

PD-ABI-856

SEMI-ANNUAL REPORT (SAR)

April 1 - September 30, 1993

USAID/COSTA RICA



AGENCY FOR INTERNATIONAL DEVELOPMENT
UNITED STATES A.I.D. MISSION TO COSTA RICA

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December 6, 1993

MEMORANDUM

TO: Eric R. Zallman, LAC/DR
FROM: Ronald F. Venezia, Mission Director *Rm*
SUBJECT: USAID/Costa Rica Semi-Annual Report for the Period
April 1 - September 30, 1993

We are hereby enclosing for your review the subject report, which has been prepared in accordance with the guidance set forth in State 306834 of October 7, 1993. For your information, unlike previous SAR narratives, this one contains Mission efforts to amend projects in order to reflect a standard June 1996 PACD or move implementation responsibilities to another USAID.

This FY 93 Fall SAR package consists of:

- (1) a Mission Director's Overview statement which summarizes the outcome of the Mission's portfolio review, including the financial summary and pipeline analysis tables, and also addresses the topics mentioned in the above mentioned cable;
- (2) the individual Project Status Reports; and
- (3) an Updated List of USAID/Costa Rica Central and Regional Projects.

In addition to this package, Joseph Stepanek, LAC/DP, is preparing a separate report on our Mission review.

Attachment: a/s

TABLE OF CONTENTS

	<u>Page No.</u>
(1) MISSION DIRECTOR' OVERVIEW	i
A. Portfolio Overview	i
B. Highlights of Program Results	ii
C. Problems and Delays	iii
D. Pipeline Status	iii
E. Reporting System	iii
(2) PROJECT STATUS REPORTS	iv
(3) UPDATED LIST OF USAID/COSTA RICA CENTRAL AND REGIONAL PROJECTS	v

(1) MISSION DIRECTOR'S OVERVIEW**A. Portfolio Overview**

The Mission is reporting on 21 active dollar projects, with a total authorization of \$157.4 and one ESF program of \$85 million (ESR VIII -\$85 million). ESR VIII is still included because its pipeline of \$10 million has not been disbursed pending the resolution of the expropriation cases. The total authorized Mission portfolio managed over the six-month period was \$242.4 million. This includes two large regional projects for which this Mission has implementation responsibility but which are not included in our OYB; the EARTH (596-0129) and Regional Administration of Justice (597-0002) Projects.

In addition to the dollar project portfolio, the Mission is currently managing a HCOLC Program, valued at over \$275 million, which supports approximately 35 ESF local currency generated activities and 36 PL-480 (app. \$50 million). PL-480 activities are being implemented by the GOCR with relatively low levels of Mission support. ESF activities include endowments and trusts, sectoral support, program trust fund activities directly implemented by USAID, operating expense trust funds for Mission's local costs, and GOCR projects. The portfolio is complicated, presents a myriad of management and accountability responsibilities and requires a significant amount of staff oversight. As the Mission terminates its presence in Costa Rica, the management of this portfolio must be assumed by the public and private sector entities implementing program activities. Significant progress has been made by the Mission in establishing a strategy to phase out Mission involvement in HCOLC activities. In the past six months, 13 different local currency activities have been closed out.

Consistent with long term plans and recent AID/W policy, the size of the Mission-managed DA portfolio continues on a downward trend. USAID/CR remains committed to the orderly completion of the on-going development assistance program, although the project assistance completion dates of some programs will be moved up, requiring in some cases modification of output expectations. That is the case for the Financial Services, Regulation for Forest Management, PATS, and CAPS projects.

Focusing on the DA portfolio and considering the financial summary and the pipeline tables, several points stand out:

1. A 26 % decrease in total DA portfolio obligations occurred during the second semester of FY 93. (Obligations during the second semester of FY93 were \$6.69 million and for the same semester of FY 92 obligations were \$9 million);

2. Even with new total obligations of \$6.69 million, the financial table shows a 6.4% decrease in the pipeline (last period \$29.9 million, this period \$28 million);
3. Four projects account for 62% of the pipeline. They are as follows:
 - a. PATS (515-0241) 20%
 - b. FORESTA (515-0243) 16%
 - c. CAPS II (515-0254) 14%
 - d. FINANCIAL SERVICES (515-0247) 12%

B. Highlights of Program Results

ESF agreements have focused on the need to deepen economic reform. ESF conditionality and technical assistance efforts were designed to position Costa Rica to be eligible for IBRD and IDB resources associated with Enterprise for the Americas benefits of trade, investment and debt reduction. Working in close cooperation with the IFIs, who have on several occasions noted and appreciated A.I.D.'s lead policy, the Mission has targeted and achieved significant reforms in tariffs, price controls, central bank activities, pension systems, public employment levels, customs, foreign exchange markets, tax rationalization and privatization. In each of these areas, AID's presence, policy dialogue, specialized technical assistance and conditionality set the stage for negotiation of the major reform loans recently approved by the IBRD and IDB Boards. Mission will continue to dedicate funds under the PATS Project for technical assistance to help Costa Rica meet the conditions for these loans.

Since 1985 AID-assisted investments have contributed to the increase in Non-Traditional Exports (NTEs). AID's program and policy support, carried out primarily through CINDE, has contributed to the growth of NTEs. Costa Rican agricultural exports are now diversified from the historical base of 4 crops (coffee, bananas, sugar, and cattle) to include cut flowers, ornamental plants, fruits, vegetables, and seafood. AID has also supported NTEs through lines of credit in the private banking sector, and indirectly through measures that have increased competition and breadth of services in the financial sector.

PVO programs have directly benefited more than 20,000 people, with more than 2,000 jobs created or saved. FUNDECOR, a private sector foundation, has been established and is providing training and management in buffer zones around 4 national parks encompassing more than 4,000 acres. Earth, a four year tropical agriculture college, has over 400 students and will graduate this month 50 BSs in agricultural sciences. Mission has recently started the Regulation for Forest Management Project which will shape the design of a proposed IDB forestry loan, and can be completed prior to the FY 96 Mission closing.

4

C. Portfolio Management

The Mission has committed itself to a phase down and is currently preparing a detailed plan for termination of all Mission presence and activities by September 30, 1996. It is anticipated that project assistance completion dates of some programs/projects will either need to be moved up, requiring in some cases modifications of output expectations, or implementation responsibility will need to be passed to another USAID.

The BOSCOA project (515-0255) is facing implementation problems. Mission management will monitor closely this project to implement corrective actions within a specific timeframe. If problems continue, an early project termination will be executed.

With respect to the EARTH project, Mission Senior Management is keeping its pressure on ROCAP to honor their commitment to fully fund the project. It currently has a \$2.6 million mortgage.

Projects with significant pipeline amounts will be monitored closely to assure no PACD extensions.

D. Pipeline status

The Pipeline Analysis Table shows that 33% of the Mission's pipeline is older than three years (last period 49%). Significant progress has been made in terms of reducing the Mission's DA portfolio pipeline. The projects with the largest pipelines are PATS and FORESTA. In the case of PATS, most of its sizeable pipeline is committed to long-term technical assistance contracts and to an active participant training program (ATIE). FORESTA's late start is the cause for its big pipeline.

E. Reporting System

Mission continues encouraging AID/W to develop a useful software package for project reporting, compatible with the Mission's accounting system. The Mission still draws on a SAR-Cairo model called "MIS" which incorporates MACS data automatically in a Wang document. The Mission is changing from Wang documents to Word Perfect and MACS is going to be changed soon. We would like some assistance from AID/W in order to have a new system in place before the next round of SARs.

5

PIPELINE ANALYSIS
USAID/COSTA RICA
(\$000)

As of September 30, 1993

PROJECT NO.	PROGRAM/ PROJECT TITLE	CUMUL. AMOUNT OBLIG.	FY OBLIGATIONS							PIPELINE		PIPELINE ANALYSIS							
			1986	1987	1988	1989	1990	1991	1992	1993	1986-87	1988	1989	1990	1991	1992	1993		
ACTIVE PROJECTS																			
515-0212.00	Training for Pvt. Sect. Dvmt(G)	4,990	500	500	340	0	0	0	0	0	102	0	102	0	0	0	0		
515-0212.01	Training for Pvt. Sect. Dvmt(G)	1,625	0	0	0	0	0	0	955	700	1,317	0	0	0	0	905	412		
515-0235	Northern Zone Cons. (G)	5,150	0	0	5,150	0	0	0	0	0	1,791	0	1,791	0	0	0	0		
515-0237	Nontraditional Ag. Exp. (G)	3,800	0	2,000	1,500	0	0	0	0	300	577	0	577	0	0	0	0		
515-0241	PATS (G)	9,847	0	0	0	1,039	3,361	1,168	3,079	1,200	5,486	0	0	39	1,168	3,079	1,200		
515-0242	CAPS (G)	20,362	0	14,762	3,500	2,100	0	0	0	0	858	0	0	858	0	0	0		
515-0243	FORESTA (G)	7,500	0	0	0	5,500	2,000	0	0	0	4,440	0	0	2,440	2,000	0	0		
515-0244	Justice Sector Imp (G)	3,400	0	0	1,500	1,400	0	0	0	500	525	0	0	25	0	0	500		
515-0247	Financial Services (G)	4,700	0	0	0	0	0	3,250	700	750	3,235	0	0	0	0	1,785	700		
515-0249	Tortiguero (G)	550	0	0	0	550	0	0	0	0	334	0	0	334	0	0	0		
515-0252	Support to PVO's (G)	2,000	0	0	0	0	1,300	700	0	0	330	0	0	0	0	330	0		
515-0254	CAPS II (G)	6,960	0	0	0	0	4,000	1,000	1,000	960	4,008	0	0	0	1,048	1,000	1,000		
515-0255	BOSCOSA	1,300	0	0	0	0	0	0	0	300	174	0	0	0	0	0	174		
515-0257	IESC. OPG (G)	1,300	0	0	0	0	650	650	0	0	50	0	0	0	0	50	0		
515-0261	Reprod Health Cons Proj (G)	818	0	0	0	0	0	0	552	266	787	0	0	0	0	0	521		
515-0262	Native Tree Reforest Proj (G)	500	0	0	0	0	0	0	500	0	439	0	0	0	0	0	439		
515-0263	Regulation for Forest Mgmt (G)	400	0	0	0	0	0	0	0	400	400	0	0	0	0	0	400		
515-0277	Arlas Foundation Eadov. (G)	500	0	0	0	0	0	0	0	500	500	0	0	0	0	0	500		
596-0129.02	EARTIL (G)	16,042	0	0	0	12,442	0	700	2,900	1,500	907	0	0	0	0	0	907		
596-0177	Partnership Dem & Develop (G)	869	0	0	0	0	0	0	0	715	712	0	0	0	0	0	712		
597-0002	Regional Adm. of Just. (G)	13,586	1,695	0	0	2,300	0	0	0	0	29	0	0	29	0	0	0		
598-0642	Regional Adm. of Just. (G)	6,872	505	0	0	900	1,450	2,000	1,700	317	1,013	0	0	0	0	0	696		
TOTAL		113,071	2,700	17,262	11,990	26,231	12,761	9,468	11,386	8,408	28,014	0	2,470	3,686	3,067	4,333	8,247		
												PIPELINE	0%	9%	13%	11%	15%	29%	22%
												CUMULAT.	0%	9%	22%	33%	48%	78%	100%

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FINANCIAL SUMMARY OF USAID/CR PORTFOLIO
(APRIL 1, 1993 THROUGH SEPTEMBER 30, 1993)
 (\$000)

PROJECT NO.	PROGRAM/PROJECT TITLE	CATEGORY	No. of S.O. Supported by Project	DATE OF INIT. OBLIG.	LAST REVISED PACD	% OF LOP ELAPSED	% OBLIG. EXPEND.	AUTH. LOP AMOUNT	CURRENT FY OBLIG. TO DATE	CUMUL. AMOUNT OBLIG.	MORTGAGE PIPELINE	BEGINNING SEMESTER PIPELINE	PLANNED SEMESTER EXPEND.	ACCRUED SEMESTER EXPEND.	ACCRUED AS % OF PLANNED	CUMULATIVE ACCRUED EXPEND.	ENDING PIPELINE	PLANNED EXPEND. (NEXT FEM.)	PROJECT'S CONTRIBUT. TO TOT PIPEL.
ACTIVE PROJECTS																			
515-0212.01	Training for Pvt. Sect. Dvmt(G)	B	1	24-Jun-92	25-Sep-94	56%	19%	1,800	700	1,625	175	1,405	540	0	0%	308	1,317	716	5%
515-0237	Nontraditional Ag. Exp.(G)	A	1	25-Sep-87	30-Sep-94	86%	85%	3,800	300	3,800	0	206	30	(71)	N/A	3,223	577	368	2%
515-0247	Financial Services (G)	A	1	31-May-91	30-Jun-95	57%	31%	7,500	750	4,700	2,800	3,281	769	46	6%	1,465	3,235	500	12%
515-0252	Support to PVO's (G)	A	1	15-Apr-90	20-Jul-94	81%	84%	2,000	0	2,000	0	478	242	148	61%	1,670	330	150	1%
515-0257	HESC. OPG (G)	A	1	1-Jan-90	31-Dec-93	94%	96%	1,300	0	1,300	0	50	230	0	0%	1,250	50	50	0%
515-0244	Justice Sector Improvement (G)	B	2	14-Sep-88	30-Jun-96	65%	85%	3,400	500	3,400	0	196	500	171	34%	2,875	525	359	2%
515-0277	Arias Foundation Endow. (G)	A	2	30-Sep-93	30-Sep-97	0%	0%	500	500	500	0	0	0	0	0%	0	500	N/A	2%
596-0177.00	Partnership Dem & Develop (G)	A	2	17-Aug-92	30-Sep-95	36%	18%	920	715	869	51	0	0	157	N/A	157	712	110	3%
597-0002	Regional Admin. of Just. (G)	C	2	22-Mar-85	31-Dec-94	87%	100%	25,872	0	13,586	12,286	209	140	180	129%	13,557	29	90	0%
597-0003.02	Strengthening Democ. (G)	B	2	30-Sep-89	31-Dec-93	94%	89%	262	0	262	0	87	87	57	66%	232	30	6	0%
598-0642	Regional Admin. of Just. (G)	C	2	14-Aug-86	31-Dec-94	85%	85%	6,872	317	6,872	0	1,187	1,000	491	49%	5,859	1,013	310	4%
515-0243	FORESTA (G)	A	3	28-Apr-89	31-Mar-96	64%	41%	7,500	0	7,500	0	5,188	950	748	79%	3,060	4,440	900	16%
515-0249	Tortugero (G)	A	3	30-Mar-89	31-Mar-94	90%	39%	550	0	550	0	144	98	(190)	N/A	216	334	334	1%
515-0255	BOSCOISA (G)	C	3	31-Mar-90	31-Mar-96	58%	87%	1,900	300	1,300	600	300	150	126	84%	1,126	174	150	1%
515-0262	Native Tree Reforest Proj (G)	A	3	30-Sep-92	30-Sep-96	25%	12%	800	0	500	300	480	120	51	43%	61	439	120	2%
515-0263	Regulation for Forest Mgmt (G)	A	3	30-Aug-93	30-Sep-97	2%	0%	2,000	400	400	1,600	0	0	0	N/A	0	400	301	1%
596-0129.01	EARTH (G)	A	3	30-Sep-85	30-Sep-95	80%	100%	6,705	0	6,705	0	0	0	0	N/A	6,705	0	0	0%
596-0129.02	EARTH (G)	A	3	29-Aug-89	28-Aug-94	82%	94%	18,732	1,500	16,042	2,689	1,032	2,000	1,625	81%	15,135	907	460	2%
596-0129.03	EARTH (G)	A	3	30-Sep-85	30-Sep-95	80%	100%	295	0	295	0	0	0	0	0%	295	0	0	0%
515-0235	Northern Zone Consolld. (G)	B	4	24-Aug-88	30-Sep-94	84%	65%	5,150	0	5,150	0	2,565	600	774	129%	3,359	1,791	1,600	6%
515-0242	CAPS (G)	A	4	8-Apr-87	30-Sep-95	76%	96%	20,362	0	20,362	0	2,366	721	1,508	209%	19,504	858	167	3%
515-0254	CAPS II (G)	A	4	4-May-90	30-Sep-98	41%	42%	13,000	960	6,960	6,040	3,418	602	370	61%	2,952	4,008	793	14%
515-0261	Repro Health Cons Proj (G)	A	4	25-Jun-92	30-Sep-95	39%	3%	920	264	920	0	552	100	31	31%	31	889	173	3%
597-0001	CAPS (G)	A	4	15-May-85	29-Aug-93	101%	100%	10,300	0	10,300	0	375	100%	375	100%	10,300	0	0	0%
515-0241	Policy and Trng Support (G)	B	1,2,3	31-Aug-89	30-Sep-98	45%	44%	15,000	1,200	9,847	5,153	5,558	900	806	90%	4,361	5,486	1,400	20%
SUBTOTAL ACTIVE PROJECTS				19-Jul-89	10-Sep-95	68%	78%	157,440	8,408	125,745	31,695	29,483	10,259	7,404	72%	97,701	28,043	9,057	
TERMINATED PROJECTS																			
515-0168.02	Family Planning Self-Rel. (G)	A	4	27-May-88	18-Jul-93	104%	99%	1,800	0	1,800	0	157	150	135	90%	1,779	21	21	5%
515-0168.03	Family Planning Self-Rel. (G)	A	4	3-Aug-88	18-Jul-93	104%	82%	2,200	0	2,200	0	720	147	325	221%	1,805	395	395	95%
SUBTOTAL TERMINATED PROJECTS				30-Jun-88	18-Jul-93	104%	90%	4,000	0	4,000	0	877	297	460	155%	3,584	416	416	
ACTIVE PROGRAM																			
515-0240	ESR VIII (G)	B	1,2	24-Apr-89	N/A	N/A	88%	85,000	0	85,000	0	10,000	0	0	0%	75,000	10,000	10,000	100%
SUBTOTAL ACTIVE PROGRAM				24-Apr-89	N/A	N/A	88%	85,000	0	85,000	0	10,000	0	0	0%	75,000	10,000	10,000	
Strategic Objectives:				4. Other Objectives (No relation with S.O.)															
1. Increase Economic Competitiveness				(G) Grant															
2. A More Streamlined, Responsive, Efficient Government																			
3. Maintenance of Natural Forest Habitat																			

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7

(2)

- iv -

(2) Project Status Reports

8

ORIGINAL

USAID/COSTA RICA

PROJECT STATUS REPORTS

REPORT DATE: 11/15/93

6

MANAGEMENT INFORMATION SYSTEM (MIS)

USAID/COSTA RICA

PROJECT STATUS REPORTS - GLOSSARY OF TERMS

The following terms or abbreviations are explained:

<u>ABBREV. TITLE</u>	<u>COMPLETE TITLE</u>	<u>DEFINITION</u>
PRJ # & NAME	PROJECT NUMBER AND NAME	Assigned number and title of project followed by "G" or "L" signifying "Grant" or "Loan", respectively.
CAT	CATEGORY	A full description of all possible categories follow.
-- A	CATEGORY "A" PROJECT	Not only would implementation be proceeding well under such projects with only minor problems, but they would be having a significant impact on the problems they were designed to solve as indicated in the report by successful progress toward EOPS indicators.
-- B	CATEGORY "B" PROJECT	These projects would be proceeding more or less as planned though they would be experiencing typical implementation problems and minor delays which can be resolved through normal project management.
-- C	CATEGORY "C" PROJECT	These are those projects with significant problems which require extra attention from senior Mission management. Such projects may have typical problems like: the project is significantly behind schedule, a PACD extension of more than one year is likely, the host country or NGO no longer appears to support the project, the pipeline exceeds that planned by a large margin, the implementation agency seems ineffective or unable to implement the project or the project has major audit problems.
PROJ OFF	PROJECT OFFICER	USAID Mission Officer responsible for the management of the project.
OFF	OFFICE	An administrative unit within the USAID/Costa Rica.
DIV	DIVISION	An administrative unit within an Office.
POSTED ON	POSTED ON	Date for which the semiannual project report was prepared.
AGRDT	AGREEMENT DATE	Date on which the Project Agreement was signed.
IPACD	INITIAL PROJECT ASSISTANCE COMPLETION DATE	Date, as specified in the original Project Agreement, by which AID assistance ought to be completed.
PACD	PROJECT ASSISTANCE COMPLETION DATE	The Initial Project Assistance Completion Date (IPACD), as amended.

PROJECT STATUS REPORTS - GLOSSARY OF TERMS
(Continued)

<u>ABBREV. TITLE</u>	<u>COMPLETE TITLE</u>	<u>DEFINITION</u>
TDD	TERMINAL DISBURSEMENT DATE	The last date for requesting payments under the project.
AUTH	AUTHORIZED	The maximum project amount as specified by the Project Authorization (\$000).
OBLIG	OBLIGATED	The amount of the Grant or Loan as specified by the Project Agreement (\$000).
COMM	COMMITTED	The value of all contracts executed to date under the project (\$000).
CUM EXP	CUMULATIVE EXPENDITURES	Expenditures accumulated to date since project inception (\$000).
CUM DIS	CUMULATIVE DISBURSEMENTS	Portion of cumulative expenditures which are actual cash outlays accumulated to date since project inception (\$000).
ACCRUED	ACCRUED	Total expenditures minus total disbursements on the last day of the current quarter (\$000); i.e., "CUM EXP" minus "CUM DIS".
PIPELINE	PIPELINE	Unexpended portion of the obligated amount; i.e., "OBLIG" minus "CUM EXP".
TFY TGT	TOTAL FISCAL YEAR TARGET	Planned expenditures for the total fiscal year (all four quarters) (\$000).
% TFY TGT	PERCENT TOTAL FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned expenditures for the total fiscal year (\$000).
FY EXP TD	FISCAL YEAR EXPENDITURES TO DATE	Actual expenditures for this fiscal year through the last completed quarter (\$000).
FY TGT TD	FISCAL YEAR TARGET TO DATE	Planned expenditures for this fiscal year through the last completed quarter (\$000).
% FY TGT	PERCENT FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned fiscal year expenditures through the last completed quarter (\$000).
EOPS	END OF PROJECT STATUS	The primary indicators which demonstrate when a project has successfully achieved its purpose.
LOP	LENGTH OF PROJECT	The period from the date of project authorization to PACD.

