

PD-ABI-814

REGIONAL DEVELOPMENT OFFICE/CARIBBEAN
RDO/C

Semi-Annual Portfolio Review

April 1 to September 30, 1993

REGIONAL DEVELOPMENT OFFICE/CARIBBEAN

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I. MISSION DIRECTOR'S OVERVIEW

A. Introduction

During the reporting period, RDO/C was heavily engaged in preparing a rapid phase-down of its Eastern Caribbean program and simultaneous build-up of a new program in Guyana. Substantial effort was devoted to developing and implementing a staff reduction plan while retaining the ability to adequately manage our portfolio and sustain morale and performance. Attention was also given to securing project impact and sustainability during the limited time remaining. For a few key projects, adjustments were designed to minimize the management burden so that activity can continue post RDO/C.

The Mission's Program Objectives Document (POD) was approved by A.I.D./Washington just a little more than one year ago (September 1992) and the related Monitoring and Evaluation Plan was only completed in February of this year and reviewed by A.I.D./W in June. We are just beginning to track performance against the indicators, and, in most cases, it is still too early to measure significant progress. We have not yet determined what adjustments should be made to fit performance indicators to a scaled-back program, or even if an effort to do so is warranted.

Despite this difficult context, the review showed that overall performance of the portfolio has been quite good. Most of the projects are experiencing minor management difficulties and delays or falling short of target in limited areas. However, only two projects currently face problems of such magnitude that a "C" rating is warranted, while six projects can be given an "A" rating as genuine success stories.

The \$43 million pipeline appears large compared to current budget allocations, but it is within acceptable limits when related to obligations over the last three years (FY 1991-1993 obligations averaged \$18.9 million/year) or to expenditures (\$21.1 million in FY 1993). However, it does serve to highlight the dramatic reductions in program funding, as well as the stakes still on the table and the limits on how quickly we can phase down our program and reduce staff.

B. Significant Accomplishments

RDO/C cannot yet report success in achieving its strategic objectives (SO); as noted above, it is still too early to see much impact and key projects directly supporting the strategy are in early stages of implementation. However, significant accomplishments have been

realized in projects which contribute to achievement of our increased and diversified trade objective (SO1), and in projects which, while under the category of "other," are nevertheless making an important contribution to the well-being of the people of the region.

Under the Infrastructure Expansion and Maintenance Systems (IEMS) umbrella project, which contributes to SO1, two subprojects were successfully completed during the period and a third subproject, while still underway, is already clearly a success story:

St. Kitts Southeast Peninsula Area Development has opened a formerly inaccessible area to tourism development that is already providing employment and revenue and boosting the private sector in St. Kitts and Nevis. The project also initiated an environmental protection act and pioneered an awareness of the environment influencing the rest of the Caribbean. It has become a case study for private sector tourism development in the region.

The St. Vincent Infrastructure project successfully rehabilitated nine agricultural feeder roads improving access to markets and the port and increasing the amount of land available for cultivation.

Regional Utilities Maintenance united electric utilities in the region on a scale not seen before in the Caribbean. CARILEC, the private company launched by the project, now has 15 members from the CARICOM, French and American areas of the Caribbean and strong business links with Electricite de France and the National Rural Electric Cooperative Association of the U.S. CARILEC has raised the standards of its member utilities with intensive training and is now moving into a joint services program that is even at this early stage proving to be effective and beneficial to its members.

The Caribbean Leadership Development Training project, which also contributes to SO1, has received overwhelming response from the regional governments; the local training component has already exceeded LOP targets, with significant benefits in such areas as financial management, trade information systems, and small business management.

Under Grenada Technical Assistance, another project contributing to SO1, Price Waterhouse assessed privatization and liquidation opportunities, recommended a strategy and priorities for the Government of Grenada's privatization program, and completed valuations of two parastatals. The contractor also provided assistance to counter strong opposition to privatization from some sectors. As a result, the sale of Grenada Electricity Services Ltd. is proceeding in a transparent and expeditious process.

In the "other" category, but indirectly supporting our improved natural resources management objective (SO2), the Population and Development project has achieved and in some instances exceeded targets set for country contraceptive prevalence rates, bringing the average for the region to nearly 60 percent.

The Basic Needs Trust Fund has supported 242 completed subprojects--schools, health clinic, roads--resulting in about \$100 million in new or rehabilitated capital stock and benefitting nearly 350,000 people. The project has been praised by politicians as well as parents and others in the communities for improving the condition and level of basic social services. As a result of this enthusiasm, the Caribbean Development Bank has put up its own funds for a follow-on project.

Similarly, the Special Development Activities Fund has provided 833 small grants (average \$7,500 per project) promoting community development activities which encourage broad-based, self-help participation. The recipients--grassroots, low-income groups and organizations--have increased economic productivity and improved living conditions in their poor communities with A.I.D. assistance.

C. Problem Areas

The Environment and Coastal Resources (ENCORE) Project, the key project directly supporting RDO/C's second strategic objective, has gotten off to a very slow start and poses significant management issues. The project was originally designed as the first stage of a longer-term effort. Substantial amounts of funding were committed to two countries with the expectation that other countries would benefit at later stages, and implementation plans included building capacity within the Organization of Eastern Caribbean States (OECS). The design has been modified to shift implementation responsibility to an international NGO and to expand the geographic coverage more quickly. These changes require negotiation by senior Mission management with regional Heads of Government (now underway) and, consequently, a "C" rating for the project.

The second project presenting problems serious enough to warrant a "C" rating is Regional Management Training, which contributes to SO1. This project has been plagued by implementation and other delays. The Mission used the findings of the mid-term evaluation as a basis for corrective actions to get the project back on track. Authority relations between the Center for Management Development, its Board, and the University of the West Indies have been redefined, with the Center assuming responsibility for its own finances. The Board is also more active in the decision and policy making aspects of the Center and has been further empowered by RDO/C decision to end funding for daily operations of the Center. RDO/C expects this to result in marked improvement in implementation. With appointment of a Project Coordinator, the Department of Management Studies component of the project, which was subsumed in the larger implementation problem, is also expected to see increased momentum.

D. General Portfolio Management Performance

Staff reductions and phase-down planning were significant preoccupations during the period. In FY 1993, RDO/C's onboard staff was reduced by 20 percent. Additional cuts of 30 percent in FY 1994 and 35 percent in FY 1995 are planned. So far, the Mission has been able to retain the critical core of personnel needed to adequately manage its portfolio. However, Operating Expense budget constraints are driving an acceleration of staff reductions, particularly in FY 1994, which threaten our ability to manage the portfolio later in this fiscal year.

In addition to continued attention to sustainability as RDO/C phases out of projects, we are undertaking a more intensive effort to anticipate and accelerate project close-out actions. As noted above, budget constraints are forcing an unfortunate lack of synchronization between staff reductions and project completions.

Only one project (Agricultural Venture Trust) officially ended during the reporting period. A second project should have reached its Project Assistance Completion Date (PACD), but had to be extended solely to complete required audits. All Mission activities under LAC Training Initiatives II and the Presidential Training Initiative also ended, although the formal PACD based on the LAC regional umbrella project is 9/30/94. Two projects will reach their PACDs in the next six months and another seven by the end of FY 1994.

During this phase-down period RDO/C will make every effort to avoid extensions consistent with our interest in ensuring sustainability and maintaining U.S. credibility in the region. During this semi-annual review, the Mission found that the Caribbean Justice Improvement Project is likely to require an extension into FY 1995 to complete construction on the St. Kitts Courthouse. The Grenada Infrastructure subproject of IEMS may also need a short extension to complete sewer hookups, but not beyond the current PACD of the umbrella project.

It may be useful, especially given current Agency priority emphases, to acknowledge that specific attention to gender and equity or poverty targets is not prominent in the RDO/C portfolio. In large part, this reflects conditions in this region, where disparities are not as great as in some other geographic areas and where the ability to continue to afford relatively high levels of social services is a critical concern. However, all of our projects, at minimum, include the more disadvantaged groups as beneficiaries. In a number of cases, the assistance benefits lower income groups directly or more than others, e.g., small- and micro-enterprise credit, agricultural diversification, public health and population activities, and, of course, the Basic Needs and Special Development funds.

Since managing the orderly completion of ongoing activities in the Eastern Caribbean and initiating new program activities in Guyana

will heavily tax our diminishing mission, we do not anticipate expending resources to make strategic adjustments or redefine strategic-level or project-level indicators for the Eastern Caribbean program. We will, however, include attention to gender and poverty concerns in developing the program strategy and projects for Guyana.

II. FINANCIAL SUMMARY AND PIPELINE ANALYSIS

The pipeline is quite respectable. Using a two-year standard, the \$43.6 million pipeline represents just over two years of obligations at our OYB level of two years ago. This is also the case in comparison to expenditures, which totalled \$21.1 million in FY 1993.

At the individual project level, seven have pipelines greater than twice the level of FY 1993 expenditure:

The ENCORE project accounts for 16 percent of the total pipeline. As discussed in Section I.C, above, it has been slow getting started. More significant with regard to the pipeline is that this project was heavily forward-funded to meet Agency environmental earmarks as well as U.S. commitments to the Global Environmental Facility. Changes are being negotiated to accelerate implementation as well as minimize the management burden on A.I.D.

The Caribbean Policy and Health Care Policy Planning and Management projects together represent another 15 percent of the total pipeline. Both had initial obligations in September 1992 and the first year has been taken up in getting technical assistance contractors on board.

The Caribbean Leadership and Development Training project pipeline (11 percent of the total) is just slightly more than double FY 1993 expenditures and reflects the forward funding needed for long-term participants.

The Regional Management Training project (6 percent of the pipeline) has suffered implementation problems, as also discussed in Section I.C, above.

The Basic Needs Trust Fund experienced a hiatus in expenditures in FY 1993, but the Caribbean Development Bank has a number of subprojects on-line to draw down the remaining funds by the June 1994 PACD.

The AIDS Communication and Technical Support project pipeline is in part due to understatement of expenditures (receipts from PAHO are about nine months behind) and in part to forward funding. The remaining funds are expected to be used by the September 1995 PACD.

The pipeline is not out of line with normal program expectations and only minimally reflects serious project implementation problems. However, we welcome the opportunity to focus attention on the magnitude of investment it represents and the management requirements implied. It highlights the fact that mission staffing and operating expense requirements cannot be based solely on current year and proposed program funding levels.

FINANCIAL SUMMARY OF USAID/RDO/C PORTFOLIO
(APRIL 1, 1993 THROUGH SEPTEMBER 30, 1993)
(\$000)

