trial, began studies on S.Ct. Chambers. | | A) Administration subproject: Complete organizational/ functional study of S.Ct. Chambers 3/4, complete Superior Council study, Preliminary study regional office in Limon; |
| B) Implementation of delay reduction reforms. | | | B) Delay Reduction subproject: Selected and began training of TA team / manuals. | | B) Delay Reduction subproject: Continue working with the technical assistance team on studies relating to causes of delay, completion of delay reduction manual to encourage use of oral proceedings; |
| C) Establishment of ADR mechanisms. | | | C) ADR subproject: Contracted legal analysis team and began legal analysis, continued public diffusion campaign. | | C) ADR subproject: Complete ADR legal study/poll, continue public education campaign, prepare ADR tour of US programs with USIS assistance. |

PRJ NAME: Justice Sector Improvement Project (JSIP)

PRJ #: 515-0244.00G

- C. **Other Accomplishments:** National Commission now receives core funding from the Ministry of Justice , Supreme Court, office equipment from ILANUD, currently negotiating with IDB, executive decree reformed to include Ombudsman, Coordinating with Center For. Democracy , ILANUD on institutional map; Legislative Reference system expanded from Attorney General's office to Legislative Assembly and continues to expand , Supreme Court accelerated project implementation. Administration subproject: Limon office success forming basis for offices in two additional provinces, ADR subproject: project has motivated national ADR (alternative dispute resolution) movement and projects by the Bar Association, Law School and Chamber of Commerce.
- D. **Issues and Corrective Actions Planned for Next Period:** Although the Court prepared a 27 month workplan, project acceleration will require a 1995 workplan modification.
- E. **Status of CP's and Covenants:** All met.
- F. **Status of Audits:** 1993 audit pending.
- G. **Status of Evaluations:** Mid-term completed.
- H. **Number of Site Visits as per USQ 570A:** 15
- I. **Quarterly Performance Reports Received as per USQ 562:** Yes No N/A
- J. **ACR/AFAS Received as per USQ 574:** Yes No (Not available)

x:\sar\515-0244

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|------------------------------|--------|----------|------------------|--------------------|--|-----|
| Prj Name: | C.A. Peace Scholar.(CAPS II) | Agrdt: | 05/04/90 | Prj Officer: | Flora Ruiz | Planned Yearly Non-Fed Audits: | N/A |
| Prj #: | 515-0254.00G | IPACD: | J9/30/98 | Contractors: | PIET, FUNAC & CSLA | Non-Fed Audits Contracted For/Completed: | N/A |
| CAT: | B | PACD: | 08/31/96 | Implm. Agencies: | USAID | | |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-------|----------------------|-------|--------------------------|-----|-----------------------------|-----|
| Authorization (LOP): | 6,960 | FY Planned Exps.: | 1,230 | Next Sem. Plan. Exps.: | 321 | Counterpart Planned: | N/A |
| Current Obligations: | 6,960 | Semester Plan.Exps.: | 438 | % Actual to Plan. Exps.: | 238 | Counterpart to date (Est.): | N/A |
| Commitments to date: | 6,519 | Actual Sem.Exps.: | 1,044 | % LOP Elapsed: | 73 | | |
| Accrued Expenditures: | 5,468 | Pipeline: | 1,494 | % Total Oblig. Exps.: | 78 | | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.:

*Non strategic objectives: Enhanced access to resources needed by predominately lower income groups.

B. % of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To equip a broad base of leaders and potential leaders with technical skills, training, and academic education and an appreciation and understanding of the workings of a free enterprise economy in a democratic society.

PROJECT DESCRIPTION: Like its predecessor CAPS I, this project will select participants for long-term (academic and technical) and short-term technical programs. Selection criteria include current and potential leadership qualities and economic need. Exposure to the U.S. culture is an objective of the "Experience America" component.

IV. PROJECT STATUS:

A. Planned EOPS:

1. Greater participation in economic and social progress by poorer and disadvantaged groups.

Progress to Date:

1. Implementation of project activities is on schedule. Target number of participants reduced in 1992. due to scaling back of project financing.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---------------------------|--|--|---|--------------------------------------|--|
| 1. 711 total participants | 1. ST 225 LT/T 60 LT/A 56 341 | 1. 14 participants (long term academic) trainee will depart for the US during 3rd & 4th quarters of FY-94. | 1. Of the 14 participants (long term academic) 13 departed for the U.S. during the 3rd and 4th quarter of 1994 (split funded under CAPS I & II). 27 participants successfully completed training and return to Costa Rica. These participants are included in the cumulative total. | 1. N/A | 1. 1 participant (long term academic) trainee will depart for the U.S. during the 2nd. quarter of FY1995. He will attend a one year academic program and a three-month complementary training in field of specialization. 4 participants will complete their training program and return to Costa Rica during the 1st quarter of 1995. |

PRJ NAME: Central America Peace Scholarship II
 PRJ #: 515-0254.00G

Progress in achieving CAPS II guidance includes:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------|-------------------|---------------------------|-------------------------|--------------------------------------|--------------------------------------|
| 2. 74% long term | 2. 31% | | | | 2. Monitor participants performance. |
| 3. 40% women | 3. 51% | | | | |
| 4. 70% disadvantaged | 4. 94% | | | | |
| 5. Participants F M | 5. M F | | | | |
| Long Term 140 210 | 49 47 | | | | |
| Short Term 144 216 | 0 0 | | | | |

C. Other Accomplishments: Contribution to the Achievement of Mission Goals: Like CAPS I, because the focus of CAPS II has been on the socio-economically disadvantaged and women, this project has contributed significantly to the Mission goal to "enhance sustainable access to the resources needed by the disadvantaged in order to participate actively in the economy." Our high school groups, for example, are comprised of school leaders who live in rural or semi-rural areas. Their training and role as change agents are important factors in achieving wider participation in the benefits of a growing economy through a continual strengthening of the democratic process. Under CAPS II program, the percentage of women participating in our training program exceeds the 40% established by USAID/Washington. The current percentage of women is 52%: 176 women out of a total of 342 participants (long term academic) and (long term technical) trainees.

27 participants (long term academic) trainees successfully completed their programs and returned to Costa Rica during the 3rd and 4th quarters of 1994.

On September 1994, the training impact evaluation (1974-1994) was completed. The findings revealed that USAID/Costa Rica's training component has had a tremendous multiplier effect and consequently a great impact on the individual trainees, their communities and the institutions and sectors for which they work.

D. Issues and Corrective Actions Planned for Next Period:

1. No major problems have constrained the implementation of this project.
2. Pipeline will be analyzed to determine use of balance funds.

E. Status of CP's and Covenants: All met

F. Status of Audits: Open recommendation from 1992 audit of FUNAC is in the process of being closed. The final FUNAC audit is nearly complete.

G. Status of Evaluations: Successfully completed in the 4th quarter of 1994.

H. Number of Site Visits as per USO 570A: 3

I. Quarterly Performance Reports Received as per USO 562: Yes _____ No _____ N/A X

J. ACR/AFAS Received as per USO 574: N/A

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|---|--|
| Prj Name: Reproductive Health Consolid. | Agrdt: 06/25/92 | Prj Officer: Betsy Murray | Planned Yearly Non-Fed Audits: 2 |
| Prj #: 515-0261.00G | IPACD: 09/30/95 | Contractors: N/A | Non-Fed Audits Contracted For/Completed: 3 |
| CAT: A | PACD: 09/30/95 | Implem. Agencies: Caja Costarricense de Seguro Social | |

B. Financial: (\$000)

| | | | |
|---------------------------|--------------------------|-----------------------------|------------------------------------|
| Authorization (LOP): 720 | FY Planned Exps.: 398 | Next Sem. Plan. Exps.: 242 | Counterpart Planned: C4,000 |
| Current Obligations: 720 | Semester Plan.Exps.: 250 | % Actual to Plan. Exps.: 66 | Counterpart to date (Est.): C3,600 |
| Commitments to date: 510 | Actual Sem.Exps.: 165 | % LOP Elapsed: 69 | |
| Accrued Expenditures: 261 | Pipeline: 459 | % Total Oblig. Exps.: 36 | |

NOTE: This Project is jointly implemented with Project No. 515-0261.01G.
The Project Nos. 515-0261.00 and 515-0261.01 designate separate funding elements for a single project.

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** Non Strategic Objective.
B. % of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To enable public and private institutions to provide effective reproductive health services without further external assistance.
PROJECT DESCRIPTION: The project will help achieve the full integration of reproductive health information and services in the central offices and clinical field units of the public health institutions. Also, PROFAMILIA will be providing an increasing percentage of reproductive health commodities and services, through private and commercial channels.

IV. PROJECT STATUS:

A. Planned EOPS:

- CCSS program management for reproductive health services will be fully integrated into the Preventive Medicine Department at the central level and regularly supervising field health units at the regional level.
- CCSS and MOH will be providing improved reproductive health services in 100% of public health units.
- CCSS will be budgeting and purchasing with domestic resources 100% of public sector basic contraceptive needs.
- CCSS will be assisting in the provision of reproductive health services and more workplace, and other non-traditional clinical sites.
- The Health Sector will be regularly including reproductive health and AIDS prevention within its public information programs.
- Reproductive health service training will be provided on a regular basis to all providers of such services.
- PROFAMILIA will be providing, without further international assistance, an increasing percentage of reproductive health services and supplies.