TABLE OF CONTENTS

	PAGE
IMPLEMENTATION & NON-MACS PROJ	1
 PROGRAM & PROJ. DEV. OFFICE	 2
DOLLAR PRJ. - USAID/COSTA RICA	3
5150240.00G -ECONOMIC STABIL AND RECOV VIII	4
5150241.00G -POLICY AND TRAINING SUPPORT	6
5150260.00G -TRADE & INVESTMENT PROGRAM II	8
LC ACTIVITIES (C000,000)	11
515K241.01G -PATS LOCAL CURRENCY	12
515K484.00L -PL - 480, TITLE I, FY 84	14
515K485.00L -PL - 480, TITLE I, FY 85	15
515K486.00L -PL - 480, TITLE I, FY 86	16
515K487.00L -PL - 480, TITLE I, FY 87	17
515K489.00L -PL - 480, TITLE I, FY 89	18
515K491.00G -PL 480 TITLE I, FY 91	19
515KPL416.L -PL - 480, SECTION 416	20
 OFF. OF PRIVATE SECTOR	 21
DOLLAR PRJ. - USAID/COSTA RICA	22
5150212.00G -TRAINING FOR PRIVATE SECTOR DV	23
5150212.01G -TRAINING FOR PRIVATE SECTOR DV	25
5150237.00G -NON-TRADITIONAL EXPORTS	26
5150247.00G -FINANCIAL SERVICES	28
5150252.00G -SUPPORT TO PVOS (ACORDE)	30
5150257.00G -IESC OPG	32
LC ACTIVITIES (C000,000)	35
515K194.09G -FINTRA/CODESA/BCCR/HAT. COMM.	36
 RURAL DEVELOPMENT OFFICE	 38
DOLLAR PRJ. - USAID/COSTA RICA	39
5150235.00G -NORTHERN ZONE CONSOLIDATION	40
5150243.00G -FOREST MANAGEMENT	42

12

TABLE OF CONTENTS
(Continuation)

	PAGE
5150249.00G -TORTUGUERO OPG	44
5150255.00G -FOREST CONSERV./MGM. (BOSCOSA)	46
5150262.00G -NATIVE TREE REFOREST. PROJECT	48
5150263.00G -REGULATION FOR FOREST MGM. (REFORMA)	50
5960129.01G -REGIONAL HIGHER EDUCATION	52
5960129.02G -REGIONAL HIGHER EDUCATION	53
5960129.03G -REGIONAL HIGHER EDUCATION	54
GENERAL DEVELOPMENT OFFICE	55
DOLLAR PRJ. - USAID/COSTA RICA	56
5150168.02G -FAMILY PLANNING SELF RELIANCE	57
5150168.03G -FAMILY PLANNING SELF RELIANCE	60
5150242.00G -CENTRAL AMER PEACE SCHOLARSHIP	62
5150244.00G -JUSTICE SECTOR IMPROVEMENT	64
5150254.00G -CAPS II	66
5150261.00G -REPROD.HEALTH CONSOLID.PROJECT	68
5150277.00G -ARIAS FOUNDATION ENDOWMENT	70
5960177.00G -PARTNERSHIP FOR DEMOCRACY AND DEV.	72
5970001.01G -CEN.AMERICA PEACE SCHOLARSHIP	74
5970002.00G -REG. ADMINISTRATION OF JUSTICE	75
5980642.01G -REG. ADMINISTRATION OF JUSTICE	75
LC ACTIVITIES (C000,000)	79
515K222.99G -SELF HELP COMMUNITY FUND	80
515K231.01G -SUPPORT TO NAT. HOUSING FIN.	82

13

IMPLEMENTATION & NON-MACS PROJECTS

14

PROJ. DEV. & PROGRAM OFFICES

DOLLAR PRJ. - USAID/COSTA RICA
00500/00005

151

EXPENDITURE STATUS REPORT
AS OF 09/30/93, (END OF 4TH QTR)
(BY OFFICE, \$000)
00005/00500

PROJECT NUMBER	PROJECT NAME	CAT	LOP ORLIG	LOP EXPEND	PIPELINE (UNEXP)	FISCAL YEAR '93 EXPENDITURE PERFORMANCE				
						PLANNED FY 93	PLANNED THRU 4TH QTR	ACTUAL THRU 4TH QTR	ACTUAL % PLAN THRU 4TH QTR	FY 93 BAL AGAINST TOT YR TGT
5150240.00G	ECONOMIC STABIL.AND RECOV.V	A	85,000	75,000	10,000	0	0	0	0	0
5150241.00G	POLICY AND TRAINING SUPPORT	B	9,847	4,361	5,486	1,800	1,800	1,391	77.27	409
5150260.00G	TRADE & INVESTMENT PROGRAM	A	10,000	10,000	0	10,000	10,000	10,000	100.00	0
TOTAL : 00500/00005			104,847	89,361	15,486	11,800	11,800	11,391	96.53	409

PRJ NAME: Economic Stabilization & Recovery VIII CAT: B PROJ OFF: Peter Kranstover OFF: PRDO POSTED ON: 09/30/93
 PRJ #:5150240.00G AGRDT: 04/26/89 OBLIG: 85,000 CUM EXP: 75,000 PIPELINE: 10,000 FY EXP TD: 0
 TDDA: IPACD: N/A AUTE: 85,000 CUM DIS: 75,000 TFY TGT: 0 FY TGT TD: 0
 TDD: PACD: N/A COMM: 85,000 ACCRUED: 0 % TFY TGT: 0 % FY TGT: 0

I. BACKGROUND DATA:

PARTIES TO AGR.: GOCR, BCCR & AID COUNTERPART PLANNED: 85,000 PLANNED YEARLY NON-FED AUDITS: See F
 MAJ. GOCR AGENCY: BCCR; Min. of the Presidency COUNTERPART ACTUAL: 75,000 NON-FED AUDITS CONTRACTED FOR/COMPLETED: See F
 IMPLEMENTING AGENCIES: BCCR, MIDEPLAN CONTRACTORS: N/A % LOP ELAPSED: N/A
 % TOT OBLIG EXP: 88

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Program relates to S.O.#1 "Increased Economic Competitiveness" and S.O.#2 "A more Streamlined, Efficient and Responsive Government" in that it supports major stabilization efforts accompanied by macroeconomic reforms and assists the GOCR to meet its liberalization and policy reform objectives.
 B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To provide balance of payments support to stabilization efforts in Costa Rica, and to encourage policy, structural and sectoral changes to reestablish dynamic growth in the economy through increased exports.

PROJECT DESCRIPTION: The grant is a cash transfer to finance private sector eligible raw material imports, capital goods and other productive inputs. The conditionality focuses on the Mission's policy dialogue agenda of (1) macroeconomic/financial concerns, (2) public sector efficiency and (3) export and investment promotion. Local currency in an amount equivalent to the grant is to be deposited by the Central Bank in a special account to finance economic development activities acceptable to A.I.D. and the GOCR.

IV. PROJECT STATUS:

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. \$85 million in balance of payments ESF assistance disbursed	1. \$75 million	1. \$10 million	1. 0	See C	A fourth tranche and final disbursement of \$10 million will be made upon a determination of satisfactory compliance by the GOC on making progress on resolution of the expropriation cases.
2. \$85 million in eligible imports	2. \$75 million	2. \$10 million	2. 0		

PRJ NAME: Economic Stabilization & Recovery VIII
PRJ #: 5150240.00G

- C. OTHER ACCOMPLISHMENTS: Substantial progress has been made in resolving the remaining seven expropriation cases. The disbursement of the remaining \$10.0 million is still pending on further progress in resolving this issue.
- D. ISSUES AND CORRECTIVE ACTIONS PLANNED: (waiting for info. from Embassy on expropriations)
- E. STATUS OF CP'S AND COVENANTS: ESR VIII conditionality includes 19 covenants covering financial/macroeconomic, public sector, efficiency, investment and export promotion concerns. GOCR compliance with ESR VIII conditionality was satisfactory.
- F. STATUS OF AUDITS: In February 1992, an audit was performed by Peat, Marwick and Mitchell, a local CPA firm, which verified the eligibility of import transactions for an amount exceeding the remaining \$10 million. Due to delays in disbursement of the remaining \$10 million, it appears that the Mission will need to contract or perform its own verification of more recent imports for eligibility once disbursement is effected.
Annual audits of Dollar deposit account is not applicable as Mission receives directly from banking institutions at a minimum monthly statements of account. Balance of accounts are monitored continuously by Mission and discrepancies, if any, are resolved directly by the Mission.
- G. STATUS OF EVALUATIONS: N/A
- H. NUMBER OF SITE VISITS AS PER USO 570A: N/A
- I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: Policy and Training Support (PATS) CAT: B PROJ OFF: Michael Maxey OFF: PPDO POSTED ON: 09/30/93
 PRJ #: 5150241.00G AGRDT: 08/31/89 OBLIG: 9,847 CUM EXP: 4,361 PIPELINE: 5,486 FY EXP TD: 1,391
 TDDA: 05/31/93 IPACD: 08/31/93 AUTH: 15,000 CUM DIS: 3,934 TFF TGT: 1,800 FY TGT TD: 1,800
 TDD: 06/30/99 PACD: 09/30/98 COMM: 7,106 ACCRUED: 427 % TFF TGT: 77.27 % FY TGT: 77.27

I. BACKGROUND DATA:

PARTIES TO AGR.: Ministry of the Presidency and USAID COUNTERPART PLANNED: 5,000 PLANNED YEARLY NON-FED AUDITS: N/A
 MAJ. GOCR AGENCY: Ministry of the Presidency COUNTERPART ACTUAL: 5,000 (For specific activities)
 IMPLEMENTING AGENCIES: USAID/CR CONTRACTORS: N/A NON-FED CONTRACTED FOR COMPLETED: N/A
 LOP ELAPSED: 33%
 TOT OBLIG EXP: 34%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: % LOP Funds Relating to S.O.:
 Through targeted training activities, studies and technical assistance, More Streamlined Government 50%
 the PATS Project supports all three S.O.s. The Training for Development Improving Economic Competitiveness 44%
 component supports downstream macroeconomic stability. The Legislative Maintenance of Natural Forest Habitat 6%
 Assembly and Reform of the State components support public sector reform. 100%

III. PROJECT PURPOSE:

To improve policy formulation, planning and design, support reforms of public sector management/administrative systems, assist investment and export initiatives in support of the private sector, and natural resources management in Costa Rica through the provision of technical assistance and training.

PROJECT DESCRIPTION:

The project consists primarily of targeted technical assistance and training for studies, assessments, and administrative/managerial improvements.

IV. PROJECT STATUS:

A. Progress to date: This project has supported the Mission's overall portfolio and policy dialogue sense through a carefully selected balance of generally short to medium term, limited-scope studies and technical assistance inputs. This TA has filled critical information gaps and plays an important role in the accomplishment of the Mission's Strategic Objectives.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Private Sector Support	1. Nineteen activities (four ongoing)	1. Two activities	1. One forestry conference and one invit. travel	Activities remain within planned limits	1. Two activities
2. Economic Policy & Admin. Managerial Improvements (including Reform of the State)	2. Seventy-four activities (thirteen ongoing)	2. Six activities	2. Three TAs for Pension Reform, two TAs under Sigma One and the State Reform Evaluation	Activities remain within planned limits	2. Six activities
3. Training for Development (Economists and Business Majors)					
3a. Approx. 19 BA/MA, ten MBAs and nine PEDs Scholarships	3a. One BA, 21 MAS, 4 PEDs in Economics and 4 MBA	3a. Seven MAS, one MBA and two PEDs	3a. Eight MAS, one MBA and two PEDs	3a. Activities remain within planned limits	3a. Four MAS

19

PRJ NAME: Policy and Training Support
 PRJ #: 5150241.00G

B. Implementation Progress (Continuation):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
3. short-term training and other related activities	3c. Two activities	3c. Two activities	3c. One course and the Academia Grant	3c. Activities remain within planned limits	3c. Two activities
4. Legislative Assembly Support					
4. Development and implementation of modernization plan for Legislative Assembly	4. Five trips, ten TAs, two seminars, two conferences and equipment purchase	4. Six Activities	4. Two trips, two TAs, two seminars one conference and purchase of computer equipment.	4. Activities remain within planned limits	4. Six activities
5. Training (Persons)	5. M F	5. M F	5. M F	5. M F	5. M F
Long-term	23 10	5 5	6 5		4 0
Short-term	27 12	0 0	0 0		0 0

C. OTHER ACCOMPLISHMENTS:

- Technical assistance to the National Pension Office, Teacher's Pension System and Social Security System by U.S. Social Security Administration, actuarial and information systems staff during the year has advanced the pension administration automation program for implementation by April 1994, and improved the actuarial projections of all of the systems.
- Two technical assistance visits by U.S. Customs advisors have served to improve the restructuring plan of the CR Customs system, and provided valuable operational and procedural advice.
 - T.A. was provided to the Ministry of Finance to define a short and medium term plan for improved Integrated Financial Management.
 - T.A. to the Ministry of Foreign Trade and Economy has opened the debate on sectorial restructuring with multisectoral commissions on credit, training, standards, infrastructure, technology, information and marketing.
- A new Long-Term Advisor (LTA) was hired by the Center for Democracy last May. Since then, substantial progress has been made toward each of the legislative development project's major goals: 1- The LTA helped organized the Modernization Commission's first annual planning session. The conference identified needs, helped to establish project priorities and resulted in the Commission's adoption of an annual work plan. 2- A Scope of Work was prepared for outside technical assistance to strengthen and develop within the Legislative Assembly the capacity to analyze and evaluate the National Budget. 3- The Center for Democracy contracted INCAE to develop a diagnostic study of the training needs of the legislative staff. 4- The Project sponsored a seminar on the changes that the new Section 124 reforms would have on the structure and process of the Leg. Assembly. 5- The Center developed and submitted to the Modernization Commission a proposal to link the LA to INTERNET. 6- A state-of-the-art imaging system for the Assembly's Archives Department was purchased and installed. 7- USAID approved a supplemental grant to enable the Assembly to integrate the Commissions Department into the Legislative Information System network.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: NONE

E. STATUS OF CP's & COVENANTS: All met

F. STATUS OF AUDITS: N/A each activity is audited on an individual basis.

G. STATUS OF EVALUATIONS: Reform of the State evaluation performed. Preliminary results received.

H. NUMBER OF SITE VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: Trade and Investment Program (ESR XI) CAT: A PROJ OFF: Peter Kranstover OFF: PPDO POSTED ON: 09/30/93
 PRJ #:5150260.00G AGRDT: 09/09/92 OBLIG: 10,000 CUM EXP: 10,000 PIPELINE: 0 FY EXP TD: 10,000
 TDDA: IPACD: N/A AUTH: 10,000 CUM DIS: 10,000 TFY TGT: 10,000 FY TGT TD: 10,000
 TDD: PACD: N/A COMM: 10,000 ACCRUED: 0 % TFY TGT: 100.0 % FY TGT: 100.00

I. BACKGROUND DATA:

PARTIES TO AGR.: GOCR, BCCR & AID COUNTERPART PLANNED: 10,000 PLANNED YEARLY NON-FED AUDITS: See F
 MAJ. GOCR AGENCY: Min. of the Presidency; BCCR COUNTERPART ACTUAL: 10,000 NON-FED AUDITS CONTRACTED FOR/COMPLETED: See F
 IMPLEMENTING AGENCIES: Min. of the Pres.; BCCR CONTRACTORS: N/A % LOP ELAPSED: N/A
 % TOT OBLIG EXP: 100

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Program relates to S.O.#1 "Improving Economic Competitiveness", and S.O.#2 "A more Streamlined, Efficient & Responsive Government" in that it supports major stabilization efforts accompanied by macroeconomic reforms and assists the GOCR to meet its liberalization and policy reform objectives.

B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To maintain a stable macroeconomic environment, deepen trade liberalization, and to further increase the efficiency of the public sector.

PROJECT DESCRIPTION: The \$10 million grant will be disbursed in one tranche into a separate, non-commingled interest-bearing account. Dollar disbursement will be based on the GOCR meeting a series of conditions precedent designed to support program goals. Dollars will be used to reimburse the BCCR for eligible private sector imports from the U.S. Local currencies generated from the program will be used to reduce the deficit of the BCCR, thus strengthening its financial position, and to support the GOCR's labor mobility program through procedures established and implemented in FY 91 program.

IV. PROJECT STATUS:

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOF	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. \$10 million in balance of payments ESF assistance disbursed	1. \$10,000	1. \$10,000	1. \$10,000	1. N/A	1. N/A
2. \$10 million in eligible imports	2. \$10,000	2. \$10,000	2. \$10,000	2. N/A	2. N/A

12

PRJ NAME: Trade and Investment Program (ESR XI)
PRJ #: 5150260.00G

C. OTHER ACCOMPLISHMENTS: N/A

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: The new procedures for tracking dollar uses defined in Annex II to the Assistance Agreement required a change as the only participating Costa Rican commercial bank lacked a sufficient volume of import transactions to use the \$10 million within a reasonable amount of time. USAID/Costa Rica issued a PIL to request GOCCR concurrence to amend Annex II in order to change said procedures and reimburse the BCCR for the Dollars it provided to finance the purchase by the "Consejo Nacional de Produccion" of over \$10 million worth of eligible grain imports for the private sector from the U.S. With GOCCR concurrence and by means of said PIL, USAID/Costa Rica amended Annex II and authorized the release of the \$10 million plus accrued interest thereon to be used for that purpose.

E. STATUS OF CP'S AND COVENANTS: The Trade and Investment II Program (ESR XI) includes 11 CP's which were all met. The GOCCR and AID agreed on objectives in five policy areas: macroeconomic stability, exchange rate liberalization, tariff reduction, decontrol of prices, and increases in the public sector efficiency. The reform objectives were specified in each area as conditions for disbursement and are reflected as eight policy CP's in the Agreement. The GOCCR complied substantially with all the objectives specified for the 1992 disbursement.

F. STATUS OF AUDITS: No local CPA firm was contracted to verify the eligibility of import transactions given that the Mission reviewed all supporting documentation provided by the BCCR and confirmed the eligibility of imports prior to the release of funds to the GOCCR accounts. Annual audits of Dollar deposit account is not applicable as Mission receives directly from banking institutions at a minimum monthly statements of account. Balance of accounts are monitored continuously by Mission and discrepancies, if any, are resolved directly by the Mission.

G. STATUS OF EVALUATIONS: N/A

H. NUMBER OF SITE VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PROGRAM & PROJ. DEV. OFFICE

LC ACTIVITIES (C000,000)
00095/00500

AS OF 09/30/93, (END OF 4TH QTR)
 (BY OFFICE, Col 000,000)
 00095/00500

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)
515K241.01G	PATS LOCAL CURRENCY	B	259	45	214
515K484.00L	PL - 480, TITLE I, FY 84	A	987.3	987.3	0
515K485.00L	PL - 480, TITLE I, FY 85	A	1,043.3	1,028.8	14.5
515K486.00L	PL - 480, TITLE I, FY 86	A	892.3	878.5	13.8
515K487.00L	PL - 480, TITLE I, FY 87	A	932.6	846.3	86.3
515K489.00L	PL - 480, TITLE I, FY 89	A	1,191.4	969.7	221.7
515K491.00G	PL 480 TITLE I, FY 91	A	1,718.6	643.8	1,074.8
515KPL416.L	PL - 480, SECTION 416	A	451.4	394.8	56.6
TOTAL : 00095/00500			7,475.9	5,794.2	1,681.7

24

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.:

Through targeted training activities, studies and technical assistance, the PATS Project supports all three S.O.s. The Training for Development component supports downstream macroeconomic stability. The Legislative Assembly and Reform of the State components support public sector reform.

‡ LOP Funds Relating to S.O.:	
More Streamlined Government	46%
Improving Economic Competitiveness	44%
Maint. of Natural Forest Habitat	10%
	<u>100%</u>

III. PROJECT PURPOSE:

To improve policy formulation, planning and design, support reforms of public sector management/administrative systems, assist investment and export initiatives in support of the private sector, and natural resources management in Costa Rica through the provision of technical assistance and training.

PROJECT DESCRIPTION:

The project consists primarily of targeted technical assistance and training for studies, assessments, and administrative/managerial improvements.

IV. PROJECT STATUS:

A. Progress to date: This project has supported the Mission's overall portfolio and policy dialogue sense through a carefully selected balance of generally short and long term, limited-scope studies and technical assistance inputs. This TA has filled critical information gaps and plays an important role in the accomplishment of the Mission's Strategic Objectives.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
-----	-----	-----	-----	-----	-----
1. Technical Assistance (short and long term)	1. Ten short term and five long term TAS	1. N/A	1. N/A	1. N/A	1. Six activities
2. Short term training, seminars and invitational travel	2. Three short training, one seminar and six invit. travel	2. N/A	2. N/A	2. N/A	2. Three activities
3. Establishment of Trust Fund with Academia	3. Trust Fund Contract signed	3. Review and approval of Trust Fund Contract	3. Review and approval of Trust Fund Contract	3. N/A	3. Establishment of the Trust Fund

RJ NAME: Policy and Training Support (PATS)
 PRJ #: 5150241.01G

B. Implementation Progress (Continuation):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
4. Legal and Regulatory Environment reforms and actions plans for their implementation	4. Three technical studies completed	4. Five technical studies planned	4. Three of the planned were completed in the period	4. Delays in programming two studies due to other GOCR priorities	4. Three additional technical studies to be performed and action plans to be developed
			4a. Dissemination of technical studies' results begun		4a. Reforms of LRE obstacles to trade to be finalized
5. Training (Persons)	5. M F	5. M F	5. M F	5. M F	5. M F
Short-term	27 12	N/A	N/A	N/A	1 1

C. OTHER ACCOMPLISHMENTS:

1. For LRE: Three technical studies completed in the period were:
 - a. "Obstacles to Foreign Trade in decrees of Ministries of Agriculture, Industry and Commerce and Health".
 - b. "Statistical Information System for the Costa Rican Foreign Trade Sector".
 - c. "Purchasing System for the Costa Rican Public Sector".

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: NONE

E. STATUS OF CP's & COVENANTS: All met

F. STATUS OF AUDITS: N/A

G. STATUS OF EVALUATIONS: Reform of the State Evaluation performed. Preliminary results received.

H. NUMBER OF SITE VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 84 TITLE I GENERATIONS
 PRJ #:515K484.00L AGRDT: 11/22/83

CAT: A PROJ OFF: Marta Vega
 OBLIG: 987.3 CUM EXP: 987.3 PIPELINE: 0

OFF: PFDO

POSTED ON: 09/30/93

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN/MAG/PRESIDENCY
 MAJ. GOCR AGENCY: MIDEPLAN
 IMPLEMENTING AGENCIES: IDA/MOFT/CNE/SENARA/CAC

COUNTERPART PLANNED: N/A
 COUNTERPART ACTUAL: N/A
 CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A
 NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A
 % LOP ELAPSED: N/A
 % TOT OBLIG EXP: 98

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.
 B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Improve rural infrastructure in roads and basic grains storage.
2. Increase rural incomes through increased production of basic grains and export crops.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 4/26/91 (Implementation Letter No. 22).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Eight roads infrastructure activities	1. Eight activities	1. None	1. None	1. N/A	1. None
2. Nine agricultural production activities	2. Nine activities	2. None	2. None	2. N/A	2. None

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: FY 84 portfolio is already closed. No further activities are expected.

