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UNCLASSIFIED

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY
AGENCY FOR INTERNATIONAL DEVELOPMENT
Washington, D. C. 20523

DOMINICAN REPUBLIC

# PROJECT PAPER

PVO CO-FINANCING

AMENDMENT NUMBER TWO

AID/LAC/P-875
CR-875

PROJECT NUMBER: 517-0247

UNCLASSIFIED

AGENCY FOR INTERNATIONAL DEVELOPMENT

# PROJECT DATA SHEET

1. TRANSACTION CODE: C (A = Add, C = Change, D = Delete)

Amendment Number

DOCUMENT CODE: 3

COUNTRY/ENTITY: DOMINICAN REPUBLIC

3. PROJECT NUMBER: 517-0247

4. BUREAU/OFFICE: USAID/DOMINICAN REPUBLIC 05

5. PROJECT TITLE (maximum 40 characters): PVO Co-Financing

6. PROJECT ASSISTANCE COMPLETION DATE (PACD): MM 08 DD 30 YY 99

7. ESTIMATED DATE OF OBLIGATION (Under "B." below, enter 1, 2, 3, or 4)

A. Initial FY 89 B. Quarter 4 C. Final FY 98

8. COSTS ($000 OR EQUIVALENT $1 = 6.28)

| A. FUNDING SOURCE | FIRST FY 89 B. FX | C. L/C | D. Total | LIFE OF PROJECT E. FX | F. L/C | G. Total |
|---|---|---|---|---|---|---|
| AID Appropriated Total | 3,000 | | 3,000 | 15,800 | | 15,800 |
| (Grant) | (3,000) | ( ) | (3,000) | (15,800) | ( ) | (15,800) |
| (Loan) | ( ) | ( ) | ( ) | ( ) | ( ) | ( ) |
| Other U.S. 1. | | | | | | |
| Other U.S. 2. | | | | | | |
| Host Country Trust Fund | | | | -- | 4,000 | 4,000 |
| Other Donor(s) Sub-Grantees | | | | -- | 2,500 | 2,500 |
| TOTALS | 3,000 | | 3,000 | 15,800 | 6,500 | 22,300 |

9. SCHEDULE OF AID FUNDING ($000)

| A. APPROPRIATION | B. PRIMARY PURPOSE CODE | C. PRIMARY TECH. CODE 1. Grant | 2. Loan | D. OBLIGATIONS TO DATE 1. Grant | 2. Loan | E. AMOUNT APPROVED THIS ACTION 1. Grant | 2. Loan | F. LIFE OF PROJECT 1. Grant | 2. Loan |
|---|---|---|---|---|---|---|---|---|---|
| (1) ARDN | 200 | 220 | | 4,000 | | 0 | | 4,000 | -- |
| (2) HE | 500 | 580 | | 4,000 | | 0 | | 4,000 | -- |
| (3) PSEE | 710 | 790 | | 1,500 | | 0 | | 1,500 | -- |
| (4) DA | 700 | | | -- | | 6,300 | | 6,300 | -- |
| TOTALS | | | | 9,500 | | 6,300 | | 15,800 | -- |

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

11. SECONDARY PURPOSE COD

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code: PVON

B. Amount:

13. PROJECT PURPOSE (maximum 480 characters)

Increase capacity of Local Private Voluntary Organizations (PVOs) and other Non-Governmental Organizations to deliver selected services and resources to the poor.

14. SCHEDULED EVALUATIONS

Interim MM 11 YY 94 | MM YY | Final MM 01 YY 99

15. SOURCE/ORIGIN OF GOODS AND SERVICES

[X] 000 [ ] 941 [X] Local [ ] Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a 14 page PP Amendment)

Extend the PACD and increase the LOP funding by US$6.3 Million.

17. APPROVED BY

Signature: Marilyn A. Zak

Title: Director

Date Signed: MM DD YY

18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTI

MM DD YY

# PROJECT AUTHORIZATION AMENDMENT NUMBER TWO

**NAME OF COUNTRY:** DOMINICAN REPUBLIC

**NAME OF PROJECT:** PVO CO-FINANCING

**NUMBER OF PROJECT:** 517-0247

**1.** Pursuant to Sections 103, 104, and 106 of the Foreign Assistance Act of 1961, as amended, I hereby authorize an amendment of the PVO Co-Financing Project for the Dominican Republic, which was originally authorized on September 22, 1989. The Project Authorization is amended as follows:

a. In paragraph 1, delete the words and figure "Nine Million Five Hundred Thousand United States Dollars (US$9,500,000)" and insert "Fifteen Million Eight Hundred Thousand United States Dollars (US$15,800,000)".

b. In paragraph 1 delete the words "seven (7) years" and insert "ten (10) years".

**2.** The authorization cited above remains in force except as hereby amended.

Signature: Marilyn Zak

Marilyn A. Zak
Director

2 May 94
Date

Drafted: PDO:MTaveras:2/4/94
Clearance:

| | | | |
|---|---|---|---|
| GDO:RMangrich | | Date | 4/28/94 |
| GDO:JHThomas | | Date | 4/26/94 |
| PDO:WGarland | | Date | 4/28/94 |
| PDO:ASchoepfer | | Date | 4/26/94 |
| GC/LAC:RMeighan | (draft by e-mail) | Date | 04/21/94 |
| RCO:MNapper | | Date | 4/27/94 |
| CON & A/DD:JWButler | | Date | |

February 14, 1994 at 8:58 am
File: U:\PDOPUB\DOCS\PVOCOF.AUT

PVO CO-FINANCING (517-0247)

PROJECT PAPER SUPPLEMENT

# I. RATIONALE FOR AMENDMENT

## A. Background

The PVO Co-Financing Project provides grants to Dominican PVOs to implement activities in areas that are key to the attainment of the Mission's Strategic Objectives. The Project Goal is to improve the well-being of lower income groups. This goal supports all the Mission's Strategic Objectives. The Project Purpose is to increase the capacity of PVOs and NGOs to deliver selected services and other resources to the poor.

The Project was approved on September 22, 1989, for a life of project funding level of US$9.5 million in Development Assistance grant funds, with an August 30, 1996, PACD. The total estimated Project cost was US$16.0 million. The Project Financial Plan revision in the seventh and last Amendment dated May 25, 1993, provides US$5.75 million in Development Assistance for subgrants. The balance of US$3.75 million is for technical assistance, training, commodities, evaluations and audits. In addition to the USAID Development Assistance funds and planned PVO counterpart contributions, there is an interest bearing host country owned local currency Trust Fund to finance subgrant costs as part of the planned host country counterpart contribution.

The planned host country contribution for the Project was originally the dollar equivalent of US$6.5 million, or 40% of the estimated total Project cost, US$4.0 million from the GODR and $2.5 million from PVO subgrant recipients. Due to peso devaluations (from RD$6.28 per US$1.00 to RD$12.50) early in the Project, the PL-480 local currency generations, the planned source of the GODR contribution, came to the dollar equivalent of only US$2.0 million. However, because of delays in Project start-up, the dollar equivalent amount of the Trust Fund is now approximately $2.5 million due to the interest earnings. Given the projected expenditure of the Trust Fund under subgrants, it is estimated that there will be an additional dollar equivalent of US$625,000 of interest earnings through August 1999. This would provide a total GODR host country contribution of the dollar equivalent of about US$3.12 million. The GODR contribution, plus the originally estimated in cash and in kind contribution of PVOs, would bring the total counterpart contribution to the equivalent of US$5.62 million.

## B. Need for Project Modification

The demand for subgrants by strong PVO proposals far surpasses the Project's funds that are available for subgrants. Eleven subgrant agreements have already been signed. These commit a total of US$5.13 million in Development Assistance of the $5.75 million available, and in addition commit the dollar equivalent of US$1.11 million from the Trust Fund. There remains available

for subgrants US$600,198 from Project DA funds and the dollar equivalent of approximately US$1.39 million in pesos from the Trust Fund. There are now three additional subgrants scheduled to be signed by April/May 1994. These require a total of $500,000 in DA and the dollar equivalent of US$850,000 from the Trust Fund. If funds are made available, there would be six more subgrants ready to be awarded by October 31, 1994, totalling US$1.825 million. To date, some 110 Concept Papers have been reviewed and 31 approved. The expected rate of subgrant awards is approximately one per month until all available subgrant funds are committed. Additional data on this strong demand for subgrants is in Annex A, which includes a detailed list of the subgrants awarded to date, subgrant applications in process and concept papers in process.

The Project Strategy is to address the immediate, critical needs of the poor by financing selected PVO implemented interventions which are consistent with the Mission's overall strategy. That strategy is still valid, and the success in stimulating responses from the PVO community has been overwhelming. Although the Project had some initial delays, now that the mechanisms are in place, a contractor to assist PVOs develop fundable subprojects, and a fiduciary agent to make disbursements, the Project is running out of funds available for awarding new subgrants long before the anticipated end of the Project.

There is a continuing need to address critical development problems, particularly as concerns the poor, in the less developed rural areas and the marginal urban neighborhoods. The legitimate demand for assistance is far greater than anticipated in the original Project Paper, and the response of the PVO community has also been greater than anticipated. The Project Paper envisaged the average size of the subgrants to be in the order of $200,000, with an eligible range from a minimum of $50,000 to a maximum of $1.0 million established by the Mission. However, the first four approved subgrants averaged $812,500, from a low of $706,000 to a high of $999,000. In addition, the PVO activity fund in the original budget was $6.2 million, but Implementation Letter No. 4 of September 18, 1990, reduced that fund to $5.75 million, because funds for the institutional contractor and the fiduciary agent in the original budget estimates were inadequate.

The reason for this Project modification, therefore, is to increase the life of project funding of the Project by $6.3 million, for a total LOP of $15.8 million, and to extend the PACD to August 30, 1999. Under this modification, the termination of the technical assistance contract will also be extended and the scope of work changed to reflect the additional emphasis required on PVO institutional strengthening through increased training and direct technical assistance.

The contracts for the Fiduciary Agent and the Project Management Unit will also be amended to extend the termination dates. Other modifications in the Project Description and Implementation

arrangements are required to reflect various changes already being implemented due to changing conditions and the desire for a more expeditious Grant Approval process. These modifications are documented below.

The original planned counterpart contribution of the equivalent of US$5.62 million, 26% of the new total Project cost of US$21.42 million, is still sufficient to satisfy the minimum statutory requirement of 25%. However, given the experience under the Project to date of PVO commitments under subgrants already awarded, the PVO proportion of the planned host country contribution is likely to be surpassed. Of the eleven subgrants awarded, the PVOs have committed to contribute the equivalent of approximately US$6.5 million. If the PVO commitments under subgrants yet to be awarded amount to 25% of the future subproject's cost, then the total PVO contribution could approximate the equivalent of $8.8 million.

## II. ADMINISTRATIVE AND IMPLEMENTATION ARRANGEMENTS

### A. Established Grant Selection Procedures

ENTRENA, S.A., a Dominican consulting firm was selected by open competition to assist in the implementation of the Project as the PVO Project Institutional Contractor. ENTRENA reviews the Concept Papers, assists PVOs prepare grant applications, monitors their implementation and provides technical assistance and training to strengthen participating PVOs. The Banco de Desarrollo Dominicano (BDD) has been contracted to act as Fiduciary Agent to disburse funds to the PVOs, liquidate advances, monitor expenditures and submit monthly vouchers to USAID which consolidate all of the subgrant vouchers into one single voucher and report.

The following procedures have been established for the approval of subgrants. (See Annex B, flow chart of grant selection process)

Step 1: A PVO approaches the PVO Support Unit (Project Institutional Contractor) for instructions and preliminary discussions on a proposed subproject. The PVO is given a Participation Manual describing the subproject submission and selection process.

Step 2: The PVO submits a Concept Paper to the PVO Project Institutional Contractor for initial review to see if it satisfies the eligibility criteria in the Manual, and fits within the parameters of the PVO Co-Financing Project.

Step 3: If, after review by the PVO Project Institutional Contractor and the Project Manager, the Concept Paper meets all the criteria, it is forwarded under cover of

a Review Memorandum to the USAID Pre-Selection Committee for comments, approval or rejection.

Step 4: If the Concept Paper is approved, the PVO receives an approval letter signed by the USAID Director, and is encouraged to prepare and submit a subgrant application to the PVO Project Institutional Contractor. (A rejection letter is signed by the GDO). The following actions begin simultaneously:

a. The PVO is provided guidelines and technical assistance on how to prepare the subgrant application. If required, the PVO also receives Environmental Guidelines which it will take into consideration during subproject design and implementation depending on the nature of the proposed subproject. These guidelines must be followed for all subprojects with components dealing with agriculture, forestry, water and sanitation.

b. A Pre-Award Survey is undertaken to determine the PVO's accountability and ability to manage funds. In some instances, this survey is conducted by an independent auditing firm, in other instances, by the PVO Project Institutional Contractor, with approval by the USAID Office of the Controller.

c. The PVO registration process with USAID is initiated.

Step 5: The PVO submits a subgrant application to the PVO Project Institutional Contractor for review and it must receive at least 70% of the possible points assigned to the weighted selection criteria described in the Manual.

Step 6: After the PVO Project Institutional Contractor and the Project Manager are satisfied that the Application meets all the requirements, it is forwarded to the USAID Project Selection Committee for comments.

Step 7: Comments are sent to the PVO Project Institutional Contractor which incorporates them with other issues in a letter to the PVO. The PVO takes these comments in consideration and prepares an Application addendum, which is then reviewed by the PVO Project Institutional Contractor and the Project Manager, to assure that all the issues have been addressed.

