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USAID/Guatemala

PROJECT SEMI-ANNUAL REPORT

APRIL 1 - SEPTEMBER 30, 1991

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USAID/Guatemala  
PROJECT SEMI-ANNUAL REPORT  
April 1 - September 30, 1991

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I. SUMMARY PORTFOLIO ANALYSIS

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## Mission Director's Assessment

### A. Portfolio Overview

In terms of the implementation of USAID's ongoing program, the second semester of FY 1991 saw the achievement of \$28 million in DA and ESF obligations for projects (excluding \$20 million for balance of payments) as well as \$19.2 million in accrued expenditures. This expenditures rate was 73% of that which was projected and approximately \$3 million less than the previous semester, due largely to delays in procurement under several of the portfolio's largest projects as well as to continuing problems with the GOC making counterpart available on a timely basis.

The Mission also continued to make progress in consolidating the portfolio. Three project PACD's terminated during the semester, reducing the number of active projects to 29. Nine more will expire during FY 92 and only three new initiatives are planned.

#### 1. Pipeline:

The \$112.0 million pipeline in September, 1991 decreased by some \$2.3 million from the March 1991 figure. The bulk of the pipeline consists of four projects: Farm-to-Market Access Roads (\$14.4 million), Basic Education Strengthening (BEST) (\$9.9 million), Expansion of Family Planning Services (\$9.3 million) and Highlands Agricultural Development (\$12.7 million). Several factors are worthy of note in this regard. The Mission has decided to deobligate the portion of funding from the Roads Project which could not successfully be expended during the current PACD. BEST will be restructured and extended to a ten year LOP period. We are seeking an expedited procedure for accruing expenditures for contraceptive procurement. The present procedure causes the pipeline of the Population project to appear inflated when, in fact, contraceptives have already been delivered and are in use even though the expenditures have not yet been accrued. The HADS project is being modified to focus project activities within the PACD and without obligating additional funds to the public sector.

The following specific decisions were taken during the SAR review regarding these projects:

- (a) Access Roads: \$2.9 million will be deobligated in FY 92; additional deobligation will be made in FY 93 if GOC counterpart funding is not adequate.
- (b) BEST: selected project activities will be restructured over the coming quarter; FY 92 and 93 obligation levels may be reduced to avoid excessive build-up of the pipeline.
- (c) Population: selected project activities will be restructured over the coming quarter; FY 92 and 93 obligation levels may be reduced to avoid excessive build-up of the pipeline.
- (d) HADS: will be significantly redirected towards private sector interventions, the PACD will be allowed to expire in 9/93 and any deobligated funds will be devoted to other agricultural initiatives.

### 2. Mortgage:

While the mortgage was at the \$83 million level at the end of FY 91, more than one half of this amount (\$46 million) consisted of 2 projects, BEST and Guatemala Peace Scholarships (GPS). This will shortly be reduced further by a decision the Mission has taken to lower the GPS authorized level to \$20 from \$37 million, which will bring the mortgage to \$66 million. It also was decided to end the AIFLD project without adding the additional \$300,000 originally budgeted for FY 92.

The overriding concern of the Mission during the past year has been to sharpen the focus of the program to both maximize impact upon Guatemala's most critical developmental constraints as well as to more efficiently utilize declining dollar resources. A key outcome of this has been the selection of five strategic objectives which will guide decision-making as we enter the FY 92 period and beyond: Sustained Exercise of Inalienable Rights, Smaller and Healthier Families, Improved Basic Education, Increased Trade and Investment and Sustaining the Natural Resource Base. The forthcoming SAR will be reorganized to reflect this structure, thus permitting it to more greatly assist in measuring overall program impact, including integrating a status review of progress on policy dialogue by strategic objective.

### 3. Highlights of Activities during the semester follow:

With respect to our work in the Democracy Area, there have been several important accomplishments. A program under the Democratic Institutions Project with the Consortium for Legislative Development got underway to assist the Guatemalan Congress. The initial activity, a seminar on the budgeting process held in October, was highly successful, drawing more than 300 public and private sector participants. In addition, a technical manual for legislative procedures was completed, which had been developed under the prior, now terminated project. This is the first time this type of information has been available to Congressional staff and deputies. Also, the civic and human rights education curriculum was field tested and readied for implementation by the Human Rights Ombudsman's Office. Under GPS, some 140 persons received short-term training in the U.S. in educational administration, pesticide and protective areas management and environmental impact assessment. Also, the Administration of Justice Project facilitated the completion of studies which will be of lasting value to the Judicial Branch in Court Administration, Evaluation of the Courts, Communications and Legislation Reform.

In the basic education sector, under the Regional Technical Aid Center (RTAC II) project, Guatemala ranked first among all other Central American participating countries in the number of (309,756) textbooks purchased, totalling \$2,078,295, of which \$1,054,756 corresponds to project reflows. In addition, the project donated 500,000 pamphlets on cholera to the Ministry of Health. The Altiplano Higher Education Development Project proved to be very successful in enrolling Mayan-speaking students at the Rafael Landivar University highlands campus in Quetzaltenango. 477 Mayan-speaking students are enrolled, of

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which 151 or 32% are women who for the first time will be able to obtain academic degrees and training needed to move into leadership positions in various development programs and institutions in the highlands. Under BEST, the Interactive Radio Program has recently begun to show great success. The purpose of this pilot activity is to teach basic mathematics to primary school children. At this point in time, 90 classrooms in 70 schools are included under the program. In addition, the Mission is finalizing a PP supplement for BEST which will formally incorporate the new girls education component and restructure and extend the project.

In terms of Population and Health, the Mission obligated \$4 million in additional funding for the Expansion of Family Planning Services Project and extended the PACD to 8/92. This extension and additional funding will provide continuity between the current project and the new FY 92 Family Health Project for which the Mission approved the PID in April and for which the design was initiated during the semester. The design emphasizes long-term financial sustainability of participating institutions as well as a more active GOG participation in family planning activities.

The Mission also took very successful steps towards the full reinitiation of activities under the Immunization and ORT Services for Child Survival Project. An RFP to contract a firm for the management of project funds and to provide T.A. to strengthen the MOH's administrative capacity was issued. The Mission has been able to establish and maintain an excellent working relationship with the new MOH administration. This factor enabled the Mission to provide very effective assistance to the MOH at the outset of the cholera outbreak in July. Mission officials had been working with the MOH several months before the outbreak, preparing central and field level personnel to face the emergency. To complement direct health interventions, the Mission during the reporting period authorized the \$9.3 million Highlands Water and Sanitation Project with the MOH and the \$500,000 Rural Water and Health Project with CANE. Both projects will provide potable water systems, latrines and health education to small rural communities in the Western Highlands.

In the agricultural sector, the Mission completed an evaluation of the Small Farmer Coffee Improvement Project. The evaluation made a number of significant recommendations that will lead to modifications being introduced into the marketing and project management components over the next several months. The PACD of the Farm-to-Market Access Roads project was extended to March, 1995, taking the project to its ten-year life. However, this extension was conditioned upon the GOG accepting a restructuring of the project and improving financial control systems. The essence of the restructuring is a sequencing of A.I.D. financing such that it diminishes over the period of the extension. The A.I.D. assistance will be phased out completely during the remaining three and a half years and all costs of rural roads maintenance and construction are to be absorbed by the GOG by

the end of the project. Other conditions include the development of a plan for the use and maintenance of equipment and vehicles, and improvements in the current system of labor payments to community workers. The Maya Biosphere project has now completed all of its major project start-up and contracting activities. The U.S. NGOs which will assist with implementation were selected and agreements were signed in the second semester. They have already started activities in the Peten and a series of planning, orientation and coordination meetings have been held with these NGOs together with the GOG implementing agencies.

Progress in our work with the private sector is also noteworthy. During the period, the US - Guatemalan Business Center was established in Miami to promote and develop investments and commerce between Guatemala and the US. The Mission assisted through the provision of specialized technical assistance to the Guatemalan Development Foundation - (FUNDESA), the institution that promoted the idea of establishing the center. The Guatemalan Chamber of Entrepreneurs (CAEM), with funding provided under the Private Enterprise Development Project, contracted a study on the feasibility of Privatizing Public Sector Enterprises. The study, also referred to as the "White Paper", was officially presented to the Minister of Economy in September, 1991. Privatization has become a major element on the agenda of the Minister of Economy and has been supported in public for by the President of the country.

Related to this has been the energy policy dialogue in which the Mission has achieved substantial progress in several areas. In late September, the Mission co-sponsored with ROCAP a seminar on Private Participation in the Electrical Sector which drew more than 200 participants from Central and South America, the Caribbean and the U.S. A consensus was obtained to promote the legal and institutional changes that will permit private participation in electrical generation, transmission and distribution in Guatemala. During this activity, INDE also presented an aggressive plan for the partial privatization of generation and distribution. As a direct result of the seminar, INDE and the Ministry of Energy and Mines requested technical assistance from USAID for the analysis and revision of the legal framework that regulates private participation in the energy sector and for the development of bidding documents and improvement of INDE's capability to contract generation from private sources. The energy policy dialogue is proceeding at a much faster pace than planned and probably in the near future it will be expanded to include issues on tariff restructuring and actual steps towards privatization.

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FINANCIAL SUMMARY OF GUATEMALA  
APRIL 01 1991 THROUGH SEPTEMBER 30 1991  
(0000)

PORTFOLIO

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
PROJECT NO.	PROGRAM/PROJECT TITLE	DATE OF INIT. GORY	LAST REVISED DATE	% OF LOP ELAPSED	% OBLIG. EXPEN.	AUTH. LOP AMOUNT	CURRENT FY OBLIG. TO DATE	CUMUL. OBLIG. AMOUNT	BEGINNING FY OBLIG. PIPELINE	PLANNED SEMESTER EXPEND.	ACCRUED SEMESTER EXPEND.	ACCRUED AS % OF PLANNED	CUMULATIVE ACCRUED EXPEND. PIPELINE	PLANNED TOTAL	ACTUAL TOTAL		
<b>ACTIVE PROJECTS</b>																	
5200145.00	SPECIAL DEVELOPME(G)	07/17/84	12/31/92	85	78	1,003	204	1,003		84	1		791	217	00		
5200274.00	HIGHLANDS AGRICUL(G)	08/30/83	08/30/93	79	49	24,100	1,700	17,100	7,000	11,066	1,822	2,082	114	8,491	8,660	1,700	
5200274.00	HIGHLANDS AGRICUL(L)	11/22/83	09/30/93	79	75	13,500		13,500		4,641	401	407	101	10,173	1,117	400	
5200282.00	RURAL PRIMARY EDU(G)	12/29/84	11/15/91	98	80	3,300		3,300		926	149	149	100	2,660	640	300	
5200282.00	RURAL PRIMARY EDU(L)	12/29/84	11/15/91	98	53	10,204		10,204		5,399	1,081	263	24	5,411	4,700	1,400	
5200286.00	COOPERATIVE STREIN(G)	08/28/86	08/31/94	63	64	19,000	2,600	14,600	4,400	5,166	1,900	1,682	88	9,396	5,200	1,500	
5200288.00	EXPANSION FAMILY (G)	09/30/83	08/31/92	89	69	35,311	4,000	35,311		12,455	3,713	2,518	67	24,554	10,777	6,000	
5200304.00	ALTISLAND HIGHW(G)	06/12/86	12/18/88	70	64	5,000		5,000		2,433	410	448	109	3,202	1,700	800	
5200320.00	PRIMARY EDU PGT I(G)	08/31/87	12/31/91	94	77	500		500		165	27	28	103	385	110	500	
5200332.00	FARM-TO-MARKET AC(G)	03/26/85	03/19/95	65	56	2,100		2,100		1,239	500	210	42	1,180	900	000	
5200332.00	FARM-TO-MARKET AC(L)	03/26/85	03/19/95	65	63	27,900		27,900		14,901	2,500	1,480	59	14,983	12,900	800	
5200336.00	CARE WATER PROJEC(G)	03/28/85	12/31/91	96	98	1,500		1,500		270	10	158	580	1,477	100	1,500	
5200339.00	IMPURIZ & OVAL R(G)	08/28/85	06/30/93	77	64	16,418		16,418		6,043		-247		10,591	5,600	1,700	
5200341.00	PRIVATE ENTERPRISES(G)	08/31/87	08/22/88	81	41	10,000	252	9,874	126	7,061	1,521	1,033	67	4,146	2,700	1,000	
5200343.00	PILOT COMMERCIAL (G)	08/30/85	08/30/94	67	82	14,500	400	13,500	1,000	5,146	1,000	1,524	152	11,159	2,300	2,000	
5200348.00	PACT-ASINDES PUO (G)	05/21/86	12/31/91	95	96	2,443		2,443		251	201	8	3	2,351	500	500	
5200353.00	RURAL ELECTRIFICAC(G)	08/25/88	08/25/88	34	32	10,000	2,000	7,300	2,700	5,224	700	525	75	2,392	4,500	500	
5200362.00	CENT. AMER. PEACE(G)	04/30/87	07/31/92	84	96	26,200		26,200		2,823	622	1,082	173	25,260	900	2,000	
5200363.00	AGRIC. PROD & MKT (G)	08/28/87	10/31/92	79	64	1,400	300	1,400		391	215	-34		909	400	1,000	
5200364.00	PRIVATE SECTOR EDU(G)	07/31/87	02/31/91	100	65	1,500		1,500		727	346	111	32	976	500	700	
5200369.00	IMPROVED ADMIN. O(G)	09/27/88	12/31/91	92	78	5,000		3,400	1,600	2,332	700	1,014	144	2,676	1,000	1,000	
5200371.00	FISCAL ADMINSTRIC(G)	07/31/89	12/31/92	63	64	9,000	4,200	9,000		2,459	2,860	1,771	61	5,842	1,100	2,000	
5200374.00	BASIC EDUCATION S(G)	07/09/88	07/01/95	37	13	30,000	4,421	11,256	18,744	6,360	964	137	14	1,487	9,700	1,500	
5200377.00	MICRO-BUSINESS PR(G)	08/28/87	09/30/92	80	89	2,050	42	2,092	-42	346	196	79	40	1,379	200	200	
5200380.00	ENTREPRENEURIAL D(G)	07/31/89	07/30/92	72	52	1,250	225	1,250		835	315	333	105	655	500	500	
5200381.00	SMALL FARMER COOP(G)	07/22/89	07/21/92	27	33	11,000	585	5,234	5,766	4,093	1,120	490	43	1,748	1,000	800	
5200383.00	SPECIAL PROJECT A(G)	03/18/88	12/31/92	73	39	180		180		115	116	2	1	70	100	100	
5200384.00	DEVELOPMENT TRAIN(G)	08/12/88	09/30/94	51	53	8,000		6,850	1,150	4,309	809	213	26	3,697	1,100	1,000	
5200386.00	GRU STRENGTHENING(G)	08/25/88	04/15/91	100	99	1,700		1,700		918	68	250	367	1,699	1,000	1,000	
5200393.00	GUATEMALA PEACE S(G)	07/31/90	04/15/97	17	22	37,000	5,337	9,420	27,580	3,719	1,000	966	96	2,085	7,000	1,700	
5200395.00	MAYA BIOSPHERE PR(G)	08/31/90	08/30/96	18	1	16,500	5,291	6,601	2,899	1,310	370	71	19	71	6,500	500	
5200398.00	DEMOCRATIC INSTIT(G)	08/28/88	08/30/88	20	24	6,000	1,500	2,100	3,900	600	150	318	212	512	1,000	500	
5200399.00	HIGHLANDS WATER A(G)	08/23/91	12/31/96	1	1	9,500	4,559	4,559	4,941		1		1	4,559	1,000	1,000	
5200408.00	CARE WATER AND SA(G)	09/04/91	06/30/93	3		500		300	200					300	500	500	
5200408.00	ORPHANS/DISPLACED(G)	09/17/91	08/28/93	1		475		300	175					300	475	475	
5200998.00	CENTRAL AMERICA E(G)	07/07/89	06/30/91	100	87	1,000		1,000		620	239	173	72	874	1,000	1,000	
<b>SUBTOTAL ACTIVE PROJECTS</b>																	
				65	59	359,054	38,316	275,915	83,139	114,427	-26,025	19,246	73	163,783	112,100	60,100	

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PROJECT NO.	PROGRAM/PROJECT TITLE	DATE OF INIT. GORY	LAST REVISED DATE	% OF LUP PAID	% OF LUP ELAPSED	% OF OBLIG. EXPEN.	AUTH. LUP AMOUNT	CURRENT FY OBLIG TO DATE	CUMUL. OBLIG. AMOUNT	BEGINNING FY MORTGAGE PIPELINE	PLANNED SEMESTER EXPEND.	ACCRUED SEMESTER EXPEND.	ACCRUED AS % OF PLANNED	CUMULATIVE ACCRUED EXPEND	PLANNED	ACTUAL	REMARKS	
<b>TERMINATED PROJECTS</b>																		
5200251.00	COM BASED HEALTH (L)	09/30/83	09/18/90	100	91	91	9,500	-238	9,262	1,219	1,219			8,462				
5200255.00	SMALL FARM OWNERS (L)	12/01/83	03/31/89	100	98	98	5,500	42	4,295	21		19		4,251				
5200261.00	GUATEMALA LOAN (OS) (G)	05/16/88	03/31/91	100	48	48	1,000		663	430	20	16	80	294				
5200276.00	AGRI-BUSINESS DEVE (G)	03/12/85	03/21/90	100	98	98	4,000	-321	3,679	275	273	-28		3,640				
5200281.00	NON-FORMAL EDUCAT (L)	03/31/84	03/31/88	100	99	99	1,000	-20	1,980	37				1,963				
5200335.00	RURAL WATER & SAN (G)	03/12/85	06/30/89	100	93	93	1,000	-125	875	184				816				
5200337.00	PRIVATE SECTOR DE (G)	03/28/85	03/27/90	100	98	98	1,700		1,700	19		-4		1,676				
5200344.00	ELECTRICAL POWER (G)	08/02/85	10/30/88	100	98	98	7,000	-118	6,882	193		-3		6,804				
5200355.00	DAIRY PRODUCTION (G)	09/30/86	09/30/89	100	99	99	1,200		1,200	6				1,196				
5200378.00	ELECTION SYSTEM S (G)	08/31/87	03/31/91	100	97	97	1,400		1,400	502		0		1,359				
5200379.00	VOLCANIC HAZARDS (G)	08/28/87	01/31/91	100	88	88	338		338	40				297				
<b>SUBTOTAL TERMINATED PROJECTS</b>					100	95	35,238	-780	32,211	2,926	1,512	0		30,758			1,411	
<b>FINAL TOTAL</b>					83	63	394,292	37,536	308,126	83,139	117,353	27,537	19,254	69	194,541			113,500

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Report Option:

Pipeline Analysis  
 USAID Guatemala 520  
 as of September 30, 1991  
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(B - Project, by Mission)

Project Number	Project Title	Cumulative Obligations (3)	FY Obligations (4)										Pipeline (5) 9/30/91	Pipeline (6)												
			1984	1985	1986	1987	1988	1989	1990	1991	1984	1985		1986	1987	1988	1989	1990	1991							
0140	SPECIAL DEVELOPMENT INITIATIVE	1,000	99	200	100	100	100	100	100	204	212						1	7	204							
0245	RURAL ENTERPRISES DEVELOPMENT	735		735							0															
0245	RURAL ENTERPRISES DEVELOPMENT	3,614		3,614							0															
0251	COOP-BASED HEALTH & NUTRITION	1,000		740	257						0															
0251	COOP-BASED HEALTH & NUTRITION	9,262		4,762	4,500						798															
0255	SMALL FARM DIVERSIFICATION SYS	3,537		1,481	1,056						0															
0255	SMALL FARM DIVERSIFICATION SYS	4,297		4,297							44															
0261	GUATEMALA LOW COST HEMP PROJ	600						300	300		306															
0274	HIGHLANDS AGRICULTURAL DEV	17,100		500	1,000	600		3,000	5,500	4,000	1,700	4,619				292	224	104	203							
0274	HIGHLANDS AGRICULTURAL DEV	12,200		2,300	8,000	7,000						5,330				1,703	2,055									
0276	AGRI-BUSINESS DEVELOPMENT INT	3,670			2,670			1,000				39				39										
0276	AGRI-BUSINESS DEVELOPMENT INT	9,297			9,297							0														
0281	NON-FORMAL EDUCATION	0		491	283							0														
0281	NON-FORMAL EDUCATION	1,100		1,000								17														
0282	RURAL PRIMARY EDUCATION IMP	3,300		3,300								640				640										
0282	RURAL PRIMARY EDUCATION IMP	10,200		9,700	1,500							4,793				3,007	305									
0286	COOPERATIVE STRENGTHENING	14,600			11,000					1,000	2,600	5,205				1,939			796	2,469						
0288	EXTENSION FAMILY PLANNING	25,333		3,303	2,600	2,703	2,430	8,291	5,564	5,360	4,000	10,773				4	190	79	1,750	1,642	3,104	3,996				
0288	EXTENSION FAMILY PLANNING	9,000			3,000							1,700				1,700										
0320	PRIMARY ELEM PKT IMPR	500			500							115														
0322	FARM-TO-MARKET ACCESS COMMS	2,100			1,000	100	1,000					921				364	57	501								
0322	FARM-TO-MARKET ACCESS COMMS	27,900		9,000	1,200	7,700	9,334	174				17,917				1,573	431	2,002	5,512	379						
0325	RURAL WATER & SANITATION 2	875			875							50				50										
0326	CARE WATER PROJECT OPC	1,500			1,500						300	200											23			
0327	PRIVATE SECTOR DEV CONSULT	1,700			1,700						200												24			
0329	SPRINKLING & ORAL REHYDRATION	16,410			3,300	4,400	6,718					5,828				205	1,649	3,994								
0341	PRIVATE ENTERPRISE DEVELOPMENT	9,874				6,670	1,779	878	196	352		5,720				4,013	754	661	4	293						
0342	PELOP CONVENTION LAND PURCHASE	15,500			1,000	1,000	7,405	1,035		2,600	400	2,341				590							1,343	400		
0344	ELECTRICAL POWER RESERVE	6,002			6,002							70				70										
0340	PKT ASSEMBLING PROD DEV PROGRAM	2,443				1,050	750	500	143			92							39				53			
0323	RURAL ELECTRIFICATION III	7,300						3,400	2,000	2,000		4,900								973	1,935	7,000				
0355	DAIRY PRODUCTION PROJECT	1,200			1,200							4														
0362	CENT. WATER PRICE SLIDING	26,200				19,200		7,000				940							641		299					
0303	INDIC PROG & INT. DEM. REPLY	1,400				700	300	600			300	491							3	40	153		207			
0304	PRIVATE SECTOR FUND INITIAT	1,500				1,500						524							524							
0309	IMPROVED ADMIN. OF JUSTICE	3,400					1,100	2,300				724									137	507				
0371	PELOP REINVESTMENT PROJ	9,000						1,400	7,400	4,700		3,150												3,150		
0374	BASIC EDUCATION STRENGTHENING	11,250						1,500	1,335	4,421		9,769									2,001	3,700	4,401			
0376	GUATEMALA JUDICIAL DEVELOPMENT	2,203					1,483		700	600		0												0		
0377	PELOP BUSINESS PROMOTION TO	2,092					700	300	1,100	200	42	213										3	160	42		
0378	ELECTION SYSTEM SUPPORT	1,400					600	800				41										41				
0379	VOLCANIC HAZARDS PREPAREDNESS	330					330					40												40		
0300	ENTREPRENEURIAL DEV. OPS	1,250							95	100	275	595										270	100	275		
0301	SMALL FARMER COFFEE BYPRODUCT	5,234						2,617	2,032	505		3,000										1,205	1,617	505		
0303	SPECIAL PROJECT ASST FUND P	100						80	100			110										12	90			
0304	DEVELOPMENT TRAINING	6,050					3,450	1,400	2,000			3,150										309	400	1,750		
0306	GM STRENGTHENING OF DIVISION	1,700					700	500				1												1		
0393	GUATEMALA PEACE SCHOLARSHIP	9,420									4,003	5,317	7,315											1,990	5,317	
0395	WATER HYDROPHONE PROJ. TRAINING	6,401									1,310	5,791	6,510											1,249	5,791	
0390	REPUBLICAN INSTITUTIONS	2,100									600	1,500	1,500												200	1,270

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II. PROJECT/PROGRAM STATUS REPORTS

PROJECT STATUS REPORT  
April 1 - September 30, 1991

A B C X

I. BACKGROUND DATA

Project Title: Highlands Agricultural Development (Natural Resources Component)

Project Number: 520-0274

Date of Authorization: original 07/27/83 amendment N/A

Date of Obligation: original 09/30/83 amendment N/A

PACD: original 09/30/88 amended to 09/30/93

Implementing Agencies:

1. Direccion General de Servicios Agrícolas (DIGESA)
2. Banco Nacional de Desarrollo Agrícola (BANDESA)
3. Instituto de Ciencia y Tecnología Agrícolas (ICTA)
4. Direccion General de Servicios Pecuarios (DIGESEPE)
5. Instituto Nacional de Comercialización Agrícola (INDECA)
6. Direccion General de Bosques y Vida Silvestre (DIGEBOS)

Major Contractors:

1. Host Country: None
2. USAID/Guatemala Direct: Louis Berger
3. AID/W: None

AID Project Managers:

1. USAID: Blair Cooper and Mario Aragon
2. Host Country: Arturo Aguirre Escobar (Viceminister of Agriculture and Food)

Status of CPs/Covenants: All met

FINANCIAL DATA

Amount Authorized: DA G&L: original \$ 4,931,500 amended to \$28,185,500

Amount Obligated: DA Grant: original \$ 400,000 amended to \$13,564,500

DA Loan: original \$ 2,500,000 amended to \$ 8,121,000

Amount Committed: Period: \$ 1,633,430

Cumulative: \$18,240,945

Accrued Expenditures: Period/Projected: \$ 1,975,308

Period/Actual: \$ 2,000,000

Cumulative: \$11,911,611

Period/Next: \$ 2,175,000

Counterpart Contribution: Planned: \$ 1,100,000

Actual: \$ 2,100,000

✓ LOP Elapsed: 80%

✓ of Total Auth. Oblig. 77%

✓ of Total Oblig. Exp. 64%

✓ of Total Auth. Exp. 50%

\* 1. This data differs from the data presented in the Summary Financial Table since the Summary Table also includes information on the roads component. A separate report on Roads is not included in this report due to the fact that the PACD was 9/30/90.

2. The data has been adjusted to exclude the 520-0274 component implemented by the Gremial, which is now part of the TI/O.

Date of Last Evaluation: 06/91 Next Evaluation: n.d.

Date of Last Audit: 07/91 Next Audit: n.d.

II. PROJECT PURPOSE

To increase rural agricultural productivity and profitability. This will be accomplished through the development of diversified commercial agriculture, expanded emphasis on irrigated farm systems, and the transfer of production technology and marketing services to small farmers.

III. PROJECT DESCRIPTION

The main focus of this Project is the small scale irrigated farms, where the farmers are producing non-traditional crops for export and local markets. For these producers the Project will provide required services to guarantee the success of their commercial enterprise and the sustainability of their level of production in quantity and quality.

B. Major Outputs

	Planned			Actual		%
	LOP	Period	Cum.	Period	Cum.	
1. Soil conservation structures (Has.)	16,150	900	15,516	0	11,444	83%
2. Small Irrigation systems (Has.)	3,510	150	793	100	659	25%
3. Reforestation (Has.)	325	0	160	80	512	158%
4. Laboratories and Training Facilities Constructed/Rehabilitated	6	0	5		1	17%
5. Information Systems	4	0	3		1	25%
6. Pre-shipment Program for Exports	Eliminate/Replace with TI/O					

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IV. PROJECT STATUS

- | A. Planned EOPS  | Progress to Date  |
|--|---|
| 1. National and international marketing information systems for domestic and export crops  | Expatriate long term technical advisor and the local technicians have defined a marketing strategy and will begin implementation.   |
| 2. Adequate credit coverage and efficient delivery for production and marketing operations and infrastructure  | As a result of the June Project Evaluation and consensus of Project TA, the project will now focus directly on the problems of credit delivery and recovery rather than decentralization.   |
| 3. Grades and Standards systems for irrigation sites production will be established  | Expatriate long term marketing technical advisor and the local technicians began to work directly with the involved farmers/processors/exporters to begin to apply the existing Grades and Standards uniformly.   |
| 4. Technology generation and transfer responsive to market demands and production potential in the context of land and farmer capability, and sustainable commercial agriculture | The methodology for technology generation and transfer have been applied prioritizing 151 out of the total 304 existing miniriegos. In the 151 prioritized sites 431 specific research/extension activities were jointly defined by farmers, extensionists and researchers for the November-April dry season. |
| 5. Private sector institutions effectively working in partnership with public ag. institutions   | One local and one expatriate TA are monitoring progress closely and participating in training of the special fund participants.   |

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C. Other Accomplishments and Overall Status

1. Other Accomplishments:

- a) During the reporting period the Project Administrative Unit verified that there are a total of 304 mini-riego units in operation. Four new units were constructed covering 33 hectares and one hundred and seven miniriegos are under study
- b) Under the supervision of the new Project Coordinator, the Project's Information system was again revised and simplified. It is now being computerized.
- c) The 17 FEAT technicians received 6 courses in MIP, Credit, project formulation and extension methodology. The MAGA is collaborating closely with the project to make this activity successful.
- d) The budget for counterpart for 1992 was approved at Q.11 Million for operations. This does not include GOG technician salaries.
- e) Thirteen PCs were procured and installed. Five modular project data bases (pesticides, pest, quarantine, marketing information and general project tracking) have been designed and are being programmed.
- f) The computerized Project Accounting Control System is now operating. The USAID Controller's Office will evaluate it to see if it serves as a model for other AID Projects.
- g) The Project Evaluation was finished and distributed to the GOG. USAID is in the process of negotiating significant project changes based on the results of this evaluation.
- h) Fifty four project vehicles were purchased and licensed. A new car pool system was designed by the Project Administration Unit (PAU). It will be implemented next period.
- i) The new National Project Coordinator has begun working with AID to streamline the Project following the Evaluation recommendations. He reduced the PAU in size from 54 to 45 employees. More reductions are planned for next period.
- j) The integrated watershed management component has identified and begun work in 16 watersheds. The 4 remaining watersheds will be selected next period. Work Plans have been developed for the 16 watersheds and 41 community groups have been organized, trained and developed a community plan.
- k) The Project has developed a program to train farmers in safe use of pesticides which can serve as a model for Latin America. Technicians from the private sector trained and licensed by Zamorano, are being paid by the project to train farmers.
- l) The Mission MACS system for the Project has been revised.

D. Problems and delays

This will be the third year in a row that counterpart funds have not been made available in a timely manner. If funds for operations are not made available for this dry season (November-April) Project personnel will lose credibility with farmers and the Project will have little chance for continued success. The problem is that the down time for fund flow in the GOG budgetary system coincides exactly with the dry season when funds for the irrigation operations are required.

Based on the recent evaluation of the Project and a management study of the Project and on the Mission's recommendations, USAID has begun negotiations with the GOG for a more focused and simplified Project. Negotiations were delayed awaiting the Spanish translation of the Evaluation. The negotiations themselves have not been easy. The GOG and AID have not come to agreement on at least four important issues. (Counterpart managed by UAP for the Project, GOG MAGA personnel assigned full time to project, INDECA & DIGERON out of Project, Project management by the MCU and meet with Vice Minister regularly).

The Project has continued with the construction of irrigation systems but the GOG has halted construction of soil conservation structures. The important addition of marketing, pesticide, private sector extension and integrated watershed management activities are still just getting underway. The marketing component will be an important factor in addressing profitability of the systems. The integrated watershed management and the pesticide components will provide the sustainability factor to the equation. These activities when fully implemented will allow the Project to meet its stated purpose of increasing rural agricultural productivity and profitability.

Loan funds from the first phase of the Project have been used to finance operations of DIGESA, BANCESA and DIGERON according to the Loan Agreement. If we can negotiate an agreement with the GOG to use these funds to finance operations of the 431 prioritized activities during this dry season, it will give the GOG time to solve the problem of timely counterpart availability.

E. Major Activities and Corrective Actions During the Next Six Months

1. The Mission must negotiate changes in Project with GOG and revise Project and reprogram Project funds.
2. Approve a 1992 AID funded Budget.
3. Resolve problem of the timeliness of counterpart availability.

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PROJECT STATUS REPORT  
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2. Overall Status:

The Project is at a critical juncture. The evaluation has been done and USAID is negotiating changes recommended by it to reduce the number of project activities, streamline project implementation and extend the PACD. If negotiations are successful a revision of the Project and reprogramming of funds will be necessary, but the project can move forward and meet its goals and purpose. If not successful, the Mission will have to make critical decisions about the future of the Project. Given general budgetary cutbacks, the Mission will have to decide whether to obligate the remaining \$6.5 million and/or whether to deobligate part of the \$7.7 million project pipeline.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A B X C

ATTACHED

I. BACKGROUND DATA

Project Title: Cooperative Strengthening  
Project Number: 520-0286  
Date of Authorization: original 7/18/86 amendment 8/31/90  
Date of Obligation: original 8/28/86 amendment 8/28/90  
PACD: original 8/31/91 amended to 8/31/94  
Implementing Agencies: National Federation of Savings and Loan Cooperatives (FENACOAC)  
Major Contractors: The World Council of Credit Unions (WOCCU)  
AID Project Managers: U.S. Dept. of Agriculture  
Status of CPs/Covenants: Gordon Straub, AID - Barry Lennon, USDA/PASA  
All met

Date of Last Evaluation: November, 1989 Next Evaluation: June, 1993  
Date of Last Audit: N/A Next Audit: March, 1992

FINANCIAL DATA

Amount Authorized: DA/Grant: original \$11,000,000 amended to \$19,000,000  
Amount Obligated: DA Grant: original \$11,000,000 amended to \$14,600,000  
Amount Committed: \$ 2,612,976  
Accrued Expenditures: Period - Projected: \$ 1,900,000  
Period - Actual: \$ 1,600,000  
Cumulative: \$ 9,309,276  
Period - Next: \$ 1,500,000  
Counterpart Contribution: Planned LOP: \$ 9,475,000  
Actual: \$ 2,900,000

% LOP Elapsed: 31%  
% of Total Auth. Oblig. 27%  
% of Total Oblig. Exp. 20%  
% of Total Auth. Exp. 15%

II. PROJECT PURPOSE

To develop viable and effective Guatemalan cooperatives by working with selected organizations to enhance managerial and service delivery capabilities and their performance as profitable enterprises.

III. PROJECT DESCRIPTION

The project is an institutional development program which combines technical and financial assistance to promote changes in operational policies, management and administration within cooperative federations and base-level affiliates. The goal is to develop cooperative services which benefit the members and generate the income needed to ensure the long-term sustainability of the cooperative participants.

IV. PROJECT STATUS

A. Planned EOPS

The existence of five business-oriented federations; 57 base-level affiliates; and 10-14 independent ag. cooperatives that are well-managed, financially stable and providing high-quality, cost-effective services which meet the needs of their members. Development progress is tracked against the "Major Outputs" in section B.

Progress to Date

The Project is working with 5 federations, 24 credit unions, and 29 agricultural and 4 handicraft producer cooperatives.