E. STATUS OF CP'S AND COVENANTS: All self-help measures complied with.

F. STATUS OF AUDITS: N/A

G. STATUS OF EVALUATIONS: None planned

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 85 TITLE I GENERATIONS
PRJ #:515K485.00L AGRDT: 11/19/84

CAT: A PROJ OFF: Marta Vega
OBLIG: 1,043.3 CUM EXP: 1,028.8

OFF: PFDO POSTED ON: 9/30/93
PIPELINE: 14.5

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN	COUNTERPART PLANNED: N/A	PLANNED YEARLY NON-FEDERAL AUDITS: N/A
MAJ. GOGR AGENCY: MIDEPLAN	COUNTERPART ACTUAL: N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A
IMPLEMENTING AGENCIES: MOPT/CNE/SERARA/IFAM	CONTRACTORS: N/A	% LOP ELAPSED: N/A
		% TOT OBLIG EXP: 99

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.
- B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Improve rural infrastructure in roads.
2. Increase incomes through production of basic grains and livestock.
3. Support natural resource management.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 26).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Eleven road infrastructure activities.	1. Nine activ. completed	1. None	1. None	1. N/A	1. Two activities
2. Six production activities	2. Six activ. completed	2. None	2. None	2. N/A	2. None
3. Two Natural Resource activities	3. Two activ. completed	3. N/A	3. N/A	3. N/A	3. None

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: None

E. STATUS OF CP'S AND COVENANTS: All self-help measures complied with.

F. STATUS OF AUDITS: Findings were discussed with MIDEPLAN's Project Unit. Recommendations closed on 3/17/93.

G. STATUS OF EVALUATIONS: None programmed

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 86 TITLE I GENERATIONS
 PRJ #:515K486.00L AGRDT: 11/29/85

CAT: A
 OBLIG: 892.3

PROJ OFF: Marta Vega
 CUM EXP: 878.5

OFF: PPDO
 PIPELINE: 13.8

POSTED ON: 9/30/93

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN/MAG/PRESIDENCE
 MAJ. GOCR AGENCY: MIDEPLAN
 IMPLEMENTING AGENCIES: IFAM/CNE/MOFT/MAG

COUNTERPART PLANNED: N/A
 COUNTERPART ACTUAL: N/A
 CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A
 NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A
 % LOP ELAPSED: N/A
 % TOT OBLIG EXP: 98

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.
- B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

- 1. Improve rural infrastructure on roads, grain storage, and water supply.
- 2. Increase incomes through production of basic grains, export crops, and secure land tenure.
- 3. Support natural resource management.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 16).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Pla
1. Eight roads infrastructure activities	1. Eight activ. completed	1. One activity	1. One activity	1. Activities remain within planned limits	1. None
2. Five agricultural production activities	2. Five activities completed	2. None	2. None	2. N/A	2. None
3. Two Natural Resources activity	3. Two activities completed	3. None	3. None	3. N/A	3. None

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: FY 86 portfolio is closed. No further activities are expected

E. STATUS OF CP'S AND COVENANTS: All self-help measures complied with.

F. STATUS OF AUDITS: Findings were discussed with MIDEPLAN's Project Unit. Recommendations were closed on 3/17/93.

G. STATUS OF EVALUATIONS: None programmed.

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

29

PRJ NAME: FY 87 TITLE I GENERATIONS
PRJ #:515K487.00L AGRDT: 01/14/87

CAT: A PROJ OFF: Marta Vega
OBLIG: 932.6 CUM EXP: 846.3

OFF: PPDO POSTED ON: 9/30/93
PIPELINE: 86.3

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN/MA/PRESIDENCY

MAJ. COCR AGENCY: MIDEPLAN

IMPLEMENTING AGENCIES: MOPT/CNE/MIDEPLAN/IFAM

COUNTERPART PLANNED: N/A

COUNTERPART ACTUAL:

CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A

NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A

% LOP ELAPSED: N/A

% TOT OBLIG EXP: 92

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.

B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Improve Rural infrastructure in roads, community buildings.
2. Increase incomes through production of basic grains and export crops.
3. Generate Section 108 funds for private sector investment.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 13).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Eight roads infrastructure activities	1. Four activ. completed	1. Two activities	1. None	1. Construction delayed due to disbursement/construction problems	Two activities to be completed. Two more will be in implementation stage
2. Six production activities	2. Five activ. completed	2. One activity	2. None	2. Project execution expected to be completed during the 1st quarter 1994	2. One activity to be completed

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: None

E. STATUS OF CP'S LND COVENANTS: All self-help measures complied with.

F. STATUS OF AUDITS: Findings were discussed with MIDEPLAN's Project Unit. Recommendations were closed on 3/17/93.

G. STATUS OF EVALUATIONS: None planned.

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 89 TITLE I GENERATIONS
PRJ #:515K489.00L AGRDT: 03/03/88

CAT: A
OBLIG: 1,191.4

PROJ OFF: Marta Vega
CUM EXP: 969.7

OFF: PPDO POSTED ON: 9/30/93
PIPELINE: 221.7

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN/MAG/PRESIDENCE

MAJ.GOCR AGENCY: MIDEPLAN

IMPLEMENTING AGENCIES: MAG/IDA/MIRENEM/MIDEPLAN

COUNTERPART PLANNED: N/A

COUNTERPART ACTUAL: N/A

CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A

NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A

% LOP ELAPSED: N/A

% TOT OBLIG EXP: 81

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.

B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Increase incomes through production of basic grains and secure land tenure.
2. Support natural resource management.
3. Provide Section 108 funds for private enterprise lending.
4. Improve transport infrastructure.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 8).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plan
1. Seven production activities	1. Seven completed	1. None	1. One activity	1. Last activity finished before expected	1. None
2. One Sector Grant to Natural Resource Ministry	2. None	2. None	2. None	2. Project execution process underway	2. One activity expected to be completed by 3/94
3. Six infrastructure activities	4. Two activities	4. None	4. One activity	4. Four Project activities in implementation stage	4. One activity expected to be completed

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: None

E. STATUS OF CP'S AND COVENANTS: One self-help measure complied with.

F. STATUS OF AUDITS: Findings were discussed with MIDEPLAN's Project Unit. Recommendations were closed on 3/17/93.

G. STATUS OF EVALUATIONS: None planned.

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 91 TITLE I GENERATIONS
 PRJ #:515K491.00G AGRDT: 06/30/91

CAT: A
 OBLIG: 1,718.6

PROJ OFF: Marta Vega
 CUM EXP: 643.8

OFF: PPDO
 PIPELINE: 1,704.8

POSTED ON: 9/30/93

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN/MAG/PRESIDENCE
 MAJ. GOCR AGENCY: MIDEPLAN
 IMPLEMENTING AGENCIES: MAG/IDA/MIRENEM/MIDEPLAN

COUNTERPART PLANNED: N/A
 COUNTERPART ACTUAL: N/A
 CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A
 NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A
 ‡ LOP ELAPSED: N/A
 ‡ TOT OBLIG EXP: 26

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.
 B. ‡ LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Provide funds for the improvement of agricultural productivity and secure land tenure.
2. Support natural resource management.
3. Provide Section 108 funds for private enterprise lending.
4. Improve transport infrastructure, irrigation and potable water systems.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 5).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. One production activity	1. One activity completed	1. None	1. None	1. N/A	1. None
2. Two Natural Resource Reforma activities	2. None	2. None	2. None	2. N/A	2. Continue with implementation of one activity. Reforma expected to begin 2/94
3. One Land Titling Activity	3. None	3. None	3. None	3. Fund disbursements and project activities in process	3. Continue with one activity in process as planned
4. 13 Infrastructure Activities (aqueducts, roads, irrigation, etc.)	4. None	4. None	4. None	4. Fund disbursements and project activities in process.	4. Continue with activities implementation as planned

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: None

E. STATUS OF CP'S AND COVENANTS: Self-help measures complied with.

F. STATUS OF AUDITS: None planned

G. STATUS OF EVALUATIONS: None planned.

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: FY 87 SECTION 416 GENERATIONS
PRJ #:515KPL416.L AGRDT: 01/01/88

CAT: A
OBLIG: 451.4

PROJ OFF: Marta Vega
CUM EXP: 394.8

OFF: PPDO POSTED ON: 9/31/93
PIPELINE: 56.6

I. BACKGROUND DATA:

PARTIES TO AGR.: MIDEPLAN

MAJ. GOCR AGENCY: MIDEPLAN

IMPLEMENTING AGENCIES: CNE/MOPT/SENARA/Aya

COUNTERPART PLANNED: N/A

COUNTERPART ACTUAL: N/A

CONTRACTORS: N/A

PLANNED YEARLY NON-FEDERAL AUDITS: N/A

NON-FED AUDITS CONTRACTED FOR/COMPLETED: N/A

% LOP ELAPSED: N/A

% OBLIG EXP: 88

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: A portion of PL-480 local currency is programmed for projects which promote improved long term management of the natural resource base, the Mission's third Strategic Objective.

B. % LOP Funds Relating to S.O.: 50%.

III. PROJECT PURPOSE:

1. Upgrade and maintain rural roads.
2. Improve drainage and flood control.
3. Aqueducts construction.

IV. PROJECT STATUS: Number of projects/activities were adjusted due to a Project reprogramming requested by MIDEPLAN on 10/28/92 (Implementation Letter No. 6).

A. Planned EOPS: N/A

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Eighteen road activities	1. Eighteen road activities completed	1. None	1. None	1. N/A	1. None
2. Three drainage or flood control activities	2. Two projects completed	2. One activity	2. None	2. Activity implementation to start on 12/93. Counterpart contribution just received	2. Continue with activity implementation
Three Aqueducts	3. Three activities completed	3. None	3. None	3. N/A	3. None

C. OTHER ACCOMPLISHMENTS: Corrective actions taken, last drainage activity will start execution on 12/93.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED:

E. STATUS OF CP'S AND COVENANTS:
All met

F. STATUS OF AUDITS:
RIG review of 1/89 had no observations.

G. STATUS OF EVALUATIONS:
None planned

H. NUMBER OF SITE VISITS AS PER USO 570A: None

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

OFF. OF PRIVATE SECTOR

DOLLAR PRJ. - USAID/COSTA RICA
00610/00007

21

AS OF 09/30/93, (END OF 4TH QTR)
 (BY OFFICE, \$000)
 00007/00610

FISCAL YEAR '93
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED	PLANNED	ACTUAL	ACTUAL %	FY 93 BAL
						FY 93	THRU 4TH QTR	THRU 4TH QTR	PLAN THRU 4TH QTR	AGAINST TOT YR TGT
5150212.00G	TRAINING FOR PRIVATE SECTOR	B	4,990	4,888	102	235	235	184	78.29	51
5150212.01G	TRAINING FOR PRIVATE SECTOR	B	1,625	333	1,292	955	955	308	32.3	647
5150237.00G	NON-TRADITIONAL EXPORTS	A	3,800	3,223	577	60	60	91	151.66	- 31
5150247.00G	FINANCIAL SERVICES	A	4,700	1,465	3,235	1,506	1,506	387	25.69	1,119
5150252.00G	SUPPORT TO PVOS (ACORDE)	A	2,000	1,670	330	484	484	622	128.51	- 138
5150257.00G	IESC.OPG	A	1,300	1,250	50	470	470	51	10.85	419
TOTAL : — 00610/00007			18,415	12,829	5,586	3,710	3,710	1,643	44.29	2,067

PRJ NAME: TRAINING FOR PRIVATE SECTOR DEVELOPMENT CAT: B PROJ OFF: RWHELDEN/MBIALAS OFF: OPS POSTED ON: 09/30/93
 PRJ #:5150212.00G AGRDT: 09/28/84 OBLIG: 4,990 CUM EXP: 4,888 PIPELINE: 102 FY EXP ID: 184
 TDDA:09/30/89 IPACD: 09/30/89 AUTH: 5,000 CUM DIS: 4,881 TFY TGT: 235 FY TGT ID: 235
 TDD:06/30/94 PACD: 09/28/94 COMM: 4,899 ACCRUED: 7 % TFY TGT: 78.29 % FY TGT: 78.29

I. BACKGROUND DATA:

PARTIES TO AGR.: CINDE/AID COUNTERPART PLANNED:10.9M PLANNED YEARLY NON-FED AUDITS: 10 for LOP,
 1 annually
 MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: 12M NON-FED AUDITS CONTRACTED FOR/COMPLETED: 9
 IMPLEMENTING AGENCIES: CINDE/Development Div. CONTRACTORS: EDC % LOP ELAPSED: 90%
 (1989-91) % TOT OBLIG EXP: 79%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. As Costa Rica moves from a protected to an open market economy, the competitiveness of businesses is of utmost importance for their success and growth. The project advances accomplishment of the strategic objective of increased economic competitiveness by upgrading Costa Rican managerial and technical skills that companies need to compete at an international level.
- B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To strengthen the human resources needed for Costa Rican private sector development through a program of selected training activities directed at increasing non-traditional exports.

PROJECT DESCRIPTION: To train Costa Rican managers and technicians in the U.S. and in Costa Rica who are working in the private non-traditional productive sector, the financial sector, or in positions in the educational system which provide managerial or technical training aimed towards increasing competitiveness of the private productive sector.

IV. PROJECT STATUS:

A. Planned EOPS

1. In-country seminars to train 32,307 top and mid-level financial (FS) and private sector (PS) managers.
2. U.S. ST training of 922 top and mid-level FS and PS managers.
3. US technical training of 12 vocational/technical instructors.
4. Twenty-five professionals better prepared to coordinate and support linkage between university and private sector.

Progress to Date:

1. Over 20,000 companies have participated and continually seek more incountry training.
2. Businesses have impressively increased NT exports Data on the impact of training is cited in Accomplishments Section.
3. U.S. 3-6 months training designed for first quarter FY94.
4. 27 participants graduated. All participants returned and employed by university or financial sectors in top management positions.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments	Next Period Plans
1. In-country seminars to train 32,307 managers from FS/PS.	1. 27,700 M=19,390 F=8,310	1. 1,065 M=745 F=320	1. 1508 M=1,056 F=452	1. Training length is 2-15 days.	1. 800 participant M=560 F=240
2. US short-term training for 922 managers	2. 610 M=527 F=83	2. 200 M=170 F=30	2. 110 M=89 F=21	2. Fell short of target due to change in coordinator.	2. 180 participants M=153 F=27
3. U.S. training for 12 voc. tech. instructors.	3. 0	3. 0	3. 0	3. Start-up planned 12/93.	3. 12 participants placed in 3-6 month programs.
4. University sector	4.	4.	4.	4.	
a. US long-term for 25 professionals	a. 24 MS/3 PHD M=22 F=5	a.N/A	a. N/A		a. N/A

PRJ NAME: TRAINING FOR PRIVATE SECTOR DEVELOPMENT
PRJ #: 5150212.00G

C. OTHER ACCOMPLISHMENTS:

1. Results of the 1992 evaluation of the 91 companies which participated in U. S. short-term training measured the impact that the training had on increasing NT exports and jobs by analyzing companies before and 12 to 18 months after the training. In only one year, 62% increased non-traditional exports, 40% penetrated new markets, and 20% initiated exports. Impact is illustrated in the industrial sector sample made up of 39 industries (45 participants). NT exports grew by \$17.41 million in the 18-month period after training. The jobs offered by these 39 companies increased by 384 positions. The increment in NT exports for the nationwide industrial sector was 39.2%, while those companies who participated in the training averaged an 117% increment in volume of NT exports. An estimated AID investment of \$1500 for one participant influenced an economic return in NT exports of \$378,000 dollars in the industrial sector, and helped create 8.5 jobs per participant in the industrial sector. In the agro-industrial sector NT exports increased by \$2.37 million or 37% in the 18-month period after training. There was an average economic return of \$296,250 in NT exports and 4 new jobs per participant for agro-industrial companies participating in training. In the tourism sector, 15 companies (15 managers) participated in a three-week program (AID investment of \$2500/individual). In the 12-month period 101 new jobs were created. The companies who opened the new positions accredited their success entirely to the training program. The average number of jobs created in the tourism sector was 6.7 new jobs per participant.
2. CINDE has been highly successful in developing this series of in-country seminars with a higher cost-sharing element, thereby providing the opportunity for growth of the training office program and services. Cost recovery is an important element of the 1992 grant agreement, and during this reporting period the private sector covered 65% of the total training cost, exceeding the goal by 5%.
3. Participation of women in the in-country component of the TSPD project has stabilized at approximately 30%. The International Labor Organization estimates that only 7% of the industrial sector executive positions in Costa Rica are held by women, therefore the 30% rate for women participants is an exceedingly high average. The 1992 Evaluation of the U.S. short-term training component indicated that women were not discriminated by employers in reference to being selected for U.S. training. Twelve percent of the participants in the U.S. short-term training of previous years were women, however, during this period the percentage has increased from 12 to 14%. The project extension has targeted 15% women executives for U.S. short-term training.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD:

1. The U.S. short-term training component has fallen short of projected participant number target due to unexpected change in project coordinators. Additional CINDE staff will begin in 11/93 to ensure that target is met.
2. Uncertainty in funding level makes it difficult to project the final year. In the case of partial funding, the number of companies and participants benefited will decrease, lowering the impact on increasing NT exports and competitiveness.

E. STATUS OF CP'S AND COVENANTS: All met

F. STATUS OF AUDITS:

1. Annual external audits are executed in each calendar year.
2. The audit on the new grant (extension) will be contracted by CINDE for 10/92-9/93.
3. FY 92 awaiting final audit report. FY 93 audit contract is in the hiring process.

G. STATUS OF EVALUATIONS:

1. The conclusions/recommendations of the 1989 Interim evaluation - especially in terms of expanded training mode options - are being implemented successfully.
2. A locally contracted evaluation of the US short-term training component completed in June, 1992, emphasized the impact that the component had on increasing productivity and non-traditional exports, particularly for the medium and small business.
3. The Mission end-of-project evaluation will be in FY 94.

H. NUMBER OF SITE VISITS AS PER USO 570A: 30

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: TRAINING FOR PRIVATE SECTOR DEVELOPMENT	CAT: A	PROJ OFF: RWHELDEN/MBB	OFF: OPS	POSTED ON: 09/30/93	
PRJ #:5150212.01G	AGRDT: 06/26/92	OBLIG: 1,625	CUM EXP: 308	PIPELINE: 1,292	FY EXP TD: 333
TDDA:09/30/94	IFACD: 09/28/94	AUTH: 1,800	CUM DIS: 174	TFY TGT: 955	FY TGT TD: 955
TDD:06/28/95	PACD: 09/28/94	COMM: 1,625	ACCURED: 134	* TFY TGT: 32.3	* FY TGT: 32.3

I. BACKGROUND DATA:

PARTIES TO AGR.: CINDE/AID	COUNTERPART PLANNED:10.9M	PLANNED YEARLY NON-FED AUDITS: 10 for LOP, 1 annually
MAJ. GOCR AGENCY: N/A	COUNTERPART ACTUAL: 12M	NON-FED AUDITS CONTRACTED FOR/COMPLETED: 9
IMPLEMENTING AGENCIES: CINDE/Development Div.	CONTRACTORS: EDC (1989-91)	* LOP ELAPSED: 90% * TOT OBLIG EXP: 79%

This Project is jointly implemented with Project #515-0212.00G.

PRJ NAME: NON-TRADITIONAL AGRICULTURAL EXPORTS (NETS, CAT: A PROJ OFF: RWHELDEN/JHOLDER OFF: OPS POSTED ON: 9/30/93
 PRJ #:5150237.00G AGRDT: 09/25/87 OBLIG: 3,800 CUM EXP: 3,223 PIPELINE: 577 FY EXP TD: 91
 TDDA:09/30/91 IPACD: 09/30/91 AUTH: 3,800 CUM DIS: 2,887 TTY TGT: 60 FY TGT TD: 60
 TDD:06/30/94 PACD: 09/30/94 COMM: 3,500 ACCRUED: 336 % TTY TGT: 151.66 % FY TGT: 151.66

I. BACKGROUND DATA:

PARTIES TO AGR.: CINDE COUNTERPART PLANNED: \$2.67 M PLANNED YEARLY NON-FEDERAL AUDITS: 8
 MAJ. GOCR AGENCY: None COUNTERPART ACTUAL: \$1.7 M NON-FED AUDITS CONTRACTED FOR/COMPL.: 7/6
 IMPLEMENTING AGENCIES: CINDE/Development Division CONTRACTORS: N/A % LOP ELAPSED: 86
 % TOT OBLIG EXP: 85

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: This project supports the Mission's first S.O. - "Increased Economic Competitiveness" - through:
 a) technical assistance to non-traditional export growers/producers to improve product quality (including post-harvest handling); b) development of new NT crops; c) identification of new markets/customers; and, d) by supplying high-quality agricultural testing laboratory services.
 B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To support the development of Costa Rican export programs for non-traditional export products.

PROJECT DESCRIPTION: This project is the principal technical assistance activity in the Mission's NTAE promotion strategy. It complements ESF local currency and contributions from producers. CINDE/Development Division, formerly DIVAGRI, coordinates production and investment promotion activities and plays a catalytic role between emerging export industries and the GOCR. An agricultural testing laboratory will be partially financed under NETS in the next period in the amount of \$300,000.

IV. PROJECT STATUS:

A. Planned EOPS

1. Development Div. (Agri Section) functioning effectively in developing technical support plans, addressing policy promotion issues and developing investment promotion packages for export of non-traditional agricultural products.
 2. Production and marketing plans developed and implemented, and investment packages formulated.
 3. Development Division (Agri Section) GOGR agencies and agribusiness export groups to be effectively cooperating re mutually agreed upon goals

Progress to Date

1. With the help of project technical assistance, non-traditional agricultural exports (NTAE) have increased dramatically during the last 8 years, earning over \$1 billion.
 2. Project is promoting sectoral policy reforms needed to assure the proper environment for NTAE success as a strategy for promoting economic growth.
 3. Development Division (Agri Section) organized production promotion plans by providing technical support to agricultural production and marketing as well promotion of product investment from within Costa Rica. Pineapple certification program delayed as result of CINDE/MAG differences. To be resolved in 1994.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Investment Promotion 5750 has. planted	1. 5924 has. planted	200 has. chili pepper, 25 asparra- gus, 10 has. ornam. plants.	130 has. chili pepper, 28 has. asparragus, 10 has ornam. plants. 26 has. berries. Total 201 has.	Some projects not finished, (pending 25 has. berries).	Sweet onions 20 has. berries 25 has.
2. \$32.0 million in- vested	\$31.5 million	\$2.8 million	\$1.9 million	Chili pepper project reduced due to low market prices	\$278,000
3. Export \$77 mill.	\$91.2 million	\$6.1 million	\$9.4 million	Includes ornam. plants, \$8 million	

B. Implementation Progress (Continuation):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
				pineapple, melon, mango, asparagus, berries, macadamia.	
4. Other Services Improved NTA crop productivity		Chili peppers as new product under tech. assist. prog.	Program implemented. Continuing with other crops (macadamia, ornamental plants, blackberry, asparagus)		Onions and avocados as new products. Agricultural testing laboratory will open approx. May 1994.
5. NTA technical & - extension services ("Cost Recovery program)	\$471,704	\$78,100	\$98,257		\$57,000
6. Melon export certification program		Expand program to pineapple during 1993	Pineapple program not implemented	Differences between exporters/producers re-certif. payments Also poor support from Agric. Min.	Melon program will start again next November, quality certif. prog. again for mango in Mar. 94
7. Preparation of feasibility studies on NTA activities		Feasibility studies for chili pepper, herbs, papaya and pineapple process	Studies ready for chili pepper, papaya, pineapple, herbs, onions and avocados		Initiate studies for melon, mango, berries, industrial processing
8. Identification of 10 new NTA products and establishment of new crop programs		Identify two new products for 1993	Onions and avocados chosen for next period		Onions and avocado projects to be implemented for next period

C. OTHER ACCOMPLISHMENTS:

1. Agricultural Services Laboratory Study approved by AID to start operation in May 94. NETS agreement was amended to add \$300,000 for lab, and the PACD extended to Sept. 30, 1994.
 2. Export quality cert. program implemented this year for mango. 62,000 boxes certified.
 3. Plantain research project with EARTH started exporting in April. 6,716 boxes exported to date.
 4. Avocado export potential is being analyzed. Export opportunity identified.
 5. CIEDE/Montepotrerrillos asparagus project started exporting in July, 1993.
 6. Sweet onions identified as new product with export potential.
- D. ISSUES AND CORRECTIVE ACTIONS PLANNED: None
- E. STATUS OF CP's AND CONVENANTS: All met
- F. STATUS OF AUDITS: Annual financial audit to be done by year end. FY 92 awaiting final audit report. FY 93 audit contract is in the hiring process.
- G. STATUS OF EVALUATIONS: Last evaluation performed 5/90. Next evaluation 5/94.
- H. NUMBER OF VISITS AS PER USO 570A: 12
- I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES (Semi-annual reports received) NO _____

476

PRJ NAME: Financial Services Project CAT: A PROJ OFF: RWHELDEN/RQUIROS OFF: OPS POSTED ON: 09/30/93
 PRJ #: 5150247.00G AGRDT: 05/31/91 OBLIG: 4,700 CUM EXP: 1,465 PIPELINE: 3,235 FY EXP TD: 387
 TDDA: 06/30/95 IPACD: 06/30/95 AUTH: 7,500 CUM DIS: 1,203 TTY TGT: 1,506 FY TGT TD: 1,506
 TDD: 03/30/96 PACD: 06/30/95 COMM: 2,865 ACCRUED: 262 % TTY TGT: 25.69 % FY TGT: 25.69

I. BACKGROUND DATA:

PARTIES TO AGR.: AID, GOCR, FEDECREDITO, AGEF, BCCR COUNTERPART PLANNED: \$10.34 PLANNED YEARLY NON-FED AUDITS: 4
 MAJ. GOCR AGENCY: BCCR COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
 IMPLEMENTING AGENCIES: AGEF, FEDECREDITO CONTRACTORS: OSU, CUNA % LOP ELAPSED: 51 % TOT OBLIG EXP: 27

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

Many constraints to increased economic competitiveness are related with inefficiencies in the financial system. These range from the need to deepen policy analysis, strengthening the supervision and regulation of non-banking financial intermediaries and improving ways in which small-scale borrowers may have access to credit. The FSP helps to increase economic competitiveness by promoting policy analysis, the strengthening of credit cooperatives and the search for new and innovative delivery systems for credit to small-scale borrowers.