Progress to Date:

- Regionalization process under way with institutional reorganization.
- Services available at all clinics & centers. Less regular services available at small posts.
- 1992/93/94 oral contraceptives. 1993 IUD's, condoms. USAID no longer providing contraceptives after May 1994.
- Workplace services continue.
- Cost sharing of transmissions taking place.
- Medical schools, nursing schools and nurse auxiliary program curriculum under review. Teaching materials under development.
- 1994 - 49,482 couples served. Two brands of condoms and one type of pills available.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual In Period</u> | <u>Comments If less than planned</u> | <u>Next Period Plans</u> |
|--|--|---|---|--|---|
| 1. Transition to domestic financing of reproductive service commodities without loss of service. | 1. Procurements taking place on a regular basis. | 1. Receipt of condoms, pills and IUDs without difficulty. | 1. Condoms bid awarded to PROFAMILIA, awaiting purchase order authorization. Last IUD donation arrived. | 1. CCSS materials management is inefficient in general, which is reflected in this area. | 1. Receipt of condoms, pills and IUDs without difficulty. |
| 2. Continued information campaigns to inform and motivate clientele. | 2. Information services under way. | 2. Mass media messages from former project to be retransmitted. | 2. Reduced air time on transmissions due to restrictions on use of media. | 2. Macroeconomic expenditure restrictions affect air time. | 2. Videos to be developed for use in clinics. |

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|---|---|---|---|---|
| 3. Continued research to improve services & program management. | 3/ O/A | 3. a) Demographic seminar & formal presentation of prevalence & young adult surveys. b) Survey on medical barriers. c) Further analytical work on prevalence survey. | 3. a) Demographic seminar pre-Cairo conference received good press coverage. b) Not done. c) Underway. | 3. a) N/A b) Survey planned for February '95. c) N/A | 3. a) Operations research on administrative barriers. b) Survey on medical barriers. c) Further analytical work on prevalence survey. |
| 4. Sustained and complete coverage of doctors and nurses with reproductive health service training. | 4. N/A | 4. a) Seminars & work sessions on family planning topics in formal academic curricula to be carried out. b) Medical barriers seminar planned to confront unnecessary difficulties to access. | 4. Seminars on gender issues for medical personnel. a) & b) not carried out. | 4. Key project personnel have been taken up with planning activities for sectorial restructuring. | 4. a) Seminars & work sessions on family planning topics in formal academic curricula to be carried out. b) Medical barriers seminar planned to confront unnecessary difficulties to access to services. |
| 5. Increased private vs. public role in provision of reproductive health services. | 5. PROFAMILIA increasing commercial linkages. | 5. Increased participation by PROFAMILIA in commodity provision. (pills, condoms, NORPLANT, IUDs) Pills distribution to begin 7/94. | 5. a) PROFAMILIA new condom line successfully launched. b) Lo Rondal Pills on sale by PROFAMILIA. c) Norplant registered. | 5. c) Norplant product not yet available. Distribution issues resolved. | 5. Increased participation by PROFAMILIA in commodity provision |
| 6. Training Short Term | 6. M F 70 100 | 6. M F 150 150 | 6. M F 40 50 | 6. M F See 4 above. | 6. M F See 4 above. |

C. Other Accomplishments:

1. Women will be the primary beneficiaries as users of the reproductive health program. Both men and women will benefit from AIDS prevention. Training programs will benefit more women due to occupational categories.
2. Adolescent AIDS prevention program underway in three project sites. Achievements disseminated in Psychologists Association's annual professional conference.
3. PROFAMILIA will achieve self sufficiency in 1995 and has increased its contraceptive product line for social marketing. It will be a potential source for the management of AIDS/HIV condom distribution in Central America.

D. Issues and Corrective Actions Planned for Next Period: Policy discussions will be carried out during the period, to improve administrative program support and to identify barriers to effective access to family planning services, prior to project close out. The AIDS prevention activity will finish in May 1995. PROFAMILIA will be fully disbursed in October 1994. The CCSS management has been instructed to finalize project activities by June 30, 1995, in order to facilitate the program close out. The Project Management contract expenses finalize in September 30, 1995.

E. Status of CP's and Covenants: CP's completed 9/25/92.

F. Status of Audits: Annual audits underway for CCSS and PROFAMILIA.

G. Status of Evaluations: N/A

H. Number of Site Visits as per USO 570A: 12

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A

J. ACR/AFAS Received as per USO 574: Yes No (Part of audit.)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|-----------------------------------|----------------------------------|
| Prj Name: Reproductive Health Consofid. | Agrdt: 06/26/92 | Prj Officer: Betsy Murray | Planned Yearly Non-Fed Audits: 2 |
| Prj #: 5150261.01G | IPACD: 09/30/95 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: A | PACD: 09/30/95 | Implem. Agencies: PROFAMILIA S.A. | For/Completed: 3 |

B. Financial: (\$000)

| | | | |
|---------------------------|-------------------------|------------------------------|---------------------|
| Authorization (LOP): 200 | FY Planned Exps.: 102 | Next Sem. Plan. Exps.: 27 | Counterpart 400 |
| Current Obligations: 200 | Semester Plan.Exps.: 52 | % Actual to Plan. Exps.: 143 | Planned: |
| Commitments to date: 200 | Actual Sem.Exps.: 74 | % LOP Elapsed: 69 | Counterpart |
| Accrued Expenditures: 173 | Pipeline: 27 | % Total Oblig. Exps.: 80 | to date (Est.): 446 |

NOTE: This Project is jointly implemented with Project No. 515-0261.00G.
 The Project Nos. 515-0261.00 and 515-0261.01 designate separate funding elements for a single project.

PROJECT STATUS REPORT
 April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|----------------------------|--------|----------|-------------------|------------------|--------------------------------|---|
| Prj Name: | Arias Foundation Endowment | Agrdt: | 09/30/93 | Prj Officer : | Flora Ruiz | Planned Yearly Non-Fed Audits: | 1 |
| Prj #: | 515-0277.00G | IPACD: | 03/31/96 | Contractors: | N/A | Non-Fed Audits Contracted | |
| CAT: | B | PACD: | 03/31/96 | Implem. Agencies: | Arias Foundation | For/Completed: | 0 |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-----|----------------------|-----|--------------------------|------|-----------------|-----|
| Authorization (LOP): | 500 | FY Planned Exps.: | 500 | Next Sem. Plan. Exps.: | 0 | Counterpart | |
| Current Obligations: | 500 | Semester Plan.Exps.: | 500 | % Actual to Plan. Exps.: | 100% | Planned: | N/A |
| Commitments to date: | 500 | Actual Sem.Exps.: | 500 | % LOP Elapsed: | 40% | Counterpart | |
| Accrued Expenditures: | 500 | Pipeline: | 0 | % Total Oblig. Exps.: | 100% | to date (Est.): | N/A |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: Non strategic objective. The project will serve as an opening for the Agency's new emphasis on human rights and democracy.
 B. % of LOP Funds Relating to S.O.: N/A

- III. **PROJECT PURPOSE:** To establish an endowment in the United States which will support the efforts of the Arias Foundation to maintain and reinforce their overall endowment fund through which to provide stability and permanence of the foundation as a Central America not-for-profit organization.
PROJECT DESCRIPTION: To increase the Foundation's endowment fund, which in turn will generate interest earnings to fund core operating costs involved in developing specific programs in the Central American region related to women's rights and participation in the economy, progressive philanthropy, prevention and resolution of conflicts, disarmament and demilitarization.

IV. PROJECT STATUS:

A. Planned EQPS:

1. Endowment Fund increased by \$500,000.
2. Foundation's financial capacity strengthened.
3. Interest from endowment fund used to partially cover operating expenses.

Progress to Date:

Legg Mason Trust Company was identified by the Arias Foundation as the custodian and manager of the endowment account and a Trust Agreement was executed. Last April, the Mission disbursed the \$500,000 into the endowment account opened by Legg Mason with the First National Bank of Maryland.

B. Implementation Progress: N/A

C. Other Accomplishments: Project represents first dollar endowment established by AID under 1993 legislation.

D. Issues and Corrective Actions Planned for Next Period: To treat this endowment as a normal "project" for SAR reporting purposes makes no sense. The SAR format is largely inapplicable. For example, since interest earnings from the endowment finance a portion of the Arias Foundation's operating costs without restriction, there are no specific activities, plans, outputs, targets, etc. specifically related to the endowment only. Indeed, only when USAID receives the first annual audit about a year from now, will we even have any indication of how these interest earnings have been spent. We suggest that this activity be dropped from the SAR reporting process. Given the nature of this activity, this is the last SAR the Mission will submit for this Project.

E. Status of CP's and Covenants: Completed.

F. Status of Audit: The first independent financial and compliance audit will be carried out at the end of the first fiscal year covered by the period of this Grant.

G. Status of Evaluations: N/A

H. Number of Site Visits as per USO 570A: N/A

I. Quarterly Performance Reports Received as per USO 562: N/A (Grantee will provide annual reports)

J. ACR/AFAS Received as per USO 574: N/A

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|-----------------------------------|----------------------------------|
| Prj Name: Partnership, Democracy & Dev. | Agrdt: 08/27/92 | Prj Officer : F.Ruiz/R.Buergethal | Planned Yearly Non-Fed Audits: 3 |
| Prj #: 596-0177.00G | IPACD: 09/30/93 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: B | PACD: 09/30/95 | Implem. Agencies: ILANUD | For/Completed: 1 |

B. Financial: (\$000)

| | | | |
|---------------------------|--------------------------|------------------------------|---------------------|
| Authorization (LOP): 920 | FY Planned Exps.: 310 | Next Sem. Plan. Exps.: 185 | Counterpart |
| Current Obligations: 869 | Semester Plan.Exps.: 200 | % Actual to Plan. Exps.: 112 | Planned: N/A |
| Commitments to date: 869 | Actual Sem.Exps.: 223 | % LOP Elapsed: 33 | Counterpart |
| Accrued Expenditures: 417 | Pipeline: 452 | % Total Oblig. Exps.: 16 | to date (Est.): N/A |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Project falls under S. O. No. 2, "A More Streamlined, Efficient and Responsive Government", Third Program Outcome, "Strengthened Legislative and Judicial Process". It contributes towards this objective by supporting programs aimed at strengthening democracy and promoting economic development in Central America.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To stimulate a multilateral effort to assist the PDD in designing and carrying out priority administration of justice projects and support other priority democracy strengthening activities in Central America.

PROJECT DESCRIPTION: The major focus of the PDD is to promote multilateral interest and assistance in the areas of democracy and development, focusing on priority areas within the scope of the Democracy Working Group, with an emphasis on activities to strengthen the administration of justice. Activities in other priority democracy areas such as human rights and elections will primarily be supported through other donors.

IV. PROJECT STATUS:

A. Planned EOPS:

1. National Commissions - Creation and strengthening of Justice sector planning and coordination bodies within each PDD country.
2. Regional Program of Support to National Judicial Schools - Strengthened performance of existing national judicial schools and training programs thru TA in curriculum development and training of trainers.
3. Training Program for Local Judges - Development of training modules and materials to be used in pilot programs for local judges in one or more national judicial schools or training programs in the region.
4. Support to AOJ Co-Panel and Technical Working Group - Systematic donor coordination and recommendations on priority areas for economic assistance, including the creation of a data bank on AOJ activities in the region.