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
PROJECT NO.	PROGRAM/PROJECT TITLE	CATE-GORY	DATE OF INIT. OBLIG	LAST REVISED PACO	% OF LOP ELAPSED	% OF OBLIG. EXPEND.	AUTH. LOP AMOUNT	CURRENT FY OBLIG. TO DATE	CUMUL AMOUNT OBLIG.	MORTGAGE	BEGINNING FY PIPELINE	PLANNED SEMESTER EXPEND.	ACCURUED SEMESTER EXPEND.	ACCURUED AS % OF PLANNED	CUMULATIVE ACCURUED EXPEND.	ENDING PIPELINE	PLANNED EXPEND. (NEXT SEM.)
ACTIVE PROJECTS																	
STRATEGIC OBJECTIVE 1																	
504-0099	Guyana Economic Support Fund	B	11-Apr-90	31-Mar-94	67%	55%	4,447	3,152	4,447	0	299	725	1,452	200%	2,447	2,000	2,000
504-0104	Agricultural Sector Reform	B	29-Jan-93	30-Jan-96	22%	4%	550	350	350	200	0	0	14	N/A	14	336	120
538-0119	Investment Promotion & Export Dev.	B	30-Aug-84	30-Jun-94	92%	99%	17,544 *	0	17,544	0	786	433	185	43%	17,296	248	30
538-0133	Small Enterprise Assistance	B	25-Feb-86	30-Sep-94	88%	93%	20,810	2,846	19,304	1,508	1,787	139	1,723	1240%	18,042	1,282	1,200
538-0138	EMS - Core Contract	B	21-May-86	30-Sep-94	88%	100%	4,283	0	4,283	0	309	48	45	94%	4,278	4	4
538-0138.01	EMS - St. Kitts S.E. Peninsula (L)	A	17-Sep-86	30-Sep-93	100%	100%	11,500	0	11,500	0	1	0	0	N/A	11,500	0	0
	EMS - St. Kitts S.E. Peninsula (G)	A	17-Sep-86	30-Sep-93	100%	94%	1,144	0	1,144	0	20	7	3	43%	1,080	64	0
538-0138.02	EMS - Grenada Infrastructure III	B	13-Mar-87	31-Mar-94	93%	96%	6,428 *	0	6,428	0	723	400	227	57%	6,125	301	200
538-0138.07	EMS - St. Vincent Infrastruct. (L)	A	31-Jul-86	30-Sep-93	100%	100%	1,998 *	0	1,998	0	412	270	267	96%	1,998	0	0
	EMS - St. Vincent Infrastruct. (G)	A	28-Aug-87	30-Sep-93	100%	100%	940 *	0	940	0	599	599	599	89%	940	0	0
538-0138.08	EMS - Regional Utilities Maint.	A	31-Jul-86	30-Sep-95	72%	89%	5,000	0	5,000	0	1,124	220	275	125%	4,429	571	275
538-0140	Agricultural Venture Trust	B	20-Jul-86	15-Jul-93	100%	99%	18,707 *	0	18,707	0	2,582	500	1,107	221%	18,553	154	0
538-0148	Regional Management Training	C	28-Jul-86	31-Dec-95	76%	53%	5,924	0	5,924	0	3,080	200	123	62%	3,119	2,805	800
538-0163	West Indies Tropical Produce	B	29-Sep-89	31-Mar-96	62%	56%	8,300	1,500	6,300	0	3,284	800	962	180%	4,880	3,411	1,100
538-0184	Agricultural Research & Extension	B	31-Mar-89	31-Mar-94	90%	77%	5,000	0	5,000	0	1,840	400	410	103%	3,840	1,151	1,000
538-0185	Caribbean Law Institute	B	01-Aug-88	31-May-95	78%	64%	5,971	0	5,971	0	2,874	350	650	188%	3,840	2,122	700
538-0173	Caribbean Leadership Dev. Training	A	30-Jun-90	30-Sep-96	39%	37%	11,000	1,124	7,424	3,576	5,645	230	1,022	444%	2,789	4,655	400
538-0178	Dominica Agric. Sector Support	B	14-Aug-91	28-Sep-94	68%	50%	2,000	0	2,000	0	2,000	500	0	0%	1,000	1,000	1,000
538-0184	Caribbean Policy Project	B	21-Sep-92	31-Dec-97	19%	0%	12,000	1,878	4,730	7,270	2,852	300	17	6%	17	4,713	150
538-0198	Grenada Technical Assistance	A	30-Sep-92	30-Nov-93	86%	82%	500	0	500	0	500	475	412	87%	412	86	86
538-0645	Caribbean Justice Improvement	B	28-Aug-86	30-Sep-94	68%	70%	10,400	400	10,205	195	3,636	400	562	148%	7,133	3,072	700
SUBTOTAL STRATEGIC OBJECTIVE 1						81%	156,442	11,250	143,695	12,747	34,124	6,788	10,004	148%	115,738	27,957	9,545
STRATEGIC OBJECTIVE 2																	
538-0171	Environment & Coastal Resources	C	28-Aug-91	30-Sep-97	34%	11%	11,000	2,100	8,080	2,940	5,628	240	391	163%	899	7,181	1,500
SUBTOTAL STRATEGIC OBJECTIVE 2						11%	11,000	2,100	8,080	2,940	5,628	240	391	163%	899	7,181	1,500
OTHERS																	
538-0005	Special Development Activities	A	19-Nov-85	30-Sep-95	80%	95%	4,488	185	4,488	0	443	200	175	88%	4,283	203	150
538-0039	Population and Development	A	30-Jul-82	27-Jul-94	93%	93%	7,789 *	0	7,789	0	717	250	198	79%	7,278	523	500
538-0103	Basic Needs Trust Fund	A	28-Jun-84	28-Jun-94	93%	88%	19,700 *	0	19,700	0	2,737	300	25	8%	17,390	2,320	1,000
538-0181	AIDS/Communication & Tech. Asst.	B	30-Sep-88	30-Sep-95	71%	64%	6,998 *	128	6,998	0	2,868	500	137	27%	4,488	2,510	430
538-0181	Health Care Policy Planning & Mgt.	B	21-Sep-92	30-Sep-98	25%	2%	5,500	1,000	1,705	3,795	705	200	34	17%	34	1,871	200
538-0190	Drug Abuse Prevention & Education	B	31-Jul-92	31-Jul-94	58%	35%	450 *	0	450	0	450	200	154	77%	158	292	100
538-0640	Presidential Training Initiative	B	29-Aug-95	30-Sep-94	88%	98%	18,301 *	0	18,301	0	2,578	1,213	197	18%	18,008	263	0
538-0640.17	LAC Training Initiatives II	B	14-Feb-85	30-Sep-94	90%	100%	2,038 *	0	2,038	0	366	106	12	11%	2,028	10	0
SUBTOTAL OTHERS						87%	63,252	1,313	59,457	3,795	10,852	2,969	932	31%	51,685	7,762	2,380
SUBTOTAL ACTIVE PROJECTS						80%	230,694	14,663	211,212	19,462	50,814	9,975	11,327	114%	168,302	42,910	13,425
ACTIVE PROGRAM																	
SUBTOTAL ACTIVE PROGRAM																	
TERMINATED PROJECTS																	
									0	0	0	0	0	0	0	0	0

*1 Authorized LOP amounts have been reduced to reflect actual/planned obligations.

PIPELINE ANALYSIS
USAID/RDO/C
September 30, 1993

PROJECT NO.	PROGRAM/ PROJECT TITLE	CUMULATIVE OBLIGATION (3)	OBLIGATION BY FY (4)									PIPELINE (5)	PIPELINE BY FY (6)						
			1982-86	1987	1988	1989	1990	1991	1992	1993	1982-86		1987	1988	1989	1990	1991	1992	1993
ACTIVE PROJECTS																			
STRATEGIC OBJECTIVE 1																			
504-0099	Guyana Economic Support Fund	4,447						995	300	0	3152	2,000	0	0	0	0	0	0	2,000
504-0104	Agricultural Sector Reform	350									350	336	0	0	0	0	0	0	336
538-0119	Investment Promotion & Export Dev.	17,544	9383	3212	1729	1566	322	608	724	0	248	248	0	0	0	0	0	248	0
538-0133	Small Enterprise Assistance	19,304	2900	3000	2003	2836	1103	2482	2128	2646	1,262	0	0	0	0	0	0	0	1,262
538-0138	IEMS - Core Contract	4,283	500	1000	509	861	1313	0	300	0	4	0	0	0	0	0	0	4	0
538-0138.01	IEMS - St. Kitts S.E. Peninsula (L)	11,500	1800	7900	1800	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	IEMS - St. Kitts S.E. Peninsula (G)	1,144	700	289	0	80	75	0	20	0	64	0	0	0	0	44	0	20	0
538-0138.02	IEMS - Grenada Infrastructure III	8,426		4258	2723	0	1400	45	0	0	301	0	0	0	0	256	45	0	0
538-0138.07	IEMS - St. Vincent Infrastruct. (L)	1,996		1996	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	IEMS - St. Vincent Infrastruct. (G)	940				940	0	0	0	0	0	0	0	0	0	0	0	0	0
538-0138.08	IEMS - Regional Utilities Maint.	5,000			1500	1500	2000	0	0	0	571	0	0	0	0	571	0	0	0
538-0140	Agricultural Venture Trust	18,707	3350	3250	4460	3616	3231	0	800	0	154	0	0	0	0	0	0	154	0
538-0148	Regional Management Training	5,924	1000	1430	270	0	455	2769	0	0	2,805	0	0	0	0	36	2,769	0	0
538-0163	West Indies Tropical Produce	8,300				1175	2130	1732	1763	1500	3,411	0	0	0	0	0	148	1,763	1,500
538-0164	Agricultural Research & Extension	5,000				1300	1437	2263	0	0	1,151	0	0	0	0	0	1,151	0	0
538-0165	Caribbean Law Institute	5,971			1500	1500	1471	1500	0	0	2,122	0	0	0	622	1,500	0	0	0
538-0173	Caribbean Leadership Dev. Training	7,424					1917	2900	1483	1124	4,655	0	0	0	0	0	2,048	1,483	1,124
538-0176	Dominica Agric. Sector Support	2,000						1000	1000	0	1,000	0	0	0	0	0	0	1,000	0
538-0184	Caribbean Policy Project	4,730							2852	1878	4,713	0	0	0	0	0	0	2,852	1,878
538-0198	Grenada Technical Assistance	500							500	0	88	0	0	0	0	0	0	88	0
538-0645	Caribbean Justice Improvement	10,205	2000	2000	2000	2300	0	1505	0	400	3,072	0	0	0	1,167	0	1,505	0	400
SUBTOTAL STRATEGIC OBJECTIVE 1		143,695	21,633	28,335	18,494	17,454	17,855	17,104	11,570	11,250	27,957	0	0	0	1,167	1,529	9,166	7,565	8,500
STRATEGIC OBJECTIVE 2																			
538-0171	Environment & Coastal Resources	8,060						1875	4085	2100	7,161	0	0	0	0	0	976	4,085	2,100
SUBTOTAL STRATEGIC OBJECTIVE 2		8,060	0	0	0	0	0	1,875	4,085	2,100	7,161	0	0	0	0	0	976	4,085	2,100
OTHERS																			
538-0005	Special Development Activities	4,466	2420	269	276	278	338	266	414	165	203	0	0	0	0	0	16	185	185
538-0039	Population and Development	7,799	4358	600	1,024	1,350	315	152	0	0	523	0	0	56	315	152	0	0	0
538-0103	Basic Needs Trust Fund	19,700	12700	0	3,000	0	2,000	0	2000	0	2,320	0	0	0	320	0	2,000	0	0
538-0161	AIDS/Communication & Tech. Asst	6,998			523	1,675	1,193	1,692	1787	128	2,510	0	0	0	0	595	1,787	128	0
538-0181	Health Care Policy Planning & Mgt	1,705							705	1,000	1,871	0	0	0	0	0	671	1,000	0
538-0190	Drug Abuse Prevention & Education	450							450	0	292	0	0	0	0	0	292	0	0
538-0640	Presidential Training Initiative	18,854	3078	4000	3607	4507	1662	0	0	0	263	0	0	0	263	0	0	0	0
538-0640.17	LAC Training Initiatives II	2,322	1675	647	0	0	0	0	0	0	10	0	10	0	0	0	0	0	0
SUBTOTAL OTHERS		60,294	24,231	5,536	8,430	7,810	5,506	2,110	5,356	1,313	7,792	0	10	0	56	696	747	4,766	1,313
SUBTOTAL ACTIVE PROJECTS		212,049	45,864	33,871	26,924	25,264	23,363	21,069	21,011	14,663	42,910	0	10	0	1,223	2,427	10,889	16,448	11,913
PERCENTAGE OF TOTAL PIPELINE												0.0%	0.0%	0.0%	2.9%	5.7%	25.4%	38.3%	27.6%

III. INDIVIDUAL PROJECT SUMMARIES

Individual project summary reports are provided for the following projects:

S.O.2 - Increased and Diversified Trade

504-0099	Guyana Economic Support Fund
505-0104	Agricultural Sector Reform Guyana PL-480 Title III
538-0119	Investment Promotion and Export Development
538-0133	Small Enterprise Assistance
538-0138	IEMS - Core Contract
538-0138.01	IEMS - St. Kitts S.E. Peninsula Area Development
538-0138.02	IEMS - Grenada Infrastructure III
538-0138.07	IEMS - St. Vincent Infrastructure
538-0138.08	IEMS - Regional Utilities Maintenance
538-0140	Agricultural Venture Trust
538-0148	Regional Management Training
538-0163	West Indies Tropical Produce Support
538-0164	Agricultural Research and Extension
538-0165	Caribbean Law Institute
538-0173	Caribbean Leadership Development Training
538-0176	Dominica Agricultural Sector Support
538-0184	Caribbean Policy Project
538-0198	Grenada Technical Assistance
538-0645	Caribbean Justice Improvement
538-0645.17	CJIP - St. Kitts Courthouse

S.O.2 - Improved Natural Resource Management

538-0171	Environment and Coastal Resources
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Other