B. LOP Funds Relating to S.O.: 100

III. PROJECT PURPOSE: Contribute to financial liberalization; development of efficient financial market systems, improve the safety of credit, strengthen the regulatory infrastructure to protect credit union members' savings, and create models for savings protection strategies for financial intermediaries in Costa Rica.
 PROJECT DESCRIPTION: The project provides assistance to support 1) a policy environment favorable to the expansion of financial services, 2) strengthen the supervision and viability of cooperative credit unions and 3) test and introduce a range of financial innovations to improve services to project clientele and the management of financial institutions serving the project clientele.

IV. PROJECT STATUS:

A. Planned EOPS

1. Establishment of a policy environment favoring sound expansion of financial services.
2. Existence of regulatory and supervisory system for cooperative credit unions in FEDECREDITO and the BCCR's AGEF.
3. Development of innovative mechanisms for financial service delivery
4. Improved management of credit unions and other IFT's.

Progress to Date

1. T.A. has been provided to the GOCR, BCCR, AGEF, ABC, ACORDE, FEDECREDITO, and the National Assembly in the analysis of financial reforms and the design of a supervision system for credit coops under AGEF..
2. T.A. continues to be provided to ACORDE, FINCA and AVANCE in financial credit analysis and credit supervision.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Better informed decision making.	1.39papers	1. 5 studies at macro-economic level.	1. 6 Occasional Papers, 1 book published.		1. Five studies.
2. Wider public support for adequate financial policies.	2. 4	2. Organization of four seminars.	2. 4 Seminars		2. Three seminars.
3. Adequate regulation and supervision of credit unions. 3. X		3. Initiate regulatory, supervision system and rating system	3. Rating system being tested.	3. Supervision system being designed.	3. Initiate rating system.
4. An established credit union management training program.	4.1lcoop				
5. A credit union information system.	5. X		4. 0	0	4. 0
6. Increased financial stability and long-term viability of credit unions.	6. 2	4. 0 5. 0 6. 1	5. System to be designated 6. Stabilization fund designed		5. 0 6. Establishment of stabilization fund

PRJ NAME: Financial Services Project
PRJ #: 5150247.00G

C. OTHER ACCOMPLISHMENTS: FEDECREDITO with WOCCU technical support has designed an Stabilization Fund project which FEDECREDITO and AID are currently analyzing. The AUDICOOP unit for supervision and external auditing of cooperatives has been established with CONCAF and AID support; AGEF has agreed in principle to give AUDICOOP a role in the concurrent supervision of cooperatives once the law expanding its mandate is passed by the Legislative Assembly. The Special Commission of the Legislative Assembly, with AID support, has finished its proposal for changes in Central Bank objectives and structure and for AGEF's expanded role in the supervision of non-banking and financial intermediaries.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: Due to electoral year politics, it will be difficult to obtain promulgation of the Central Bank and AGEF's reforms before the next presidential election. Efforts will be made to obtain the involvement of the new Central Bank President to focus FSP's activities in specific issues leading to the prompt implementation of reforms. The new laws are expected to come into force not later than June, 1994.

Although the Oversight Committee was not active during this period, it has been reactivated and regular meetings are being scheduled. An informal technical committee with the participation of FEDECREDITO, WOCCU, OSU and AID is now in place and meets regularly to discuss project issues.

E. STATUS OF CP'S & COVENANTS: MET.

F. STATUS OF AUDITS: An audit of the accounting and application of the funds that will be transferred to the Stabilization Fund is being carried out as a pre-condition for AID's approval. FY 92 (FEDECREDITO) awaiting audit final report. FY 93 audit in process (the audit contract is in the hiring process).

G. STATUS OF EVALUATIONS: Due to the project's delayed start, the evaluation planned for late 1993 has been re-scheduled for early 1994. Terms of reference are being prepared.

H. NUMBER OF VISITS AS PER USO 570A: 24

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: Support to PVOs (ACORDE)
 PRJ #: 5150252.00G AGRDT: 04/15/90
 TDDA: 01/15/93 IPACD: 04/15/92
 TDD: 05/01/95 PACD: 07/20/94

CAT: A PROJ OFF: RWHELDEN/RQUIROS OFF: OPS POSTED ON: 09/30/93
 OBLIG: 2,000 CUM EXP: 1,670 PIPELINE: 330 FY EXP TD: 622
 AUTH: 2,000 CUM DIS: 1,670 TFF TGT: 484 FY TGT TD: 484
 COMM: 2,000 ACCRUED: 0 % TFF TGT: 128.51 % FY TGT: 128.51

I. BACKGROUND DATA:

PARTIES TO AGR.: AID/ACORDE
 MAJ. GOCR AGENCY: N/A
 IMPLEMENTING AGENCIES: ACORDE

COUNTERPART PLANNED: \$661,000 PLANNED YEARLY NON-FED AUDITS: 4
 COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
 CONTRACTORS: N/A % LOP ELAPSED: 80
 % TOT ORLIG EXP: 76

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: The strengthening of a self-sustaining financial intermediary (ACORDE) to provide credit, TA, training and other financial services to micro and small entrepreneurs contributes to increased economic competitiveness, the Mission S.O. No.1. B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: By providing resources to meet PVOs' requests for financial assistance, T.A. and training, the Project strengthens the microenterprise sector which employs 21% of the total national labor force thereby enabling it to participate competitively in the national economy.
 PROJECT DESCRIPTION: The Project provides ACORDE with resources to meet the PVO's requests for financial assistance, T.A., and training. It also promotes the institutional strengthening and self-sufficiency of ACORDE, and the self-sufficiency of the PVOs through the establishment of sound credit systems.

IV. PROJECT STATUS:

A. Planned EOPS

1. ACORDE will be partially (60%) self-sufficient.
2. ACORDE will be strengthened in areas such as credit systems and organization planning, investment and credit portfolio management, and evaluation.
3. PVOs managing credit programs will be self-sufficient through the establishment of sound credit systems, which charge real interest rates to the end-users.

Progress to Date:

1. An expanded loan portfolio and financial activity has helped ACORDE to surpass its goal of 60% financial self-sufficiency.
2. A credit system is now in place in ACORDE and is being extended to its main PVO partners.
3. Both ACORDE and PVOs that use AID funds are now charging positive interest rates in their loans.
4. With CAREI financing ACORDE has opened a credit line for small entrepreneurs. This is a first step to becoming a non-subsidized commercial financial institutions.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Five Projects financed.	1. 17	1. 4	1. 3	1. Three projects under analysis	1. 3
2. 1500 new jobs generated or consolidated.	2. 467	2. 160	2. 160		2. 160
3. 20 T.A.	3. 14	3. 5	3. 2	1.3 projects under analysis	3. 3
4. 40 PVOs assisted through training.	4. 42	4. 2	4. 33		4. 15
5. Training Short term	5. M F T 168 66 291	5. M F T 15 4 19	5. M F T 10 9 19		5. M F T 15 5 20
6. 10 Study Trips	6. 6	6. 2	6. 1	6. One trip postponed.	6. One Study Trip

43

PRJ NAME: Support to FVOs (ACORDE)
PRJ #: 5150252.00G

C. OTHER ACCOMPLISHMENTS: ACORDE is in the process of streamlining its service to clients, including acceptance of time deposits from client FVOs. The executive board has delegated credit approval of loans of less than 1.5 million colones to a credit committee. Through OSU/Academia the first contacts with Bancosol, Bolivia were established to share experiences as a first step to create a financiera or other type of commercial financial operation.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: ACORDE's adjustment to the new financial situation has been smooth and orderly. This period's operations show an overall decrease in expenses, a marked increase in income, and an expansion of its portfolio. MOU No. 7 monitoring and supervision responsibilities were transferred to MIDEPLAN. As a result of the changes introduced after the 1992 evaluation, it is expected that ACORDE will graduate as a self-sufficient financial concern by the end of the project life.

E. STATUS OF CP's & COVENANTS: Completed.

F. STATUS OF AUDITS: FY 92 audit complete. FY 93 audit in process (audit contract in the hiring process).

G. STATUS OF EVALUATIONS: Completed in June, 1992. There are no plans for new evaluations to take place before the end of the project.

H. NUMBER OF VISITS AS PER USO 570A: 8

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: Int'l Executive Service Corps. (IESC) CAT: A PROJ OFF: RWHELDEN/LSOLERA FROM: OPS POSTED ON: 09/30/93
 PRJ #: 5150257.00G AGRDT: 01/01/90 OBLIG: 1,300 CUM EXP: 1,250 PIPELINE: 50 FY EXP TD: 51
 TDDA: 09/30/93 IPACD: 12/31/92 AUTH: 1,300 CUM DIS: 845 EFX TGT: 470 FY TGT TD: 470
 TDD: 09/31/94 PACD: 12/31/93 COMM: 1,300 ACCRUED: 405 \$ EFX TGT: 10.85 \$ FY TGT: 10.85

I. BACKGROUND DATA:

PARTIES TO AGR.: Int'l Executive Service Corp (IESC) COUNTERPART PLANNED: \$1.0MM PLANNED YEARLY NON-FED AUDITS: 4
 MAJ. GOCR AGENCY: None COUNTERPART ACTUAL: \$0.7 MM NON-FED AUDITS CONTRACTED FOR/COMPLETED: 3
 IMPLEMENTING AGENCIES: IESC CONTRACTORS: IESC Volunteers \$ LOP ELAPSED: 93
 \$ TOT OBLIG EXP: 74

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Project contributes to the Mission's Strategic Objective of Increased Economic Competitiveness by helping local companies overcome production, quality, management and market constraints to increased exports of non-traditional industrial and agroindustrial products.

B. \$ LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: Assist local producers to expand or locate new industries and to develop new export markets for industrial and agroindustrial products by matching experienced private sector volunteers with Costa Rican companies.

PROJECT DESCRIPTION: The project provides partial funding of IESC's technical and marketing assistance to local companies, mainly small and medium sized firms with the potential to export or firms which are recently exporting. The project also finances IESC's technical assistance to private sector institutions supporting the development of non-traditional exports.

IV. PROJECT STATUS:

A. Planned EOPS

Progress to Date:

1. N/A-However the expected product is increased exports as a result of the assistance provided by IESC.

1. 55 companies/institutions assisted in 110 projects

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. 7,268 project/days	1. 5,001	1. 600	1. 356	1. CINDE cancelled six projects. Received better offer from Israeli consultants	1. 360
2. 18 ABLE1 studies	2. 20	2. 0	2. 0	2. -	2. -
3. Training	3. 8	3. 0	3. 0	3. N/A	3. 0

1/ American Business Linkage Enterprise

PRJ NAME: Int'l Executive Service Corp
PRJ #: 5150257.00G

- C. OTHER ACCOMPLISHMENTS: Approximately 70% of the volunteer assistance was provided to companies/institutions working for the non-traditional export market. Most of the assistance was channelled to the industrial sector. The latest evaluation confirmed that as a result of IESC's interventions, more than 90% of the companies assisted had increased their efficiency, improved their quality standards or strengthened their management capabilities.
- D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: The Mission is discussing with IESC a possible one year no cost extension of the Grant to December 1994. Given the evaluation's positive findings, the Mission has decided to request a proposal by IESC for this extension and expects to approve it by the end of the first quarter of 1994.
- E. STATUS OF CP'S & COVENANTS: All met
- F. STATUS OF AUDITS: The audit of IESC/Costa Rica for FY 1992 was completed. According to IESC/Costa Rica the audit did not report material weaknesses. We have requested a copy of the audit for our review.
- G. STATUS OF EVALUATIONS: The latest evaluation (3/93) reviewing project and administrative performance during 1991 and 1992 concluded that the IESC program had been effective in meeting its objectives.
- H. NUMBER OF VISITS AS PER USO 570A: 5
- I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

OFF. OF PRIVATE SECTOR

LC ACTIVITIES (C000,000)
00610/00097

11

AS OF 09/30/93, (END OF 4TH QTR)
(BY OFFICE, Col 000,000)
00097/00610

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)
515FL94.09G	FINTRA/CODESA/BCCR/KAT. COM	A	9,065	6,644	2,421
TOTAL : — 00610/00097			9,065	6,644	2,421

418

I. BACKGROUND DATA:

PARTIES TO AGR.: AID/GOCR/BCCR/CODESA	COUNTERPART PLANNED: N/A	PLANNED YEARLY NON-FED AUDITS: Half-yearly
MAJ. GOCR AGENCY: CODESA	COUNTERPART ACTUAL: N/A	audits by Peat Marwick/AID CONT
IMPLEMENTING AGENCIES: FINTRA/CODESA	CONTRACTORS: N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED: Last
		period: 10/01/92-03/31/93
		* LOP ELAPSED: N/A
		* TOT OBLIG EXP: N/A

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: The project supports the S.O.: A more Streamlined, Responsive and Efficient Government by divesting or liquidating state-owned enterprises and services. Specifically, the project supports the GOCR in disengaging from productive activities in order to reduce its fiscal deficit and dedicate its resources to the provision of public services.

B. * LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To assist the GOCR in its divestiture of the equity interests of its holding company, CODESA.
 PROJECT DESCRIPTION: In 1985, an AID/GOCR agreement provided for AID to establish and fund a private sector trust, FINTRA, from an ESF-generated local currency account to buy, or hold in trust, holdings of CODESA for packaging and resale to the private sector. FINTRA has funds totalling about Cl,800 million earmarked for privatization. In addition, there is an ESF special currency account of approximately Cl,400 million and a CODESA transfer of Cl,000 million. Both are earmarked to support the privatization process.

IV. PROJECT STATUS:

A. Planned EOPS

1. The complete divestiture or liquidation of CODESA-held companies.
2. The closure of CODESA itself.
3. Supporting Reform of the State programs.

Progress to Date:

1. 39 of 42 CODESA companies closed, privatized or transferred.
2. Manpower reduced to 20 from a peak of 330; new activities have ceased.
3. See "Actual in Period" and "other Accomplishments".

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if Less than planned	Next Period Plans
CODESA originally had 32 subsidiaries (owned 51% or more) and 10 affiliates (owned less than 50%) eligible for privatization. By law CODESA had to retain up to a 60% majority in two large affiliates.	1. Thirty-five companies and minority interests have been sold, liquidated or transferred to other government agencies. FINTRA bought and resold three others, held and restructured CATSA and sold all of its shares.	1. FINTRA will assist the GOCR in the drafting of the implementation decree for the privatization law. 2. FINTRA will assist CODESA in the first two offers to future shareholders of CEMPASA and FERTICA and in the sales process. 3. FINTRA will make efforts to sell the woodlands acquired	1. The implementation decree was drafted with FINTRA's assistance and published by the GOCR. 2. FINTRA assisted the GOCR in the preparation of the bidding documents for the sale of CEMPASA and has up-dated the study on FERTICA's value. FINTRA also	N/A	1. FINTRA will continue to assist CODESA in the sale of CEMPASA and FERTICA. 2. FINTRA will assist CODESA in liquidating CODESA's seat in the Bolsa de Valores. 3. FINTRA will provide technical support of GOCR's restructuring COOPENAPO, the largest cooperative of the former employees of state owned retail food store chain

4/9

B. Implementation Progress (Continuation):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if Less than planned	Next Period Plans
		for the STARAPARI shares. 4. FINTRA will assist CODESA in the sale of misc. properties.	helped CODESA draft the basic information for potential buyers of CEMPASA and FERTICA. 3. FINTRA identified international nature conservancy agencies that could be interested in buying the woodlands. The sale of the land will occur once the dispute with the previous owner over 500 hectares is resolved. 4. With FINTRA's assistance, CODESA sold 100% of its miscellaneous properties.		4. FINTRA will make efforts in court to resolve the dispute with the former owner of the woodlands acquired for the STARAPARI shares.

C. OTHER ACCOMPLISHMENTS:

1. FINTRA completed technical assistance to three of the four cooperatives established by former employees of the CHP estancos.
2. The GOCR submitted to the Legislative Assembly the bill for the sale of FANAL and the bill for the opening of the insurance monopoly. Both bills were drafted with substantial input from FINTRA's consultants.
3. FINTRA completed the book on CODESA and a film on the privatization of CATSA.
4. FINTRA assisted JAPDEVA's fork lift drivers in forming a cooperative to privatize this activity.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: The GOCR is expected to determine if the C 1 billion which AID disbursed in 1989 in anticipation of the privatization of FERTICA can be used to finance the sale of FERTICA and CEMPASA. The GOCR's determination on this issue will be critical for AID to authorize the use of an additional C1.4 billion for this process.

E. STATUS OF CP'S & COVENANTS: All CP's and Covenants have been met, except that the GOCR is late in the divestiture timetable agreed in ESR VI.

F. STATUS OF AUDITS: Audits of FINTRA are performed semi-annually. The current audit which covers the period 10/01/92 to 3/31/93 has been performed and accepted by AID CONT.

G. STATUS OF EVALUATIONS: Center for Privatization evaluation completed in May 1988. Findings were complimentary to the Project's progress and structure. On-going activities are evaluated quarterly by USAID's Project Management.

H. NUMBER OF SITE VISITS AS PER USO 570A: 70

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

RURAL DEVELOPMENT OFFICE

DOLLAR PRJ. - USAID/COSTA RICA
00620/00008

27
/

AS OF 09/30/93, (END OF 4TH QTR)
 (BY OFFICE, \$000)
 00008/00620

FISCAL YEAR '93
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED FY 93	PLANNED THRU 4TH QTR	ACTUAL THRU 4TH QTR	ACTUAL \$ PLAN THRU 4TH QTR	FY 93 BAL AGAINST TOT YR TGT
5150235.00G	NORTHERN ZONE CONSOLIDATION	B	5,150	3,359	1,791	900	900	1,788	198.66	- 888
5150243.00G	FOREST MANAGEMENT	A	7,500	3,060	4,440	1,400	1,400	1,408	100.57	- 8
5150249.00G	TORTUGUERO OPG	A	550	216	334	325	325	- 190	- 58.46	135
5150255.00G	FOREST CONSERV./MGM.	C	1,300	1,126	174	175	175	300	171.42	- 125
5150262.00G	NATIVE TREE REFOREST.	A	500	61	439	200	200	61	30.50	139
5150263.00G	REGUL. FOR FOREST MNGMT.	B	400	0	400	0	0	0	0.00	0
5960129.01G	REGIONAL HIGHER EDUCATION	A	6,705	6,705	0	0	0	0	0.00	0
5960129.02G	REGIONAL HIGHER EDUCATION	A	16,042	15,135	907	3,600	3,600	3,945	109.58	- 345
5960129.03G	REGIONAL HIGHER EDUCATION	A	295	295	0	0	0	0	0.00	0
TOTAL :			38,442	29,957	8,485	6,600	6,600	7,504	113.70	-1,092

PRJ NAME: NORTHERN ZONE CONSOLIDATION CAT: B PROJ OFF: David Heesen OFF: RDO POSTED ON: 09/30/93
 PRJ #: 5150235.00G AGRDT: 08/24/88 OBLIG: 5,150 CUM EXP: 3,359 PIPELINE: 1,791 FY EXP TD: 1,788
 TDDA: 06/31/95 IPACD: 09/30/93 AUTH: 5,150 CUM DIS: 2,872 TFF TGT: 900 FY TGT TD: 900
 TDD: 06/31/95 PACD: 09/30/94 COMM: 4,779 ACCRUED: 487 † TFF TGT: 198.66 † FY TGT: 198.66

I. BACKGROUND DATA:

PARTIES TO AGR.: Ministry Nat. Plan. & Econ. Policy
 MAJ.GOCR AGENCY: MIDEPLAN
 IMPLEMENTING AGENCIES: CARE, ACDI, and AMV

COUNTERPART PLANNED: C254 M PLANNED YEARLY NON-FEDERAL AUDITS: 6
 COUNTERPART ACTUAL: C192 M NON-FED AUD. CONTRACTED FOR/COMPL.: 1/1
 CONTRACTORS: AGRIDECA † TOT LOP ELAPSED: 764
 † TOT OBLIG EXP: 544

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: Project lies outside principal Mission focus and will expire on the current PACD of Sept. 1994.
 B. Percentage of LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To contribute to the consolidation of the efficient and equitable socio-economic development of the Canton of Upala and Guatuso, the District of Santa Cecilia, La Cruz Canton and the District of Cano Negro, Canton of Los Chiles.
 PROJECT DESCRIPTION: The project, which focusses, primarily on the rural development of the Northern Zone of Costa Rica, has the following five components: (1) General Operations, (2) Crop Diversification, (3) Road Maintenance and Rehabilitation, (4) Community Development and (5) Land Settlement and Titling, and (6) Environmental Management Support. USAID will directly implement the Road Maintenance and Rehabilitation and the Crop Diversification Components through the end of the project or until the Foundation for the Development of the Northern Zone (FUNDANORT) is technically competent to implement these components.