B. Major Outputs  
(Based on 8/86 baseline figures)

	Planned			Actual			% of LOP
	LOP	Period	Cum.	Period	Cum.		
1. Coop membership to increase by 5% per year over LOP	30,000	2,200	17,166	2,000	1,000	17,175	57%
2. Cooperative staff, leaders, and members trained	2,500	200	1,900	200	1,000	1,307	132%
3. 15% annual growth of cooperative capital during LOP	36.132	2.500	21.059	1,000	2.000	23.158	67%
4. 15% annual growth of coop assets over the LOP	77.375	3.000	26.351	1,000	10.000	25.768	72%
5. 20% annual credit union deposit growth over LOP	18.283	1.500	9.309	2,000	9.000	21.801	119%

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C. Other Accomplishments and Overall Status

1. The final selection of the cooperatives to continue as project participants was completed in June. The Project's portfolio now includes five federations, 24 credit unions, 29 agricultural cooperatives and 4 artisan cooperatives.
2. The Project Management Office was reorganized to improve coordination among PMO technicians by merging Training and Credit & Finance into a single department for Institutional Development.
3. The large number of coffee cooperatives (19) working with the project required the hiring of one additional agronomist with specialization in coffee production and processing.
4. The PASA Agreement with the USDA was amended in June, expanding the scope of work and extending the PACD thru August, 1994.
5. Four (4) marketing seminars were completed for 104 managers and staff of 21 credit unions during the semester.
6. An analysis of credit union credit procedures was initiated and the final report (expected in October) will be used to redirect loan analysis and approval procedures among FENACOAC affiliates.
7. In September, the Credit Union Impact Study and Stabilization Options was completed and submitted for USAID/G review. The impact study identified significant progress among the credit unions working with the project and recommended specific options for continuing the program after the PACD has been reached in 1994.
8. Financial investments during the semester included two production loans (Rio Grande \$69,000 and FEDECOVERA \$77,000) and a stabilization investment in FEDECOVERA totaling \$400,000). A separate analysis of stabilization needs was completed for FEDECOCAGUA and an investment totaling approximately \$740,000 will occur in the next quarter.
9. Primary crops produced by the agricultural cooperatives include: coffee, snow peas, broccoli, garlic and melons. The agricultural training program is directed at crop-specific production, processing, and marketing problems and improved pest management practices. Forty (40) agricultural training programs were completed during the reporting period with a total of 1,262 farmer participants.
10. The agricultural cooperative institutional development program is focused on policy reform, credit administration, accounting & internal control procedures, and Board/management relationships. Thirteen (13) courses were completed during the reporting period for 223 cooperative Board, staff and member participants.

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D. Problems and Delays

1. Recent threats by the GOG's agricultural development bank (BANDESA) to intervene several cooperative organizations for non-payment of past-due obligations has slowed some financial movements planned by the Project. Negotiations are underway and agreements to reschedule these obligations are expected during the next quarter.
2. The portion of the Stabilization Options Study for the agricultural cooperatives has been delayed until late 1992 while additional analyses of economic services, stabilization needs, and mechanisms are completed.

E. Major Activities or Corrective Actions During the Past Six Months

1. Mission review of Credit Union Stabilization Options paper and issuance of guidance for operational strategy between 1992 and the PACD in August, 1994.
2. Completion of the Credit Union Loan Study and presentation to FENACOAC for inclusion in the 1992 "Planes Operacionales" of all participating affiliates.
3. Completion of 1992 Project plans and budgets (including individual plans for each participating cooperative) and submission to USAID/G for approval.
4. Completion of the non-federal audit of the Project Administrator (FENACOAC) and the operations of the Project Management Office.

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**PROJECT STATUS REPORT**  
April 1 - September 30 1991

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ATTACHMENT 1

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**I. BACKGROUND DATA**

Project Title: Farm-To-Market Access Roads  
 Project Number: 520-0332  
 Date of Authorization: original 03/19/85 amendment 09/27/87  
 Date of Obligations: original 3/20/85 amendment 07/31/89  
 PACD: original 12/31/89 amended to 03/19/95  
 Implementing Agencies: Dirección General de Caminos  
 Major Contractors: None  
 AID Project Managers: Ricardo Pérez  
 Status of CPs/Covenants: Pending (ProAg. Amendment No. 6), see Section E  
 Date of Last Evaluation: 05/19/89 Next Evaluation: N/A  
 Date of Last Audit: 05/21/91-06/28/91 Next Audit: N/A

**FINANCIAL DATA**

Amount Authorized:	DA Grant: original	\$10,000,000	total authorized to:	\$10,000,000
Amount Obligated:	DA Grant: original	\$10,000,000	total authorized to:	\$10,000,000
Amount Committed:	Period:	\$ 2,899,007		
	Cumulative:	\$19,015,712		
Accrued Expenditures:	Period - Projected:	\$ 1,000,000		
	Period - Actual:	\$ 1,600,000		
	Cumulative:	\$10,100,000		
	Period - Next:	\$ 100,000		
Counterpart Contribution:	Planned:	\$22,015,000		
	Actual:	\$15,171,000		
	% LOP Elapsed:	65.00%		
	% of Total Auth. Oblig.	100.00%		
	% of Total Oblig. Exp.	54.00%		
	% of Total Auth. Exp.	54.00%		

**II. PROJECT PURPOSE**

- 1) To expand the network of all-weather farm-to-market and tertiary roads in the target area to provide a better transportation system.
- 2) To institutionalize the national program to construct and maintain low-cost, labor intensive, rural farm-to-market roads.

**III. PROJECT DESCRIPTION**

The Access Roads Component provides portions of the rural farming areas with access to services, markets and inputs necessary for agricultural production. Most of the roads are constructed near the alignments of existing roads or rural trails that are currently the only means of transportation in the project area. This component also includes rehabilitation activities to repair access roads previously constructed with AID financing which have now deteriorated. The access roads construction and rehabilitation activities are mainly performed using labor intensive techniques with heavy equipment playing only a supporting role.

The Tertiary Roads Component improves the link between the rural farming areas opened by the access roads construction to markets and services required to improve the production system and quality of life of farmers. The work includes the upgrading or improvement of selected existing dirt routes to all-weather standards. The type of roads to be improved will generally be badly deteriorated gravel surfaced roads. Even though more extensive use of heavy equipment is required for this work, labor intensive techniques are emphasized.

The Access Roads Maintenance Activity assures continuous road access to markets, agricultural inputs and health and extension services by rural Highlanders. The works include the use of labor intensive methods which provide part-time employment opportunities for a portion of Guatemala's rural underemployed population.

**B. Major Outputs**

	Planned			Next Period	Completed Period	Cum.	% of LOP	
	LOP	Period	Cum.					
1. Access R.construct.	1773	100	1068	50	07	1121	63%	
2. Access R.rehabilit.	150	3	72	0	00	82	55%	
3. Access R.maintenan.	1703	0	0	850	100	1400	84%	
4. Tertiary R.upgraded	180	10	20	7	00	19	11%	
5. Reg. Offices const.	9	0	0	1	00	0	0%	
5. Training (Persons)	M	F	M	F	M	F	M	F
Long-term	0	0	0	0	0	0	0	0
Short-term	0	0	0	0	0	0	0	0

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IV. PROJECT STATUS

A. Planned EOPS

1. Capacity built in DGC to construct and maintain access roads using labor intensive techniques.
2. A computer center at DGC to provide program management and effective selection of roads to be constructed.
3. 260 agricultural production areas opened to markets.

Progress to Date

Since the Project started in 1985, the GOG/DGC implementing unit has completed 1,123 kms of access roads using labor intensive methods. Some 67 kms were built during the past six months. 190 agricultural production areas have been opened to markets under the project thus far. Since the Highlands Agricultural Development Project, Access Roads Program Component's PACD expired (09/30/91), 1,431 kms of access roads were maintained under the project. The implementing unit installed computer equipment in two regional offices, as scheduled. The computer technical assistance activity under the HAD's Access Roads Program Component was transferred to this Farm-to-Market Access Roads Project to support the Computer Center of DGC.

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**C. Other Accomplishments and Overall Status**

Since the expiration of the Highlands Agricultural Development Project 520-0274 Access Roads Program Component, the access roads maintenance activities were transferred to this project (PIL No. 72) to assure continuous road access to markets, agriculture inputs and health and extension services by rural Highlanders.

With the transfer of the MAD's Computer TA activity to this project, the Computer Center of the Rural Roads Program of DGC is now under the Administrative and Planning TA monitoring. This situation will assure the use of the computer equipment for the project, and will initiate the reorganization of the Center as recommended in the evaluation report dated April 18, 1991.

The non-federal audit final report was issued May 21, 1991. The RIG audit survey was issued June 28, 1991 as scheduled. Due to RIG's auditors recommendations in its audit report No. 1-520-91-009 dated June 28, 1991, the procurement of commodities (heavy equipment and vehicles) during the reported period was stopped. Due to the above, actual expenditures show only a 56% against the planned expenditures for the reporting semester.

The current status of the federal audit RIG recommendations is as follows:

Per USAID/Guatemala's memo to RIG dated September 13, 1991 and RIG's Cable No. Tegucigalpa 013695, dated September 24, 1991, RIG's Recommendation Nos. 2, 3, and 4 were closed by RIG and Recommendation No. 1 resolved.

Recommendation No. 1: "We recommend that USAID/Guatemala review the project budgets and implementation plans, determine the current requirements for each budget category, and deobligate any funds not required."

Closure of this recommendation is pending USAID's final decision on deobligation of funds.

The current status of the non-federal audit recommendations is as follows:

Recommendation No. 1: "We recommend that USAID/Guatemala negotiate a settlement with the Ministry of Communications, Transportation and Public Works, General Directorate of Roads for (1) the approximately \$595,000 of questionable (unsupported) costs representing the unreconciled difference between USAID/Guatemala records and the Directorate's expenditures per its fund accountability statement, (2) the other questionable costs totaling \$715,000 (\$708,500 unsupported) in Project No. 520-0332, and (3) the \$139,000 (\$119,600 unsupported) in Project No. 520-0274, included in the KPMG Peat Marwick audit report dated April 5, 1991."

Per DGC's letter No. 1462 dated July 19, 1991, the Implementing Unit advised USAID/Guatemala of its agreement to review the supporting documentation of the costs questioned and the implementation recommendations, both made by Peat Marwick's auditors. Per USAID/Guatemala's letter dated August 2, 1991, the Mission agreed that its Financial Analysis Section was going to perform a revision of such documentation starting August 7, 1991. After two visits made by the USAID/CONT/WA to DGC, a decision is still pending from the Controllers Office on who will carry out the revision of such documents, USAID/Guatemala's Financial Section or an Audit Firm.

**D. Problems and Delays**

Although the GOG/CY-91 Budget was also approved at a level sufficient to meet the DGC counterpart requirements, it was not until July 1991 that the Ministry of Finance started to provide sufficient resources to allow DGC to provide fuels and lubricants for the project. However, the provision of counterpart resources to pay laborers on time is still behind schedule (laborers have not been paid since the middle of August 1991). The new GOG authorities have shown interest in improving this situation during next quarter to meet the CPs for extending the project beyond December 31, 1991.

The GOG underutilization of project heavy equipment and vehicles due to scarcity of fuel and spare parts identified by RIG's auditors in audit report No. 1-520-91-009 delayed the large procurement (\$1.0 million) of equipment and other materials requested by the GOG until they meet the new CPs for the extended life of the project showing a lower level of expenditures to what it was planned. The procurement will be initiated as soon as the CPs are met, and the implementing unit provides satisfactory evidence (analysis) of the need of the equipment to implement the uncompleted project.

**E. Major Activities or Corrective Actions During the Last Six Months**

1. Assist the GOG in meeting CPs for embanking and commitment of funds after September 30, 1991.
2. As soon as CPs are met, initiate procurement of handtools, construction materials, tires and other materials requested by DGC.
3. Approve DGC's work Plan for CY-1992.
4. Deobligation of funds to close federal audit Recommendation No. 1.
5. Contract Computer TA services for the Computer Center of the Rural Roads Program.

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In addition to the above, RIG suggested that A.I.D., prior to using this entity in the future, obtain assurance documenting that the Directorate has implemented the recommendations included in the KPMG Peat Marwick audit report dated April 5, 1991. To comply with the audit suggestion to continue using the Directorate of Roads as implementing unit of this project, all federal and non-federal audit recommendations were also included as Conditions Precedent to the Project Agreement Amendment for the extension of the project.

The implementing unit, with the advice of the Environmental Management technical advisor, continues gathering data from different Ministry of Agriculture agencies, private and non-governmental agencies to develop a list of vegetative species that might be used for soil conservation and reforestation in the different regions of the project. Environmental promotion materials were elaborated by the implementing unit with the assistance of the environmental management specialist and are ready for its reproduction. Also, audio-visual materials and commodities with environmental messages were procured and arrived in country to initiate the promotion campaign.

During the reporting semester, the implementing unit's social workers continued encouraging women's participation in the local construction and maintenance committees. Even though some communities show interest in including women in the committees, this participation will start to be noted when new committees are established in 1992.

The reprogramming of project activities and financing was negotiated and agreed with the GOG during the reporting semester. The reprogramming included a plan to institutionalize the construction, maintenance and environmental activities of the project with the agreement of the GOG to shift their responsibility to pick up, on a yearly basis, the operational cost of the Regional Offices. As a result of the negotiations, the project PACD was extended through March 19, 1995 subject to the meeting of annual Conditions Precedent prior to disbursement of funds under the Loan/Grant, or to the issuance by A.I.D. of documentation pursuant to which disbursements will be made, for goods and services to be delivered after September 30, 1991; December 31, 1992; December 31, 1993 and December 31, 1994.

The CPs to be met by the Borrower/Grantee for earmarking and commitment of funds after September 30, 1991, follows:

The Borrower/Grantee will provide evidence in form and substance satisfactory to A.I.D. that:

- a. Sufficient funds have been allocated in the GOG fiscal year 1992 budget to fully fund two regions and finance the costs of the positions required to implement the project.

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PROJECT STATUS REPORT  
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b. A plan is in place for the use and maintenance of heavy equipment and construction vehicles.

Already met to close RIG Recommendation Nos. 3 and 4

c. Improvement has been made in the existing system of monitoring labor payments to conform to recent audits recommendations.

d. Improvement has been made in the current system of labor payments to community workers through an agreement between the MCTOP and the Ministry of Finance so that such payments are not more than 30 days in arrears.

Currently, the MCTOP prepared and signed an agreement document and sent it to the Minister of Finance for signature.

e. A plan is in place to promote to promote access roads maintenance and environmental concerns.

f. All remaining outstanding recommendations made in both the federal and non-federal audits have been resolved.

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PROJECT STATUS REPORT  
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I. BACKGROUND DATA

Project Title:  
 Project Number:  
 Date of Authorization:  
 Date of Obligation:  
 PACD:  
 Implementing Agencies:  
 Major Contractors:  
 AID Project Managers:  
 Status of CPs/Covenant:

Pilot Commercial Land Markets Phase II  
 520-343.00 (G)  
 original 08/30/85 amendment 08/29/90  
 original 08/30/85 amendment 08/30/90  
 original 08/30/88 amended to 08/30/94  
 Penny Foundation (Fundación del Centavo)  
 Rodney Tsuji  
 Paul Novick  
 CP's - All met  
 Covenants:  
 1. Completed: 6/91 FY/92 Implementation Plan submitted to USAID 6/1/91, approved by USAID 9/15/91.  
 2. Completed: 3/91 Creation of Credit Unit. Portfolio Manager was hired 12/90 and credit unit fully operational 3/91.  
 3. Completed: 3/91 Creation of Planning Unit. Unit fully staffed and operational.  
 4. Completed: 8/91 Development and implementation of legal loan documentation.  
 5. Pending Explanation and approval by AID of Foundation decision to delay delivery of property titles. Explanation was submitted to AID 8/90. RLA Cleared 5/91 with proviso that Guatemalan Counsel be solicited. Guatemala legal approval 8/91. AID approval now pending.  
 6. Completed: 6/91 Implementation Plan for integrated rural development activities submitted to AID 6/91. AID approval of total project implementation package 7/15/91.  
 7. Pending Hire Deputy General Manager by 12-31-91.  
 8. Pending Preparation of written job descriptions and new organization plan completed 6/91.  
 Revised organization chart was approved by the Board of Directors. However, dissemination of job description and organization chart to employees has not taken place.

Date of Last Evaluation: 04/26/90  
 Date of Last Audit: 09/30/88

Next Evaluation: 07-08/92  
 Next Audit: Unscheduled

FINANCIAL DATA

Amount Authorized:	DA Grant-original	\$ 1,000,000	amended to \$14,500,000
Amount Obligated:	DA Grant-original	\$ 1,000,000	amended to \$13,500,000
Amount Committed:	Period:	\$ 2,711,000	
	Cumulative:	\$12,961,000	
Accrued Expenditures:	Period - Projected:	\$ 1,000,000	
	Period - Actual:	\$ 1,521,000	
	Cumulative:	\$11,159,000	
	Period - Next	\$ 76,000	
Counterpart Contribution:	Planned LOP:	Q12, 185,000	
	Actual	Q13, 646,000	
	LOP Elapsed:	68%	
	% of Total Auth. Oblig.	91%	
	% of Total Oblig. Exp.	81%	
	% of Total Auth. Exp.	77%	

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PROJECT STATUS REPORT  
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**II. PROJECT PURPOSE**

To establish and expand the Fundación del Centavo voluntary land purchase/sale program as a self-supporting activity capable of increasing agricultural productivity and incomes of the rural poor, and to identify and promote additional instruments to increase production and reduce pressure on agricultural land.

**III. PROJECT DESCRIPTION**

Under the grant \$11.6 million is to be used by the Penny Foundation to purchase farms for re-sale to the beneficiaries, and also provide them with production credit and technical assistance to enable them to productively cultivate their land on a sustainable basis and to repay credit loans. An additional \$1.9 million is reserved by AID for research, technical assistance, and monitoring of the Penny Foundation component of the project. Research activities include identifying alternative mechanisms to provide access to land, and means to improve the efficiency of the land transfer process.

**IV. PROJECT STATUS**

**A. Planned KOPS**

1. 50% increase in production of underutilized land bought and sold by the Penny Foundation
2. Positive cash flow for Penny Foundation starting in 1992 from annual purchases of 1,350 has. and sale of 360 farm parcels to beneficiary families
3. Net average incomes of \$3,200 per annum in constant 1977 currency in the third year from date of purchase for the rural poor participating in the Program.
4. Methods identified to expedite processing of land titles

**Progress to Date**

Over 5000 has. of a total 6,200 has. have been put into productive use. Of this amount, over 50% is dedicated to the production of coffee. Of this, 25% goes for export. The 1,440 beneficiary families are being trained in sustainable agricultural practices, consistent with commercial agricultural production.

Projected FY91/92 projected cashflow is positive.

Net average income targets have not been met due to delays in establishing the perennial crops and the slow returns on coffee production activities. Short-term prospects to reach the goal appear unfavorable due to precipitous drop in coffee prices. Average net incomes to beneficiaries (after loan repayments) are \$200 in 1991 dollars.

Outside legal counsel has been contracted to expedite the processing of parcel titles. Preliminary research on revision of the Land Registry System has been completed by the Colegio de Abogados.

**B. Major Outputs**

	Planned			Best Period	Accomplished		
	LOP	Period	Cum.		Period	Cum.	% of LOP
<b>I. Penny Foundation</b>							
<b>A. Farm Extension Services</b>							
1. No. Technicians	48	N/A	24	0	0	16	33%
2. No. Agronomists	12	N/A	6	0	0	6	50%
3. No. Regional Offices	4	N/A	4	0	0	5	125%
<b>B. Credit - \$</b>	7581000	N/A	N/A	20440	111,775	5447601	72%
<b>C. Land hectares purchased</b>							
	9000	N/A	6142	0	0	6184	69%
<b>D. Vehicles (4x4 pickups)</b>	17	N/A	N/A	0	5	15	88%
<b>E. Motorcycles</b>	55	N/A	N/A	0	0	42	58%
<b>F. # of titles transferred to farmers</b>	3000	N/A	2050	200	0	609	20%
<b>G. # of hectares of unutilized land put into production</b>	7500	N/A	5140	0	0	5356	67%
<b>II. Research</b>							
<b>A. Penny Foundation Study-no.</b>							
	1	N/A	1	0	0	2	200%
<b>B. Land Registry-no.</b>							
	1	N/A	1	0	2	4	400%
<b>C. External Financing Study-no.</b>							
	1	N/A	1	0	0	1	100%
<b>D. Alternative Study-no.</b>							
	1	N/A	1	0	0	1	100%
<b>III. Registry Reform*</b>							
<b>A. Land Registry Volumes Microfilmed</b>							
	6000	N/A	N/A	0	N/A	N/A	N/A
<b>B. Plan for Microfilming &amp; Training</b>							
	1	N/A	N/A	0	N/A	N/A	N/A
<b>C. Plan for Reform</b>							
	1	N/A	N/A	0	N/A	N/A	N/A

\* Registry related outputs will be defined once the Land Registry's input has been completed.

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5. Identified needs, goals and feasibility of reform of Property Registry.
6. Policy and project implications identified from studies of at least 3 additional land related problems.
7. Clearly define GOG land policy problems.
8. Policy recommendations made regarding real estate registration and titling.

In May 1991 the National Registry Reform Commission was created with the mandate to oversee the reform process. The Guatemalan Bar Association produced a reform work plan with financial assistance provided by USAID/Guatemala. The current focus of the Mission with regard to registry reform relates to tenure security and its impact on prospective investors, both local and foreign. ORD will contract TA to design a comprehensive registry reform program with the possible participation of other bilateral missions.

LTC has been contracted to carry out 5 studies that relate to land tenure issues. Three have been completed and two are still in process.

In 1986 GOG issued the Land Policy Statement. Current administration has not issued formal statement. The Rural Financial Markets study identified the structural changes required for a dynamic voluntary land market. No further action has been taken.

The National Registry Reform Commission with the Guatemalan Bar Association has completed an initial study that has identified needed institutional changes.

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**C. Other Accomplishments and Overall Status**

The foundation continues to gradually decentralize the decision-making process and to further encourage the project beneficiaries to participate in this process. Senior staff has participated in a series of workshops on "Quality Management" in an effort to improve internal communication and improve the efficiency of the foundation. The remainder of the staff will also receive this training once the harvest season has passed. A new Training Center was established in July and 60 beneficiaries received training in sustainable agricultural practices and natural resource management. Due in part to these workshops and the recommendations made by the Mission, the foundation has undertaken restructuring of the organization. Various positions have been consolidated or revised to make operations more efficient. Computer software has been acquired for the loan portfolio and is now operational with the 1991-92 crop loans. Software for the accounting department is also being field-tested and is expected to be operational within 90 days. Construction of 5 coffee processing plants has started. Coffee harvesting has started. Up to 85% of the projects' beneficiaries are harvesting coffee this period. Participation of the Japanese Overseas Cooperative Volunteers has materialized. The first JOCV element, a nurse specialized in public health, arrived in mid-September. She and a foundation counterpart will train selected female beneficiaries from each community in preventive health care, sanitation, and nutrition.

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**D. Problems and Delays**

The current economic conditions are still not conducive to land purchase/sale activities. Land is currently scarce and short-term interest rates remain high. Required loan documentation is either not available or prohibitive for the Project. The delayed preparation and approval of the annual implementation plan has delayed fertilizer applications and crop treatments. This could result in reduced harvests as well as reduced loan repayments to the Foundation. The restructured personnel management system is not being effectively implemented. Project will have to hire 2 additional extension agents to cover technical assistance needs. USAID has not yet approved loan documentation referred to in #5 of the Covenants section.

**E. Major Activities or Corrective Actions During the Reporting Month:**

1. Project will continue analyzing economic conditions and will resume land purchases if conditions warrant it.
2. USAID Project Officer will work on an acceptable arrangement for implementing an improved personnel management system.
3. USAID will start consultations with Foundation to increase field personnel.
4. ORD will approve loan documentation.
5. Project committee will study Colegio de Abogados land registry report and make recommendations to Director on what next steps should be taken; if any, to further to implement a land registry system activity.
6. Project will implement the accounting software to improve management information and project implementation.
7. Foundation will consolidate the beneficiary debt package to streamline the lending procedure.
8. ORD will contract for full-time monitoring of the project after studying appropriate contracting actions.

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PROJECT STATUS REPORT  
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A B C

I. BACKGROUND DATA

Project Title: Agricultural Production and Marketing Services  
520-0363  
Project Number:  
Date of Authorization: original 07/09/87 amendment 07/23/90  
original 08/28/87 amendment 05/31/91  
Date of Obligation: original 08/15/90 amended to 10/31/92  
PACD:  
Implementing Agencies: Confederación de Unidad Sindical de Guatemala (CUSG)  
Major Contractors: American Institute for Free Labor Development (AIFLD)  
AID Project Managers: USAID: Gordon Straub  
AIFLD: John Acree  
Status of CPs/Covenants: CP's - All met  
Covenants - All met  
Date of Last Evaluation: 01/30/89 Next Evaluation: 01/30/92  
Date of Last Audit: 00/00/00 Next Audit: unscheduled

FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 600,000 amended to \$1,400,000  
0 1,000,000 amended to \$  
Amount Obligated: DA Grant: original \$ 200,000 amended to \$1,400,000  
0 1,000,000  
Amount Committed: Period: \$ 173,173  
Cumulative: \$ 1,269,000  
Accrued Expenditures: Period - Projected: \$ 215,000  
Period - Actual: \$ 14,192  
Cumulative: \$ 909,171  
Period - Next: \$ 102,000  
Counterpart Contribution: Planned: \$ 150,000  
Actual: \$ 175,000  
% LOP Elapsed: 79%  
% of Total Auth. Oblig. 100%  
% of Total Oblig. Exp. 65%  
% of Total Auth. Exp. 65%

II. PROJECT PURPOSE

To provide the Confederación de Unidad Sindical de Guatemala (CUSG) with the institutional capacity to administer a service delivery system to its affiliate farm unions. These services will consist of production credit, technical assistance, marketing and education.

III. PROJECT DESCRIPTION

The objectives of this project are to improve the quality of life of affiliate farm unions, promote agricultural development and democratic pluralism in Guatemala. The project includes components of Administration, Credit, Marketing, Technical Assistance and Training. The current project area has been subject to cost cutting measures brought about by AID budget cuts and new project priorities, however, the central and three regional offices remain functional and under the support of project funds.

The project supports 21 employees, including three in Quetzaltenango, three in Retalhuleu, four in Jutiapa, 10 in the central CUSG office and one in the AIFLD office. The upper level of project management consists of CUSG employees, including the project coordinator. These positions are not paid by project funds.

B. Major Outputs

	LOP	Planned		Next Period	Accomplished		% of LOP
		Period	Cum.		Period	Cum.	
1. Needs study each Region	4	0	4	0	0	3	75%
2. Construction of plant	2	0	0	0	0	0	0%
3. Training Seminars for regional staff	4	0	4	0	1	3	125%
4. Training (Persons)							
Long-term	0 0	0 0	0 0	0 0	0 0	0 0	0% 0%
Short-term	4500 0	3000 300	6750 300	800 200	2750 125	1525 325	167% 0%
5. Number of Loans	3500	1500	2500	1500	0	4171	127%
6. Amount of Credit (Q'000)	875	875	1125	750	0	1222	143%

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PROJECT STATUS REPORT  
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IV. PROJECT STATUS

A. Planned E/PS

Progress to Date

1. Design, submit and receive a new Grant Agreement extension for a period of up to 18 months beginning on June 1, 1991.

Completed and signed subject to various conditions.

2. Design and implement new accounting system for the control of project funds. Complete AID Controller recommendations by August 30, 1991.

Completed

3. Computerized and reconcile credit accounts and balances

Completed with minor adjustments remaining.

4. Move towards self-sustainability through overhead reduction, interest rate increases and applying new ideas for gaining capital.

Overhead reduced by more than half, interest rates increased from 12 to 18 percent, current negotiations to obtain at-cost fertilizers opened with the Minister of Agriculture and the President.

These four administrative issues have been given priority status for Project management during the last six months. Although project development continued through this period, major activities were administrative in nature and measurement of progress is difficult to quantify.

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C. Other Accomplishments and Overall Status

The project has been operational in the three areas and a new cash crop was successfully introduced -sesame-. It is expected that the total crop production will be marketed without the traditionally intermediaries. Some marketing arrangements and negotiations are being made for this purposes.

All the staff has been recruited and the regional offices in Quetzaltenango, Retalhuleu and Jutiapa are well equipped and implemented. It is planned to expand the production of non traditional crops, such as vegetables in the western highlands.

D. Problems and Delays

The major problem encountered during this reporting period was the delay in obtaining approval to purchase agricultural inputs for the project's credit program. Although approval was granted and received by AIFLD on August 30, 1991, to purchase these products, it was too late to implement a credit plan for the 1991 harvest year.

E. Major Activities or Corrective Actions During the Next Six Months

1. Conduct favorable marketing contracts for affiliate campesinos for the 1991 sesame marketing season. (November thru January)
2. Conduct regular internal audits to ensure adequate control of project funds.
3. Implement a reduced, yet more efficient credit program for the 1992 harvest year. Current recuperation rates remain high with Jutiapa at 95%, Xela at 86% and Retalhuleu at 74%. The new credit plan needs to be developed with the cooperation of the Secretary General of the CUSG.
4. Continue to reduce costs and develop innovative action plans concerning the self-sustainability question.
5. Continue dialog with AID concerning the possible distribution of an additional \$400,000.00 currently budgeted for project activities.

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PROJECT STATUS REPORT  
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A B X C

APPENDIX C Page No. 19

I. BACKGROUND DATA

Project Title: Maya Biosphere Project  
 Project Number: 520-0395  
 Date of Authorization: original 08/27/90 amendment  
 Date of Obligation: original 08/16/90 amendment 03/06/91  
 PACT: original 08/30/96 amended to  
 Implementing Agencies: National Council for Protected Areas (CONAP)  
 Major Contractors: Conservation International (CI), CARE, The Nature Conservancy (TNC), Rodale Institute  
 AID Project Manager: Keith Kline  
 Status of CPs/Covenants: All met.

Date of Last Evaluation: N/A Next Evaluation: 11/92  
 Date of Last Audit: N/A Next Audit: 09/15/92

FINANCIAL DATA

Amount Authorized: DA/ESF Grant: original \$ 110,000,000  
 Amount Obligated: DA/ESF Grant: original \$ 6,000,000  
 Amount Committed: Period: \$ 4,012,000  
 Cumulative: \$ 4,012,118  
 Accrued Expenditures: Period - Projected: \$ 170,000  
 Period - Actual: \$ 20,000  
 Cumulative: \$ 20,000  
 Period - Next: \$ 950,000  
 Counterpart Contribution: Planned (LOP): \$ 7,000,000  
 Actual (cumulative): \$ 1,000,000  
 LOP Elapsed: 100%  
 of Total Auth. Oblig. 100%  
 of Total Oblig. Exp. 100%  
 of Total Auth. Exp. 100%

II. PROJECT PURPOSE

To improve the management of renewable natural resources and the protection of biological diversity and tropical forests in the Maya Biosphere Reserve.

III. PROJECT DESCRIPTION

The project will promote the study and rational use of natural and cultural resources in the reserve through three primary components: Biosphere Administration (TNC), Environmental Education and Awareness (CARE), and Sustainable Resource Management for Income Generation (forest products, land-use systems, tourism and other small-scale enterprises; CI, Rodale).

B. Major Outputs

	Planned			Accomplished		% of LOP
	LOP	Period	Cum.	Next Period	Period	
1. Field outposts and control points operating*	15	2	6	1	1	5 33%
2. Master Plan prepared/updated	2	1	1	1	0	0 0%
3. Km of boundary demarcated	1000	0	0	0	0	0 0%
4. Training Events* (persons)						
Short Term:						
-Project Staff	500	50	0 0	0 0	80 7	16 7 55% 16%
-Public	1500	1500	0 0	0 0	100 50	100 71 209 75 14% 5%
Long Term:	0	0	0 0	0 0	0 0	0 0 0 0

\* Note: These indicators have been added/increased based on discussions with CONAP.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

**IV. PROJECT STATUS****A. Planned EOPS**

1. Equipped, trained park guards.
2. Improved management of reserve
3. Studies and research enable to improved resource management.
4. Environmentally sound economic activities promoted and demonstrated
5. Local communities participate in reserve management activities
6. Increased awareness of ENR issues, reserve status and regulations

**Progress to Date**

CONAP continued to build up its staff in Peten, adding 30 new park guards. 174 parks guards participated in training events during this period and basic equipment was purchased with counterpart funds.

COMAP now has a physical presence in five, key outposts, at which it controls access to the Reserve. The MBR coordination committee, created by law, met for the first time this period. TMC has signed an Agreement with AID to assist CONAP with MBR administration.

COMAP used data prepared by CI to help formulate resource use regulations. COMAP and CATIE completed a study on the effects of slash and burn agriculture within one part of the reserve and measures to mitigate its impact.

CI was selected and signed agreements with COMAP and AID to implement this component. CI has initiated activities in Peten. COMAP has hired a group of extensionists as CI counterparts.

COMAP has continued to involve Reserve communities in forest management and resource administration activities. The NGOs contracted in this period will support improved community participation, especially CARE through a community extensionist program.

Meetings held with mayors and town leaders, government institutions and local organizations have continued to increase awareness of ENR issues, the MBR and the Project. CONAP has initiated environmental awareness campaigns through weekly radio programs, schools and community meetings. Extensive press coverage of the Project and reserve has continued.

**C. Other Accomplishments and Overall Status**

- C.1 Overall Status:** The Project has achieved or exceeded all milestones set forth in the Project Paper for the first year, with the exception of the Master Plan (discussed in Section D). Focus has been on project start-up and contracting. Principal accomplishments this period include the joint selection of the U.S. NGOs which will assist with implementation, and of AID and counterpart staff. Initial procurements were also completed and a series of planning, orientation and coordination activities conducted. Public awareness and training activities in Peten were also carried out.

Despite these achievements, project initiation has been slowed as the impacts of the change in government began to be felt in CONAP, the primary GOG counterpart. These impacts include a series of administrative and contractual problems which prevented timely payment for COMAP staff and resulted in low morale and productivity. Also, there has been a complete turn over of personnel in strategic positions: the COMAP board, the Directors of COMAP and other GOG participant agencies, the GOG Project Coordinator, the Peten Regional Director/area manager and technicians and division chiefs in Peten. Many of these positions remain vacant.

A new COMAP Director was found and has strongly embraced the Project strategy of delegating responsibility and authority to NGOs to assist COMAP with resource management in the Reserve. When one considers the prevailing climate of severe socio-economic crisis, armed conflict and political uncertainty, and the speed and magnitude of the recent changes in the Reserve area from an open and uncontrolled frontier under chaotic colonization to a legally established protected area with a new GOG institution in charge of its management -- the progress to date is phenomenal.

**C.2 Status of major/corrective activities planned for 1991:**

PLANNED ACTIVITY	STATUS
1. Inform all parties of Project objectives and activities	Meetings with communities, mayors, leaders were conducted.
2. Initiate baseline studies	CI agreed to do overall baseline studies for Project as part of its agreement. One study was completed (see below). The scope of work for an Environmental Assessment complement was prepared by CONAP, reviewed and accepted by AID/G and presented to the MBR.

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PLANNED ACTIVITY	STATUS
3. Prepare Maya Biosphere Master Plan	The Master Plan will be completed by TNC under its Agreement. TNC has begun related planning with CONAP.
4/6 Conduct Project orientation and administration workshops for CONAP and GOG counterparts	These two workshops were held, focussing on the CONAP Board and GOG officials, respectively.
5. Initial Project vehicles will arrive	The first two vehicles arrived in July.

**C.3 Other Accomplishments this Period:**

**Training/Awareness:** Twenty Peten resource guards completed a natural resource management course under the GPS Program. Additional training for CONAP/Peten field staff included the basic training course for park guards (designed with AID support last year), and in-service training events in planning, first aid, forest inventories, control procedures for non-timber forest products, and monitoring systems for fauna and flora. These events had 188 participants.