Step 8: The Project Manager prepares the approval package which is submitted to the USAID Selection Committee for final approval. The package consists of:

Action Memorandum
PIO/T
PVO Project Institutional Contractor Review Memorandum with scores
PVO Project Institutional Contractor issues letter
PVO Addendum
Memorandum summarizing all issues

Step 9: The PIO/T is then circulated within USAID and to the Technical Secretary of the Presidency for clearance and approval by the Mission Director, or an individual delegated this authority by the Mission Director.

Step 10: Once the PVO has satisfied the Pre-Award Survey and has been registered with USAID, then the Subgrant Agreement is prepared by the RCO and cleared by the Program Development Office and the Office of the Controller, prior to being signed by the RCO.

Step 11: When appropriate, a signing ceremony is arranged, including a speech by the Ambassador or the USAID Director, and a press release prepared.

B. Technical Assistance

The technical assistance contract will be extended for the life of the Project. Additional emphasis will be placed on PVO Training and short-term technical assistance to resolve implementation difficulties. The position descriptions for the Accountant and Financial Analyst will be revised to include additional responsibilities in economic analyses of proposals and institutional Pre-Award Surveys of PVOs.

C. Fiduciary Agent

The current contract with the Fiduciary Agent will be extended for the life of the project and amended to include increased coordination with the technical assistance contractor.

D. Contractual Arrangement with the Project Management Unit.

Unless replaced by a USDH, the current contract with the PSC Project Manager will be extended for an additional two years to oversee the design and awarding of the additional grant funds and monitor the increasing implementation workload. The current contract with the Project secretary will be extended for the life of the project. The Project Management Unit will be under the

direct supervision of the Chief of the General Development Office.

E. Eligible Areas for Financing

The scope of areas eligible for funding under the Project are as follows in the illustrative list below.

(1) Employment/Income Generation
- Job Training
- Handicapped development
- Rural micro-industries
- Youth Development
- Rural electrification

(2) Health Services
- Child Survival
- AIDS Prevention
- Water and Sanitation
- Innovative, low cost provision of preventative health care

(3) Natural Resources
- Watershed Improvement
- Agro-forestry
- Coastal Conservation

(4) Democratic Initiatives
- Strengthening democratic values and procedures within NGOs
- Increased participation of beneficiaries in decision making, implementation, and community self-development

The above list of eligible activities reflects the following modifications to the Project:

- Combining all USAID formal family planning activities in the Dominican Republic under a separate project, Family Planing and Health (517-0259), and restricting the eligibility of health service delivery activities.

- Focusing the Democratic Initiatives to the area of institutional strengthening of NGOs and empowering beneficiaries in the development of their own communities.

- Eliminating housing improvements.

- Eliminating Community Development as a category.

F. New Project Policies

The following Project policies dealing with subgrant selection have been established by the Mission over the last few years.

1. Cash Credit programs are prohibited with subgrant funds.

2. The purchase of passenger vehicles is prohibited under subprojects.

3. The subproject prohibition on construction activities is further defined to include no load-bearing walls in addition to no road construction.

4. PVOs must contribute at least 50% of the cost of salaried subproject personnel.

5. Participating US/PVOs must contribute at least 20% of the total subproject costs excluding contributions from beneficiaries and partner Dominican PVOs.

6. PVOs must contribute at least 25% of the total subproject costs excluding beneficiary contributions.

7. PVOs may not receive USAID grants in excess of 200% of their average institutional income over the previous two or three years. In the case of new PVOs with limited experience that satisfy the conditions of a Pre-Award Survey, the grant award may not exceed US$50,000.

New Project policies dealing with subgrant selection established under this Amendment are listed below:

1. Lower the upper limit for subgrants to US$500,000.

2. Prohibit subgrant extensions.

3. Incrementally fund subprojects over US$250,000.

G. Updated Implementation Schedule

(1) Start-up Phase (18 months) Initiate contracts with Management Unit, Technical Assistance firm, Fiduciary Agent and Auditing Firms. Establish selection criteria and procedures and initiate subproject design stage with major PVOs. Develop Environmental Guidelines.

(2) Interim Phase (18 months) Publicize the availability of competitively selected grants; orient PVOs; begin training and preparation of technical assistance for institutional strengthening and subproject design documents. Distribute Participation Manuals, Environmental Guidelines and the first issue of the PVO newsletter. Award initial two grants to PVOs.

(3) Full Project Implementation (48 months) By September 1996, approximately thirty-five (35) subgrants will have been awarded, the majority of subprojects being implemented and subproject evaluations will have been inititated. Seven subprojects will be completed by December 1996. The mid-term Project evaluation will take place on/about November 1994.

(4) Phase Down (36 months) No new grants will be awarded and all active subprojects will complete implementation. A final Project evaluation will begin January 1999. Based upon this impact evaluation USAID will decide on whether or not to pursue a follow-on project.

Amended Grant Award and Implementation Schedule

| | 1990 | 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | Total |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Grants Awarded | - | - | 2 | 7 | 12 | 8 | 6 | - | - | - | 35 |
| Total being im mented in any given year | - | - | 1 | 9 | 21 | 28 | 28 | 20 | 10 | 4 | |

The Original Project Paper allowed sufficient funds to procure six project vehicles. Now only the four which have already been purchased will be needed.

## III. Project Analyses

All of the various project analyses described in the Original Project Paper remain valid except for the Environmental Analysis. Environmental Guidelines were prepared for all subprojects dealing with watershed protection, sustainable hillside agriculture, potable water and sanitation. The PVOs proposing these types of activities are required to incorporate the guidelines into the design and implementation of their subprojects to help assure environmentally sound development. The PVO Project Institutional Contractor is responsible for monitoring compliance and USAID ultimately is responsible for certifying the negative determination of environmental impact for every subproject. In addition, an Environmental Assessment for Pesticide Use was conducted for the PVO Co-Financing Project with the following major recommendations which are being incorporated into the Project:

- A code of conduct for pesticide use must be included in the Grant Agreement of agricultural subgrants obligating the PVO to follow certain standards for pesticide use and management.

- An Integrated Pesticide Management (IPM) Manual will be distributed to PVOs which includes the most recent list of EPA approved pesticides.

- Training will be provided to the responsible technicians from the Institutional Contractor and the participating PVOs in IPM and safe pesticide handling. This training, in turn, will be provided to beneficiaries.

## IV. Subgrant Descriptions

Following is a brief synopsis of the eleven subgrants awarded to date:

A. IDDI - Dominican Institute for Integrated Development.

Support in Democratic Initiatives in the Marginal Neighborhoods of Santo Domingo to promote community development through grass-roots organizations and provide drinking water and basic sanitation in the northern part of the city (Los Manguitos I and II). Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution | 729,487 | 60% |
| PVO Contribution | 281,009 | 23% |
| Others | 209,877 | 17% |
| Total Cost | US$1,220,373 | 100% |

B. PADF - Pan American Development Foundation.
Investment Fund for Natural Resources - FIRENA II.

To support the establishment of an intra-mountain irrigation system with a focus on income generation for small farmers and horticultural crop production in irrigated valleys as an alternative to destructive hillside agricultural production. Activities are to be carried out in rural communities in the San Jose de Ocoa municipality, in Peravia Province. Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution | 999,231 | 40% |
| PVO Contribution | 1,417,705 | 57% |
| Others | 84,777 | 3% |
| Total Cost | US$2,501,713 | 100% |

C. FDD - Dominican Development Foundation.

To provide training to micro-enterprises on a national level, in urban and rural areas, in order to increase their capacity to generate additional income and employment, enhance their business and managerial skills, and improve living conditions for their families and dependents. Activities are to be carried out in 21 provinces and the National District. Duration: 4 years

| | | |
|---|---|---|
| USAID Contribution | 816,206 | 54% |
| PVO Contribution | 373,383 | 25% |
| Others | 324,567 | 21% |
| Total Cost | US$1,514,156 | 100% |

D. FUDECO - Community Development Foundation.

Integrated Development Project to raise the living conditions of low-income people who live in subsistence conditions on the Dominican border, by providing technical assistance and resources that contribute to improvements and

self-sustainability through improved health services and improved agricultural practices. Activities are to be carried out in the provinces of Elías Piña, San Juan and Dajabón. Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution | 705,828 | 63% |
| PVO Contribution | 329,071 | 29% |
| Others | 83,463 | 8% |
| Total Cost | US$1,118,362 | 100% |

E. EDUDELC - United Educators of the Cibao.

To expand ongoing EDUDELC initiatives to provide training in clothing manufacture, handicrafts and bakery, to increase employment generation potential and raise income of poor women in marginal neighborhoods of the City of Santiago. Duration: 30 months

| | | |
|---|---|---|
| USAID Contribution (Trust Fund) | 62,200 | 51% |
| PVO Contribution | 43,676 | 36% |
| Others | 16,111 | 13% |
| Total Cost | US$121,987 | 100% |

F. LDCC - Liga Dominicana Contra el Cáncer.

Gynecological Cancer Prevention to reduce incidence, mortality and morbidity from breast and cervical cancer among economically disadvantaged women in Santo Domingo through education and early detection. Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution ($316,129 trust fund) | 340,919 | 64% |
| PVO Contribution | 173,131 | 33% |
| Others | 17,283 | 13% |
| Total Cost | US$1,531,337 | 100% |

G. CES - Centro de Educación para la Salud

Expand Health Cooperators Program to improve the health of rural Dominicans from remote mountain areas through preventive health education. Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution (trust fund) | 161,372 | 53% |
| PVO Contribution | 113,940 | 38% |
| Others | 28,045 | 9% |
| Total Cost | US$303,357 | 100% |

H. FUNDEJUR- Rural Youth Development Foundation

Employment and income generation for rural youth in the most economically disadvantaged region of the Dominican Republic through establishment of 110 microenterprises. Duration 3 years

| | | |
|---|---|---|
| USAID Contribution | 576,806 | 63% |
| PVO Contribution | 306,720 | 34% |
| Others | 30,585 | 3% |
| Total Cost | US$914,111 | 100% |

I. IDDI/CONASUMI Dominican Institute for Integrated Development /National NGO Consortium for Child Survival

Phase III of a program to establish a national system of community-based health services through NGOs to improve health conditions for children under five years and women of reproductive age. Interventions include diarrhea control/oral rehydration therapy, breast feeding, immunizations and birth spacing. Duration 2 years

| | | |
|---|---|---|
| USAID Contribution | 1,683,000 | 38% |
| PVO Contribution | 2,688,030 | 60% |
| Others | 72,782 | 2% |
| Total Cost | US$4,443,812 | 100% |

J. APEDI - Asociación para el Desarrollo, Inc.

Integrated community development for Estancia del Yaque including strengthening community organizations, housing repair, women's training center and multi-service cooperative. Duration: 3 years

| | | |
|---|---|---|
| USAID Contribution | 115,841 | 55% |
| PVO Contribution | 72,484 | 34% |
| Others | 23,808 | 11% |
| Total Cost | US$212,133 | 100% |

K. CMC/CEBSE - Center for Marine Conservation/Centro para el Eco-desarrollo de la Bahia de Samana y su Entorno

Construct a framework on which to develop the capacity to ensure conservation, wise management and sustainable use of natural resources of the Samana Bay region. Major products include a strengthened CEBSE and community organizations, management plans for the tourism and fisheries industries, environmental training, beach clean-ups and whale protection. Duration: 2 years

| | | |
|---|---|---|
| USAID Contribution | 236,409 | 42% |
| PVO Contribution | 329,545 | 58% |
| Total Cost | US$565,954 | 100% |

PVO CO-FINANCING PROJECT (517-0247)
SUMMARY FINANCIAL PLAN
(US$)

TABLE 1

| | CURRENT PROJECT FUNDING A. I. D. | | | FUNDING PROVIDED THIS AMENDMENT A.I.D. | | | TOTAL FUNDING INCLUDING THIS AMENDMENT A.I.D | | | TOTAL LIFE OF PROJECT FUNDING USAID | | | COUNTERPART | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | _1/ GODR (US$ M Equiv.) | PVOS |
| 1. PVO Fund (Grants) | 2,576,720 | 3,173,280 | 5,750,000 | 1,000,000 | 0 | 1,000,000 | 3,576,720 | 3,173,280 | 6,750,000 | 5,000,000 | 5,390,000 | 10,390,000 | 4.00 | 2.50 |
| 2. Technical Assistance | 887,000 | 2,153,000 | 3,040,000 | (62,000) | 320,000 | 258,000 | 825,000 | 2,473,000 | 3,298,000 | 925,000 | 3,803,000 | 4,728,000 | 0.00 | 0.00 |
| PVO Coordinator | 575,000 | 0 | 575,000 | 150,000 | 0 | 150,000 | 725,000 | 0 | 725,000 | 825,000 | 0 | 825,000 | 0.00 | 0.00 |
| PVO Secretary | 0 | 90,000 | 90,000 | 0 | 58,000 | 58,000 | 0 | 148,000 | 148,000 | 0 | 148,000 | 148,000 | 0.00 | 0.00 |
| Institutional Contractor | 240,000 | 1,535,000 | 1,775,000 | (240,000) | 290,000 | 50,000 | 0 | 1,825,000 | 1,825,000 | 0 | 2,975,000 | 2,975,000 | 0.00 | 0.00 |
| Fiscal Agent Services | 0 | 400,000 | 400,000 | 0 | 0 | 0 | 0 | 400,000 | 400,000 | 0 | 580,000 | 580,000 | 0.00 | 0.00 |
| Training and TA Fund | 72,000 | 128,000 | 200,000 | 28,000 | (28,000) | 0 | 100,000 | 100,000 | 200,000 | 100,000 | 100,000 | 200,000 | 0.00 | 0.00 |
| 3. Commodities | 223,000 | 0 | 223,000 | 0 | 0 | 0 | 223,000 | 0 | 223,000 | 223,000 | 0 | 223,000 | 0.00 | 0.00 |
| 4. Evaluations/Audits | 200,000 | 200,000 | 400,000 | 0 | 0 | 0 | 200,000 | 200,000 | 400,000 | 230,000 | 200,000 | 430,000 | 0.00 | 0.00 |
| Evaluations | 200,000 | 0 | 200,000 | 0 | 0 | 0 | 200,000 | 0 | 200,000 | 230,000 | 0 | 230,000 | 0.00 | 0.00 |
| Audits | 0 | 200,000 | 200,000 | 0 | 0 | 0 | 0 | 200,000 | 200,000 | 0 | 200,000 | 200,000 | 0.00 | 0.00 |
| 5. Contingency | 87,000 | 0 | 87,000 | (58,000) | 0 | (58,000) | 29,000 | 0 | 29,000 | 29,000 | 0 | 29,000 | 0.00 | 0.00 |
| TOTALS | 3,973,720 | 5,526,280 | 9,500,000 | 880,000 | 320,000 | 1,200,000 | 4,853,720 | 5,846,280 | 10,700,000 | 6,407,000 | 9,393,000 | 15,800,000 | 4.00 | 2.50 |

_1/ GODR counterpart is calculated using the original exchange rate of US$1.00=RD$6.28. The GODR's counterpart contribution is not being reduced. Peso devaluations, however, have reduced the dollar equivalent of the planned GODR contribution to US$3.12 million, including the estimated Trust Fund interest earnings. The total planned host country contribution therefore is the dollar equivalent of US$5.62 million, i.e. PVO dollar equivalent contribution of US$2.5 million and GODR dollar equivalent contribution of US$3.12 million.