Progress to Date:

1. Workplans and budgets completed; Reformulated project limited to Costa Rica /Honduras; project with Costa Rica Commission and Supreme Court of Honduras, contracting of consultant for Costa Rica databank and institutional map. Honduras pilot completed.
2. Workplans and budgets completed; completed training program design, contracted consultants, participant profiles completed, local counterparts named, national workshop planning begun.
3. Workplans and budgets completed; Nicaragua project completed, 149 judges trained, training manuals developed; Honduras, project designed/presented to Supreme Court. Panamá project designed.
4. All country studies completed except Guatemala; National forums in all countries except Guatemala/Nicaragua; reformulation of USAID projects for other donor profiles. Completed donor/user lists, selected hard/software, began information capture and registration.

B. Implementation Progress: Amendment No. 1 to the USAID/ILANUD Agreement for PDD was signed on August 21, 1993. This Amendment brought the LOP funding to a total of \$845,000 and replaced the Project Description with one outlining the four programs listed above. An integrated workplan was completed and approved through Project Implementation Letter on Dec. 16, 1993. Work began in January 1994. A revised workplan was submitted and approved June 17, 1994 given the phase-out of the PDD process in order to adjust subproject activities and goals.

PRJ. NAME: Partnership for Democracy and Development
PRJ #: 596-0177.00G

- C. Other Accomplishments: Donor coordination meetings continue on regular basis. Regular subproject director coordination meetings held.
- D. Issues and Corrective Actions Planned for Next Period: Donor coordination meetings have been delayed due to numerous staff changes within local donor agencies.
- E. Status of CP's and Covenants: Revised workplan and budget approved in June 1994.
- F. Status of Audits: 1993 Audit completed. 1994 audit contract pending.
- G. Status of Evaluations: An evaluation will be performed within 90 days of the termination of the Grant (Sept. 30, 1995).
- H. Number of Site Visits as per USO 570A: 9
- I. Quarterly Performance Reports Received as per USO 562: Yes No N/A
- J. ACR/AFAS Received as per USO 574: Yes No N/A

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---------------------------------------|-----------------|-----------------------------------|--|
| Prj Name: Reg1 Adm. of Justice (RAJO) | Agrdt: 03/22/85 | Prj Officer: F.Ruiz/R.Buergenthal | Planned Yearly Non-Fed Audits: 9 |
| Prj #: 597-0002.00G/598-0642.01G | IPACD: 03/31/90 | Contractors: N/A | Non-Fed Audits Contracted For/Completed: 7 |
| CAT: B | PACD: 12/31/94 | Implem. Agencies: ILANUD | |

B. Financial: 597-0002.00G (\$000)

| | | | |
|------------------------------|------------------------|----------------------------|---------------------------------|
| Authorization (LOP): *25,872 | FY Planned Exps.: 90 | Next Sem. Plan. Exps.: 0 | Counterpart Planned: N/A |
| Current Obligations: 13,586 | Semester Plan.Exps.: 0 | % Actual to Plan. Exps.: 0 | Counterpart to date (Est.): N/A |
| Commitments to date: 13,585 | Actual Sem.Exps.: 0 | % LOP Elapsed: 87 | |
| Accrued Expenditures: 13,584 | Pipeline: 1 | % Total Oblig. Exps.: 99 | |

Financial: 598-0642.01G (\$000)

| | | | |
|------------------------------|--------------------------|------------------------------|---------------------------------|
| Authorization (LOP): *25,872 | FY Planned Exps.: 500 | Next Sem. Plan. Exps.: 130 | Counterpart Planned: N/A |
| Current Obligations: 6,872 | Semester Plan.Exps.: 190 | % Actual to Plan. Exps.: 141 | Counterpart to date (Est.): N/A |
| Commitments to date: 6,871 | Actual Sem.Exps.: 269 | % LOP Elapsed: 87 | |
| Accrued Expenditures: 6,576 | Pipeline: 297 | % Total Oblig. Exps.: 83 | |

NOTE: The Project Nos. 597-0002 and 598-0642.01 designate separate funding elements for a single project.

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.:

This Project falls under S.O. No. 2, "A More Streamlined, Efficient and Responsive Government", Third Program Outcome, "Strengthened Legislative and Judicial Process". It contributes towards this objective by fostering the transformation of national justice systems into independent and strong judiciaries capable of increasing popular confidence in the fair and impartial application of the law and supporting democratic institutions.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE:

To strengthen regional and national institutions in order to provide services necessary for the improvement of administrative, technical and legal performance of justice systems in the region with primary emphasis on criminal justice system improvement.

PROJECT DESCRIPTION:

The Project addresses the need for long and short-term training, improvement of criminal justice statistical systems, greater and improved legal documentation, assistance to bar associations, technical assistance and increasing awareness of judicial reform needs among decision makers.

IV. PROJECT STATUS:

A. Planned EOPS:

- TRG. reinforce/expand judicial role in case decisions and court administration; develop technical capacity of national court staffs; reinforce progressive elements in nat'l justice systems; increase popular awareness of human/legal rights to encourage recourse to courts/enhance respect for rule of law.
- ADV SVCS: TA in design of activities relating to major issues of regional concern; TA to ILANUD Trg. Dept. in course development/evaluation; TA to nat'l organizations in dealing with country-specific technical problems.
- INST. DEV.: strengthen ILANUD's capacity to carry out activities; strengthen ILANUD's permanent capacity so it may continue to provide AOJ leadership beyond LOP.

Progress to Date:

- Trg. programs carried out in all project countries. Judicial personnel from all levels have participated in programs covering such topics as court administration, judicial statistics, popular legal education, criminal procedural codes, public defense, etc.
- TA completed to ILANUD Trg. Dept. ILANUD has continued to provide TA to Judicial Schools in curriculum development and to national organizations in such areas as law libraries, women and children and the justice system, public defense, judicial statistics and revision of criminal procedures codes.
- New Director in place and continuing to improve project mgmt., downsizing completed, new specialized staff members incorporated, signed new Project agreements with USAID/DR, USAID/Peru, Gov. of Argentina. Presidents in Central American named ILANUD to develop regional plan for council of foreign ministers.

* Two projects have been undertaken with the same authorization. Some activities have been implemented by LAC/DI, USAID/Washington.

PRJ. NAME: REGIONAL ADMINISTRATION OF JUSTICE
 PRJ #: 5970002.00G/5990642.01G

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|---|--|---|--------------------------------------|---|
| 1. TRG: 24 seminars/workshops; 20 short courses; 45 study tours; 48 UCR scholarship. Short-Term: 2349 pers. Long-Term: 48 persons. | 1. 172 seminars / workshops; 61 short courses, 10 study tours; 78 UCR scholarships. Long-Term: 42 M, 34 F; Short-term: 139 M, 125 F. UCR Scholarship program discontinued after 2/93 due to budget constraints. Completed the implementation of the final projects: Permanent Training for Costa Rica Judicial Branch personnel in the Area of Family Violence; Trg. on the New Criminal Procedures System of Guatemala Strengthening of the Judicial School of Nicaragua; Judicial Trg. in the DR; Training on the New Criminal Procedures Code of Costa Rica. | 1. Submission of Final Project Reports, initiation of Project close-out activities. | 1. Final Project reports received. | 1. None | 1. None |
| 2. ADVISORY SERVICES: TA/TRG on Crim. Just. Statistics; Reporting of Legislation and Jurisprudence; Bibliographic Assistance; Data Base; Court Administration; Agrarian Justice; Environmental Protection; Limited Tech. Assistance; Instructional Design; establishment of Reg. AOJ Office in AID/CR; Justice Sec. Assess. | 2. 224 TA assignments; 93 publications; completed DR Criminal Justice Statistics Project; 129 TRG materials developed; CA Sector Assessments completed; 7 Law Libraries established, evaluated and upgraded; Law Libraries in Latin America; Public Defense in Bolivia; Public Defense in the DR; and Children, Adolescents and Justice. | 2. Submission of final Project Reports, Initiation of Project Close-out activities. | 2. Final Project reports received. | 2. None | 2. None |
| 3. INSTITUTIONAL DEVELOPMENT: ILANUD Organizational; Long-term Development Strategy; Long-term Resident Advisors; Support to other regional institutions, Resident Coordinators, National Justice Reform Commissions. | 3. ILANUD Advisory Board formed, total of four meetings held; permanent director named, compl.dev. strategy; reformed CR/GOCR Agreement; continued controlled downsizing; increased efforts to diversify funding and sign bilateral agreements with USAID missions; provisional 24% overhead rate approved for ILANUD. | 3. ILANUD will submit comprehensive report on Amendment 11 conditions precedent and permanent Director will begin implementing development strategy. Project close-out activities will continue. | 3. Director implementing development strategy, expanding program base and improving staff capabilities, new regional mandate provided by presidents of C.A. and implementation begun. | 3. None | 3. Development strategy completed, fulfillment of regional mandate program objectives, Project close-out completed. |

PRJ NAME: REGIONAL ADMINISTRATION OF JUSTICE
PRJ #: 5970002.00G/5980642.01G

- C. Other Accomplishments: None
- D. Issues and Corrective Actions Planned for Next Period: Evaluation of the "Guacimo" exercise, success of development efforts.
- E. Status of CP's and Covenants: All met
- F. Status of Audits: 1993 audit completed, 1994 audit pending.
- G. Status of Evaluations: Awaiting authorization from LAC/Washington on contracting and financing for final evaluation.
- H. Number of Site Visits as per USO 570A: 5
- I. Quarterly Performance Reports Received as per USO 562: Yes No N/A
- J. ACR/AFAS Received as per USO 574: N/A

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GENERAL DEVELOPMENT OFFICE

LC ACTIVITIES (₱000,000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|------------------------|---|---|
| Prj Name: Self-help Community Fund | Agrdt: 10/27/88 | Prj Officer: Flora Ruiz | Planned Yearly Non-Fed Audits: 0 |
| Prj #: 515-k222.00G | IPACD: 12/31/94 | Contractors: N/A | Non-Fed Audits Contracted For/Completed: 0 |
| CAT: B | PACD: 12/31/94 | Implem. Agencies: Rural Communities, Peace Corps | |

B. Financial: (C000,000)

| | | | |
|-------------------------------------|---------------------------------|-------------------------------------|--|
| Authorization (LOP): 181,202 | FY Planned Exps.: N/A | Next Sem. Plan. Exps.: N/A | Counterpart Planned: N/A |
| Current Obligations: 181,202 | Semester Plan.Exps.: N/A | % Actual to Plan. Exps.: N/A | Counterpart to date (Est.): N/A |
| Commitments to date: 181,202 | Actual Sem.Exps.: N/A | % LOP Elapsed: 99% | |
| Accrued Expenditures: N/A | Pipeline: 4 036 | % Total Oblig. Exps.: 100% | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Non strategic objective. Enhanced access to resources needed by predominantly lower income groups.