CONAP also conducted formal educational events in Reserve area communities on the legal status and significance of the Reserve, CONAP, and the importance of resource management for the economic well being of the communities; 254 people participated. CONAP conducted informal door-to-door awareness activities in the Reserve area, visiting approximately 200 families. CONAP prepared and aired on three local radio stations, 28 different programs concerning the Reserve. Environmental education materials were prepared and distributed by CONAP in schools along with short presentations.

**GOG Support:** To date, GOG expenditures for the Project exceed AID's by over two-to-one. After some delays a new CONAP Director was named and the President signed and authorized seventy CONAP work contracts. The CONAP Board has continued to meet regularly and attracts high-level participation. CONAP successfully managed and accounted for the 1990-91 chicle harvest and sales, generating \$40,000 for future resource management. CONAP also succeeded in obtaining support from civil and military forces for specific control activities. One independent study has shown that CONAP's presence at "El Cruce" has succeeded in controlling what was previously rapid colonization and forest destruction in the central portion of the MBR. CONAP has established good working relations with local NGOs in Peten, such as IUCN, ARCAS, and CATIE. Overall, despite enormous difficulties, CONAP field staff continues to perform heroically to support improved resource management.

**C.3 Other Accomplishments this Period (Cont.):**

**Management/Coordination:** The NGO proposals were evaluated and ranked by a GOG-AID committee; negotiations were completed and four agreements signed for a total of \$4.9 million (AID) and \$2.9 million counterpart. Two formal NGO coordination meetings were held. CONAP and AID also jointly reviewed applications for three management support positions; AID contracted a Financial Analyst and Monitoring/Evaluation Assistant (the AID title representative position remains vacant). AID is coordinating closely with ROCAP and other donors in the Peten, especially the reserve situation which is preparing a project similar to AID's for the Peten. One result of this coordination was the forest inventory of the Peten, for which all field work was completed this period.

**D. Problems and Delays**

As mentioned, the primary problems experienced were due to the change of government and include counterpart staff turn over and low moral of field personnel who are unpaid for two months. During much of this period, no one was in charge of CONAP and many important actions were not successfully completed. The leadership vacuum has made it difficult for CONAP to fulfill its planned role as project coordinator in Peten; it has been unable to maintain the liquidity required for proper implementation and has yet to prepare the "Master Plan" which is necessary to establish a legal framework for all activities in the Reserve area. CONAP signed agreements with other GOG institutions which need to participate in the Project.

There remains a lack of clarity concerning the position which the new government has for CONAP and the Maya Biosphere Reserve, and the degree of support which it is willing and able to provide.

**E. Major Activities or Corrective Actions During the Last Six Months**

1. AID will meet with the Presidency to obtain clarification of its plans for the future of CONAP, and to better define GOG ability and interest to support the Project.
2. With the support of TNC under the AID Agreement, a final draft of the Master Plan will be completed and presented to CONAP.
3. AID will assist CONAP to improve the management and administration of its personnel and to establish a budget involving funds to facilitate implementation.
4. AID will offer assistance to CONAP to prepare and sign agreements with other GOG institutions (CECOM, UNDA, IUCN, IGTABOS) to formalize their participation in project activities.

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E. Major Activities or Corrective Actions During the Next Six Months (Cont.)

5. Annual work plans will be prepared by CONAP and NGOs based upon an analysis of the actual status and community priorities in the Reserve area.
6. The project monitoring and evaluation system will be designed and initiated, including the definition of indicators for each participant and the design of baseline studies.

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PROJECT STATUS REPORT  
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A X B C

ATTACHMENT

I. BACKGROUND DATA

Project Title: Central American Peace Scholarship  
 Project Number: 597-0001.10 and 520-0362  
 Date of Authorization: original FY 1985 amendment 04/19/88  
 Date of Obligation: original FY 1985 amendment 04/27/88  
 PACD: original 03/31/92 amendment 07/31/92  
 Implementing Agencies: SEGEPLAN/PAZAC; AID/W; USAID.  
 Major Contractors: Experiment for International Living (EIL).  
 META, Inc. and P.I.E.T.  
 AID Project Managers: Bambi Arellano, Elvira Tejada  
 Status of CPs/Covenants: None

Date of Last Evaluation: 08/15/91 Next Evaluation: none  
 Date of Last Audit: 10/15/91 Next Audit: none

FINANCIAL DATA

Amount Authorized: DA/ESF Grant: original \$ 5,100,000.00 actual to \$36,200,000  
 Amount Obligated: DA/ESF Grant: original \$ 5,100,000.00 actual to \$35,750,000  
 Amount Committed: Period: \$ 13,000,000.00  
 Cumulative: \$ 25,750,000.00  
 Accrued Expenditures: Period - Projected: \$ 627,000.00  
 Period - Actual: \$ 1,081,725.75  
 Cumulative: \$ 25,260,000.00  
 Period - Next \$ 250,000.00  
 Counterpart Contribution: Planned: None  
 Actual: None  
 % LOP Elapsed: 84.10%  
 % of Total Auth. Oblig. 100.00%  
 % of Total Oblig. Exp. 96.40%  
 % of Total Auth. Exp. 96.40%

II. PROJECT PURPOSE

To strengthen democratic processes by providing Guatemala's socially and economically disadvantaged groups, especially its indigenous population, with technical and academic training and to strengthen bridges of friendship and understanding between the peoples of the U.S. and Guatemala.

III. PROJECT DESCRIPTION

Short and long-term programs in the U.S. designed to train rural leaders in technical and academic areas critical to Guatemala's social and economic development; provide a first-hand experience of the democratic form of life in the U.S. through Experience America; and develop a follow-on in-country to continue training and linkages between Guatemalans and Americans.

IV. PROJECT STATUS

A. Planned EOPS

- Returned scholars utilizing newly acquired skills in Guatemala in Private and Public Sector programs.
- Private institutions or public offices provide better related services due to the contribution of trained staff.
- A system in place which provides training for the disadvantaged at the graduate, undergraduate or technical levels at costs equal to or lower than current AID costs.
- Closer business and friendship ties between LAC countries and the U.S. because of relationships formed during training.

Progress to Date

4,108 Guatemalans have been recipients of short-term training and 900 have been recipients of long-term training programs funded under CAPS I. These are actively participating in specific development activities in their community or institutional settings ensuring that the project objectives are met.

B. Major Outputs

	Planned				Accomplished		Cum.	% of LOP	
	LOP	Period	Cum.	Next Period	Period	Period			
1. Follow-On trg courses	70	13	64	11	11	64	90%		
2. Newsletters	20	0	14	0	0	14	75%		
3. Job Support Ctr.	1	0	1	0	0	1	100%		
4. Spec. Comm. projects	40	5	50	0	0	50	145%		
5. HBCU Placements	590	0	658	0	0	658	111%		
6. Alumni Association	1	0	1	0	0	1	100%		
7. Training (Pers)	M	E	M	E	M	E	M	E	
(000) Long-term	.8	1.0	0	0	.47	.5	0	0	63% 40%
(000) Short-term	2.0	1.9	0	0	2.2	2.0	0	0	113% 117%

\* Please note that all activities and training under "Major Outputs" have been funded under projects 597-0001.10 and 520-0362.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

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C. Other Accomplishments and Overall Status

- The in-country follow-on program for CAPS I short-term training participants continues. In January 1991 the Experiment in International Living (EIL) started the third and fourth training modules for two thousand participants. These modules will be completed by March 1992 and each trainee will receive a diploma for having finished the follow-on program.
- The 408 CAPS short-term participants trained in the United States during FY 1990 will complete the four modules with EIL between October 1991 and July 1992.
- Of the 50 scholars receiving academic training in the United States, 47 have returned after completing their training. The other three participants are expected to return by December 1991.
- The financial and administrative audit of CAPS I by Aldana, Salazar, Garcia y Asociados of the project's \$36.2 million expenditures did not come up with significant findings.
- Aquirre International carried out an impact evaluation of the CAPS I Program.

D. Problems and Delays

The META budget deficit problem is being handled directly by the RCO and CONT.

The proposed extension of the follow-on program is being processed. This will permit the ASOPAZAC Board of Directors (representative of the 22 departments) to attend short-term training which reinforces their managerial skills and focuses on the sustainability of the Association.

E. Major Activities or Corrective Actions During the Next Six Months

1. Follow-on training for the remaining 408 short-term participants will be completed by July 1992.
2. The in-country follow-on component will continue to provide reinforcement training for short-term returnees (1985-1989). It is estimated that during this six-month period 13 seminars for 150 - 180 participants each will be conducted in rural areas.
3. The evaluation draft report of the Aquirre evaluation will be presented to the Mission for review by the end of October.
4. The CAPS I Program will not send additional participants during the next six months. The target set for the number of participants was reached and surpassed.

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**PROJECT STATUS REPORT**  
 April 1, 1991 - September 30, 1991

A \_\_\_ B \_\_\_ C X

**I. BACKGROUND DATA**

Project Title: Improved Administration of Justice  
 Project Number: 520-0369  
 Date of Authorization: original 09/25/88  
 Date of Obligation: original 09/26/88 amendment 06/28/89  
 PACD: original 09/30/91 amended to 12/31/91  
 Implementing Agencies: Judicial Branch & Supreme Court of Justice  
 Major Contractors: Checchi & Company Consulting, Inc.  
 AID Project Managers: Ronald Witherell, Prj.Dir/Carmen Aquilera, Prj.Mgr.  
 Status of CPAs/Covenants: all met

Date of Last Evaluation: 00/00/00 Next Evaluation: 10/31/91  
 Date of Last Audit: 00/00/00 Next Audit: 00/00/00

**FINANCIAL DATA**

Amount Authorized: DA/ESF Grant: original \$5,000,000  
 Amount Obligated: DA/ESF Grant: original \$1,100,000 amended to \$3,400,000  
 Amount Committed: \$ 305,728  
 Period: \$ 214,101  
 Cumulative: \$ 700,000  
 Accrued Expenditures: Period - Projected: \$1,011,700  
 Period - Actual: \$2,676,000  
 Cumulative: \$ 470,000  
 Period - Next: \$ 197,000  
 Counterpart Contribution: Planned: \$ 197,000  
 Actual: \$ 197,000  
 % LOP Elapsed: 92%  
 % of Total Auth. Oblig. 68%  
 % of Total Oblig. Exp. 78%  
 % of Total Auth. Exp. 53%

**II. PROJECT PURPOSE**

To improve the capacity of the Guatemalan judicial system to provide fair, effective and accessible services nationwide.

**III. PROJECT DESCRIPTION**

Activities will be undertaken to improve the performance and accessibility of the non-police functions and institutions involved in the operation of the justice sector. The focus will be on the training of justice sector personnel, improving access to legal information, improving the court system (through the professionalization of personnel and the strengthening of administrative and related structures), and strengthening Guatemala's National Justice Commission to help it become an institution which coordinates and supports the continuing reform efforts of the various public and private institutions involved in the operation of the justice system.

A Project Implementation Unit (PIU) staffed and funded by the major contractor was established to represent both the Judicial Branch and the Mission in carrying out most of the implementation actions required by the Project. The PIU also is to be the primary channel for achieving coordination between the Project and the activities of other U.S. and international institutions working with Guatemalan organizations active in the justice sector.

**IV. PROJECT STATUS**

**A. Planned EOPS**

1. Better trained judges, magistrates, Judicial Branch employees; prosecutors; and supervisors, administrators and law students involved in the defense system.

**Progress to Date**

Training unit at Judicial Branch primarily has provided only logistics for training under other A.I.D. assisted projects. Similar unit at Public Ministry developed under Project now offers an active training program. New Attorney General announced this semester his decision to institutionalize the unit through an internal decree.

**B. Major Outputs**

	Planned			Completed		% of LOP
	LOP	Period*	Cum.*	Period	Cum.	
1. Individuals Trained						
a) Judicial Branch	1,000	0	300	80	0	29%
b) Attorney General's Office	200	250	650	150	177	52%
c) Criminal Defense System	425	0	0	0	0	0
Note: some persons trained in more than one category.						
2. Legal Information Improvement		( O n g o i n g )		X	X	X
3. Court System Improvement						
a) Analysis of Court Administrative Structure	1	0	1	0	0	0%
b) Establishment of a Judicial Planning Unit	1	0	1	0	0	0%
c) Improved Financial Management	1	0	1	0	0	0%
d) Assist implementation of Civil Service System Law	1	0	1	0	0	0%
e) Records Management System Improved	1	0	1	0	0	0%
f) Design Standardized Forms	1	0	1	0	0	0%
g) Develop Caseload Management System	1	1	1	0	0	0%
h) Develop/Implement a Judicial Statistics System	1	1	1	0	0	0%
i) Develop and Train Interpreter corps	1	0	1	0	0	0%

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IV. PROJECT STATUS (Cont.)

A. Planned EOTS

2. Judicial Branch training system

Progress to Date

The Judicial Branch has formally recognized its training unit, now called the National Center for Judicial Studies (Centro Nacional de Estudios Judiciales - CENEJ). A six month training of trainers program was initiated by CENEJ on the first week of September 1991 with Judicial Branch funds.

3. Legal information system improved and available to users

Development and strengthening of system proceeding satisfactorily. The AS/400 IBM mainframe was upgraded with new computer equipment purchased under the Project. Also, new IBM developed software for the mainframe was developed and fed into the system.

4. Supreme Court administrative offices strengthened and fully functioning

The President of the Judicial Branch approved the 1991 Work Plan, which calls for T.A. to work on court administration activities. Several court administrative studies and proposals completed this semester, but none have been implemented.

5. Effective National Justice Commission in existence

Commission inactive.

C. Other Accomplishments and Overall Status

The USAID's AOJ stocktaking exercise was conducted during the latter part of this semester. Once the draft report has been reviewed by the Mission, the conclusions of the Final Report will be included in the USAID's upcoming CDSS.

During the semester a strong effort was made to support the current COG focus on rewriting basic legislation, e.g., the Criminal Procedures Code, Criminal Code and Organic Law of the Public Ministry. If adopted, this legislation could have profound and positive impact on criminal justice in Guatemala.

A highly respected Guatemalan lawyer and academic was contracted at the request of the President of the Judicial Branch to analyze the proposed revised Criminal Procedures Code and to adapt it to the Guatemalan Constitution and to Guatemala's customs. His work was highly praised and a workshop was held the last week of September to present his final report to the Deputies who are members of the Commission on Legislation and Constitutional Affairs of the National Congress, who will submit it shortly to a plenary session for approval.

Ten other studies were performed during this semester for the Judicial Branch. Areas covered: Court Administration, the Evaluation of Courts, Communications and Criminal Legislation reform. Also a final Project Implementation Unit report was presented to USAID/Guatemala by the PIU Project Director.

B. Major Outputs (Cont.)

	Planned				Accomplished		%	
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
j) Donation of Court libraries and publications	1	1	1	0	0	0	0%	
k) Creation of a Judicial Management Information System (JMIS)	1	( O n g o i n g )			0	0	0%	
l) Establishment of Pilot Programs	3	0	3	0	0	0	0%	
4. Strengthening of the National Justice Commission	1	0	1	0	0	0	0%	
5. Justice System Assessments/Analytical Studies	8	0	8	0	0	1	13%	
6. Training (Persons)	M	F	M	F	M	F	M	F
Long-term	0	0	0	0	0	0	0%	0%
Short-term	800	800	0	0	0	0	33%	13%
							73%	32%

\* Activity undertaken, but not yet completed.

\*\* Gender disaggregated data not available for all training, therefore, B.1 and B.6 have different totals.

D. Problems and Delays

The USAID and the Judicial Branch were unable to conclude an agreement on a training program due to late submission by the Judicial Branch of a proposal for the training, and to the upcoming PACD and change in Judicial Branch leadership, which strongly suggested that the USAID should complete the review of its stocktaking exercise and design and negotiate a new AOJ project with the Judicial Branch leadership which will take office for six years in February 1992.

Most of the studies and proposals elaborated during the Project have not been implemented. Also, the communications technical specifications and communications center proposals have been delayed by the new Judicial Branch Organic Law requires approval not only from the Judicial Branch President but all nine of the Supreme Court Magistrates. And to this the fact that all the Magistrates will leave their positions on February 5, 1992; decision-making seems to be slowing down, apparently influenced by these factors.

Part of the computer equipment purchased for CENEJ, 10 Uninterruptible Power Supply Units, were delivered to the Judicial Branch but did not meet the technical specifications required. Supplier has admitted not sending the proper equipment and has offered to replace the units. This caused more than a five week implementation delay, but has

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PROJECT STATUS REPORT  
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C. Other Accomplishments and Overall Status (Cont.)

A modem connection was established the last week of September between the Judicial Branch Computer Center (CENALEX) and the Computer Center of the National Congress. A permanent connection is to be established between these two institutions via a dedicated telephone line.

IBM hardware and software purchased under the Project were delivered and installed at CENALEX, allowing an upgrade of its IBM AS/400 B10 Minicomputer to an IBM AS/400 B45, which will provide a larger capacity for complex operations. CENALEX already had reached the capacity of the Minicomputer operations on a Pilot basis.

Five computers, five UPSs and a Laser Jet printer were donated under the Project to the Public Ministry of Guatemala, to be used by the Prosecutors Office (Fiscalia Section).

The only training that has been provided under the Project has been to the Public Ministry, which during this semester held 16 courses and produced a training plan for the last three months of the Project. Additionally, the training efforts promoted inter-institutional cooperation through joint training programs with the Office of Human Rights, the Central Bank (Banco de Guatemala) and San Carlos University.

A decision was made by USAID/Guatemala to extend the Project Assistance Completion Date from September 30, 1991 to December 31, 1991 to complete only on-going project activities (mainly the training at the Public Ministry, communications systems, CENALEX operations, and commodity procurements). The Project Implementation Unit (PIU) was closed at the end of this semester and the Institutional Contractor made arrangements to have an in-country representative administer the activities to take place during the last three months of the project.

D. Problems and Delays (Cont'd)

been temporarily solved, as IBM/Guatemala has loaned a special UPS to protect the AS/400 B45 mainframe, which will allow operations and on-going software development to continue.

E. Major Activities or Corrective Actions During the Last 3 Months

1. Approximately five training activities at the Public Ministry. Subjects will be: public speaking, criminal investigation, and criminal justice reform.
2. Advisory services of a highly qualified Guatemalan lawyer to analyze and fully integrate the proposed revised Organic Law of the Public Ministry with the proposed revised Criminal Procedures Code.
3. Continuation of a contract with a Guatemalan company to finish TA to the Judicial Branch for its purchase and installation of its telephone and communications system.
4. CENALEX to complete work in the following activities:
  - (a) Caseflow management (case-tracking)
  - (b) Operational System and testing of functions: (records management, passwords, security system for software, communication systems)
  - (c) Assignment of Cases - Court System
  - (d) Financial System
  - (e) Data Center System - index consultation, entering of full text of selected laws, preparation of manuals for users.
  - (f) Training for users of the Data base system.
  - (g) Several modem connections installed: Central Bank, Public Ministry and Law Faculties of the Universities.

F. Activities under the Regional Administration of Justice Project (597-0002)

A Guatemalan female judge from a rural court is participating in the post-graduate program (1991-1992) at the University of Costa Rica, specializing in agrarian law.

ILANUD workshop held at the Judicial Branch in Guatemala City, April 1991: "Workshop on the Project on Techniques for Resolution of Conflicts in the Criminal Process."

ILANUD provided technical assistance to the National University (San Carlos) on law libraries, June 1991.

Visit of RAJO Special Projects Advisor to Guatemala to meet with the Mission on Administration of Justice activities and to attend a meeting of ROCAP's committee on development of legislatures.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

A — B X C —

**I. BACKGROUND DATA**

**Project Title:** DEVELOPMENT TRAINING AND SUPPORT  
**Project Number:** 520-0384  
**Date of Authorization:** original 08/12/88 amendment 03-14-90  
**Date of Obligation:** original 08/12/88 amendment 03-26-90  
**PACD:** original 09/30/93 amended to 09/30/94  
**Implementing Agencies:** USAID/G and SEGEPLAN  
**Major Contractors:** US Dept. of Labor; US Bureau of Census; META, Inc.  
**AID Project Managers:** Annette Tuebner  
**Status of CPs/Covenants:** All met

**Date of Last Evaluation:** None **Next Evaluation:** June, 1993  
**Date of Last Audit:** None **Next Audit:** October, 1991

**FINANCIAL DATA**

**Amount Authorized:** DA/ESF Grant: original \$ 8,000,000  
**Amount Obligated:** DA/ESF Grant: original \$ 4,850,000 amended to 6,850,000  
**Amount Committed:** Period: \$ 484,228  
**Cumulative:** \$ 4,729,108

**Accrued Expenditures:** Period - Projected: \$ 809,000  
 Period - Actual: \$ 213,711  
**Cumulative:** \$ 3,696,742  
 Period - Next \$ 360,000

**Counterpart Contribution:** Planned: \$ 2,500,000  
 Actual: \$ 1,048,102 change in exchange rate

**% LOP Elapsed:** 51.00%  
**% of Total Auth. Oblig.** 85.6%  
**% of Total Oblig. Exp.** 53.9%  
**% of Total Auth. Exp.** 46.2%

**II. PROJECT PURPOSE**

Through training, develop a cadre of Guatemalan public and private sector representatives at all socio-economic levels with enhanced skills in policy making, political, social, and economic development.

**III. PROJECT DESCRIPTION**

The goal of the project is to strengthen the economic, social, and political development of Guatemala. The Project consists of the following four components: 1) Strengthen Private sector participation in the Social/Economic Development Process; 2) Improve Public Sector Policy and Program Analysis; 3) Education Sector Support and Improvement; and 4) Training Outreach and Support Program.

**IV. PROJECT STATUS**

**A. Planned EOPS**

- Public and Private sectors are more responsive to the developmental needs of disadvantaged groups in Guatemala.
- Social and economically disadvantaged groups have improved health, nutrition, education and housing status.

**Progress to Date**

The INE and the U.S. Bureau of the Census have trained 19 leaders to produce and utilize data for decision making purposes for national planning. These individuals will in turn train an additional 1,325 throughout 1991-1995 for the same purpose.

98 economically disadvantaged Guatemalan youth are pursuing 5 year academic careers of their choice. 47 youth are pursuing agronomy ca-

**B. Major Outputs**

	Planned				Next Period	Accomplished			
	LOP	Period	Cum.			Period	Cum.	% LOP	
1. In-Country MET	1900	620	1944		75	464	2060	108%	
2. US/3rd Country	300	10	249		21	0	172	57%	
3. Merit/Zamorano	200	0	148		0	0	145	72%	
4. Trg. Outreach	1000	200	600		0	200	1000	100%	
5. Sex Disaggregated	LOP		Period	Cum.	Next Per.	Period	Cum.	% LOP	
	M	F	M	F	M	F	M	F	
	1520	381	360	240	2020	655	45	30	201
			103	1236	824	84%	216%		
6. Training Persons	LOP		Period	Cum.	Next Per.	Period	Cum.	% LOP	
Long Term	163	41	138	35	277	71	0	0	0
Short Term	1382	346	320	300	808	420	69	30	201
			103	1558	502	112%	145%		

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A. Planned EOPs

Progress to Date

- |   |  |
|---|--|
| 3. The democratic process is consolidated by both ladino and indigenous groups in Guatemala.                                      | reers at Zamorano. The CGC has fully staffed their private sector construction industry training institute.  |
| 4. Strengthened management and financial management capabilities in public/private sectors.                                       | The Youth for Guatemala organization continues to develop its capability to expand linkages with approximately 1000 Guatemalans studying in the U.S. by providing periodic information regarding Guatemalan political and social life and by coordinating in-country events at the community level.  |
| 5. Trained leaders utilizing newly acquired skills in public/private sectors.   | Mission is determining finance management/accountability strategy.   |
| 6. Improved labor-management relations.   | Recipients of training are not only utilizing newly-acquired skills but are also training others in the same skill areas.  |
| 7. Closer individual and institutional linkages between Guatemala and the U.S. at the university and other levels of the society. | Continues through training provided by the DOL for the Ministry of Labor mediators.  |
|   | USAC & Partners of the Americas continue to implement environmental education programs for community leaders & technicians living in the Peten. 25 University professors continue studies at the M.A./Ph.D. levels in Mexico, Puerto Rico and the U.S. Youth for Guatemala Organization (YGO) maintains contact with other U.S. universities through information packets provided by YGO to Guatemalan students. |

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

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C. Other Accomplishments and Overall Status

1. Approximately 225 primary and secondary school teachers, technicians and community leaders residing in the Peten were recipients of environmental/natural resource management training;
2. The second MID expert returned to Guatemala after completing a nine-month on-the-job training program at the UM, the IDB and the OAS. META will begin by addressing the AID/WID committee once the other WID trainee returns to Guatemala.
3. 464 Guatemalans have benefitted from the multiplier effect training.
4. Youth for Guatemala Organization has increased its U.S. mailing list from 800 to 1000 during this reporting period.
5. Two Merit Scholars have obtained technical degrees and have graduated from the program.
6. Youth for Guatemala organized an in-country summer seminar for Guatemalan university students studying in the U.S. who were home for the summer vacation in order for them to get a perspective on Guatemala's present economic and social situation.
7. One Zamorano Scholar has completed his study program.
8. A training program in hospital maintenance and repair was designed and potential candidates recruited, screened and selected in coordination with the Ministry of Health's Hospital Maintenance Department.
8. MET training for the tourism industry was completed.
9. Universidad del Valle MOU amendment to fully fund Merit Scholarship program was executed.
10. The Universidad Francisco Marroquin located another source of funding to support their efforts in total productivity management; therefore, the project will not fund this activity.
11. Contract executed with META, Inc. for M.A./Ph.D. Puerto Rico and Mexico training program which began in January, 1990.
12. Vehicle transfer to the Office of the Human Rights Ombudsman executed.
13. One M.A. participant successfully completed his study program and received his M.A. Degree.

D. Problems and Delays

1. The Mission was unable to react favorably to a proposal submitted by the Bank of Guatemala for assistance with their training endeavours.
2. One of the Ph.D. participants studying at the University of New Mexico was required to leave the program early due to very poor grades and no motivation.
3. Due to other Mission priorities and the lack of an intermediary institution, it was determined to postpone the decision regarding proper mechanisms for the provision of finance management/anti-corruption training until further notice.
4. RFP deadline for construction industry training assistance had to be extended to allow even more time for interested institutions to respond.

E. Major Activities or Corrective Actions During the Next Six Months

1. Youth for Guatemala will continue mailing their Guatemala Information Bulletins to university students studying in the U.S.
2. Based on recommendations of the Trade, Investment and Growth Working Group, Mission will determine whether DT&S assistance will be provided in this area.
3. Contract with Central American Construction Industry Training Institute for provision of training assistance for the Guatemalan Construction Industry to be executed.
4. Grant Agreement with Companeros de las Americas for the provision of environmental/natural resource management training in the Peten will be completed.
5. 4 Zamorano Scholars will complete their training program and 2 Merit Scholars will graduate from their local Guatemalan University.
6. Phase III Labor Management Cooperation Training will continue.
7. One M.A. candidate will complete his degree program.
8. 22 Employees of the Ministry of Health's hospital maintenance department working in rural areas will be trained in Mexico on specific hospital equipment maintenance and repair techniques.
9. Based on final determination of Project activities and pending funding availability, de-ob/re-ob process that inclusion into the GPS Project) will begin.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

A  B  C

ATTACHMENT 1

**I. BACKGROUND DATA**

**Project Title:** Guatemala Peace Scholarship Project  
**Project Number:** 520-0393  
**Date of Authorization:** original 04/05/90 amendment 00/00/00  
**Date of Obligation:** original 07/31/90 amendment 03/14/90  
**FACD:** original 04/15/97 amended to 00/00/00  
**Implementing Agencies:** N/A  
**Major Contractors:** META, Inc., Development Associates, Inc.  
**AID Project Managers:** Bambi Arellano, Annette Tuebner  
**Status of CPs/Covenants:** N/A

**Date of Last Evaluation:** None **Next Evaluation:** 10/15/92  
**Date of Last Audit:** None **Next Audit:** 10/15/91

**FINANCIAL DATA**

**Amount Authorized:** DA/ESF Grant: original \$17,000,000  
**Amount Obligated:** DA/ESF Grant: original \$ 4,000,000 amended to \$7,583,074  
**Amount Committed:** Period: \$ 6,283,074  
**Accrued Expenditures:** Cumulative: \$ 7,583,074  
 Period - Projected: \$ 1,000,000  
 Period - Actual: \$ 900,928  
 Cumulative: \$ 2,000,000  
 Period - Next: \$ 1,700,000

**Counterpart Contribution:** Planned: \$ 0%  
 Actual: \$ 0%

**% LOP Elapsed:** 17.0%  
**% of Total Auth. Oblig.:** 25.4%  
**% of Total Oblig. Exp.:** 22.1%  
**% of Total Auth. Exp.:** 5.6%

**II. PROJECT PURPOSE**

To equip a broad base of leaders and potential leaders with technical skills, training and academic education and an appreciation and understanding of the workings of a free enterprise economy in a democratic society.

**III. PROJECT DESCRIPTION**

CLASP II/GPS training reinforces the Mission's strategic objectives through working in close coordination with the Mission's technical offices in the design of U.S.-based training programs and in-country follow-on activities in priority areas. All GPS training provides the basic skills and attitudes necessary to participate effectively in a democratic system and the technical skills needed for economic development.

**IV. PROJECT STATUS**

**A. Planned EOPS**

1. Returnees use participatory methods for decision-making.
2. Returnees involve communities in organization & community action.
3. 80% returnees employed in field of study, within 1 of return.
4. Returnees exercising community leadership, within 5 years of return.
5. Returnees exhibit commitment to principles of democracy/free enterprise.
6. Returnees maintain U.S. linkages.

**Progress to Date**

1. 188 short-term trainees have completed 6 week courses in 7 distinct U.S.-sponsored training programs.
2. 8 additional short-term courses for 196 have been programmed.
3. 34 long-term academic participants have been screened for U.S. training.

**B. Major Outputs**

	Planned				Accomplished									
	LOP	Period	Cum.	Next Period	Period	Cum.	% LOP							
1. Alumni Assoc.	1	1	1	1	0	0	0%							
2. Newsletters	24	2	2	1	0	0	0%							
3. Alumni meetings	42	4	4	1	0	0	0%							
4. F-O prog seminars	50	1	1	2	0	0	0%							
5. Book Club members	4900	900	900	900	0	0	0%							
6. Job Support Center	1	1	1	0	0	0	0%							
7. HBCU placement	200	0	0	0	0	0	0%							
8. Training (Persons)	M	F	M	F	M	F	M	F						
Long-Term (A)	414	276	25	25	28	22	30	30	0	0	28	22	7%	8%
Long-Term (T)	165	165	0	0	0	0	36	36	0	0	0	0	0%	0%
Short-term	690	690	35	40	35	40	118	78	136	52	136	52	20%	8%

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**C. Other Accomplishments and Overall Status**

1. 188 Guatemalan leaders have been the recipients of U.S. sponsored short-term technical training in natural resources management, integrated pest management, education administration and environmental impact assessment.
2. Short-term training program in Municipal Development for elected and non-elected leaders was developed, recruitment strategy defined and candidates processed in close coordination with RHUDO and INAP.
3. Pre-departure orientation process placed in operation.
4. Long-term academic and technical training strategy developed in coordination with Mission technical offices.
5. Recruitment and testing process initiated, in coordination with local Guatemalan universities, for potential long-term academic trainees.
6. First group of long-term academic participants in international business, food science and agricultural production interviewed and initial selection made.
7. 50 long-term academic training participants (AYA Program) completed their training and returned to Guatemala.
8. The new CIS data base was installed and tested at the field office.
9. Relationship between CAPS I Alumni Association (ASOPAZAC) and GPS Alumni Association received further clarification and direction.
10. Decision made to hold off until further notice Project Paper amendment reflecting actual funding level of \$18,000,000.
11. Audit of the META, Inc. AYA Contract was requested.

**D. Problems and Delays**

1. Due to change in follow-on training strategy, activities in this area have not begun.
2. ODDT has noticed certain deficiencies (administrative and technical) in the local field office staff - this is especially true when the Chief-of-Party is out of the country for any length of time.
3. Due to the effects of Hurricane Bob (7), plans to place a group of participants in Roxbury College, an HBCU, did not materialize and therefore the Project did not meet its HBCU Placement requirement.
4. To date, the Project has reached 31% of the required 40% participation of women.
5. Starting with voucher #5, COMT has located errors in DA's financial reporting which has caused delays in processing payment.

**E. Major Activities or Corrective Actions During the Next Six Months**

1. Follow-on training strategy will be defined and activities will begin for the recipients of short-term training in natural resources management, education administration and integrated pest management.
2. Training strategy for trade and investment, environmental impact assessment and human rights/civic education will be developed in close coordination with technical offices.
3. Project evaluation of first cycle short-term courses will be completed and second cycle courses will be modified.
4. First newsletter will be produced and distributed.
5. Meetings with USAC and Rafael Landivar University regional campuses will be held to discuss long-term recruitment policy.
6. Short-term training for elected and non-elected municipal leaders will begin and thought will be given to include representatives of the decentralized offices of the Contraloria General de Cuentas in future training activities in this area.
7. 72 long-term academic and 60 long-term technical participants will begin their study programs.
8. HBCU placement plan will be prepared.
9. Long-term training to focus primarily on participation of women, while a special short-term program will be developed to maximize the participation of women.
10. META, Inc. AYA audit to be executed.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

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ATTACHMENT C

Page No. 33

**I. BACKGROUND DATA**

**Project Title:** Democratic Institutions  
**Project Number:** 520-0398  
**Date of Authorization:** original 09/28/90 amendment 6/23/91;8/20/91  
**Date of Obligation:** original 09/28/90 amendment 6/23/91;9/6/91;9/29/91  
**FACD:** original 09/30/94 amended to 9/30/95  
**Implementing Agencies:** Office of the Human Rights Ombudsman, Fundacion DIG  
**Major Contractors:** Consortium for Legislative Development, Grupo Novadora  
**AID Project Managers:** Bambi Arellano, Todd Sloan  
**Status of CPs/Covenants:** No CPs, No covenant problems under original auth.

**FINANCIAL DATA**

**Amount Authorized:** DA/ESF Grant: original \$ 600,000 amended to 4,160,000  
**Amount Obligated:** DA/ESF Grant: original \$ 600,000 amended to 2,100,000  
**Amount Committed:** Period: \$ 840,025  
**Accrued Expenditures:** Cumulative: \$1,058,807  
 Period - Projected: \$ 150,000  
 Period - Actual: \$ 318,055.94  
 Cumulative: \$ 511,617.57  
 Period - Next: \$ 337,000  
**Counterpart Contribution:** Planned: \$  
 Actual: \$  
 % of LOP Elapsed: 20%  
 % of Total Auth. Oblig. 35%  
 % of Total Oblig. Exp. 24.1%  
 % of Total Auth. Exp. 8.5%

**Date of Last Evaluation:** 00/00/00 **Next Evaluation:** 00/00/00  
**Date of Last Audit:** 00/00/00 **Next Audit:** 00/00/00

**II. PROJECT PURPOSE**

To institutionalize specific democratic processes in Guatemala by strengthening key institutions and by supporting programs oriented to improving public knowledge and attitudes about democracy.

**III. PROJECT DESCRIPTION**

The Project, as amended in August 1991, will support activities which help strengthen the legislative capacity of the Guatemalan Congress, enhance the ability of the Office of the Human Rights Ombudsman (OHRO) to track and publicize human rights abuses, promote public education programs on human rights and democratic values and practices, and monitor indicators of democratic development in Guatemala.