IV. PROJECT STATUS:

A. Planned EOPS

1. Adequate all-weather road network and self-sustaining road management system
2. Well organized network of Community Development Associations (55)
3. Non-traditional export-oriented crop production
4. Socio-economic incorporation of the Land Development Institute (IDA) settlers into the local economy
5. Environmental management activities in place & functional

Progress to Date

1. On-going rehab. of 122 kms; 54 kms already rehabilitated. Some road projects delayed due to weather conditions in the area.
2. FUNDANORT continues to work in two major fields: community development and land settlement. Some budget constraints from the Govt. of Costa Rica are affecting the performance of programs.
3. C200M revolving credit fund managed by CARE; most of the plantin materials for this year's cropping cycle already planted. A severe and unusually long dry season affected the Northern Zone during the first 5 months of 1993. This required the replanting of several crop areas.
4. FUNDANORT is providing some technical and training support to a large group of IDA settlers.
5. Environmental support, education, monitoring activities in place

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. CROP DIVERSIFICATION (hectares)					
a. 1050 planted	a. 550	a. 300	a. 68	a. See IV.3 above	a. 300
b. 1000 farmers	b. 500	b. 300	b. 200	b. See IV.3 above	b. 300
2. ROAD MAINTENANCE (km)					
a. 100 road maintenance	a. 22	a. 30	a. 30	a. See IV.1 above	a. 0
b. 150 road rehabilitation	b. 54	b. 65	b. 54	b. See IV.1 above	b. 68
3. COMMUNITY DEVELOPMENT					
a. 550 development projs	a. 42	a. 3	a. 4	a. See IV.2 above	a. See IV.2 above
4. LAND SETTLEMENT					
a. 1600 titles issued	a. 497	a. 100	a. 108	a. See IV.4 above	a. 100

PRJ NAME: NORTHERN ZONE CONSOLIDATION
 PRJ #: 5150235.00G

B. Implementation Progress (Cont.)

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
5. Environmental Support					
a. 50 environmental education programs	a. 56	a. 24 workshops	a. 24	a. N/A	a. 12 workshops

C. OTHER ACCOMPLISHMENTS: (General Observations)

- (1) The rehabilitation and road maintenance equipment with a value of \$700,000 was delivered to the AMV in Upala. AID is going to provide AMV with two additional equipment items: a backhoe and a road grader. These two units will be procured shortly.
- (2) CARE is implementing the agricultural component. The main action implemented during this period is the harvesting of the first 25 hectares of heart of palm. A marketing research and market analysis of heart of palm was completed on September 15, 1993.
- (3) The Board of Directors of the Foundation for the Development of the Northern Zone (FUNDANORT) will review its current projects portfolio (community development and land settlement projects) executed through the Institute for Agrarian Development (IDA) and Directorate for Community Development (DIRADECO), to evaluate and select the most suitable projects for the foundation.
- (4) Agricultural Cooperative Development International (ACDI) continues to play an important role in the technical and management consolidation of the Association of Road Maintenance and Rehabilitation (AMV).
- (5) Several environmental activities are in place. Carlos de la Rosa, the environmental specialist of the project, continues to provide technical guidance on several environmental problems/issues in the region.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED:

- (1) Environmental support activities are no longer delayed as AGRIDEC, the environmental contractor, was able to fully finance field activities.
- (2) The board of the AMV voted in May to fire the general manager, based on poor administrative performance and inability to adjust to new technical and budgeting improvements in the AMV workplan. The board also decided to combine the general manager and engineer positions, resulting in a savings of about 10% per year in operating costs.

E. STATUS OF CP'S & COVENANTS: Satisfied on May 19, 1989

F. STATUS OF AUDITS: CARE audit during the fourth quarter of 1993. FY 92 AMV audit in process (the field work is in process)

G. STATUS OF EVALUATIONS: Mid-term completed 9/30/91

H. NUMBER OF VISITS AS PER USO 570A: 6

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: FORESTA CAT: A PROJ OFF: D. Heesen PROJECT SUPPORT: A. Lewandowski OFF: RDO POSTED ON: 09/30/93
 PRJ #:5150243.00G AGRDT: 04/28/89 OBLIG: 7,500 CUM EXP: 3,060 PIPELINE: 4,440 FY EXP TD: 1,408
 TDDA:03/31/96 IPACD: 03/31/96 AUTH: 7,500 CUM DIS: 2,875 TFF TGT: 1,400 FY TGT TD: 1,400
 TDD:12/31/96 PACD: 03/31/96 COMM: 6,897 ACCRUED: 185 % TFF TGT: 100.57 % FY TGT: 100.57

I. BACKGROUND DATA:

PARTIES TO AGR.: FUNDECOR/MIRENEM/BCCR/AID COUNTERPART PLANNED: 1.2 B Colon* % LOP ELAPSED: 64
 MAJ. GOCR AGENCY: MIRENEM COUNTERPART ACTUAL: 50 M Colon % TOT OBLIG EXP: 41
 IMPLEMENTING AGENCIES: FUNDECOR CONTRACTORS: Price Waterhouse/CATIE PLANNED YEARLY NON-FED AUDITS: 5
 NON-FED AUDITS CONTRACTED FOR/COMPLETED: 2/2

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: FORESTA supports the maintenance of natural forest habitat by providing technical and financial assistance to promote improved management of protected areas, and sustainable land uses in the buffer zones of the protected areas of the ACVC.
 B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: Development of forestry and agroforestry as economically appropriate land uses in the buffer zones around selected national parks and other protected areas of the Cordillera Central (Central Volcanic Cordillera Conservation Area-ACVC), and to support management of these protected areas.

PROJECT DESCRIPTION: The project has four components: (A) Area-wide Activities; (B) Specific Subproject Activities: (1) Management of Protected Areas; (2) Management of Natural Forests for Production; and (3) Integration of Trees on Farms.

IV. PROJECT STATUS: A. Planned EOPS**

1. FUNDECOR is a permanent self-sustaining private organization which will play an important role in the management of natural resources of the Central Cordillera Area.
2. FUNDECOR will be participating in the mgmt. of national parks & other protected areas according to MIRENEM policy guidelines.
3. Area of current forest cover maintained or increased.
4. Integrated forest industry w/sustained supply of raw materials.
5. Local residents benefiting from improved forest management and agroforestry practices on their own lands.
6. At least 5000 ha. managed according to technically optimal forest management plans.

Progress to Date

1. (a) FUNDECOR's completely staffed; b) fund raising program initiated; and c) trust fund being developed.
2. FUNDECOR participating in protection, environmental education, visitor attendance programs for the ACVC.
3. Baseline data digitized. Four mobile patrols activated.
4. 18 landowner forestry company contract signed.
5. Eight private native species nurseries established with out planting of 440 has. underway.
6. 500 has. under management in 1993. Management plans for a ditional 4200 has. (7170 has. total) completed for 93-94 management activities.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. AREA-WIDE ACTIVITIES					
a. Hiring FUNDECOR staff	18	1	2		a. Concession manager contracted
b. Endowment Fund Established	0	0	0	b. Delay in signing due to extremely difficult negotiations	b. Endowment Agreement Trust Contract signed
c. FUNDECOR Staff Training	2	c. Trng. plan	c. 2 trng. events		c. Continue trng. plan
d. Global Strategy for Conservation Area	1	d. 1st draft done	d. Second phase initiated		d. Complete 2nd phase
e. Multi-use Operation Centers	1	e. Construction of two centers	e. 1 center completed	e. Construct. delayed due to permit delays	e. Complete Poas operational center
f. Improved Protection Services for Conservation Area	4 operational patrols	f. Analysis of patrols' effectiveness	f. Analysis not completed	f. Other pressing activities	f. Continue with protection prog. & its analysis
g. EE Program Functioning in Conservation Area	0	0	g. Change of strategy for EE prog.		g. Complete EE Action Plan

PRJ NAME: Forest Management
 PRJ #: 5150243.00G

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
2. SPECIFIC SUB-PROJECTS					
a. Mgmt. of protected area					
(1) Mgmt. plans for 12 protected areas	0	0	0		(1) For later implementation.
(2) Infrastructure for Visitors	Over 100	(2) Complete Poas repairs; 1 overlook built	(2) Poas repairs 90% complete	(2) Overlooks will not be built.	(2) Complete Poas and Irazu visitor center repairs.
(3) Services for Visitors	Improved services in 3 nat'l parks	(3) Concessions contract signed and two concessions implemented	(3) Concessions contract signed	(3) CRica Centraloria Grl. has not issued final approval.	(3) Two concessions implemented.
(4) Community Particip.	60	(4) 10 additional community contracts	(4) 12		(4) Financing of community contract is pass to the Parks Service
b. FOREST MGMT. FOR PRODUCTION					
(1) Revised forestry guidelines & controls in place	New guidelines in place	(1) Implement new guidelines	(1) Completed		(1) Continue with national forums to review/improve guidelines
(2) Multi-year Management Plans Forest Owners	7170 has.	(2) Approximately 2500 has. with mgmt. plans	(2) Completed		(2) An additional 100 has. with mgmt. plans
(3) Forest Managed (Has.)	1652 has.	(3) 400 has.	(3) 500 has.		(3) Addt'l 400 has.
(4) Comm. Enterp. Establ.	2	(4) Mgmt. activities for Jilgueros initiated	(4) Completed		(4) Initiate ecotouri & forestry activities
(5) Forest Mgmt./Reforest. Demo/Research Plots	2 plots	(5) Technical follow-up to demo. plots.	(5) Technical follow-up to demo plots.		(5) Continue technica follow-up demo plots used for training.
c. INTEGRATION OF FORESTS					
(1) Nurseries Established	8	(1) Pesticide safety training	(1) Completed		(1) Continue with out planting cycle for Oc 1994.
(2) Agro-forestry demonstration & research plots	0				(2) Reassess agroforestry during mid-course evaluation.
(3) Hectares Reforested	336 has.	(3) Oct 93 reforestation	(3) 123 additional has. reforest.		(3) Reassess reforestation outputs during mid-course evaluation

C. OTHER ACCOMPLISHMENTS: Project implementation is on schedule, buffer zone activities ahead of schedule. FORESTA - forest under management LOP output surpassed by 2000 ha. Other accomplishments include: (1) 2nd audit with no material findings; (2) woman Chief of Planning Director receives FUNDECOR/AID scholarship for M.S. degree in U.S.; (3) with FORESTA T.A. parks in project area are self-financing - project no longer paying recurrent costs.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: If GOCR does not complete its obligation of C232.2 million colones, project outputs will need to be reduced. Issue will be topic of 2/94 external evaluation. Finalize negotiations for endowment in next six months

E. STATUS OF CPs AND COVENANTS: All CPs relating to the project going forward closed with the GOCR on 2/16/91 (CPs No. 3.1.A.1 & 2). CPs to additional disbursement regarding FUNDECOR operations (CPs 3.2.A.1, A.2, A.3, A.4 & A.5) closed on 6/7/91 (PIL 10). CPs in Section 3.2.B refer to the endowment funds.

F. STATUS OF AUDITS: 2nd audit completed with no material findings. FUNDECOR FY 92 audit complete. FUNDECOR FY 93 audit contract is in the hiring process.

G. STATUS OF EVALUATIONS: First evaluation 2nd quarter of FY 94.

H. NUMBER OF VISITS AS PER USO 570A: 28

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: TORTUGUERO CONS. & DEV. CAT: A PROJ OFF: E. Barrau OFF: RDO POSTED ON: 9/30/93
 PRJ #:5150249.00G AGRDT: 03/30/89 OBLIG: 550 COM EXP: 216 PIPELINE: 334 FY EXP TD: -190
 TODA:03/30/92 IPACD: 03/30/92 AUTH: 550 COM DIS: 216 TTY TGT: 325 FY TGT TD: 325
 TDD:12/30/93 PACD: 03/31/94 COMM: 550 ACCRUED: 0 % TTY TGT: -58.46 % FY TGT: -58.46

I. BACKGROUND DATA:

PARTIES TO AGR.: CCC/AID COUNTERPART PLANNED: \$557,000 PLANNED YEARLY NON-FED AUDITS 5
 MAJ. GOCR AGENCY: NONE COUNTERPART ACTUAL: \$75,000 NON-FED AUDITS CONTRACTED FOR COMPLETED 1/1
 IMPLEMENTING AGENCIES: CCC CONTRACTORS: DEFPAT and Los Wells & Assoc. % LOP ELAPSED: 83
 % TOT OBLIG EXP: 41

II. RELATION TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: The project supported the previous strategic objective improved long-term management of the natural resource base by promoting sound ecological preservation in a sea turtle nesting area. It lies outside the current strategic objective and will be allowed to expire on the PACD of 3-31-94.
 B. Percentage LOP Funds relating to S.O.: 100%

III. PROJECT PURPOSE AND DESCRIPTION: Promote economically and ecologically sound preservation of Tortuguero Regional Conservation Unit. The objectives of this project are to develop the region into a major natural history tourism attraction, to ensure the perpetuity of the sea turtle rookery and to support management in the protected areas. Specific objectives include: (1) to create a corridor extension between the Tortuguero National Park and Barra del Colorado Wildlife Refuge that will protect wet tropical lowland biodata and the region's biodiversity, (2) to develop an environmental education program for both the buffer zone and the middle watershed area affecting Tortuguero, and (3) to support research on conservation and the endangered green sea turtle.

IV. PROJECT STATUS:

A. Planned Objectives

1. Develop a regulatory plan.
2. Build an Environmental Interpretation and Extension Center (EIE)
3. Tortuguero Mountain
4. EIE Program.
5. Build the Green Turtle Research Station.

Progress to Date

1. Plan completed, approved by INVU and ICT.
2. Design completed; construction plans approved by INVU, ICT, CFIA, and Health Ministry, pending approval by Municipality of Pococi.
3. Legal status uncertain, but property is no longer legally titled, inclusion as protected area is being investigated.
4. New bilingual brochures to be printed, guide course given in Tortuguero and Parismina, exhibit designed and under construction.
5. Construction plans approved by INVU, ICT and Health Min., approved by Municipality of Pococi pending.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Regulatory Plan		1. Solicit rapid approval of Plan by ICT and INVU.	1. Plan approved by ICT and INVU.	1. Estimated approval by the Municipality of Pococi is first half of October.	1. Approval of plan by Municipality.
		Present plan to Municipality and town of Tortuguero.	Approval by Municipality pending.	Conversations with CEDARENA regarding training for committee.	Develop and present a proposal to finance monitoring committee.
2. EIE Center Construction		2. Decide on design modifications.	2. Designs completed plans submitted to appropriate institutions and approved	Additional funding was not found. So building was down sized to fit budget	Install exhibits. Develop operation plan for EIE program.

PRJ NAME: TORTUGUERO CONSERVATION AND DEVELOPMENT
 PRJ #: 5150249.00G

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
3. Tortuguero Mountain		3. Expedite purchase process for mountain.	3. Property title annulled by attorney general by Agrarian Law. Current ownership and expropriation of land being investigated.	3. With title annulled it is possible the mountain and surrounding property is in the hands of State, thus eliminating the need for outright purchase.	3. Determine ownership and process to protect property, improve trail construct visitors shelter.
4. EIE Program		4. Begin construction of exhibits. Continue elaborating EIE materials and activities for the area. Work to improve guide course and develop self-regulation mechanism for guide activities.	4. Exhibit under construction with various components finished, turtle guide given in Tortuguero, guideliness activities for guiding activities on nesting beach.	4. There were far fewer reports of friction relating to guide activities this nesting season, materials are being gathered to finish exhibits.	4. Exhibits will be finished and installed by mid-March 1993. EIE program to be developed for Center.
5. Green Turtle Research Station		5. Decide on design modifications. Obtain additional funding for construction and start construction.	5. Design modified, approved by ICT, INVU and Health Ministry, approval by Munic. of Pocos pending, preliminary work started on construction.	5. Additional funding was not found, so building was down sized to fit budget, approval by the Municipality expected for first half October 1993.	5. Obtain permits from Municipality. Finish construction. Develop operation plan and promote station for research and educational use.

C. OTHER ACCOMPLISHMENTS: The CCC has been working with the Neotropic Foundation and Swedish NGO Hepenthes on purchasing land for the corridor (Zona Protectora). Most of the land has been purchased (except for land owned by Portico). A guide to the area that will be sold to tourists will be completed soon.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: None

E. STATUS OF CP'S & COVENANTS: All Met

F. STATUS OF AUDITS: RIG audit 12/31/90. Subsequent audit reports are over due.

G. STATUS OF EVALUATIONS: Final evaluation 3/93

H. NUMBER OF VISITS AS PER USO 570A: 1

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES NO

* TNC = The Nature Conservancy
 EIE = Environ. Interpretation and

TNP = Tortuguero National Park
 OMB = Office of Mgmt. & Budget

CEDARENA = Centro de Derecho Ambiental y de Recursos Naturales

PRJ NAME: Forest Conservation & Mngmt. (BOSCOSA) CAT: C PRJ OFF: E. Barrau OFF: RDO POSTED ON: 09/30/92
 PRJ #:5150255.00G AGRDT: 03/31/90 OBLIG: 1,300 CUM EXP: 1,126 PIPELINE: 174 FY EXP TD: 300
 TDDA:09/30/96 IPACD: 03/31/93 AUTH: 1,900 CUM DIS: 1,063 TTY TGT: 175 FY TGT TD: 175
 TDD:09/30/96 PACD: 03/31/96 COMM: 1,300 ACCRUED: 63 † TTY TGT: 171.42 FY TGT: 171.42

I. BACKGROUND DATA:

PARTIES TO AGR.: Fundacion Neotropica COUNTERPART PLANNED: N/A YEARLY NON-FED AUDITS PLANNED: 3
 MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1/3
 IMPLEMENTING AGENCIES: Fundacion Neotropica (FN) CONTRACTORS: N/A † LOP ELAPSED: 50%
 † TOT OBLIG EXP: 58%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: This project supports improved long-term sustainability of the natural resource base by helping maintain forest cover in the Osa Peninsula.
 B. % of LOP funds relating to S.O.: 100%

III. PROJECT PURPOSE AND DESCRIPTION: To develop and demonstrate natural forest management, sustainable agriculture, ecotourism and biodiversity technologies which are economically productive and contribute towards the maintenance of forest cover.

IV. PROJECT STATUS:

A. Planned EOPS

1. Forestry Component
2. Crop and Animal Production
3. Training and Marketing Component
4. Land Titling
5. Environmental Protection

- (These are goals for PACD, this is the 1st SAR under Prj. extension)
1. Promote one integrated forest industry. Established 5,000 ha. under forest management. Reforest 250 ha. with native species.
 2. Provide T.A. in the management of 50 ha of scoursop, 18 ha. of peach palm, 50 ha of plantain, 100 ha of root crops, 5 ha legume
 3. Assist local organizations to plan economically feasible project. Establish information network on marketing and new products.
 4. Assist land owners obtain statements of possession and register titles, land tenure inventory of Boscosa service area beneficiar
 5. Complete baseline studies to determine area of primary, secundar and managed forest and wildlife habitat, determine the primary forest community types and make inventory of forest plants.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
FORESTRY COMPONENT					
Forest Management and Conservation (5000 ha under management)		Prepare four management plans for CAM	Management plan were ready but not presented to DGF.	Internal problems with CAM and shift objectives within coop. Lack of land title preclude legal process of management plans.	Encourage forest beneficiaries to obtain land title to legally process forest management plans.
Expansion of Forest Management Plan		Establish trails on forest under incentives for conservation	Two trails were established and regulations for tourist and research use written.	Larger areas could be put in conservation if funds are available.	Continue assistance to activities in area were incentives for conservation.
REFORESTATION		Nothing programmed for semester	None	Incentives provided by DGF arant attrac	Wait for DGF revision of reforestation cost

PRJ NAME: Forest Conservation and Management (BOSCOSA)
 PRJ #: 515-0255.00G

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
NON TRADITIONAL CROPS					
		Plant 2 trials with 5 grass varieties.	Trials were planned, 3 varieties did very well.	None	Continue assistance evaluation and schedule field day
TRAINING AND MARKETING					
Training of Paraforesters					
		Start second year training, organize Asociacion de Paratecnicos de Osa (APTO)	2nd. year trng. emphasis on extension. DGF provided APTO with demonstration parcel	The new training program coordinator required a familiarization period	Continue the activity
Marketing Activity					
		Consolidate agreements with National Training Institutions (INA) for long-term cooperation on training	Draft agreement was prepared Accounting manual was printed and distributed to organizations	None	Sign agreement, prepare training program for FY 94. Assist organizations with accounting manuals Organize workshop to formulate projects
LAND TITLING					
		Two workshops programmed	One workshop was conducted with Agua Buena Community	Difficult to motivate communities. Lack of environmental awareness	Execute two new workshops with DGF and Local Police and environmental groups.

C. OTHER ACCOMPLISHMENTS: None

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: A review of project objectives is scheduled with Neotropica Foundation to determine the cause for this semester's low output. Quantifiable output indicator will be set for the next semester to specifically determine project performance and future actions to take with the continuity of the project.

E. STATUS OF CP'S AND COVENANTS: All met

F. STATUS OF AUDITS: The final report will be prepared upon completion of Project on 3/31/96. Last Audit Report was received 1/30/92. FY 92 audit complete. FY 93 audit in process.

G. STATUS OF EVALUATIONS: Semi final evaluation by Biodiversity Support Project completed in July 1992.

H. NUMBER OF VISITS AS PER USO 570A: 2

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: Native Tree Reforestation Proj CAT: A PROJ OFF: Enrique Barrau OFF: RDO POSTED ON: 09/30/93
 PRJ #:5150262.00G AGRDT: 09/30/92 OBLIG: 500 CUM EXP: 61 PIPELINE: 439 FY EXP TD: 61
 TDDA:09/30/96 IPACD: 09/30/96 AUTH: 800 CUM DIS: 1 TTY TGT: 200 FY TGT TD: 200
 TDD:06/30/97 PACD: 09/30/96 COMM: 500 ACCRUED: 60 % TTY TGT: 30.50 % FY TGT: 30.50

I. BACKGROUND DATA:

PARTIES TO AGR.: Duke, OTS COUNTERPART PLANNED: N/A PLANNED YEARLY NON-FED AUDITS: 1
 MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 0
 IMPLEMENTING AGENCIES: Duke, OTS CONTRACTORS: N/A % LOP ELAPSED: 25%
 % TOT OBLIG EXP: 12%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. This project supports long term sustainability and development of forest resources by developing the capability to plant native trees in the Terraba river valley.
 B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE AND DESCRIPTION: To stimulate reforestation with native tree species in the Zona Sur of Costa Rica. Native and exotic tree species will be evaluated for their usefulness on a wide range of growing sites, including many with degraded soils. The project will emphasize development of improved nursery management in the region's tree nurseries, and will evaluate the effects of native tree species on watersheds and degraded soils.

IV. PROJECT STATUS:

A. Planned EOPS

- 1. Seedling production from project nursery.
 2. Establishment of planting trails of native tree species across the region.
 3. Publications promoting reforestation ranging from popular to technical.
 4. Outreach activities including information and technology transfer.
 5. Planting systems development from seed collection to nursery management to field planting.

Progress to Date:

- 1. Constructed nursery and support buildings in Santa Marta. Signed four-year cost share agreement with Ston Forestal to support nursery operations and field plantings. Advertised and hire nursery manager. Marta Gutierrez. Initiation of seedling production.
 2. Identify more than 400 high quality parent trees of native species across the Zona Sur. Continue to observe tree phenology (flowering and fruiting) of selected species. Collected seeds of several species whose fruits are maturing. Planted eight blocks of native species (using more than 7 species) for demonstration and experimental purposes. Initiated cooperative agreement with Amisconde to extend impact of this Project.
 3. Publish first project pamphlet, entitled Recuperacion de Tierras Degradadas para el Manejo Productivo. Submitted to AMIGOS, and OTS publication, a description of the reforestation project, in response to the projects co-directors being named Biologists of the Month.
 4. Development of nursery management course scheduled for December with major cost-share contribution from DGF. Visited and surveyed 20 nurseries in Zona Sur to initiate contact and evaluate nursery operations.
 5. Continued evaluation of current reforestation technologies in Zona Sur region. Circulated a pre-proposal for video production of reforestation technologies.