538-0005	Special Development Activities
538-0039	Population and Development
538-0103	Basic Needs Trust Fund
538-0161	AIDS/Communication and Technical Assistance
538-0181	Health Care Policy Planning and Management
538-0190	Drug Abuse Prevention and Education Pilot
538-0640	Presidential Training Initiative
538-0640.17	LAC Training Initiatives II

PROJECT STATUS REPORT

April 1, 1993- September 30, 1993

A _ B X C _

I. BACKGROUND DATA

Project Title: Guyana Economic Stabilization Program
 Project Number: 504-0099
 Date of Authorization: 04/11/90 Amendment #3: 09/30/93
 Date of Obligation: 04/11/90 Amendment #3: 09/30/93
 PACD: 03/31/94
 AID Economic Officer: Vernita Fort
 Status of CPs/Covenants: All CP's have been met for the original agreement and for Amendment No. 1 and No. 2. For Grant Agreement Amendment No. 3 which was signed September 30, 1993, the Grantee has 90 days from the date of signing this Amendment to fulfill the first condition necessary for requesting disbursement of the first tranche of \$1 million, and 180 days to fulfill the second condition for requesting disbursement of the second tranche of \$1 million.
 Date of Last Evaluation: 07/93 (of GOG perf.) Next Evaluation: by 06/94
 Date of Last Audit: only financial reviews scheduled for such projects
 Planned # of Non-Federal Audits: none "
 Audits Contracted for/Completed: none "

FINANCIAL DATA

Amount Authorized: ESF Grant: original	\$ 995,700	amended	\$4,447,219
Amount Obligated: ESF Grant: original	\$ 995,700	amended	\$4,447,219
Amount Committed: Period:	\$1,151,519		
	Cumulative:		\$2,447,219
Accrued Expenditures: Period-Projected:	\$ 725,000		
	Period - Actual:		\$1,451,519
	Cumulative:		\$2,447,219
	Period - Next:		\$2,000,000
Counterpart			
Contribution: Planned:	\$		0
	Actual:	\$	0
% LOP Elapsed:			88%
% of Total Auth. Oblig.			100%
% of Total Oblig. Exp.			55%
% of Total Auth. Exp.			55%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO(# 1&2)
 RDO/C SOs were designed for the OECS countries. Nevertheless, the ESF program supports both these SOs. The ESF money is used to pay off debt and this releases GOG funds for investment in Guyana's Economic Recovery Program (ERP). Supporting the ERP is the ESF Program's fundamental objective. The ERP includes among its key objectives, increased trade and investment and improved natural resource management. We can track and measure ERP performance, but attribution to debt repayment remains a problem.

B. Objectives Specific to an Evolving Strategy for Guyana
 LAC and RDO/C are evolving a strategy specifically for Guyana. Such a strategy will need to acknowledge how the payment of debt arrears or debt and arrear relief are an important part of Guyana's development process, such that scarce resources can then be directly targeted to enhancing productivity, human capital, indigenous problem solving capability, and human well being.

C. Percentage of LOP funds relating to SOs: SO #1: 40% SO #2 20%

III PROJECT PURPOSE

To assist the Government of Guyana to pay external debt and arrears to the International Monetary Fund (IMF), the International Bank for Reconstruction and Development (IBRD), the Inter-American Development Bank (IDB) and the Caribbean Development Bank (CDB). By so doing, the GOG will be able to direct other central funds to actual development initiatives and will become eligible to receive development support funds.

IV. PROJECT DESCRIPTION

The project is an ESF cash transfer program. The two policy related conditions required for the disbursement under the original Grant Agreement were:
2.1(c) that the Grantee provide a letter describing the Government of Guyana's progress in implementing its Economic Recovery Programme, and its planned policy actions and quantitative targets for the period 1990 and 1991.
2.1(d) that evidence be provided within the context of the Support Group arrangements to clear Guyana's arrears, that Guyana had secured adequate external assistance that would enable it, immediately upon disbursement of this Grant, to repay arrears to the IMF, IDB and CDB.

PROJECT STATUS REPORT
April 1, 1993- September 30, 1993

PROJECT DESCRIPTION(Continue)

The economic and policy conditions under Amendment Nos. 1,2, and 3 of the Grant are consistent with conditions 2.1(c) and 2.1(d) of the original Grant Agreement. Specifically for the current Amendment No. 3, the GOG must:

1. develop a performance matrix for the ERP to receive the first tranche of US\$1 million; and
2. use the matrix to report 1993 performance and 1994 projections to receive the second tranche of US\$1 million.

PROJECT STATUS

A. Planned EOPS

1) Arrears to IMF, IBDB and CDB identified by the Support Group, paid.

2) Reports received on implementing the Economic Recovery Programme

3) Performance Matrix and a report on 1993 performance and 1994 ERP performance projections received.

Progress to Date

specified arrears cleared

Reports, documenting excellent progress, have been received.

The Grantee has until 12/29/93 to submit matrix; until 03/28/94 to submit performance & targets.

B. Major Outputs

	<u>Planned</u>		<u>Accomplished</u>	
	<u>LOP Period</u>	<u>Next</u>	<u>Cum.</u>	<u>% of LOP</u>
		<u>Period</u>		
1) Funds were disbursed and total prescribed amount of arrears initially identified by Support group were paid prior to USAID obligating an additional \$300,000 under Amendment No. 1.		already paid during Support Group exercise in 1990.		100%
2) US\$300,000 per Amendment No.1 was disbursed to the GOG's non-commingled account for debt arrears payment.		Already disbursed		100%
3) US\$1,151,519 per Amendment No. 2 was disbursed to GOG's non-commingled account for debt arrears payment.		Already disbursed		100%
4) The Controllers Office is currently tracking for PDO, the payment of arrears to specific IFIS for all disbursements so far made under the full Agreement.		We await record of transactions between Riggs Bank, Wash, D.C., and the IFIs to which arrears are to be paid.		

C. Other Accomplishments and Overall Status

1. In 1991, Guyana's economy was estimated to have grown 6% in real terms. Since the launching of the Economic Recovery Program in 1988, the GOG has:
 - a) eliminated major distortions in commodity and factor markets;
 - b) reduced the size of the public sector;
 - c) mitigated and prevented some of the social costs of adjustment; and
 - d) restored relations with the international community.
2. Few countries have moved so far so fast.

D. Problems and Delays

1. It has been requested that we hold back disbursement under Amendment No. 3 if the GOG does not approve an increase in telephone rates. While it may be possible to prevent disbursement on these grounds since disbursement is essentially contingent on ERP implementation and performance, it is essential to determine the validity and soundness of the rate structures proposed by GT&T. It is essential that an independent financial assessment be completed on GT&T and that an economic analysis be completed to determine the economy wide implications of different possible fee structures and of the possible time frames for phasing in alternative rate structures.
2. While the necessary signatures on the Grant Agreement Amendment have been acquired, a decision will need to be made about the holding of an official ceremony in Guyana which would publicize that the U.S. Government and the Government of Guyana have entered into the subject agreement. However, any such ceremony would need to be postponed until the GT&T issue is settled.

E. Major Activities or Corrective Actions During the Next Six Months

- 1) Resolve issue concerning the rate structure of the Guyana Telephone and Telegraph Company.
- 2) Await receipt of ERP Performance matrix to review for disbursement of the first tranche.
- 3) Await receipt of completed matrix with 1993 ERP performance data and 1994 projections, to review for disbursement of the second tranche.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B x C__

I. BACKGROUND DATA

Project Title: Agricultural Sector Reform
 Project Number: 504-0104
 Date of Authorization: original 01/04/93
 Date of Obligation: original 01/29/93
 PACD: original 01/30/96
 Implementing Agencies: N/A
 Major Contractor: Tom Whitney, PSC
 AID Project Officer: Robert Wilson
 Status of CPs/Covenants: Legal Opinion; Designation of
 Representatives; met
 Date of Last Evaluation: 00/00/00
 Date of Last Audit: 00/00
 Planned # of non-Federal Audits: 3
 Audits contracted for/completed: 0

FINANCIAL DATA

Amount Authorized: ESF/DA Grant: original \$ 550,000
 Amount Obligated: DA Grant: original \$ 350,000
 Amount Committed: Period: \$ 139,000
 Cumulative: \$ 139,000
 Accrued Expenditures: Period - Projected: \$ -0-
 Period Actual: \$ 13,509
 Cumulative: \$ 13,509
 Period - Next: \$ 120,000
 Counterpart
 Contributions: Planned: \$180,000
 Actual: \$ -0-
 % LOP Elapsed: 20%
 % of Total Auth. Oblig. 64%
 % of Total Oblig. Exp. 38%
 % of Total Auth. Exp. 24%

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

The project supports policy development and implementation in sectoral areas that will lead to increased sustained investment and trade.

B. Percentage of LOP Funds Relating to SO:

100%

III. PROJECT PURPOSE

To assist Guyana to implement agricultural sector reforms leading to enhanced food security, increased trade and investment.

IV. PROJECT DESCRIPTION

- Long-term technical assistance to advise the GOG on agricultural policy and institutional reforms and the means to achieve them; coordinate and monitor the implementation of the Title III program.

IV. PROJECT DESCRIPTION (CONT'D)

Short-term technical assistance to advise on specific areas of reform; to evaluate the Title III program; and assist with the strengthening of accountability for program generated local currencies.

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

1. Multi-year Title III program with specific benchmarks for economic and sectoral policy related to food security.

Multi-year proposal drafted and sent to AID/W for review.

2. GOG compliance with Title III conditionality under a multi-year program

Pending finalization of multi-year program. First year conditionality satisfied.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

B. Major Outputs

	<u>Planned</u>			<u>Accomplished</u>			<u>% of LOP</u>
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	
				<u>Period</u>			
1. Technical Assistance Sectoral policy formulation and implementation.	40pm	6	6	12	1	1	2.7%

C. Other Accomplishments and Overall Status

Project implementation status is poised to show more progress in next reporting period due to late arrival of U.S. PSC advisor (Sept. 1993). PSC is integrated into dialogue with GOG ministries and donors.

D. Problems and Delays

Late arrival of PSC advisor, due to lengthy procurement process.

E. Major Activities or Corrective Actions During the Next Six Months

1. Obtain authorization from AID/W to negotiate multi-year Title III program.
2. Negotiate Title III program.
3. Negotiate local currency counterpart contribution to project trust fund.
4. Ensure AID/W or project-funded short-term technical assistance is applied to specific sectoral Title III issues.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A__ B x C__

I. BACKGROUND DATA

Project Title: Investment Promotion and Export Development (IPED)
 Project Number: 538-0119
 Date of Authorization: original 08/30/84 amended 06/15/92
 Date of Obligation: original 08/30/84 last obligation 09/30/92
 PACD: original 09/30/87 extended 06/30/94*
 Implementing Agencies: Organisation of Eastern Caribbean States, Eastern Caribbean Investment Promotion Service, and Industrial Development Corporations
 Major Contractor: None
 AID Project Officer: Peter Medford
 Status of CPs/Covenants: Completed
 Date of Last Evaluation: 3/92 (Final)
 Date of Last Audit: 09/92 Next Audit: 1/94
 Planned # of non-Federal Audits: 2
 Audits contracted for/completed: 8

FINANCIAL DATA

Amount Authorized:	ESF/DA Grant: original	\$ 8,000,000	amended to	\$17,660,0
Amount Obligated:	DA Grant: original	\$ 1,252,365	amended to	\$17,543,8
Amount Committed:	Period:	\$ 74,507		
	Cumulative:	\$17,543,870	(OECS Amend.	\$4,888,87
Accrued Expenditures:	Period - Projected:	\$ 433,000		
	Period Actual:	\$ 185,083		
	Cumulative:	\$17,296,256	(OECS Amend.	\$4,657,28
	Period - Next:	\$ 30,000		
<u>Counterpart</u>				
Contributions:	Planned:	\$ 439,355	cumulative	
	Actual:	\$ 439,355		

While the financial data reflects the entire LOP, the narrative only covers the OECS Amendment period from February 1987.

% LOP Elapsed:	92%
% of Total Auth. Oblig.	99%
% of Total Oblig. Exp.	99%
% of Total Auth. Exp.	98%

*extended solely to allow conduct of A.I.D. stipulated audits

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

- A. Specific Linkage to SO (#1)
 IPED is contributing to increased and diversified trade by attracting foreign investment to the region which has lead to the production of new competitive products as well as the provision of a wider range of commercial services. The project is also helping to prepare IDC general managers to provide a better investment promotion service to clients.
- B. Percentage of LOP Funds Relating to SO: 100%

III. PROJECT PURPOSE

To develop national and regional capability to identify and promote private investment in productive, export oriented businesses in the Eastern Caribbean and to generate investment related employment.