**IV. PROJECT STATUS**

**A. Planned KOPS**

Prior to the approval of the Project Paper and Amended Project Authorization in August 1991, only 15% of the LOP funding level had been obligated. These funds supported activities which contributed to the outputs cited in IV B. The next semi-annual report will reflect the KOPS and outputs established in the Project Paper approved 8/20/91.

**B. Major Outputs**

	Planned		Next Period	Accomplished		% of LOP
	LOP	Period Cum.		Period	Cum.	
1. Conduct of Centro ESTNA's annual seminars	4	1	1	1	1	25
2. Commodities provided for start-up of OHRO departmental offices	21	11	15	6	11	71
3. Regional and community-level human rights education activities (*)	50	0	0	0	0	0
4. Human Rights Office develops case tracking system	1	1	1	1	1	100
5. Congress implements management information and computer systems	1	1	1	1	1	100

(\*) During this period both formal and non-formal education activities for the OHRO were funded by European donors. It was concluded that AID resources would be better utilized in the expansion of these pilot efforts starting in 1992.

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C. Other Accomplishments and Overall Status

- The Project Paper, as approved, is considered a strategy document which describes the current status of each of the democratic processes and institutions to be supported and the real possibilities of achieving changes within the Project's timeframe. The final product is a result of detailed and in-depth discussions and negotiations with each counterpart organization and other agencies active in Guatemala's democratic process.
- The Amended Project Authorization was signed in August 1991, and subsequently agreements were signed with the OHRO for a four-year program and with the Consortium for Legislative Development to implement an immediate plan of activities with the Congress during FY 1992.
- A separate authorization was signed in June 1991 which provided funds for Centro ESTMA's CY 1991 seminar.
- A USFSC and three FSM's were contracted with Project funds

D. Problems and Delays

The advantage of the approach taken in the development of the Project Paper was that it allowed the Mission to develop a realistic perspective on each of the counterpart institutions, based on experience to date, and clearly identify potential for counterpart resources to be provided in the achievement of common goals. The Embassy Country Team was involved in the project design process and determined that in the area of democratic initiatives counterpart contribution should be given particular emphasis. This was clearly demonstrated in negotiations with Centro ESTMA, the OHRO and the Congress. For example, during negotiations with the Congress the Mission changed from an ambitious strategy to a much more modest one largely because of the lack of availability of counterpart. These negotiations also allowed the Mission to assess each institution's potential for, and interest in, its own development and consolidation.

A major issue during design and implementation of initial activities was the mechanism to be used under each component for disbursement of funds. Centro ESTMA requested USAID certification while the OHRO determined that USAID should manage all funds directly. In ESTMA's case the certification process took one calendar year during which the institution experienced real financial difficulties having to up-front all expenses. In the case of the OHRO, their preference for AID direct procurement has represented a heavy management burden for the Mission's already-stretched procurement system.

The two project components which will require intensive design work during the next reporting period are the indicators monitoring and education components. In both cases the Mission will build on experiences to date in Guatemala and other Latin American countries.

E. Major Activities or Corrective Actions During the Next Six Months

- 1.- Initiate immediate plan of action with the Congress in coordination with Grupo Novadora. Establish administrative procedures between Consortium and Grupo Novadora.
- 2.- Initiate discussions with the Congress on a more extensive memorandum of understanding covering activities through 1995.
- 3.- Initiate implementation of civic and human rights education activities.
- 4.- Complete commodities procurement and initiate printing of educational materials for OHRO.
- 5.- Provide technical assistance for OHRO case tracking system and for information linkages among democratic institutions.
- 6.- Negotiate follow-on assistance with ESTMA in the context of institutional consolidation.
- 7.- Initiate design of monitoring system for democratic attitudes and values.

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**PROJECT STATUS REPORT**  
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ATTACHMENT C

**I. BACKGROUND DATA**

Project Title: EXPANSION OF FAMILY PLANNING SERVICES  
 Project Number: 520-0288  
 Date of Authorization: original 08/27/82 amendment See following reports  
 Date of Obligation: original 08/31/82 amendment See following reports  
 PACD: original 12/31/87 amended to 08/31/92  
 Implementing Agencies: AGES, APROFAM, IPROFASA, MOH - FPU  
 Major Contractors: Juarez and Associates and Experiment in Int'l. Living  
 AID Project Managers: Lynn Gorton - Jayne Lyons  
 Status of CPs/Covenants: All met

**FINANCIAL DATA**

Amount Authorized:	DA Grant:	\$35,111,000
Amount Obligated:	DA Grant:	\$35,111,000
Amount Committed:	Period:	\$ 3,410,119
	Cumulative:	\$11,618,184
Accrued Expenditures:	Period - Projected:	\$
	Period - Actual:	\$ 2,517,512
	Cumulative:	\$24,557,832
	Period - Next:	\$
Counterpart Contribution:	Planned:	\$ 1,101,500
	Actual:	\$ 1,101,500
\ LOP Elapsed:		90%
\ of Total Auth. Oblig.		100%
\ of Total Oblig. Exp.		70%
\ of Total Auth. Exp.		70%

Date of Last Evaluation: 01/88 Next Evaluation: None scheduled  
 Date of Last Audit: 09/89 Next Audit: None scheduled

**II. SUMMARY PROJECT PURPOSE**

To expand the utilization of family planning services and information provided by public, private and commercial sources through integration of maternal health services and selected child survival interventions which are designed to reduce the reproductive risks of women in fertile age. The project presently has 5 implementing agencies: APROFAM, AGES, IPROFASA, MOH - FPU and a contract with the Experiment in International Living, which has developed grant agreements with local private voluntary organizations for the delivery of integrated mother and child survival interventions, including birth spacing.

**B. Major Outputs**

(SEE FOLLOWING IMPLEMENTING AGENCIES INDIVIDUAL REPORTS)

**III. PROJECT DESCRIPTION (SEE FOLLOWING INDIVIDUAL IMPLEMENTING AGENCIES REPORTS)****IV. PROJECT STATUS**

<b>A. Planned FOPS</b>	<b>Progress to Date</b>
1. 1,000 MOH facilities, 10 APROFAM clinics, 2,200 community-based distributors, 1,000 pharmacies and other retail outlets and 50 private organizations providing integrated MCH/FP services.	Approximately 640 MOH facilities, 15 APROFAM clinics, 1,600 community-based distributors, 1,168 pharmacies and other retail outlets and private organizations are currently providing integrated MCH/FP services.
2. 944,000 CYP provided, 152,000 maternal and child survival service encounters, 6,350 technicians trained, 183,900 persons have received family life education topics.	1,056.7 million CYP provided, 168,400 MCH services provided, 14,691 technicians trained, 631,826 persons have received family life education topics.
3. 95% Ladino and 85% Mayan population aware of birth spacing services in their area.	90% of all Ladino women of fertile age (WFA) know of at least one method of family planning, 43% of all Mayan WFA know of at least one modern method, 90% of all WFA who know of at least one modern method know where they can obtain it.

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PROJECT STATUS REPORT  
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C. Other Accomplishments and Overall Status (All components)

- a. 1. The Project Paper Amendment II Supplement was authorized on May 30, 1991. The supplement extended the PACD of the project to 8-31-91 and provided \$3,060,696 for APROFAM, \$850,000 for IPROFASA, \$50,264 for PSC contracts and \$39,040 to amend the contract with Juarez and Associates.
- 2. Funds were obligated to APROFAM on 5/30/91 and 9/30/91 and to IPROFASA on 8/23/91.
- 3. The Administrative study of the Asociacion Guatemalteca de Educacion Sexual (AGES) was carried out and the report was presented to the Mission. The findings indicate that serious administrative problems exist. The recommendations are currently under study and a course of action will be approved and implemented over the next six months.
- 4. The external audit of the MOM-FPU was carried out and the report was submitted to RIG.
- 5. In an exchange of letters, AID and IPROFASA agreed on new foundations for the future AID-IPROFASA Agreement.
- b. Status of major activities or corrective actions during the reporting semester:
  - 1. The Project Paper Amendment Supplement was authorized and funds were obligated.
  - 2. MOM and AGES Agreements have not been amended.
  - 3. The hiring of the FSM-PSC has been postponed (see below).
  - 4. The Administrative Study of AGES has been completed (see below).

D. Problems and Delays

- 1. The Chief of the MOM-FPU was replaced on June 10, 1991. His successor was chosen by the Minister of Health and appears to have his support. This administrative change and the appearance of cholera in the south coast prevented the FPU from carrying out previously scheduled and approved training courses for MOH personnel.
- 2. The Vice-Ministers of Health were also replaced and this may mean additional changes to come for the MOM FPU.
- 3. The hiring of the new FSM-PSC has been postponed until the arrival of the new OHSE Chief and the approval of the new project.

E. Major Activities or Corrective Actions During the Next Six Months

- 1. Approve and implement a course of action with AGES that addresses the major findings of the administrative study.
- 2. Approve work plans and annual budgets for all implementing agencies and extend PACD's for MOH to 8 31 91 and for AGES as needed.
- 3. Carry out observational travel for the Minister and Viceministers of Health.
- 4. Complete Contraceptive Procurement Tables.

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**PROJECT STATUS REPORT**  
**April 1 - September 30, 1991**

**II. PROJECT PURPOSE**  
 (SEE SUMMARY SHEET)

**III. PROJECT DESCRIPTION**

**Importadores de Productos Farmaceuticos S.A. (IPIFASA)**

This for-profit, private sector company was created jointly by AID and the Iprofasa board to serve as a vehicle for contraceptive social marketing. The company now markets four products through more than 1,000 commercial outlets nation wide. The AID grant provides operational expenses, contraceptive supplies and funds for large mass media campaigns. A new mini-farmacia activity designed to reach the rural population was initiated in 1990. IPIFASA produced 14.8 CYP's this semester.

**IV. PROJECT STATUS**

**A. Planned EOPS**  
 (SEE SUMMARY SHEET)

**Progress to Date**

**B. Major Outputs**

	Planned				Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
1. Product Sales (0.000's)	1600	690.4	3698	690.4	100	4,347.2	272%	
2. Couple-years protection (CYP) (in thousands 000)	65	14	100	17	26.0	137.4	211%	
3. Family Planning Commodities' Market Surveys/Studies Held	11	0	11	0	0	11	118%	
4. Short Term Specialty Advisors	8	0	8	0	0	8	100%	
5. Family Planning Advertising Campaign	8	0	11	0	1	21	262%	
6. Family Planning Training Programs for Retailers								
a. Behind Counter Personal	4	0	23	0	25	79	1,975%	
b. Pharmacists	3	0	4	0	0	4	133%	
7. Family Planning for private sector training programs	10	0	10	0	0.14	55	550%	
8. Introduction of Add'l Family Planning Products								
a. Low-dose pill	1	0	1	0	0	1	100%	
b. Multi-pck (condoms and vag. tablets)	1	0	1	0	0	1	100%	
c. Single pack condoms (100's)	1	0	1	0	0	1	100%	
9. Outlets supplied	870	0	1175	0	0	1168	134%	
10. Training (Persons)	M	E	M	E	M	F	M	F
Long-term	NA	NA	NA	NA	0	0	0%	0%
Short-term	NA	NA	NA	NA	0	0	0%	0%

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**PROJECT STATUS REPORT**  
 April 1 - September 30, 1991

**I. BACKGROUND DATA**  
 (SEE SUPPLEMENTARY SHEET)

**FINANCIAL DATA**  
**COMPONENT: ASSOCIATION FOR FAMILY WELFARE (PROGRAM 220 02 010 A)**

Amount Authorized: DA/ESF Grant: original \$22,007,696  
 Amount Obligated: DA/ESF Grant: original \$22,007,696 (including \$35,000 for Movimiento Campesino Independiente MCI)

Date	Amount Authorized Grant	Date	Amount Obligated Grant
03/08/83	\$ 930,000	01/08/84	\$ 930,000
05/01/83	9,000	05/01/84	9,000
06/13/83	335,000	06/13/84	335,000
05/11/84	200,000	05/11/84	200,000
08/06/84	100,000	08/06/84	100,000
08/29/84	525,000	08/29/84	525,000
09/20/84	324,000	09/20/84	324,000
09/27/84	74,000	09/27/84	74,000
12/28/84	1,119,000	12/28/84	1,119,000
12/18/85	494,000	12/18/85	494,000
07/31/87	3,430,000	07/31/87	3,430,000
07/29/88	11,972,000	07/29/88	11,972,000
		09/29/88	50,000
		01/29/89	1,938,000

05/30/91 3,050,000  
 05/30/91 10,696  
 09/30/91 1,050,000  
 10,696

Amount Committed: Period: \$ 1,250,178.11  
 Cumulative: \$21,007,696.17  
 Accrued Expenditures: Period - Projected: \$ 1,250,178.11  
 Period - Actual: \$ 1,250,178.11  
 Cumulative: \$21,007,696.17  
 Period - Next: \$ 2,000,000.00

Counterpart Contributions: Planned: \$ 6,000,000  
 Actual: \$ 6,000,000

% LOP Elapsed: 88.00%  
 % of Total Auth. Oblig. 100.00%  
 % of Total Oblig. Exp. 69.70%  
 % of Total Auth. Exp. 69.70%

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**PROJECT STATUS REPORT**  
 April 1 - September 30, 1991

**B. Major Outputs (in thousands 000)**

**II. PROJECT PURPOSE**

(SEE SUMMARY SHEET)

**III. PROJECT DESCRIPTION**

**The Asociacion Pro Bienestar de la Familia (APROFAM)**

Aprofam is an affiliate of the International Planned Parenthood Federation (IPPF). Aprofam has been providing family planning services in Guatemala for 25 years. AID is supporting a network of 15 clinics in the city and various departmental urban centers. These clinics offer a wide range of FDA approved temporary family planning methods, voluntary permanent male and female methods and selected maternal-child health services such as pre and post-natal care, growth monitoring, and OMT. The AID grant supports a community based distribution (CBD) program that involves training, supervision and logistics for over 1,500 volunteer distribution posts throughout the nation. AID also supports the Information, Education and Communication (IEEC) department of Aprofam, which carries out mass media campaigns, leader education and publishes printed material for all institutional levels. Aprofam provides the majority of couple-year-protection (CYP) in Guatemala.

**Experiment in International Living - Proyecto de Ayuda Materno-Infantil (EIL - PAMI)**

The contract for this component was signed June 89 and operations began in August 89. This component was designed to reach organizations in the private sector to encourage them to provide selected maternal-child health services, including birth spacing. Eleven sub-grants have been awarded.

**IV. PROJECT STATUS**

**A. Planned EOPS**

(SEE SUMMARY SHEET)

**Progress to Date**

	Planned				Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
<b>A. CBD</b>								
New acceptors	194	25	269	25	15.0	357.7	184%	
CYP	206	15	65	15	19.3	127.2	62%	
Home Visits	347	60	401	60	76.6	815.0	235%	
Active Distribution Posts	2	1.6	2	1.0	1.0	1.6	80%	
<b>B. CLINICS</b>								
New Users	30	7	50	7	9.4	72.9	243%	
CYP (includes VSC)	505	40	132	60	90.1	569.8	113%	
No. of VSC users	20	5	33	5	5.0	35.4	177%	
No. of well baby MCH & other visits	35	20	95	20	10.4	168.4	481%	
<b>C. TRAINING</b>								
No. of courses	.171	.015	.186	.015	.042	.333	195%	
No. of participants	4	.450	5.4	.450	1.2	0.5	213%	
<b>D. PIPOM</b>								
No. of printed material	26	10	58	10	11.0	280.5	1,079%	
<b>E. IEEC</b>								
Radio spots	670	30	500	30	41.1	157.7	53%	
<b>F. Training (Persons)</b>	M	F	M	F	M	F	M	F
Long-term	NA	NA	NA	NA	0	0	0%	0%
Short-term	NA	NA	NA	NA	0	0	NA	0%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

FINANCIAL DATA

COMPONENT: MINISTRY OF HEALTH - FAMILY PLANNING UNIT (C-001200,20 MOH - FPU)

1. BACKGROUND DATA  
(SEE SUMMARY SHEET)

Amount Authorized: DA/ESF Grant: original \$ 2,855,100  
Amount Obligated: DA/ESF Grant: original \$ 2,855,100  
  
Amount Authorized: DA/ESF Grant: original \$ 2,855,100  
Amount Obligated: DA/ESF Grant: original \$ 2,855,100

Date	Amount Authorized Grant	Date	Amount Obligated Grant
05/20/85	300,000	05/20/85	300,000
06/20/86	412,000	06/20/86	412,000
07/27/88	2,143,100	07/27/88	1,724,000
		07/03/89	419,000

Amount Committed: Period: \$ 100,000.00  
Cumulative: \$ 2,000,000.00  
Accrued Expenditures: Period - Projected: \$ 1,500,000.00  
Period - Actual: \$ 79,000.00  
Cumulative: \$ 1,500,000.00  
Period - Next: \$ 200,000.00  
  
Counterpart Contribution: Planned: \$ 300,000  
Actual: \$ 100,000  
LOP Elapsed: 87,000  
of Total Auth. Oblig. 100,000  
of Total Oblig. Exp. 51,000  
of Total Auth. Exp. 53,000

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**PROJECT STATUS REPORT**  
 April 1 - September 30, 1991

**Major Outputs**

II. **PROJECT PURPOSE**  
 (SEE SUMMARY SHEET)

III. **PROJECT DESCRIPTION**

**Ministerio de Salud - Unidad de Planificación Familiar (MOH-FPU)**

This vertical, public sector program, provides family planning training, supervision and supplies to the Ministry of Health's network of health posts and centers. Approximately 80% of all functional centers and posts offer some type of technical assistance for the establishment of IUD services in selected Type A centers, voluntary surgical contraceptive activities in the national hospitals.

The philosophy of the Ministry of Health for provision of family planning services is based upon reducing reproductive risks, and as such, the activities of the FPU have been well received over the past four years under the democratically elected government. Currently the Unit is providing over 52,000 couple-year-protection (CYP's) per semester and trained 9,111 persons, including a Community Health Congress.

IV. **PROJECT STATUS**

A. **Planned EOPS**

(SEE SUMMARY REPORT)

Progress to Date

	Planned				Accomplished		
	LOP	Period	Cum.	Best Period	Period	Cum.	% of LOP
1. Contraceptive distribution to health centers and posts (in 000's)							
Orals (cycles)	950	100	950	100	116.9	1,746.7	183%
Condoms	800	200	1,200	200	111.6	1,572.4	197%
Conceptrol (tablets)	785	60	720	60	86.4	1,327.2	169%
Copper "T" (IUD)	4	1	3	1	2.7	19.4	485%
VSC's	.664	.166	.664	.166	2.2	9.1	1,370%
CYP (includes VSC)	255	18	72	18	49.4	222.3	87%
2. Training of MOH personnel in family planning (No. of courses)							
a. Courses for physicians (includes VSC)	8	3	18	3	0	145	181%
b. Courses for nurses	4	4	41	4	26	111	2,625%
c. Course for social workers	3	1	7	1	0	10	333%
d. Course for Rural Health Technicians	3	1	21	1	10	45	1,500%
e. Course for Auxiliary Nurses	52	5	92	5	2	77	148%
f. Course for Area Statisticians	1	0	1	0	0	3	300%
g. Course for Midwives and volunteer promoters	0	0	4	0	218	485	NA
3. 11 Health Areas transferred from APROFAM to the MOH	11	0	11	0	0	11	NA
4. Training (Persons)	M	F	M	F	M	F	M
Long-term	NA	NA	NA	NA	0	0	0
Short-term	NA	NA	NA	NA	0	0	0

\*No LOP status specified in grant agreement, new activity.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

1. BACKGROUND DATA  
(SEE SUMMARY SHEET)

FINANCIAL DATA  
COMPONENT: GUATEMALAN FAMILY LIFE EDUCATION ASSOCIATION (FLEA) (FLEA 0288.30)

Amount Authorized:	DA/ESF Grant: original \$1,175,000		
Amount Obligated:	DA/ESF Grant: original \$1,175,000		

Date	Amount Authorized Grant	Date	Amount Obligated Grant
12/20/85	\$ 861,000	12/20/85	861,000
09/29/86	100,000	09/29/86	100,000
07/29/88	688,000	07/29/88	688,000
09/19/88	1,526,200	09/19/88	496,000
		04/25/89	581,000
		06/28/89	33,900
		01/23/90	415,300

Amount Committed:	Period:	\$ 1,175,000
	Cumulative:	\$ 2,000,000.00
Accrued Expenditures:	Period - Projected:	\$ 1,175,000.00
	Period - Actual:	\$ 1,175,000.00
	Cumulative:	\$ 2,175,000.00
	Period - Next:	\$ 1,175,000.00

Counterpart Contribution:	Planned:	\$ 0
	Actual:	\$ 10,000

✓ LOP Elapsed:	861,000
✓ of Total Auth. Oblig.	100,000
✓ of Total Oblig. Exp.	688,000
✓ of Total Auth. Exp.	688,000

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**PROJECT STATUS REPORT**  
**April 1 - September 30, 1991**

**B. Major Outputs**

**II. PROJECT PURPOSE**  
 (SEE SUMMARY SHEET)

**III. PROJECT DESCRIPTION**

**Asociacion Guatemalteca de Educacion Sexual (AGES)**

AGES has been providing family life education to Guatemalan parents, young adults and teachers for over 10 years. The AID grant has supported an expansion of the association from one urban-based center in Guatemala City to include five additional centers in departmental cities and a rural education program in Mayan languages. The AID grant also supports a rural female education program that is operating in fifteen communities. AGES has carried out operations research in rural communities to design Spanish/Mayan language family life education materials including birth spacing. The educational activities of AGES complement the other implementing agencies in this project by providing objective family planning/maternal health education and referral services.

**IV. PROJECT STATUS**

**A. Planned EOPS**

**Progress to Date**

1. (SAME AS SUMMARY SHEET)

	Planned				Completed		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. New Sites	6	--	6	--		6	100%
2. No. of Current Clients served*	289	71.5	427.9	38	99.8	631.8	219%
3. No. Trainer/Supervisors Trained	180	22	202	14	44	226	126%
4. No of District Supervisors Trained	195	17	195	16	27	207	106%
5. Scholarship Recipients (all female)	1,935	0	2,628	0	0	2,470	128%
6. Training (Persons)							
Long-term	M	F	M	F	M	F	M
Short-term	NA	NA	NA	NA	NA	NA	NA

\* in thousands (000)

6201c

75

**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

A      B X C     

ATTACHMENT C

**I. BACKGROUND DATA**

**Project Title:** Rural Water Project  
**Project Number:** 520-0336  
**Date of Authorization:** original 03/27/85 amendment 07/26/89  
**Date of Obligation:** original 03/27/85 amendment 03/21/90  
**PACD:** original 06/30/88 amended to 12/31/91  
**Implementing Agencies:** CARE  
**Major Contractors:** UNEPAR (Unidad Ejecutora del Programa de Acueductos Rurales from MOH)  
**AID Project Managers:** Alfredo Saarata, Lynn Gorton  
**Status of CPs/Covenants:** All met

**FINANCIAL DATA**

<b>Amount Authorized:</b>	DA Grant: original	\$ 1,000,000	amended to \$1,500,000
<b>Amount Obligated:</b>	DA Grant: original	\$ 1,000,000	amended to \$1,500,000
<b>Amount Committed:</b>	Period:	\$ 0	
	Cumulative:	\$ 1,500,000	
<b>Accrued Expenditures:</b>	Period - Projected:	\$ 10,000	
	Period - Actual:	\$ 150,000	
	Cumulative:	\$ 1,470,000	
	Period - Next	\$ 1,019	
<b>Counterpart Contribution:</b>	Planned:	\$ 1,500,000	
	Actual	\$ 1,140,000	
	% of LOP Elapsed:	90%	
	% of Total Auth. Oblig.	100%	
	% of Total Oblig. Exp.	98%	
	% of Total Auth. Exp.	98%	

**Date of Last Evaluation:** June, 1991      **Next Evaluation:**  
**Date of Last Audit:**                      **Next Audit:**

**II. PROJECT PURPOSE**

Provide support for the construction and use of potable water and sanitary systems for rural inhabitants.

**III. PROJECT DESCRIPTION**

Under the \$1.5 Million project, CARE will improve the health status of approximately 29,000 rural Guatemalans by constructing latrines, gravity flow water systems, and by implementing a health education program and creating legally authorized committees to collect fees, administer and operate tariff funds for 57 communities.

**IV. PROJECT STATUS**

**A. Planned EOPS**

1. 57 communities served by water systems.
2. 57 Community Water Committees collecting fees and overseeing system maintenance and operations.

**Progress to Date**

56 completed and 3 under construction  
  
56

**B. Major Outputs**

	Planned				Completed		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Communities served	57	2	57	0	7	56	98%
2. Latrines installed (000)	5.3	0.42	5.3	0.42	0.70	5.7	107%
3. Beneficiaries (000)	29.0	1.9	27.4	1.0	4.5	30.8	106%
4. Training (Persons) (Health Educators)	M E	M E	M E	M E	M E	M E	M E
Long-term	0 0	0 0	0 0	0 0	0 0	0 0	0 0
Short-term	0 0	0 0	0 0	0 0	0 1	2 7	- -
5. Training (Persons) (Housewives)	M E	M E	M E	M E	M E	M E	M E
Short-term	0 0	0 0	0 0	0 0	742	3042	-
(Volunteers) Short-term	0 0	0 0	0 0	0 0	0	24	-
(Maintenance) Short-term	0 0	0 0	0 0	0 0	0	16	-

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

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C. Other Accomplishments and Overall Status

The activities of this project continue on schedule, and the project targets will be exceeded in the number of communities and persons served. Three communities are pending minor construction.

The coordination of community organization, construction and health education activities has continued to improve and among other benefits has solved the difficulty of latrine acceptance. The evaluation reported satisfactory use and maintenance of latrines due to the adoption of a health education component.

The health education activity continued with the full number of educators working in the communities in the direct education of housewives. Also, community volunteers were trained in order to strengthen their knowledge of the importance of potable water and latrines in combatting disease.

The training of the maintenance and operation committees from the communities continued with a three day training program for committee members.

An evaluation, with emphasis on health education, was completed in June 1991. The methodology adopted by CARE of using paid extensionists to train community volunteers has reached the target population and exceeded original goals in number of homes reached, percentage of women implementing health practices, the use of latrines, and the participation of women in the water committees.

The lessons learned from this project and the information from the evaluation were of importance in the design of the new project that will continue health education in some of the communities of this project, besides working in new ones.

D. Problems and Delays

The project was able to include additional communities with the available funding. Some shortage of government funding to UNEPAR produced a slower pace in construction than originally planned in the remaining communities. CARE has tried to compensate for this but there is some doubt as to whether or not all the communities presently under construction will be completed before the end-of-year leave of UNEPAR personnel.

E. Major Activities or Corrective Actions During the Next Six Months

1. Complete all the water supply systems and latrines under construction.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

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ATT: 10/1/91

**I. BACKGROUND DATA**

Project Title: Immunization and ORT Services for Child Survival  
 Project Number: 520-0339  
 Date of Authorization: original 08/27/85 last amendment 08/28/87  
 Date of Obligation: original 08/27/85 last amendment 08/31/87  
 PACD: original 08/31/88 amended to 06/30/93  
 Implementing Agencies: Ministry of Health(Directorate Gen.of Health Services)  
 Major Contractors: HC: EDuarte, MSH (John Holley)  
 AID Project Managers: Lynn Gorton/Jorge Chang  
 Status of CPs/Covenants: completed  
 Date of Last Evaluation: 08/00/89 Next Evaluation: 00/00/00  
 Date of Last Audit: 05/20/91 Ongoing Audit: 08/30/91

**FINANCIAL DATA**

Amount Authorized: DA/ESF Grant: original \$ 1,100,000,000 sub total \$ 16,418,000  
 Amount Obligated: DA/ESF Grant: original \$ 1,100,000,000 sub total \$ 16,418,000  
 Amount Committed: Period: \$ 3,500,000.00  
 Cumulative: \$ 10,200,000.00  
 Accrued Expenditures: Period - Projected: \$ 247,000.00  
 Period - Actual: \$ 10,200,000.00  
 Cumulative: Period - Next \$ 1,772,000.00  
 Counterpart Contribution: Planned: \$ 8,418,000  
 Actual: \$ 6,681,000  
 % LOP Elapsed: 71.00  
 % of Total Auth. Oblig.: 6.20  
 % of Total Oblig. Exp.: 100.00  
 % of Total Auth. Exp.: 62.19

**II. PROJECT PURPOSE**

Support and strengthen the Ministry of Health's capacity to deliver child survival services. Increase up to 70% immunization coverage against the six immunopreventable diseases and increase up to 70% ORS use coverage for children under 5 years of age. In addition, apply tetanus toxoid vaccination to 60% of pregnant women.

**III. PROJECT DESCRIPTION**

The goal of this Project is to reduce morbidity and mortality throughout Guatemala caused by the common early childhood diseases preventable by immunization and due to or related to diarrheal diseases. Reinforce the management capacity of the Ministry of Health and establish a Health Information System.

**IV. PROJECT STATUS**

**A. Planned EOPS**

- Increase to 70 percent the immunisation coverage of 8,356,637 children under 5 years of age. (86-06/30/93)
- Increase to 60 percent the vaccination protection of 1,427,226 pregnant women with neonatal tetanus (86-06/30/93)
- Increase to 60% the oral rehydration therapy coverage of 8,030,156 children under 5 years of age. (87-06/30/93)

**Progress to Date**

The cumulative coverage decreased from 66% as of Dec. 1990 to 51% as of June, 1991. Coverage during the first 6 months of 1991 is about 20%  
 Tetanus toxoid cumulative coverage as of Dec. '90 for pregnant women decreased from 23% in Dec. '91 to 12% as of June, 1991.  
 19% of children with diarrheal diseases were treated with ORS as of June, 1991. (6 months).

**B. Major Outputs**

	Planned				Actual		% of LOP	
	LOP	Period	Cum.	Next Period	Period	Cum.		
1. Training Course	1.0	0	1.0	0	0	1.0	100%	
2. Supervision	0.02	0	0.01	0	0	0.01	48%	
3. Imm. Coverage children under 5 (6,562,033)	6.5	0.60	5.9	0.60	0.4	4.3	66%	
4. Imm.coverage (TT) pregnant women (1,250,712)	1.0	0.05	0.94	0.06	0.1	0.23	23%	
5. Children treated with ORS under 5 years (3,759,000)	3.7	0.3	3.2	0.5	0.10	1.4	38%	
6. Radio production	0.17	0.17	0.06	0.06	0	0.13	76%	
7. TV production	2.9	1.3	0.42	0.42	0.07	1.1	39%	
5. Training (Persons)	M	F	M	F	M	F	M	F
Long-term	4	1	0	0	4	1	0	0
Short-term	12	13	0	0	12	13	0	0

\* Millions (000,000)

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

1. Technical proposal for establishment of local production of ORS packets was submitted to USAID/Guatemala by Program for Appropriate Technology in Health (PATH) in September, 1991 for review.
2. An RFP to contract a firm for the management of project funds and to provide T.A. to MOH to strengthen administrative capacity was issued.
3. 1,000,000 ORS packets were delivered to the MOH to support efforts to combat the cholera outbreak. ORS packets have been distributed throughout the country with emphasis in high risk areas (Southwestern coast).
4. Price Waterhouse was contracted to perform an audit and review of the seven last pending vouchers submitted by the MOH to USAID/Guatemala.
5. Coordination with other donors (UNICEF, PAHO) to develop the Immunisation Action Plan for 1992 took place.
6. A major end-use study of project goods was undertaken and a report was submitted to the Mission as well as to the Minister of Health.

D. Problems and Delays

1. As expected, a cholera outbreak occurred on July 24, 1991. As of Sept. 21, 1991, 240 cases were laboratory confirmed, 500 suspected cases and 5 deaths for the entire country were also reported.
2. Reorganization in the MOH, specifically changes of both Viceministers, required work meetings to update information concerning Project 520-0339.
3. Suspension of project activities, especially in the immunization program has contributed to a 15% decrease in immunization coverage.

E. Major Activities or Corrective Actions During the Next Six Months

1. Coordinate with the MOH and Social Security Institute, NGO's, PVO's and the private sector, ORS packet distribution and monitoring of use at local levels.
2. Review the proposals and contract the firm by January, 1992 to manage project grant funds and to provide technical assistance to the MOH to improve administrative and financial management capacity.
3. Provide technical assistance to health areas in order to prepare implementation plans for 1992. These plans will be very useful to the contractor in terms of project implementation at local levels.
4. Continue to support the MOH by participating in the Interagency Committee Against Cholera.
5. Initiate Lapromed remodeling.
6. Follow-up financial review of the last 7 vouchers.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A \_ B X C \_

ATTACHMENT C

I. BACKGROUND DATA

Project Title: Highland Water and Sanitation  
Project Number: 526-0399  
Date of Authorisation: original 08/25/91 amendment  
Date of Obligation: original 08/27/91 amendment 09/27/91  
PACD: original 12/31/96 amended to  
Implementing Agencies: Division de Saneamiento del Medio of the MOH  
Major Contractors:  
AID Project Managers: Alfredo Sarrata, Lynn Gorton  
Status of CPs/Covenants: All pending

Date of Last Evaluation: Next Evaluation: June 1993  
Date of Last Audit: Next Audit: December 1992

FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 9,500,000  
Amount Obligated: DA Grant: original \$ 3,915,000 accounted to \$4,558,506  
Amount Committed: Period: \$ 261,000  
Accrued Expenditures: Cumulative: \$ 261,000  
Period - Projected: \$ 0  
Period - Actual: \$ 0  
Cumulative: \$ 0  
Period - Next: \$ 261,000  
Counterpart Contribution: Planned: \$ 9,794,262  
Actual: \$ 0  
% LOP Elapsed: 2%  
% of Total Auth. Oblig. 48%  
% of Total Oblig. Exp. 0%  
% of Total Auth. Exp. 0%

II. PROJECT PURPOSE

Decrease the incidence of diarrheal diseases in the target communities, particularly among children under 5 years of age, by about 40% through the provision of potable water systems and latrines, supported by a health education program.

III. PROJECT DESCRIPTION

The project will be implemented in approximately 300 rural villages, with populations of approximately 200 to 1200 inhabitants, in the six Western Highland Departments of Solola, Totonicapan, Quiche, Quetzaltenango, Huehuetenango, and San Marcos, benefitting a population of 145,000. The communities will contribute with labor and some materials, participate in health education activities and will be responsible for the maintenance and operation of the system as well as for partial cost recovery of the initial investment.

IV. PROJECT STATUS

A. Planned EOPS

Progress to Date

1. 200 water systems and 24,000 latrines built
2. 200 MOH personnel trained in health education techniques
3. 600 health volunteers from the participating communities, at least a third of them women, trained to provide health education to their communities

B. Major Outputs

	Planned		Next		Accomplished		% of LOP		
	LOP	Period	Cum.	Period	Period	Cum.			
1. Communities served	300	0	0	0	0	0	0%		
2. Latrines installed (000)	24.0	0	0	0	0	0	0%		
3. Beneficiaries (000)	145.0	0	0	0	0	0	0%		
4. Training(10xPersons) (Health Educators)	M	E	M	E	M	E	M	E	
Short-term	10	10	0	0	0	0	0	0	0%
(Volunteers) Short-term	40	20	0	0	0	0	0	0	0%
(Maintenance) Short-term	100	0	0	0	0	0	0	0	0%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

I. BACKGROUND DATA

Project Title: Rural Water and Health Project  
 Project Number: 520-0408  
 Date of Authorization: original 09/20/91  
 Date of Obligation: original 09/26/91  
 PACD: original 06/30/93  
 Implementing Agencies: CARE  
 Major Contractors: UNEPAR (Unidad Ejecutora del Programa de Acueductos Rurales of the MOH)  
 AID Project Managers: Alfredo Ssarata and Lynn Gorton  
 Status of CPs/Covenants: All met

Date of Last Evaluation: Next Evaluation: 6/92  
 Date of Last Audit: Next Audit: 6/92

FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 1,000,000  
 Amount Obligated: DA Grant: original \$ 1,000,000  
 Amount Committed: Period: \$ 0  
 Cumulative: \$ 0  
 Accrued Expenditures: Period - Projected: \$ 0  
 Period - Actual: \$ 0  
 Cumulative: \$ 0  
 Period - Next: \$ 60,000  
 Counterpart Contribution: Planned: \$ 401,147  
 Actual: \$ 0  
 % LOP Elapsed: 0.00  
 % of Total Auth. Oblig. 60.00  
 % of Total Oblig. Exp. 0.00  
 % of Total Auth. Exp. 0.00

II. PROJECT PURPOSE

Twenty-two rural communities in the Western region of Guatemala, with a combined population of 11,000 people will properly and independently utilize and maintain potable water systems, latrines and a health promotion program which positively impacts on their health status.