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TABLE 2
PVO DEVELOPMENT FUND
(US$000)

| SPECIFIC INPUTS | ESTIMATED ANNUAL FINANCIAL INPUTS | | | | | | | | | | LIFE OF PROJECT CONTRIBUTIONS | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | AID CONTRIBUTION | | | GODR _1/ | PVOS | PROJECT |
| | YR 1 | YR 2 | YR 3 | YR 4 | YR 5 | YR 6 | YR 7 | YR 8 | YR 9 | YR 10 | TOTAL | FX | LC | CONTRIB | CONTRIB | TOTAL |
| 1. PVO FUND (GRANTS) | | | | | | | | | | | | | | | | |
| - AID | 0 | 0 | 1,600 | 2,400 | 2,700 | 2,600 | 1,090 | 0 | 0 | 0 | 10,390 | 5,000 | 5,390 | | | 10,390 |
| - GODR (HCOLC) * | 0 | 0 | 200 | 340 | 1,800 | 400 | 380 | 0 | 0 | 0 | | | | 4,000 | | 4,000 |
| - PVOS | 0 | 0 | 400 | 400 | 600 | 600 | 200 | 200 | 100 | 0 | | | | | 2,500 | 2,500 |
| TOTALS | 0 | 0 | 2,200 | 3,140 | 5,100 | 3,600 | 1,670 | 200 | 100 | 0 | 10,390 | 5,000 | 5,390 | 4,000 | 2,500 | 16,890 |

*HCOLC – Host Country Owned Local Currencies. (Trust Fund) Dollar Equivalent.

_1/ GODR counterpart is calculated using the original exchange rate of US$1.00=RD$6.28. The GODR's counterpart contribution is not being reduced. Peso devaluations, however, have reduced the dollar equivalent of the planned GODR contribution to US$3.12 million, including the estimated Trust Fund interest earnings. The total planned host country contribution therefore is the dollar equivalent of US$5.62 million, i.e. PVO dollar equivalent contribution of US$2.5 million and GODR dollar equivalent contribution of US$3.12 million.

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Table 3

PROPOSED AMENDMENT BUDGET

| | | |
|---|---|---|
| **1. TECHNICAL ASSISTANCE CONTRACT** | | |
| Personnel | $620,000 | |
| Travel and Per Diem | 95,000 | |
| Consultants | 50,000 | |
| Material and Supplies | 90,000 | |
| Equipment | 3,000 | |
| Logistical Support Costs | 161,000 | |
| Sub-Total | $1,019,000 | |
| Indirect Costs (9%) | 92,000 | |
| Fixed Fee (8%) | 89,000 | |
| Total Contract Amount | | $1,200,000 |
| **2. PVO Coordinator** | $250,000 | |
| Secretary | 58,000 | |
| | | $308,000 |
| **3. Fiscal Agent** | | 180,000 |
| **4. Evaluation & Audits** | | 30,000 |
| **5. Contingency** | | (58,000) |
| **6. Subgrant Funds** | | $4,640,000 |
| **TOTAL** | | $6,300,000 |

24 de marzo de 1994 at 12:02 am
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# STATUS OF GRANT AWARDS

| | INSTITUTION | LOCATION | PROJECT | APPROVED CONCEPT PAPERS/REQUESTED AMOUNT/DATE | GRANT SIGNED | AMOUNT DA | US$0000 TRUST FUND | LOP |
|---|---|---|---|---|---|---|---|---|
| 1 | Pan American Development Foundation/Asociacion para el Desarrollo San Jose de Ocoa | Peravia | FIRENA: Natural Resources Income Generation | $999 (00) | May-92 | 999 | | 3 |
| 2 | Fundacion Dominicana de Desarrollo (FDD) | National | Microenterprise Training | $952 (10/91) | Dec-92 | 816 | | 4 |
| 3 | Fundacion para el Desarrollo Comunitario (FUDECO) | Dajabon, Elias Pina, San Juan, Santiago Rodriguez | Water and Sanitation | $750 (7/91) | Mar-93 | 706 | | 3 |
| 4 | Instituto Dominicano de Desarrollo Integral (IDDI) | Santo Domingo | Democratic Initiatives Water and Sanitation | $750(7/91) | Apr-93 | 730 | | 3 |
| 5 | Educadores Unidos del Cibao (EDUDELC) | Santiago | Microenterprise Training | $54 (4/92) | Aug-93 | | 62 | 3 |
| 6 | Liga Dominicana Contra el Cancer (LDCC) | Santo Domingo | Cancer Prevention | $341 (4/92) | Aug-93 | 25 | 316 | 3 |
| 7 | Centro de Educacion para la Salud (CES) | Santiago, Santiago Rodriguez, Dajabon | Health Promotion | $161 (2/92) | Aug-93 | | 161 | 3 |
| 8 | Fundacion para el Desarrollo de la Juventud Rural (FUNDEJUR) | Barahona, Pedernales | Employment Generation | $615 (3/92) | Sep-93 | 577 | | 3 |
| 9 | Consorcio Nacional de Supervivencia Materno Infantil (CONASUMI) headed by IDDI | Barahona, Pedernales, Independencia, Bahoruco, Elias Pina, San Juan | Child Survival | $2000 (12/92) | Oct-93 | 1231 | 452 | 2 |
| 10 | Asociacion para el Desarrollo, Inc (APEDI) | Santiago | Community Development | $100 (3/92) | Feb-94 | | 119 | 3 |
| 11 | Center for Marine Conservation (CMC)/Centro para el Ecodesarrollo de Samana (CEBSE) | Samana | Management and Sustainable use of Samana Bay | $250 (12/92) | Mar-94 | 236 | | 3 |
| 8 | SUBTOTAL (Grants Awarded by 3/94) | | | | | 5320 | 1110 | |
| 12 | Sociedad para el Desarrollo Integral del Nordeste (SODIN) | Maria Trinidad Sanchez | Water/Sanitation and Democratic Initiatives | $350 (9/92)* | | | 350 | 3 |
| 13 | Mujeres en Desarrollo (MUDE) | Espaillat, La Vega | Water and Sanitation | $659 (9/92)* | | | | 3 |
| 14 | Asociacion para Inversiones y Empleos (ASPIRE) | San Cristobal, Santo Domingo | Microenterprise Training | $65 (4/92) | | | | 3 |
| 15 | Hermandad | Peravia | Integrated Community Development | $210 (9/92) | | | | 3 |
| 16 | Asociacion para el Desarrollo Provincia Espaillat (ADEPE) / Asociacion para el Desarrollo Provincia Salcedo (APRODESA) | Espaillat | Agroforestry and Income Generation | $633 (12/92)* | | | | 4 |
| 17 | Catholic Relief Services (CRS)/ Fundacion para el Bienestar Salud (FUSABI) | Elias Pina, Bahoruco, San Juan, Independencia | Sustainable Hillside Agriculture and Income Generation | $501 (12/92)* | | | | 4 |
| 18 | Fundacion San Juan | San Juan | Agroforestry and Income Generation | $150 (2/93) | | | | 4 |
| 19 | IDDI/Project Hope | Santo Domingo | Child Survival | $693 (6/93)* | | | | 3 |
| 20 | CARITAS Dominicana | Duarte | Employment Generation | $184 (11/92) | | | | 3 |
| 21 | Asociacion Aguas Vivientes (AAVI) | Azua | Water and Sanitation | $400 (2/92)* | | | | 3 |
| 22 | Fundacion de Credito Educativo APEC (FUNDAPEC) | National | Microenterprise Training | $571 (6/93)* | | | | 3 |
| 23 | Barcelo Pro-Foresta | Azua | Agroforestry and Income Generation | $500 (9/93) | | | | 4 |
| 24 | Fondo Integrado Pro-Naturaleza (PRONATURA)/ Fundacion Pro-Conuco | Puerto Plata | Agroforestry and Income Generation | $269 (6/93) | | | | 3 |

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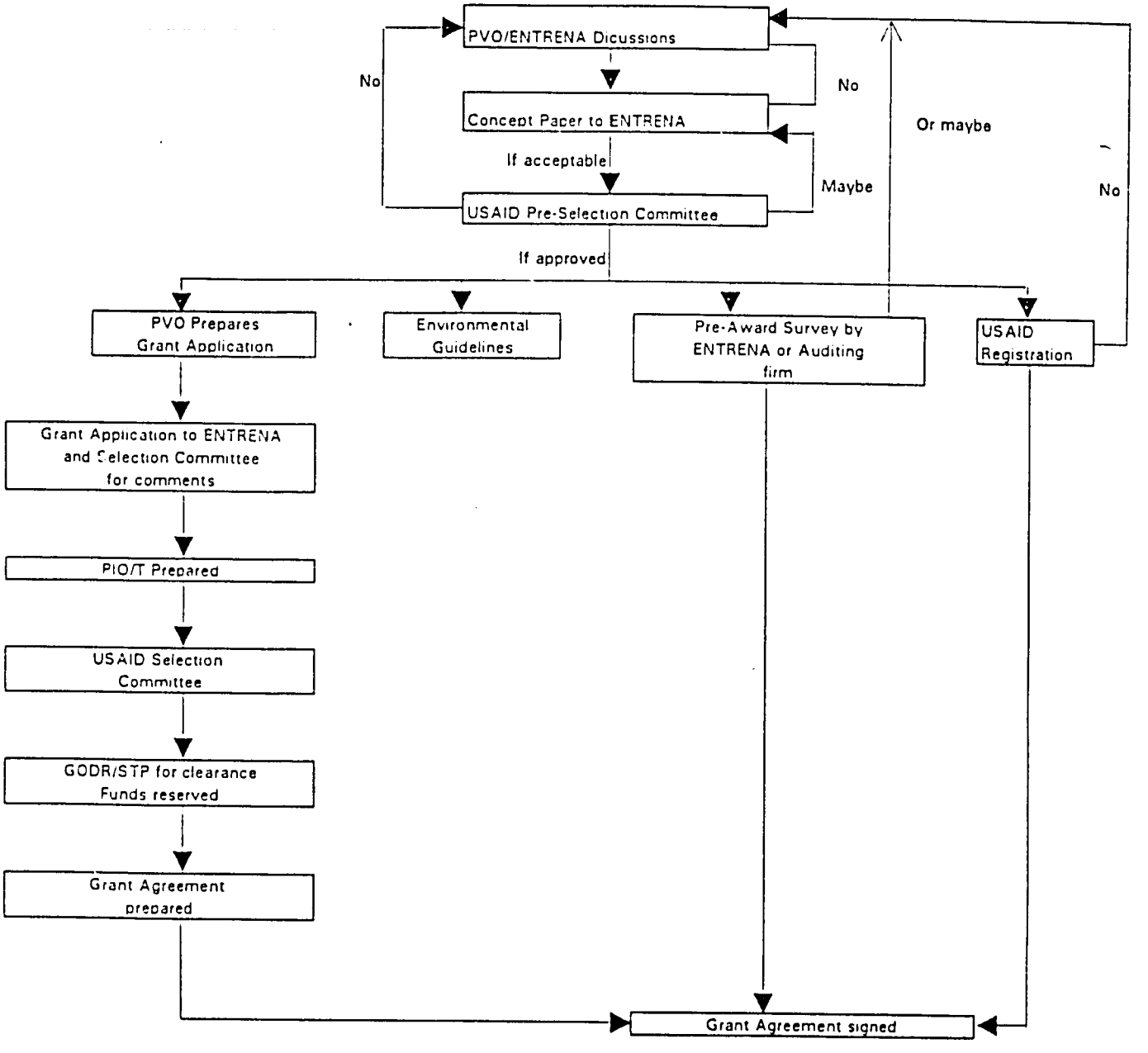
# STATUS OF GRANT AWARDS

| | INSTITUTION | LOCATION | PROJECT | APPROVED CONCEPT PAPERS/REQUESTED AMOUNT/DATE | GRANT SIGNED | AMOUNT DA | US$0000 TRUST FUND | LOP |
|---|---|---|---|---|---|---|---|---|
| 26 | Confederacion Nacional de Cacaocultores Dominicanos (CONACADO) | Duarte, Monte Plata, El Seibo | Rural Microenterprise Development | $124 (2/93) | | | | 3 |
| 27 | Fundacion Empresarial para el Desarrollo y Servicios | La Vega | Democratic Initiatives/ Water and Sanitation | $702 (2/93)* | | | | 4 |
| 28 | Asociacion Casa Caribena | Santo Domingo | Employment Generation | $50 (9/93) | | | | 3 |
| 29 | Club de Madres Santa Luisa De Marillac | Pedernales | Child Survival and Latrine Construction | $50 (9/93) | | | | 2.5 |
| 30 | Asociacion para el Desarrollo Inc. (APEDI) | | Natural Resources | $200 (6/92) | | | | 3 |
| 31 | Centro de Interes de Organizacion Social (CEDOIS) | | Institucional Strengthening | $350 (10/92) | | | | 3 |
| | S U B T O T A L (Grants Application in Process) | | | 7,210 | | | | |
| | | | CONCEPT PAPERS IN PROGRESS | | | | | |
| 32 | FUNDESIRE/Centro del Sordo | | Employment Generation | $120 | | | | |
| 33 | ENERSOL | | Rural electrification | 500* | | | | |
| 34 | Fundacion Promocion y Desarrollo Ciudadano | | Income Generation | $130 | | | | |
| 35 | Fundacion Desarrollo Samana | | Water and Sanitation | $60 | | | | |
| 36 | Escuela Yaque | | Microenterprise Training | $100 | | | | |
| 37 | ADOPEM | | Microenterprise Training | $300 | | | | |
| 38 | CONASUMI II | | Child Survival | $1,000 | | | | |
| | SUBTOTAL (Concept Paper in Process) | | | 2,210 | | | | |