IV. PROJECT DESCRIPTION

The just concluded phase of the project consisted of three main elements: a grant with the OECS to partially fund the operations of the Eastern Caribbean Investment Promotion Service (ECIPS) in Washington, D.C.; funding for the eight OECS national promotion agencies; and a management fund for the OECS Secretariat. The project also financed a Business Center which was located in Barbados and technical assistance and PSC contractua support to the project. Prior to the 1987 amendment, the project princip ally funded the Project Development Assistance Program (PDAP) administere by Coopers and Lybrand (C&L). In 1987 the OECS countries approached USAI and requested assistance to launch an indigenous promotion agency, ECIPS. expatriate C&L program was phased out in 1988. In March 1990, USAID and the OECS reached agreement on funding ECIPS for another two years.

V. PROJECT STATUS

- | | |
|--|---|
| <u>A. Planned EOPS</u> | <u>Progress to Date</u> |
| 1. A regional investment promotion agency, operating in the U.S., partially financed by Eastern Caribbean States | ECIPS operative. All OECS countries making agreed contributions to ECIPS. |

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (CONT'D)

A. Planned EOPS

2. Established formal lines of cooperation between the regional off-shore investment agency and national investment promotion agencies.
3. Increased foreign investment in the Eastern Caribbean.
4. Increased employment directly attributable to new foreign investment.

Progress to Date

- Secondments to ECIPS and other formal mechanisms established to ensure collaboration between ECIPS and the IDCs.
- 47 new businesses or expansions attributable to ECIPS.
- 714 new jobs attributable to ECIPS.

C. Other Accomplishments and Overall Status

Program funding under the three project elements came to an end on September 30, 1993. During the final year all the funds expended went to operational costs since the IDCs were tardy in implementing training programs before the PACD.

Consequent upon the passage of section 599, RDO/C, guided by legal opinion, took the decision to redirect our funding of investment promotion to institutional support of ECIPS notwithstanding that ECIPS was regarded as a purely promotional entity and not engaged in the provision of financial incentives. ECIPS then became responsible for all its program costs. The Executive Director indicated in his close-out report that the institution will continue to carry out its normal functions in the near term but consideration is being given to merging it with other OECS institutions in a process of institutional rationalization.

Due to unanticipated difficulties in conducting a compliance audit for the period June 4, 1987 to June 25, 1992 the PACD was extended to June 30, 1994 to allow Price Waterhouse to complete that assignment and also to allow for the conduct of a close-out audit.

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>			<u>% of LOP</u>
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>		
1. Regional Investment Promotion Agency Established	1	-0-	1	-0-	-0-	1	100%	
2. Number of referrals made to IDCs by ECIPS	750	300	750	100	160	1428	190%	
3. No. of investor visits to countries from ECIPS referrals	100	35	122	20	31	292	292%	
4. Foreign Business starts/expansions	25	2	5	2	2	47	188%	
5. Numbers employed from investments.	1006	25	750	50	30	714	71%	
6. Project Assistance in investment policy formulation	8	-0-	8	-0-	-0-	8	100%	
7. Number of TA programs for IDCs	20	-0-	28	-0-	-0-	28	133%	
8. No. trained under project.	55	-0-	83	-0-	-0-	83	150%	

D. Problems and Delays

USAID stipulated audits not completed within anticipated timing.

E. Major Activities or Corrective Actions During the Next Six Months

Completion of USAID stipulated audits.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A__ B x C__

I. BACKGROUND DATA

Project Title: Small Enterprise Assistance (SEA)
 Project Number: 538-0133
 Date of Authorization: original 02/25/86; last amendment 02/20/92
 Date of Obligation: original 03/14/86; last obligation 04/06/93
 PACD: original 02/24/91; extended 09/30/94
 Implementing Agencies: Eastern Caribbean Organization of Development Foundations (ECODEF) and International Executive Service Corp (IESC)
 Major Contractors: None
 AID Project Manager: Peter R. Medford
 Status of CPs/Covenants N/A
 Date of Last Evaluation: 09/89 Next Evaluation: To be determined
 Planned # of Non-Federal audits: 7
 Audits contracted for/completed: 6
 Date of Last Audit: 10/93

FINANCIAL DATA

Amount Authorized:	DA/ESF Grant: original \$10,000,000 amended to \$20,810,000
Amount Obligated:	DA/ESF Grant: original \$ 2,630,000 amended to \$19,303,800
Amount Committed	Period: \$ 2,845,000
	Cumulative: \$19,282,417
Accrued Expenditures:	Period - Projected: \$ 139,000
	Period Actual: \$ 1,722,641
	Cumulative: \$18,041,653
	Period - Next: \$ 1,200,000
Counterpart	
Contributions:	Planned: \$ NONE
	Actual: \$ NONE
% LOP Elapsed:	88%
% of Total Auth. Oblig.	93%
% of Total Oblig. Exp.	93%
% of Total Auth. Exp.	87%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

- A. Specific Linkage to SO #1
 SEAP supports the creativity of small entrepreneurs as they develop new products and services.
 Accomplishment: The project has provided training in technical and business skills to substantial numbers of small and micro entrepreneurs thereby enhancing their prospects for success.
- B. Percentage of LOP funds relating to SO
 90%

III. PROJECT PURPOSE

To increase the ability of local entrepreneurs to establish, expand, or increase the efficiency of their micro-, small-, and medium-scale enterprises in order to produce and sell their goods and services in the local, regional and extra-regional markets. In addition, a now completed objective was to strengthen CAIC and its affiliates to enable them to effect policy change favorable to private enterprise development and to improve their delivery of business-related development services.

IV. PROJECT DESCRIPTION

The project provides credit, technical assistance and training to OECS micro, small and medium scale enterprises, under the project management of ECODEF, through National Development Foundations and WID and other private sector organizations referred to as National Delivery Institutions. Specialised technical assistance to small and medium firms as well as selective training for micro entrepreneurs is provided by IESC.

V. PROJECT STATUS

A. Planned EOPS

1. Increased production and sales of local goods and services by firms assisted.

Progress to Date

NDF supported firms have shown substantial increases in production and profits. Intensive TA to SMEs has resulted in increased output and employment.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (CON'T)

<u>A. Planned EOPS</u>	<u>Progress to Date</u>
2. Innovative SME credit facility established.	A working capital loan program for small and medium firms was implemented in Dominica, St. Lucia and Montserrat during 1989-90.
3. Improved local and regional supporting services for small and medium scale enterprises	Institutions in six Islands are now brokering TA to SMEs. The 1989 LBII evaluation stated that the project favorably influenced local and regional supporting services for SMEs.
4. CAIC and its affiliate organizations in the Eastern Caribbean States are financially viable.	CAIC has reverted to being a member-services organization only and thus its viability should be assured. As of 1990, when USAID discontinued support to the affiliates, they had achieved viability levels of 70-80%.
5. Improved business laws.	A CAIC tax study done in 1986 led to liberalization of taxation across the region. The Caricom Enterprise Regime (CER), which was recommended to the Heads of Governments by CAIC in 1987, became operational in October, 1988.

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
			<u>Next</u>		<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>			
1. Establish Regional Coord. Unit	1	-0-	1	-0-	-0-	1	100%
2. Assist Micro Firms thru NDFs/WID	6,340	600	4025	400	750	4753	75%
3. Provide TA to SMEs	1668	50	1178	50	25	625	37%
4. Provide Training to SMEs (persons)	4000	300	2635	400	376	2846	71%

B. Major Outputs (continued)

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of L</u>
5. IESC TA interventions (April 1991 start)	96	72	96	15	18	70	7
6. Estab. SME Credit Mechanism	\$0.2m	-0-	\$0.2m	-0-	-0-	\$0.2m	10
7. Pol. Studies Prod.	3	-0-	3	-0-	-0-	3	10
8. Prov. TA&T to affil. (person days)	800	50	580	100	23	709	8

C. Other Accomplishments and Overall Status

This project has had significant impact on the expansion of the private sector in the region by providing resources necessary for the start-up and expansion of small and micro enterprises as well as by strengthening the institutional capacity to service those enterprises. The small and micro-business sub-sectors are now solidly established a part of the private sector and the entrepreneurial spirit across the region is clearly in evidence. While USAID has been in the vanguard of enterprise development, other donors have supported more modest activity focussed programs aimed at the same target group. Through the initiative of our Grantees, some integration of the programs has occurred. ECODEF is currently working diligently to mobilise resources from other donors for post-AID financing of small, medium and micro-enterprise development.

Since the start of the project some 11,660 jobs have been created or sustained, with 37% being women. The number of medium firms receiving training is below target due to the late start (1989) of that activity as well as the fact that the coordinator's position was vacant on two occasions since then. It should however be noted that the numbers of people trained is quite satisfactory in relation to the targets.

During the last six months the following accomplishments occurred.

1. ECODEF became the grantee of SEAP in April, 1993 and executed sub-grants, retroactive to February 24, 1993, with all the participating national institutions.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

C. Other Accomplishments and Overall Status (continued)

2. ECODEF's major thrust was on institutional development of the NDFs and NDIs focussing on financial management, USAID compliance and sustainability. This was necessary due to a turnover of staff at several of the participating entities during the past year.
3. Executive Directors of the eight NDFs and staff of the ECODEF secretariat made an observational visit to ADEMI in the Dominican Republic to broaden their perspective on sustainability approaches. The visit was considered very beneficial.
4. The final compliance audit of CAIC's stewardship of SEAP was completed by Peat Marwick & Co. The audit did not indicate any weaknesses of significance.

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Three Months

1. Quarterly meeting of SEAP Management Committee in conjunction with workshops for the Executive Directors and Board members.
2. Compliance audit to be completed March 1994.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B X C__

I. BACKGROUND

FINANCIAL DATA

Project Title:	Infrastructure Expansion and Maintenance Systems	Amount Authorized:	ESF Grant: original \$18,000,000 amended to \$10,396,10
Project Number:	538-0138		ESF Loan : original 0 amended to \$ 4,300,00
Date of Authorization:	original 05/06/86 amendment 09/16/86		SDA Grant: original 0 amended to \$10,077,75
	02/26/87; 06/23/87	Amount Obligated:	SDA Loan : original 0 amended to \$ 9,200,00
	08/28/87; 09/28/87		<u>See Specific Subprojects for Additional Data</u>
	07/01/88; 08/18/88		
	08/31/89; 06/19/92	<u>CORE CONTRACT</u>	
	07/14/92; 09/11/92	Amount Authorized:	ESF Grant: original \$ 500,000 amended to \$ 2,386,7
	07/09/93		SDA Grant: original \$ -0- amended to \$ 1,896,3
Date of Obligation:	original 05/21/86 (Berger Core Contract)	Amount Obligated:	ESF Grant: original \$ 500,000 amended to \$ 2,386,7
	(For other Obligations See Subproject Status Reports)		SDA Grant: original \$ -0- amended to \$ 1,896,3
PACD:	original 09/30/93 amended to 09/30/95	Amount Committed:	Period: \$ -0-
Implementing Agencies:	(See Subproject Status Reports)	Accrued Expenditures:	Cumulative \$ 4,283,047
Major Contractors:	Louis Berger International, Inc. (Project Design and Implementation Services) (For other Contractors See Subproject Status Reports)		Period - Projected \$ 48,000
		Counterpart	Period - Actual \$ 44,502
AID Project Manager:	D. Craig Archer	Contribution:	Cumulative: \$ 4,279,302
Status of CPs/Covenants:	See individual Project Status Reports	Planned:	Period - Next: \$ 3,745
Date of Last Evaluation:	None Next Evaluation: Not planned	Actual:	N/A
Date of Last Audit:	October 1990, July 1991 (Louis Berger), November 1992 (Louis Berger)	% LOP Elapsed:	N/A
Planned # of Non-Federal Audits:	None	% of Total Auth. Oblig.	98%
Audits Contracted for/Completed:	None	% of Total Oblig. Exp.	100%
		% of Total Auth. Exp.	99%
			99%

II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

The upgrading of primary infrastructure provided under this project is essential to attract and maintain the investment needed to stimulate the productive sectors and increase trade. (See also individual subproject status reports).

III PROJECT PURPOSE

The purpose of the project is to stimulate private investment and other productive activities by improving infrastructure and maintenance capabilities in the Eastern Caribbean states.