III. PROJECT DESCRIPTION

Under the \$0.9 Million project that includes \$0.5 Million AID Grant, CARE will improve the health status in 22 communities as related to diarrheal diseases. To accomplish this improvement, health education activities will be undertaken in 12 communities with water systems which were built under the previous project 520-0336 and in 10 communities where water systems and latrines will be built under this project. Intensive community participation in all activities of construction, education, maintenance and operation will be undertaken.

B. Major Outputs

	Planned			Next Period	Accomplished		
	LOP	Period	Cum.		Period	Cum.	% of LOP
1. Communities served with water supply	10	0	0	0	0	0	0%
2. Latrines installed (00)	8.0	0	0	0.6	0	0	0%
3. Communities with health education activities.	22	0	0	12	0	0	0%
4. Training (Persons) (Volunteers)	M E	M E	M E	M E	M E	M E	M E
Short-term	22	44	0 0	0 0	0 0	0 0	0 0
(Maintenance) Short-term	44	0	0 0	0 0	0 0	0 0	0 0

IV. PROJECT STATUS

A. Planned EOPS

Progress to Date

- 10 communities served by water systems and latrines
- 22 communities properly and independently utilize and maintain water systems and latrines and a health promotion program.

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C. Other Accomplishments and Overall Status

The activities of this project will begin following the completion of the presently on-going 520-336, Rural Water Project. 12 communities in which latrines and water systems were built under the previous project will receive health education in support of the use of the water systems and latrines to impact on their health status.

Construction activities will begin in January 1992 after completion of the selection of communities and design activities in 5 new communities.

1305a

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Six Months

1. Complete the selection of 5 communities and the design of their water systems.
2. Establish the education process in 12 communities with existing water systems and latrines.
3. Organize the training of the Maintenance and Operation committees for the 12 existing systems and for the 5 new ones.
4. Begin construction of five water systems.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

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ATTACHMENT C

**I. BACKGROUND DATA**

**Project Title:** Rural Primary Education Improvement  
**Project Number:** 520-0282  
**Date of Authorization:** original 10/17/84 amendment 09/22/86  
**Date of Obligation:** original 02/27/85 amendment 09/27/91  
**FACD:** original 11/15/90 amended (L) 09/30/92 (G) 11/17/91  
**Implementing Agencies:** Ministry of Education (PRONEBI)  
**Major Contractors:** Host Country: 3 Guatemalan consultants; AID/G Direct UNM (Terminated 03/31/88)  
**AID Project Managers:** Susan Clay, Julio Diaz  
**Status of CPs/Covenants:** CPs: All met  
 Covenants: Pending remaining bilingual teacher appointments  
**Date of Last Evaluation:** 03/01/87 **Next Evaluation:** 05/15/92  
**Date of Last Audit:** 12/08/89 **Next Audit:** 06/92

**FINANCIAL DATA**

**Amount Authorized:** DA Loan: original \$8,700,000 amended to \$10,204,000  
 DA Grant: original \$3,300,000  
**Amount Obligated:** \$3,300,000 (G) \$10,204,000 (L)  
**Amount Committed:** \$ -110,100 (G) \$ -541,614 (L)  
**Accrued Expenditures:** Period: \$2,942,700 (G) \$ 6,092,356 (L)  
 Cumulative: Period - Projected: \$ 149,000 (G) \$ 1,081,000 (L)  
 Period - Actual: \$ 148,500 (G) \$ 202,872 (L)  
 Cumulative: Period - Next: \$2,659,624 (G) \$ 5,411,371 (L)  
 \$ 270,620 (G) \$ 1,474,000 (L)  
**Counterpart Contribution:** Planned: \$24,963,000  
 Actual: \$  
 % LOP Elapsed: 99% (G) 98% (L)  
 % of Total Auth. Oblig. 100% (G) 100% (L)  
 % of Total Oblig. Exp. 80% (G) 51% (L)  
 % of Total Auth. Exp. 80% (G) 51% (L)

**II. PROJECT PURPOSE**

To strengthen and expand the Guatemalan bilingual education program to improve the relevance and efficiency of rural primary education services for indigenous children.

**III. PROJECT DESCRIPTION**

Under the project, the MOE (PRONEBI) has developed new bilingual textbooks; trained bilingual teachers, bilingual promoters, and technicians; and provided school furniture to bilingual schools. The project is designed to reduce repetition and drop-out rates and to increase completion and promotion rates among indigenous school children.

**IV. PROJECT STATUS**

**A. Planned EOPS**

1. Improve promotion rate by 15%; decrease drop-out rate by 15%
2. A rural bilingual program functioning in 400 schools through 4th grade and in 400 schools in pre-primary
3. Bilingual materials developed through 4th grade
4. 2,400 MOE personnel trained
5. Local university BA programs in operation

**Progress to Date**

1. 8% improvement in promotion (estimated). 8% decrease in drop-out (estimated).
2. 400 schools functioning up to third grade and 773 schools in pre-primary only.
3. Bilingual materials developed through 4th grade and printed up to 3rd grade. Second printing in process.
4. 5,371 MOE personnel trained.
5. URL and Mariano Galvez now offering BA programs in fields of interest.

**B. Major Outputs**

	Planned			Next Period	Accomplished					
	LOP	Period	Cum.		Period	Cum.	% of LOP			
1. In-service training of bilingual teachers	1600	-	6233	1600	718	5371	335%			
Bilingual promoters	500	-	808	-	-	808	162%			
Academic degree technicians	100	-	97	-	-	97	97%			
School directors	400	-	1600	400	-	800	200%			
2. A new curriculum established and bilingual materials produced/distributed (textbooks)	1.3***	-	1133**	150**	150	1058	81%			
3. Participant Trg.	M	F	M	F	M	F	M	F		
Long-term	2	1	2	1	2	1	2	1	100%	
Short-term	52	8	0	0	52	8	0	0	52.8	100%
4. Women Beneficiary (In-service Training)	M	F	M	F	M	F	M	F		
	1600	-	-	-	-	-	672	198	42-12%	

\*\* Thousands  
 \*\*\* Millions

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

1. Seminars and workshops have been carried out in two regions to evaluate and refine the PRONEBI bilingual education model. Monolingual Spanish as well as bilingual personnel are participating in the revision process.
2. Despite the GOG's financial crisis, the MOE's Office of Personnel appointed 51 new bilingual teachers in Huehuetenango and Alta Verapaz. A nation-wide recruitment effort to select the remaining 236 bilingual teachers will take place in Alta Verapaz in October 1991, and the first quarter for 1992.
3. Organizational and financial accounting systems for PRONEBI were successfully installed by a local audit company, allowing integration into the BEST Project systems.
4. The new Minister and Vice Ministers have demonstrated strong support for the bilingual education program and bilingual education has been declared a priority within the educational policy of the MOE. It is the MOE's intention to institutionalize bilingual education into the national education system.
5. The bilingual program is being integrated gradually into the BEST Project and will soon be decentralized as agreed in the BEST project Grant Agreement.
6. A request from the Ministry of Education to extend the Project PACD was submitted to the Mission to permit procurement of school desks and to allow for the printing of textbooks for an estimated total of \$1.3 million.
7. Three million quetzales, eliminated by the MINFIN from the loan budget, were recuperated and integrated into the 1991 budget to carry out all the planned PRONEBI activities.

D. Problems and Delays

1. The contract to construct desks for the bilingual schools was terminated due to default. This, along with the lengthy procedures required to initiate a new Mission bidding process, has caused delays in the Project.
2. 236 bilingual teacher appointments are still pending. Efforts are being made by the MOE Personnel director to conduct in recruitment and selection sessions in October of this year and the first quarter of 1992 to fill these positions.
3. The implementing unit has been unable to obtain advances from the Global Rotating Fund within the Ministry of Finance. The Mission has been providing advances to avoid delays in project activities until the fund become available. Only 700 participants were trained during the reporting period due to lack of funds from the MINFIN.
4. The Ministry of Education has not complied with the Project covenant stating that the salaries of Project funded personnel will be transferred to the Ministry and assumed as part of their counterpart obligation. The fulfillment of this covenant is stated as a stipulation for the extension of the Project PACD.
5. The new Mayan alphabet used in the textbooks for the Mam area is being rejected by two communities. Books in the Mam language will be distributed in all but these two communities.
6. Due to the current GOG financial crisis, the MOE is behind in repairing the four vehicles that were assigned to PRONEBI and used by officials of the previous administration.

E. Major Activities or Corrective Actions During the Next Six Months

1. The contract for procurement of desks is expected to be signed by November 1991.
2. The contract to print second, third, and fourth grade textbooks will be signed withing the next reporting period.
3. 1,200 promoters, 51 new bilingual teachers, and 400 in service teachers will be trained within the next reporting period.
4. Project funded personnel salaries will be transferred to counterpart funds.
5. PRONEBI personnel will continue to be integrated into the BEST Project.
6. The PRONEBI impact evaluation will be conducted in May 1992.
7. The PIL approving the extension of the PACD will be signed by October 1991.
8. Remaining project funds will be deobligated/reobligated.

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**PROJECT STATUS REPORT**  
 April 1 - September 30, 1991

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ATTACHMENT C

**I. BACKGROUND DATA**

Project Title: Primary Education Management Improvement  
 Project Number: 520-0320  
 Date of Authorization: original 08/28/87  
 Date of Obligation: original 08/31/87  
 PACD: original 08/31/91 amended to 12/31/91  
 Implementing Agencies: Ministry of Education, General Directorate of Education  
 Major Contractors: National Public Administration Institute  
 AID Project Managers: Miriam Castaneda  
 Status of CPs/Covenants: All met

Date of Last Evaluation: None                      Next Evaluation: 12/92  
 Date of voucher review: 08/89                      Next Audit: 12/92

**FINANCIAL DATA**

Amount Authorized:	DA Grant: original	\$500,000
Amount Obligated:	DA Grant: original	\$500,000
Amount Committed:	Period:	\$ 37,500
	Cumulative:	\$442,500
Accrued Expenditures:	Period - Projected:	\$ 27,100
	Period - Actual:	\$ 28,177
	Cumulative:	\$185,200
	Period - Next	90,100
Counterpart Contribution:	Planned:	\$440,000
	Actual	\$450,000
% LOP Elapsed:		94%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		77%
% of Total Auth. Exp.		77%

**II. PROJECT PURPOSE**

To strengthen the program management capacity of regional administrative offices of education and improve in-service training and school supervision, particularly for rural primary schools.

**III. PROJECT DESCRIPTION**

The project has two principal activities: (1) a staff training program that will make it possible for each regional office to carry out its educational leadership, administrative, and supervisory functions; and (2) a program to equip each office to effectively administer and supervise the schools in its region and to serve as an educational and training center. (Gradually the offices will assume responsibility for program development including supervision, staff training, evaluation, etc.)

**IV. PROJECT STATUS****A. Planned EOPS**

- Eight regional administration, supervision, and program support offices functioning.
- School-community councils functioning at all levels from the municipal district to the region.
- Curriculum adaptation and program efficiency improvement needs and options identified in eight regions and corresponding regional action plans developed.

**Progress to Date**

- Eight centers functioning.  
 30 councils functioning.  
 Three hundred curriculum adaptation teams in operation throughout eight regions; four regional plans developed; regional staff trained in diagnosis methodology.

**B. Major Outputs**

	Planned			Next Period	Accomplished		
	LOP	Period	Cum.		Period	Cum.	% of LOP
1. In-service training of Regional staff	120	16	150	-	60	194	162%
2. In-service training of Departmental staff	44	22	88	-	44	114	237%
3. In-service training of district staff	236	100	286	-	300	486	206%
4. In-service training of school principals	892	300	689	-	500	859	100%
5. Regional offices with audiovisual and other educational equipment and supplies needed to provide support for various in-service training and community relations activities	8	-	8	-	-	8	100%
6. Regional offices fully equipped to carry out essential administrative and supervisory functions	8	-	8	-	-	8	100%

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IV. PROJECT STATUS (continuation)

Planned EQE:

- 4. School calendars and schedules adapted to regional and district production cycles and migration patterns.
- 5. Under-utilized school buildings and personnel identified and appropriate utilization measures adopted on a regional basis.

Progress to Date

Adaptation of school calendars and schedules to be undertaken with World Bank loan funding beginning in 1992; studies are in process in all regions.

Strategies and procedures for appropriate utilization of buildings and personnel will be developed. MOE and World Bank loan agreement signed. Funds to carry on pertinent studies will be disbursed when GOG complies with World Bank payments.

B. Major Outputs (continuation)

7. Training (Persons)    M   E   M   E   M   E   M   E   M   E   M   E  
 Long-term  
 Short-term

There is no participant training in this project

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**PROJECT STATUS REPORT**  
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**C. Other Accomplishments and Overall Status**

1. The appointment of the new General Director of Education has improved considerably the Project participants' ability to achieve the Project objectives.
2. All of the furniture and equipment have been allocated to the eight regional directorates which are now fully operational.
3. A four-month PACD extension was necessary in order to allow the new Regional Directors appointed under the new administration to complete the planned training program.
4. The GOG audit was carried out by the Contraloría de Cuentas. No major findings or problems were identified.

6205c

**D. Problems and Delays**

1. Under the new Administration, the Minister of Education appointed eight new Regional Directors. This caused delay in the Project due to the fact that seven of the eight new directors lacked adequate academic preparation and needed training.
2. The five month delay in the appointment of the General Director of Education postponed implementation of the training activities.

**E. Major Activities or Corrective Actions During the Next Six Months**

1. 16 regional office staff will participate in a seminar providing training in programming, implementation, and financial evaluation.
2. A seminar will be conducted for departmental office staff to provide training in administrative leadership and financial implementation.
3. The eight Regional Directors will receive training in planning, implementation, and educational evaluation in regionalized systems.
4. At the regional level, a controlled inventory will be taken of furniture, equipment, and vehicles purchased with Project funds.
5. The Scope of Work for the audit will be circulated within the Mission and sent to RIG/A/T for final approval.
6. The final evaluation will be carried out by a local firm and necessary documentation will be prepared.

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**PROJECT STATUS REPORT**  
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A \_ B \_ C X

**I. BACKGROUND DATA**

Project Title: Private Sector Education Initiative  
 Project Number: 520-0364  
 Date of Authorization: original 07/31/87  
 Date of Obligation: original 07/31/87  
 PACD: original 07/31/91  
 Implementing Agencies: Asociacion de Amigos del Pais  
 Major Contractors: None  
 AID Project Managers: Miriam Castaneda  
 Status of CPs/Coverants:

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**FINANCIAL DATA**

Amount Authorized: DA/ESF Grant: original \$1,500,000  
 Amount Obligated: DA/ESF Grant: original \$1,500,000  
 Amount Committed: Period: \$ -323,453  
 Cumulative: \$1,176,547  
 Accrued Expenditures: Period - Projected: \$ 345,697  
 Period - Actual: \$ 111,048  
 Cumulative: \$ 975,556  
 Period - Next: \$ 779,500

Counterpart Contribution: Planned: \$1,736,210  
 Actual: \$1,701,028

% LOP Elapsed: 100%  
 % of Total Auth. Oblig.: 100%  
 % of Total Oblig. Exp.: 65%  
 % of Total Auth. Exp.: 65%

\* October-December

Date of Last Evaluation: March 91 Next Evaluation: N/A  
 Date of Last Audit: Voucher Review: January 1990

**II. SUMMARY PROJECT PURPOSE**

To complement the National Literacy Program (CONALFA) of the Ministry of Education by providing reading materials to adult readers and by assisting the "Asociacion de Amigos del Pais" (AAP) to implement a non-formal education program in the private sector.

**III. PROJECT DESCRIPTION**

The purpose of this project is to support the "Asociacion de Amigos del Pais" with its adult education program in the private sector which consists of the following elements: (1) a weekly newspaper with a circulation sufficient to reach 90,000 people per year; (2) training courses for rural teachers; (3) a collection of simple readers; and (4) educational campaigns to promote the activities of the project and to motivate adults to take action to improve their living conditions.

**IV. PROJECT STATUS**

**A. Planned EOPS**

1. Program capacity to serve 90,000 families per year with reading material established.
2. Program capacity to annually support 5,000 CONALFA students with post-literacy services
3. Implementing institution (AAP) functioning as service agency for PVOs working in non-formal/adult education programs.

**Progress to Date**

At present 100,000 families are served with newspapers and pamphlets.  
 7,000 students in five different regions have received post-literacy study opportunities.  
 Seven PVOs are implementing AAP-supplied literacy programs in their own projects.

**B. Major Outputs**

	Planned				Accomplished						
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP				
1. Rural newspapers (editions)	70	5	41	5	8	42	60%				
2. Total newspapers distributed (000)	2765	90	568	150	129	607	22%				
3. Pamphlets produced*	30	0	31	5	16	47	157%				
4. Books produced	1	0	6	0	1	7	700%				
5. Rural teachers trained**	1000	100	1014	250	487	1401	141%				
6. Training (Persons)	M	F	M	F	M	F	M	F	M	F	
Long-term	0	0	0	0	0	0	0	0	0	0%	0%
Short-term	1	2	0	2	1	2	1	2	100	100	

\* The project has also produced a total of 29 pamphlets as a buy-in to RTAC II and has distributed 50,000 copies of the pamphlets.

\*\* Of all the teachers trained during this period, 60% are women.

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C. Other Accomplishments and Overall Status

1. The evaluation served as the basis for reprogramming funds and for extending the PACD according to the conditions proposed by OH&E. The conditions include: (1) improving teacher training, (2) creating texts to evaluate the impact and learning of educational materials, (3) decreasing the percentage of A.I.D. funds used to print the Raices newspaper, and (4) using technical assistance to improve the overall project.
2. The percentage of newspapers (Raices) distributed increased 70% in comparison with the previous semester.
3. The monthly publication of newspapers (Raices) has increased due to new sponsors and advertisers.
4. Interinstitutional management continues to be strengthened through training seminars for educators from the following NGOs: Movimiento de Reconstrucción Rural, UNICEF, CONALFA, Plan Internacional, Vision Mundial, EDUCASISITA, CIF, FAFIDES, CARE, Parroquia Mixco, and Club Rotario de la Ciudad de Guatemala.
5. The Promotion and Advertising campaigns were strengthened. Full page advertisements, including testimonials by PEBI students, were published in three newspapers with wide circulation.
6. Two professional AAP technicians were sent to Massachusetts to participate in a highly technical training course for adult literacy program managers. The course covered the preparation of materials, learning methodologies, and qualitative evaluation techniques.
7. A new training system is being designed as a permanent part of the PEBI structure.
8. As a result of the computer training attended by the AAP accountant, a Computer Plan was developed to organize information produced by the PEBI program and to disseminate it to NGOs.
9. To comply with the conditions stipulated by A.I.D. for the PACD extension, AAP contracted technical assistance to improve the training component.
10. AAP developed an instructional package directed to populations of illiterate women and newly literate women identified by other NGOs (CARE, FASIDES, MAYA-ITZA).

D. Problems and Delays

1. A deficient fund-raising strategy resulted in a low level of contributions of new funds.
2. The AAP Board of Directors misunderstood the financial information presented in the evaluation report, believing they were entitled to funding over and above that stated in the Project Agreement. The PACD extension was prepared according to the financial data and was sent to AAP for their signature. The AAP held the amendment for a month and a half without providing a response or a signature, during which time AAP pressured the Administrator for additional funding.

E. Major Activities or Corrective Actions During the Next Six Months

1. Assist the target population in seven departments through a new program being implemented jointly with the Rotary Club.
2. Develop an instructional package directed to populations of illiterate women and newly literate women identified by other NGOs (CARE, FAFIDES, MAYA-ITZA, EDUCASISTA, CIF, UNICEF, and Parroquia de Mixco).
3. Train 50 educators in seven departments.
4. Technical assistance will be used to design a non-formal education training package for Rural Promoters.
5. Implement a promotion and motivation campaign for the different sectors using mass media communications.
6. Amendment No. 6 to extend the PACD through 4/30/92 and to modify the estimated budget will be issued.

**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

A  B  C

**I. BACKGROUND DATA**

**Project Title:** Basic Education Strengthening Project  
**Project Number:** 520-0374  
**Date of Authorization:** original 07/07/89  
**Date of Obligation:** original 07/07/89 amendment 09/26/91  
**PACD:** original 07/01/95  
**Implementing Agencies:** Ministry of Education  
**Major Contractors:** Academy for Educational Development  
**AID Project Managers:** Susan Clay  
**Status of CPs/Covenants:** All general CPs met.  
 CP for the bilingual component is pending  
 Covenants will be met during the LOP

**Date of last Evaluation:** None **Next Evaluation:** May, 1992  
**Date of Last Audit:** None **Next Audit:** November, 1992

**FINANCIAL DATA**

<b>Amount Authorized:</b>	DA Grant: original	\$30,000,000
<b>Amount Obligated:</b>	DA Grant: original	\$ 1,500,000 amended to \$11,256,471
<b>Amount Committed:</b>	Period:	\$ 1,263,122
	Cumulative:	\$ 8,191,556
<b>Accrued Expenditures:</b>	Period - Projected:	\$ 964,000
	Period - Actual:	\$ 117,192
	Cumulative:	\$ 1,481,190
	Period - Next	\$ 900,000
<b>Counterpart Contributions:</b>	Planned LOP:	\$11,916,000
	Actual	\$ 5,201,866
	% LOP Elapsed:	37%
	% of Total Auth. Oblig.	37%
	% of Total Oblig. Exp.	15%
	% of Total Auth. Exp.	4%

**II. PROJECT PURPOSE**

The purpose of the project is to improve the quality, efficiency, coverage, and administration of basic education services in Guatemala.

**III. PROJECT DESCRIPTION**

(1) Improvement and expansion of bilingual education: consolidation of the existing bilingual education program by upgrading instructional materials, improving the methodology, and developing a long-range expansion plan. (2) Support services to classroom teachers: new in-service distance training course for teachers, and training program for school supervisors. (3) Innovative instructional methodologies: pilot interactive radio programs to strengthen Spanish language and mathematics instruction, a pilot project for one-room schools, and a program to improve education of girls. (4) Support for administrative improvement: a computerized management information system for planning and personnel administration.

**IV. PROJECT STATUS**

- A. Planned EOPS**
- 25% improvement in academic achievement.
  - 32% reduction in repetition.
  - 22% increase in 6th grade completion.
  - 20% decrease in years to produce 6th grade graduate.
  - 21% reduced repetition in bilingual schools.
  - 30% increase in promotion for girls in bilingual schools.
  - 17% reduction in national dropout rate.
  - GOG budgetary support of education reaches 3% of GDP.
  - Proportion of GOG budget allocated to primary education increases.
  - Proportion of MOE budget devoted to investment expenditures increases to 10%.

**Progress to Date**  
 Measurement of progress against EOPS 1 through 7 will begin at the end of FY 92. OH&E's plan for incremental increases in EOPS 8, 9, and 10 is under review by the Ministry of Education. The mid-term evaluation of BEST will be conducted in May, 1992, at which time adjustments in planned EOPS will be proposed, based on progress in implementation of activities as well as in extent to which Project assumptions have been met.

**B. Major Outputs\***

	Planned			Actual		%
	LOP	Period	Cum.	Period	Cum.	
1. Mapping Study Conducted	1	0	0	1	0	40 %
2. Textbooks and Guides printed	462,000	0	0	0	0	0
3. Additional PRONEBI schools established	500	0	0	0	0	0
4. Distance Training Courses delivered	18	0	0	0	0	0
5. Magazines produced	15	1	1	1	1	6 %
6. District supervisors retrained	300	0	0	0	0	0
7. Student materials distributed	TBD	0	0	0	0	0
8. National social marketing campaigns conducted	3	0	0	0	0	0
9. Interactive radio math implemented	465**	120	125	50	120	26 %
10. Radio Spanish implemented	550**	0	0	50	0	0
11. One room school program implemented	100***	0	0	0	0	0
12. MIS system installed	1	0	0	0	0	40 %
13. Field studies conducted	13	0	0	0	0	0
14. Achievement Tests produced	8	0	0	0	0	0
15. Personnel Management System installed	1	0	0	0	0	15 %

\* See Section F. Process Indicators, for intermediate steps in achieving Major Outputs  
 \*\* New Guatemalan Radio Broadcast Recordings based on approved expansion  
 \*\*\* Schools

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C. Other Accomplishments and Overall Status

1. A highly successful three-day follow-up project management retreat was held with all technical assistance personnel, Ministry of Education counterparts, and AID education staff.
2. A consulting firm was contracted to assess, design, and implement an accounting system for all Ministry of Education Project implementing units. A scope of work has been developed to design and install a system of continuous audits for all Project implementing units.
3. Coordinators of Formative Evaluation were appointed in each MOE unit and a one-day seminar on formative evaluation theory and practice was conducted for all MOE and TA staff.
4. The socio-linguistic mapping center conducted a one-day seminar for all PRONEBI personnel to present the results of the mapping study. The study served as the basis to create a profile for all the bilingual schools, a first step for bilingual education expansion.
5. A curriculum revision committee was appointed in PRONEBI and initiated activities.
6. The PRONEBI expansion plan was initiated. The plan, a condition precedent of the Project, is 90 percent completed.
7. The pilot issue of an innovative magazine for teachers was produced and distributed to 1750 teachers; 790 teachers were interviewed as part of the evaluation of the first issue.
8. Office furniture and equipment for all school supervisory offices were selected and approved for the supervision activity.
9. Negotiations were completed and a grant was awarded to Fundazucar to distribute basic school materials to first grade students and teachers on the South Coast.
10. Based on the initial success and receptivity from the education community to the broadcasts, a plan was submitted and approved for the expansion of the radio mathematics activity to the entire Metropolitan area.
11. Thirty experimental schools were selected in Alta Verapaz to receive the first Radio Spanish broadcasts in February of 1992. Training for teachers is in progress.
12. The two regions for the One-Room School pilot activity were selected. The technical team for this activity was also selected.

D. Problems and Delays

1. Inability to reach agreement on subcontracting issues with private sector institutions forced the postponement of the Applied Educational Research, Standardized Achievement Tests, and Escuela Nueva activities. Plans for modifications to the implementation strategies for these activities were submitted to the MOE and USAID for discussion.
2. The World Bank's textbook printing and distribution activity (designed to complement BEST project activities) continued to be stalled due to the World Bank's failure to disburse funds, exacerbating an already difficult situation for teachers who are forced to teach without textbooks. Meetings between the World Bank and AID have been initiated ensure complementary efforts.
3. Delays in the creation of the national school supervisory system stalled implementation of the activity to distribute motorcycles, procure furniture, and train supervisors.
4. Politicization within SIMAC and the inability to reach agreement on modifications to the Distance Training Activity prevented initiation of teacher training activities.
5. Replacement of technical assistance personnel due to dissatisfaction with the plan presented for Social Marketing Campaigns created delays in initiating the Social Marketing Activity.

E. Major Activities or Corrective Actions During the Next Six Months

1. Prepare and approve five-year work plans for remaining four Project activities by next reporting period.
2. Present proposal for reprogramming of Project resources to areas of greatest impact: Escuela Nueva (One-Room School), scholarships for girls, and interactive radio mathematics.
3. Approve scope of work and contract for services of external evaluator to conduct BEST Project mid-term evaluation.
4. Complete the needs assessment for the teachers' magazine.
5. Conduct procurement furniture and implement distribution of motorcycles to school supervisors. A plan must be submitted by the MOE, MLT November 30, 1991.
6. Conduct training for school supervisors.
7. Distribute school supplies to first grade students and educational materials to teachers on the South Coast.
8. Conduct first social-marketing campaign under new TA plan.
9. Record new Radio Math lessons that are appropriately adapted for Guatemala.

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- C. Other Accomplishments and Overall Status (Continuation)**
14. The BEST Project was amended to include The Girls and Women in Development (GID) activity. The Global and Annual Plans were presented and approved.
  15. For the first time in Guatemala, a full national census of the teacher personnel system was conducted under the Management Information System Activity.
  16. The MOE Computer Center conducted a national seminar to discuss the information needs assessment at the regional level.
  17. The proposal for the "anuario estadístico" that will contain all the verified data for a three-year period was developed.

- E. Major Activities or Corrective Actions During the Next Six Months (Continuation)**
10. Develop specifications and obtain IRM approval for procurement of Management Information System hardware and software.
  11. Publish the yearly statistical report and the results of the human resources census. Conduct three seminars at the regional level on the collection, use, and application of statistical data.
  12. Implement applied educational research and one-room school activities under new implementation mechanisms.

	<u>Planned</u>		<u>Actual</u>		<u>Comments</u>
	<u>Start</u>	<u>Completion</u>	<u>Start</u>	<u>Completion</u>	

**F. Process Indicators**

PROJECT YEAR ONE START-UP  
ACTIVITIES

1. Institutional contract awarded	N/A	5/90		6/90	
- draft and approve PIO/T	7/89	10/89	8/89	11/89	
- issue RFP	10/89	12/89	1/90	1/90	
- conduct bidders' conference			1/90	1/90	
- negotiate and award contract	1/90	5/90	5/90	6/90	
- Key IA staff arrive	7/90	9/90	8/90		
2. Management planning seminar conducted	N/A	10/89	4/90	4/90	
- draft and approve PIO/T	7/89	8/89	11/89	12/89	
- negotiate contract	9/89	9/89	1/90	1/90	
- prepare administrative plan	10/89	11/89	4/90	7/90	
- prepare formative evaluation plan	10/90	2/91	10/90		

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**PROJECT STATUS REPORT**  
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	Planned		Actual		Comments	Planned		Actual		Comments	
	Start	Completion	Start	Completion		Start	Completion	Start	Completion		
<b>F. Process Indicators</b>											
<b>PROJECT YEAR ONE START-UP ACTIVITIES</b>											
3. Vehicles procured		4/90	6/90	11/90		5. Basic school materials delivered to children and teachers	7/89	2/91	7/91		Activity delayed; breakdown in negotiations
- prepare and approve PIO/T for 4-wheel drive vehicles	7/89	8/89	12/89	1/90		- prepare and approve PIO/T	8/89	9/89	9/89	10/89	Survey suspended
- issue RFP	8/89	10/89	3/90	3/90		- conduct pre-award survey	10/89	11/89	10/89		Negotiation suspended
- evaluate and award contract	12/89	1/90	5/90	6/90		- negotiate and award cooperative agreement	10/89	12/89	11/89		
- vehicles delivered to the MOE			2/91	3/91		6. Prepare 1992 annual plans	10/91	12/91			
- prepare and approve PIO/T for motorcycles	7/89	8/89	12/89	1/90							
- issue RFP	8/89	10/89	3/90	3/90							
- evaluate and award contract	12/89	1/90	5/90	6/90							
- motorcycles delivered to the MOE	4/91	3/92	8/91								
4. Project monitoring procedures established		N/A	6/90								
- establish MOE management office	N/A	N/A	9/90	9/90							
- conduct financial review of MOE implementing units	6/90	6/90	6/90	6/90							
- design Project financial system	5/91	9/91	5/91	10/91							
- financial system established	12/90		6/91								
- form commissions in each MOE unit	8/90		8/90								
- form administrative council	8/90	10/90	8/90	10/90							

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**PROJECT STATUS REPORT**  
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	Planned		Actual		Comments	Planned		Actual		Comments
	Start	Completion	Start	Completion		Start	Completion	Start	Completion	
<b>PROJECT IMPLEMENTATION</b>										
1. Mapping Study Conducted	8/90	2/92	1/91							
- hire staff, establish office	9/90	11/90	9/90	11/90						
- develop work plans*	8/90	9/90	4/91	12/90						
- train field staff	3/91	4/91	continuous							
- design instruments and techniques	11/90	3/91	5/91	9/91						
- collect data	3/91	9/91	9/91	9/91						
- generate and apply micro-computer cartography program	11/91	3/92	4/91	continuous						
2. Textbooks and Guides printed	6/90	6/92	8/90							
- form curriculum committee	2/91	3/91	7/91	7/91						
- develop work plans*	2/91	3/91	7/90	7/91						
- develop prototype for revised texts and guides	4/92									
- train data collectors										
- field test prototype texts and guides										
- revise and approve prototypes										
3. Additional PROMEBI schools established	10/91	12/95								
- develop work plans*	10/91		10/91							
- develop expansion plan	10/91									
- complete textbook design for four additional languages	4/94	7/95								
- school furniture contract	12/93									
- get printing contract										
- distribute furniture & texts	12/93									
4. Distance Training Courses delivered	6/90	11/93	1/91							
- hire new staff	5/90	6/90	1/91	5/91						
- develop work plans*			5/91							
- conduct needs assessment	5/91	7/91	Activity temporarily suspended							
- design modules	7/91	9/91								
- field test, revise, approve distance training modules	10/91	10/91								
- reproduce and distribute first three modules	10/91	10/91								
4...- train technical orientors										
- implement & evaluate first modules	11/91	12/91								
- conduct impact evaluation; prepare 1992 annual plan	12/91	1/92								
- design and implement new modules	1/92	continuous								
5. Magazines produced										
- develop work plans*	10/90	1/91	5/91	6/91						
- design magazine layout	6/91	7/91	4/91	9/91						
- develop prototype issue	8/91	8/91	9/91	9/91						
- initiate magazine production	9/91	continuous								
- distribute magazine	10/91	10/91								
- conduct impact evaluations	11/91	12/91								
6. District supervisors re-trained								9/90		
- procure vehicles & motorcycles								6/90	11/90	
- procure furniture & equipment for new supervisors	9/90	9/90						5/91		No supervision system organized by MOE
- distribute equipment/furniture for supervisors	8/91							11/90		5/91
- develop work plans*	11/90	2/91						11/90		
- prepare, revise, approve new supervisors' profile	3/91	5/91						1/91	5/91	
- design, implement nationwide training workshops	5/91	continuous						5/91		
- prepare new supervision manual	6/91	7/91								
- conduct follow-up training in four regions	3/92									
- conduct on-the-job training for DSES staff	1/91	continuous						1/91		
7. Student Materials Distributed	9/89	11/90						5/90		initial activity suspended
- develop scope of work for competitive procurement	NA	NA						8/90	10/90	activity reinitiated
- hold bidders' conference	NA	NA						10/90	11/90	
- negotiate and award grant	2/91	9/91						2/91		Disputation in process. Pre-award survey needed

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**PROJECT STATUS REPORT**  
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	Planned Start	Completion	Actual Start	Completion	Comments	Planned Start	Completion	Actual Start	Completion	Comments
<b>PROJECT IMPLEMENTATION</b>										
7...										
- develop work plans*										
- conduct needs assessment										
- select materials										
- procure materials										
- select schools for expansion										
• 5-year global plans, annual plans, schedules, and budgets										
8.										
National Social Marketing Campaigns Conducted										
- conduct social marketing workshop	9/91	9/91								
- develop work plans*	9/91	9/91								
- establish social marketing strategy	11/91	11/91								
- identify needs for market research	12/91	12/91								
- design and implement campaigns	2/92	continuous								
- evaluate first campaign/feedback for revision	5/92									
- develop distance training modules										
- conduct impact evaluation										
- produce articles for teachers' magazines										
- conduct follow-on campaigns										
9.										
Interactive radio math broadcasts to pilot schools	6/90	6/93	8/90							
	NA	NA	8/90	11/90						
- redesign activity			8/90							
- establish office and hire local staff			8/90	11/90						
- develop work plans*			8/90							
- conduct staff training				11/91	continuous					
- procure equipment		3/92								
- develop and adapt scripts	2/91	3/91	2/91		continuous					
- produce and broadcast programs	2/91	3/91	2/91							
10.										
Radio Spanish broadcasts to pilot schools	10/90	6/91								
	NA	NA								
- redesign activity										
- develop work plans*									2/91	
- conduct staff training									11/91	continuous
- procure equipment										
- develop and adapt scripts	12/91									
- produce and broadcast programs	2/92									
11.										
One room school program implemented	7/90	2/93								
- sign sub-contract										
- develop work plans*	7/90								11/90	4/91
- hire local staff									11/90	4/91
- conduct observational tours										
- select school sites										
- procure furniture and equipment										
- adapt and print materials										
- conduct teacher and supervisor training										
- form student and community committees										
- initiate and review pilot										
- conduct annual evaluation										
- design and revise pilot										
12.										
MIS system installed on regional level	8/90	10/94								
- develop work plans*									1/90	01/91
- conduct planning with users									8/90	01/91
- train USIPE staff									4/90	continuous
- develop specifications	4/91	6/91							5/91	6/91
- procure hardware and equipment									5/91	1/92
- develop customized software and communications system									5/91	5/91
- revise data collection instruments	10/91								6/91	10/91
- plan regional MIS expansion	9/91									
- conduct regional training	5/91	1/91							5/91	

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

	<u>Planned</u> <u>Start</u>	<u>Completion</u>	<u>Actual</u> <u>Start</u>	<u>Completion</u>	<u>Comments</u>
<b>PROJECT IMPLEMENTATION</b>					
13. Field studies conducted	6/90	10/94	12/90		
- develop work plans*					
- form MOE research committee	1/91	1/91	3/91	3/91	
- establish research criteria to select topics					
- establish research agenda	4/91	6/91	4/91	5/91	
- define and publish research criteria					
- prepare research proposal protocol					
- initiate field studies					
- analyze, report, and disseminate study results					
14. Achievement Tests produced					
- sign sub-contract	11/90	12/93	3/91		
- develop work plans*					
- develop design and sampling plan					
- develop test criteria					
- analyze curriculum for item selection					
- produce preliminary tests and train technicians					
- create bank of test items and train technicians					
- conduct pilot testing					
- analyze and disseminate results					
- revise tests					
15. Computerized personnel management system installed	10/90	10/93			
- develop work plans*	10/90	5/92			
- assess software needs	1/92	6/92			
- select system	6/92	7/92			
- define specifications for software package	4/91	5/91			
- train MOE staff in use of software	4/91	1/93			
- conduct follow-up					

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

- |    |  |   |
|----|--|---|
| 3. | 10% increase in university book display.                       | 100% increased display in university bookstores.  |
| 4. | 50% increase in sales volume in stores.                        | Estimated at 100%.  |
| 5. | Increased books available to a ratio of 1.3 books per student. | A ratio of 1.3 books per student which means that all students have access to books.  |
| 6. | Increase ratio over Soviet sales each year.                    | There is no technical means to determine the ratio increase over Soviet books; however, an informal appraisal indicates a substantial reduction of Soviet books in university bookstores. |

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\*Source: RTAC-II mid-term evaluation 9/89

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

**C. Other Accomplishments and Overall Status**

During the second semester of 1991, 11,572 books were received in 20 technical areas. This represents an average of 1.3 textbooks available for each of the 55,000 students presently enrolled in universities throughout Guatemala. For the first semester of 1992, an additional 57,000 books will be ordered. As shown in section B, the project has exceeded its targets.