* Incrementally funded

## Selection Process



AMENDMENT NUMBER EIGHT
TO THE PROJECT GRANT AGREEMENT
BETWEEN
THE GOVERNMENT OF THE
UNITED STATES OF AMERICA
AND
THE GOVERNMENT OF THE
DOMINICAN REPUBLIC
FOR
PVO CO-FINANCING
USAID PROJECT NO. 517-0247

Reservation Control No.: _____

Date: MAY 0 2 1994

ENMIENDA NUMERO OCHO
AL ACUERDO DE DONACION
ENTRE
EL GOBIERNO DE LOS
ESTADOS UNIDOS DE AMERICA
Y
EL GOBIERNO DE LA
REPUBLICA DOMINICANA
PARA EL
COFINANCIAMIENTO DE LAS OVP
PROYECTO DE LA USAID
NUM. 517-0247

Control de Reservación No.: _____

Fecha: 0 2 MAYO 1994

Project No. 517-0247
Reservation Control No.

AMENDMENT NUMBER EIGHT, dated May 2, 1994, between the United States of America, acting through the Agency for International Development (USAID) and the Government of the Dominican Republic (GODR).

ENMIENDA NUMERO OCHO, de fecha 2 de mayo de 1994, entre los Estados Unidos de América, actuando a través de la Agencia para el Desarrollo Internacional (USAID) y el Gobierno de la República Dominicana (GDRD).

WHEREAS, the Government of the Dominican Republic and USAID entered into a Project Grant Agreement, for PVO Co-Financing, dated September 22, 1989, which was subsequently amended on December 29, 1989, April 2, 1990, July 30, 1991, September 18, 1991, September 29, 1991, August 25, 1992, and May 25, 1993; and,

POR CUANTO, el Gobierno de la República Dominicana y la USAID suscribieron un acuerdo de donación para el Co-Financiamiento de las OVPs, el 22 de septiembre de 1989, el cual fue enmendado posteriormente el 29 de diciembre de 1989, el 2 de abril de 1990, el 30 de julio de 1991, el 18 y 29 de septiembre de 1991, el 25 de agosto de 1992, y el 25 de mayo de 1993; y,

WHEREAS, there is a continuing need to address critical development problems, particularly as concerns the poor, in the less developed rural areas and the marginal urban neighborhoods; and,

POR CUANTO, existe una necesidad continua de enfrentar problemas críticos de desarrollo, particularmente en lo que concierne a los pobres, en el área rural de menor desarrollo y en los barrios marginados urbanos; y

WHEREAS, the legitimate demand for assistance is far greater than anticipated originally, and the response of the PVO community has also been greater than expected; and,

POR CUANTO, la demanda de asistencia es mucho más grande que lo anticipado originalmente, y la respuesta de la comunidad de OVPs ha sido también más grande que lo esperado; y,

WHEREAS, the GODR and USAID desire to amend the Agreement to reflect an increase in the total planned life of project funding to Fifteen Million Eight Hundred Thousand United States Dollars (US$15,800,000) and extend the life of the Project three years; and,

POR CUANTO, el GDRD y la USAID desean enmendar el Acuerdo a fin de reflejar un aumento en el total planeado para los fondos de la vida del proyecto, financiando hasta Quince Millones, Ochocientos Mil Dólares Estadounidenses; y,

WHEREAS, the GODR and USAID desire to amend the Agreement to reflect an increase of One Million Two Hundred Thousand United States Dollars (US$1,200,000) to the grant funds made available thereunder; and,

POR CUANTO, el GDRD y la USAID desean enmendar el Acuerdo a fin de reflejar un aumento de Un Millón Doscientos Mil Dólares Estadounidenses (US$1,200,000) a los fondos de la donación; y,

WHEREAS, the GODR and USAID desire to amend the Agreement to clarify the amount of Grantee resources for the Project and reflect changes in the subgrant selection criteria as a result of experience gained from implementing the Project;

POR CUANTO, el GDRD y la USAID desean enmendar el Acuerdo a fin de clarificar la cantidad de recursos de Donación del Proyecto y reflejar los cambios en los criterios de selección de los subdonatarios como un resultado de la experiencia ganada en la implementación del Proyecto;

NOW, THEREFORE, the parties hereby agree that the Agreement shall be amended to read as follows:

AHORA, POR LO TANTO, las partes a este fin acuerdan que el Acuerdo sea enmendado como se lee a continuación:

In Article 2, Section 2.2 of the Project Grant Agreement, delete the words and numbers "Nine Million Five Hundred Thousand U.S. Dollars (US$9,500,000)" and substitute therefore the words and numbers "Fifteen Million Eight Hundred Thousand United States Dollars (US$15,800,000)".

En el Artículo 2, Sección 2.2 del Acuerdo de Donación, eliminar las palabras y las cifras "Nueve Millones Quinientos Mil Dólares (US$9,500,000)" y sustituirlas por las palabras y las cifras "Quince Millones Ochocientos Mil Dólares Estadounidenses (US$15,800,000)".

In Article 3, Section 3.1 of the Project Grant Agreement, as amended, delete the words and numbers "nine million five hundred thousand U.S. dollars (US$9,500,000)" and substitute therefore the words and numbers "Ten Million Seven Hundred Thousand United States Dollars (US$10,700,000)".

En el Artículo 3, Sección 3.1 del Acuerdo de Donación, según enmendado, eliminar las palabras y las cifras "nueve millones quinientos mil dólares estadounidenses (US$9,500,000)" y sustituirlas por las palabras y las cifras "Diez millones setecientos mil dólares Estadounidenses (US$10,700,000)."

In Article 3, Section 3.2 of the Project Grant Agreement, add the following to paragraph (b): "With Amendment No. 8 to the Project Grant Agreement, the total Project cost is projected to be the equivalent of US$21,420,000 (US$1.00= RD$12.50). The local currency Trust Fund portion of the planned contribution, including interest earnings, is the equivalent of US$3,120,000. The planned Trust Fund contribution plus the current commitments of the local PVO recipients of the equivalent of US$6,500,000, represent over 42% of the projected total Project cost."

En el Artículo 3, Sección 3.2 del Acuerdo de Donación, añadir al párrafo (b) lo siguiente: "Con la Enmienda No. 8 al Acuerdo de Donación, el costo total del Proyecto se prevee que será el equivalente de US$21,420.00 (US$1.00= RD$12.50). La porción del Fondo de Fideicomiso de moneda local de la contribución prevista, incluyendo los beneficios de los intereses, es el equivalente de US$3,120.00. La contribución planificada del Fondo de Fideicomiso, más los compromisos actuales de las recipientes OVP locales del equivalente de US$6,500.00, representan más del 42% del costo total del Proyecto."

In Article 3, Section 3.3 of the Project Grant Agreement, delete the words "August 30, 1996" and substitute therefore the words "August 30, 1999".

En el Artículo 3, Sección 3.3 del Acuerdo de Donación, eliminar las palabras "agosto 30, 1996" y sustituirlas por las palabras "agosto 30, 1999".

In Article 5, Section 5.2 of the Project Grant Agreement, add the following: "With Amendment No. 8 to the Project Grant Agreement, the total Project cost is projected to be the equivalent of US$21,420,000 (US$1.00=

En el Artículo 5, Sección 5.2 del Acuerdo de Donación, añadir lo siguiente: "Con la Enmienda No. 8 al Acuerdo de Donación, el costo total del Proyecto se prevee que será el equivalente de US$21,420.00 (US$1.00=RD$12.50). La

RD$12.50). The local currency Trust Fund portion of the planned contribution, including interest earnings, is the equivalent of US$3,120,000. The planned Trust Fund contribution plus the current commitments of the local PVO recipients of the equivalent of US$6,500,000, represent over 42% of the projected total Project cost."

porción del Fondo de Fideicomiso de moneda local de la contribución prevista, incluyendo los beneficios de los intereses, es el equivalente de US$3,120.00. La contribución planificada del Fondo de Fideicomiso, más los compromisos actuales de las recipientes OVP locales del equivalente de US$6,500.00, representan más del 42% del costo total del Proyecto."

Articles I. through III. of Annex 1, Project Description, including Table 1 and 2, of the Project Grant Agreement are to be deleted and replaced in their entirety with the revised Articles I. through III. of Annex 1 and Tables 1 and 2 which are attached to this Amendment.

Artículos I. hasta el III. del Anexo 1, Descripción del Proyecto, incluyendo las Tablas 1 y 2, del Acuerdo de Donación del Proyecto se eliminan y reemplazan en su totalidad con el Artículo I hasta el III, del Anexo 1 y las Tablas 1 y 2, revisados, los que se anexan a esta Enmienda.

EXCEPT as amended herein, the Agreement between the Government of the Dominican Republic and USAID, dated September 22, 1989, as amended, remains in full force and effect.

EXCEPTO lo aquí enmendado, el acuerdo entre el Gobierno de la República Dominicana y la USAID de fecha 22 de septiembre de 1989 y sus enmiendas, permanece vigente.

IN WITNESS WHEREOF, the Dominican Republic and the United States of America, each acting through its duly authorized representative, have caused this Amendment to be signed in two originals in their names and delivered as of the day and date first above written.

EN FE DE LO CUAL, la República Dominicana y los Estados Unidos de América actuando a través de sus representantes debidamente autorizados, suscriben esta enmienda a ser firmada en dos originales en sus nombres, en el día y la fecha indicada en la introducción.

22.

For the Government of the
United States of America:

By: _____
Robert S. Pastorino

Title: Ambassador
United States
of America

Por el Gobierno de la
República Dominicana.

Por: _____
Lic. Miguel Sang Ben

Titulo: Secretario Técnico
de la Presidencia

By: _____
Marilyn A. Zak

Title: Director
USAID Mission to the
Dominican Republic

**FISCAL DATA:**

Reservation Control No.: P400040
Appropriation: 72-114/51021
Budget Plan Code: LDV4-94-25517-KG13

Project No.: 517-0247
Total Amount: This amendment $ 1,200,000.00
Total Obligated: To date 10,700,000.00
Funding Source:

$1,200,000.00
FUNDS AVAILABLE
Initial 4/28/94 Date

**Clearances:**

| | | | |
|---|---|---|---|
| GDO: RMangrich: | | Date: | 4/28/94 |
| GDO: JHThomas: | | Date: | 4/26/94 |
| PDO: WRGarland: | | Date: | 4/26/94 |
| PDO: ASchoepfer: | | Date: | 4/26/94 |
| GC/LAC: RMeighan: | (draft by e-mail) | Date: | 04/21/94 |
| CON & A/DD: JWButler: | | Date: | / / |

**Drafted:**

April 20, 1994 at 3:13 pm
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24

PROJECT DESCRIPTION PVO CO-FINANCING PROJECT
PROJECT NUMBER 517-0247

## I. General Project Description

### A. Overview and Background

The goal of the PVO Co-Financing Project is to improve the well being of geographically and economically isolated lower income groups. The project purpose is to increase the capacity of PVOs and NGOs to deliver selected services and other resources to the poor. The project will increase the quantity and quality of PVO activities in the Dominican Republic by funding sub-activities in various sectors. It is anticipated that the focus of these interventions will be in income and employment generation, community development, child survival, health delivery, water and sanitation, natural resources, hillside agriculture and democratic initiatives.

PVOs are US or local private voluntary organizations which are registered as such with AID/W or USAID/Dominican Republic. NGOs are other nongovernment organizations which share common objectives with this project and therefore, may receive funding under it. When the term PVO is used in this Project Agreement it includes NGOs unless otherwise noted.

DESCRIPCION DEL PROYECTO
PROYECTO DE COFINANCIAMIENTO DE LAS OVPs

## I. Descripción General del Proyecto

### A. Perspectiva y Antecedentes

La meta del Proyecto de Cofinanciamiento de las OVPs es mejorar el bienestar de los grupos de escasos recursos geográfica y económicamente aislados. El propósito del proyecto es aumentar la capacidad de las OVPs y las ONGs de entregar servicios seleccionados y otros recursos a la población de escasos recursos. El proyecto aumentará la cantidad y calidad de las actividades de las OVPs en la República Dominicana mediante el financiamiento de subactividades en varios sectores. Se prevé que estas intervenciones estarán encaminadas hacia la generación de ingresos y de empleos, el desarrollo comunitario, supervivencia infantil, entrega de servicios de salud, agua y salud ambiental, recursos naturales, agricultura de ladera e iniciativas democráticas.