B. % of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: The purpose of this Project is to fund small-scale community development projects in the areas of renovation/construction of classrooms, health posts, school lunchrooms, water systems, rural bridges and support for group income-generating activities.

PROJECT DESCRIPTION: USAID funds are provided directly to rural communities for small sub-projects designed to promote development at the community level. The Project provides a maximum of C680,000 (equivalent of \$5,000) per sub-project. The applicants are responsible for identifying local resources and usually receive support from GOCR or Municipal institutions. This contribution must be at least 50% of the total cost of the project. The other 50%, which may be used only for the purchase of construction materials, is provided by USAID.

IV. PROJECT STATUS:

A. Planned EQPS:

1. Not specified.
- 2.
- 3.

Progress to Date:

1. 1032 sub-grants approved for equal number of community projects.
2. All of these projects, with the exception of 7, were successfully completed before the end of the Project, June 30, 1994.
3. Over 310,000 direct beneficiaries.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|------------------------------|---|---|--------------------------------------|--------------------------|
| 1. 920 sub-grants (this target number was established after project initiation because it had not been defined at the beginning of the program). | 1. 1032 sub-grants approved. | 1. To use all uncommitted funds for as many sub-projects as possible. | 1. Most of the funds were disbursed and sub-projects were finished and officially closed-out. | 1. Target met. | 1. N/A |
| 2. Training: N/A | | | | | |

Prj Name: Self-help Community Fund
Prj #: 515k222.00G

- C. Other Accomplishments: A contract was signed with the "Universidad Nacional" for the final evaluation of the Project.
- D. Issues and Corrective Actions Planned for Next Period: Seven sub-projects could not be finished before the Project completion date, June 30, 1994. With the exception of one, the other six communities were not at fault for the delay, which was mainly due to the failure of AyA, the national water institute, to provide their promised support on time. However, the advances made by the Mission were liquidated based on submission of cancelled receipts for the amount of the sub-grants and the communities' assurance that these projects can be completed without further expenditures. As of September 30, three of the projects had already been finished.
- E. Status of CP's and Covenants: N/A
- F. Status of Audits: N/A
- G. Status of Evaluations: A comprehensive project evaluation was carried out by a highly qualified team of professionals from the "Universidad Nacional", who assessed the long-term development impact of this project.
- H. Number of Site Visits as per USO 570A: Approximately 130 site visits were made during the semester by the Project Officer, the Project Director and the Peace Corps Coordinator.
- I. Quarterly Performance Reports Received as per USO 562: N/A
- J. ACR/AFAS Received as per USO 574: N/A

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ENVIRONMENTAL OFFICE

DOLLAR PRJ. - USAID/COSTA RICA
(\$000)

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|--|------------------------|---|---|
| Prj Name: Northern Zone Consolidation | Agrdt: 08/24/88 | Prj Officer: D.A.Heesen/A.Villalobos | Planned Yearly Non-Fed Audits: 1 |
| Prj #: 515-0235.00G | IPACD: 09/30/93 | Contractors: AGRIDEC-ACDI | Non-Fed Audits Contracted |
| CAT: B | PACD: 03/31/95 | Implem. Agencies: OMA-ACDI-CARE | For/Completed: 1 |

B. Financial: (\$000)

| | | | |
|------------------------------------|---------------------------------|------------------------------------|------------------------------|
| Authorization (LOP): 5,150 | FY Planned Exps.: 2,000 | Next Sem. Plan. Exps.: 723 | Counterpart |
| Current Obligations: 5,150 | Semester Plan.Exps.: 400 | % Actual to Plan. Exps.: 78 | Planned: €254M |
| Commitments to date: 4,969 | Actual Sem.Exps.: 313 | % LOP Elapsed: 92 | Counterpart |
| Accrued Expenditures: 4,427 | Pipeline: 723 | % Total Oblig. Exps.: 86 | to date (Est.): €192M |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** Project lies outside current principal Mission focus and will expire on PACD March 31, 1995
B. % of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To contribute to the consolidation of the efficient and equitable socio-economic development of the Cantón of Upala and Guatuso, the District of Santa Cecilia, La Cruz Cantón and the District of Caño Negro, Cantón of Los Chiles.
PROJECT DESCRIPTION: The project, which focusses, primarily on the rural development of the Northern Zone of Costa Rica, has the following four components: (1) General Operations, (2) Crop Diversification, (3) Road Maintenance and Rehabilitation, and (4) Environmental Management Support. USAID will directly implement the Road Maintenance and Rehabilitation and the Crop Diversification Components through the end of the project.

IV. PROJECT STATUS:

A. Planned EOPS:

- Adequate all-weather road network and self-sustaining road management system.
- Non-traditional export-oriented crop production.
- Environmental management activities in place and functional.

Progress to Date:

- On-going maint. of 89 kms; 151 kms. already rehabilitated. (Project goal fulfilled)
- €200M revolving credit fund managed by CARE; most of the planting materials for 1994's cropping cycle have been planted. CARE is also financing and providing Marketing/Technical assistance for the planting of 50 hectares of pineapple.
- Environmental support, education, & monitoring activities in place.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|-------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 1. ROAD MAINTENANCE (km) | | | | | |
| a. 100 road maintenance | a. 89 | a. 30 | a. 38 | a. See IV. 1 above | a. 30 |
| b. 150 road rehabilitation | b. 151 | b. 0 | b. 0 | b. See IV. 1 above | b. 0 |
| 2. CROP DIVERSIFICATION (hectares) | | | | | |
| a. 1050 planted | a. 850 | a. 300 | a. 300 | a. See IV. 1 above | a. 150 |
| b. 1000 farmers | b. 800 | b. 300 | b. 300 | b. See IV. 1 above | b. 200 |
| 3. Environmental Support | | | | | |
| a. 50 environmental education programs | a. 92 | a. 24 | a. 36 | N/A | a. 10 workshops |

27

PRJ NAME: Northern Zone Consolidation
PRJ #: 5150235.00G

C. Other Accomplishments:

- (1) At the end of September, the Mission signed contract extensions with AMV and ACDI, taking both projects to March 31, 1995.
- (2) Additional rehabilitation and road maintenance equipment with a value of \$48,000 (a backhoe) was delivered to the AMV in Upala. A motor grader with a value of \$112,000 is expected to be delivered on October 26, 1994.
- (3) CARE continues with the harvesting of the first 50 hectares of heart of palm. A marketing research and market analysis of heart of palm is being implemented and adjusted according to market conditions. AID agreed to provide \$5.9 million for the strengthening of the "Fundación para el Fortalecimiento de la Familia Campesina de la Zona Norte" (FUNDECA). This Foundation will take over the marketing of the Heart of Palm when CARE personnel depart at the end of the project.
- (4) Agricultural Cooperative Development International (ACDI) continues to play an important and significant role in the technical and management consolidation of the Association of Road Maintenance and Rehabilitation (AMV).
- (5) Several environmental activities are in place. Carlos de la Rosa, the environmental specialist of the project, continues to provide technical guidance and support in the solution on several environmental problems/issues in the region.
- (6) The majority of NZCP's projects have placed special emphasis in gender. For example, between 50 and 60% of the educators of the zone (both in the elementary school and in the high school) are women. They are the target population for the school libraries project, the Natural History Magazine, and several other projects in the high school. About half of the technical professors at the high school are women, and they will benefit directly from the Science Laboratory facilities now in development. The EDUMUJER program of the International Organization of Work (OIT) worked in conjunction with OMA in the building and operation of the native tree nursery at the school, and collaborated in OMA's waste management program through the cloth and silk-screening shop. The Pesticide Management at Home course trained a group of farmers and farmer's spouses in the proper management of pesticides, first aid in cases on intoxication, fabrication of home-made protection equipment for the use of pesticides, and teaching techniques. These women, during and after the course, went to their respective communities to train other women in these techniques.

D. Issues and Corrective Actions Planned for Next Period:

- (1) OMA has increased the level of environmental support activities due to a more close relationship with various local government and private institutions in the Northern Zone. OMA has continued work to move current office's responsibilities to a new local environmental foundation when project ends in 3-31-95.
- (2) This quarter marked a major turning point for the AMV, brought on by simultaneous crisis in the board of director and at the field level where the AMV equipment does gravel road maintenance. As a result of these crisis, management was improved and strengthened at both the field and office levels, office overhead and field operating costs were reduced, equipment misuse by drivers was rooted out, the image of the AMV with the community and the government was greatly improved, and the marketing of AMV maintenance services met unprecedented success. The results of the AMV marketing efforts in this quarter were remarkable and very encouraging. Advised by ACDI consultants the AMV obtained a contract from MOPT for \$700,000 to fund 60% of road maintenance costs for the next year. By semester's end the Route 4 project was making substantial progress, and the paving process had actually begun on both the southern and northern portions.
- (3) The most important issue to the AMV continues to be sustainability. In this regard, AID and ACDI is providing assistance to AMV to help AMV's managers focus on the following: a) Financial analysis of the funding from the Ministry of Public Works (MOPT) and AMV maintenance contracts. b) Break-even analysis for the AMV overall. c) Formation of larger reserves for future activities/operations.
- (4) Work continues with Dr. de la Rosa of OMA on an ACDI-financed manual on proper use of river beds for gravel extractions, a first in Costa Rica.

E. Status of CP's and Covenants:

Satisfied on May 19, 1989.

F. Status of Audits:

AMV audit with all findings closed.