**Other Accomplishments:**

1. In May 1991, the Instituto Guatemalteco Americano (IGA) carried out the First International Seminar for Central American representatives of Distribution centers and publishers.
2. 500,000 pamphlets on Cholera were donated to the Ministry of Health for distribution nation wide.
3. The current MOU was extended by PIL No. 3, from September 16, 1991 to November 15, 1991.

**D. Problems and Delays**

1. During this semester several larger size IT manufacturers did not procure books due to an increase in book prices and a high inventory of unsold books. Discussions are under way to resolve this issue.
2. Negotiations to extend the Memorandum of Understanding (MOU) with the Guatemalan distribution center (IGA) are expected to be completed by November 14, 1991.

**E. Major Activities or Corrective Actions During the Next Six Months**

1. Place order for 57,762 textbooks.
2. Receive and distribute textbooks.
3. Execute new MOU with the IGA to extend the program for five years until 1996.
4. Establish distribution centers in university extension centers in the departments of Alta Verapaz, Chiquimula, and Peten.
5. Support university activities by providing lecturers and materials to the Congreso de Quimica (October 21-25) and Jornadas Matematicas (November) at the University of San Carlos.
6. Define the increase in profit margin requested by IGA in a final negotiating session scheduled to take place on October 17, 1991.

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**BACKGROUND DATA**

Project Title: Private Enterprise Development (PED)  
 Project Number: 520-0341  
 Date of Authorization: Original: 08/28/87  
 Date of Obligation: Original: 08/31/87 Amendment: 09/29/89  
 PACD: Original: 08/27/92  
 Implementing Agencies: CAEM, MOE, AGG, GEXPROMT, and GFC  
 Major Contractors/PASA: Ernst & Young, IMCC, and USDA  
 AID Project Manager: Jimmy O. Philpott  
 Status of CPs/Covenants: All CPs have been met  
 Date of Last Evaluation: 04/90 Next Evaluation: 07/92  
 Date of Last Audit: 11/90 Next Audit: 02/92

**FINANCIAL DATA**

Amount Authorized: DA Grant: Original: \$10,000,000  
 Amount Obligated: DA Grant: Original: \$ 9,328,000 Amended to: \$9,874,470  
 Amount Committed: Period: \$ 2,041,000  
 Cumulative: \$ 8,789,940  
 Accrued Expenditures: Period - Projected: \$ 1,521,000  
 Period - Actual: \$ 1,031,219  
 Cumulative: \$ 4,146,150  
 Period - Next: \$ 2,164,500  
 Counterpart Contribution: Planned: \$ 2,191,481\*  
 Actual: \$ 2,571,317\*  
 % LOP Elapsed: 82%  
 % Total Auth. Oblig.: 99%  
 % Total Oblig. Exp.: 42%  
 % Total Auth. Exp.: 42%  
 Exchange Rate: 0.270 x US\$1.00 (9/87) CAEM and 0.258287 x US\$1.00 MOE

**II. PROJECT PURPOSE**

The purpose of the project is to strengthen the economic and policy role of private enterprise in Guatemala.

**III. PROJECT DESCRIPTION**

The project consists of assisting the Guatemalan Entrepreneurial Chamber (CAEM) and the Government of Guatemala's Ministry of Economy to strengthen the economic and policy role of private enterprise in Guatemala. Project components include the provision of training and technical support to small and medium enterprises, expansion of nontraditional exports, development of private financial markets, and support for new private sector initiatives.

**IV. PROJECT STATUS**

**A. Planned EOPS**

	Progress to Date
1. Viable private enterprise based training industry established.	90% established
2. AGG SME section institutionalized as a permanent service engaged in networking, policy dialogue support, and membership service.	20% institutionalized
3. A vigorous private sector nontraditional export sector developed.	80% developed
4. A policy environment established conducive to increasing nontraditional exports.	70% established
5. Strong Nontraditional Product Exporters Guild serving the nontraditional export community.	90% stronger
6. A well defined FTFP strategy and a functioning FTFP Investor Support Center.	65% implementation.

**B. Major Outputs**

	Planned		Next		Accomplished		
	LOP	Period	Cum.	Period	Period	Cum.	% of LOP
1. FTFP Investors Service Center	1	0	1	0	0	1	100%
2. FTFP established	1	0	0	0	0	1	100%
3. Training programs	3	0	2	0	0	2	67%
4. SME managers trained	1000	80	246	240	141	568	57%
5. An SME Center established	1	0	1	0	0	1	100%
6. Information network developed.	1	0	1	0	0	1	100%
7. Participating Financial Institutions	4	1	3	0	0	3	75%
8. No. Loans to SMEs guaranteed	500	10	16	0	0	10	2%
9. Credit guaranteed (0,000,000)	35	2	2.9	0	0	1.6	5%
10. Privatization studies	5	2	6	0	4	7	140%
11. Short-term International training	40	14	171	0	0	181	453%
12. Export expansion in targeted products	18	2	16	1	1	9	50%
13. Local firms receiving TA to improve export performance	400	40	300	40	1040	7611	1903%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A. Planned EOPs	Progress to Date
7. One EPZ fully operational.	50% implemented.
8. New laws, policies, and financial instruments capable of serving needs of private enterprise.	30% improvement.
9. A fully operational facility for guaranty of SME credit.	10% implemented.
10. The Ministry of Economy will be institutionally stronger and will have a leading role in maintaining and administering fiscal incentives for business expansion and competition.	50% improvement.
11. Increased policy awareness of privatization issues and at least one partial or full privatization activity completed.	75% improvement.
12. CAEM institutionally strengthened.	80% increase.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

1. CAEM has coordinated with OPED and OEPA in planning for completion of the financial market studies formerly included in the now terminated CAMFIN subagreement.
2. CAEM prepared and submitted to the Minister of Economy, in his role as chairman of the National Privatization Commission, a "White Paper" on privatization prospects in Guatemala. As a result, the Commission is using CAEM and the privatization studies it has completed with AID sponsorship as major resources in carrying out its work.
3. CAEM co-sponsored with CITIBANK a well-attended seminar for Guatemalan leaders on Privatization and Debt-for-Equity and Debt-for-Mature Swaps which featured as speakers principal figures in the success of such activities in Chile, Argentina and Ecuador.
4. The United Nations Industrial Development Organization (UNIDO) has named CAEM's Investor Service Center (ISC) administrator for Central America of its "Subcontracting Bank" of maquila and export product suppliers.
5. The ISC participated in drafting the new National Science and Technological Development Law; CAEM will serve on the National Council it established. CAEM/ISC is also assisting in the formulation of a new Broadcasting Law which will, inter alia, regulate cable TV companies.
6. In other activities, the ISC facilitated five new investments in Guatemala valued at nearly US\$ 7.0 million, worked with Trade and Investment Missions from the EEC, Korea and Chile and founded the Guatemalan Association of FTZ Developers and Managers with membership consisting of La Union/Grupo Zeta, Parque Industrial Villa Nueva, ZOLIC and CAEM.
7. The contract with ORACLE COMPLEX SYSTEMS CORP. was completed in July with final delivery of software and training of CAEM, GEXPRONT and AGG/CEDE personnel in its use. CAEM is working with the GOG on a pilot information system to link DIGECOMEX, DGPI, the Ventanilla Unica de Exportacion and the Direccion General de Aduanas. The CAEM Computer Center is also working with the Guatemalan Supreme Court and Library Association to establish an information resource network.
8. AGG/CEDE conducted a total of 150 courses during the reporting period, 101 in Guatemala City and 49 in the interior. Average attendance increased to 575 per month while the number of program graduates grew by more than 152% to a total of 568. With this improved level of performance, the number of SME managers trained should easily exceed the PP target of 1,000 program graduates by the PACD of August 1992.
9. CEDE added 10 new courses to its list and has completed the design of a new course to address the special problems of women entrepreneurs.
10. The CEDE Alumni Association has been actively expanding, adding new chapters in San Marcos, Mazatanango, Puerto Barrios and Zacapa.
11. GEXPRONT, together with the GOG and other private sector groups, opened the new Guatemala/US Trade Association (GUSTA) office in Miami in September.
12. Non-traditional exports during the first 9 months of 1991 have grown by about 21% over those of the same period in 1990.

13. The GEXPRONT-sponsored ENCUENTRO 91 in September was highly successful with more than 200 participants. Included was a "Rueda de Negocios" that brought buyers and producers together in a "deal-making" session.
14. GEXPRONT concluded negotiations with the Customs Directorate to simplify export procedures and expedite the handling of shipments.
15. The Municipality of Guatemala has submitted a proposal for assistance in privatizing their parks and green areas services. CAEM is being authorized to fund the pilot project under its AID grant.
16. The salary schedules of PED project implementing agencies were studied by an independent local contractor. New salary levels have been approved in accordance with the contractor's recommendations.

D. Problems and Delays

1. OPED is reviewing problems associated with the PMU concept as outlined in the Project Paper and highlighted in the mid term evaluation and subsequent audit. Given the limited LOP remaining, we do not now expect full implementation of the PMU but will, instead, explore with CAEM and other implementing agencies a mutually acceptable arrangement that will protect the interests of all parties while satisfying audit requirements.
2. The final decree law establishing the Local Currency Guaranty Fund (LCCGF) for loans to SMEs in the Bank of Guatemala was submitted to the Guatemalan congress in April but encountered a number of unexpected delays. Action on the decree is expected before the end of October.
3. Work has continued with the Ministry of Economy to develop a program of assistance, work plan and budget acceptable to USAID. Changes in key MOE personnel have contributed to the delays.

E. Major Activities or Corrective Actions During the Next Six Months

1. The LCCGF for loans to SMEs will become operational. The decree law establishing it in the Bank of Guatemala should be passed by Congress by the end of October 1991 and negotiations between USAID, BANGUAT and the MOF on the transfer of funds to BANGUAT and on LCCGF criteria and implementation procedures should be completed by early December.
2. CAEM will carry out priority financial sector studies that will assist the USAID in the design of both the Trade and Investment Expansion and Financial Markets Development Projects.
3. The first municipal services privatization activity will be underway.
4. CAEM will continue assisting the National Privatization Commission which is expected to identify at least three candidates for privatization. The MOE will initiate action to privatize these candidates.
5. CEDE will develop proposals for the IDB and local banking institutions to provide access to credit for selected graduates of its courses.
6. GEXPRONT will open a branch office in San Pedro Sacatepequez to assist small clothing maquila operators and other exporters in the area expand their operations.
7. Agreement will be reached with the MOE on its workplan and budget and implementation of the MOE component will continue.

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PROJECT STATUS REPORT  
April 1, September 30, 1991

A B C X

I. BACKGROUND DATA

Project Title: Rural Electrification III  
 Project Number: 520-0153.10  
 Date of Authorization: original 07/17/89 amendment 01/14/90  
 Date of Obligation: original 08/25/89 amendment 01/08/91  
 PACD: original 08/25/95 amended to  
 Implementing Agencies: INDE  
 Major Contractors: None  
 AID Project Managers: Roberto Figueroa  
 Status of CPS/Covenants: All met

FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 9,400,000  
 Amount Obligated: DA Grant: original \$ 2,400,000 attached to \$6,400,000  
 Amount Committed: Period: \$ 500,000  
 Accrued Expenditures: Cumulative: \$ 1,000,000  
 Period - Projected: \$ 577,000  
 Period - Actual: \$ 100,000  
 Cumulative: \$ 2,100,000  
 Period - Next: \$ 500,000  
 Counterpart Contribution: Planned: \$15,500,000  
 Actual: \$ 470,000  
 % LOP Elapsed: 10%  
 % of Total Auth. Oblig.: 10%  
 % of Total Oblig. Exp.: 10%  
 % of Total Auth. Exp.: 20%

Date of Last Evaluation: Next Evaluation: 11/92  
 Date of Last Audit: Next Audit: 1992

II. PROJECT PURPOSE

To expand electrification in rural areas of Guatemala where the introduction of electricity will complement and maximize the productive potential of existing infrastructure and resources.

III. PROJECT DESCRIPTION

This part of the project will construct the networks, and improve subtransmission lines to connect some 65,000 new households in rural areas.

IV. PROJECT STATUS

A. Planned EOPS

1. 65,000 new rural users provided with reliable electrical services
2. 400 rural communities with potential for productive use of electricity provided with electrical service
3. Productive uses focus institutionalized in rural electrification programs

Progress to Date

Although the construction activities will start late in the year, at least 700 new consumers will be connected before the end of CY 1991.  
 Construction of 23 communities programmed for 1991 could not be initiated during the semester due to delays in contracting the Project's supervisory firm. After contracting short term consulting engineering services, construction will be initiated in October. 8 communities will be completed and construction initiated in the other 15 before the end of CY 1991.  
 The productive uses advisor contracted with Grant funds has been working in INDE for 4 months. In addition, starting in October 1991, the Productive Uses Unit of the Project will be incorporated to the Consumer Services Department of the Commercial Division of INDE, a significant movement towards institutionalization of productive uses activities in INDE.

B. Major Outputs

	Planned			Next Period		Accomplished		% of LOP
	LOP	Period	Cum.	Period	Cum.	Period	Cum.	
1. Communities selected	400	50	100	50	0	50	14%	
2. Subtransmission lines (Kms)		32	0	0	0	0	0%	
3. Substations improved		3	0	0	0	0	0%	
4. Primary distribution lines (Kms)		310	0	5	0	0	0%	
5. Distribution Network (Kms)		1200	0	20	0	0	0%	
6. Households wired, connected and meter installed (000)		65.0	0	0	0	0	0%	
7. Training (Persons)	M	F	M	F	M	F	M	F
Long term	0	0	0	0	0	0	0	0
Short-term	40	0	0	0	0	0	0	0

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C. Other Accomplishments and Overall Status

All the problems preventing initiation of construction activities had been resolved by September 30. The Mission approved the community selection process and the first list of 23 communities for the 1991 construction plan in May. The Community Contribution Study required by Section 5.3 of the Grant Agreement was approved by the Mission in July. The lack of a consultant-supervisory engineering firm was resolved contracting NRECA to provide short-term supervision services. The Mission authorized the use of Grant funds to fund these short-term engineering services in June and the contract with NRECA was executed by AID/W in September. INDE has also made substantial progress in the process of contracting long term supervision services: bids were published in June, awarded in July and by the end of September the contract had been approved by INDE and was only pending of the GOG Contraloria de Cuentas approval. It is expected that the contract will be formalized in early November.

In view of the above, initiation of construction activities will be authorized by the Mission in early October and it is expected to complete 8 communities and connect 700 new users by the end of CY 1991.

During the semester, the Mission contracted the procurement of vehicles, tools and substation equipment for the Project with an approximate total value of \$962,000, which are being currently received.

In addition, during the reporting semester, specifications were developed. PIO/C's were processed and RFP's published for the procurement of electrical materials and equipment with an approximate total value of \$ 1,800,000. It is expected that bids will be awarded in November and contracts signed in December 1991.

In the policy dialogue with INDE, substantial progress has been achieved in several areas. In late September the Mission sponsored a seminar on Private Participation in the Electrical sector of Guatemala from which a general consensus was obtained to promote the legal and institutional changes that will permit private participation in electrical generation, transmission and distribution. In addition, INDE has presented to the GOG an aggressive plan for the partial privatization of generation and distribution. As a result of the seminar, INDE and the Ministry of Energy and Mines (MEM) have requested TA from AID in two important areas: a) Analysis and if necessary revision of the legal framework that regulates private participation in the energy sector. b) Development of bidding documents and improvement of INDE's institutional capability to contract generation of large blocks of energy with the private sector. The requested TA will probably be financed under the Rural Electrification III Project with funds from the Evaluations, Studies and Audit line item.

Based on a survey conducted in early 1991, NRECA in coordination with the Mission is initiating a program to provide technical assistance to selected municipal enterprises, as a complementary effort to promote decentralization and eventually privatization of electricity distribution.

The policy dialogue with INDE is proceeding at a much faster pace than planned and probably in the near future it will be expanded to include issues on tariff restructuring and privatization. In view of the unexpected and rapid developments, negotiations with INDE on a project-related policy agenda and incorporation of the policy plans benchmarks in the SAR report will be postponed for the next semester when a much more definitive plan can be developed.

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D. Problems and Delays

Although the problems preventing initiation of construction activities were resolved by September 30, the construction plans for 1991 will suffer a delay due to the late start. It is expected that INDE will proceed with construction activities at an accelerated pace in late 1991 in an effort to minimize the delay.

E. Major Activities or Corrective Actions During the Next Six Months

1. Complete construction of 23 new communities.
2. Sign contract for long-term consulting supervision services with engineering firm financed with counterpart funds.
3. Reception and payment of equipment and vehicles for estimated \$ 700,000
4. Sign contracts for electrical equipment and materials with an approximate value of \$1,700,000.
5. Select 50 new communities for 1992 construction plan.
6. Develop and negotiate with INDE updated policy dialogue plan.
7. Incorporate into the SAR report the policy plan benchmarks to be monitored by the Mission.

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PROJECT STATUS REPORT  
APRIL 1 - SEPTEMBER 30, 1991

A B X C

I. BACKGROUND DATA

Project Title: Productive Uses of Electricity Component  
 Project Number: 520-0353.20 (G)  
 Date of Authorization: original 07/17/89  
 Date of Obligation: original 08/31/89  
 PACD: original 05/30/95  
 Implementing Agencies: ACCION Internacional/FUNDAP  
 Major Contractors: None  
 AID Project Managers: Roberto Perdomo v.V.  
 Status of CPs/Covenants: All met  
 Date of Last Evaluation: 00/00/00 Next Evaluation: 10/92  
 Date of Last Audit: 00/00/00 Next Audit: 04/92

FINANCIAL DATA

Amount Authorized: DA Grant: original \$900,000.00  
 Amount Obligated: DA Grant: original \$900,000.00  
 Amount Committed: Period: 0  
 Cumulative: \$837,500.00  
 Accrued Expenditures: Period - Projected: \$127,700.00  
 Period - Actual: \$125,200.00  
 Cumulative: \$254,100.00  
 Period - Next: \$ 67,000.00  
 Counterpart Contribution: Planned: being negotiated  
 Actual:  
 % LOP Elapsed: 11.30%  
 % of Total Auth. Oblig. 100.00%  
 % of Total Oblig. Exp. 28.20%  
 % of Total Auth. Exp. 28.20%

II. PROJECT PURPOSE

To expand electrification in rural areas of Guatemala where the production of electricity will complement and maximize the productive potential of existing infrastructure and resources.

III. PROJECT DESCRIPTION

This component of the Rural Electrification Project (520-0353), promotes the pilot provision of credit, training and technical assistance for the productive uses of electricity in the Western Highlands of Guatemala. These activities will be promoted among small and microenterprises in the project's target area and will be institutionalized within INDE.

IV. PROJECT STATUS

A. Planned EOPS

- Increase the number of rural users of electricity for productive purposes
- Activity institutionalized within INDE (100%)

Progress to Date

289 people (220 men and 69 women) have begun using electricity for productive purposes.

1%

B. Major Outputs

	Planned		Next		Accomplished		
	LOP	Period	Cum.	Period	Period	Cum.	% of LOP
1. Community Training Seminars	100	15	26	6	10	24	24%
2. T.A. Events for 1/ Borrowers	2,000	300	410	70	164	160	18%
3. Fixed Asset Loans	2,500	225	525	75	110	227	9%
4. Working capital loans	500	30	130	0	11	24	5%

1/ Includes training in the use of electrical equipment and management training.

2/ No. of Participants: 2,705 (2,038 men and 667 women)

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PROJECT STATUS REPORT  
APRIL 1 - SEPTEMBER 30, 1991

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C. Other Accomplishments and Overall Status

- Progress of this activity during the reporting period continues to be satisfactory. Following are most important actions that have taken place:
- Credit activity has continued with the provision of 121 credits, for a cumulative total of 246 credits, amounting to Q841,654 (US\$170,721)
- Project personnel participated in 10 events (i.e. management and communications, financial analysis, use and maintenance of equipment) held in Guatemala and in other countries, sponsored by ACCION.
- The consulting firm (Consultora Profesional de Occidente), completed 24 promotional activities for 667 women and 2,038 men; for a total of 2,705 participants. 272 events on the use of electric equipment for 375 users have taken place; also 88 management events for 845 users have been held.
- Assembly of the different machines which will be installed on the Demonstrative Mobile Unit (DMU) has continued. This DMU will be used to further promote the use of electric equipment at the community level.
- Production of a video for training and promotional purposes was completed. It has been translated to English and to Quiche.
- Production of 6 more pamphlets was completed with the collaboration of MRECA. These are used during promotional seminars as well as for information purposes.
- Two manuals were prepared --Personnel Manual and Use of Petty Cash Manual, which will enhance project administration.
- Proposed contract for an impact evaluation of the project was approved by the Mission. The institution selected is "Sistemas Educativos de Occidente, S.A.". It will complete the evaluation in a two-month period beginning in or about November, 1991.
- The annual interest rate was increased from 28.5% to 32% to bring it in line with the interest rates charged under other FUNDAP's projects.
- Two proposals were submitted to the IDB:
  - a) one for \$500,000 for a revolving fund, and
  - b) one for \$120,000 for technical cooperation.
- A proposal for \$60,000 to further support the promotional activities was presented to the Inter American Foundation (IAF).

D. Problems and Delays

- There has been a small increase (from 1.6% to 2.9%) in the delinquency rate, especially for those credits provided to groups. The two main causes have probably been: (a) the transfer of the project manager and the management trainer to other positions within FUNDAP, which caused delays in the provision of technical assistance and follow up; and (b) the cancellation of the Independence Fair in Quetzaltenango, as a preventive measure against cholera, which did not allow credit users to market their products.

E. Major Activities or Corrective Actions During the Past Six Months

- Reduce turnover in project personnel.
- Reduce the credit delinquency rate.
- Execute amendment to include ACCION's counterpart contribution.
- Continue project personnel training, especially at the technical level.
- Provide complementary information which may be requested by the IDB and IAF related to the proposals.
- Complete DMU chronogram of activities and assembly.

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PROJECT STATUS REPORT  
April 1991 - September 30, 1991

A. B. C.

100-0177

I. BACKGROUND DATA

Project Title: Micro Business Promotion (OPG)  
 Project Number: 520-0177  
 Date of Authorization: original 08/05/87 amendment 08/29/90  
 Date of Obligation: original 08/31/87 amendment 09/10/91  
 PACD: original 08/30/90 amended to 09/10/92  
 Implementing Agencies: ACCION International and two Local PVO's, FUNTEC and FUNDAP.  
 Major Contractors: None  
 AID Project Managers: Roberto Galvez  
 Status of CPs/Covenants: NA  
 Date of Last Evaluation: 08/91 Next Evaluation: 00/00/00  
 Date of Last Audit: 00/00/00 Next Audit: 00/00/00

FINANCIAL DATA

Amount Authorized: DA/ Grant: original \$1,350,000 amended to 12,092,400  
 Amount Obligated: DA/ Grant: original \$1,350,000 amended to 12,092,400  
 Amount Committed: Period: \$ 42,400  
 Cumulative: \$2,092,400  
 Accrued Expenditures: Period - Projected: \$ 196,000  
 Period - Actual: \$ 78,667  
 Cumulative: \$1,879,199  
 Period - Next \$ 80,339  
 Counterpart Contribution: Planned: \$ 968,441  
 Actual: \$1,009,677  
 % LOP Elapsed: 80%  
 % of Total Auth. Oblig. 102%  
 % of Total Oblig. Exp. 90%  
 % of Total Auth. Exp. 92%

II. PROJECT PURPOSE

To increase the incomes of the urban poor through technical assistance, training and credit to microentrepreneurs.

III. PROJECT DESCRIPTION

Provides primarily credit to microentrepreneurs in industry and service sectors in amounts of \$20 - \$2,500. Training and technical assistance is being provided to beneficiaries at all project locations through two local PVOs: the Technical Foundation (FUNTEC); and the Development Foundation (FUNDAP).

IV. PROJECT STATUS

A. Planned EOPS

Progress to Date

1. 25 % increase in profits and sales of micro-enterprise operations
2. 25 % average increase in participating microenterprise net income.
3. 6,600 new employment opportunities created.
4. 7,150 existing employment opportunities upgraded.

160%  
 280%  
 11,540  
 23,080

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Total No. of Microfirms receiving management assistance	10,000	2,000	12,284	1,500	1,559	11,540	115%
Of which: Women-owned	4,000	576	4,176	500	500	3,984	100%
2. Microentrepreneurs trained	8,000	1,500	10,350	1,500	1,679	8,647	108%
3. Number of loans to microentrepreneurs	20,000	3,500	14,260	1,500	4,004	21,043	105%
4. Cumulative loan amounts (0000)	14,000	12,000	31,000	12,000	13,290	47,190	337%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

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C. Other Accomplishments and Overall Status

In 39 months of actual implementation, the project has benefitted more than 11,500 microentrepreneurs (35% women-owned), and has financed more than 21,000 loans for a cumulative amount of more than 047 million (US\$ 9.4 million).

Major accomplishments include:

- A Project Management Assessment was undertaken by ACCION to better focus the assistance provided to FUNDAP and FUNTEC. Five areas in FUNDAP and FUNTEC were found as needing more attention: a) Expansion, b) Organizational structure, c) Human resources development, d) Internal control and information system, e) Financial management.
- The Mission contracted a local firm to evaluate the project. The purpose of this evaluation was to determine the needs of FUNDAP and FUNTEC to receive further assistance from A.I.D. The results indicated that FUNTEC and FUNDAP need further assistance to consolidate their programs.
- The project was extended for one month, at no-cost, to allow enough time to review the evaluation results and decide upon the one year extension.
- Based on the evaluation results, the project was extended for a one year period beginning October 1, 1991. Under the extension, FUNTEC and FUNDAP will receive further assistance from ACCION to a) better train their personnel, b) improve their financial management capabilities, and c) decentralize their operations and decision making.
- As a result of the ACCION's management assessment undertaken in April, FUNDAP and FUNTEC initiated a series of organizational changes. FUNDAP created a separate office to provide credit, training, and technical assistance to microentrepreneurs. Headquarters are now exclusively devoted to provide support to branch offices and general services for microentrepreneurs. Also a new organizational chart and personnel manuals were developed. Both, FUNDAP and FUNTEC filled the financial Assistant positions required to better manage the credit portfolio.
- FUNTEC provided technical assistance to Multicredit Bank of Panama to initiate a microenterprise operation. Also FUNTEC began training personnel of ACCION's microenterprise programs in other countries.

- FUNTEC and FUNDAP continued to search for alternative sources of finance. During the reporting period FUNTEC initiated contacts with the European Community and Avanti International, and formalized credits with the local banking system for 01,050,000. FUNDAP, on the other hand, contacted Catholic Relief Services.

- FUNTEC is currently looking at ways to benefit more women. During the reporting period FUNTEC contacted CARE to coordinate women activities and participated in a seminar geared to increase assistance to women.

D. Problems and Delays

- Due to the growth of the program, FUNTEC and FUNDAP experienced a significant increase in their default rate. FUNTEC's average cumulative default rate reached 9.8% in March. However, due to severe controls imposed by FUNTEC, the default rate decreased to 8.2% in August. FUNDAP's, on the other hand, went from 14.8% in March, up to 26.7 in August.

FUNDAP and FUNTEC undertook strict controls over the default rate. FUNDAP organized a seminar exclusively devoted to looking for alternative ways of controlling the default rate. The seminar was given by Banco Gramal & Townson. During this reporting period FUNDAP recuperated one third of the outstanding default amount.

The recovery strategies used by the two institutions were different. FUNTEC recovered due payments while increasing the credit portfolio. FUNDAP recovered due payments and froze its credit portfolio.

E. Major Activities or Corrective Actions During the Next Six Months

- The mission will continue its assistance to FUNTEC and FUNDAP by funding ACCION's operations in Guatemala for a one year period, beginning October 1991. During this period ACCION will provide FUNTEC and FUNDAP, with short-term assistance tailored to their needs.
- FUNTEC, FUNDAP and ACCION will commit themselves to: a) provide US\$35,182 in cash contribution to the project extension; b) have in place the staff suggested by the evaluation; and c) establish specific goals which will be previously approved by the Mission. Required goals are to develop financial indicators which will reflect project status and improve their operations through the assistance provided by ACCION.
- Strict controls and specific targets will be requested from FUNDAP and FUNTEC to better manage their default rate, and reach financial sustainability.

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PROJECT STATUS REPORT  
 April 1 - September 30, 1991 A \_\_\_ B x C \_\_\_

I. BACKGROUND DATA

Project Title: Entrepreneurial Development  
 Project Number: 520-0380  
 Date of Authorization: original 07/14/85  
 Date of Obligation: original 07/31/89 amendment 03/19/91  
 PACD: original 07/30/92  
 Implementing Agencies: International Executive Services Corps (IESC)  
 Major Contractors: N/A  
 AID Project Manager: Roberto Galvez  
 Status of CPs/Covenants: N/A

FINANCIAL DATA

Amount Authorized: DA Grant: original \$1,250,000  
 Amount Obligated: DA Grant: original \$ 750,000 amended to \$1,250,000  
 Amount Committed: Period: \$ 250,000  
 Cumulative: \$1,250,000  
 Accrued Expenditures: Period - Projected: \$ 115,000  
 Period - Actual: \$ 114,146  
 Cumulative: \$ 651,639  
 Period - Next: \$ 280,787  
 Counterpart Contribution: Planned: \$ 186,877  
 Actual: \$ 271,577  
 % LOP Elapsed: 100%  
 % of Total Auth. Oblig. 52%  
 % of Total Oblig. Exp. 52%  
 % of Total Auth. Exp. 52%

Date of Last Evaluation: 00/00/00 Next Evaluation: 01/12/91  
 Date of Last Audit: 00/00/00 Next Audit: 00/00/00

II. PROJECT PURPOSE

To increase the level of employment, income and productivity of privately-owned Guatemalan enterprises.

B. Major Outputs

	Planned		Actual		Period	Cum.	% of LOP
	LOP	Period	Period	Cum.			

III. PROJECT DESCRIPTION

It has two main components:

1. Technical Assistance: Provides specialized TA to privately-owned productive small and medium enterprises.
2. Trade and Investment Services/Guatemala (TIS): Stimulates the demand for and the supply of venture capital, trade and investment services in the country through the promotion of seminars and the provision of technical assistance to small and medium enterprises.

1. TA Consultancies							
Small Firms	100	12	39	30	18	33	44%
Medium Firms	70	5	28	11	11	16	51%
Of which:							
Total	50	8	12	15	8	12	24%
Women owned/ managed	50	4	10	10	4	10	20%
2. ABLE Products	25	3	11	7	7	14	56%
3. Investment & Export Activities	16	1	4	2	2	4	25%
4. Industry Expansion	6	0	3	1	0	4	67%

IV. PROJECT STATUS

A. Planned ROPS

1. 10% Increase Profits
2. 15% Increase Production
3. 10% Increase Sales
4. 80% Improved Prod Qual
5. 25% Increase Wages
6. Trade & investment services net work established
7. Increase in exports
8. Increase in U.S. imports
9. Increase employment

Progress to Date

22%  
 40%  
 34%  
 35%  
 23%  
 60%  
 US\$1.7 million  
 US\$2 million  
 72%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

1. Volunteer Assistance:

- The impact of the technical assistance provided by the Volunteer Executives (VEs) is measured six months after the TA has been delivered. From the thirty-two clients that have responded to the IESC questionnaires, the following data is available:
    - o Four companies imported US\$ 2,000,000 from U.S. suppliers.
    - o Four companies reported increase in exports by US\$3,788,000
    - o Eighteen companies reported the creation of 728 new jobs
  - The Non-traditional Exporters Guild annually gives awards to the three best exporters under each one of its commissions and the best new exporter under each category. The best exporter of all categories is also awarded. Of the awards granted, IESC clients received the following awards:
    - a) First and second place of the Manufacturing Commission
    - b) Best New Exporter award of the Hydrobiologics Commission
    - c) Second place of the Apparel Commission
    - d) First place of the Agriculture Commission
    - e) Award for the Best Exporter of all Commissions
2. Trade and Investment Services
- Thirty U.S. market-research requests have been signed with local businesses. Of this, seven are already scheduled to start in the next semester.
  - As a result of two ABLE market research services, one company is already discussing a franchise with a U.S. hotel chain to open a new hotel in Guatemala, and another company formalized a joint venture agreement with an American company in the area of data entry drawback.
  - A seminar on Shiitake Mushroom production was given by IESC. As a result of this, a Shiitake Mushroom association was created and its members are already experimenting with production.
  - Of the thirty-two companies who have received technical assistance from IESC, eleven reported having U.S. investors interested in signing joint venture agreements with these Guatemalan companies.