B. Implementation Progress (Major Outputs):

LOP	Cumulative and Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
-----	-----	-----	-----	-----
1. Seedling Production	Hire nursery manager, identify catalogue, and observe superior native trees for seed collection and phenology observations. Sur-	Accomplished and ahead of schedule.	N/A	Complete analysis and report of regional nursery survey. Expand se

PRJ NAME: Native Tree Reforestation
 PRJ #: 5150262.00G

LOP	Cumulative and Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
2. Planning trials	Plant 8 blocks of more than 7 native species. GIS analysis of regional reforestation conditions.	Accomplished	N/A	Preparation for 1994 Complete report.
	Select and sample previously planted native species plantations.	Accomplished. Net work of 25 plantations sampled.	N/A	Complete analysis of growth and nutrients.
	Evaluate reforestation effects on watersheds and soils.	Field surveys	Enroll Duke Univ. student with Univ. support by fall 1994.	Select trial sites for evaluation of reforestation effects on watersheds/soils.
	Identify potential planting sites for 1994-1995.	Initiated and approached DGF to cost-share 0.5 technology.	N/A.	Encourage signing of cooperative agreement
	Continue to identify roles for forestry students.	Developed projcs. for USA and C.R.		Continue through remainder of project.
3. Publications	Continue to develop concepts for publicat. Hire forestry coordinator to help with publications, raising cost-share, and national conference. Project pamphlet published. With masters students, conduct evaluation of uses and preliminary economics of plantations.	Accomplished.	Publications proceeding well without editor.	Continue publications prepare for first edition of Boletin Forestal Surano. Conduct evaluation of uses and preliminary economics.
4. Outreach	Initiate contacts and informal meetings with farmers, associations, representatives, foresters, forest industries, public.	Accomplished.	N/A	Hold course on native tree nurseries. Plan conference on reforestation. Publish first boletin
5. Planting Systems	These critical tasks are largely latter project activities.	Sought cost-share support to develop video on reforestation techniques.		Formulate detailed plans for 1993-94. Continue plans.
C. OTHER ACCOMPLISHMENTS: Workshop is being scheduled for the end of November where the forestry sector is being invited to participate.				
D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: None				
E. STATUS OF CP'S & COVENANTS: All met				
F. STATUS OF AUDITS: Independent financial and compliance audits will be carried out at the end of each FY covered by the period of the Grant.				
G. STATUS OF EVALUATIONS: An interim external evaluation will be carried out in the second quarter of 1994 to evaluate progress and identify mechanisms for improved project performance.				
H. NUMBER OF VISITS AS PER USO 570A: None				
I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES <u> X </u> NO <u> </u>				

PRJ NAME: Regulation for Forest Management (REFORMA)
PRJ #: 515-0263.00G

C. OTHER ACCOMPLISHMENTS: A proposal has been developed by the Technological Foundation of Costa Rica (FUNDATEC) which is considered the best qualified primary project grantee.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: N/A

E. STATUS OF CP'S AND COVENANTS: GOCR has completed most Project CPs.

F. STATUS OF AUDITS: N/A

G. STATUS OF EVALUATIONS: N/A

H. NUMBER OF VISITS AS PER USO 570A: 9

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: REGIONAL AGRICULTURE HIGHER EDUCATION CAT: A PROJ OFF: David Heesen OFF: RDO POSTED ON: 09/30/93
 PRJ #:5960129.01G AGRDT: 09/30/85 OBLIG: 6,705 CUM EXP: 6,705 PIPELINE: 0 FY EXP TD: 2
 TDDA:09/30/95 IPACD: 09/30/95 AUTH: 6,705 CUM DIS: 6,705 TTY TGT: 0 FY TGT TD: 0
 TDD:06/30/96 PACD: 09/30/95 COMM: 6,705 ACCRUED: 0 % TTY TGT: 0 % FY TGT: 0

I. BACKGROUND DATA:

PARTIES TO AGR.: GOCR, EARTH COUNTERPART PLANNED: \$79,582 (LC) \$10,000 (US\$) PLANNED YEARLY NON-FEDERAL AUDITS: 1
 MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: \$79,041 (LC) \$ 7,500 (US\$) NON-FED AUDITS CONTRACTED FOR/COMPLETED: 2
 IMPLEMENTING AGENCIES: EARTH CONTRACTORS: California Polytechnic State University % TOT OBLIG EXP: 84

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

- A. Specific Linkage to S.O.: This regional project supports mission, regional and agency environmental objectives by virtue of EARTH's curricular emphasis on sustainable production systems for the humid tropical region.
 B. Percentage of LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To produce a professional human resource base with the practical and educational experience needed to address the agricultural production problems of the Central American and Panama region.

PROJECT DESCRIPTION: The project consists of a grant and local currency counterpart to finance the creation of a four-year regional agricultural college focusing on training agriculturalists to work in humid tropical zones.

IV. PROJECT STATUS:

A. Planned EOPS

Progress to Date

- | | |
|---|---|
| 1. Establishment of a "learning-by-doing" Agricultural College. | 1. A complete fourth class of 104 students enrolled 1/20/93. |
| 2. Increased availability of appropriately trained professionals. | 2. 285 students from 16 countries now enrolled. Faculty of 32 professors hired. |
| | 3. Entire construction program finished. |
| | 4. Key administrative and management positions are filled. |

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. PHYSICAL PLANT					
a. Phase I Construction	a. 100%	a. 0%	a. 0%	All construction completed 10/1/92	a. 0
b. Phase II Construction	b. 100%	b. 0%	b. 0%		b. 0
2. ACADEMIC PROGRAM					
a. Faculty Recruitment for Years 1-4	a. Years 1-4: 32 faculty on board	a. Recruit 5 new faculty members	a. 2	a. Difficulty finding appropriate faculty	a. Complete recruitment for 7 faculty
b. Curriculum Review	b. N/A	b. Review	b. Postponed	b. To be reinitiated	b. Continued curriculum review
c. 400 students	c. 285 students enrolled in 4 classes	c. 100 additional	c. 104 additional	c. N/A	c. Enroll 110 new students January 1994

C. OTHER ACCOMPLISHMENTS: Progress continues to be satisfactory. Phase II construction is complete. Student retention rate a high 90%. Cal Poly contract extended through 12/93.

D. ISSUES AND CORRECTIVE ACTIONS

PLANNED: (1) Scholarship fund raising being emphasized;
 (2) planned \$2.69 M ROCAF contribution expected.

E. STATUS OF CP'S & COVENANTS:

All CPs are met. General policies have been approved by Board of Directors. Procedures for approval of staff established.

F. STATUS OF AUDITS:

FY 92 - Field work was executed and we are awaiting the draft audit report.

G. STATUS OF EVALUATIONS

Mid-term evaluation completed in July 1991. Final evaluation pending 1 semester 1994.

H. NUMBER OF VISITS AS PER USO 570A: 18

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO _____

PRJ NAME: REGIONAL AGRICULTURAL HIGHER EDUCATION CAT: A PROJ OFF: David Heesen OFF: RDO POSTED: 09/30/93
PRJ #:5960129.02G AGRDT: 08/29/89 LEVDT: N/A OBLIG: 16,042 CUM EXP: 15,135 PIPELINE: 907 FY EXP TD: 3,945
TDDA:05/28/94 IPACD: 08/28/94 NEVDT: 09/91 AUTH: 18,732 CUM DIS: 15,026 TFY TGT: 3,600 FY TGT TD: 3,600
TDD:05/29/95 PACD: 08/28/94 COMM: 16,006 ACCRUED: 109 * TFY TGT: 109.58 * FY TGT: 109.58

PARTIES TO AGR.: GOCR, EARTH, AID
MAJ. GOCR AGENCY: N/A
IMPLEMENTING AGENCIES: EARTH

COUNTERPART PLANNED: N/A
COUNTERPART ACTUAL: N/A
CONTRACTORS: California Polytechnic State University

NOTE: This Project, 596-0129.02, is the Cooperative Agreement portion of the EARTH Project and is jointly implemented
596-129.01 and 596-0129.03.

PRJ NAME: REGIONAL AGRICULTURAL HIGHER EDUCATION CAT: A PROJ OFF: David Heesen OFF: RDO POSTED: 09/30/93
PRJ #:5960129.03G AGRDT: 09/30/85 LEVDT: OBLIG: 295 CUM EXP: 295 PIPELINE: 0 FY EXP TD: 0
TDDA:06/30/95 IPACD: 09/30/95 NEVDT: AUTH: 295 CUM DIS: 295 TFY TGT: 0 FY TGT TD: 0
TDD:06/30/96 PACD: 09/30/95 COMM: 295 ACCRUED: 0 \$ TFY TGT: 0 \$ FY TGT: 0

PARTIES TO AGR.: GOCR, EARTH, A.I.D. COUNTERPART PLANNED: N/A
MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: N/A
IMPLEMENTING AGENCIES: EARTH CONTRACTORS: California Polytechnic State University

NOTE: This Project, 596-0129.03, is the portion of the EARTH Project originally implemented by AID/W prior to transf
implementation responsibilities to USAID/Costa Rica. It is jointly implemented with 596-129.01 and 596-0129.0

GENERAL DEVELOPMENT OFFICE

DOLLAR PRJ. - USAID/COSTA RICA

00700/00009

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AS OF 09/30/93, (END OF 4TH QTR)
 (BY OFFICE, \$000)
 00009/00700

FISCAL YEAR '93
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED FY 93	PLANNED THRU 4TH QTR	ACTUAL THRU 4TH QTR	ACTUAL % PLAN THRU 4TH QTR	FY 93 BAL AGAINST TOT YR TGT
5150168.02G	FAMILY PLAN. SELF RELIAN.	A	1,800	1,779	21	325	325	385	118.46	- 60
5150168.03G	FAMILY PLAN. SELF RELIAN.	A	2,200	1,805	395	392	392	489	125.20	- 97
5150242.00G	CENTRAL AMER PEACE SCHOLARS	A	20,362	19,504	858	1,299	1,299	2,469	190.06	-1,170
5150244.00G	JUSTICE SECTOR IMPROVEMENT	B	3,400	2,875	525	1,000	1,000	461	46.10	539
5150254.00G	CAPS II	A	6,960	2,952	4,008	1,058	1,058	576	54.44	482
5150261.00G	REPROD.HEALTH CONSOLIDATION	A	720	6	714	120	120	6	5.00	114
5150261.01G	REPROD.HEALTH CONS/PROFAMILIA	A	200	25	175	30	30	25	83.30	5
5150277.00G	ARIAS FOUNDATION ENDOW	A	500	0	500	0	0	0	0.00	0
5960177.00G	PARTNER. DEMOCRACY & DEV.	A	869	157	712	0	0	157	0.00	- 157
5970001.01G	CKN.AMERICA PEACE SCHOLAR.	A	10,300	10,300	0	384	384	365	95.10	- 19
5970002.00G	REG. ADMINISTRATION OF JUST	C	13,586	13,557	29	240	240	204	85.00	36
5980642.01G	REG. ADMINISTRATION OF JUST	C	6,872	5,860	1,012	2,100	2,100	1,109	52.80	991
TOTAL : — 00700/00009			67,769	58,820	8,949	6,948	6,948	6,246	89.90	- 702

69

PRJ NAME: FAMILY PLANNING SELF RELIANCE
 PRJ #: 5150168.02G

B. Implementation Progress: (cont.)

LOP	Cumulative	Planned for Period	Actual in Period	Comments	Next Period Plans
3. Revitalized Information, Education & Communications (IEC) promotion activities.	3. Active communication campaign underway in mass media, print media & interpersonal programs.	3.a) Print materials repeated. b) Media campaign folded into CCSS institutional information program. c) Survey of opinion leaders.	3.a) Radio & print campaign continued until PACD. b) Public Relations Dept. accepted responsibility for information program continuation. c) Opinion leaders survey showed positive environment for family planning & sex education activities.	3. Targets met.	3. None. Project closeout.
4. Education.	4.a) Regional and theme-specific seminars regularly offered b) Universities approved inclusion of FP in practical & academic modes. c) Key teachers trained & provided w/ teaching models & materials.	4.a) Continued motivation of key teachers for use of methodologies. b) Conclusion of inservice training in regions.	4.a) Additional models & materials received from JEPiEGO and distributed. b) In service training concluded.	4.a) Targets met. b) Targets met.	4. None. Project closeout.
5. Research	5.a) Young adults survey published. b) Patient flow survey published. c) Nationwide prevalence survey published d) Survey on fertility determinants completed e) Operational survey completed, analyzed & published.	5.a) Analysis of prevalence survey completed. b) Patient flow info used as a quality improvement tool. c) Cost-effectiveness study of family planning services completed.	5.a) Preliminary report on prevalence survey completed and published b) Patient flow survey published. c) Cost-effectiveness survey published. d) Opinion survey published.	5. Targets met.	5. None. Project closeout.
6. Training	6. M F	6. M F	6. M F	6. N/A	6. M F
Long-term	0 0	0 0	0 0		0 0
Short-term	1133 1178	200 200	75 80		0 0

* Johns Hopkins Program for International Education in Gynecology and Obstetrics

PRJ NAME: FAMILY PLANNING SELF RELIANCE
PRJ #: 5150168.02G

C. OTHER ACCOMPLISHMENTS:

1. Clinical services are geared for women. Educational messages are targetted to specific groups, by age, sex, education, socio-economic levels. Informational materials of excellent quality have been shared with AID/W projects for dissemination to other countries.
2. Project progress impacts positively on the Mission's objectives of expanding access to services for the disadvantaged by working towards improving the quantity and quality of services. Note that the fertility rate dropped from 3.5 in 1985 to 3.16 in 1992.
3. Negotiations with the University of Costa Rica and the UACA for medical and nursing training have been successfully completed to include family planning. This is an important accomplishment as it consolidates the academic and skills training at its logical focal point, the university system.
4. Sociodemographic and operations research projects have been completed, providing information for policy direction, program management and concrete data to support the program objectives.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD:

1. Orderly project closeout.

E. STATUS OF CPs & COVENANTS: Complete.

F. STATUS OF AUDITS: FY 92 audit in process (the audit contract is in the hiring process.)
FY 93 audit is not ready yet.

G. STATUS OF EVALUATION: Mid-term evaluation 6/30/91.

H. NUMBER OF VISITS AS PER USO 570A: 8

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: FAMILY PLANNING SELF RELIANCE CAT: A PROJ OFF: Betsy Murray OFF: GDO POSTED ON: 09/30/93
 PRJ #:5150168.03G AGRDT: 08/03/88 OBLIG: 2,200 CUM EXP: 1,805 PIPELINE: 395 FY EXP TD: 489
 TDDA:04/18/94 IPACD: 07/18/93 AUTH: 2,200 CUM DIS: 1,745 TTY TGT: 392 FY TGT TD: 392
 TDD:04/18/94 PACD: 07/18/93 COMM: 1,805 ACCRUED: 60 * TTY TGT: 125.20 * FY TGT: 125.20

I. BACKGROUND DATA:

PARTIES TO AGR.: PROFAMILIA/ASDECOSTA S.A. COUNTERPART PLANNED: N/A PLANNED YEARLY NON-FED AUDITS: 2
 MAJ. GOCR AGENCY: N/A COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 2
 IMPLEMENTING AGENCIES: PROFAMILIA/ASDECOSTA S.A. CONTRACTORS: N/A * LOP ELAPSED 100%
 * TOT OBLIG EXP 99%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Non-strategic Objective: Successful transfer to GOCR or private sector of full responsibility for Reproductive Health services. B. * LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To revitalize and expand Family Planning services through public, private and commercial sector activities and to enhance their self-reliance.

PROJECT DESCRIPTION: The project provides low-cost, quality contraceptives to those couples who voluntarily wish to use them, through regular commercial channels.

IV. PROJECT STATUS:

A. Planned EOFS:

1. Current family planning users and program beneficiaries of activities funded by the project increase from 127,000 to 180,000.
2. Increase contraceptive prevalence rate to 70%.
3. Increase prevalence use of modern reversible contraceptive methods to 50%.

Progress to Date:

1. 34,750 beneficiaries through CSM sales. 183,100 public sector.
2. 1986 - 69%, 1993 - 74.8%.
3. 1986 - 45%, 1993 - 44.6% temporary methods 24% sterilization.

B. Implementation Progress:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Service delivery capacity of the commercial & voluntary sectors expanded.	1. 2,100 points of purchase. Average sales 289,000 monthly. Cost per couple year of protection reduced from \$12.27 to \$5.76.	1. Continued sales at same or increased level.	1. Sales levels are excellent. Estimated coverage of 34,750 couples.	N/A	1. Continued sale at same or increased level. Project closeout.
	2. Alternative of oral contraceptives sought for program.	2. Preparation for transition program with alternative supplies.	2. See Section D.	2. See Section D.	2. Project closeout
	3. Semi annual audits performed as scheduled. Evaluation done.	3. See Section F.	3. Target met.	3. N/A	3. Final audit.

PRJ NAME: FAMILY PLANNING SELF RELIANCE
 PRJ #:5150168.03G

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
	4. Marketing studies completed for oral contraceptives, implants & new condoms with spermicides.	4. Marketing studies completed.	4. Target met.	4. N/A	4. Project closeou
5. Training	5. M F	5. M F	5. M F	5. N/A	5. 0
Long-term	1 0	0 0	0 0		
Short-term	0 0	0 0	0 0		

C. OTHER ACCOMPLISHMENTS: Project has been successfully completed; close out is under way.

1. PROFAMILIA has negotiated with Schering A.G., Syntex International Inc., Wyeth Inc., Leiras Inc. and Ansell Inc. to augment contraceptive social marketing product line using PROFAMILIA trademark umbrella. Leiras has provided PROFAMILIA with the license to register NORPLANT, the contraceptive implant, and PROFAMILIA has requested sanitary registration. Ansell has introduced a new condom product line through PROFAMILIA. Wyeth gave representation of Lo-Rondal pills to PROFAMILIA, which are now registered and ready for importation and sale in 1993, at a lower price than that of the competition.
2. The project is making concrete progress towards its objectives of increasing access to contraceptive products through cost-effective private and commercial means.
3. PROFAMILIA has provided support through its contacts with commercial suppliers to advance condom quality control measures in Costa Rica, and to improve detection of cervical cancer.
4. Marketing studies have shown that women are the primary purchasers of condoms in supermarkets, indicating a greater acceptance of condoms for family planning purposes.
5. PROFAMILIA continues to open new marketing channels. The latest product is a single condom for dispensers to be placed in rest rooms in major gathering spots. Another is the importation of extra strong condoms for the homosexual male population, as part of the HIV/AIDS prevention program.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: Reconciliation of the contraceptives account with the Office of Population and M/FM is required to assure that all charges are paid, as the Costa Rican country contraceptive account received all of the contraceptives requested.

E. STATUS OF CPs & COVENANTS: Complete.

F. STATUS OF AUDITS: FY 92 audit complete. No recommendations.
 FY 93 draft is being reviewed by our Financial Analysis Section.

G. STATUS OF EVALUATIONS: Evaluation: 06/30/91. The project was seen in a positive light, with a low administrative cost for a strong and progressive program that is culturally accepted, after having overcome taboos. No further evaluation is planned.

H. NUMBER OF VISITS AS PER USO 570A: 2

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

67

PRJ NAME: Central America Peace Scholarship (CAPS) CAT: A PROJ OFF: F. Ruiz OFF: TD POSTED ON: 09/30/93
 PRJ #:5150242.00G AGRDT: 04/08/87 OBLIG: 20,362 CUM EXP: 19,504 PIPELINE: 858 FY EXP TD: 2,469
 TDDA:06/30/96 IPACD: 08/28/93 AUTH: 20,362 CUM DIS: 19,504 TTY TGT: 1,299 FY TGT TD: 1,299
 TDD:06/30/96 FACD: 09/30/95 COMM: 20,036 ACCRUED: 0 % TTY TGT: 190.06 % FY TGT: 190.06

I. BACKGROUND DATA:

PARTIES TO AGR.: A.I.D./GOCR	COUNTERPART PLANNED: N/A	PLANNED YEARLY NON-FED AUDITS: 1
MAJ. GOCR AGENCY: Second Vice-Pres.	COUNTERPART ACTUAL: N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
IMPLEMENTING AGENCIES: USAID	CONTRACTORS: PIET, CID, FUNAC & various sub-contractors	% LOP ELAPSED: 92 % & TOT OBLIG EXP: 99.4 %

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:*

A. Specific Linkage to S.O.: B. % LOP Funds Relating to S.O.: N/A
 * Non-strategic objective: Enhanced access to resources needed by predominantly lower income groups.

III. PROJECT PURPOSE: To counter Soviet Bloc and Cuban Training activity by increasing the number of U.S. trained individuals from the socially and economically disadvantaged classes; to increase the number of U.S. trained public and private sector individuals at the planning, implementation, technical, managerial, and administrative levels.

PROJECT DESCRIPTION: Long-term (university and high school) and short-term (technical) training programs are components of the project. Candidates are selected with careful attention to CAPS guidelines, including economic need, leadership potential, and rural origins. The "Experience America" component provides the opportunity for immersion in the U.S. culture and complements the academic/technical component of the program.

IV. PROJECT STATUS:

A. Planned EOFS

Progress to Date

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. U.S. trained leaders, technicians and administrators employing newly acquired skills in host country and private sector programs. 2. Institutions providing increased development related services. 3. A system in place which provides more cost-effective and meaningful undergraduate training and technical training for the disadvantaged. | <ol style="list-style-type: none"> 1. To date, over 1918 participants (target 1822) have returned to Costa Rica and are applying their skills to their respective areas of expertise. 2. The selection system assures broad participation of the socio-economically disadvantaged. 3. The Mission continually seeks and has achieved lower costs through cost-containment initiatives. Areas of study selected have been consistent with both the intentions of the participants, and with Costa Rica's development priorities. |
|--|--|

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. 1822 total partic.	1. 2023 partic.	1. No new starts were planned for the period	1 Thomas Jefferson Fellows (long term academic) trainees initiated training.	N/A	4 Thomas Jefferson Fellows (long term academic) trainees will depart during 2nd quarter 1994.
Progress in achieving CAPS guidance includes:					
2. 30% long-term	2. 32% long term				
3. 40% women	3. 42% woman				
4. 70% disadvantaged	4. 94% disadvantaged				
5. Participants: M F	5. M F	5. M F	5. M F	5. M F	5. M F
Long term: 328 219	354 307	0 0	2 1	N/A	4 0
Short term: 765 510	811 551	0 0	0 0		

PRJ NAME: Central America Peace Scholarship (CAPS)
PRJ No.: 5150242.00G

- C. OTHER ACCOMPLISHMENTS: One Thomas Jefferson Associate (long term technical-high school) group of 90 in FY 93, will be the last group funded under CAPS I. The current total of 2023 Thomas Jefferson Fellows (long term academic) and Associates (long term technical) trainees exceeds the target of 1822 by almost 9%. Under CAPS I the percentage of women participating in our training program exceeds the 40% figure established by AID/Washington. As of this date under CAPS I, of the 2023 Thomas Jefferson Fellows (long term academic) and Thomas Jefferson Associates (long term technical) trainees, 858 are women, or 43% of the total.