Las OVPs son organizaciones voluntarias privadas de EE.UU. o locales registradas como tal con la USAID/W o con la USAID/República Dominicana. Las ONGs son organizaciones no gubernamentales que comparten objetivos comunes con este proyecto y por lo que podrian recibir financiamiento bajo el mismo. El término OVPs cuando se utiliza en este Acuerdo de Proyecto se incluyen las ONGs al menos que se indique lo contrario.

The project contains two main components:

Establishment of a PVO Development Fund, financed by both USAID dollar grant funds and GODR Host Country-owned local currencies (HCOLC) placed in Trust to the USAID mission; and

Development of a Fund Administration Mechanism, to improve and streamline the budgeting, project development, design and approval processes and the adminstrative, technical and financial management of USAID's portfolio of US and Dominican PVO grants, while maintaining a clear and visible commitment by USAID and the GODR to the PVO community, their objectives and their members.

The project will also provide technical assistance and training to local PVOs during the processes of presenting and carrying out their proposals. USAID will exercise direct oversight and evaluation responsibilities for the proposed PVO Co-Financing Project, with GODR collaboration through the Technical Secretariate of the Presidency (TSP). This will be done by direct USAID receipt and approval of subgrant proposals and direct USAID signing of Subgrant Implementation documents and the establishment of a Coordinating Unit composed approximately of one US PSC

El proyecto contiene dos components principales:

El establecimiento de un Fondo de Desarrollo para las OPVs, financiado por fondos de donación en dólares de la USAID y de Moneda Local propiedad del País Anfitrión (GORD) entregados en Fideicomiso a la Misión de la USAID; y

Desarrollo de un Mecanismo de Administración del Fondo, para mejorar y simplificar los procesos de presupuesto, desarrollo del proyecto, diseño y aprobación y la administración, técnico y financiero del portafolio de la USAID para las donaciones a las OVPs estadounidenses y dominicanas, manteniendo un compromiso claro y visible de parte de la USAID y del GORD a la comunidad de OVPs, con los objetivos de las mismas y de sus miembros.

El proyecto también proveerá asistencia técnica y adiestramiento a las OVPs locales durante los procesos de presentación y eleboración de sus propuestas. La USAID ejercerá responsabilidades directas de supervisión y evaluación de las propuestas de las OVPs al Proyecto de Cofinanciamiento, con la colaboración del GORD a través del Secretariado Técnico de la Presidencia (STP). Esto se hará mediante el recibo, aprobación y firma, por parte de la USAID, de las propuestas de documentos de Ejecución de Subdonaciones y estableciendo una Unidad Coordinadora compuesta aproximadamente de

Coordinator, a local PSC secretary and a local institutional contractor, under the direct supervision of the PSC Coordinator and his/her USAID supervisor. The project will also contract the services of a Fiscal Agent such as a bank, as well as the services of an independent audit firm, to assist USAID and the GODR in assuring proper handling of project funds. An important element to project success is that USAID will retain a policy-making responsibility and constant review of project activities to insure consistency of the purposes, and appropriate application of selection criteria for subgrants. The GODR, through the TSP will participate on the Proposal Selection Committee and take part in the review and approval of subgrant proposals submitted by PVOs, and countersign the earmarking documents (PIO/Ts for subgrants).

un Coordinador, CPS estadounidense, y una secretaria CPS local y de un contratista institucional local, bajo la supervisión directa del Coordinador CPS y de su Supervisor(a), de la USAID. El proyecto también contratará los servicios de un Agente Fiscal tal como un banco, y también de una firma de auditores independiente para asistir a la USAID y al GORD en asegurar la adecuada administración de los fondos del proyecto. Un elemento importante para el éxito del proyecto es que la USAID retendrá la responsabilidad de decisión sobre políticas y la constante revisión de las actividades del proyecto para asegurar la coherencia de propósitos, y la aplicación de criterios de selección para las subdonaciones. El GORD participará en el Comité de Selección de subdonaciones sometidas por las OVPs, y contrafirmará los documentos de asignación (PIO/Ts para las subdonaciones).

End of Project Status: By the time the Project ends in August 1999, over 400,000 rural and urban poor will have directly benefitted from the PVO Co-Financing Project. This project envisages about 35 subgrants in such areas as hillside agriculture, child survival, health delivery, water and sanitation, natural resources management, community development and democratic initiatives.

Status al Final del Proyecto: Cuando el Proyecto termine en agosto 1999, más de 400,000 personas pobres de las áreas rurales y urbanas se beneficiarán directamente de las actividades del Proyecto de Cofinanciamiento de las OVPs. Este proyecto contempla alrededor de 35 subdonaciones en áreas tales como agricultura de ladera, supervivencia infantil, entrega de servicios de salud, agua y salud ambiental, manejo de los recursos naturales, desarrollo comunitario e iniciativas democráticas.

Analysis suggests that more than 1 million indirect beneficiaries can be expected.

El análisis sugiere que se pueden esperar más de un millón de beneficiarios indirectos.

Approximately 20 local PVOs will have been institutionally strengthened by undertaking subgrants financed by the PVO Co-Financing project.

Alrededor de 20 OVPs locales habrán sido fortalecidas institucionalmente mediante la utilización de subdonaciones financiadas por el Proyecto de Cofinanciamiento de las OVPs.

The direct impact of the project is intended to be on the beneficiaries of the PVO subgrants. Since PVO/NGO subgrants vary greatly in size and nature, a quantitative estimate of beneficiaries is difficult to make. Target groups, however, will be rural and urban poor, with incomes under US$40 per month. Selection criteria will place special preference on subgrant proposals that contain in their design, direct developmental impact benefiting women in the target areas.

Se espera que el impacto directo del proyecto recaiga en los beneficiarios de las OVPS subdonatarias. Como el alcance y naturaleza de las subdonaciones a las OVPs/ONGs varían grandemente, es difícil estimar el número de beneficiarios. Los grupos metas, sin embargo, serán la población pobre rural y urbana con ingresos por debajo de los US$40 mensuales. Los criterios de selección darán especial preferencia a las propuestas de subdonaciones que incluyan en su diseño un impacto de desarrollo directo en beneficio de las mujeres de las áreas metas.

## B. Project Components

1. Private Voluntary Organization (PVO) Fund. The project includes a PVO activity fund of the equivalent of approximately US$13.5 million dollars. Ten million three hundred ninety thousand dollars (US$10.39 million) in USAID Development Assistance (DA) funds authorized as part of this project will be provided to the fund. In addition, the equivalent of approximately US$4.0 million in local currency, are to be part of the fund in trust to USAID by

## B. Componentes del Proyecto

1. Fondo de las Organizaciones Voluntarias Privadas (OVPs). El proyecto incluye aproximadamente US$13.5 millones de dólares, para financiar las actividad de las OVPs. De los fondos autorizados de Asistencia al Desarrollo de la USAID (AD), se proveerán diez millones trescientos noventa mil dólares (US$10.39 millones) como parte de este fondo. Adicionalmente, el GORD agregará un equivalente aproximado de US$4.0 millones en moneda local al fondo en

the GODR from pesos generated under PL-480 and ESF programs. Both DA and Local Currency Program Trust Funds will be handled according to the same procedures. The participating PVOs will be required to finance a percentage of subgrant costs. This amount is expected to be approximately at least the equivalent to US$2.5 million in local currency. Since USAID will sign the subgrants directly with the PVOs, USAID Handbook 13 will govern those procedures where appropriate, as well as other USAID policies.

fideicomiso a la USAID de los pesos generados bajo los programas de la PL-480 y del Fondo de Apoyo Económico. Tanto los fondos de AD y del Fondo de Fideicomiso del Programa de Moneda Local serán manejados de conformidad al mismo procedimiento. A las OVPs participantes se les requerirá financiar un por ciento de los costos de las subdonaciones. Se espera que esta cantidad se aproxime al equivalente en moneda local de US$2.5 millones. Como la USAID firmará las subdonaciones directamente con las OVPs, el Manual 13 de la USAID regirá aquellos procedimientos cuando aplique, así como otras políticas de la USAID.

Local Dominican PVOs which address critical development areas and which have demonstrated project administration and financial management capabilities will be encouraged to apply for financing for specific activities. US PVOs will be considered as grantees on a case-by-case basis. It is not the intention of this project to exclude the participation of US PVOs under the project. However, in most cases, the PVOs that are most in need of increasing their capacities to deliver services and resources to the poor in the Dominican Republic (which addresses the project purpose) are local, Dominican PVOs. In this vein, stronger, more established US PVOs which enter into a joint

Las OVPs dominicanas locales que abordan áreas de desarrollo criticas y que han demostrado capacidad de administración del proyecto y de administración financiera serán estimuladas a solicitar financiamiento para actividades específicas. Las OVPs estadounidenses se considerarán como donatarias en basados en cada. No es la intención de este proyecto excluir la participación de las OVPs estadounidenses en el mismo. Sin embargo, en la mayoría de los casos, las OVPs con mayor necesidad de aumentar sus capacidades para poder entregar servicios y recursos a la población pobre en la República Dominicana (que responden al propósito del proyecto) son las OVPs dominicanas locales. En este sentido, las OVPs estadounidenses, más fuertes y mejor establecidas, que se

venture with a local Dominican PVO will be considered as likely candidates to participate under this project.

Based on a historical average of PVO projects financed by USAID, it is anticipated that approved PVO subgrants will normally be around US$350,000 or equivalent in local currency. A minimum of US$50,000 and maximum of US$500,000 per grant has been established. Exceptions will be considered on a case-by-case basis. Beneficiaries must be from the lower income groups in the Dominican Republic. Eligible grant activities are expected to be in the areas of employment and income generation; natural resource management and related agricultural activities; small-scale health, child survival, water and sanitation projects; community development; and democratic initiatives. Other areas will be considered on a case-by-case basis to the extent they fit within USAID's country strategy. The criteria for funding will assure diversity in terms of kinds of PVOs, regions represented, and nature and size of proposed activities. In addition, special emphasis will be placed on active beneficiary participation and sustainability of the activities.

asocien con una OVP dominicana serán consideradas como posibles candidatas a participar bajo este proyecto.

Basado en un promedio histórico de proyectos de OVPs financiados por la A.I.D., se anticipa que las subdonaciones aprobadas serán alrededor de los US$350,000 o su equivalente en moneda local. Se ha establecido un mínimo de US$50,000 y un máximo de US$500,000 por donación. Las excepciones serán consideradas basadas en cada caso. Los beneficiarios deberán pertenecer a la población de ingreso bajo de la República Dominicana. Se espera que las actividades elegibles de la donación estarán en las áreas generadoras de empleos e ingresos; administración de recursos naturales y las actividades agrícolas relacionadas; proyectos de salud, supervivencia infantil, agua y salud ambiental en pequeña escala; desarrollo de la comunidad; e iniciativas democráticas. Las demás áreas se considerarán basadas en cada caso siempre y cuando se ajusten a la estrategia de la USAID para el país. Los criterios de financiamiento asegurarán la diversidad en cuanto a los tipos de OVPs, regiones representadas, y naturaleza y alcance de las actividades propuestas. En Adiccion, se pondrá mayor interés en que los beneficiarios participen activamente y al sostenimiento de sus actividades.

## 2. PVO Fund Administration.

a. Program and Financial Administration. USAID will be responsible for administration of the PVO fund, including final review and approval of proposals as well as signing of subgrants. This will be carried out in coordination with the GODR. Policy guidance will be established, articulated and up-dated on the nature and size of acceptable activities and eligible PVOs, as well as target beneficiaries. Subgrant proposals will be chosen based on this policy guidance. USAID will ensure that proper financial controls are exercised, as is customary with all PVOs and NGOs. In order to assist in project implementation, a US PVO Coordinator will be hired who is familiar with USAID policy and procedures and assist with project design, start-up, implementation and evaluation. The GODR will review the Scope of Work of the PVO Coordinator through co-signing of the PIO/T, which will earmark funds to contract for this individual. The Technical Offices will be assisted by a competitively hired local contractor (PVO Project Support Team) who will carry out eight functions:

## 2. Administración del Fondo de las OVPs.

a. Administración del Programa y Financiera. La USAID será responsable de la administración del fondo de las OVPs, incluyendo la revisión final y la aprobación de las propuestas así como de la firma de las subdonaciones. Esto se llevará a cabo en coordinación con el GORD. Las pautas de política serán establecidas, detalladas y actualizadas en cuanto a la naturaleza y alcance de actividades aceptables y de las OVPs elegibles, así como de los beneficiarios meta. Las propuestas de subdonaciones se escogerán en base a estas pautas de política. La USAID se asegurará que se ejerzan los controles financieros correspondientes, como se acostumbra con todas las OVPs y las ONGs. Para ayudar en la ejecución del proyecto, se empleará un Coordinador estadounidense de OVPs quien estará familiarizado con la política y procedimientos de la USAID y asistirá en el diseño, puesta en marcha, ejecución y evaluación del proyecto. El GORD revisará el Alcance de Trabajo del Coordinador de las OVPs y co-firmará el PIO/T, que asignará fondos para su contratación. Las Oficinas Técnicas recibirán asistencia de un contratista local empleado mediante concurso (Grupo de Apoyo del Proyecto de OVPs) quien llevará a cabo ocho funciones:

-- Programming and budgeting

-- Assistance to PVOs on grant proposal development (pre-approval) and design

-- Preliminary review, technical feasibility analysis, and oversight for USAID

-- Assist subgrantees in financial management and coordination

-- Economic and financial appraisal of participating institutions

-- Evaluation

-- Preparing for pre-audit of PVOs and activity auditing function

-- Implementation follow-up

Since subgrants will be executed between USAID and US or local PVOs and NGOs, USAID's Controller's Office will be responsible for handling the funds. To assist that office in carrying out this responsibility, a bank or similar institution, and an independent auditing firm will be contracted using project funds for project-wide financial implementation.