G. Status of Evaluations:

N/A

H. Number of Site Visits as per USO 570A: 6

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A

J. ACR/AFAS Received as per USO 574: Yes No

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | |
|----------------------------------|-----------------|-------------------|------------------------|--------------------------------|-----|
| Prj Name: Forest Mgmt. (FORESTA) | Agrdt: 04/26/89 | Prj Officer : | Michael Maxey | Planned Yearly Non-Fed Audits: | 5 |
| Prj #: 515-0243.00G | IPACD: 03/31/96 | Contractors: | Price Waterhouse/CATIE | Non-Fed Audits Contracted | |
| CAT: B | PACD: 03/31/96 | Implem. Agencies: | FUNDECOR | For/Completed: | 3/3 |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-------|----------------------|-------|--------------------------|-------|-----------------|---------------|
| Authorization (LOP): | 7,500 | FY Planned Exps.: | 1,700 | Next Sem. Plan. Exps.: | 1,307 | Counterpart | |
| Current Obligations: | 7,500 | Semester Plan.Exps.: | 600 | % Actual to Plan. Exps.: | 152 | Planned: | €1.2 million |
| Commitments to date: | 7,000 | Actual Sem.Exps.: | 1,215 | % LOP Elapsed: | 75 | Counterpart | |
| Accrued Expenditures: | 5,148 | Pipeline: | 2,352 | % Total Oblig. Exps.: | 68 | to date (Est.): | €0.97 million |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** FORESTA supports the maintenance of natural forest habitat by providing technical and financial assistance to promote improved management of protected areas, and sustainable land uses in the buffer zones of the protected areas of the ACCVC.
- B. % of LOP Funds Relating to S.O.:** 100%

III. PROJECT PURPOSE: Development of forestry and agroforestry as economically appropriate land uses in the buffer zones around selected national parks and other protected areas of the Cordillera Central (Central Volcanic Cordillera Conservation Area-ACCVC), and to support management of these protected areas.

PROJECT DESCRIPTION: The project has four components: (A) Area-wide Activities, (B) Specific Subproject Activities, (1) Management of Protected Areas; (2) Management of Natural Forests for Production; and (3) Integration of Trees on Farms.

IV. PROJECT STATUS:

A. Planned EQPS:

- FUNDECOR is a permanent self-sustaining private organization which will play an important role in the management of natural resources of the Central Cordillera Area.
- FUNDECOR will be participating in the mgmt. of national parks and other protected areas according to MIRENEM policy guidelines.
- Area of current forest cover maintained or increased.
- Integrated forest industry with sustained supply of raw materials.
- Local residents benefiting from improved forest management and agroforestry practices on their own lands.
- At least 5,000 h. managed according to technically optimal forest management plans.

Progress to Date:

- a) FUNDECOR's completely staffed and b) trust fund being developed.
- FUNDECOR participating in joint development of policy guidelines, management plans and technical and administrative procedures of ACCVC, environmental education, and visitor attendance programs.
- Baseline data digitized. Four mobile patrols activated. GIS dynamic socio-physical model in use to prioritize FUNDECOR's promotion of sustainable management forest and SPN-DGF protection actions according to predicted risk of land change in forest cover.
- 18 landowner forestry company contracts signed.
- Four private native species nurseries established with outplanting of 355 has. underway. Nurseries established by FUNDECOR are consolidated small business with predictions of 1.0 million trees per year of the eight original nurseries, four were discontinued and the remaining best four needed were continued. These four are the only ones needed to supply us the yearly plant production for reforestation needed by the Project.
- Management plans for additional 2,000 has. (11,600 has. total) completed for 94-95 management activities.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--------------------------|-------------------|---------------------------|-------------------------|--------------------------------------|--------------------------|
| 1. AREA-WIDE ACTIVITIES | | | | | |
| a. Hiring FUNDECOR staff | a. 25 | a. 0 | a. 0 | a. | a. |

PRJ NAME: FORESTA
 PRJ #: 515-0243.00G

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual In Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|--|--|--|---|---|
| b. Endowment Fund established | b. 0 | b. 1 | b. 0 | b. Delay in signing due to complicated negotiations | b. Endowment Agreement/ Trust Contract signed |
| c. FUNDECOR Staff Training | c. 7 | c. Training plan | c. 4 Training events | c. | c. Continue training plan; of the 7, 6 in CR institution and 1 in USA |
| d. Global Strategy for Conservation Area | d. 1 | d. Second phase completed | d. Second phase 80% completed | d. | d. Complete second phase |
| e. Multi-use Operation Centers | e. 1 | e. Complete Poas operational center | e. Poas operational center is completed | e. | e. Irazu construction plans finished and approved |
| f. Improved Protection Services for Cons. Area | f. 4 operational patrol teams | f. Analysis of patrol's effectiveness | f. Design of software for analysis of data completed | f. | f. Continue with protection program |
| g. EE Program Functioning in Conservation Area | g. 0 | g. 0 | g. Plan developed by ACCVC | g. | g. Complete EE Action Plan |
| 2. SPECIFIC SUB-PROJECTS | | | | | |
| a. MGNT. PROTECTED AREA | | | | | |
| 1. Mgmt. plans for ACCVC | 1. 1 | 1. 0 | 1. 5-year management plan by program completed | 1. | 1. Implementation of plan |
| 2. Infrastructure for Visitors | 2. Over 100 | 2. Complete Poas repairs; 1 overlook built | 2. Poas repairs complete | 2. Overlooks will not be built | 2. |
| 3. Services for Visitors | 3. Improved services in 3 national parks | 3. Concessions contract implemented | 3. Concessions contract out for public bidding | 3. | 3. Two concessions implemented |
| 4. Community Participation | 4. 75 | 4. 15 additional community contracts | 4. 15 | 4. | 4. To manage Parks Service |
| b. FOREST MANAGEMENT FOR PRODUCTION | | | | | |
| 1. Revised forestry guidelines & controls in place | 1. New guidelines in place | 1. Implement new guidelines | 1. Completed | 1. | 1. Review/ Improve guidelines |
| 2. Multi-year management plans forest owners | 2. 11600 has. | 2. 1400 has. with management plans | 2. Completed | 2. | 2. An additional 2000 has. with management plans |
| 3. Forest managed (has.) | 3. 2000 has | 3. 600 has. | 3. 765 has. | 3. | 3. Additional 2000 has. |
| 4. Community Enterp. established | 4. 2 | 4. Work continues on Ecotourism plans | 4. Completed and approved by USAID | 4. | 4. Initiate ecotourism activities |

PRJ NAME: FORESTA
 PRJ #: 515-0243.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|-------------------|---------------------------------------|---------------------------------------|--------------------------------------|---|
| 5. Forest mgmt./Reforest. Demo/research plots | 5. 2 plots | 5. Technical follow-up to demo. plots | 5. Technical follow-up to demo. plots | 5. | 5. Continue technical follow-up |
| c. INTEGRATION OF FORESTS | | | | | |
| 1. Nurseries established | 1. 4 | 1. Pesticide safety training | 1. Completed | 1. | 1. Continue with out-planting cycle for Oct. 1995 |
| 2. Agro-forestry demonstration & research plots | 2. 0 | 2. | 2. | 2. | 2. Reassess agroforestry |
| 3. Hectares reforested | 3. 700 | 3. Oct 95 reforestation | 3. 400 additional has. reforested | 3. | 3. Reassess reforestation outputs |

C. Other Accomplishments: Project implementation is on schedule, buffer zone activities ahead of schedule. FORESTA - forest under management LOP output surpassed by 7,000 has. Other accomplishments include: (1) 3 audits with no material findings; (2) woman Chief of Planning Director received FUNDECOR/AID scholarship for M.S. degree in U.S. and is now in the University of Arizona; (3) with FORESTA technical assistance parks in project area are self-financing - project no longer paying recurrent costs.

D. Issues and Corrective Actions Planned for Next Period: Finalize negotiations for endowment in the next six months.

E. Status of CP's and Covenants: All CPs relating to the project going forward closed with the GOCR on 2/16/91 (CPs No. 3.1.A.1 and 2) CPs to additional disbursement regarding FUNDECOR operations (CPs 3.2.A.1., A.2, A.3, A.4 and A.5) closed on 6/7/91 (PIL No. 10). CPs in Section 3.2.B refer to the endowment funds.

F. Status of Audits: 3rd audit completed with no material findings.

G. Status of Evaluations: Mid-term FORESTA evaluation completed. The evaluation concluded that FUNDECOR is a strong and respected institution with excellent management and exceptionally creative approaches to conservation of forests and associated resources of the ACCVC. FUNDECOR has excellent collaborative relationships with MIRENEM, National Park Service and DGF. The most impressive achievements have been made in forestry management -- with FUNDECOR aggressively acting to bring a large amount of forest under sustainable management (already is double the PP EOPS of 5,000 ha. -- new goal is 30,000 ha.). FUNDECOR is also working to streamline DGF procedures and increase forest value through a system of timber auctions. Technical management and administration of national parks has progressed admirably. FUNDECOR has a strong working relationship with the National Park Service which has resulted in developing financial self-sufficiency activities for parks, an integrated management plan, improved visitor facilities and services, and initial development of biodiversity and cultural resource conservation plans. The implementation of user fees/concessions activities has resulted in a trend toward parks self-sufficiency that has been rarely achieved anywhere. The major recommendations were that FUNDECOR must address its long-term financial sustainability by finalizing its Trust Agreement with AID & MIRENEM. It must focus on training of all involved in FORESTA. It should also pursue long-term funding from other donors, as well as forming development joint venture and improving their networking in the development community. FUNDECOR should also take a leadership role in the preparation and implementation of a comprehensive, "sustainable development" research program with other institutional partners in support of natural resource conservation management and monitoring within the ACCVC.

H. Number of Site Visits as per USO 570A: 3

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A

J. ACR/AFAS Received as per USO 574: Yes No

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | |
|-----------|--------------------------------|--------|----------|-------------------|----------------------------|---|
| Prj Name: | Tortuguero Conservation & Dev. | Agrdt: | 03/27/89 | Prj Officer : | Enrique Barrau | Planned Yearly Non-Fed Audits: Non-Fed-Audits Contracted For/Completed: |
| Prj #: | 515-0249.00G | IPACD: | 03/30/92 | Contractors: | Deppat, Wells & Associates | |
| CAT: | B | PACD: | 05/31/94 | Implem. Agencies: | CCC and USAID | |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-----|----------------------|-----|--------------------------|------|-----------------|----------|
| Authorization (LOP): | 550 | FY Planned Exps.: | 330 | Next Sem. Plan. Exps.: | 0 | Counterpart | |
| Current Obligations: | 550 | Semester Plan.Exps.: | 0 | % Actual to Plan. Exps.: | 100% | Planned: | \$75,000 |
| Commitments to date: | 550 | Actual Sem.Exps.: | 0 | % LOP Elapsed: | 100% | Counterpart | |
| Accrued Expenditures: | 550 | Pipeline: | 0 | % Total Oblig. Exps.: | 100% | to date (Est.): | \$75,000 |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** The project supported the previous strategic objective improved long-term management of the natural resource base by promoting sound ecological preservation in a sea turtle nesting area. It lies outside the current strategic objective and expired on the PACD 5-31-94.
- B. % of LOP Funds Relating to S.O.:** 100%

III. PROJECT PURPOSE AND DESCRIPTION: Promote economically sound preservation of Tortuguero Regional Conservation Unit. The objectives of this project are to develop the region into a major natural history tourism attraction, to ensure the perpetuity of the sea turtle rookery and to support management in the protected areas. Specific objectives include: (1) to create a corridor extension between the Tortuguero National Park and Barra del Colorado Wildlife Refuge that will protect wet tropical lowland biodata and the region's biodiversity, (2) to develop and environmental education program for both the buffer zone and the middle watershed area affecting Tortuguero, and (3) to support research on conservation and the endangered green sea turtle.