IV PROJECT DESCRIPTION

The Project is a comprehensive program to provide and upgrade primary infrastructure for the productive sectors of agriculture, manufacturing and tourism in the Eastern Caribbean. Modern and reliable infrastructure is a prerequisite to attracting the foreign and local investment in private enterprise which will create new jobs and earn foreign exchange.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B_X C__

B. Percentage of LOP funds relating to SO (#1)
100%

D. Problems and Delays

None, but see also individual Project Status Reports.

V PROJECT STATUS

A. Planned EOPS See Individual Project Status Reports.

B. Major Outputs See Individual Project Status Reports.

E. Major Activities or Corrective Actions During the Next Six Months

- Monitor the progress of the hook-up of customers to the Grand Anse Sewer.

C Other Accomplishments and Overall Status

1. The core contractor Louis Berger Intl. (LBII) carried out supervisory engineer's duties on the hook-up phase of the Grand Anse Sewer contract.

The LBII contract was extended from August 31, 1993 to November 30, 1993 to cover more of the implementation of the sewer hook-ups for the Grand Anse Sewer.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A B C

BACKGROUND DATA

Project Title: St. Kitts Southeast Peninsula Area Development
 Project Number: 538-0138.01
 Date of Authorization: original 09/16/86 amended 09/11/92
 Date of Obligation: original 09/17/86 amended 09/28/92
 PACD: original 09/30/89 amended to 09/30/93
 Implementing Agencies: Ministry of Agriculture, Lands, Housing and Development
 Major Contractors: TAMS/ELMES Associates (Construction Supervision); Redondo Construction Company (Construction); Development Alternatives & Tropical Research and Development (Technical Assistance); Ralph Field (PSC).
 AID Project Manager: Brinley D. Selliah
 Status of CPs/Covenants: All CPs met. All covenants met.
 Date of Last Evaluation: None Next Evaluation
 Date of Last Audit: October 1990
 Planned No. of Non-Federal Audits: Close out audit in progress
 Audits contracted for/completed: None

FINANCIAL DATA

Amount Authorized:	ESF Grant: original \$ 1,000,000 amended \$ 1,144,479
	ESF Loan : original \$ 1,800,000 amended \$ 2,300,000
	SDA Loan : original \$ 0 amended \$ 9,200,000
Amount Obligated:	ESF Grant: original \$ 700,000 amended \$ 994,479
	SDA Grant: original \$ 0 amended \$ 200,000
	ESF Loan : original \$ 1,800,000 amended \$ 2,300,000
	SDA Loan : original \$ 0 amended \$ 9,200,000
Amount Committed:	Period: Grant \$ 59,969 Loan \$ 0
	Cumulative: Grant \$ 1,144,479 Loan \$11,499,999
Accrued Expenditures:	Period - Projected G\$ 7,000 Loan 0
	Period - Actual G\$ 62,861 Loan \$ 0
	Cumulative: \$ 1,080,100 Loan \$11,499,999
	Period - Next \$ 0 Loan \$ 0
Counterpart	
Contribution:	Planned: ESF - In kind
	Actual: 4,131,000
% LOP Elapsed:	100%
% of Total Auth. Oblig.	100%
% of Total Oblig. Exp.	94%
% of Total Auth. Exp.	100%

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO#1

This project will lead to greatly increased trade in the tourism sector. The project description gives a brief outline as to how this will be achieved.

Specific Linkage to SO#2

The development of the Southeast Peninsula for tourism included the development of policies and regulations for sound management of the peninsula's natural resource.

B. Percentage of LOP funds relating to SOS

SO #1 = 91%; SO #2 = 9%

III PROJECT PURPOSE

To establish an effective institutional, financial and infrastructural framework for the physical development of the Southeast Peninsula of St. Kitts.

IV. PROJECT DESCRIPTION

The Project opened 3,400 acres of previously inaccessible land for development, including: 550 acres for hotels, apartments, condominiums and other commercial activity; 600 acres for low density development such as vacation homes; 650 acres for limited use; and 1,600 acres for natural uses such as hiking and wildlife reserves. Development of the Peninsula required a penetration road, utility installation, a land use and environmental management program, a fiscal recovery program, and investment in tourism facilities.

At the end of the project the foundations for environmental protection and monitoring, land use management, improved tax administration and better physical planning will be in place and can be built on. Furthermore, with significant tourist investment in the Southeast Peninsula and with an equitable tax and revenue system it is expected that the poor fiscal situation of St. Kitts and Nevis will improve and have widespread positive social impact.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A__ B_X C__

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | | |
|----|--|---|
| 1. | Road constructed, utilities underway. | Completed. (Electricity, water and telephone) |
| 2. | Special Development Area Taxation Established, Assessments issued, Collections received. | Law Reform Act incorporating required tax provisions passed by National Assembly and taxes collected. |
| 3. | Southeast Peninsula Board meeting Land Use Management Plan accepted Investment applications being processed. | Southeast Peninsula Land Development and Conservation Act established Land Development and Conservation Board. Board members selected and meeting regularly. National Conservation and Environmental Protection Act creating the National Conservation Commission (NCC) passed, NCC members appointed 3/88. Land Use Management Plan developed with Technical Assistance. All development land purchased by developers. Eight development plans submitted to GOSKN. Six plans approved. One restaurant and Beach Bar completed. Other Hotel under construction. |
| 4. | Environmental Management Program | Program designed and in implementation mode. Institutional support given to GOSKN. GOSKN now funds major share of institutional support. |

B. Major Outputs

	<u>Planned</u>				<u>Accomplished*</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>			
1. Construction of road	6M	-	100%	-	-	100%	100%
2. Establish Envir. Management Program	100%	-	100%	-	-	100%	100%
3. Functional Land Dev. & Loans Board	100%	-	100%	-	-	100%	100%
4. Special Dev. Area taxation billed & collected	100%	-	100%	-	-	100%	100%
5. Initiation of utility installation & hotel/resort cont.	100%	-	100%	-	- Elec., water, & tel. installed. Hotel site Dev. in progress. Restaurant & Beach Bar developed.		100%
Special Development Area Taxation Billed and collected					Legislation Passed, Taxes collected		100%
Hotel/Resort construction					Development plans submitted by Casablanca Resorts, La Grande Salinas Resort, Sandals, Friars Bay Development, Majors Bay Development, Turtle Beach Bar & Grill, Black Coral Development Group and Priddies Plateau for development of the peninsula. The plans were reviewed by the SEP Board and comments communicated to the developers. Resubmissions have now taken place. Land Development		

Hotel/Resort (cont'd)

approval granted to Casablanca Resorts, Black Coral Development Group, Friars Bay Development, Sandals, Turtle Beach Bar & Grill and Priddies Plateau. Turtle Beach Bar & Grill has been constructed and is now in business and holiday home development has started. Casablanca Resorts started hotel construction and stopped.

C. Other Accomplishments and Overall Status

The Prime Minister and other Ministers and Officials of the Government of St. Kitts and Nevis are confident that the opening up of the peninsula will greatly assist the country in its economic development and virtually wipe out unemployment. In fact all the land allotted for tourism development has been sold to developers and there has been virtually no land speculation. Due to the economic recession in the developed countries there is little effort to construct hotels. However all the developers have prepared plans, some in great detail and continue to go through the process such as obtaining planning permission and the necessary permits for building construction and development.

The tax rates for land in the peninsula are higher than anywhere else in St. Kitts. The revenue from taxes and other rates has enabled the Government to maintain the road and provide the other services necessary for conserving the peninsula. The road is frequently used by taxis and tour operators for sight seeing trips. In addition there has already been some employment such as beach vending and food and drinks sales generated in the peninsula especially on the beaches close to the capital Basseterre.

This project made people aware of the environment more than any other project carried out in St. Kitts and Nevis and greatly influenced the Government to enact a National Conservation and Environment Protection Act. This project also influenced the birth of a national environment protection association which monitors and lobbies for environmental protection in the country. It should be noted that while there were individuals concerned with the environment, there was no group such as this one before this project.

C. Other Accomplishments and Overall Status (cont'd)

The RDO/C Project Officer and the Planning Consultant to the SEP Board funded by this project, have advised the Board and the Government to study and address the social issues of sudden and intense development which in all probability will take place once the US and other economies begin to improve. For example if hotel construction in the peninsula takes off, the labour force in St. Kitts and Nevis will be insufficient to meet the demand. Therefore workers will have to be imported and the issues of housing and meeting their other needs will have to be addressed. The role of women in this type of development and equal opportunities must also be addressed.

Furthermore the whole issue of road use by construction traffic must be studied and addressed. One solution suggested was to mandate that all heavy construction equipment and materials must be brought in by sea. It should be noted that this was carried out in the case of the Casablanca Resort Development. Another issue of concern to all those involved in the project has been the problem of rockfall especially from the deep cut at the beginning of the road. This problem has now been solved with the assistance of engineers from Martinique by the design of a net to trap and let the rock fall gradually to the bottom of the cut from where it can be disposed. The project financed the materials which were purchased in the US and the Government of St. Kitts and Nevis will pay for all the other construction costs. RDO/C's project officer will continue to monitor this activity.

The Government's Minister responsible for the project and the Secretary to the SEP Board have informed RDO/C that these issues and issues such as land speculation and protection of the environment are being continually monitored and have taken steps to address of these issues.

C. Other Accomplishments and Overall Status (cont'd)

The Southeast Peninsula Board has continued to function effectively and successfully. The Board meets regularly to address issues concerning the development of the peninsula. The plan for technical assistance to the Board once USAID assistance ends was prepared. The PACD of the project was extended to September 1993 to enable the Board and the Government to address the few remaining issues when USAID assistance ended. These have now been addressed and the project ended on September 30, 1993.

D. Problems and Delays

Maintenance of the facilities in the Southeast Peninsula has been carried out regularly. However there appeared to be a need for routinized long-term planning. This planning is now underway.

E. Major Activities or Corrective Actions During the Next Six Months

Prepare PACR.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B X C__

I BACKGROUND DATA

FINANCIAL DATA

Project Title: Grenada Infrastructure Revitalization III
 Project Number: 538-0138.02
 Date of Authorization: original: 03/02/87 amendment 09/28/88
 amendment: 06/19/92
 Date of Obligation: original: 03/02/87 amendment 09/28/87
 09/30/88; 11/15/89
 08/30/90; 09/09/91
 PACD: original: 02/28/90 amended to 03/31/94
 Implementing Agencies: Ministry of Works; National Water & Sewerage
 Authority (NAWASA); Industrial Development
 Corporation;
 Major Contractors: J&J Engineering; Sampson Construction; M&G
 Construction; Construction Products
 International; Tennessee Valley Authority;
 Keens-Douglas & Partners; Louis Berger
 International, Inc.; Maitland Bros. & Gordon
 & Archibald
 AID Project Manager: D. Craig Archer
 Status of CPs/Covenants: All Cp's met. All covenants met.
 Date of Las' Evaluation: None Next Evaluation: None
 Date of Last Audit: October, 1990 Next Audit:None planned
 Planned # of Non-Federal Audits: None
 Audits Contracted for/Completed: None

Amount Authorized: ESF Grant: original \$ 4,000,000 amended to \$ 5,444,8
 SDA Grant: original \$ 0 amended to \$ 2,981,4
 Amount Obligated: ESF Grant: original \$ 4,000,000 amended to \$ 5,444,8
 SDA Grant: original \$ 0 amended to \$ 2,981,4
 Amount Committed: Period: \$ 90,396
 Cumulative: \$ 8,419,725
 Accrued Expenditures: Period - Projected \$ 400,000
 Period - Actual \$ 226,907
 Cumulative: \$ 8,125,425
 Period - Next: \$ 200,000
 Counterpart
 Contribution: Planned: In Kind
 Actual: "Satisfactory"
 % LOP Elapsed: 93%
 % of Total Auth. Oblig. 100%
 % of Total Oblig. Exp. 95%
 % of Total Auth. Exp. 95%

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II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

This project will lead to both increased and diversified trade by directly improving areas in the industrial & tourism sectors. The new industrial parks are being occupied as they become available and the reduction of pollution in the Grand Anse Bay will directly and positively affect the tourist product there.

B Percentage of LOP funds relating to SO
100%

III PROJECT PURPOSE

To assist the Government of Grenada in its effort to improve Grenada's physical infrastructure to a level required to create immediate employment opportunities, improve foreign exchange earnings and encourage a long-term flow of private sector investment.