3. General Operations

- Beginning May 1st, 1991 the IESC Core Grant was changed to exclusively cover Stamford expenses, while leaving local grant funds to cover IESC/Guatemala costs. As a result of this change, a new amendment to the Grant Agreement was issued to modify the project budget. Beginning May 1, 1991, project funds are to cover VE travel costs, ABLE costs, and recruiting costs in Stamford. Operational costs of IESC/Guatemala will be covered with client contributions.
- The Mission and IESC successfully negotiated with AID/W and IESC/Stamford for the Core Grant financing of the IESC's U.S. TIS operation, for an additional year beginning September 30, 1991.

D. Problems and Delays

- As a result of the changes in Core Grant funding, IESC is facing an operational deficit and serious problems in complying with the original counterpart contribution and project outputs.
- During the second semester of FY 91, the payment method was changed from the advance-liquidation method to a letter of credit. Due to delays within IESC and AID in the implementation of the new method of payment, and to IESC's reorganization as a result of the new Core Grant policy, IESC could not liquidate its advances of five months.

E. Major Activities or Corrective Actions During the Next Six Months

- IESC will submit a proposal to: a) request additional funding to cover its operational deficit; b) to request changes in the project structure as a result of the changes in the core grant policy; and c) request a PACD extension of two additional months through September 30, 1992.
- IESC will liquidate its outstanding advances.
- 43 technical assistance projects will be completed and seven market studies will be delivered. Two additional joint venture agreements between U.S. and Guatemalan companies are expected to be signed.
- The Mission and IESC designed a questionnaire to gather information on project impact. IESC will give special emphasis to the distribution and follow-up of the questionnaire.
- A mid-term evaluation will be contracted.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A X B C

ATTACHMENT C Page No. 81

I. BACKGROUND DATA

Project Title: Small Farmer Coffee  
 Project Number: 520 0381  
 Date of Authorization: original 7/27/89  
 Date of Obligation: original 7/28/89  
 PACU: original 7/27/94; amended: 07/21/97  
 Implementing Agencies: Ministry of Finance, National Coffee Producers Association (ANACAFE)  
 Major Contractors: AGRIDEC  
 AID Project Manager: Gordon Straub  
 Status of CPs/Covenants: 4.1.a., 4.1.b., and 4.2.f. 4.2.a 4.2.b., 4.2.c., 4.2.d. & 4.2.e complied with

Date of Last Evaluation: August, 1991 Next Evaluation: none scheduled  
 Date of Last Audit: N/A Next Audit: none scheduled

FINANCIAL DATA

Amount Authorized: DA/Grant: original \$11,000,000 (Total Phase \$8,704,000)  
 Amount Obligated: DA/Grant: original \$ 2,600,000 amended to \$2,233,590  
 Amount Committed: Period: \$ 961,400  
 Cumulative: \$ 1,405,077  
 Accrued Expenditures Period - Projected: \$ 1,120,000  
 Period - Actual: \$ 489,731  
 Cumulative: \$ 1,747,749  
 Period - Next: \$ 812,219

Counterpart  
 Contribution: GOG: Planned: \$ 9,250,000  
 Actual: \$ 800,000 (94 million at Q5/\$1)  
 Cumulative: 2,281,481  
 ANACAFE: Planned: 2,441,151  
 Actual: 153,600  
 Cumulative: 182,201

% LOP Elapsed: 27%  
 % of Total Auth. Oblig. 48%  
 % of Total Oblig. Exp. 33%  
 % of Total Auth. Exp. 16%

II. PROJECT PURPOSE

To carry out a program that combines extension and credit of services for increasing the yields and incomes of small farm coffee growers.

III. PROJECT DESCRIPTION

The project combines the provision of (a) credit resources by the GOG with management by private banks, and (b) extension services by the private extension system of ANACAFE. Small farmers will be provided with 7 year loans to renovate 0.53 manzanas (0.875-5.25 acres) of old coffee with yields expected to increase from less than 8 cwt. to over 30 cwt. per manzana.

The Project contributes to two Mission's strategic objectives: Increase trade and investment and improved natural resource management. By promoting exports of specialty coffee, the Project contributes to increase trade, while by providing technical and financial assistance for soil conservation measures (terracing and reforestation) in critical/watershed areas the Project contributes to improved natural resource management. Furthermore, the Project is implementing an innovative financial mechanism which involves private banks providing financial services to small coffee growers. Because loans are provided at near market rates of interest and repayment is ensured by an export crop with premium prices in the world market, the Project is moving towards a sustainable development mode; sustainable because soil conservation measures are financed by private banks and those measures ensure a sustainable agriculture in otherwise fragile ecological areas.

B. Major Outputs

	Planned			Accomplished		% of LOP	
	LOP	Period	Cum.	Next Period	Period		Cum.
1. Number of Manzanas renovated	8,100	0	270	365	120	428	4.8%
Number of loans:	8,100	0	270	520	191	498	6.1%
2. Number of coffee processing facilities/	50	0	0	4	1	1	2%
Number of participating Banks	3	0	0	0	0	3	100%
3. Number of additional technicians							
a. Extension Agents	18	0	4	4	0	4	22%
b. Credit Agents	4	0	4	0	2	6	150%
c. Para-technicians	100	0	10	10	2	2	2%
4. Long term training (persons)	4	1	1	1	0	0	0%
5. Short term training (person/months)							
(a) Technicians:							
(1) Participatory	50	10	10	10	1	7.5	15%
(2) In-country training	150	40	40	50	21	78	52%
(3) Farmers	2,000	50	50	600	56	611	30.5%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

IV. PROJECT STATUS

A. Planned EGIS	Progress to Date
1. 8,100 Mzs. renovated	390
2. 50 Processing facilities constructed or renovated	1
3. Net income/mz. increased three to four fold	0
4. Yields increased to 30 qq/mz	0
5. 3 banks participating in financing small coffee producers	3
6. Under 10% delinquency on Loan repayment	0
7. Increase in 18 (from 22 to 40) AMACAFE extension agents	4(26)
8. Increase from 0 to 4 credit agents	6
9. a. 4 professionals participating in long-term training	0
b. 40 technicians participating in short-term training	32
c. 8,100 farmers participating in short-term training	1000
d. 800 small producers participating in coffee processing technology	60
e. 300 para-technicians participating in training	2
10. a. 15 short-term in-country courses for technicians	17
b. 10 short-term participatory training for technicians	13
c. 200 short-term in-country courses for farmers	45

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C. Other Accomplishments and Overall Status

1. 498 coffee growers satisfied the requirements to be loan recipients.
2. 500 other coffee farmers were preselected to be loan recipients.
3. 50 loans for coffee nurseries were preselected for financing;

In-training:

- a. 26 technicians received 2-week training in project implementation and gathering of baseline information;
- b. 19 technicians and one administrator participated in a 2-week computer training;
- c. 3 technicians participated in a week training in entrepreneurial management and one in coffee insurance;
- d. 12 technicians participated in a one-week industry coffee seminar;
- e. One technician participated in a one-week seminar in coffee future markets;
- f. 32 technicians participated in a 2-day seminar on coffee marketing;
- g. 30 farmers participated in a 3-day training on coffee production in the Coban area;
- h. Similar training was provided to another 300 small growers in the area of Barillas;
- i. One candidate has been identified for long-term training;
- j. 2 technicians received 2 months business management
- k. 2 technicians participated in 3-day, International Specialty Coffee Conference.
- l. 30 technicians participated in 2-day seminars in soil and coffee production.
- m. 16 technicians attended 1-week seminar on credit methodology after which 123 technicians from participating banks, took part in 2-day regional seminars on credit methodology.
- n. 30 technicians participated in a one week seminar on Commodity Systems Assessment Methodology on problem and project identification
- o. Visit to the University of Puerto Rico (Mayaguez) to discuss programs and conditions for long and short term participant training.
- o. 2 technicians, participated in a one-week conference in coffee technology.

Vehicles

- 20 vehicles were purchased

Others

- A proposal from Georgia University for updating soil studies in coffee areas of the coastal area was reviewed and approved. The proposal will be implemented with participation of the International Watersheds Program of the Ministry of Agriculture.

D. Problems and Delays

According to the evaluation results, project implementation could suffer a delay due to an inadequate administrative structure in ANACAFE, originating a transition from a traditional technical assistance program to a technical assistance and credit program.

E. Major Activities or Corrective Actions During the Next Six Months

1. One candidate for long-term training will initiate paperwork for university admission
2. One technician will participate in a 1 month training in coffee processing in Colombia and Costa Rica
3. At least five technicians will receive training in management
4. 8 technicians will receive on-the-job training on commodity marketing assessment with support of the STARO Agricultural Marketing Improvement Strategy Project
5. 20 credit loan agents from participant banks will be familiarized with the credit component and overall project implementation process
6. 26 technicians will receive training on research techniques
7. Four additional extension agents will be hired
8. The identification and hiring of para technicians will be continued
9. One administrator coordinator will be hired to support the project implementing unit
10. The individual trust agreements between the Bank of Guatemala and participating banks will be amended
11. Three banks: Banco del Agro, BANCAFE y BANDESA will continue loans disbursement
12. The Project Advisory Committee should be supported by ANACAFE, in order to comply with a more active role which involves authority, responsibility and accountability to strengthen the supervision, evaluation and monitoring systems for all activities.
13. As a result of the evaluation, an action plan will be discussed to implement the recommendations presented.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

A . B X. C .

ATTACHMENT C

I. BACKGROUND DATA

Project Title: Fiscal Administration Project  
 Project Number: 520-0371  
 Date of Authorization: 07/17/89  
 Date of obligation: 07/28/89  
 PACD: 12/31/92  
 Implementing Agency: Ministry of Finance -MOF-  
 Major Contractor: Post Marwick Technical Group  
 AID Project Managers: Dr. David Hoelscher and Ana Vilma Pocasangre  
 Status of CPs/Covenants: CP to first disbursement- all met  
 Date of Last Evaluation: 00/00/00 Next Evaluation: 11/18/91  
 Date of Last Audit: 00/00/00 Next Audit: TBD

FINANCIAL DATA

Amount Authorized: DA Grant: original \$9,000,000  
 Amount Obligated: DA Grant: original \$4,800,000 amended to \$9,000,000  
 Amount Committed: Period: \$1,700,592  
 Cumulative: \$8,500,211  
 Accrued Expenditures: Period-Projected: \$2,060,000  
 Period-Actual: \$1,770,998  
 Cumulative: \$5,842,111  
 Period-Next: \$2,870,000  
 Counterpart Planned: \$1,144,691  
 Contribution Actual: \$ 942,222  
 % LOP Elapsed: 01%  
 % of Total Auth. Oblig 100%  
 % of Total Oblig Exp. 65%  
 % of Total Auth. Exp. 65%

Exchange rate Q2.70 x US\$1.00

II. PROJECT PURPOSE

To assist the GOG in a comprehensive modernization of its system of Fiscal Administration. The project has four specific objectives to achieve 1) improvement in the allocation of resources. 2) Greater fairness in the distribution of tax burdens and expenditure benefits. 3) Modernization of resources to cover the cost of public services. 4) Improved government ability to plan and monitor its fiscal decisions.

III. PROJECT DESCRIPTION

Under the \$9.0 million grant, AID contracted a technical assistance team in charge of developing the components and activities of the project. The major project elements include a) fiscal information, b) analysis activities in the areas of: customs, budget, policy analysis and taxation and developing analytical models for the principal taxes in Guatemala, c) technical assistance in operational activities (computerization and training), and d) the creation of an institute for fiscal analysis.

IV. PROJECT STATUS

A. Planned EOPS

1. Equitable distribution of taxes and benefits.
2. Reduced inequities.
3. GOG meets its fiscal targets.
4. Tax administration improvements.
5. Budget planning systems improved.

Progress to date

- The analytic models ready for implementation. Just pending Ministry Counterpart staff designation.
- Concepts paper on fiscal reform under discussion with Minister.
- Four of the five specific fiscal analyses completed and pending MOF approval.
- A new Fiscal Reform Proposal outlined to Finance committee of the Congress.

B. Major Outputs

	Planned			Accomplished			% of LOP	
	LOP	Period	Cum.	Next Period	Period	Cum.		
1. Staffed Project office	1	0	1	0	0	1	100%	
2. Analytic models developed and applied to Guatemala	6	0	6	0	1	6	100%	
3. Specific fiscal analysis completed	5	0	4	1	1	4	80%	
4. Administrative changes implemented in the different areas of the MOF	5	0	3	2	0	2	40%	
5. Training (Person-)	M	F	M	F	M	F	M	F
Long-term	6	2	0	0	0	0	0	0
Short-term	800	300	200	125	475	205	12%	9%
							47	25
							311	108
							36%	39%

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

After the approval of the workplan by the new Minister, the progress of the project has been significant. All the support requested by the technical team to develop its activities has been provided by the Directors of each of the areas related with the project. The Technical team has participated actively in the design and preparation of the new Fiscal Proposal. Based upon this proposal, a new draft version of the Concepts paper is now being discussed with the Minister of Finance and modified to meet his additional requirements.

The entire Technical Team has participated in the design of the mechanisms for the extraordinary bonds law (presenting different scenarios, doing legal and administrative revisions, and controls). The Chief of Party and the three Consultants related with the revenue area, have been part of work groups in charge of the analysis, design and presentation of new proposals for the current taxes (including legislative, administrative and budgetary issues). In the budget area the consultants worked very closely with the Director and the personnel of the Technical Budget Directorate (DTB) in the preparation, presentation and defense of the Central Government revenues and expenditures budget for 1992. This document is presented to the Congress by September of each year. Also, they prepared and reviewed with the DTB's staff the budgetary norms for evaluating 1991 budget's performance. In the custom area the two experts have been working very close with the Director. They have designed a pilot plan to improve the Customs revenue system (with the participation of the rest of customs offices throughout the country). The purpose is to define monthly revenue projection between the Minister and the Customs Director and try to accomplish it by effective control of each Customs office.

In the modeling area of the project, all the models are ready to be implemented. Peat Marwick is translating all the manuals into Spanish and are ready to send the trainers to give the training.

An evaluation will be held in mid November. The Scope of Work is ready and has been presented to the Contract's Office. The evaluation will address specifically:

- the goals achieved by the Technical Team,
- the delay of the counterpart in selecting the modelling personnel, and
- the measures the Ministry needs to take to get implementation going.

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D. PROBLEMS AND DELAYS

Even though there have been some training during the semester for the Internal Revenue staff, there is a need for the project to set a mechanism with the Ministry to help in the selection of the counterparts to be in charge of running the models and the fiscal unit and to implement the training plans designed for the area of the project. Delay in the presentation of the concepts paper due to changes in government policies.

E. MAJOR ACTIVITIES OR CORRECTIVE ACTIONS DURING THE NEXT SIX MONTHS

1. Proposals for all administrative reform in place (Budget, internal Revenue and Customs).
2. Final draft of the Concepts Paper presented to the Minister.
3. GOG's personnel identified and trained to take care of the analytic models.
4. Evaluation report presented to the Mission and recommendations implemented.
5. All the specific fiscal analyses completed and approved.
6. The Fiscal Analysis Institute operating with all the analytic models in place.

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PROJECT STATUS REPORT  
APRIL 1 - SEPTEMBER 30, 1991

**Project Name:** Special Development Fund (SDF)

**Project Number:** 520-0145

**Purpose:** The purpose of the project is to provide financial assistance to community groups to carry out small self-help community development programs throughout the country.

**Amount:** Annual funding allocated for this purpose amounts to approximately \$200,000.

**Implementing Agency:** Rural Communities

**Description:** Through this program approximately 30-40 small community improvement projects (i.e. bridges, roads, school buildings, community centers, market places, cooperative buildings, laundry facilities, etc.) are completed annually, benefiting between 80 to 100 thousand rural poor. As much as 60-70% of the project cost is contributed by the communities and other donors including municipal governments, PVOs, etc. Promotion of this successful activity has encouraged its replication by other donors in Guatemala (i.e. Canada, PVOs, GOG).

The FY '91 sub-project activity was completed in September 1991, benefiting approximately 100,000 rural people in 43 communities. The USAID contribution (\$200,000 or 35% of the total) for this activity has generated GOG, local government, community, and other donor contributions for more than \$365,888<sup>2</sup>, equivalent to approximately 65% of the total project cost. (Attached is the FY 91 Final Report).

During the reporting period, 9 SDF projects have been completed and inaugurated.

The obligation procedures for the project were changed for FY 1991. Memoranda of Understanding (MOUs) were signed between the USAID Mission and the communities to obligate funds. Representatives of the different Mission offices were invited to participate in the review of project proposals.

Promotion of SDF activities planned for the Peten for FY 92 has begun through contacts with community leaders, PVOs, and local and national authorities.

A contract with a professional photographer to take pictures of approximately 25 SDF projects, was signed September 27, 1991. Photographs will be used to initiate press coverage of activity through USIS.

Increases in the cost of construction materials continue to affect the project.

(\*) Exchange rate US\$1.00 = Q5.00

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PROJECT STATUS REPORT  
 APRIL 1 - September 30, 1991

A B X C

I. BACKGROUND DATA

Project Title: Low-Cost Housing Program  
 Project Number: 520-0261 (520-HG-004)  
 Date of Authorization: original 04/05/88 amendment 00/00/00  
 Date of Obligation: original 05/06/88 amendment 01/13/89  
 PACD: original 03/31/91  
 Implementing Agencies: Bank of Guatemala (BOG)  
 AID Project Manager: Mortgage Insurance Institute (FHA)  
 Status of CPs/Covenants: Hector Diaz de Medina, PDSO Completed

Date of Last Evaluation: N/A Next Evaluation: N/A  
 Date of Last Audit: N/A Next Audit: N/A

FINANCIAL DATA

Amount Authorized: DA/Grant: original \$600,000  
 Amount Obligated: DA/Grant: original \$300,000 amended to \$600,000  
 Amount Committed: Period: \$ - 435  
 Cumulative: \$360,092  
 Accrued Expenditures: Period - Projected: \$ 20,000  
 Period - Actual: \$ 15,998  
 Cumulative: \$293,660  
 Period - Next \$ -

Counterpart Contribution: Planned: \$ Actual: \$

% LOP Elapsed: 100%  
 % of Total Auth. Oblig. 100%  
 % of Total Oblig. Exp. 46%  
 % of Total Auth. Exp. 46%

II. PROJECT PURPOSE

a. Grant Agreement: To fund the provision of technical assistance and training required to support the implementation of the HG Program.

b. Housing Guaranty: The purpose of the program is to test the ability of the private sector's existing mechanisms for the finance and construction of shelter to reach families below the median income. The program responds to the interest of the GOG and the private shelter delivery system to satisfy the demand for housing by the emerging poor in the capital and other urban areas.

III. PROJECT DESCRIPTION

A. Grant Agreement: The major components activities of the project are: 1. Long-term technical assistance; 2. Short-term technical assistance; a. Construction and environmental standards; b. Credit analysis procedures and criteria; c. Mobilization of resources/housing finance systems and policies.

B. Housing Guaranty: 1. The production of low cost shelter solutions. 2. Testing the adaptation of an already existing mechanism which facilitates and encourages the participation of all existing private and public banking institutions in financing basic shelter on a national scale.

IV. PROJECT STATUS

A. Planned EOPS

1. Additional funds are flowing to Low-Cost Housing Programs
2. Private institutions

Progress to Date

BOG \$3.2 M total and \$1.8 M interim finance in place.  
 Sugar Mill Foundation Program was approved by CABEI. (\$6.0 Million)

B. MAJOR OUTPUTS

	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Number units/No. of beneficiaries families (HG)	1500	300	1479	289	583	1479	98%
2. Total value of mortgage financing (\$000)	10000	1200	8000	---	368	6,061	60%
3. Private Developers in HG					7	7	
4. Private Banks in HG					4	4	

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C. Other Accomplishments and Overall Status

C.1 Grant Agreement (520-0261)

1. The PACD for grant agreement was March 31, 1991; the Mission's decision was not to extend it. The HG component continues to be an on-going activity.
2. Abt Associates Inc. submitted the final report of the Housing Shelter Assessment. Copies had been distributed to all parties that contributed to its preparation and to other interested local institutions.

C.2 Housing Guaranty (HG-004)

1. The SAR reporting period presented a very active phase in project execution.
  - Seven promoters (builders) started or continued the building of housing units.
  - Five private banks supported the efforts of the builders in this endeavor.
  - The Guatemalan FHA took a very active role in the program. They provided technical advice and carried out timely project inspections. Working together with the Mission's Project Manager, a bi-weekly reporting system was developed, which proved to be very useful.
  - Several inspection trips have been carried out, involving representatives from the Bank of Guatemala FHA, RHUDO, and USAID/Guatemala. These trips served the dual purpose of having a clear picture of the project and showing the builders the interest of the implementing institutions in completing project physical construction and sales by December 31, 1991. BOG representatives participated for the first time in project inspections.
2. As a direct result of the above mentioned activities, as of September 30, 1991, the status of the project is as follows:
  - 882 houses were under construction in six different approved projects:

Prados de Sonora	307	Villas de Minerva	151
Ribera del Rio	184	La Trinidad	137
Miracol	71	San Rafael	32
  - Of the 882 houses under construction, 583 or 67% are completed and have an approved buyer. This means that these units fully comply with program requisites to be considered for program financing. The balance of 289 houses, representing 33%, are in different stages of construction and do not have approved buyers.
  - A total of 1,030 workers were employed in the project sites.
  - In addition to the above projects, the Pinares del Norte Project with 100 houses, is awaiting FHA's approval to be included under the program. According to the builder, of the 100 houses, 81 have been sold. The builder is aware that they are running their own risks and must follow all program rules. The National Housing Bank, BANVI, has around 47 housing units that might be included under the program.

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D. Problems and Delays

The March 31, 1992 deadline for the submission of Requests for Disbursements causes some problems for the participating banks, since they believed that this date did not provide enough time to process all the required documentation. As requested by the Bank of Guatemala, following a request by the National Association of House Builders, USAID/Guatemala issued PIL No. 16 extending the March 31, 1992 date through June 30, 1992, providing that all other terms and conditions of PIL No. 15, remain in force and effect which specifically state that all physical construction must end by December 31, 1991, and all built houses must have an FHA approved buyer.

E. Major activities or corrective actions during the next six months

1. All project construction must be completed by December 31, 1991. All houses must have an approved buyer.
2. The BOG and the Guatemalan FHA will issue a final report stating the exact final number of housing units that will be financed under the program.
3. The BOG will continue to submit requests for disbursements.
4. The builders and the banks will start the processing of the necessary documentation to apply for housing mortgages, in the Guatemalan FHA and in the Bank of Guatemala.
5. The RHUDO housing officer will be in Guatemala during the month of November. A final project inspection might be carried out at that time.

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**PROJECT STATUS REPORT**  
April 1 - September 30, 1991

A  B  C

ATTACHMENT C

**I. BACKGROUND DATA**

Project Title: Atitlano Higher Education Development (PRODIPMA)  
 Project Number: 520-0304 amended 3/28/89  
 Date of Authorization: original 01/13/86  
 Date of Obligation: original 06/19/86  
 PACD: original 06/18/91 amended to 12/18/93  
 Implementing Agencies: Universidad Rafael Landivar  
 Major Contractors: Chemonics International (PSA)  
 AID Project Managers: Julio Diaz  
 Status of CPs/Covenants: All met

Date of Last Evaluation: 04/91 Next Evaluation: 06/01/92  
 Date of Last Audit: 04/27/90 Next Audit: 02/01/92

**FINANCIAL DATA**

Amount Authorized: DA/ESF Grant: original \$5,000,000  
 Amount Obligated: DA/ESF Grant: original \$5,000,000  
 Amount Committed: Period: \$ -329,468  
 Cumulative: \$3,560,254  
 Accrued Expenditures: Period - Projected: \$ 410,000  
 Period - Actual: \$ 447,781  
 Cumulative: \$3,201,740  
 Period - Next: \$ 398,961  
 Counterpart Contribution: Planned: \$1,655,702  
 Actual: \$2,380,148 \*  
 % LOP Elapsed: 71%  
 % of Total Auth. Oblig.: 100%  
 % of Total Oblig. Exp.: 64%  
 % of Total Auth. Exp.: 64%

\* 2.90 Exchange rate. Mission is reviewing process used by the University to calculate its contribution. Therefore, the actual amount may vary.

**II. PROJECT PURPOSE**

To strengthen the Universidad Rafael Landivar's highlands campus in order to develop a higher education program focused on Mayan-speaking students.

**B. Major Outputs**

	Planned				Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
1. Graduates with Bachelor degrees	115	4	19	2	0	4	3%	
2. Graduates with associate degrees	300	60	140	30	40	105	35%	
3. Skills upgrading and cultural awareness seminars for development technicians in the highlands	35	3	30		4	28	80%	
4. New courses developed and offered (social research, highlands economic systems, rural sociology, Mayan anthropology, Mayan languages).	20		20			20	100%	
5. Training (Persons)								
Long-term		358	125	25	10			
Short-term 1/		290	125			101	701	58%

1/ (In country)

**III. PROJECT DESCRIPTION**

The project will enable at least 415 needy Mayan-speaking students to obtain the academic degrees and training needed to move into leadership positions in various development programs and institutions in the highlands. The project consists of: (1) an outreach system to recruit Mayan-speaking students; (2) a student development program to support and advise students; (3) a curriculum enhancement program to sensitize Mayan and non-Mayan students to the special development needs of the Mayan people; (4) 23 career programs; and (5) applied research to generate more knowledge and information on the development needs and possibilities in the highlands.

**IV. PROJECT STATUS**

A. Planned EOPS	Progress to Date
1. 40% increase in the proportion of indigenous students at the Quetzaltenango campus	40% increase achieved

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

IV. PROJECT STATUS (continuation)Planned EOPS

2. Student Development Program established, including:
  - a. rural community outreach mechanisms
  - b. program promotion
  - c. indigenous/disadvantaged student recruitment mechanisms
  - d. counseling/guidance services
  - e. academic support and tutoring services
  - f. job placement/follow-up.
3. Quetzaltenango campus program, staff, laboratories, and library strengthened
4. Student financial aid program established at the Quetzaltenango campus
5. Applied research and dissemination program established

Progress to Date

Student development center is in operation. Classrooms, counseling, and guidance services are available at the Center. The University has met with public and private enterprises in Quetzaltenango to encourage and arrange student job placement. Fifteen percent of students are working in public and private sector offices.

The library, laboratories, and staff are working at full steam.

A fund raising program is being developed. The University has contributed 60 scholarships.

Seminars have been conducted to disseminate results of PRODIPMA research studies. SIMAC and PRONEBI personnel are the regular participants in these seminars.

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PROJECT STATUS REPORT  
April 1 - September 30, 1991

C. Other Accomplishments and Overall Status

1. The University complied with the Audit recommendations. A minor recommendation suggested by the financial analysis office required that a computerised accounting system be implemented.
2. A commencement ceremony took place at the Quetzaltenango Campus for the graduation of 62 indigenous students who had earned associate degrees.
3. Students participated actively in teaching social work and sciences to nurses at hospitals, to students at public schools, and to indigenous individuals and groups in Quetzaltenango and other departments of the Altiplano.
4. Dissemination of PRODIPMA project development has been accomplished through outreach activities; this mechanism has served well to recruit new students and to develop strong relationships with important institutions in the Altiplano.

D. Problems and Delays

1. Due to the temporary suspension of Mission funding following the audit, there were low disbursements, although the University managed to finance vital activities.
2. The incidence of student drop-out at the Quetzaltenango campus, although minimal, is due primarily to economic problems. This problem negatively affects achievement of the Project goal.

E. Major Activities or Corrective Actions During the Next Six Months

1. Sixty more students will graduate with Associate Degree during the next semester.
2. Three short-term seminars will be conducted next semester.
3. Fifty more scholarships will be offered beginning in the first quarter of FY 92. Thirty percent of these scholarships are specifically for women.
4. The recommendations of an internal, comprehensive evaluation will be discussed the first quarter of FY92.

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PROJECT STATUS REPORT  
APRIL 1 - SEPTEMBER 30, 1991

A \_\_\_ B X C \_\_\_

I. BACKGROUND DATA

Project Title: ASINDES PVO Development Program (OPG)  
 Project Number: 520-0148  
 Date of Authorisation: original 05/20/86 amendments 05/21/90  
 Date of Obligation: original 05/20/86 amendments 06/30/90  
 PACT: original 06/30/88 amended to 12/31/91  
 Implementing Agencies: Private Agencies Collaborating Together (PACT)  
 Major Contractors: None  
 AID Project Managers: Roberto Perdomo v.V.  
 Status of CPs/Covenants: All met  
 Date of Last Evaluation: 01/20/90 Next Evaluation: 11/91  
 Date of Last Audit: 03/14/90 Next Audit: 03/92

FINANCIAL DATA

Amount Authorized:	DA Grants: original	\$1,050,000	amended to \$2,443,000
Amount Obligated:	DA Grants: original	\$1,050,000	amended to \$2,443,000
Amount Committed:	Period:	\$ - 0 -	
Accrued Expenditures:	Cumulative:	\$2,443,000.00	
	Period - Projected:	\$ 201,000.00	
	Period - Actual:	\$ 7,841.00*	see note next page
	Cumulative:	\$2,350,840.53	
	Period - Next	\$ 92,159.00	
Counterpart Contributions:	Planned:	\$ 800,000	
	Actual:	\$1,325,000	
% LOP Elapsed:		96%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		98%	
% of Total Auth. Exp.		98%	

II. PROJECT PURPOSE

The purpose of this project is to strengthen ASINDES as a PVO umbrella organization to support PVOs operating in Guatemala.

III. PROJECT DESCRIPTION

The project's major components are technical and financial assistance and training from PACT to ASINDES to improve its management and technical capabilities; technical and training assistance for Guatemala NGOs from ASINDES assisted by PACT; and grants to PVOs to carry out their activities.

IV. PROJECT STATUS

A. Planned EOPS

1. Enhanced administrative capacity in ASINDES and ASINDES grantees.
2. Fund management system to channel grants to PVOs.
3. Integrated technical assistance and training program for ASINDES and PVOs operating in Guatemala.
4. Financial self-sufficiency strategy developed and applied.

Progress to Date

Accounting, administration, and personnel manuals developed and applied. ASINDES organizational manual updated. New project selection committee in place. Computerized data bases for institutions and consultants are operating.

A system to channel funds has been established.

Technical assistance and training in strategic planning, sectoral programs & functional areas has continued.

Complete strategy designed; contacts continue to be made with key U.S., Canadian and European donors. ASINDES is developing a plan for presentations to donor and banking institutions. Agreement to obtain GOG/PL 480 Title II funds drafted.

B. Major Outputs

	Planned			Actual			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Identification, selection, and monitoring process for the grants established	1	---	---	---	---	1	100%
2. Evaluation system implemented	1	---	---	---	---	1	100%
3. Technical and training workshops held	40	---	---	2	3 2/1/	85	212%
4. Grants to PVOs	30	1/	---	---	22	1/	100%
5. Mini Grants to non-project activities	20	---	---	---	40		200%
6. Grants to CAPS returnees and/or similar groups for community level projects (POC Program)	30	---	---	---	0	51	170%
7. Computerized administrative system	1	---	---	10%	5%	90%	90%
8. Financial self-sufficiency strategy	1	---	---	---	1	1	100%
9. Women beneficiaries of project activities							
1/ Indicator was reduced to 22 as the project funding ceiling was raised from \$15,000 to \$25,000 per year.							
2/ Training events for NGOs. Participants: 142 (79 men and 63 women)							
3/ Gender statistics on workshops participants have been collected. PVO projects have benefitted a significant number of women (some are specific for women) but no gender statistics are available. Gender statistics for new project beneficiaries will be collected upon receipt of GOG funding to finance future activities.							

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C. Other Accomplishments and Overall Status

PACT has continued to provide technical assistance to ASINDES, especially in the financial and accounting areas. During the reporting period the following actions have contributed to the administrative capacity of ASINDES:

- The Board of Directors approved the new ASINDES organization scheme.
- The re-definition of job descriptions has been completed and included in the personnel manual.
- The project selection committee has been renovated.
- An institutional video on ASINDES was completed.

In order to improve its financial sustainability, ASINDES has taken the following actions:

- On August 30, 1991, the Minister of Finance met with ASINDES' Board and the Executive Director and informed them that the Ministry would transfer to ASINDES the Q5.0 (PL-480, Title I, FY 87) in tranches as follows: Q0.5m. in 1991, Q3.0m. in 1992, and Q1.5m. in 1993. ASINDES has drafted the agreement.
- A microenterprise proposal has been completed. It will be presented to the Inter American Development Bank (IDB) and to a Canadian donor agency. A health proposal has also been completed for presentation to financial institutions (both banks and donors).
- The agroforestry proposal was completed and submitted to the Tropical Forestry Action Plan Office.

Additionally, ASINDES has provided assistance to the PVO community through the following activities:

- Three workshops were held, including a workshop on new methodologies for carrying out programs for the "Minos de la Calle" activity. It was attended by 62 ONG representatives. A financial/audit workshop was also held, with a participation of 56 ONG representatives. A seminar on Project Preparation and Evaluation was carried out for 24 ONG participants. These three workshops were attended by 79 men and 63 women, for a total of 142.
- It has continued to monitor and evaluate PVO projects.
- ASINDES helped to organize and prepare the agenda for the Coastal Resources Management Workshop, in collaboration with ROCAP and the University of Rhode Island.

D. Problems and Delays

- ASINDES is awaiting written confirmation from the Ministry of Finance regarding the Q5.0m. (PL 480, Title I, FY 87) agreement.
- Turnover in ASINDES personnel has caused delays in the computerized accounting and project tracking system.

E. Major Activities or Corrective Actions During the Next Six Months

1. Continue to provide follow-up of Q5.0m. agreement between ASINDES and the Government of Guatemala.
2. Signature of an agreement (for \$410,000) with the Ministry of Development and the IDB to finance a microenterprise project in Santa Rosa.
3. Up-date the ASINDES accounting manual and the administrative procedures manual.
4. Continue institutionalization of fund raising activities.
5. Continue sectoral program planning activities.
6. ASINDES presentation to the Mission.
7. ASINDES has prepared the Final Evaluation Scope of Work and will submit it for AID approval.
8. Carry out the project's final audit. This cost will be covered by PACT.

- Note:  
Last voucher received at the Mission from AID/Washington was as of June 30, 1991. This situation should be taken into account when reviewing actual versus planned expenditures. (Actual expenditures and disbursements as of 6/30/91 amounted to \$2,386,301.)

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**PROJECT STATUS REPORT**  
**APRIL 1 - SEPTEMBER 30, 1991**

**Project Name:** Special Projects Assistance Fund (SPAF)  
Peace Corps

**Project Number:** 520-0383

**Purpose:** The purpose of this program is to finance small village level activities identified by Peace Corps (P.C.) volunteers and selected by the P.C. Principal Country Representative in Guatemala. Most of the project activities financed under this program are small income generating, training and/or infrastructure projects.