The project envisages a long-term administration team (PVO Project Support Team) of approximately seven (7) full-

-- Programación y presupuesto

-- Asistencia a las OVPs en el desarrollo de propuestas de donación (previa aprobación) y diseño

-- Revisión preliminar, análisis de factibilidad técnica, y supervisión para la USAID

-- Asistir a los subdonatarios en la administración y coordinación financiera

-- Factibilidad económica y financiera de las instituciones participantes

-- Evaluación

-- Preparación de preauditorías de las OVPs y de la función de la actividad de auditoría

-- Seguimiento de la ejecución

Como las subdonaciones serán ejecutadas entre la USAID y las OPVs y ONGs estadounidenses o locales, la Oficina del Contralor de la USAID será responsable de la administración de los fondos. Para asistir a esa oficina en con estas obligaciones, se contrataran, un banco o institución similar, y una firma de auditores independiente, usando los fondos del proyecto para la ejecución financiera global del mismo.

El proyecto contempla un equipo administrativo a largo plazo (Grupo de Apoyo del Proyecto de OVPs) de alrededor

time technicians and five (5) support staff contracted over a period of about eight years to carry out the above listed functions. The team will include, among others, the following:

(1) Chief-of-party program manager
(2) Program associates
(1) Agriculturalist
(1) Health and sanitation expert
(1) Financial Management Associate/Economist
(1) Accountant/Pre-Award Auditor
(5) Administrative support personnel

The winning contractor would also be expected to provide short-term technical assistance from local sources in the areas of: financial management; specialized technical fields; training; evaluations; and, engineering, economic and enviromental analyses.

The GODR will also review and approve the scope of work for the contract of this PVO Project Support Team.

b. Institutional Strenthening for PVOs. The Fund Administration component contains an institutional strengthening subcomponent which funds technical assistance and training elements to assist PVOs to improve proposal presentation, project administration and financial management. This will include inter alia orientation workshops,

de siete (7) técnicos a tiempo completo y cinco (5) personal de apoyo contratados para un período aproximado de ocho años para llevar a cabo las funciones indicadas arriba. El equipo consistirá, entre otros, de los siguientes:

(1) Administrador del programa y jefe del grupo
(2) Asociados al programa
(1) Agrónomo
(1) Experto en salud y salud ambiental
(1) Gerente Financiero Asociado/Economista
(1) Contable/Auditor, previo a la donación
(5) Personal de apoyo administrativo

También se espera que el contratista ganador provea asistencia técnica a corto plazo de fuentes locales en las áreas de: administración financiera; campos técnicos especializados; entrenamiento; evaluaciones; y, análisis de ingeniería, económicos y ambientales.

El GORD también revisará y aprobará el alcance de trabajo del contrato de este Grupo de Apoyo del Proyecto de las OVPs.

b. Fortalecimiento Institucional de las OVPs. El componente de Administración del Financiamiento incluye un subcomponente de fortalecimiento institucional que financia los componentes de asistencia técnica y adiestramiento para asistir a las OVPs a mejorar la presentación de propuestas, administración del proyecto y administración financiera.

seminars, short-term domestic and international training, a Quarterly PVO Newsletter, a subproject for limited PVO strengthening activities and a small project development and support fund. The amount of funds available for this purpose will be approximately US$200,000.

Esto incluirá entre otras cosas, talleres de orientación, seminarios, entrenamientos locales e internacionales a corto plazo, un boletín de las OVPs, un subproyecto para actividades de fortalecimiento limitado de las OVPs y un pequeño fondo de desarrollo y apoyo del proyecto. La cantidad de fondos disponible para este propósito será de aproximadamente US$200,000.

Under this fund, PVOs will be able to obtain through outside contracting or other appropriate arrangements:

-- design services for specific proposal development above and beyond the assistance normally provided by USAID or the Project Support Team;

-- workshops relevant to the needs of PVOs in terms of development activities;

-- specific technical expertise that a PVO might require; and

-- development of skills or assistance to manage their overall program.

Bajo este financiamiento, las OVPs podrán obtener, mediante contratación externa u otros arreglos de lugar:

-- servicios de diseño para el desarrollo específico de las propuestas más allá de la asistencia suministrada normalmente por la USAID o por el Grupo de Apoyo del Proyecto;

-- talleres relacionados a las necesidades de las OVPs en cuanto a actividades de desarrollo;

-- expertos en técnicas específicas que una OVP pueda necesitar; y

-- el desarrollo de las habilidades o asistencia para manejar el programa global.

This fund is intended to broaden the base of potential PVOs which would be able to take advantage of the PVO Co-Financing Project.

Este fondo tiene como propósito ampliar las bases de potenciales OVPs que podrían aprovecharse del Proyecto de Cofinanciamiento.

The institutional strengthening subcomponent will finance local PVO training activities to

El subcomponente de fortalecimiento institucional financirá actividades de adiestramiento de OVPs locales

enhance the capacity of local PVOs to undertake projects promoting development and social goals in the Dominican Republic. This could be done by training qualified groups in project design, management and analysis. As a follow-up, groups might be given individual assistance in managing specific community subgrants. Attempts would also be made to provide link-ups between organizations and resources. Local PVO consortia would be considered recipients for such grants.

para mejorar la capacidad de las OVPs locales de llevar a cabo proyectos que promuevan metas de desarrollo y sociales en la República Dominicana. Esto se podría hacer adiestrando grupos calificados en diseño, administración y análisis de proyecto. Como seguimiento, se les podría dar asistencia individual en el manejo de subdonaciones comunitarias especificas. También se procuraría proveer enlaces entre las organizaciones y recursos. Los consorcios de OVPs locales podrían ser beneficiarios de tales donaciones.

The institutional strengthening subcomponent addresses an important objective of the PVO Co-Financing Project in that it attempts to promote and strengthen local PVOs' capacity to expand their capabilities and to enlarge the pool of beneficiaries assisted by them by undertaking a wider range of development activities.

El subcomponente de fortalecimiento institucional responde a un importante objetivo del proyecto de Cofinanciamiento de las OVPs en cuanto a que procura promover y fortalecer la capacidad de las OVPs locales de expandir sus posibilidades, y aumentar el número de beneficiarios asistidos por las mismas, emprendiendo una gama mas amplia de actividades de desarrollo.

C. Illustrative Summary of Potential Subgrant Activities

C. Resumen Ilustrativo de la Potenciales Actividades de las Subdonaciones

The following is an illustrative list of potential activities to be carried out under the project. Approval would depend on the procedures, requirements and criteria established for subgrant awards to PVOs. For purposes of this Project Agreement "Project" generally refers to the PVO Co-Financing Project; and "Subgrant" shall

A continuación aparece una lista ilustrativa de actividades potenciales a llevarse a cabo bajo el proyecto. Las aprobaciones dependerian de los procedimientos, requisitos y criterios establecidos para la adjudicación de las subdonaciones a las OVPs. Para los fines de este Acuerdo de Proyecto el término

refer to the individual grantee activities funded and carried out under this project. Possible subgrant activities would include but not be limited to:

"Proyecto" se refiere generalmente al Proyecto de Cofinanciamiento de las OVPs; y el término "Subdonación" se refiere a las actividades individuales del donatario financiadas y llevadas a cabo bajo este proyecto. Las posibles actividades de subdonación podrían incluir pero no estarán limitadas a:

1. NGO Pilot Health Delivery would develop a mechanism to expand private sector health care systems to lower income groups in Santo Domingo. Results of studies funded by USAID since 1985 and discussions held with private-for-profit health care providers, indicate that private providers are willing and able to deliver health services to low income populations, if they have access to technical assistance, and training. The proposed Community-based Health Delivery Systems activities would experiment with financing such activities as establishing consortia of child survival NGOs to provide health interventions such as diarrhea control, immunization, breast feeding and birthspacing.

1. La Entrega de Servicios de Salud Piloto de las ONGs desarrollaría un mecanismo para ampliar los sistemas de cuidados de salud del sector privado a los grupos de escasos recursos de Santo Domingo. Los resultados de los estudios financiados por la USAID desde 1985 y de las conversaciones sostenidas con proveedores de cuidados de salud privados con fines de lucro, indican que los proveedores privados están en disposición de proveer servicios de salud a la población de escasos recursos, si pueden contar con acceso a asistencia técnica y adiestramiento. El sistema propuesto para las actividades de Entrega de Servicios de Salud a las comunidades, experimentaría, financiando de actividades tales como el establecimiento de consorcios de OVPs para la supervivencia infantil para proveer intervenciones de salud tales como: control de la diarrea, inmunizaciones, lactancia y espaciamiento en los nacimientos.

2. Water and Sanitation would be directed to providing water supplies to impoverished villages in remote regions and marginal urban areas of the

2. El Agua y Sanidad estarían dirigidos a proveer abastecimiento de agua a los pueblos empobrecidos en las regiones remotas y en las

Dominican Republic. Wells and gravity-flow systems would be installed. The construction of family latrines would be promoted in each participating village and urban areas as well. It would be envisaged that the provision of safe water and latrines would be complemented with health workshops carried out by Peace Corps and PVO personnel directly training villagers as health promoters.

áreas urbanas marginadas de la República Dominicana. Se instalarán pozos y sistemas de flujo por gravedad. Se promoverá la construcción de letrinas familiares en cada pueblecito participante así como en las áreas urbanas. Se contempla que el suministro de agua potable y letrinas se complementarían con talleres sobre salud llevados a cabo por personal del Cuerpo de Paz y de las OVPs adiestrando directamente a la población de dichos pueblos como promotores de salud.

3. Upland Irrigation Development would increase incomes of up to 1,000 small hillside farmers by involving them in sustainable, yet more intensive agricultural systems through the use of irrigation in conjunction with agroforesty and soil conservation technologies. The subgrant activities would construct small scale, gravity fed irrigation systems in upland valleys which increase from 1 to 3 the number of crops per year achieved by farmers, increase participating farm family incomes at least 2.7 times over what they earn from traditional farming practices, and reduce erosion caused by traditional farming practices by a factor of 5. Systems would incorporate soil conservation and agroforestry technologies to lessen the impact of intensive farming in the irrigated areas, and would promote reforestation of areas surrounding the systems' water sources.

3. El Desarrollo de la Irrigación de Terrenos Elevados aumentaría los ingresos de hasta 1,000 agricultores de ladera al involucrarlos en sistemas agrícolas sostenibles pero aún más intensivos mediante el uso de irrigación conjuntamente con tecnologías agroforestales y de conservación de suelos. Las actividades de subdonación construirían sistemas de irrigación impulsados por gravedad a pequeña escala en los valles de los terrenos elevados que aumentan de 1 a 3 la cantidad de cultivos por año logrados por los agricultores, aumentarían los ingresos de las familias participantes en por lo menos 2.7 veces sobre lo que éstas ganan con las prácticas agrícolas tradicionales, y reducirían la erosión causada por estas prácticas por un factor de 5. Los sistemas incorporarían las tecnologías de conservación de suelos y agroforestales para disminuir el impacto de la agricultura intensiva en las áreas irrigadas, y promoverán la

reforestación de las áreas que rodean sus fuentes de agua.

Technical assistance would be required to develop agreements between participating farmers and implementing PVOs in the design of small scale irrigation systems, in the use and maintenance of these irrigation systems, and in the agronomy, post harvest handling and marketing of the new crops.

Se requeriría asistencia técnica para desarrollar los acuerdos entre los agricultores participantes y las OVPs ejecutoras, en el diseño de los sistemas de irrigación a pequeña escala, en el uso y mantenimiento de estos sistemas de irrigación, y en la agronomía, procesamiento después de la cosecha y mercadeo de los nuevos cultivos.

4. Small Scale Commercial Forestry Plantation would increase incomes of subsistence hillside farmers and restore the protective cover of watersheds through the establishment of forest plantations on hillside lands.

4. Las Plantaciones Forestales Comerciales a Pequeña Escala aumentarían los ingresos de subsistencia de los agricultores de ladera y restaurarían la capa protectora de las cuencas mediante el establecimiento de plantaciones forestales en las laderas de las montañas.

The subgrants would: (1) finance the planting of forest tree species on hillside lands in targeted watersheds; (2) provide medium and long-term cash crops for hillside farmers; (3) stabilize hillsides and reduce erosion; and (4) restore the capability of the watershed to supply sustained flows of water.

Las subdonaciones: (1) financiarían la siembra de variedades de árboles forestales en las tierras de ladera en las cuencas seleccionadas; (2) proporcionarían cultivos destinados al mercado a mediano y largo plazo para los agricultores de ladera; (3) estabilizarían las laderas y reducirían la erosión; y (4) restablecerían la capacidad de la cuenca de suministrar flujos de agua continuados.

Technical assistance and training would be provided in the selection of tree species, management of forest plantations, and marketing of forest products.

Se proporcionarían asistencia técnica y adiestramiento en la selección de las variedades de árboles, administración de las plantaciones forestales, y mercadeo de productos forestales.

5. Commercial Agroforestry and Perennial Crop Production would increase incomes of up to 2,500 hillside farm families, on between 100 to 5,000 hectares who have no access to valley lands or irrigated areas, by assisting them to convert from subsistence annual crops to more stable and sustainable perennial crops while improving the protective cover of the watersheds' hillsides. Technical assistance would be provided in soil conservation, agroforestry, and in high value perennial crop production, post harvest handling and product marketing. Funds could be provided as credit by the PVO under a revolving credit mechanism, to finance the first four to five years of production, but are not to include USAID or Trust Fund monies.