IV. PROJECT STATUS:

A. Planned EQPS:

1. Develop a regulatory plan
2. Build and Environmental Interpretation and Extension Center (EIE)
3. Tortuguero Mountain
4. EIE Program
5. Green Turtle Research Station

Progress to Date:

1. Plan completed.
2. Construction completed.
3. Inclusion as part of biological corridor being negotiated with MIRENEM.
4. Brochures printed, guide course was given, slide show and video developed.
5. Construction completed.

B. Implementation Progress (ALL ACTIVITIES WERE COMPLETED, PROJECT ENDED 5-31-94):

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------------------|------------------------|---------------------------|-------------------------|--|--------------------------|
| 1. Regulatory Plan | 1. Completed | 1. N/A | 1. N/A | 1. N/A | 1. N/A |
| 2. EIE Center | 2. Completed | 2. Construction finished | 2. N/A | 2. N/A | 2. N/A |
| 3. Tortuguero Mountain | 3. Tortuguero Mountain | 3. N/A | 3. N/A | 3. N/A | 3. N/A |
| 4. EIE Program | 4. Exhibits in place | 4. N/A | 4. N/A | 4. Final details of exhibits to be completed by CCC. | 4. N/A |
| 5. Green Turtle Research Program | 5. Completed | 5. Completed construction | 5. N/A | 5. N/A | 5. N/A |

PRJ NAME: Tortuguero Conservation and Development
PRJ #: 515-0249.00G

- C. Other Accomplishments: The CCC worked with the Neotropica Foundation and Swedish NGO Nepenthes on purchasing land for the corridor (Zona Protectora). Most of the land was purchased (except land owned by PORTICO). A guide to the Tortuguero area has been prepared and is being sold to tourists.
- D. Issues and Corrective Actions Planned for Next Period: A final inspection of construction sites and Project was completed in early June.
- E. Status of CP's and Covenants: All met.
- F. Status of Audits: Reports are current and all recommendations are closed.
- G. Status of Evaluations: Final evaluation 3/93
- H. Number of Site Visits as per USO 570A: 0
- I. Quarterly Performance Reports Received as per USO 562: Yes No N/A
- J. ACR/AFAS Received as per USO 574: Yes No N/A

+ TNC = The Nature Conservancy

TNP= Tortuguero National Park

CEDARENA= Centro de Derecho Ambiental

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|------------------------|---|---|
| Prj Name: Forest Cons. & Mgmt. (BOSCOSA) | Agdrt: 03/31/90 | Prj Officer : Michael Maxey | Planned Yearly Non-Fed Audits: 3 |
| Prj #: 515-0255.00G | IPACD: 03/31/93 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: C | PACD: 03/31/96 | Implem. Agencies: Fundación Neotrópica | For/Completed: 2/3 |

B. Financial: (\$000)

| | | | |
|------------------------------------|---------------------------------|-------------------------------------|------------------------------|
| Authorization (LOP): 1,900 | FY Planned Exps.: 300 | Next Sem. Plan. Exps.: 151 | Counterpart |
| Current Obligations: 1,616 | Semester Plan.Exps.: 150 | % Actual to Plan. Exps.: 112 | Planned: \$705 |
| Commitments to date: 1,616 | Actual Sem.Exps.: 167 | % LOP Elapsed: 75% | Counterpart |
| Accrued Expenditures: 1,467 | Pipeline: 149 | % Total Oblig. Exps.: 89% | to date (Est.): \$819 |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This project supports the maintenance of natural forest habitat by promoting the adoption of sustainable forest management and agricultural production practices in the Osa Peninsula.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE AND DESCRIPTION: To develop and demonstrate natural forest management, sustainable agriculture, ecotourism and biodiversity technologies which are economically productive and contribute towards the maintenance of forest cover.

IV. PROJECT STATUS:

A. Planned EOPS:

Progress to Date:

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. Forestry - Forest integration project established and operating, forest management, research and extension services provided in the Osa with 2,000 ha. under forest management or conservation 2. Crop and Animal Production - Root and fruit crop production and marketing systems operating. 100 ha. root crops and 43 ha. fruit in production. Region wide packing plant operating. 3. Training and Marketing Component - 7 local organizations using improved financial and administrative practices. Market database established. 5 coops using improved accounting practices. Trained paraforesters working in the Osa. 4. Land Titling - 30 statements of possession executed for project participants, general strategy in place for addressing land tenure issues in the Osa and a land tenure inventory established for project participants. 5. Environment - Baseline studies and IPM courses executed. | <ol style="list-style-type: none"> 1. Forest industry activity initiated and fee for service system established and operating with C300,000 collected to date. All charges are based on fee for service contract between project and beneficiaries. 2. Root and fruit production activities well underway with fruit production on two farms, root production in proceeding with direct TA support for the production of 100 ha., construction completed on a 300 ha. capacity packing plant as well as the training of production and marketing staff. 3. Training work has been completed with seven organizations and accounting systems are in place with 5 coop groups. Work also completed with IDA for their courses for campesinos in ag. accounting, ag. training and ag. marketing. Market database established and operating. 4. 3 statements of possession have been executed. 5. Wetlands map and forest baseline have been executed. 23 IPM courses conducted. |
|--|---|

PRJ NAME: Forest Conservation and Management (BOSCOSA)
 PRJ #: 515-0255.00G

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---|-------------------|---------------------------|-------------------------|--|--------------------------|
| 1. Forestry Project Initiated | 1. 1 | 1. 1 | 1. 1 | 1. | 1. Continue with APTO. |
| 2. 2,000 ha. in forest management | 2. 450 ha. | 2. 500 ha. | 2. 302 ha. | 2. Difficulties still encountered in getting plans approved. | 2. See IV.D |
| 3. 10 plots research established | 3. 10 plots | 3. -0- | 3. -0- | 3. | 3. |
| 4. Network established for research on reforest. land | 4. 30 plots | 4. -0- | 4. -0- | 4. | 4. |
| 5. Promote fee for serv. forestry ext. program | 5. Underway | 5. N/A | 5. N/A | 5. | 5. Continue. |
| 6. Promote 25 ha. soursoy & 18 ha. peach palm production & mkt. | 6. Underway | 6. N/A | 6. N/A | 6. | 6. Continue. |
| 7. Promote 100 ha. of root crop production & mkt | 7. Underway | 7. 20 ha. | 7. 20 ha. | 7. | 7. Continue |
| 8. Two farm prod. designs | 8. 2 | 8. 1 | 8. 1 | 8. | 8. |
| 9. Improve mgt. of 7 organiz. | 9. 7 | 9. 5 | 9. 5 | 9. | 9. |
| 10. Improve accounting systems & work to empower local org. | 10. 5 | 10. 3 | 10. 3 | 10. | 10. |
| 11. Establish mkt. database & information network | 11. 1 | 11. 1 | 11. 1 | 11. | 11. |
| 12. Execute 30 statements of possession of land for campesinos | 12. 3 | 12. 12 | 12. 3 | 12. | 12. |
| 13. Execute wetlands map | 13. 1 | 13. 1 | 13. 1 | 13. | 13. |
| 14. Execute forest baseline | 14. 1 | 14. 1 | 14. 1 | 14. | 14. |
| 15. Conduct 2 IPM courses | 15. 2 | 15. 2 | 15. 2 | 15. | 15. |

C. **Other Accomplishments:** The project's work in agriculture production, marketing and new product development has the potential of having a major impact on the way "campesinos" have traditionally farmed and offers an attractive alternative to those who would consider returning to gold mining in Corcovado Park. Boscosa is also a center for international research, environmental education and ecological tourism --- AID doesn't directly support these activities but funding from other sources (the Danes, WWF and others) is providing the infrastructure and networking needed for Boscosa to be a major player in these areas. Continued AID support and assistance is necessary to help Boscosa reach the level of self-sufficiency needed to focus on a core set of activities (that pay their own way) and to help them address implementation constraints. An example of Boscosa's success is Manuel Espinoza. Project staff have been working with him the last three years. Mr. Espinoza has 7 ha under a forestry management plan at the DGF (and he's paid C 21,000 for work done by Boscosa on the plan). He has the following plantations: yam - 2 ha; yucca - 3/4 ha; potatoes - 1/4 ha; corn - 1/2 ha; rice - 1/4 ha; guanábana - 5 ha; and pijabe - 1/4 ha. He's completely diversified with crops bringing him both cash and food during different times of the season. He is now investing in over 6 ha of root crops with three other campesinos for production for the packing plant Boscosa is built in the area. Mr. Espinoza's participation in the project is paying returns (C 500,000 in income from agricultural and forestry activities last year) and the future looks even brighter.