Contribution to the Achievement of Mission Goals: Because the focus of CAPS has been on the socio-economically disadvantaged and women, this project has contributed significantly to the Mission goal to "enhance sustainable access to the resources needed by the disadvantaged in order to participate actively in the economy." Our short term and high school groups, for example, were comprised of community and school leaders who live and work in rural or semi-rural areas. Their training and role as change agents are important factors in achieving wider participation in the benefits of a growing economy through a continual strengthening of the democratic process.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD:

1. No major problems have constrained the implementation of this project.
2. Project funds have been fully expended, any balance will be used to finance long term academic trainees.

E. STATUS OF CP's & COVENANTS: None

F. STATUS OF AUDITS: FY 92 audit in process. FY 93 N/A

G. STATUS OF EVALUATIONS: Mission plans to implement an overall training sector evaluation (including CAPS) during the second quarter of 1994.

H. NUMBER OF VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: Justice Sector Improvement Project CAT: B PROJ OFF: T. Tiffany/R. Buergenthal OFF: GDO/RAJD POSTED ON: 09/30/93
 PRJ #:5150244.00G AGRDT: 09/14/88 OBLIG: 3,400 CUM EXP: 2,875 PIPELINE: 525 FY EXP TD: 461
 TDDA:03/30/97 IPACD: 09/30/91 AUTH: 5,100 CUM DIS: 2,836 TTY TGT: 1,000 FY TGT TD: 1,000
 TDD:03/30/97 PACD: 06/30/96 COMM: 2,895 ACCRUED: 39 % TTY TGT: 46.10 % FY TGT: 46.10

I. BACKGROUND DATA:

PARTIES TO AGR.: AID/Min.Pres. COUNTERPART PLANNED: \$ 1,984,000 PLANNED YEARLY NON-FED AUDITS: 8
 MAJ. GOCR AGENCY: Supreme Court COUNTERPART ACTUAL: Cts. 337,839,385 NON-FED AUDITS CONTRACTED FOR/COMPLETED: 4
 IMPLEMENTING AGENCIES: ILANUD, CONTRACTORS: N/A % LOP ELAPSED: 65%
 Supreme Court, Judicial School and National Commission % TOT OBLIG EXP: 84%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Project falls under S.O. No. 2, "A more streamlined, efficient and responsive government", third program outcome, "Strengthened legislative and judicial Process". It contributes towards this objective by working to increase the speed and efficiency with which justice is administered in Costa Rica, thereby reinforcing its ability to contribute positively to the maintenance of democratic government and an expanding modernizing economy.

B. % LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: The purpose of the Project is to consolidate and modernize the Costa Rican justice system by strengthening important areas of the justice system: a) national coordination and planning in the justice sector; b) professional judicial education and training; c) availability of up-to-date information on legislation currently in force; and d) Court administrative systems and other mechanisms (delay reduction and alternative dispute resolution) to improve court efficiency in handling cases.

PROJECT DESCRIPTION: a) Strengthen the Costa Rican National Commission for the Administration of Justice so that it can lead sector planning, coordination and development; b) Strengthen and expand Judicial School through technical assistance and training; c) Establish a legislative reference system in the Office of the Attorney General of Costa Rica; and d) Provide assistance to the Supreme Court in modernizing basic administrative systems and taking other actions (delay reduction mechanisms and alternative dispute resolution programs) to rationalize the court workload and lead to a more expeditious and efficient processing of cases.

NOTE: The Project was amended on 5/26/93, separating it into two stages - JSIP I which covers the period 9/88 thru 5/93 and JSIP II which covers the period 6/93 thru 6/96. Under JSIP II the Legislative Reference System Component was eliminated and a new component on Court Administration was added.

IV. PROJECT STATUS:

A. Planned EOPS

1. The National Commission will be an effective instrument for planning, promoting, monitoring, and evaluating the execution of a coordinated national administration of criminal justice program with its own budget.
2. The Judicial School of the Supreme Court will provide a system-wide, career-long, professional education and training program for all judicial branch personnel.
3. The Attorney General's Office will have an automated system to provide up-to-date, systematic information on legislation in force which is accessible both to public and private sector institutions and the general public.
4. Through the experience gained in implementing the Project, ILANUD will be able to implement other justice sector improvement projects in other countries.
5. The Supreme Court will have modernized their administrative systems and adopted measures to improve the efficiency with which cases are handled, specifically, delay reduction mechanisms and alternative dispute resolution programs.

Progress to Date

1. The National Commission coordinated and executed numerous programs with the entities represented in the Commission. Will continue this role under JSIP II, however, more emphasis will be given to its institutional development.
2. Judicial School has developed solid program for training legal professionals and administrators from all career levels and geographic areas. Program will continue under JSIP II.
3. System operational within Attorney General's Office and inter-connection with other entities underway. This Component considered complete under JSIP I, therefore, not included in JSIP II.
4. Project Implementation Unit functioned well under JSIP I, however, was unnecessary under JSIP II, as each Component will be responsible for implementing their own program.
5. This is a new Component under JSIP II. The Supreme Court has begun to develop annual work plan to start activities in these areas. This Component is the main focus of JSIP II.

PRJ NAME: Justice Sector Improvement Project (JSIP)
 PRJ #: 5150244.00G

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Natl Comm:TA/TRG for Sect.Assess. follow-up; admin. strengthening of Judiciary; revamping of legal ed; institutional development of NC	1. Updated CR Sector Assess.; developed index of possible donors; worked with ILANUD PDD team on presentations given at PDD fora; GOCR agreed to provide some funds for NC.	1. Develop JSIP II workplan/budget and begin implementation of new activities.	1. Full Court decision to finance NC facilities and staff from Judicial Branch budget; NC's Executive Secretary participated in PDD conference in WDC; submitted JSIP II workplan to AID.	1. Implementation of JSIP II workplan delayed due to delay in signature of AID/ILANUD CoopAg. amendment.	1. Begin implementation of JSIP II activities.
2. Judicial School (JS): TA/TRG to strengthen JS programs.	2. 16 research projects; 37 publications; 143 try activities; 11 consultations; 4 try mat.	2. Develop JSIP II workplan/budget and start new activities.	2. Under JSIP I, 25 persons trained; 2 publications completed; 2 consultants contracted. JSIP II workplan delayed.	2. JSIP II implementation delayed due to delay in signature of AID/ILANUD CoopAg amendment.	2. Submit JSIP II workplan/budget to AID; begin implementation of new activities.
3. Leg. Ref. Sys. (LRS): TA/TRG to establish a DBase of valid laws in the Attorney General's Office (AGO).	3. LRS staff, AGO personnel and chief prosecutors trained; cont'd input of full texts of valid laws/decrees; initiated connection w/ Legislative Assembly.	3. Continue implementation of JSIP I Work and Procurement Plans.	3. Began input of texts of new laws; continued input of new Executive Decrees; developed mechanisms to permit on-site and remote consultations on the LRS; prepared preliminary versions of on-site and remote user manuals.	3. None	3. This Component considered complete under JSIP I, and therefore, not included under JSIP II.
4. ILANUD: Planning/supervising of Project activities; some financial mgmt. duties.	4. Implemented Sala IV project and try program for Legis. Assembly; presented proposal for follow on project and MOJ visited AID/W to discuss.	4. Continue supervision of project implementation; sign amendments to AID/GOCR and AID/ILANUD Agreements to change ILANUD's role from implementation to fin/adm management.	4. Signed Second Amendment to AID/GOCR Agreement; PACD extended to 6/30/96; PIU phased out in accordance with new Project framework; RCO executed amendment to AID/ILANUD CoopAg, but not signed by ILANUD during this SAR period.	4. Executed CoopAg amendment not received until early October, at which time it was signed by ILANUD. As a result, project implementation has been delayed.	4. ILANUD will work with Components on preparation of annual budgets to be submitted to AID along with work plans; will request disbursement of funds from AID. Execute MOUs with Components.
5. Court Administration: TA/TRG to assist Supreme Court in modernizing its administrative systems and introducing delay reduction mechanisms and alternative dispute resolution programs.	5. Given that this is a new Component there is no cumulative progress to report.	5. Develop annual workplan w/ help from outside TA; work w/ ILANUD on budget; submit both to AID for approval; begin implementation.	5. Began preparing workplan/budget w/o outside TA; Component and Subcomponent directors named by Court.	5. Execution of TA contract delayed; deadline for proposals 10/18; JSIP II implementation delayed due to delay in signature of AID/ILANUD CoopAg amendment.	5. Submit JSIP II workplan to AID for approval; begin implementation.

C. OTHER ACCOMPLISHMENTS: None

E. STATUS OF CP's & COVENANTS: All met.

F. STATUS OF AUDITS: Non-Fed audit of FY 91 completed; FY 92 non-Fed audit in process.

G. STATUS OF EVALUATIONS: Mid-term completed.

H. NUMBER OF VISITS AS PER USO 570A: 5

I. QUARTERLY PERFORMANCE REPORTS RECEIVED

AS PER USO 562: YES X NO _____

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: JSIP II implementation delayed due to delay in signature of AID/ILANUD CoopAg amendment, but ILANUD and Components will make extra effort to compensate for lost time. TA contract still pending, but GDO/RAJD will expedite selection of firm so contract may be executed ASAP and assistance provided to the Court without further delay. Judicial School without permanent Director, however, should be named by first quarter of 1994.

PRJ NAME: Central America Peace Scholarship II CAT: N/A PROJ OFF: F. Ruiz OFF: TD POSTED ON: 09/30/93
 PRJ #:5150254.00G AGRDT: 05/04/90 OBLIG: 6,960 CUM EXP: 2,952 PIPELINE: 4,008 FY EXP TD: 576
 TDDA:09/30/98 IPACD: 09/30/98 AUTH: 13,000 CUM DIS: 2,842 TTY TGT: 1,058 FY TGT TD: 1,058
 TDD:06/30/99 PACD: 09/30/98 COMM: 4,978 ACCRUED: 110 ‡ TTY TGT: 54.44 ‡ FY TGT: 54.44

I. BACKGROUND DATA:

PARTIES TO AGR.: AID/GOCR COUNTERPART PLANNED: 369 PLANNED YEARLY NON-FED AUDITS: 0
 MAJ. GOCR AGENCY: 2nd Vice Pres. COUNTERPART ACTUAL: 69 NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
 IMPLEMENTING AGENCIES: USAID CONTRACTORS: PIET, FUMAC & CSLA ‡ LOP ELAPSED: 37%
 ‡ TOT OBLIG EXP: 53%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:*

- A. Specific Linkage to S.O.: B. ‡ LOP Funds Relating to S.O.: N/A
 * Non strategic objectives: Enhanced access to resources needed by predominately lower income groups.

III. PROJECT PURPOSE: To equip a broad base of leaders and potential leaders with technical skills, training, and academic education and an appreciation and understanding of the workings of a free enterprise economy in a democratic society.

PROJECT DESCRIPTION: Like its predecessor CAPS I, this project will select participants for long-term (academic and high school) and short-term technical programs. Selection criteria include current and potential leadership qualities and economic need. Exposure to the U.S. culture is an objective of the "Experience America" component.

PROJECT STATUS:

A. Planned EOPS

Progress to Date

1. Greater participation in economic and social progress by poorer and disadvantaged groups.
 1. Initiation of project activities was carried out with no major difficulties. Implementation of project activities is on schedule. Target number of participants reduced in 1992 due to scaling back of project financing.

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. 711 total participants.	1. ST 225 LT/T 60 LT/A 57 --- 342	1. 17 Thomas Jefferson Fellows (long term academic) trainees will depart for the U.S. during the 3rd quarter of FY 1993.	1. Of the 17 Thomas Jefferson Fellows (long term academic) trainees 1 departed for the U.S. during the 3rd quarter of 1993 funded under I.	1. Drastic Budget reductions have affected the numbers of participants (See issues).	1. 16 Thomas Jefferson Fellows (long term academic) trainees will depart for U.S. on 2nd, 3rd and 4th quarters of 1994

Progress in achieving CAPS II guidance includes:

CAPS I.

2. 74% long term	2. 31%				
3. 40% woman	3. 51%				
4. 70% disadvantaged	4. 94%				
5. Participants: M F	5. M F	5. M F	5. M F	5. M F	5. M F
Long-term: 140 211	50 67	51 47	39 41	40 37	
Short-term: 144 216	116 109	0 0	0 0	0 0	

PRJ NAME: Central America Peace Scholarship II
PRJ #: 5150254.00G

C. OTHER ACCOMPLISHMENTS: Contribution to the Achievement of Mission Goals: Like CAPS I, because the focus of CAPS II has been on the socio-economically disadvantaged and women, this project has contributed significantly to the Mission goal to "enhance sustainable access to the resources needed by the disadvantaged in order to participate actively in the economy." Our high school groups, for example, are comprised of school leaders who live in rural or semi-rural areas. Their training and role as change agents are important factors in achieving wider participation in the benefits of a growing economy through a continual strengthening of the democratic process. Under CAPS II program, the percentage of women participating in our training program exceeds the 40% established by AID/Washington. The current percentage of women is 52%: 176 women out of a total of 342 Thomas Jefferson Fellows (long term academic) and Thomas Jefferson Associates (long term technical) trainees.

Four Thomas Jefferson Fellows (long term academic) trainees successfully completed their programs and returned to Costa Rica during the 3rd and 4th quarters of 1993.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD:

1. The drastic reduction in overall funding levels for USAID/CR has resulted in a dramatic lowering of project targets, a premature reduction in USAID training staff and a probable curtailment of the PACD.

2. Also, during the month of May 1993 a cost overrun was detected by this Mission on the Contract with the Consortium for Services to Latin America (CSLA). In order to solve the problem and to assure that all of the Thomas Jefferson Fellows (TJFs) who are pursuing training programs in the United States and the 17 selected during 1993 were fully funded thru the termination of their program, the following emergency corrective actions were taken: (a) Transfer of funds between project elements, use the balance of CAPS I and PD&S funds; (b) termination of CSLA contract as of August 31, 1994 and (c) use AID/W contractor, Partners for International Education and Training (PIET) to administer the training program thru its termination.

E. STATUS OF CP's & COVENANTS: All met.

F. STATUS OF AUDITS: FY 92 audit in process. FY 93 N/A

G. STATUS OF EVALUATIONS: Mission plans to implement an overall training sector evaluation (including CAPS) will take place in the 2nd quarter of 1994.

H. NUMBER OF VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO

PRJ NAME: Reproductive Health Consolidation CAT: A PROJ OFF: BETSY MURRAY OFF: GDO POSTED ON: 09/30/93
 PRJ #: 5150261.00G AGRDT: 06/25/92 OBLIG: 720 CUM EXP: 6 PIPELINE: 714 FY EXP TD: 6
 TDDA: 06/30/96 IPACD: 09/30/95 AUTH: 720 CUM DIS: 1 TFY TGT: 120 FY TGT TD: 120
 TDD: 06/30/96 PACD: 09/30/95 COMM: 462 ACCRUED: 5 † TFY TGT: 5.00 † FY TGT: 5.00

PRJ NAME: Reproductive Health Consolidation CAT: A PROJ OFF: BETSY MURRAY OFF: GDO POSTED ON: 09/30/93
 PRJ #: 5150261.01G AGRDT: 06/25/92 OBLIG: 200 CUM EXP: 25 PIPELINE: 175 FY EXP TD: 25
 TDDA: 06/30/96 IPACD: 09/30/95 AUTH: 200 CUM DIS: N/A TFY TGT: 30 FY TGT TD: 30
 TDD: 06/30/96 PACD: 09/30/95 COMM: N/A ACCRUED: N/A † TFY TGT: 83.3 † FY TGT: 83.3

I. BACKGROUND DATA:

PARTIES TO AGR.: COUNTERPART PLANNED: 4.8 PLANNED YEARLY NON-FED AUDITS: 2
 MAJ. GOCR AGENCY: Second Vice President COUNTERPART ACTUAL: .4 NON-FED AUDITS CONTRACTED FOR/COMPLETED: 0
 IMPLEMENTING AGENCIES: Caja Costarricense de Seguro Social/PROFAMILIA, S.A. CONTRACTORS: N/A † LOP ELAPSED: 38%
 † TOT OBLIG EXP: 3%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Non Strategic Objective. B. † LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To enable public and private institutions to provide effective reproductive health services without further external assistance.

PROJECT DESCRIPTION: The project will help achieve the full integration of reproductive health information and services in the central offices and clinical field units of the public health institutions. Also, PROFAMILIA will be providing an increasing percentage of reproductive health commodities and services, through private and commercial channels.

IV. PROJECT STATUS:

A. Planned EOPS

1. CCSS program management for reproductive health services will be fully integrated into the Preventive Medicine Department at the central level and regularly supervising field health units at the regional level.	1. In process.
2. CCSS and MOH will be providing improved reproductive health services in 100% of public health units.	2. In process.
3. CCSS will be budgeting and purchasing with domestic resources 100% of public sector basic contraceptive needs.	3. 1992 oral contraceptives. 1993 IUD's, condoms.
4. CCSS will be assisting in the provision of reproductive health services at more workplace, and other non-traditional clinical sites.	4. In process.
5. The Health Sector will be regularly including reproductive health and AIDS prevention within its public information programs.	5. In process.
6. Reproductive health service training will be provided on a regular basis to all providers of such services.	6. Medical schools, nursing schools and nurse auxiliary program curriculum.
7. PROFAMILIA will be providing, without further international assistance, an increasing percentage of reproductive health services and supplies.	7. In process.

Progress to Date:

B. Implementation Progress (Major Outputs):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Transition to domestic financing of reproductive service commodities without loss in service.	1. Procurements taking place.	1. Procurement of condoms for 1993/1994.	1. Condoms bid prepared. IUD's & oral contraceptives purchased.	1. N/A	1. Procurement of condoms for 1993/94.
2. Continued information campaigns to inform and motivate clientele.	N/A	2. First activity to initiate in July.	2. Delayed.	2. Project initiated in October.	2. Project management to negotiate communication plan w/ Public Relations department. Political activity in the media dengue outbreak may suspend mass media messages until February.

18

PRJ NAME: Reproductive Health Consolidation
 PRJ #: 5150261.00G/01G

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
3. Continued research to improve services and program management.	3. N/A	3. First activity to initiate in July.	3. Not initiated.	3. Work plan presented late August.	3.a) Research on post-partum contraceptive acceptance. b) Dissemination of patient flow analyses c) Dissemination of prevalence survey results. d) Dissemination of Young Adult survey results.
4. Sustained and complete coverage of doctors and nurses with reproductive health service training.	4. N/A	4.	4. Not initiated.	4. N/A	4. Key teachers in medical & nursing school and nurse auxiliary programs to continue family planning clinical training.
5. Increased private vs. public role in provision of reproductive health services.	5. PROFAMILIA increasing commercial linkages.	5. Increased participation by PROFAMILIA in commodity provision.	5. PROFAMILIA new condom line successfully launched. b) Lo Rondal Pills registered for distributions by PROFAMILIA.	5. N/A	5. Increased participation by PROFAMILIA in commodity provision (pills, condoms, NORPLANT, IUD's)
6. Training	6. M F	6. M F	6. M F	6. N/A	6. M F
Long-term	0 0	0 0	0 0	Activities did not initiate.	0 0
Short-term	0 0	50 50	0 0		150 150

C. OTHER ACCOMPLISHMENTS:

1. Women will be the primary beneficiaries of the reproductive health program. Both men and women will benefit from AIDS prevention.
2. AIDSCAP initial TA evaluated the status of AIDS prevention programs for adolescents and defined a strategy for an intervention proposal with project funds.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: Lack of supervision of field services is a concern to be addressed at the policy level of the Caja Costarricense de Seguro Social

E. STATUS OF CP'S & COVENANTS: CP's completed 9/25/92.

F. STATUS OF AUDITS: Annual audits planned for CCSS and PROFAMILIA. FY 93 audit in process (the audit contract is in the hiring process)

G. STATUS OF EVALUATIONS: N/A

H. NUMBER OF VISITS AS PER USO 570A: 6

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO _____

PRJ NAME: Arias Foundation Endowment Grant
PRJ #: 515-0277.00G

C. OTHER ACCOMPLISHMENTS:

Endowment Grant was signed September 30, 1993.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED:

Disbursement of \$500,000 planned for third quarter, 1994.

F. STATUS OF CP'S AND COVENANTS:

Prior to the disbursement of these funds, the Grantee will have to identify the custodian and manager of the endowment account and will execute a Trust Agreement, acceptable to A.I.D.

F. STATUS OF AUDITS:

The first independent financial and compliance audit will be carried out at the end of the first fiscal year covered by the period of this Grant.

G. STATUS OF EVALUATIONS:

N/A

H. NUMBER OF VISITS AS PER USO 570A: N/A

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PRJ NAME: Partnership for Democracy and Development CAT:A PROJ OFF: T. Tiffany/R. Buergenthal OFF: GDO/RAJD POSTED ON: 9/30/93
 PRJ #:5960177.00G AGRDT: 08/17/92 OBLIG: 869 CUM EXP: 157 PIPELINE: 712 FY EXP TD: 157
 TDDA:06/30/96 IPACD: 09/30/93 AUTH: 920 CUM DIS: N/A TFY TGT: 0 FY TGT TD: 0
 TDD:06/30/96 FACD: 09/30/95 COMM: 869 ACCRUED: N/A ‡ TFY TGT: 0 ‡ FY TGT: 0

I. BACKGROUND DATA:

PARTIES TO AGR.: AID and ILANUD
 MAJ. GOCR AGENCY: N/A
 IMPLEMENTING AGENCIES: ILANUD

COUNTERPART PLANNED: N/A
 COUNTERPART ACTUAL: N/A
 CONTRACTORS: N/A

PLANNED YEARLY NON-FED AUDITS: 3
 NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
 ‡ LOP ELAPSED: 33%
 ‡ TOT OBLIG EXP: 16%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: This Project falls under S. O. No. 2, "A more streamlined, efficient and responsive government", third program outcome, "Strengthened legislative and judicial process". It contributes towards this objective by supporting programs aimed at strengthening democracy and promoting economic development in Central America.

B. ‡ LOP Funds Relating to S.O.: 100%

III. PROJECT PURPOSE: To stimulate a multilateral effort to assist the PDD in designing and carrying out priority administration of justice projects and support other priority democracy strengthening activities in Central America.

PROJECT DESCRIPTION: The major focus of the PDD is to promote multilateral interest and assistance in the areas of democracy and development, focusing on priority areas within the scope of the Democracy Working Group, with an emphasis on activities to strengthen the administration of justice. Activities in other priority democracy areas such as human rights and elections will primarily be supported through other donors.

IV. PROJECT STATUS:

A. Planned EOPS:

1. National Commissions - Creation and strengthening of justice sector planning and coordination bodies within each PDD country.
2. Regional Program of Support to National Judicial Schools - Strengthened performance of existing national judicial schools and training programs thru TA in curriculum development and training of trainers.
3. Training Program for Local Judges - Development of training modules and materials to be used in pilot programs for local judges in one or more national judicial schools or training programs in the region.
4. Support to AOJ Co-Panel and Technical Working Group - Systematic donor coordination and recommendations on priority areas for economic assistance, including the creation of a data bank on AOJ activities in the region.

Progress to Date:

1. Began preparation of work plan and budget.
2. Began preparation of work plan and budget.
3. Began preparation of work plan and budget.
4. Began preparation of work plan and budget.

B. Implementation Progress:

Amendment No. 1 to the AID/ILANUD Agreement for PDD was signed on August 25, 1993. This amendment brought the LOP funding over \$500,000 and replaced the Project Description with one outlining the four programs listed above. Between August 25 and September 30, 1993, implementation progress consisted of beginning the preparation of the work plans and budgets for these four programs.