IV PROJECT DESCRIPTION

The Project will fund infrastructure improvements in five areas directly related to the industrial and tourism sectors. The five target areas are Grand Anse Beach Area, Carenage/Fort Restoration and Development, Frequente Industrial Park, Seamoons Industrial Site and Road/Bridge Hazard Reduction.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B X C__

V PROJECT STATUS

<u>A. Planned EOPS</u>	<u>Progress to Date</u>
1. Frequente Industrial Park in Operation	Reached 100% occupation, currently 86%.
2. Grand Anse Beach Pollution Reduced	Sewerage System construction completed. Hook-ups in progress (35% completed).
3. Grenville Indus. Dev. established	Seamoon Industrial Park completed. (50% occupation)
4. Camerhogne Park cleared for Industrial Development.	Work completed
5. Road hazards reduced	Six road improvements completed.

C. Other Accomplishments and Overall Status

Most of the project outputs have already been accomplished and the only activity yet to be completed is the Grand Anse Sewerage system, which is in its final, "hook-up" phase. Completed works include the following:

(1) Within the Frequente Industrial Park, three additional factory shells were constructed and several other existing buildings were renovated.

(2) The Seamoon Industrial Park was upgraded from a feasibility study when it was determined that the Caribbean Development Bank (CDB) would provide loan funding to construct factory shells there. USAID then funded the primary infrastructure for the site and provided construction supervision while the CDB-funded portion of the work - the erection of the factory shells - was being undertaken.

(3) Specific improvements were made to two historic forts and to the Carenage area within the capital of St. George's to enhance the natural beauty of the town. This has improved the tourism potential of these areas.

(4) In the Camerhogne area, a 2 1/2 acre public park was created on the scenic Grand Anse Beach to improve the recreational facilities that could be offered to locals and tourists alike. A nearby open area was also cleared and made ready for future industrial development. This will begin in the upcoming period.

C. Other Accomplishments and Overall Status (cont'd)

(5) Six potential road hazards were eliminated by the rehabilitation and/or improvements to, existing retaining walls & small-bridge crossings

(6) The second (hook-up) phase of the Grand Anse Sewer system was officially celebrated in a ceremony in June. During the period, NAWASA began to hook-up residential customers to the Grand Anse Sewer System.

(7) Prior to the start of the hook-ups the news media (t.v., radio, and newspaper) were used as part of a public information program to inform the Grenadian public about the benefits of the new sewer system.

D. Problems and Delays

The start of the sewer hook-ups was later than planned, and during the first four months the rate of progress was also slower than originally estimated. Based on the current rate of progress an extension of four residential months to the PACD will be required to complete all the remaining hook-ups.

E. Major Activities or Corrective Actions During the Next Six Months

- Extend PACD to allow completion of all hook-ups.
- Continue to monitor the hooking up of customers (commercial and residential) to the sewer system.
- RDO/C will have to devote more engineering manpower to monitor the progress of these hook-ups since A&E contractor Louis Berger's contract with USAID expires on November 30, 1993.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A X B ___ C ___

I BACKGROUND DATA

FINANCIAL DATA

Project Title:	St. Vincent Infrastructure	Amount Authorized:	ESF Loan : original \$ 3,000,000 amended to \$ 2,000,000
Project Number:	538-0138.07		ESF Grant: original \$ 433,000 amended to \$ 940,000
Date of Authorization:	original 08/27/87 (Loan) amendment 6/19/92	Amount Obligated:	ESF Loan: original \$ 2,000,000 \$ 1,996,000
	original 06/30/89 (Grant) amendments 8/31/89		ESF Grant: original \$ 433,000 amended to \$940,031
		7/09/93 Amount Committed	Period: \$ 253,616 Loan \$ 501,123 Grant
Date of Obligation:	original 08/27/87 (Loan)		Cumulative: \$ 1,996,297 Loan \$ 940,031 Grant
	original 06/30/89 (Grant) amendments 8/31/89	Accrued Expenditures:	Period - Projected: \$ 270,000 Loan \$ 569,000 Grant
		9/08/93	Period - Actual: \$ 266,537 Loan \$ 509,343 Grant
PACD:	original 07/31/90 amended to 09/30/93		Cumulative: \$ 1,996,297 Loan \$ 940,031 Grant
Implementing Agencies:	Ministry of Communications and Works; Central Water Sewerage Authority (CWSA)	Counterpart	Period - Next: -0- -0-
Major Contractors:	None	Contribution:	Planned: "In kind"
AID Project Manager:	D. Craig Archer		Actual: "Satisfactory"
Status of Cps/Covenants:	All Cp's met.	% LOP Elapsed:	100%
Date of Last Evaluation:	None Next Evaluation: Not planned	% of Total Auth. Oblig.	100%
Date of Last Audit:	07/91 Next Audit: 10/93	% of Total Oblig. Exp.	100%
Planned # of Non-Federal Audits:	One	% of Total Auth. Exp.	100%
Audits Contracted for/completed:	One		

II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

This project will lead to increased trade since it will improve road access to agriculturally productive areas of St. Vincent. It also assists the development of an industrial estate, which will promote trade diversification.

B. Percentage of LOP funds relating to SO
100%

III PROJECT PURPOSE

To promote economic growth in the agricultural sector through increased crop production. Specifically, road improvements will stimulate investment in the production of non-traditional crops for export to the CARICOM states and other markets.

IV PROJECT DESCRIPTION

The St. Vincent Infrastructure Project includes (1) the procurement of heavy equipment to be used for highway rehabilitation/maintenance, (2) the rehabilitation of primary and secondary roads, (3) technical assistance to the Ministry of Communications and Works, (4) a maintenance program, and (5) construction of a water storage tank and distribution line to serve the Diamond Industrial Estate.

V PROJECT STATUS

A. Planned EOPS

1. Increased agricultural land under cultivation.
2. Increased production on land currently under production.

Progress to Date

- Information not yet available
- assessment to be part of PACR
- Information not yet available
- assessment to be part of PACR

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V PROJECT STATUS (cont'd)

<u>A. Planned EOPS</u>	<u>Progress to Date</u>
3. Construction equipment procured.	All major items procured.
4. Roads rehabilitated	Nine roads rehabilitated.
5. Water supply to new industrial estate established.	Water tank and pipeline completed.

B. Major Outputs

	<u>Planned</u>		<u>Next</u>		<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
Road Rehabilitation (miles)	10.2	3.3	10.2	-	3.3	10.2	100
Improve Road Maintenance	Design of Maintenance program not implemented since another funding agency was assisting the GOSV with the development of a maintenance system.						

B. Major Inputs

	<u>Planned</u>		<u>Next</u>		<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
Equipment (\$1000)	444	10	444	-	10	444	100%
Road construction	1899	649	1899	-	649	1899	100%
Tech. Assistance	45	-	45	-	-	45	100%
Maint. Program	121	121	121	-	121	121	100%

C Other Accomplishments and Overall Status

The project financed the procurement of priority heavy construction equipment which was deemed necessary for the GOSV Ministry of Communications & Works (MCW) to carryout the road rehabilitation work in an efficient manner. All the major items were procured.

The project also financed the construction of a water storage tank and a 1 1/2 mile pipeline from the tank to the site of a future industrial estate at Diamond. Although the primary infrastructure at the estate site is already in place (CIDA-funded), construction of the factory shells is not yet underway. However, the AID-funded water storage tank is already being used to augment the supply of potable water to neighboring residential areas.

C. Other Accomplishments and Overall Status (cont'd)

During the period under review, the PACD extended by two months to September 30, 1993 to enable the remaining road rehabilitation work to be completed.

The design of a maintenance program was not followed up by the GOSV since it is undertaking a similar program which is being funded by the Caribbean Development Bank. However, maintenance work on six selected roads was carried out utilizing these funds.

Rehabilitation work completed on the three remaining roads: Welcome/Fenton; Green Hill/Fenton, Diamond/Mt. Grennan. Reimbursement for all three roads was made during the period.

During the period, the GOSV through its Ministry of Works was able to achieve a much faster rate of implementation of road rehabilitation work. This acceleration enabled all major project outputs to be attained before the PACD, September 30, 1993. All allocated funds were utilized by this date.

D. Problems and Delays

None.

E. Major Activities or Corrective Actions During the Next Six Months

Complete project assistance completion report to close out this project.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

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I. BACKGROUND DATA

Project Title: Regional Utilities Maintenance
 Project Number: 538-0138.08
 Date of Authorization: original 07/01/88
 Date of Obligation: original 08/13/88
 PACD: original 07/31/93 Amended 09/30/95
 Implementing Agency: Caribbean Electric Utility Services Corporation (CARILEC)
 Major Contractors: NRECA, QUALTEC
 AID Project Manager: Brinley D. Selliah
 Status of CPs/Covenants: All CP's met
 Date of Last Evaluation: 11/91 Next Evaluation: Not planned
 Date of Last Audit: 10/92
 Planned # of Recipient Contracted Audit: 1 for FY93
 Audits Contracted for/completed: 4

FINANCIAL DATA

Amount Authorized:	SDA Grant: original \$5,000,000	
Amount Obligated:	SDA Grant: original \$3,500,000	amended to \$5,000,000
Amount Committed:	Period	0
	Cumulative:	\$5,000,000
Accrued Expenditures:	Period - Projected:	\$ 220,000
	Period - Actual:	\$ 275,162
	Cumulative:	\$4,428,653
	Period - Next:	\$ 275,000
Counterpart		
Contribution:	Planned:	\$ 229,828
	Actual:	\$ 937,223
% LOP Elapsed:		71%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		88%
% of Total Auth. Exp.		86%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES (SO)

- 27-
- A. Specific Linkage to SO#1
 In the past businesses have been seriously effected by unreliable and inefficient electricity supplies. This project will assist business by ensuring reliable electricity supplies. The training and management programs carried out by CARILEC will result in more effective private and public sector managers.
 - B. Percentage of LOP funds relating to SO#1
 100%

IV. PROJECT DESCRIPTION

The Regional Utilities Maintenance Subproject consists of Grant-financed technical assistance, training and related commodities to assist the electrical utilities of ten Eastern Caribbean countries (Antigua, Anguilla, Barbados, Dominica, Grenada, Montserrat, St. Lucia, St. Vincent/Grenadines, and the British Virgin Islands) improve the reliability of their services and their overall efficiency. The subproject will establish a regional non-profit corporation to enable member utilities to develop indigenous training capabilities and meet other common services on a cooperative basis. The subproject will be implemented in a 5-year period and will be implemented in two phases. In the first phase, under a cooperative agreement, NRECA and a subcontractor will assist the utilities to establish the corporation. In the second phase, the corporation, once established, will directly implement the subproject under a Grant Agreement to be negotiated between the corporation and AID, subject to the availability of funds and the continuing agreement of the parties to proceed.

III. PROJECT PURPOSE

To assist in developing a regional electric utility association to provide training and other common service needs of ten targeted Eastern Caribbean Electric Utilities.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A__ B_X C__

V. PROJECT STATUS

A. Planned EOPS

1. Common services organization operating
2. Common services corporation becomes financially self-sufficient.

Progress to Date

CARILEC incorporated on July 21, 1989. Executive Manager appointed in September, 1989

CARILEC formulated and is implementing a strategic plan for sufficiency.

B. Major Outputs

	<u>Planned</u>		<u>Next</u>		<u>Accomplished*</u>			
	<u>LCP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>	
Training of trainer trainers (Nos.)	13	-	13	-	-	13	100	
Utility Staff Skills training (courses)	31	2	31	5	29	163	525	
Common Services training (Nos.)	8	1	8	-	-	-	-	
Participating Utilities (Nos.)	7	-	7	-	2	14	200	
Technical Assistance	1	1	1	-	-	2	200	
Common Services (Areas)	3	1	3	-	1	2	67	
Corporation is self supporting	100%	10%	60%	10%	12%	50%	50%	
Training persons					M	F	M	F
					328	77	1935	531

*Figures reflect extension of project from 5 to 7 years

C. Other Accomplishments and Overall Status

When Carilec was launched in 1989 the plan allowed for a membership of 7. Due to CARILEC's excellent performance and its success in marketing itself it now has 15 members from the english and french speaking Caribbean. In addition Electricite de France (electricity utility of France) has joined CARILEC and CARILEC has linked up with the National Rural Electric Cooperative Association of the US.