PRJ NAME: Forest Conservation and Management (BOSCOSA)
PRJ #: 515-0255.00G

- D. Issues and Corrective Actions Planned for Next Period: The purpose of Boscosa is to "develop and transfer technologies and expertise that ensure the sustainable use of the forest and soil, and the conservation of the Osa Peninsula's biodiversity" and it is successfully moving toward that goal but there are problems in the forestry component due to land tenure issues that prevent the approval of forest mgt. plans. Boscosa staff are consulting with Fundecor personnel under the Foresta Project to try and use the Fundecor's model of direct cooperation with the Direccion Forestal. Mission oversight is still needed on land tenure issues. This continues to be slowly implemented even though it is critical for long term, sustainable use of the land. While Boscosa is collecting significant fees for its services, there is also a hesitancy of some landowners to pay the cost of their forestry management plans (which had previously been done at no cost by project staff). Mission should assist Boscosa in assessing all alternatives for incoming generating activity in the Osa. Project still requires close oversight as it approaches last year of implementation.
- E. Status of CP's and Covenants: All met.
- F. Status of Audits: 1993 audit field work complete, but final report not yet issued.
- G. Status of Evaluations: N/A
- H. Number of Site Visits as per USO 570A: 4
- I. Quarterly Performance Reports Received as per USO 562: Yes No N/A
- J. ACR/AFAS Received as per USO 574: Yes No

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|-------------------------------------|-----------------|------------------------------|----------------------------------|
| Prj Name: Native Tree Reforestation | Agrdt: 09/30/92 | Prj. Officer: Enrique Barrau | Planned Yearly Non-Fed Audits: 1 |
| Prj #: 515-0262.00G | IPACD: 09/30/96 | Contractors: N/A | Non-Fed Audits Contracted |
| CAT: B | PACD: 03/31/96 | Implem. Agencies: Duke, OTS | For/Completed: 1 |

B. Financial: (\$000)

| | | | |
|---------------------------|--------------------------|------------------------------|--------------------------------|
| Authorization (LOP): 800 | FY Planned Exps.: 220 | Next Sem. Plan. Exps.: 110 | Counterpart Planned: 273.7 |
| Current Obligations: 650 | Semester Plan.Exps.: 100 | % Actual to Plan. Exps.: 140 | Counterpart to date (Est.): 35 |
| Commitments to date: 650 | Actual Sem.Exps.: 140 | % LOP Elapsed: 55 | |
| Accrued Expenditures: 336 | Pipeline: 314 | % Total Oblig. Exps.: 52 | |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.:** This project supports long term sustainability of forest resources by helping develop capability to plant native trees with high value in the Terraba River Valley of the Zona Sur.
- B. % of LOP Funds Relating to S.O.:** 100%

III. PROJECT PURPOSE AND DESCRIPTION: To stimulate reforestation with native tree species in the Zona Sur de Costa Rica. Native and exotic tree species will be evaluated for their usefulness on a wide range of growing sites. Included many with degraded soils. The project will emphasize development of native tree species on watershed and degraded soils.

IV. PROJECT STATUS:

A. Planned EOPS:

Progress to Date:

- | | |
|---|--|
| <ol style="list-style-type: none"> 1. Seedling production from project nursery. 2. Establishment of Planting Trails of Native Species across the region. 3. Publication promoting reforestation ranging from popular to technical. 4. Outreach activities including information and technology transfer. 5. Planting Systems Development from Seed Collection to Nursery Management to Field Planting. | <ol style="list-style-type: none"> 1. 1994 seedling production has concluded. Most seedlings have been planted in experimental blocks, progeny tests, pilot plantations or donated to schools, farmers or other organizations. Seeds harvesting for 95 research program has been initiated. 2. Identify a total of 528 parent trees from 28 native species. Continue to observe phenology for 95 seed harvesting. Establishment of 6 experimental blocks in ecoregion 1, 2 blocks in Peninsula de Osa. 3. Published first project bulletin and a special bulletin. Brief project description published in 2 newsletters. Submission of 2 papers in two journals. 4. Development of first workshop in forest plantation thinning technic. 5. Concluded regional forest nurseries evaluation, germination curves for pion, a survey of diseases and pests for Santa Marta nursery, field work for site interaction for Amarillón Laboratory work for seed storage technique for three native species. |
|---|--|

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than Planned</u> | <u>Next Period Plans</u> |
|------------------------|--|--|--|---|---|
| 1. Seedling Production | 1. Establish experimental forest nursery. Produce high quality seedlings from selected parent trees. | 1. Continue parent tree identification, seed collection and phenology. | 1. Accomplished and on schedule. | 1. N/A | 1. Initiate seed collection and seedling production for 20 native species for 95 program. |
| 2. Planting Trials | 2. Identify potential sites for experimental blocks. Negotiate with farmers organized groups and companies the establishment of experimental blocks in their land. | 2. Establishment of 18 adaptability blocks across the region. | 2. 19 blocks established, 2 in Peninsula de Osa. Establish progeny trials for 3 species and 10 has of pilot plantations of 3 native species. | 2. GIS report still pending publication | 2. Identify potential planting sites for 1995 trials. |

PRJ NAME: Native Tree Reforestation
 PRJ #: 515-0262.00G

B. Implementation Progress: (Continuation)

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|---------------------|--|--|---|---|--|
| 3. Publications | 3. Publish a project technical bulletin. Project promotion on newsletters and other media. Publish papers on journals and technical bulletins. | 3. Preparation of second project bulletin. Submission of project description in two international newsletters and one national newsletter. | 3. Second bulletin ready to be published. Special bulletin has been published. | 3. N/A | 3. Continue with project technical bulletins. Initiate publication of technical reports. |
| 4. Outreach | 4. Development of technical courses, and workshops. Organization and promotion of conference on reforestation with native species. | 4. Organized 1 course in plantation management and 1 workshop in Forest Plantation Thinning. | 4. Develop 1-day workshop on Forest Plantation Thinning. | 4. Forest Plantation Management Course move for 1995. | 4. Organize and implement a training program for 1995. |
| 5. Planting Systems | 5. Identify roles for forestry students to develop applied research and outreach projects. Organize and implement applied research projects. | 5. Contact Clemson, Duke, UCR and ITCR universities for forestry students. Conduct a Regional Forest Nursery Evaluation. | 5. 1 ITCR student will work for 5 months. Duke student will work the remainder of project on Terraba watershed hydrology. | 5. N/A | 5. Continue supporting participant forestry students. |

C. Other Accomplishments:

It has to be pointed out that progeny trials for three species were not initially consider for 1994 activities. Nevertheless a well organized seed collection and seedling production program have let the project establish these very valuable trials.

D. Issues and Corrective Actions Planned for Next Period:

Improve nursery irrigation systems and storage room. Organize a workshop with participation of beneficiaries to evaluate 1994 project activities and the 1995 project operational plan.

E. Status of CP's and Covenants: All met.

F. Status of Audits: 1993 Audit completed.

G. Status of Evaluations: First evaluation due during FY94. RDO proposes this evaluation be undertaken by RENARM foresters.

H. Number of Site Visits as per USO 570A: 1

I. Quarterly Performance Reports Received as per USO 562: Yes No N/A

J. ACH/AFAS Received as per USO 574: Yes No N/A (only for Non-US NGOs)

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|--------------------------------|--------|----------|-------------------|----------------|--------------------------------|---|
| Prj Name: | Fag. for Forest Mngmt(REFORMA) | Agdrt: | 06/30/93 | Prj Officer : | Enrique Barrau | Planned Yearly Non-Fed Audits: | 1 |
| Prj #: | 515-0283.00G | IPACD: | 09/30/96 | Contractors: | ITCR | Non-Fed Audits Contracted | |
| CAT: | C | PACD: | 06/30/96 | Implem. Agencies: | DGF, ITCR | For/Completed: | 0 |

B. Financial: (\$000)

| | | | | | | | |
|-----------------------|-------|-----------------------|-----|--------------------------|-----|-----------------|-----|
| Authorization (LOP): | 2,000 | FY Planned Exps.: | 501 | Next Sem. Plan. Exps.: | 418 | Counterpart | |
| Current Obligations: | 1,000 | Semester Plan. Exps.: | 200 | % Actual to Plan. Exps.: | 10 | Planned: | 2.3 |
| Commitments to date: | 827 | Actual Sem. Exps.: | 20 | % LOP Elapsed: | 36 | Counterpart | |
| Accrued Expenditures: | 20 | Pipeline: | 980 | % Total Oblig. Exps.: | 2 | to date (Est.): | .5 |

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: The project promotes the maintenance of natural forest habitat by improving the content, administration, and enforcement of the GOCCR's own forest regulatory regime.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE AND DESCRIPTION: The project will make it easier for the Government of Costa Rica to adopt the longer-term forest policy structure it needs by helping assure that the shorter term objective of limiting deforestation is not lost.

PROJECT DESCRIPTION: The project will supplement efforts of the GOCCR, particularly the Ministry of Natural Resources, Environment and Mining (MIRENEM) and its Directorate General of Forestry (DGF) to promote sustainable forestry management practices by improving the content, administration and enforcement of the government's own forest regulatory regime.

IV. PROJECT STATUS:

A. Planned EOPS:

Progress to Date: (Activities initiated during the last 2 months of the period)

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. Institution of simplified body of forestry regulations. 2. Concentration of DGF personnel in regions of undisturbed primary forests lying outside protected areas. 3. DGF personnel provided with sufficient amenities and well equipped to promote enforcement effectiveness. 4. Increased percentage of infractions brought to Court. 5. Confidence in regulatory procedures to permit lifting of export ban of logs and rough sawn timber. 6. Real decrease in transaction costs associated with management plan preparation and preharvest operations associated with sustainable forest management. 7. Increased understanding among the public of the nature and need for sustainable forest management in Costa Rica. 8. Increased understanding of techniques will constitute sustainable forest management among private sector forestry personnel. 9. Institution of a system by which timber exported from Costa Rican undisturbed natural forests can be certified and guaranteed to have come from a sustainably managed forest. | <ol style="list-style-type: none"> 1. The phase for Agreements and contracts was completed with the purpose of implementing Project activities. 2. Identified DGF personnel in charge of assisting project activities in the defined three pilot areas. 3. Purchasing processing for communication equipment , computers and transport needed was initiated. 4. Legal component of the project was initiated through the compiling of laws, decrees and existent regulations in the forestry area. 5. First bulletin was prepared related to the nature and the necessity of forestry sustainable management in Costa Rica. 6. Criteria for evaluating the sustainability in the forest management was analyzed with the purpose of proposing new guidance in forest management in Costa Rica. |
|--|--|

Prj Name: Reg. for Forest Mngmt (REFORMA)
 Prj #: 515-0263.00G

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|--|-------------------|--|---|--|--|
| 1. Appropriate and enforceable regulations. 1.a Review and revision of mgmt. plan guidelines 1.b Research to improve for est management practices and forest habitat monitoring. 1.c Forest products trade regime analysis. | N/A | 1. Compiling and evaluation of existing management plan guides. 2. Initiate operational research program. | 1. Inventory performed. Management plan guides evaluated. 2. CCF finished negotiations with MIRENEM on joint new forestry draft legislation. 3. Software compiling and evaluation for analysis of existing forestry information. 4. GIS maps being made. 5. Inventory of parcels to measure forestry growth | 1. Advancement of activities is satisfactory. | 1. Evaluation and recommendations of new guide for forest management plans. |
| 2. Adequate resources for effective regulation 2.a DGF field operations strengthening 2.b Computerize inventory & monitoring system 2.c Forest jurisprudence training | N/A | 1. Initiated procurement of transport equipment, computers, radios and mobile offices for DGF. 2. Design of judge training program initiated. | 1. Equipment price survey completed. Bids evaluated and initial orders placed. 2. Training curriculum being drafted. | 2. Slow process ratifying PL-480 agreement within GOCR delay funds availability for DGF. | 2. Field DGF offices and personnel will be available for full operation of control procedures. |
| 3. Sufficient public support for regulation. 3.a Training field personnel for sustainable mgmt. 3.b Public information on sustainable forest mgmt. 3.c Field NGO support 3.d Market and trade development. | N/A | 1. Information to the public on sustainable management. Marketing development of forestry products. | 1. Initial project newsletters published. 2. NGO & local forestry training courses planned. 3. Trade development work initiated. | | 1. Bulletins and promotional materials regarding forest product prices and marketing will begin to be published. |

C. **Other Accomplishments:** The HB13 Agreement with FUNDATEC was signed and the contracts with CEDARENA, Rainforest Alliance, and the Cámara Costarricense Forestal were also executed., integrated work plans developed by REFORMA participating institutions. Sites for DGF offices and road control houses identified.