5. La Producción Agroforestal Comercial y los cultivos Perennes aumentaran los ingresos de hasta 2,500 familias agricultoras de ladera, localizadas entre 100 y 5,000 hectáreas quienes no tienen acceso a terrenos en el valle o a áreas irrigadas, asistiéndolas a cambiar de cultivos anuales de subsistencia a cultivos perennes más estables y sostenibles al tiempo que mejora la capa protectora de las cuencas de las laderas. Se proveería asistencia técnica en la conservación de suelos, agroforesta, y en la producción de cultivos perennes de gran valor, procesamiento de los productos después de la cosecha y mercadeo. Los fondos podrían suministrarse como crédito de las OVPs bajo un mecanismo de crédito rotatorio, para financiar los primeros cuatro a cinco años de producción, pero no se deberán incluir los dineros de la USAID o del Fondo de Fideicomiso.

6. Community Development and Self-Help would improve the living conditions in rural villages as well as marginal urban areas. With PVO's and other community groups which are working in the improvement of health, education and other social conditions of these sectors, subgrant activities will be prepared to assist in the strengthening of democratically-based community organizations and the development of employment and income generating activities as well as improvements in sanitation conditions of the poor neighborhoods.

6. El Desarrollo de la Comunidad y la Autoayuda mejorarian las condiciones de vida en los campos así como en las áreas marginales urbanas. Las actividades de la subdonación conjuntamente con las OVPs y otros grupos comunitarios que están trabajando para mejorar la salud, educación y otras condiciones sociales de estos sectores, estarán preparadas a asistir en el fortalecimiento de organizaciones comunitarias con bases democráticas y el desarrollo de las actividades generadoras de ingresos y empleos,así como en las

mejoras de las condiciones de salud ambiental de los barrios de la población más pobres.

## II. Responsibilities of the Participants

### A. General Description

The Project Agreement will be signed between USAID and the Technical Secretariate of the Presidency. The Project will be managed by USAID/DR's PVO Coordinating Unit (GDO/PVO). The Unit will be comprised of approximately one US PSC and one PSC Secretary and a local Dominican contractor. Specific responsibilities of each element are detailed below. Subgrant approval for both USAID funds and local currency Trust Funds will lie with the USAID Mission Director upon the advice of a review committee composed of members of the relevant USAID technical and staff offices and the GODR's Technical Secretariat of the Presidency. The Subgrant review process will be coordinated by the GDO/PVO Coordinator of USAID.

The TSP's Unit for Resource Coordination (DCR) will participate in the subgrant review process. The TSP will review and endorse all subgrant agreements through the countersignature of a PIO/T to establish the subgrant. The TSP will also review and countersign PIO/Ts for the GDO/PVO Coordinator's

## II. Reponsabilidades de los Participantes

### A. Descripción General

El acuerdo del Proyecto será firmado entre la USAID y el Secretariado Técnico de la Presidencia. El proyecto será manejado por la Unidad Coordinadora de las OVPs (GDO/OVP) de la USAID/RD. Esta estará compuesta por aproximadamente una persona estadounidense bajo CSP, una secretaria bajo CSP y un contratista dominicano local. Más abajo se detallan las responsabilidades específicas de cada componente. El Director de la Misión de la USAID aprobará las subdonaciones tanto de los fondos de la USAID como de los Fondos en Fideicomiso de Moneda Local, asesorado por un comité de revisión, compuesto por miembros de las oficinas técnicas y de apoyo correspondientes de la USAID y del Secretariado Técnico de la Presidencia del GORD. El proceso de revisión de las subdonaciones estará coordinado por el Coordinador de GDO/OVP de la USAID.

La Unidad de Coordinación de Recursos de Desarrollo del STP (CRD) participará en el proceso de revisión de las subdonaciones. El STP revisará y endosará todos los acuerdos de subdonación contrafirmando un PIO/T para establecer la subdonación. El STP también revisará y refrendará los PIO/Ts para el

position the GDO/PVO Secretary and the local Dominican Contractor.

cargo del Coordinador de GDO/OVP, de la secretaria del GDO/OVP y del contratista dominicano local.

## B. PVO Registration

Before a Private Voluntary Organization can be awarded a subgrant, it must be registered with USAID. Generally to qualify a PVO must demonstrate its persona juridica under law, the nature of activities it has heretofore financed, its financial management capabilities including accounting for funds and financial planning, and its basic technical and administrative capability to carry out its activities. Finally, pre-award evaluation of grantees, as set forth in USAID Handbook 13 will be required in those cases where the Mission Grant (Contract) Officer determines it to be necessary.

## B. Inscripción de las OVPs

Antes de que se pueda adjudicar la subdonación a una Organización Voluntaria Privada, la misma deberá estar registrada con la USAID. Generalmente para una OVP calificar deberá demostrar su persona jurídica bajo la ley, la naturaleza de las actividades que hasta la fecha ha financiado, su capacidad de administración financiera incluyendo contabilidad de fondos y programación financiera, y su capacidad técnica y administrativa básica de llevar a acabo sus actividades. Finalmente, se requerirá una evaluación previa de los subdonatarios, como se establece en el Manual 13 de la USAID en esos casos en que el Oficial de Donación (Contrato) de la Misión determine que es necesario.

It is anticipated that activities by non-profit and for-profit NGOs will also be approved under the project albeit on a case-by-case basis. Mission will adhere to Agency Guidelines on grants to for-profit NGOs.

Se prevé que las actividades de las ONGs sin fines y con fines de lucro también serán aprobadas bajo el proyecto aunque sea en basado a cada caso. La Misión se apegará a las pautas de la Agencia para las donaciones las ONGs con fines de lucro.

## C. Format and Presentation Requirements for PVO Proposals

PVOs and NGOs will submit their subgrant proposals to USAID for approval following a two-phased process. The Mission will provide

## C. Formato y Requisitos de Presentación para las Propuestas de las OVPs

Las OVPs y ONGs someterán sus propuestas de subdonación a la aprobación de la USAID siguiendo un proceso de dos fases. A las OVPs y ONGs

interested PVOs and NGOs a handout on proposal presentation, which will cover the basic requirements for

successful proposal approval. USAID will strongly urge PVOs to use the format provided.

interesadas, la Misión les suministrará folletos informativos de presentación de propuestas, que abarcarán los requisitos básicos para la exitosa aprobación de las propuestas. La USAID le exhortará enérgicamente a las OVPs y ONGs usar el formato suministrado.

D. The Role of USAID

USAID will have the primary responsibility for approval and management of both the USAID and Local Currency Trust Fund portions of the project. The overall project management responsibility for the PVO Co-Financing Project will lie with the US PSC PVO Coordinator, who will oversee the direct management of the project in close collaboration with the other USAID offices. USAID will also review proposals submitted by participating US and local PVOs and NGOs and the USAID Mission Director will approve or disapprove projects based on the recommendations of the PVO Selection Committee.

D. El Papel de la USAID

La USAID tendrá la primaria responsabilidad de aprobar y administrar las porciones tanto de la USAID como del Fondo Fiduciario de Moneda Local del proyecto. El Coordinador estadounidense de las OVPs de la USAID, tendrá la responsabilidad global de la administración del proyecto, quien manejará el proyecto en colaboración con las oficinas de la USAID. La USAID también revisará las solicitudes sometidas por las OVPs y ONGs estadounidenses y locales y el Director de la Misión de la USAID aprobará o no los proyectos en base a las recomendaciones del Comité de Selección de las OVPs.

E. The Role of the Government of the Dominican Republic (GODR)

The GODR's participation will be through its Technical Secretariat of the Presidency (TSP) and TSP's Local Currency Resources Coordinating Department (RCD). TSP will approve the PVO Coordinator through countersignature of the PIO/T, as well as the institutional contracts. TSP will provide the Local Currency in trust to USAID and will approve each subgrant by

E. El Papel del Gobierno de la República Dominicana (GORD)

La participación del GORD será a través del Secretariado Técnico de la Presidencia (STP) y del Departamento Coordinador de Recursos (DCR) de Moneda Local del STP. El STP aprobará al Coordinator de las OVPs mediante refrendata del PIO/T, así como de los contratos institucionales. El STP le proporcionará la moneda local en fideicomiso a la USAID y aprobará cada

its countersignature on the PIO/Ts earmarking funds for those subgrants.

The RCD Coordinator will participate in subgrant selection through membership on the Subgrant Selection Committee.

F. The Role of the Peace Corps

In the past, Peace Corps Volunteers have played an important role in assisting in the design and implementation of certain PVO activities. Peace Corps involvement in the PVO Co-Financing Project will be encouraged. USAID will share relevant PVO information with the Peace Corps and look for areas of possible collaboration between the PVOs and the Peace Corps. It is a clear intent for the Co-Financing Project to involve the Peace Corps to the greatest extent possible.

G. Implementation and Monitoring Plan

Project implementation will have four phases:

--Project start-up

--Interim phase

--Full project implementation

--Phase-down or Redesign

subdonación mediante su frendata en los PIO/Ts asignando fondos para dichas subdonaciones.

El Coordinator de la unidad de DCR de Moneda Local participará en la selección de las subdonaciones mediante membresía en el Comité de Seleccion de Subdonaciones.

F. El Papel del Cuerpo de Paz

En el pasado, los Voluntarios del Cuerpo de Paz han jugado un importante papel en asistir en el diseño y ejecución de ciertas actividades de las OVPs. Se fomentará la intervención del Cuerpo de Paz en el Proyecto de Cofinanciamiento de las OVPs. La USAID compartirá cualquier información pertinente a las OVPs con las OVPs y el Cuerpo de Paz. El proyecto de Cofinanciamiento tiene la clara intención de involucrar al Cuerpo de Paz al máximo posible en las actividades del mismo.

G. Plan de Ejecución y Seguimiento

La ejecución del proyecto tendrá cuatro fases:

--Puesta en marcha del proyecto

--Fase interina

--Ejecución total del proyecto

--Fase baja o rediseño

Start-up Phase (18 months)

Initiate contracts with Management Unit, Technical Assistance firm, Fiduciary Agent and Auditing Firms. Establish selection criteria and procedures and initiate subproject design stage with major PVOs. Develop Environmental Guidelines for PVOs and NGOs with environmentally sensitive subprojects.

Interim Phase (18 months)

Publicize the availability of competitively selected grant funds; orient PVOs; begin training and preparation of technical assistance for institutional strengthening and subproject design documents. Distribute Participation Manuals, Environmental Guidelines and the first issue of the PVO newsletter. Award initial two grants to PVOs.

Full Project Implementation (48 months)

By September 1996, approximately thirty-five (35) subgrants will have been awarded and the majority of subprojects being implemented. Subproject evaluations will be conducted. Seven subprojects will be completed by December 1996. The mid-term evaluation will take place on/about November 1994.

Fase de Puesta en Marcha (18 meses)

Iniciar contratos con la Unidad de Administración, firmas de Asistencia Técnica, Agencias Fiduciarias y firmas de Auditores. Establecer una selección de criterios y procedimientos para iniciar la etapa del diseño de subproyectos con las OVPs más grande. Desarrollar pautas para el medio ambiente de las OVPs y las ONGs con subproyectos de sensibilidad ambiental.

Fase Interina (18 meses)

Publicar la disponiblidad de donacion de fondos a ser seleccionadas competitivamente; orientar a las OVPs; iniciar el entrenamiento y la preparación de asistencia técnica para el fortalecimiento institucional y los documentos de diseño de los subproyectos. Hacer la distribución de Manuales de Participación, Pautas para el Medio Ambiente y la primera edición del periódico de las OVPs. Adjudicar las dos primeras donaciones a las OVPs.

Fase de Ejecución Total del Proyecto (48 meses)

Para septiembre del 1996, aproximadamente treinta y cinco (35) subdonaciones habrán sido revisadas y la mayoría de los subproyectos ejecutados. Se habrán evaluaciones de los subproyectos. Siete subproyectos se habrán completados en diciembre del 1996. La evaluación de medio

Phase Down (36 months)

No new grants will be awarded and all active subprojects will complete implementation and evaluations. A final Project evaluation will begin January 1999. Based upon this impact evaluation USAID will decide on whether or not to pursue a follow-on project.

III. Illustrative Financial Plan

USAID will provide US$15.8 million to the PVO Co-Financing Project. Of this amount, US$10.39 million will be used to provide grants to U.S. and local PVOs. The GODR will provide an additional local currency equivalent amount, of US$4.0 million (US$1.00 = RD$6.28, as of September 22, 1989) in U.S.G. program-generated local currencies. Recipient PVOs will provide 25 percent of the budget of a subgrant, excluding beneficiary contributions. Where there is a participating U.S. PVO, the U.S. PVO will provide at least 20 percent of the total cost of any subproject, excluding the beneficiary contributions from beneficiaries and the partner Dominican PVOs. PVOs must contribute at least 50% of the cost of salaried subproject personnel.

término se realizará en/o alrededor de noviembre del 1994.

Fase de Reducción (36 meses)

No se adjudicaran donaciones nuevas y todos los subproyectos activos completarán su plan de ejecución y evaluaciones. Una evaluación final del proyecto será iniciada en enero del 1999. Basado en el impacto de la evaluación realizada por la USAID se decidirá si se le dará seguimiento a los proyectos o no.

III. Plan Financiero Ilustrativo

La USAID le proveerá US$15.8 millones al proyecto de Cofinanciamiento de las OVPs. De esta cantidad, US$10.39 millones serán utilizados para proveer donaciones a las OVPs estadounidenses y locales. El GORD proporcionará un monto adicional equivalente a US$4.0 millones (US$1.00 = RD$6.28, del 22 de septiembre, 1989), del programa de moneda local propiedad del Gobierno de los EE.UU. Las OVPs beneficiarias proveerán el 25 por ciento del presupuesto de la subdonación, excluyendo las contribuciones a los beneficiarios. Donde exista una OVP de los EE.UU. ésta proveerá al menos el 20 por ciento del costo total de cualquier subproyecto excluyendo las contribuciones a los beneficiarios y los asociados de los OVPs dominicanas. Las OVPs deberán contribuir, al menos, con el 50% de los costos del personal asalariado del subproyecto.