CARILEC's training program which its members wanted from the start has been a resounding success and has been widely acclaimed for raising the standards of service in the utilities. Over a short period of 4 years CARILEC managed to initiate a program acceptable to its members and carried out 163 training courses that trained over 2400 persons. This intensive training program has resulted in over 14,500 days of training. To date the program has covered the following areas: management, administration, engineering, technical and craft.

Having achieved its objective in training CARILEC has added to its business portfolio a joint services program and hired a joint services coordinator in April of this year to assist it to plan and implement the program. Nineteen activities have already been either completed or are ongoing. The Joint Services Catalogue, the Hurricane Action Plan, the Materials Procurement Plan and Joint Insurance are some of the important activities of this program. Due to CARILEC's excellent coordination work in restoring electricity in the Bahamas after Hurricane Andrew, its members have requested that the Hurricane Action Plan be upgraded and revised with additional duties.

CARILEC's sustainability came under review in 1991 and an evaluation was carried out. The evaluation recommended that CARILEC begin contributing to the project instead of continuing with its then existing practice of banking its funds for the day when USAID funding ends and CARILEC begins to fund itself. This and other recommendations made by the evaluators were adopted by CARILEC and in 1992 CARILEC contributed 25% of expenditure. From November of this year CARILEC will contribute 50% of all expenditure. The consensus is that CARILEC has taken all the necessary steps to ensure sustainability.

CARILEC's membership increased by 2 to 14 member utilities. The training programs have continued to be a success. CARILEC's unsubsidized commercial training program has to date completed 6 courses.

C. Other Accomplishments and Overall Status (cont'd)

During the reporting period 29 training courses were held which were attended by 405 persons resulting in 2477 person days of training

During the past year CARILEC has been reviewing and expanding its operations. A joint services coordinator was hired and to date 19 service activities have been proposed. The utilities have bought into 6 activities and the rest are still in the negotiation stage.

Interest in CARILEC continues to grow and in the past year 2 more utilities joined CARILEC thereby increasing its membership to 14. CARILEC now has a presence in all the English speaking Eastern Caribbean countries except one, the French speaking Eastern Caribbean islands and the US Virgin Islands. CARILEC is now studying the possibility of expanding its membership to the Dutch and Spanish speaking Caribbean.

As a result of CARILEC's success in coordinating the restoration of light and power in the Bahamas after the devastation of Hurricane Andrew, its members have requested CARILEC to upgrade its Hurricane Preparedness and Assistance Plan. This is now being done.

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Six Months

- Continue training program.
- Continue joint services program.
- Monitor CARILEC's progress toward sustainability.

PROJECT STATUS REPORT
April 1, 1993 to September 30, 1993 A ___ B X C ___

I. BACKGROUND DATA

Project Title: Agricultural Venture Trust
 Project Number: 538-0140.01
 Date of Authorization: original 07/26/86
 Date of Obligation: original 09/29/86
 PACD: original 07/15/93
 Implementing Agencies: Agricultural Venture Trust
 Major Contractors: None
 AID Project Managers: Robert J. Wilson
 Status of CPs/Covenants: Met
 Date of Last Evaluation: 08/91 Final Evaluation: 06/93
 Date of Last Audit: 02/90 Final Audit: On-going, 07/93
 Planned # of Non-Federal Audits: 2
 Audits Contracted for/Completed: 1

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 15,291,000 amended to \$22,727,00
Amount Obligated:	DA Grant: original	\$ 6,637,000 amended to \$18,707,14
Amount Committed:	Period:	\$ 413,358
	Cumulative:	\$ 18,647,242
Accrued Expenditures:	Period - Projected:	\$ 500,000
	Period - Actual:	\$ 1,107,262
	Cumulative:	\$ 18,552,688
	Period - Next	\$ -0-
Counterpart		
Contribution:	Planned:	-0-
	Actual	-0-
% LOP Elapsed:		100%
% of Total Auth. Oblig.		82%
% of Total Oblig. Exp.		99%
% of Total Auth. Exp.		52.1%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

This Project contributes to the financing and operations of new, non-traditional agribusiness enterprises oriented towards export markets.

B. Percentage of LOP funds relating to SO:

SO#1 - 100%

III. PROJECT PURPOSE

Contribute to foreign exchange earnings by investing equity capital in the regional agribusiness sector, focusing on export of non-traditional agricultural products and competitive import substitution, and to demonstrate attractive returns on capital at acceptable levels of risk.

IV. PROJECT DESCRIPTION

Using equity, a non-traditional form of agribusiness financing, the AVT contributes to agricultural diversification in the Eastern Caribbean. Major project components of this institutional development project include Equity Investments and Administration.

V. PROJECT STATUS

A. Planned EOPS

1. Commercially successful, AVT-financed agri-business firms, growers associations, etc., exporting from and within the region, creating and saving foreign exchange.

Progress to Date

The AVT has financed a total of 31 enterprises. Of these, six are not operational, several are in initial implementation stages, and several others are at the stage of repurchasing AVT shares.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1992

V. PROJECT STATUS Cont'd)

A. Planned EDPS

2. Bank lending to agricultural enterprises.

Progress to Date

AVT share holdings are limited to 49 percent of total outstanding shares, and bank lending usually will not exceed 150 percent of owner equity. On the basis of these formulas, the AVT has leveraged up to 150 percent of \$8.5 million in owner equity, or \$12.8M.

Project was completed July 15, 1993.

B. Major Outputs

	<u>Planned</u>			<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Investments	35	9	28	0	8	31	96%
2. Investments	8.3M	1.1	8.3	8	1.8	8.2	96%

C. Other Accomplishments and Overall Status (Cont'd)

The AVT invested in a variety of agricultural enterprises - e.g. producers of aloe, anthuriums and vegetables; processors of fruit drinks, preserves, meat products; and marketers of agricultural produce. It refined its project selection and financing techniques considerably through the life of project, and improved its cash flow and financial projections as an institution.

The AVT leveraged a considerable amount of loan and equity capital. Since its share ownership limit was 49 percent, owner and other equity matched the \$8.2 million invested by the AVT. Bank lending continued to be an important source of funds of AVT enterprises. The AVT cofinanced one project with the Commonwealth Development Corporation, a donor financed equity capital fund, and is pursuing joint financing packages with other equity and development fund institutions.

Emphasis during the reporting period therefore was placed on 1) eliminating non-performing investments; 2) reallocating AVT equity in higher potential projects; and 3) completing the financial close out; end of project report and evaluation; and audit.

With respect to 1 and 2 above, the AVT disbursed approximately \$1.167 million in the last quarter of its project life (more than 9% of total obligations). The final evaluation was completed and offers an overview of the AVT position in general; the status of each investment; overall project impacts; and recommendations for the future.

The evaluation recommends several structural and procedural changes to the AVT. Among them are: permitting the Trust to make loans as well as equity investments to broaden its financing alternatives and improve its cash flow - too much of its capital locked up into longer term equity holdings limits its current revenue opportunities; requiring more detailed project cost estimating; and streamlining project default procedures and management.

The recommendations are designed to rectify AVT's over-exposure to weak or declining enterprises, diversify its investment tools, and make it more quick to react to changing situations.

C. Other Accomplishments and Overall Status

The Agricultural Venture Trust Project was initiated in 1986 with the objective of demonstrating that there is substantial profit potential in equity investments in non-traditional agricultural enterprises based in the Eastern Caribbean. The project responded to the need of the enterprises to reduce their heavy reliance on loan financing from banks, but required them to institute more reliable administrative systems, develop investment and business plans, and establish more open management structures in order to increase investor knowledge and confidence in the enterprises' investment potential. The AVT also was one of the first regionally based institutions to focus on the equity capital, and represents an important step in the development of financial markets by formalizing trade in shares of enterprises that might not be capable at this point of going public with share offerings.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1992

C. Other Accomplishments and Overall Status (Cont'd)

While project investments were not expected to demonstrate their stability and success until five to seven years of operations, the A.I.D. project leaves the AVT well capitalized with a lean administrative structure, the capability to oversee and monitor its investments in the region, and the ability to equate costs with revenues, atleast for the medium term future. The longer term viability of the AVT as an institution ultimately will depend on the success of the investments and the ability of the AVT to attract new capital as an attractive investment in its own right.

D. Problems and Delays

None.

E. Major Activities or Corrective Actions During the Next Six Months

1. Complete A.I.D. official closeout report.
2. Finalize financial status.
3. Monitor AVT progress as independent entity..

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993 A ___ B ___ C X

V. PROJECT STATUS

<u>Planned EOPS for Phase II</u>	<u>Progress to date</u>
1. Continued delivery of high quality cost effective management training to top and middle managers	Seven seminars/workshops/symposia conducted with over 100 participants.
2. A fully institutionalised and financially self-sufficient Center for Management Development (CMD) on the Cave Hill campus of UWI	UWI constituted CMD as semi-autonomous institution by new Ordinance signed 07/92. Chair of CMD Board handed over to Private Sector 08/92.
3. Strengthened faculty, curriculum and teaching materials in the Department of Management Studies (DOMS).	One faculty member completed Masters level training. Two others identified for Masters level training.

B. Major Outputs for Phase II (continued)

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
7. CMD-sponsored research and consulting activities.	13				2	2	15%
8. DOMS faculty trained.	6	-	-	2	1	1	16
9. DOMS course manuals developed.	15				0	0	0
10. Textbooks published	6	1	1		1	1	17
11. CD-ROM acquired	1	-	-	1	0	0	0
12. PCS in operation.	20	10	10	10	0	0	0
13. Audio-visual equipment acquired.					0	0	0

B. Major Outputs for Phase II

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Training symposia/seminars delivered by CMD.	27		-	-	2	7	26%
2. Graduates of CMD symposia/seminars.	600	40	75	-	66	30.9	30%
3. Participants in Conferences/workshops.	400		-	-	27	129	32%
4. EMBA program designed	1		-	N/A	1	-	100%
5. EMBA graduates	20		-	0	0	0	100%
6. Other OECS training institutions upgraded.	10		-	0	0	0	0

C. Other Accomplishments and Overall Status

1. Mid-term evaluation conducted which suggested that the difficulties encountered under the project are management problems as opposed to design problems. It highlighted the management of internal relationships within and among the various components of the project which appear to have been responsible for the lack of progress in project implementation.

Major recommendations included:

- a. Authority relations between the CMD and its Board and the University need to be clarified and/or redefined.
- b. CMD should have more autonomy especially with respect to finances.
- c. The Board should take a more active decision and policy making role in the CMD.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A ___ B ___ C X

C. Other Accomplishments and Overall Status (continued)

- d. Greater rationalization of CMD's internal structure is needed.
 - e. The CMD should begin to develop its public seminar market.
2. Meeting held between principals at USAID, UWI and the Chairman of the CMD Board on July 16, to discuss the major recommendations of the Mid-Term Evaluation and to decide on corrective actions. Two decisions taken at this meeting.
- (1) UWI to appoint a Project Coordinator to oversee the two components of the project.
 - (2) The CMD Board to review the management structure of the Center with a view to responding to the recommendations of the evaluation. Proposal for the creation of two new positions at CMD - CMD Manager (replacing Executive Director) and Director of Programs. These positions to be filled either on an interim basis or permanently by August 1, 1993.
3. USAID decided that the appropriate staffing configuration for CMD would be best facilitated by the University and the Board taking full responsibility for the actions required. To this end, USAID proposed and the University concurred with a proposal to use revenues generated from the Pilot Project to support CMD operations, effective December 1. This shift represents an earlier phaseout of USAID funding for CMD operations than projected in the Project Paper, but will ensure the CMD Board takes full responsibility for the staffing, operations and sustainability of CMD.
4. Cohort II of EMBA program started in June. Thirty-four students from thirty-three companies enrolled in this cohort.

C. Other Accomplishments and Overall Status (continued)

5. DOMS faculty strengthening - first individual nominated for training under this component completed MBA in Finance.

D. Problems and Delays

1. Overall project management by the University continues to be weak. The DOMS Component continues to receive inadequate attention with only one person benefitting from training under the upgrading of DOM staff activity.

Project Coordinator appointed with responsibility for oversight of components. It is expected that over the next six months activity under the DOMS Component will increase significantly.

2. CMD concentrates primarily on the EMBA program neglecting other income generating activities such as seminars and symposia. Mid-Ter Evaluation pointed to the need to start these activities as a matter of urgency. It is believed that now that the Board is more active in policy and program direction that this issue will be addressed.