D. **Issues and Corrective Actions Planned for Next Period:** Assessment of project progress with Mission Director scheduled for late January. Execution of next HB3 Amendment and related HB3 Agreement scheduled for March 1995.

E. **Status of CP's and Covenants:** All met.

F. **Status of Audits:** N/A

G. **Status of Evaluations:** N/A

H. **Number of Site Visits as per USO 570A:** 13

I. **Quarterly Performance Reports Received as per USO 592:** Yes No N/A

J. **ACR/AFAS Received as per USC 574:** Yes No N/A (project just started)

*CCF= Cámara Costarricense Forestal

PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|--|-----------------|---|----------------------------------|
| Prj Name: Reg1 Agric. Higher Educ. (EARTH) | Agrdt: 09/30/85 | Prj Officer: D. A. Heesen/A. Villalobos | Planned Yearly Non-Fed Audits: 1 |
| Prj #: 596-0129.01G | IPACD: 09/30/95 | Contractors: Cal. Pol. State Univ. | Non-Fed Audits Contracted |
| CAT: B | PACD: 09/30/95 | Implem. Agencies: EARTH | For/Completed: 1 |

B. Financial: (\$000)

| | | | | |
|-----------------------------|------------------------|----------------------------|-----------------|-------|
| Authorization (LOP): 6,705 | FY Planned Exps.: 0 | Next Sem. Plan. Exps.: 0 | Counterpart | |
| Current Obligations: 6,705 | Semester Plan.Exps.: 0 | % Actual to Plan. Exps.: 0 | Planned: | C6.2B |
| Commitments to date: 6,705 | Actual Sem.Exps.: 0 | % LOP Elapsed: 90 | Counterpart | |
| Accrued Expenditures: 6,705 | Pipeline: 0 | % Total Oblig. Exps.: 0 | to date (Est.): | C6.2B |

NOTE: This Project is jointly implemented with Project No. 596-0129.02G, 596-0129.03G and 596-0129.04. Separate funding elements have been designated for each project.

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This regional project supports mission, regional and agency environmental objectives by virtue of EARTH's curricular emphasis on sustainable production systems for the humid tropical region.

B. % of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To produce a professional human resource base with the practical and educational experiences needed to address the agricultural production problems of the Central American and Panamá region.

PROJECT DESCRIPTION: The project consists of a grant and local currency counterpart to finance the creation of a four-year regional agricultural college focusing on training agriculturalists to work in humid tropical zones.

IV. PROJECT STATUS:

A. Planned EOPS:

1. Establishment of a "learning-by-doing" Agricultural College.
2. Increased availability of appropriately trained professionals.

Progress to Date:

1. Entire construction program finished.
2. Key administrative and management positions are filled.
3. 350 students from 18 countries now enrolled. Faculty of 35 professors hired.
4. First class of 52 students graduated in December 1993.

B. Implementation Progress:

| <u>LOP</u> | <u>Cumulative</u> | <u>Planned for Period</u> | <u>Actual in Period</u> | <u>Comments if less than planned</u> | <u>Next Period Plans</u> |
|----------------------------|--------------------------|-----------------------------------|-------------------------------------|---|---|
| 1. PHYSICAL PLANT | | | | | |
| a. Phase I Construction | a. 100% | a. 0% | a. 0% | All construction completed | a. 0 |
| b. Phase II Construction | b. 100% | b. 0% | b. 0% | 10/1/92 | b. 0 |
| 2. ACADEMIC PROGRAM | | | | | |
| a. Faculty Recruitment | a. 35 faculty on board | a. Recruit 5 new faculty members | a. 3 new faculty members recruited. | a. Difficulty finding appropriate faculty | a. Complete recruitment for additional faculty |
| b. Curriculum Review | b. N/A | b. Review | b. Review initiated | | b. Continued curriculum review |
| c. 400 students | c. 350 students enrolled | c. 50 additional students overall | c. 50 additional students overall | c. N/A | c. Total enrollment of 400 students by January 1995 |

PRJ NAME: Reg'l Agric. Higher Educ. (EARTH)

PRJ #: 596-0129.01G

- C. Other Accomplishments: Cal Poly contract completed 12/93. Commercial farm operations reassessed. Measures to cut costs realize additional income under review. Cooperative Agreement extended one year to September 1995. AID provides a final disbursement to EARTH in the amount of \$250,000
- D. Issues and Corrective Actions Planned for Next Period: Decommitt funds from Fledderjohn and Cal Poly contracts. Close outstanding audits recommendations. Review relevance of continuing project conditionalities. Prepare Scope of Work for strategic planning exercises in place of final evaluation in collaboration with interested members of the Board of Directors.
- E. Status of CP's and Covenants: All CP's and covenants have been satisfied
- F. Status of Audits: EARTH has responded to dr.c:\ FY 92 audit report. FY 93 audit field work is underway.
- G. Status of Evaluations: Mid-term evaluation completed in July 1991. Final evaluation pending.
- H. Number of Site Visits as per USO 570A: none
- I. Quarterly Performance Reports Received as per USO 562: Yes No N/A
- J. ACR/AFAS Received as per USO 574: Yes No

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | | | | | |
|-----------|----------------------------------|--------|----------|-------------------|---------------------------|--------------------------------|---|
| Prj Name: | Reg1 Agric. Higher Educ. (EARTH) | Agrdt: | 08/29/94 | Prj Officer : | D.A. Heesen/A. Villalobos | Planned Yearly Non-Fed Audits: | 1 |
| Prj #: | 596-0129.02G | IPACD: | 08/28/94 | Contractors: | | Non-Fed Audits Contracted | |
| CAT: | B | PACD: | 09/30/95 | Implem. Agencies: | | For/Completed: | 1 |

B. Financial: (\$000)

| | | | | | | |
|-----------------------|--------|----------------------|-------|--------------------------|----|-----------------|
| Authorization (LOP): | 18,732 | FY Planned Exps.: | 1,400 | Next Sem. Plan. Exps.: | 0 | Counterpart |
| Current Obligations: | 18,292 | Semester Plan.Exps.: | 940 | % Actual to Plan. Exps.: | 0 | Planned: |
| Commitments to date: | 18,259 | Actual Sem.Exps.: | 258 | % LOP Elapsed: | 90 | Counterpart |
| Accrued Expenditures: | 18,259 | Pipeline: | 34 | % Total Oblig. Exps.: | | to date (Est.): |

This Project is the Cooperative Agreement portion of the EARTH Project and is jointly implemented with 596-0129.01/03/04.

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|------------------------|--|--|
| Prj Name: Reg1 Agric. Higher Educ. (EARTH) | Agrdt: 09/30/85 | Prj Officer : D.A. Heesen/A. Villalobos | Planned Yearly Non-Fed Audits: Non-Fed Audits Contracted For/Completed: |
| Prj #: 596-0129.03G | IPACD: 09/30/85 | Contractors: | |
| CAT: B | PACD: 09/30/85 | Implem. Agencies: | |

B. Financial: (\$000)

| | | | |
|----------------------------------|-------------------------------|-----------------------------------|---|
| Authorization (LOP): 295 | FY Planned Expa.: 0 | Next Sem. Plan. Expa.: 0 | Counterpart Planned: Counterpart to date (Est.): |
| Current Obligations: 295 | Semester Plan.Expa.: 0 | % Actual to Plan. Expa.: 0 | |
| Commitments to date: 295 | Actual Sem.Expa.: 0 | % LOP Elapsed: 90 | |
| Accrued Expenditures: 295 | Pipeline: 0 | % Total Oblig. Expa.: | |

This Project is the portion of the EARTH Project originally implemented by AID/W prior to transfer implementation responsibilities to USAID/Costa Rica. It is jointly implemented with 596-0129.01/02/04.

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PROJECT STATUS REPORT
April 1 - September 30, 1994

I. BACKGROUND DATA:

A. General

| | | | |
|---|-----------------|--|--------------------------------|
| Prj Name: Reg'l Agric. Higher Educ. (EARTH) | Agrdt: 08/29/89 | Prj Officer: D.A. Heeson/A. Villalobos | Planned Yearly Non-Fed Audits: |
| Prj #: 596-0129.04G | IPACD: 08/29/94 | Contractors: | Non-Fed Audits Contracted |
| CAT: B | PACD: 09/30/95 | Implem. Agencies: | For/Completed: |

B. Financial: (\$000)

| | | | |
|---------------------------|------------------------|----------------------------|-----------------|
| Authorization (LOP): 668 | FY Planned Expa.: 0 | Next Sem. Plan. Expa.: 0 | Counterpart |
| Current Obligations: 668 | Semester Plan.Expa.: 0 | % Actual to Plan. Expa.: 0 | Planned: |
| Commitments to date: 668 | Actual Sem.Expa.: 15 | % LOP Elapsed: 90 | Counterpart |
| Accrued Expenditures: 570 | Pipeline: 98 | % Total Oblig. Expa.: | to date (Est.): |

This Project is the portion of the EARTH Project for the financing of T.A. contracting (Project Officer and CAL.Poly).
 It is jointly implemented with 596-0129.01/02/03.

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