The financial plan shown in Tables 1 and 2 at the end of this Annex are illustrative and changes may be made to the plan by representatives of the parties named in the text of the Agreement by Implementation Letter if such changes do not cause (1) USAID's contribution to exceed the amount specified in the text of the Agreement or (2) the Grantees' contribution to be less than the amount specified in the text of the agreement. Future USAID obligations are subject to the availability of funds and mutual agreement of the parties to proceed. Changes of up to 15 percent within individual budget line items may be effected without formal written approval. No such adjustment may change any particular category by more than 15 percent of the original approved amount without the prior written approval of USAID.

El plan financiero que aparece en los Cuadros 1 y 2 al final de este Anexo son ilustrativo y los representantes de las partes podrán hacer cambios en el texto del Acuerdo mediante cartas de ejecución si tales cambios no dan lugar a que (1) la contribución de la USAID exceda la cantidad especificada en el texto del Acuerdo, o (2) la contribución del Donatario sea menor que la cantidad especificada en el texto del Acuerdo. Las futuras obligaciones de la USAID están sujetas a la disponibilidad de fondos y al mutuo acuerdo de las partes de seguir adelante. Cambios de hasta un 15 por ciento dentro de los renglones presupuestarios individuales podrán ser ejecutados sin la aprobación formal por escrito. Ninguno de estos ajustes podrá cambiar ninguna categoría determinada en más de 15 por ciento de la cantidad original aprobada sin la previa autorización por escrito de la USAID.

PVO CO-FINANCING PROJECT (517-0247)
SUMMARY FINANCIAL PLAN
(US$)

TABLE 1

| | CURRENT PROJECT FUNDING A. I. D. | | | FUNDING PROVIDED THIS AMENDMENT A.I.D. | | | TOTAL FUNDING INCLUDING THIS AMENDMENT A.I.D | | | TOTAL LIFE OF PROJECT FUNDING USAID | | | COUNTERPART | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | _1/ GODR (US$ M Equiv.) | PVOS |
| 1. PVO Fund (Grants) | 2,576,720 | 3,173,280 | 5,750,000 | 1,000,000 | 0 | 1,000,000 | 3,576,720 | 3,173,280 | 6,750,000 | 5,000,000 | 5,390,000 | 10,390,000 | 4.00 | 2.50 |
| 2. Technical Assistance | 887,000 | 2,153,000 | 3,040,000 | (62,000) | 320,000 | 258,000 | 825,000 | 2,473,000 | 3,298,000 | 925,000 | 3,803,000 | 4,728,000 | 0.00 | 0.00 |
| PVO Coordinator | 575,000 | 0 | 575,000 | 150,000 | 0 | 150,000 | 725,000 | 0 | 725,000 | 825,000 | 0 | 825,000 | 0.00 | 0.00 |
| PVO Secretary | 0 | 90,000 | 90,000 | 0 | 58,000 | 58,000 | 0 | 148,000 | 148,000 | 0 | 148,000 | 148,000 | 0.00 | 0.00 |
| Institutional Contractor | 240,000 | 1,535,000 | 1,775,000 | (240,000) | 290,000 | 50,000 | 0 | 1,825,000 | 1,825,000 | 0 | 2,975,000 | 2,975,000 | 0.00 | 0.00 |
| Fiscal Agent Services | 0 | 400,000 | 400,000 | 0 | 0 | 0 | 0 | 400,000 | 400,000 | 0 | 580,000 | 580,000 | 0.00 | 0.00 |
| Training and TA Fund | 72,000 | 128,000 | 200,000 | 28,000 | (28,000) | 0 | 100,000 | 100,000 | 200,000 | 100,000 | 100,000 | 200,000 | 0.00 | 0.00 |
| 3. Commodities | 223,000 | 0 | 223,000 | 0 | 0 | 0 | 223,000 | 0 | 223,000 | 223,000 | 0 | 223,000 | 0.00 | 0.00 |
| 4. Evaluations/Audits | 200,000 | 200,000 | 400,000 | 0 | 0 | 0 | 200,000 | 200,000 | 400,000 | 230,000 | 200,000 | 430,000 | 0.00 | 0.00 |
| Evaluations | 200,000 | 0 | 200,000 | 0 | 0 | 0 | 200,000 | 0 | 200,000 | 230,000 | 0 | 230,000 | 0.00 | 0.00 |
| Audits | 0 | 200,000 | 200,000 | 0 | 0 | 0 | 0 | 200,000 | 200,000 | 0 | 200,000 | 200,000 | 0.00 | 0.00 |
| 5. Contingency | 87,000 | 0 | 87,000 | (58,000) | 0 | (58,000) | 29,000 | 0 | 29,000 | 29,000 | 0 | 29,000 | 0.00 | 0.00 |
| TOTALS | 3,973,720 | 5,526,280 | 9,500,000 | 880,000 | 320,000 | 1,200,000 | 4,853,720 | 5,846,280 | 10,700,000 | 6,407,000 | 9,393,000 | 15,800,000 | 4.00 | 2.50 |

_1/ GODR counterpart is calculated using the original exchange rate of US$1.00=RD$6.28. The GODR's counterpart contribution is not being reduced. Peso devaluations, however, have reduced the dollar equivalent of the planned GODR contribution to US$3.12 million, including the estimated Trust Fund interest earnings. The total planned host country contribution therefore is the dollar equivalent of US$5.62 million, i.e. PVO dollar equivalent contribution of US$2.5 million and GODR dollar equivalent contribution of US$3.12 million.

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PROYECTO DE CO-FINANACIAMIENTO A LAS OVPS (517-0247)
RESUMEN PLAN FINAMCIERO
(US$)

TABLA 1

| | FONDO ACTUAL PROYECTO USAID | | | FONDOS PROVISTOS EN ESTA ENMIENDA USAID | | | FONDO TOTAL INCLUYENDO ESTA ENMIENDA USAID | | | FONDO PARA LA VIDA DEL PROYECTO USAID | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | FX | LC | TOTAL | CONTRAPARTID GORD-1/ (US$ M | OVPS Equiv |
| 1. Fondos de las OVPs | 2,576,720 | 3,173,280 | 5,750,000 | 1,000,000 | 0 | 1,000,000 | 3,576,720 | 3,173,280 | 6,750,000 | 5,000,000 | 5,390,000 | 10,390,000 | 4.00 | 2.50 |
| 2. Asistencia Tecnica | 887,000 | 2,153,000 | 3,040,000 | (62,000) | 320,000 | 258,000 | 825,000 | 2,473,000 | 3,298,000 | 925,000 | 3,803,000 | 4,728,000 | 0.00 | 0.00 |
| Coordinador de las OVPs | 575,000 | 0 | 575,000 | 150,000 | 0 | 150,000 | 725,000 | 0 | 725,000 | 825,000 | 0 | 825,000 | 0.00 | 0.00 |
| Secretaria | 0 | 90,000 | 90,000 | 0 | 58,000 | 58,000 | 0 | 148,000 | 148,000 | 0 | 148,000 | 148,000 | 0.00 | 0.00 |
| Contratista Intit. | 240,000 | 1,535,000 | 1,775,000 | (240,000) | 290,000 | 50,000 | 0 | 1,825,000 | 1,825,000 | 0 | 2,975,000 | 2,975,000 | 0.00 | 0.00 |
| Servicios Agente Fiscal | 0 | 400,000 | 400,000 | 0 | 0 | 0 | 0 | 400,000 | 400,000 | 0 | 580,000 | 580,000 | 0.00 | 0.00 |
| Fondo Entren. y A.T. | 72,000 | 128,000 | 200,000 | 28,000 | (28,000) | 0 | 100,000 | 100,000 | 200,000 | 100,000 | 100,000 | 200,000 | 0.00 | 0.00 |
| 3. Bienes | 223,000 | 0 | 223,000 | 0 | 0 | 0 | 223,000 | 0 | 223,000 | 223,000 | 0 | 223,000 | 0.00 | 0.00 |
| 4. Evaluaciones/Auditorias | 200,000 | 200,000 | 400,000 | 0 | 0 | 0 | 200,000 | 200,000 | 400,000 | 230,000 | 200,000 | 430,000 | 0.00 | 0.00 |
| Evaluacioness | 200,000 | 0 | 200,000 | 0 | 0 | 0 | 200,000 | 0 | 200,000 | 230,000 | 0 | 230,000 | 0.00 | 0.00 |
| Auditorias | 0 | 200,000 | 200,000 | 0 | 0 | 0 | 0 | 200,000 | 200,000 | 0 | 200,000 | 200,000 | 0.00 | 0.00 |
| 5. Imprevistos | 87,000 | 0 | 87,000 | (58,000) | 0 | (58,000) | 29,000 | 0 | 29,000 | 29,000 | 0 | 29,000 | 0.00 | 0.00 |
| TOTALES | 3,973,720 | 5,526,280 | 9,500,000 | 880,000 | 320,000 | 1,200,000 | 4,853,720 | 5,846,280 | 10,700,000 | 6,407,000 | 9,393,000 | 15,800,000 | 4.00 | 2.50 |

_1/ La contrapartida del GORD se calculo usando la tasa de interes original de US$1.00=RD$6.28. La contribucion del GORD no se reduce. Sin embargo, las devaluaciones del peso han reducido el equivalente en dolar de la contribucion planeada a US$3.12 millones, incluyendo el interes estimado, ganado del For de Fideicomiso. La contribucion planeada del pais anfitrion, por lo tanto es el equivalente a US$5.62 millones, esto significa una contribucion de las OVPs equivalente a US$2.5 millones y la contribucion del GORD equivalente a US$3.12 millones.

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TABLE 2

PVO DEVELOPMENT FUND

(US$000)

| SPECIFIC INPUTS | ESTIMATED ANNUAL FINANCIAL INPUTS | | | | | | | | | | LIFE OF PROJECT CONTRIBUTIONS | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | AID CONTRIBUTION | | | GODR _1/ | PVOS | PROJECT |
| | YR 1 | YR 2 | YR 3 | YR 4 | YR 5 | YR 6 | YR 7 | YR 8 | YR 9 | YR 10 | TOTAL | FX | LC | CONTRIB | CONTRIB | TOTAL |
| 1 PVO FUND (GRANTS) | | | | | | | | | | | | | | | | |
| - AID | 0 | 0 | 1,600 | 2,400 | 2,700 | 2,600 | 1,090 | 0 | 0 | 0 | 10,390 | 5,000 | 5,390 | | | 10,39 |
| - GODR (HCOLC) * | 0 | 0 | 200 | 340 | 1,800 | 400 | 380 | 0 | 0 | 0 | | | | 4,000 | | 4,00 |
| - PVOS | 0 | 0 | 400 | 400 | 600 | 600 | 200 | 200 | 100 | 0 | | | | | 2,500 | 2,50 |
| TOTALS | 0 | 0 | 2,200 | 3,140 | 5,100 | 3,600 | 1,670 | 200 | 100 | 0 | 10,390 | 5,000 | 5,390 | 4,000 | 2,500 | 16,89 |

*HCOLC – Host Country Owned Local Currencies (Trust Fund) Dollar Equivalent

_1/ GODR counterpart is calculated using the original exchange rate of US$1.00=RD$6.28. The GODR's counterpart contribution is not being reduced. Peso devaluations, however, have reduced the dollar equivalent of the planned GODR contribution to US$3.12 million, including the estimated Trust Fund interest earnings. The total planned host country contribution therefore is the dollar equivalent of US$5.62 million, i.e. PVO dollar equivalent contribution of US$2.5 million and GODR dollar equivalent contribution of US$3.12 million.

TABLE 2

FONDO DE DESARROLLO DE LAS OVPS

(US$000)

| RECURSOS ESPECIFICOS | PRESUPUESTO ANUAL DE RECURSOS (ESTIMADO) | | | | | | | | | | CONTRIBUCIONES PARA LA VIDA DEL PROYECTO | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | YR 1 | YR 2 | YR 3 | YR 4 | YR 5 | YR 6 | YR 7 | YR 8 | YR 9 | YR 10 | TOTAL | USAID FX | USAID LC | GORD _1/ CONTRIB | OVPS CONTRIB | PROYEC TOTAL |
| 1. FONDOS PARA PVO'S | | | | | | | | | | | | | | | | |
| – AID | 0 | 0 | 1,600 | 2,400 | 2,700 | 2,600 | 1,090 | 0 | 0 | 0 | 10,390 | 5,000 | 5,390 | | | 10,3 |
| – GODR (HCOLC) * | 0 | 0 | 200 | 340 | 1,800 | 400 | 380 | 0 | 0 | 0 | | | | 4,000 | | 4,0 |
| – OVPS | 0 | 0 | 400 | 400 | 600 | 600 | 200 | 200 | 100 | 0 | | | | | 2,500 | 2,5 |
| TOTALES | 0 | 0 | 2,200 | 3,140 | 5,100 | 3,600 | 1,670 | 200 | 100 | 0 | 10,390 | 5,000 | 5,390 | 4,000 | 2,500 | 16,89 |

*HCOLC – Moneda Local de la RD (Fondo de Fideicomiso) Equivalente en Dolares de EU.

_1/ La contrapartida del GORD se calculo usando la tasa de interes original de US$1.00=RD$6.28. La contribucion del GORD no se reduce. Sin embargo, las devaluaciones del peso han reducido el equivalente en dolar de la contribucion planeada a US$3.12 millones, incluyendo el interes estimado, ganado del Fondo de Fideicomiso. La contribucion planeada del pais anfitrion, por lo tanto es el equivalente a US$5.62 millones, esto significa una contribucion de las OVPs equivalente a US$2.5 millones y la contribucion del GORD equivalente a US$3.12 millones.

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