**Amount:** Approximately \$100,000 are budgeted for this purpose annually.

**Implementing Agency:** Peace Corps

**Descriptions:** Since 1988, \$180,000 have been allocated for this activity. To date, 106 sub-projects for a total of \$70,526 have been carried out and have benefited approximately 19,000 rural people. Projects implemented include seminars on agriculture and livestock production, and small infrastructure projects.

Disbursement of project funds has continued to be relatively slow as the Peace Corps is also the implementing agency of a similar activity being carried out with CARE/Guatemala using ESF funds. The Peace Corps volunteers prefer to use this other mechanism because the community organizations become responsible for the funds, and the Peace Corps volunteers only monitor the activity. Additionally, most of the sub-projects under the SPAF activity are for less than \$100 each, which means that Peace Corps would have to do a large number of sub-projects in order to use all funds available.

In view of the above, Peace Corps/G has requested Mission authorization to transfer balance of funds (\$109,455) to CARE, to continue financing the activity currently being carried out together with CARE/Guatemala with ESF funding. An Action Memorandum was approved by the Mission Director on 8/28/91 authorizing the transfer of the balance of funds to the Peace Corps to allow them to enter into an agreement with CARE.

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ANNUAL REVIEW

CHILD IN NEED PROJECT  
October 1, 1990 to September 30, 1991

I. BACKGROUND DATA

- a. Project Title: Child in Need  
520-0409 (C)
- b. Project Manager: PRM, David Adams  
PRM, Julia Mussa
- c. Implementing Agency: 1. SHARE
- d. Project Amount\* 1. Period: \$300,000  
2. Cumulative \$300,000

\* Does not include \$68,000.00 of PD&S funds.

A. FINANCIAL DATA

Percent LOP Elapsed:	1.70%
Percent of Total Authorized Obligated:	63.10%
Percent of Total Obligated Expenditures:	0.00%
Percent of Total Authorized Expenditures:	0.00%
<u>Accrued Expenditures</u>	
Period - Projected	0.00
Period - Actual	0.00
Cumulative	0.00
Period - Next	92,252.00

II. PROJECT PURPOSE:

The purpose of this World SHARE project provides a vehicle for SHARE/Guatemala to:

- Decrease the number of children entering the population of orphans and displaced children and improve quality and extend the range of community and institutionally based services for these children.

III. PROJECT DESCRIPTION

This project has two main components. The first is a fund that will finance subprojects submitted by Guatemalan PVOs and similar organizations to support improvements in service quality and expanded coverage.

The second component provides technical assistance, training and coordination services to such organizations.

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III. FOOD AID

## ANNUAL REVIEW

CRS FY - 91 PL-480 Title II Program  
October 1, 1990 to September 30, 1991

I. BACKGROUND DATA

- a. Project Title: Catholic Relief Services  
PL-480 Title II Project
- b. Project Manager: MCH, OHSE, Baudilio López  
FFW, TIO, Roberto Perdomo
- c. Implementing Agencies: 1. CRS  
2. CARITAS  
3. 9 Dioceses  
4. The Guatemalan Bishops Conference
- d. Program Amount  
(State 08802) 1. Commodities: \$1,159,900  
2. USG paid transport: \$294,622  
3. Total: \$1,454,522

A. FINANCIAL DATA

Approved Total Tonnage:	4059 MT
Called Forward:	4059 MT
Balance Unused FY 91:	- 0 -
Percent not Called Forward:	- 0 -
Distributed FY 91:	2861 MT
Inventory Balance 9/30/91:	1198 MT

II. PROJECT PURPOSE

- 1) To increase the developmental impact of PL-480 Title II Maternal Child Health (MCH) Program in 6 dioceses, through the incorporation of a health and nutritional education component, promotion of preventive health-care interventions, and coordination of ancillary development projects.
- 2) In 8 dioceses -- through an expanded Food for Work (FFW) program -- increase production and incomes by providing materials, and improving the productive capacity of participating rural low-income groups in vegetable and fruit production, soil conservation, and reforestation.
- 3) The OCF component provides supplementary feeding to approximately 3,600 orphans and other institutionalized children.

III. PROJECT DESCRIPTION

Because Guatemala's health and nutrition situation is considered among the worst in the Western Hemisphere, work in the MCH program centers on the nutritional aspects of health, such as nutrition related illnesses, and the prevention of malnutrition as its first priority, rather than on a clinical approach oriented to curative health care. This component focuses on providing basic health, sanitation and nutrition education services and promoting preventive health care measures with program recipients.

The Food for Work component of the program increases agricultural production and diversification. Community selected projects focus on increasing basic grain yields, vegetable gardens, soil conservation (compost piles), reforestation, income generation from fruit trees, coffee, handicrafts and essential infrastructure such as schools, latrines, potable water, and appropriate technology, such as construction of efficient wood burning stoves.

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IV. PROJECT STATUS

Title II commodities reach the intended end users within the general terms of Multi-year operational plan (MYOP). At the same time its development impact is limited by the lack of adequate funds to support the program and weak institutional capacity of its local counterpart, CARITAS. All outstanding internal review issues were promptly closed. Reported loss patterns are within normal limits.

TABLE IB. Major Outputs

MCH/OCF	ESTIMATED BENEFICIARIES **	
	PLAN-YEAR	ACTUAL FOR YEAR
MCH	40,000	61,198
OCF	3,600	4,210
FFW	40,000	23,958
TOTAL	83,600	89,366

FFW

	ACTUAL
Reforestation, soil conservation projects	63
Family Income Generation	52
Rural Infrastructure Construction *	22
Literacy Training	1
Total	138

\* Schools, water systems, roads, bridges, community centers.

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## ANNUAL REVIEW

CARE FY - 91 PL-480 Title II Program  
October 1, 1990 to September 30, 1991

I. BACKGROUND DATA

- a. Project Title: CARE  
PL-480 Title II Project
- b. Project Manager: MCH, OHRD, Dr. Baudilio López  
Reforestation, ORD, Ing. Edgar Pineda  
Municipal FFW, TIO, Roberto Perdomo
- c. Implementing Agencies:
1. CARE
  2. MOH
  3. DIGEBOS
  4. MINFIN
  5. Municipalities of Salamá  
Escuintla, Cobán, Mixco, et al
  6. Feed the Children
- d. Program Amount
- |                                       |             |
|---------------------------------------|-------------|
| 1. Commodities:                       | \$5,149,600 |
| (State 088021) 2. USG Paid Transport: | \$1,332,675 |
| 3. Total:                             | \$6,482,275 |

A. FINANCIAL DATA

<u>Approved Total Tonnage:</u>	17,647 MT
<u>Called Forward:</u>	17,798 MT
<u>Balance Unused FY 91:</u>	49 MT
<u>Percent not Called Forward:</u>	0.28%
<u>Distributed FY 91:</u>	12,582 MT
<u>Inventory Balance 9/30/91</u>	5,216 MT

B. Major Outputs  
(See Table I attached)II. PROJECT PURPOSE

The purpose of the Mother Child Health component of this project is to decrease the morbidity and mortality of children under 5 years of age, with an emphasis on pregnant and lactating women in Guatemala. The Agroforestry component of the project provides technical, educational, material and logistical support to poor farmers to carry out soil conservation and reforestation activities and achieve self-sustaining forestry and conservation practices. Construction of basic community infrastructure, structural readjustment, strengthening democratic relationships between municipal governments and beneficiary communities, and employment generation are all purposes of the Urban Food for Work Project.

III. PROJECT DESCRIPTION

The three components of this project; Mother Child Health, Food for Work/Agroforestry; and Urban Food for Work are self-contained activities requiring individual management.

Mother Child Health is a national level program that works through the Ministry of Health, Health Centers and Health Posts in 650 communities. It provides a planned monthly ration of 9.06 Kgs of Soy Fortified Bulgur, Corn Soy Blend, Milled Rice and Vegetable Oil to 280,000 recipients to increase caloric and nutritional intake. Supplemental basic health and child care education and child growth and health monitoring are provided along with the rations. There is also a small 7000-recipient other child feeding component in this program which supports orphanages and similar institutions, and which is in the process of being transferred to Feed the Children.

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The Agroforestry project, which commenced in 1974, supports forestry and conservation projects among 14,500 households in 370 communities located in 13 departments of Guatemala's highland, central, and eastern regions. Community forestry groups are trained to create self-sustaining conservation practices. Timely material and logistical support assists the groups to plant an annual average of 3.5 million seedlings, build bench terraces, dig infiltration ditches, construct contour barriers, make compost, plant fruit trees, use nitrogen fixing species, and undertake seedling production.

The Urban Food for Work project constructs basic infrastructure in 5 municipalities. Drainage systems, potable water, trash collection, walkways, retaining walls, and flood control projects utilize PL-480 commodities as the incentive for unskilled labor. This project provides employment to approximately 6,000 laborers and supplemental income in the form of food to approximately 18,000 of their dependents.

#### IV. PROJECT STATUS

All issues were closed in FY 90 that were pending from the Price Waterhouse external review of the FY 88-89 CARE Title II program.

TABLE I  
Oct. 90 - Sep. 91

OUTPUT DESCRIPTION	PLAN	ACTUAL
Mother Child Care Recipients	220,000	217,000
Agroforestry Group Meetings	2,500	5,163
Forest Fire Brigades	100	162
Seedling Production '000	3,500	3,200
Municipalities visited for UFPW project section	10	10
Complete Evaluation of Guatemala city UFPW	1	1
Drainage systems completed	3	3
Water treatment plants completed	2	3

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ANNUAL REVIEW

SHARE FY - 91 PL-480 Title II Program  
October 1, 1990 to September 30, 1991

I. BACKGROUND DATA

- a. Project Title: World SHARE  
PL-480 Title II Project
- b. Project Manager: Reforestation Component, ORD, Edgar Pineda  
FFW/Minibanks, TIO, Roberto Perdomo  
MCM Component, OH&E, Baudilio Lopez
- c. Implementing Agencies: 1. World SHARE  
2. SHARE de Guatemala  
3. 19 Local PVOS  
4. 20 Village Improvement Committees  
5. 23 orphanages and day care centers  
6. Municipality of Guatemala
- d. Program Amount  
(State 311238)
1. Commodities: \$1,616,300  
2. USG paid transport: \$711,473  
3. Total: \$2,327,773

A. FINANCIAL DATA

Approved Total Tonnage: 7940 MT

Called Forward: 7938 MT

Balance Unused FY 91: 2 MT

Percent not Called Forward: 0.03%

Monetized FY 91: 2400 MT

Distributed FY 91: 3628 MT

Inventory Balance 9/30/91: 1910 MT

II. PROJECT PURPOSE

This World SHARE project provides a vehicle for SHARE de Guatemala to promote, organize, and implement without profit, the provision and distribution of food to needy people in Guatemala, in order to assist them and contribute to their well being and dignity.

III. PROJECT DESCRIPTION

This project has 5 general activities; Natural Resource Conservation, FFW Village Improvements, Mother Child Health, Other Child Feeding and Urban FFW.

MONETIZATION TO DATE

	PLAN	ACTUAL	DIFFERENCE
US\$	\$649,651	\$379,127	\$270,524
QUETZALES	3,248,254	1,895,635	1,352,619

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IV. PROJECT STATUS

	ACTUAL	
<u>MOTHER CHILD HEALTH (MCH)</u>		
MCH Centers	26	
MCH Counterparts operating	19	
Number of beneficiaries	29,460	
Training sessions for improvement	3	
Field letters (management, logistics, technical)	11	
MCH Personnel trained for new centers	148	
ORS training session	1	
ORS donation for MCH salts	35,200	packets
New center request for assistance	120	
<u>FOOD FOR MORE (FFM)</u>		
Village Improvement Projects On-going	20	
Village Improvement Projects completed	22	
Village Improvement Projects Request	35	not including work plans
ONG counterparts identified w/Work Plans	7	
ONG possible counterparts to date	10	
Municipal projects on-going	25	
<u>NATURAL RESOURCE CONSERVATION</u>		
Active counterparts	4	
Communities with program activities	25	
Training sessions	28	
Staff courses/seminars (Costa Rica, US and CAPS)	2	
<u>OTHER CHILD FEEDING (OCF)</u>		
OCF and Orphanage centers assisted	23	
OCF requests	5	
Children benefitted	3,520	
<u>VILLAGE BANKS</u>		
Counterparts trained	2	
Banks trained and organized	8	
Banks initiated	7	
Counterparts identified for expansion	4	

MAJOR ISSUES

1. GOG/World SHARE FFP Agreement Signature remains unsigned and in process. What actions should USAID/Guatemala take in this respect?

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IV. EVALUATION PLAN

EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION QUARTER FY 92 FY 93	PURPOSE/ISSUES
<b>1. PROGRAM/SECTOR EVALUATIONS*</b>			
Non-Traditional Agricultural Exports IFPRI/INCAP Survey -- Cuatro Pinos Cooperative	1		Survey to estimate changes which have transpired on diversifying farms within the "Cuatro Pinos" Cooperative during the 1986 - 91 period. Final report in December 1991.
USAID/INE Household Survey	3		To determine food consumption, expenditure, and nutrition status of rural and urban households as functions of incomes, prices, household characteristics, and agricultural technologies in USAID supported areas and non-USAID supported areas; estimate impacts of policy changes on production and incomes, and assist in establishing new program directions. Final report in third quarter.
* Certain project evaluations (e.g., for Private Enterprise Development) will be structured to provide information with sector-level implications.			

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## EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION		PURPOSE/ISSUES
		QUARTER	FY 92 FY 93	
Demographic/Health Survey 1992-93		3		Repeat of the 1987 Survey; assess population changes, morbidity, mortality; elements significant for Mission Child Survival and Family Planning Programs.
<b>2. <u>SUSTAINING THE NATURAL RESOURCE BASE</u></b>				
520-0274 Highlands Agricultural Development (HAD) (Natural Resources Component)	6/91	Not determined (n.d.)		
520-0395 Maya Biosphere Project		1		Interim evaluation to review progress towards construction of field outposts, completion of project Master Plan, achievement of training objectives and projected studies and research, promotion of environmentally sound economic activities, and participation of local communities in Reserve management activities.
<b>3. <u>SUSTAINED EXERCISE OF INALIENABLE RIGHTS</u></b>				
597.0001.10 and 520-0362 Central American Peace Scholarship	08/91	n.d.		

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## EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION QUARTER FY 92 FY 93	PURPOSE/ISSUES
520-0393 Guatemala Peace Scholarship Project		1	Interim evaluation to review numbers and status of on-going and returned trainees; assess experiences of returnees in their home communities, especially in the areas of leadership and appropriate local employment. To be undertaken via a buy-in arrangement by AID/W with Washington-based firm.
520-0398 Democratic Institutions		n.d.	
<b>4. STRATEGIC OBJECTIVE:</b>			
<u>Smaller, Healthier Families</u>			
520-0288 Expansion of Family Planning Services	02/88	n.d.	
520-0336 Rural Water Project (OPG)	06/91	n.d.	
520-0399 Immunisation and ORT Services for Child Survival	08/89	n.d.	Future evaluations, in addition to assessing progress towards project objectives, will place special emphasis upon ability of GOG to sustain essential services.

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## EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION		PURPOSE/ISSUES
		QUARTER	FY 92 FY 93	
520-0399 Highland Water and Sanitation		3		Interim evaluation to assess progress towards construction of community potable water systems, formation of local water committees, and installation of household latrines; training of Ministry of Health personnel and community health volunteers, including women, in techniques of health education.
520-0408 Rural Water and Health Project		3		Midterm evaluation; same purposes and issues as planned evaluation for 520-0399; assess CARE management of project.
<b>5. STRATEGIC OBJECTIVE</b>				
<u>Improved Basic Education</u>				
520-0320 Primary Education Management Improvement	03/87	1		Final report
520-0374 Basic Education Strengthening (BEST)		3		Interim evaluation to assess progress towards achieving targeted outputs, including establishment of additional bilingual schools (PRONEBI), distribution of primary school materials, interactive radio instruction systems for Spanish and mathematics, a MIS system, and achievement tests. Especially important at this stage in the project will be evaluation of project and MOE management and information systems, including continued commitment to objectives of the project.

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## EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION		PURPOSE/ISSUES
		QUARTER	FY 92 FY 93	
<b>6. STRATEGIC OBJECTIVE:</b>				
<u>Increased Private Investment and Trade</u>				
520-0274 Highlands Agriculture Development (Devirsification & Irrigated Ag. Support)	06/91	n.d.		Alternatives regarding project revision and/or extension are presently under review.
520-0286 Cooperative Strengthening	11/89		3	Interim evaluation to assess progress towards increasing coop membership, staff, and leaders; growth in coop capital and other assets and in credit union deposits; status of management of coop federation and affiliates.
520-0341 Private Enterprise Development (PED)	04/90		3	Final evaluation to assess achievements of policy framework facilitating private sector access to trade and investment; improved access for small and medium enterprises to technical assistance and managerial training, credit, and timely market information; assessment of functioning of the principal participating institutions, including CAEM, AGG, GEXPRONT, FTFP Investors Training Center, and related private sector information systems. Follow-on programs/ projects to be considered in light of Mission's revised strategic objectives.

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## EVALUATION PLAN

PROJECT NUMBER & TITLE	DATE OF LAST EVALUATION	DATE OF NEXT EVALUATION QUARTER FY 92 FY 93	PURPOSE/ISSUES
520-0353 Rural Electrification III (Productive Uses of Electricity)		1	Interim evaluation to assess establishment of community training seminars re local uses of electricity and provision of technical assistance and credit for potential users; status of institutionalization of INDE program.
520-0371 Fiscal Administration		1	Interim evaluation to assess progress and explore options for extension.
520-0380 Entrepreneurial Development		2	Interim evaluation to assess status of technical assistance and trade and investment services for small and medium scale entrepreneurs; review changes in investment attributable to project; possibilities for follow-on beyond PACD in light of Mission's revised strategic objectives.
520-0381 Small Farmer Coffee	08/91	4	Interim evaluation to gauge progress towards improving ANACAFE's management and coordination of project, provision of technical assistance to participating farmers in production and marketing of coffee, and timely provision of credit.

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V. COUNTRY TRAINING PLAN

## Country Training Plan

FY 1992 - FY 1996

### Restatement of Mission Training Objectives

#### I. CLASP I/Central America Peace Scholarship (CAPS) Program

a. Follow-on In-Country Training: By July 1992, 2,500 short-term training associates will have completed their in-country follow-on reinforcement training program which consists of four one-week training sessions over a two year time frame. Upon successful completion of the four training modules, a special ceremony will be held whereby each associate will receive a certificate of training.

The follow-on training program has been expanded during FY 1992 to include the provision of administrative training for the leadership of the CAPS Alumni Association (ASOPAZAC) in order to reinforce their management and leadership skills so that ASOPAZAC may function independently as an association devoted towards working at the community level.

b. Summary of Training Program under CAPS I: Throughout the CAPS I period (FY 1985 - FY 1990), 3,000 Guatemalan Peace Scholars were recipients of short-term training programs in the following fields: small and medium enterprise, primary health care, community development, training of trainers, training of rural youth, education and cooperative development. This training consisted of five weeks in the United States during which time the Peace Scholars received technical training, practical on-the-job experiences and direct-contact "Experience America" activities. In FY 1989 alone, 685 Guatemalans were recipients of short-term technical training programs in fields of study similar to those listed above.

A total of 768 Guatemalans have been recipients of long-term academic and technical training -- 608 funded under the CLASP I/GPS Project and 160 funded under the Georgetown CASP/CASS Program. During FY 1989, 45 students under the Del Valle University Program continued their university undergraduate study program in the U.S. and graduated from the program in FY 1991.

Moreover, during FY 1989, 160 scholars were recipients of long-term training under the Junior Year Abroad Program and 181 Guatemalans were recipients of U.S. long-term technical training. Including the 408 short-term scholars that were sent for training during FY 1990, USAID/Guatemala sent a total of 5,011 Guatemalans to the U.S. under the CAPS I Project in short and long-term training programs.

The project has been successful in meeting all training and other targets, in its "Experience America" aspects and in providing follow-on training to returned short-term trainees. The CAPS I Project is viewed by the Guatemalan and the U.S. governments as having an important impact in the development and strengthening of democratic institutions and processes in Guatemala. During FY 1991 Peat Marwick conducted a financial and administrative audit of the CAPS I Project in which no significant findings worthy of mention were revealed. Also, Aguirre International conducted an impact evaluation which demonstrates that both U.S.-based and in-country follow-on training have had a definite positive impact not only for the recipients of training, but for their communities at large.

The CAPS I PACD is July, 1992. The Mission anticipates that all in-country follow-on reinforcement training activities will be successfully completed at this time. Therefore, this will be the final Country Training Plan that addresses training activities financed under CAPS I.

## II. CLASP II/Guatemala Peace Scholarship Program (GPS)

a. Progress to Date: FY 1990 marked the beginning of the Mission CLASP I/GPS Project. During FY 1990, the GPS Project was authorized and through an 8-a mechanism with META, Inc., we were able to send 50 long-term academic trainees under the Academic Year Abroad (AYA) Program. The remainder of FY 1990 was spent in the procurement process for the GPS institutional contractor. While the contract was negotiated and signed with Development Associates (DA) towards the end of FY 1990, the field office was not opened until the beginning of FY 1991.

During FY 1991 the GPS training strategy was defined, the recruitment and selection process was designed and all short- and long-term training programs were developed. Also during FY 1991, 200 Guatemalans were the recipients of short-term technical training and an additional 45 individuals were

identified through the long-term recruitment process as successful candidates for long-term academic training.

b. Summary of Training Programs under GPS: The CLASP II/GPS Project continues to seek to improve the human resource base of Guatemala and strengthen democratic processes and institutions by fostering local participation and democratic decision-making through the provision of U.S.-sponsored training for socially and economically disadvantaged and leadership-quality individuals. Strengthening the mutual ties of friendship between Guatemala and the United States via "Experience America" activities which expose Guatemalans to U.S. values and institutions and Americans to Guatemalan values and culture continues to be an important aspect of GPS training.

All GPS training programs are designed to support the Mission's strategic objectives, and therefore, all training is designed in close coordination with the Mission's technical offices in order to ensure complementarity and avoid duplicity of other Mission training endeavours. Not only do all GPS training programs include "Experience America" activities, but they also include sessions on leadership skills development and environmental awareness.

The target groups under the CLASP II/GPS Project include individuals in formal positions of leadership at the community and local level, including municipal mayors and members of community development councils and to a lesser degree, as is the case with long-term academic training, youth with leadership potential. All potential candidates are measured against their desire and demonstrated intention of being accountable to their constituencies/communities and fostering democratic participation at the local level.

To achieve program objectives, USAID continues its highly successful short-term training programs; however, under GPS, the duration of training has been extended from five weeks to six weeks in duration. In certain instances, for example under the GPS Trade and Investment component, training may last up to eight weeks. The short-term training programs will continue to be reinforced through an intensive in-country follow-on program utilizing the assistance of Guatemalan intermediary institutions for implementation of follow-on training activities.

GPS also continues to provide long-term technical and academic training in areas such as food sciences, agricultural

production, international business, vegetable production, tropical crops and agro-forestry management and manufacturing technology for export-oriented industries. Long-term technical training will be conducted in Spanish and will have a 9 - 12 month duration while long-term academic training will be conducted in English and will have a 15 - 18 month duration.

A six month English Language Training (ELT) Program will be included as part of the long-term academic training. All scholars will be required to sign a contract indicating certain benchmarks throughout the ELT Program. If they are unable to meet the benchmarks or are unable to achieve the minimum TOEFL requirement at the end of the ELT Program, their scholarship will be terminated and they will be required to return immediately to Guatemala. Under no circumstances will the ELT portion of the training be extended.

GPS Project implementation is provided through an A.I.D. direct institutional contract with Development Associates (DA). DA is responsible for all recruitment, pre-selection, orientation, placement, monitoring, programming, documentation and follow-on activities, in accordance with Handbook 10 regulations. A U.S. personal services contractor serves as the Project Manager.

c. Groups to be Targetted in FY 1992 CLASP II/Guatemalan Peace Scholarship (GPS) Project and Types of Training to be given:

o Short-Term (S-T) Technical Training: During FY 1992 approximately 460 individuals will be the recipients of U.S.-based short-term training. Groups targetted for this training will include regional educational supervisors to receive training in education administration; elected and non-elected municipal leaders to receive training in community development; social workers and agricultural extensionists working in integrated pest management, community leaders working in environmental impact assessment, local leaders working in areas of the export industry and food processing, sanitation and safety and the regional office directors and educational promoters working in the departmental offices of the Office of The Human Rights Ombudsman. Practical on-the-job and observation training is an important aspect of all GPS short-term training.

o Long-Term (L-T) Technical Training: During FY 1992, approximately 90 individuals will begin their U.S.-based

long term technical training in the following program areas: tropical crops and agro-forestry management, vegetable production and manufacturing technology for export-oriented industries. A minimum requirement for selection into a GPS L-T technical training program will be the possession of an intermediate level technical degree from a Guatemalan University. The major focus of the technical training will be practical "hands-on" training in order to provide the associate with the necessary experience to be able to secure a job within the industry upon his/her completion of training and return to Guatemala.

o Long-Term (L-T) Academic Training: The focus of long-term academic training has changed from a more "experience related" Academic Year Abroad Program to a more practical, "hands-on" program in which the trainee has the opportunity to obtain a U.S. undergraduate degree.

During FY 1992, approximately 96 individuals will begin their U.S.-based L-T academic training program in the following program areas, all falling within the productive sector: international business, agricultural production and food sciences. As with the long-term technical training program, a minimum requirement for selection into a GPS L-T academic training program is also the possession of a three-year technical degree from a Guatemalan university. This training will also focus on practical experience; however, the associate will be given the opportunity to receive a B.S. degree.

Although undergraduate degree completion is not the focus of the L-T academic training, this option will be open to the associates; however, they must be able to complete the degree within the allotted GPS time frame. Those associates who are not successful in obtaining a B.S. will receive a certificate of training completion.

d. Increased Participation by Women

USAID's program exceeded the overall CAPS I Program mandate to provide training to 40% women. According to Mission project records and Aguirre evaluation data, 53% of the 3,898 recipients of short-term training were women while 41% of the 1,113 recipients of long-term training have been women.

The Mission will strive to continue this trend under the CLASP II/GPS Project.

e. Increased Participation in HBCUS

Under CAPS I, USAID exceeded the 10% target, by placing approximately 15% of the total CAPS I scholars in HBCUs. The Mission anticipates that this trend will continue under the CLASP II/GPS Project by working in close coordination with the HBCUs to ensure their participation in the provision of GPS training.

f. Long-Term Training

It is anticipated that the CLASP II/GPS program mix for the period FY 1992 - FY 1996 will be 70% short-term and 30% long-term.

g. Target for Disadvantaged

USAID will continue to exceed the 80% disadvantaged target. The majority of GPS trainees will continue to be indigenous Guatemalans or women, which, by definition are socially disadvantaged. Furthermore, all short-term trainees are within the GPS economic need criteria, e.g., they are below the GOG's minimum income level for tax payment purposes, and are therefore categorized as economically disadvantaged.

h. Experience America

Our Guatemalan Peace Scholars continue to have significant quality contacts and first-hand experiences with North Americans during their U.S. training. Evidence shows that the short-term trainees possess positive attitudes about the U.S. before they leave for their training and that these attitudes are strongly reinforced during their six weeks of U.S. training.

All GPS "Experience America" activities require that the associate take an active role along with an American counterpart. This is often times accomplished through interactive participation in professional, social or civic activities, opportunities to attend meetings with neighborhood associations and citizen action groups, PTAs or other experiences which illustrate democratic processes and civic values. The "Experience America" will continue to give each trainee the opportunity to fully integrate within his/her local community in areas which are not only beneficial to each study

program, but also in areas which are of particular importance to each trainee.

Through our "Experience America" U.S. program, scholars return to Guatemala with a greater understanding of and first-hand experience in democratic principles and their relation to popular participation and development.

Furthermore, upon the return of GPS Scholars to Guatemala, "Experience America" will continue to be emphasized by means of in-country meetings which are available to all long and short-term trainees and follow-on/ reinforcement training programs which are available to all short-term associates.

i. Follow-on

The Mission's GPS follow-on program consists of activities geared towards all CAPS I and GPS alumni as well as the follow-on reinforcement training program which is specifically designed for the recipients of short-term training. The CAPS I Follow-on Program will reach successful completion during FY 1992, while the GPS Follow-on Program will be initiated during FY 1992.

j. Cost Containment

The Mission, through its institutional contractor, utilizes Training Cost Analysis (TCA) to cut unnecessary costs without reducing the effectiveness and quality of the training program.

Cost containment measures introduced during CLASP I continue to be successful. For example, we insist that when training programs are repeated using the same institution as training provider, that our contractor negotiate reductions in the per trainee cost. In the instance of long-term training, we negotiate in-state tuition fees for each associate.

k. Lead Time Policy

The Mission realizes the need for adequate lead time in processing training documentation, and will continue to comply with the Handbook 10 policy guidance of three months advanced written notification for short-term training and six months advanced written notification for long-term training.

## 1. Training for the Elite

The GPS Project carefully complies with CLASP II Policy Guidance and under no circumstance approves training for the elite. All GPS short-term trainees are economically and/or socially disadvantaged. The long-term trainees, while coming from a higher socio-economic group than the short-term trainees, all fall within our economic needs criteria or form part of the 20% "not disadvantaged" group. For the most part, GPS trainees come from the lower class or lower ranges of Guatemala's small middle class.

## III. JEFFERSON FELLOWSHIPS IN GENERAL

USAID's overall training objective is to provide relevant training to an increasing number of private and public sector individuals in areas which will serve to further the social, economic and political development of Guatemala. USAID/Guatemala's strategy for increasing non-GPS project-related training, by means of reprogramming and/or designing projects to include more Jefferson Fellowships plus the implementation of a development training project which covers areas not impacted by other Mission programs, has resulted in a substantial increase of participant training activities.

The Mission's Development Training and Support Project (DT&S) has assisted in strengthening the economic, social and political development of Guatemala by providing training opportunities to public and private sector officials from all levels of society; however, with emphasis on leaders in decision-making positions. To this end, the Project has an institutional development rather than individually focused goal and strives to strengthen public sector/private sector interface.

The Project has been successful in implementing its unique approach to training, which brings the U.S. training institution into the process as an active partner with a Guatemalan counterpart institution throughout all phases of training -- from the initial training design through the in-country multiplier effect training activities. For the most part, the participation of the U.S. training institution in the initial in-country training and the follow-up multiplier effect training is partially financed by the U.S. institution and the host country institution.

Long-term DT&S training focuses on funding 29 Guatemalan university level professors in U.S. and third-country M.A. and Ph.D level academic training programs. In addition, the Project funds the Merit Scholarship Program which provides 100 academically talented and economically disadvantaged high school graduates with a five year scholarship to obtain a Guatemalan undergraduate degree and a three year scholarship for 50 academically talented Guatemalans at the Pan American Agricultural School (Zamorano) in Honduras. DT&S short-term training has focussed on establishing and/or strengthening communication between the private/public sectors in policy areas and supported programs and priorities in fields such as industrial relations, environment and natural resources, food processing, tourism, management and training outreach and support.

During FY 1991 five Guatemalans were recipients of U.S.-sponsored short-term training and one University professor began his M.A. training in a Mexican university. Also during this time, a technical review and financial assessment of DT&S activities was performed in order to make recommendations for optimum use of limited resources in support of the Project's objectives.

During FY 1992 the Project will fund a finance management training program, a buy-in to the LAC Regional Financial Management Project, for approximately 50 key leaders within the Ministry of Finance and the Controller General's Office; a hospital equipment maintenance and repair program for 22 maintenance repair people working within the Ministry of Health; and, technical assistance in support of Guatemala's Construction Industry Training Institute to strengthen skills training for productivity. We will also continue to support the efforts of the host country institutions with their in-country follow-on multiplier effect training activities.

The target for FY 1992 U.S. or third-country short-term training is 24. In-country multiplier effect training will reach approximately 200 Guatemalans during FY 1992.

The Country Training Plan includes Mission Project-related training to reinforce projects with the private and public sectors. Training under these projects will continue to meet HBCU placement requirements and the mandate of 40% participation by women. During FY 1991 the Mission began full implementation of its Training Order, in full compliance with Handbook 10 regulations.

GUATEMALA PEACE SCHOLARSHIPS  
TABLE

FY 1992 COUNTRY TRAINING PLAN  
NUMBER OF NEW STARTS

COUNTRY OF ORIGIN: GUATEMALA

<u>TYPE OF TRAINING</u>	<u>FY 92</u>	<u>FY 93</u>	<u>FY 94</u>	<u>FY 95</u>	<u>FY 96</u>	<u>TOTAL</u>
<u>TECHNICAL</u>						
<u>-27 days</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>28-180 days</u>						
<u>Female</u>	196	60	-0-	-0-	-0-	256
<u>Male</u>	294	90	-0-	-0-	-0-	384
<u>181-289 days</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>9 months or more</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>TOTAL TECHNICAL</u>	490	150	-0-	-0-	-0-	640
<u>ACADEMIC</u>						
<u>Undergraduate 18 mos.</u>						
<u>Female</u>	46	60	-0-	-0-	-0-	106
<u>Male</u>	50	90	-0-	-0-	-0-	140
<u>Undergraduate 3 yrs or more</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>TOTAL ACADEMIC</u>	96	150	-0-	-0-	-0-	246

PROJECTS RELATED TRAINING  
TABLE

FY 1992 COUNTRY TRAINING PLAN  
NUMBER OF NEW STARTS

COUNTRY OF ORIGIN: GUATEMALA

<u>TYPE OF TRAINING</u>	<u>FY 92</u>	<u>FY 93</u>	<u>FY 94</u>	<u>FY 95</u>	<u>FY 96</u>	<u>TOTAL</u>
<u>TECHNICAL</u>						
<u>.. days</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>28-180 days</u>						
<u>Female</u>	54	68	40	10	-0-	172
<u>Male</u>	103	100	59	35	-0-	297
<u>181-289 days</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>9 months or more</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>TOTAL TECHNICAL</u>	157	168	99	45	-0-	469
<u>ACADEMIC</u>						
<u>Undergraduate 1 year</u>						
<u>Female</u>	1	-0-	-0-	-0-	-0-	1
<u>Male</u>	2	-0-	-0-	-0-	-0-	2
<u>Undergraduate 2 years</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>Undergraduate 3 yrs or more</u>						
<u>Female</u>	----	----	----	----	----	----
<u>Male</u>	----	----	----	----	----	----
<u>TOTAL ACADEMIC</u>	3	-0-	-0-	-0-	-0-	3

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S U M M A R Y

FY 1992 COUNTRY TRAINING PLAN  
NUMBER OF NEW STARTS

COUNTRY OF ORIGIN: GUATEMALA

<u>TYPE OF TRAINING</u>	<u>FY 92</u>	<u>FY 93</u>	<u>FY 94</u>	<u>FY 95</u>	<u>FY 96</u>	<u>TOTAL</u>
<u>TECHNICAL</u>						
Female	250	128	40	10	-0-	428
Male	397	190	59	35	-0-	681
<u>TOTAL TECHNICAL</u>	<u>647</u>	<u>318</u>	<u>99</u>	<u>45</u>	<u>-0-</u>	<u>1,109</u>
<u>ACADEMIC</u>						
Female	47	60	-0-	-0-	-0-	107
Male	52	90	-0-	-0-	-0-	142
<u>TOTAL ACADEMIC</u>	<u>99</u>	<u>150</u>	<u>-0-</u>	<u>-0-</u>	<u>-0-</u>	<u>249</u>