PRJ NAME: Partnership for Democracy and Development
PRJ #: 596-0177.00G

- C. OTHER ACCOMPLISHMENTS: None
- D. ISSUES AND CORRECTIVE ACTIONS PLANNED: Once the PDD work plans and budgets are approved, funds will be provided and implementation will begin immediately.
- E. STATUS OF CP'S AND COVENANTS: Approval of work plans and budgets still pending.
- F. STATUS OF AUDITS: Non-fed audit for FY 93 underway. Indirect Cost Rate audit to be conducted in December 1993.
- G. STATUS OF EVALUATIONS: An evaluation will be performed within 90 days of the termination of the Grant (Sept. 30, 1995).
- H. NUMBER OF VISITS AS PER USO 570A: 3
- I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: YES X NO _____

NAME: Central America Peace Scholarship (CAPS) CAT: A PROJ OFF: F. Ruiz OFF: TD POSTED ON: 09/30/93
 #:5970001.01G AGRDT: 05/10/85 OBLIG: 10,300 CUM EXP: 10,300 PIPELINE: 0 FY EXP TD: 365
 MA:08/28/93 IPACD: 08/28/93 AUTH: 10,300 CUM DIS: 10,300 TFF TGT: 384 FY TGT TD: 384
 MD:05/28/94 PACD: 09/29/93 COMM: 10,300 ACCRUED: 0 * TFF TGT: 95.10 * FY TGT: 95.10

 BACKGROUND DATA:

PARTIES TO AGR.:	A.I.D./GOCR	COUNTERPART PLANNED:	N/A	PLANNED YEARLY NON-FED AUDITS:
GOV. GOCR AGENCY:	None	COUNTERPART ACTUAL:	N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED:
IMPLEMENTING AGENCIES:	USAID	CONTRACTORS:	PIET, USDA	* LOP ELAPSED: 89 *
		& various sub-contractors		* TOT OBLIG EXP: 100 *

E: This project, 5970001.01G, and 5150242.00G are jointly
 mented. This report only contains financial information
 5970001.001G. Please see the narrative describing project
 mentation progress on the report for project 5150242.00G.

PRJ NAME: REGIONAL ADMINISTRATION OF JUSTICE CAT: C PROJ OFF: T. Tiffany/R. Buergenthal OFF: GDO/RAJD POSTED ON: 9/30/93
 PRJ #:5970002.00G AGRDT: 03/22/85 OBLIG: 13,586 CUM EXP: 13,557 PIPELINE: 29 FY EXP TD: 204
 TDDA:06/30/95 IPACD: 03/31/90 AUTH: 25,872 CUM DIS: 13,515 TFY TGT: 240 FY TGT TD: 240
 TDD:06/30/95 PACD: 12/31/94 COMM: 13,585 ACCRUED: 42 % TFY TGT: 85.00 % FY TGT: 85.00

PRJ NAME:Regional Administration of Justice CAT: C PROJ OFF: T. Tiffany/R. Buergenthal OFF: GDO/RAJD POSTED ON: 09/30/93
 PRJ #:5980642.01G AGRDT: 03/22/85 OBLIG: 6,872 CUM EXP: 5,860 PIPELINE: 1,012 FY EXP TD: 1,109
 TDDA:12/31/90 IPACD: 03/31/90 AUTH: 25,872 CUM DIS: 5,632 TFY TGT: 2,100 FY TGT TD: 2,100
 TDD:09/31/95 PACD: 12/31/94 COMM: 6,302 ACCRUED: 228 % TFY TGT: 52.80 % FY TGT: 52.80

I. BACKGROUND DATA:

PARTIES TO AGR.: AID and ILANUD	COUNTERPART PLANNED: N/A	PLANNED YEARLY NON-FED AUDITS: 9
MAJ. GOCR AGENCY: N/A	COUNTERPART ACTUAL: N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED: 7
IMPLEMENTING AGENCIES: ILANUD	CONTRACTORS: N/A	% LOP ELAPSED: 87%
		% TOT OBLIG EXP: 99% (597-0002) 83% (598-0642.01)

NOTE: The Project Nos. 597-0002 and 598-0642.01 designate separate funding elements for a single project.

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: B. % LOP Funds Relating to S.O.: 100%
 This Project falls under S.O. No. 2, "A more streamlined, efficient and responsive government", third program outcome, "Strengthened legislative and judicial process". It contributes towards this objective by fostering the transformation of national justice systems into independent and strong judiciaries capable of increasing popular confidence in the fair and impartial application of the law and supporting democratic institutions.

III. PROJECT PURPOSE:

To strengthen regional and national institutions in order to provide services necessary for the improvement of administrative technical and legal performance of justice systems in the region with primary emphasis on criminal justice system improvement

PROJECT DESCRIPTION:

The Project addresses the need for long and short-term training, improvement of criminal justice statistical systems, greater and improved legal documentation, assistance to bar associations, technical assistance and increasing awareness of judicial reform needs among decision makers.

IV. PROJECT STATUS:

A. Planned EOPS

1. TRG: reinforce/expand judicial role in case decisions and court administration; develop technical capacity of national court staffs; reinforce progressive elements in nat'l justice systems; increase popular awareness of human/legal rights to encourage recourse to courts/enhance respect for rule of law.
2. ADV SVCS: TA in design of activities relating to major issues of regional concern; TA to ILANUD Trg. Dept. in course development/evaluation; TA to nat'l organizations in dealing with country-specific technical problems.
3. INST DEV: strengthen ILANUD's capacity to carry out activities; strengthen ILANUD's permanent capacity so it may continue to provide MOJ leadership beyond LOP.

Progress to Date

1. Trg programs carried out in all project countries. Judicial personnel from all levels have participated in programs covering such topics as court administration, judicial statistics, popular legal education, criminal procedural codes, public defense, etc.
2. TA completed to ILANUD Trg. Dept. ILANUD has continued to provide TA to Judicial Schools in curriculum development and to national organizations in such areas as law libraries, women and children and the justice system, public defense, judicial statistics and revision of criminal procedures codes.
3. ILANUD Advisory Board functioning. Signed amendment to AID/ILANUD Agreement providing funds for the purpose of strengthening the Advisory Board, naming a new Director, and preparing an appropriate institutional development plan, including the establishment of a permanent Board of Directors. PACD extended through December 31, 1994.

PRJ NAME: Regional Administration of Justice
 PRJ #: 5970002.00G/5980642.01G

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. TRG: 24 seminars/workshops; 20 short courses; 45 study tours; 48 UCR scholarships. Short-Term: 2349 persons Long-Term: 48 persons	1. 131 seminars/workshops; 52 short courses; 10 study tours; 76 UCR scholarships Long-Term: 42 M 34 F UCR scholarship program discontinued after 2/93 due to budget constraints.	1. Continue implementation of 1993 training programs.	1. 24 workshops and 8 courses Short-term: 139 M 125 F Continued with the implementation of the following try programs: Permanent Training for Costa Rican Judicial Branch personnel in the Area of Family Violence; Training on the New Criminal Procedures System of Guatemala; Strengthening of the Judicial School of Nicaragua; Judicial Try in the DR; Training on the New Criminal Procedures Code of Costa Rica.	1. None	1. Complete implementation of 1993 try programs. Authorize limited no-cost extensions where necessary.
2. ADVISORY SERVICES: TA/TRG on Crim. Just. Statistics; Reporting of Legislation and Jurisprudence; Bibliographic Assistance; Data Base; Court Administration; Agrarian Justice; Environmental Protection; Limited Technical Assistance; Instructional Design; establishment of Regional AOJ Office in USAID/CR; Justice Sector Assessments	2. 193 TA assignments; 76 publications; completed DR Criminal Justice Statistics Project; 106 try materials developed; CA Sector Assessments completed; 7 Law Libraries established, evaluated and upgraded.	2. Continue implementation of the TA programs approved for 1993.	2. 29 TA assignments carried out; 12 publications completed; 13 try materials developed; continued with the implementation of the following TA programs: Law Libraries in Latin America; Public Defense in Bolivia; Public Defense in the DR; and Children, Adolescents and Justice.	2. None	2. Complete implementation of the programs approved for 1993. Authorize limited no-cost extensions where necessary.

B. IMPLEMENTATION PROGRESS (cont.):

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
3. INSTITUTIONAL DEVELOPMENT: ILANUD Organizational; Long-term Development Strategy; Long-term Resident Advisors; Support to other regional institutions, Resident Coordinators, National Justice Reform Commissions	3. Completed assistance to Inter-American Institute of Human Rights; established liaison offices in Honduras and Bolivia; first TA for institutional development completed; ILANUD Advisory Bd. formed 2 mtgs. held; one of ILANUD's Codirectors resigned; President of ILANUD Advisory Board resigned; new Bd. President visited Costa Rica on info gathering trip; provisional RGE overhead rate approved for ILANUD.	3. AID/W review of ILANUD Challenge Grant; plan for third meeting of Advisory Board to be held in May 93; review ILANUD's institutional development plan and proposed future relationship w/ AID; 2 new Board members to be appointed.	3. Signed amendment to AID/ILANUD Agreement reflecting AID/W and Mission consensus not to fund new programs in 1994, and provide funds (\$217,166) for activities relating to institutional development; extended PACD one year, thru 12/31/94.	3. As a result of AID's review of ILANUD's institutional development plan and proposed future relationship with AID, a new strategy was developed and reflected in the amendment to the AID/ILANUD Agreement. Third meeting of Advisory Bd. held in May, but no new members were appointed.	3. Work toward fulfillment of the conditions set out in the amendment to the AID/ILANUD Agreement.

C. OTHER ACCOMPLISHMENTS: ILANUD continued to seek non-AID funding.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED: All but two of the eight programs approved for implementation in 1993 will be completed. The programs "Law Libraries in Latin America" and "Permanent Training for Costa Rican Judicial Branch Personnel" will be extended thru 3/94. Funds added to the AID/ILANUD Agreement (\$217,166) thru its Amendment No. 11 will be used to strengthen their permanent capacity and to encourage a leadership role in the area of administration of justice beyond the LOP. Although the memorandum to AA/LAC/DI, proposed a program of "Continued Support to ILANUD for Institutional Development and Strengthening of the Board" in the amount of \$1.5 million over a three and a half year period, the balance of the \$1.5 million would be provided contingent upon ILANUD's reaching certain benchmarks, including their Advisory Board's submission of a work plan for institutional development, formation of a committee to select a new director, reformulation of ILANUD's mission, etc. Initially, AID will provide ILANUD \$50,000 of the \$217,166 obligated to the Agreement to support their efforts in meeting these benchmarks.

E. STATUS OF CPs AND COVENANTS: All met.

F. STATUS OF AUDITS: Reevaluation of "pre-award" audit complete. FY 92 audit in process (audit contract is in the hiring process).

G. STATUS OF EVALUATIONS: Final evaluation last quarter of FY 94 or first quarter of FY 95.

H. NUMBER OF VISITS AS PER USO 570A: 2

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: Yes

GENERAL DEVELOPMENT OFFICE

LC ACTIVITIES (C000,000)
00700/00099

115

93

AS OF 09/30/93, (END OF 4TH QTR)
 (BY OFFICE, Col 000,000)
 00099/00700

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)
515K222.99G	SELF HELP COMMUNITY FUND	A	174.2	130	44.2
515K231.01G	SUPPORT TO NAT. HOUSING FIN	A	2,263	2,263	0
TOTAL : ——— 00700/00099			2,437.2	2,393	44.2

PROJ NAME: SELF HELP COMMUNITY FUND CAT: A PROJ OFF: F.Ruiz OFF: GDO POSTED ON: 09/30/93
 PRJ #:515K222.99G AGRDT: 07/01/87 LEVDT: 03/87 OBLIG: 174.2 CUM EXP: 130 PIPELINE: 44.2

I. BACKGROUND DATA:

PARTIES TO AGR.: Rural Communities	COUNTERPART PLANNED: N/A	PLANNED YEARLY NON-FED AUDITS: 0
MAJ. GOCR AGENCY: N/A	COUNTERPART ACTUAL: N/A	NON-FED AUDITS CONTRACTED FOR/COMPLETED: 0
IMPLEMENTING AGENCIES: Rural Communities, Peace Corps	CONTRACTORS: N/A	‡ LOP ELAPSED 88
		‡ TOT OBLIG EXP 97

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Non strategic objective. B. ‡ LOP Funds Relating to S.O.: N/A
 Enhanced access to resources needed by predominantly lower income groups.

III. PROJECT PURPOSE: The purpose of this Project is to fund small-scale community development projects in the areas of renovation/construction of classrooms, health posts, school lunchrooms, water systems, rural bridges and support for group income-generating activities.

PROJECT DESCRIPTION: The Project provides a maximum of C675,000 (equivalent of \$5,000) per sub-project and the approved funds are advanced directly to the communities. The SDF Office receives a large number of applications for small projects in rural communities, which are designed to promote development at the community level. The applicants are responsible for identifying local resources and usually receive support from GOCR or Municipal institutions. This contribution must be at least 50% of the total cost of the project. The other 50% which may be used only for the purchase of construction materials is then requested of AID.

IV. PROJECT STATUS:

A. Planned EOPS

1. Not specified.

Progress to Date

1. 1028 approved sub-grants.
 2. C227,399,946 committed (amount obligated C234,377,88
 3. Over 300,000 direct beneficiaries.

B. Implementation Progress:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. 920 sub-grants (this target number was established after project initiation because it had not been defined at the beginning of the program, July 84).	1. 1028 sub-grants approved.	1. 65 sub-grants.	1. 65 approved sub-grants.	1. Target met.	1. To use all uncommitted funds to finance two or three new sub-projects.
2. Training (N/A)	2. M F	2. M F	2. M F	2. N/A	2. M F
Long-term	0 0	0 0	0 0		0 0
Short-term	0 0	0 0	0 0		0 0

PROJ NAME: SELF HELP COMMUNITY FUND
PRJ #: 515K222.99G

C. OTHER ACCOMPLISHMENTS:

1. A comprehensive Phase-Out Plan to deal with the SDF management and operation from now until its termination by the end of June, 1994, was approved by the Mission Director. The approved SDF phase-out plan includes: termination of all SDF project activities by the end of June, 1994, addition of C20,000,000 to the SDF budget to finance an estimated 32 new community projects and an end-of project evaluation to determine the developmental impact of the SDF program. The contracts of the SDF staff were also extended through June, 1994.
2. A new Peace Corps Volunteer/SDF Coordinator was assigned for the period October, 1993 through June, 1994, when the Project will be completed. The new Coordinator, will make a special effort to ensure that sub-projects are completed within the 6 month project limit and to reduce to the minimum, the number of outstanding advances.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: None. The SDF program will keep fully operational through the termination date of the project in June, 1994.

E. STATUS OF CP'S & COVENANTS: N/A

F. STATUS OF AUDITS: N/A

G. STATUS OF EVALUATIONS: A comprehensive project evaluation is planned for next period.

H. NUMBER OF SITE VISITS AS PER USO 570A: 200 approx.

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

1/16

75

PRJ NAME: SUPPORT TO NATIONAL HOUSING FINANCE SYSTEM CAT: A PROJ OF: F. RUE OFF: GDO/MBO POSTED ON: 09/30/93
 PRJ #: 515K231.01G AGRDT: 12/11/87 LEVDT: N/A OBLIG: 2,263 CUM EXP: 2,263 PIPELINE: 0

I. BACKGROUND DATA:

PARTIES TO AGR.: Office of the Presidency, BANHVI & AID COUNTERPART PLANNED: N/A PLANNED YEARLY NON-FED AUDITS: 1
 MAJ. GOCR AGENCY: Housing Mortgage Bank (BANHVI) COUNTERPART ACTUAL: N/A NON-FED AUDITS CONTRACTED FOR/COMPLETED: 1
 IMPLEMENTING AGENCIES: BANHVI and Authorized Contractors: N/A % LOP ELAPSED 100
 Lending Institutions % TOT OBLIG EXP 100

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES:

A. Specific Linkage to S.O.: Non strategic objective. B. % LOP Funds Relating to S.O.: N/A

III. PROJECT PURPOSE: To support the long-term growth and financial stability of the National Housing Finance System as created by Law No. 7052.

PROJECT DESCRIPTION: USAID funds will capitalize BANHVI's National Housing Fund (FONAVI) so that it may discount eligible mortgages from authorized primary lenders and, thereby, contribute to carrying out its regulatory, supervisory, financial, and secondary mortgage market functions.

IV. PROJECT STATUS:

A. Planned EOPS:

Progress to Date:

1. N/A. Local currency activity. EOPS not included in the Memorandum of Understanding (MOU).

1. N/A

B. Implementation Progress:

LOP	Cumulative	Planned for Period	Actual in Period	Comments if less than planned	Next Period Plans
1. Contribute to the capitalization of the non-subsidized National Housing Fund (FONAVI).	1. Total USAID financing to BANHVI's FONAVI operations equivalent to C3,610 million.	1. N/A	1. N/A	1. N/A	1. N/A Project ended 3/31/93
2. Support the long-term growth and financial stability of the Nat. Housing Finance System (NHFS) as administered by BANHVI.	2. Since mid-1987, the bank has established all of the required administrative and financial mechanisms.	2. Continued advice on policy and administrative matters, w/emphasis on internal generation of capital.	2. Resident advisor under PADCO contract continues to advise BANHVI and S&Ls on administrative and financial issues and on internal generation of capital. Mission funded contract ended 3/93.	2. N/A	2. N/A
3. Discount approximately 22,307 mortgages w/at least 75% benefitting the 40th percentile and below and no more than 25% for families at the 41st to 60th percentile.	3. As of 03/31/93, 14,060 mortgages had been financed w/the C3,600 million in grant funds and 14,143 with reflows. Of these 28,203 mortgages, 70% have gone to the lower group and 30% to the higher.	3. N/A	3. N/A	3. N/A	3. N/A

PRJ NAME: SUPPORT TO NATIONAL HOUSING FINANCE SYSTEM
PRJ #: 515K231.01G

15

C. OTHER ACCOMPLISHMENTS:

1. BANEVI and the S&Ls continue the campaign to increase the private savings. Two "Mutuales" have attracted considerable savings, which have placed them among the 10 biggest private banks in Costa Rica.
2. The majority of the funds from both of COFISA's trusts (C20,000,000.00 are outstanding) were transferred to BANEVI.
3. Information on women beneficiaries during this period was not available.
4. The PAOCO TA contract was finished on a bilateral basis. ROCAP is financing an extension through December 31, 1993 for housing finance TA on a subregional basis. At the request of the Minister of Housing, ROCAP has agreed in principle to continue providing up to 20% of the time of Pedro Lasa to advise the Ministry and BANEVI.
5. The number of housing units was double what was planned for the original funding, due to substantial recuperations, and especially attributable to the increase in private savings at the Savings and Loans Institutions.

D. ISSUES AND CORRECTIVE ACTIONS PLANNED FOR NEXT PERIOD: This is the final progress report for this project as all financial and technical assistance inputs have been completed.

E. STATUS OF CPs & COVENANTS: All met.

F. STATUS OF AUDITS: Audit presented and completed in December 1992.

G. STATUS OF EVALUATIONS: Already done. The evaluation was highly positive.

H. NUMBER OF SITE VISITS AS PER USO 570A: 16 approx.

I. QUARTERLY PERFORMANCE REPORTS RECEIVED AS PER USO 562: N/A

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)
515K222.01G	SUPPORT TO NAT. HOUSING FIN	A	284500	284500	0
515K231.01G	SUPPORT TO NAT. HOUSING FIN	A	993750	993750	0
515K236.06G	SUPPORT TO NAT. HOUSING FIN	A	741000	741000	0
515K240.09G	SUPPORT TO NAT. HOUSING FIN	A	243341	243341	0

96

**(3) Updated List of USAID/Costa Rica
Central and Regional Projects 1/**

1/ Regional projects with LOP funding over \$500,000 are reported under the Project Status Reports section of this SAR document

USAID/COSTA RICA REPORT ON CENTRAL AND REGIONAL PROJECTS

(Category A)

1. Project Title: Strengthening Democracy (Grant)
and Number: No. 597-0003.02
2. Funding Level: \$ 261,788
3. PACD: December 31, 1994
4. Project Purpose: To provide financial and technical assistance for (a) program support and institution building activities in democracy development, including, but not limited to, evaluations, studies, reports and publications, training, sponsorship and support of local and international workshops, seminars and conferences; and (b) technical assistance services for the implementation of democracy strengthening initiatives involving both the private sector and public sector (Judicial, Legislative, Executive Branches and municipal governments).
5. Nature of Extent of Mission Management: Carry out project activities at the request of and with the consent of the corresponding entities and use the funds obligated under the Limited Scope Grant Agreement with the approval of the GOCR (Ministry of the Presidency) primarily to contract or otherwise finance the services of consultants to develop project concepts and elements, carry out project activities and provide technical assistance in support of GOCR and AID supported democracy strengthening programs and strategies in Costa Rica.
6. Project Rating: A
7. Issues: None.

98

(Category B)

Project Title and Number

1. Project to Increase Farmer Incomes in Costa Rica, the Dominican Republic, and the Eastern Caribbean with Environmentally Appropriate Agroforestry.
Pan American Development Foundation Cooperative Agreement.
No. OTR-01158-A-00-008700
2. Conservation of Biologic Diversity. The Importance of Forest Fragments to the Maintenance of Regional Biodiversity Surrounding a Tropical Reserve.
No. 936-5554
3. Conservation of Biologic Diversity. Economic Valuation of the Non-Priced Amenities Provided by the Monteverde Cloud Forest Reserve.
No. 936-5554
4. Tortuguero Support
LAC-0035-G-55-8026
5. LGP-Banco COFISA
No. 940-3003.15
6. LGP-Banco del Comercio
No. 940-3004.01

(Category C)

Project Title and Number

1. International Planned Parenthood Federation
No. 936-3403
2. Agricultural Biotechnology for Sustainable Productivity
No. 936-4197
3. Program in Science and Technical Cooperation. Water
Optimization of Rained Tropical Cropping
No. 936-5542.12 (Proposal No. 8.337)
4. Cloned DNA-Maiz - UCR
936-5542.13
5. AID-Israeli Cooperative Development Program
No. 936-5544
6. Conservation of Biologic Diversity. Rearing Diurnal
Butterfly Larvae in the Tropical Rainforest
No. 936-5554
7. INTERNET-Min. of S&T
No. 936-5600
8. T.A. in Conventional Energy (Coal)
No. 936-5724
9. Energy Training Program
No. 936-5734
10. Learning Technologies for Basic Education (Learntech)
No. 936-5818
11. AIDSCAP-AIDS Prevention
No. 936-5972
12. Soil Management CRSP
DAN-1311
13. Bean/COWPEA CRSP
DAN-1310-G-SS-6008-00
14. Genetic Analysis of Native Tree - UCR
S&T 10.105



(Category C)

Project Title and Number

15. Cucumber Mosaic Virus - UCR
S&T 10.326
16. Neutralization Snake Venom - Israel/UCR
S&T 11.004
17. Fruit Packaging - Israel/CITA
S&T 11.076
18. Potato and Tomato Pest - Israel/UNA
S&T 11.166
19. Fertilizer Losses - Cornell/UCR
S&T 11.243
20. Diversity Biological Res.
S&T 12.343
21. Native Trop. Plantations
S&T 12.421

101