E. Major Activities or Corrective Actions During the Next Six Months

- 1. Letter to UWI officially informing them of December 1, 1993, deadline for re funding of CMD operations.
- 2. Approve UWI request for use of Reserve Fund and participant fees generated under the Pilot project.
- 3. Approve workplan for DOMS component of the project.
- 4. DOMS Faculty upgrading - 2 persons scheduled to leave for the U.S. to pursue Masters level training.
- 5. Procurement of CD-ROM and PCs for DOMS.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A ___ B X C ___

I. BACKGROUND DATA

Project Title: West Indies Tropical Produce Support Project (TROPRO)

Project Number: 538-0163

Date of Authorization: original 09/29/89 amended 06/02/93

Date of Obligation: original 09/29/89 amended 06/30/93

PACD: original 09/30/94 amended 03/31/96

Implementing Agencies: Organisation of Eastern Caribbean States (OECS), and Caribbean Agricultural Research and Development Institute (CARDI)

Major Contractors: Chemonics Consulting International

AID Project Managers: Richard Owens

Status of CPs/Covenants: Initial CPs met; others still outstanding

Date of Last Evaluation: 03/03/92 Next Evaluation: 03/96

Date of Last Audit: None Next Audit: 11/93

Planned # of Non-Federal Audits: 3

Audits Contracted for/Completed: None

Recipient Contractor: (US): Audit to be requested IG/A/FA

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$6,200,000 amended to \$8,300,000
Amount Obligated:	DA Grant: original	\$1,175,000 amended to \$8,300,000
Amount Committed:	Period:	\$1,780,332
	Cumulative:	\$6,748,222
Accrued Expenditures:	Period - Projected:	\$ 600,000
	Period - Actual:	\$ 962,173
	Cumulative:	\$4,889,451
	Period - Next	1,100,000
Counterpart Contribution:	Planned:	Regional Project In-kind
	Actual	Satisfactory
% LOP Elapsed:		61.5%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		59%
% of Total Auth. Exp.		59%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1/2)

This Project supports strategic objective 1. Program output 1.1 "Improved policy framework implemented" and Program output 1.3 "Competitive products and markets developed". Non-traditional crops such as ginger, pineapple and new varieties of mangoes are being supported through improved technology, and are being marketed profitably in direct competition with Central American and other world producers in European markets. The Project supports strategic objective 2, "Improved natural resource management", through the introduction of environmentally sound practices to farmers. This involves removal of crops from areas in which they are likely to cause environmental degradation and replacing them with more suitable ones. Farmers are encouraged to adopt cultivation practices which are less environmentally destructive.

B. Percentage of LOP funds relating to SO: SO#1 - 80%; SO#2 - 20%

III. PROJECT PURPOSE

To increase the regional and local capacity to market non-traditional agricultural products, including post-harvest handling, transport and quality control of exportable production from OECS member states.

IV. PROJECT DESCRIPTION

The Project is composed of four components, or modules, from the farm level through the marketing chain. These are: Production support, Post Harvest Handling, Transportation and Market Information System. These will provide supporting infrastructure, technical assistance, and hands-on training directed towards the alleviation of the principal constraints to marketing non-traditional agricultural products. The four modules of the Project are vertically integrated into what is called the Joint Regional Marketing Program, to assure a flow of produce from the farm to the ultimate consumer. There will also be institutional support to regional organizations such as CARDI and the OECS.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

IV. PROJECT STATUS

A. Planned EOPS

1. Strengthened private sector capacity in production, post-harvest handling, transport, marketing of non-traditional agricultural export products.
2. Establishment of a reliable intra-island air cargo service.
3. Development of an improved system of agricultural storage facilities at produce shipment points
4. Strengthen private marketing organizations in the region.
5. Conduct policy dialogue with OECS Governments to reduce tariff and non-tariff barriers which inhibit regional and extra-regional trade.

Progress to Date

Improved production, techniques post-harvest developed for several crops which are being sold competitively on international markets.

Weekly scheduled service intra and extra-regionally negotiated with an American air cargo company.

Cool storage equipment has been identified for several OECS air terminals. Procurement to commence shortly.

Successful in getting several private marketing organizations to participate in a joint marketing program.

Study conducted on air transport policy and recommendations presently being reviewed by OECS governments.

B. Major Outputs

	<u>Planned</u>			<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>		<u>Period</u>	<u>Cum.% of LOP</u>
				<u>Period</u>	<u>Period</u>		
1. \$26,000,000 of non-traditional agri. products including increase in irrigated vegetable produc.			26m	1992 data not yet available			
2. Infrastructure 6 pre-cooling units				Procurement to commence in FY 94			
3. Freight service improved.	100	10	30	10	10	30	30

B. Major Outputs (continued)

	<u>Planned</u>				<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>		<u>Period</u>	<u>Cum.% of LOP</u>	
				<u>Period</u>	<u>Period</u>			
4. Studies on economic env. for Agr.	4	1	3	1	0	2	50	
5. Training (Persons)	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>
Long-term			None	Planned				
Short-term	700	300	50	25	100	80	50	15
					50	10	572	145
							82	48

C. Other Accomplishments and Overall Status

A Project Authorization amendment was approved by the Mission during the period. This increased the LOP funding to \$8.3 million and extended the PACD to March 31, 1996. This amendment will also streamline the Project management, strengthen the OECS/Agricultural Diversification Coordinating Unit, formally integrate the Joint Regional Marketing Program (JRMP) and provide for additional technical assistance. The Israeli technical assistance, through the Cooperative Development Program with the Government of Israel, has also been extended.

The pipeline is approximately \$3.4 million. Projected expenditure for FY 94, FY 95 and FY 96 is approximately \$2.5, \$2.2 and \$1.1 million, respectively. If the Project is to continue at its present rate of activity it will need additional funds early in FY 95. In the FY 94 Action Plan, Mission submitted a new project description to increase the authorized level to \$10.8 million. In the Action Plan review, AID/W delegated the authority for approval to the field (State 207575 dated July 9, 1993). The increased funding will be used to continue the activities initiated under the original Project. The principal changes will allow restructuring of the vertically integrated production/marketing system making it more market-driven, facilitating market-directed research and a private sector operated marketing information system.

With the assistance of the Project OECS member countries are developing a market-led production strategy to penetrate newer markets in Europe, the United States and Canada. Exports to the French and Dutch Antilles have been increasing steadily since 1989. Total export of non-traditional crops from the OECS in 1991 is estimated to value \$15

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

C. Other Accomplishments and Overall Status (Continued)

million compared to \$13 million in 1989. The export of pineapple and ginger has increased significantly over the period. This directly supports the mission's Strategic Objective of increased and diversified trade by increasing exports and opening up new markets.

In the revised Project, emphasis has shifted from direct assistance to the Caribbean Agricultural Trading Company (CATCO) in favor of a much broader effort to assist private exporters. A number of private exporters are participating in the Joint Regional Marketing Program. This allows growers and producers to access marketing information and obtain assistance in solving production marketing problems. Participants must, however, adhere to the minimum quality standards set by the Project.

The progress of CATCO towards financial viability has much slower than has been expected. Through the Project, Mission has provided institutional support in the form of staff positions, computer equipment, software, training and technical assistance. The company has had tremendous management problems which has affected its ability to respond to the various stimuli. A privatization study of CATCO conducted by the Project revealed that an outstanding USAID loan of approximately \$1.25 million is the main deterrent to the attraction of private investment. RDO/C has facilitated meetings between CATCO and the Inter-American Development Bank to allow CATCO to pursue other sources of funding.

The availability of space of air and sea freight has increased through the efforts of the Project. Negotiations with Geest Shipping Line resulted in the leasing of fourteen twenty-foot containers for the freight of non-traditional crops to the United Kingdom. Through another shipping company, Windward Line, a weekly service linking

C. Other Accomplishments and Overall Status (Continued)

St. Vincent, Barbados, Grenada, St. Lucia and Trinidad was established. Project technical staff guided the OECS in negotiations with an American carrier to provide weekly air cargo service linking St. Lucia, Dominica, Antigua and St. Kitts with Miami.

The Project has been working closely with the "hucksters" associations particularly in Dominica. The hucksters are women who are involved in marketing of fresh produce on the local and regional market. They participate in all the activities of the project and benefit particularly from training in grading and packaging of produce.

A donor committee to coordinate and monitor agricultural diversification projects and activities in the OECS was recently established. RDO/C is playing a leading role. The next meeting of committee will take place in Dominica during the first quarter of 1995 at which time the activities of the TROPRO Project will be featured.

D. Problems and Delays

None

E. Major Activities of Corrective Actions Next Six Months

1. Project Authorization Amendment to increase LOP funding.
2. Approval of annual workplan and budget.
3. Annual non-federal audit.
4. Movement of Project Accounting Center from St. Lucia to Dominica.
5. Environmental assessment for production activities.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A X B C

I. BACKGROUND DATA

Project Title: Agricultural Research and Extension Project
 Project Number: 538-0164
 Date of Authorization: original 03/28/89 amended 03/31/91
 Date of Obligation: original 03/31/89 amended 08/12/91
 PACD: original 01/31/94 amended 03/31/89 to 03/31/94
 Implementing Agencies: Caribbean Agricultural Research and Development Institute (CARDI) and Department of Agricultural Extension, University of the West Indies (UWI)
 Major Contractors: None
 AID Project Managers: Richard Owens
 Status of CPs/Covenants: CPs to first disbursement all met
 Covenants satisfied as appropriate
 CPs to construction in Antigua & St. Lucia met
 Date of Last Evaluation: 09/91 Next Evaluation: 01/94
 Date of Last Audit: None Next Audit: 01/94
 Planned # of Non-Federal Audits: 3
 Audits Contracted for/Completed: None
 Recipient contracted audit in progress.

FINANCIAL DATA

Amount Authorized: DA Grant: original \$5,000,000
 Amount Obligated: DA Grant: original \$1,300,000 amended to \$5,000,000
 Amount Committed: Period: \$ 604,016
 Cumulative: \$4,766,978
 Accrued Expenditures: Period - Projected: \$ 400,000
 Period - Actual: \$ 409,721
 Cumulative: \$3,849,256
 Period - Next 1,000,000
 Counterpart
 Contribution: Planned: Regional Project-In-kind
 Actual Satisfactory
 % LOP Elapsed: 90%
 % of Total Auth. Oblig. 100%
 % of Total Oblig. Exp. 77%
 % of Total Auth. Exp. 77%

100

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1/2)

This Project is closely linked to Mission strategic objective "Increased and Diversified Trade" supporting particularly program output 1.3: "Competitive products and markets developed". The Project provides improved production technology to increase the cooperative advantage or competitiveness of crops which have been identified as having good market potential. The Project supports strategic objective 2, "Improved natural resource management", through the development of cultivation practices that are less environmentally destructive while ensuring acceptable economic returns.

B. Percentage of LOP funds relating to SO: SO#1 - 60%; SO#2 - 40%

III. PROJECT PURPOSE

The purpose of the Project is to strengthen the institutional capability of national extension services and regional research and extension institutions to generate, adapt and disseminate continuing streams of improved agricultural technologies for the benefit of farmers of the region.

IV. PROJECT DESCRIPTION

The Project consists of three components: technology adaptation; extension services, and research/extension linkages. The project continues to strengthen the capabilities of CARDI AND UWI to carry out activities started in earlier projects, i.e. to conduct effective farming systems research and to support and backstop the national extension systems. It also strengthens linkages between research and extension to facilitate two-way flow of information to more effectively develop and transfer information about improved technologies that are developed for adoption by farmers.

V. PROJECT STATUS

A. Planned EOPS

1. Improved technology adopted by 10% of farm population

Progress to Date

Adoption rates improved by the on-farm testing and validation of interventions. Adoption studies in progress.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (Continued)

A. Planned EOPS

- | | <u>Progress to Date</u> |
|---|---|
| 2. UWI is coordinating with CARDI to improve the capabilities of agricultural extension agents in the region. | Project staff working closely with MOA personnel in the planning and implementation of on-farm validation trials. Regional workshops held to improve the technical knowledge of extension workers. |
| 3. CARDI, UWI and the Ministries of Agriculture have institutionalized a collaborative approach to the development and implementation of adaptive research and extension services in the Eastern Caribbean. | In many countries a standing coordinating committee has been established to determine research and extension priorities. This committee consists of representatives of CARDI, UWI, farmers, and extension agents. |

B. Major Outputs (continued)

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>			
b. Farmers utilizing Farm and Home Management Approach	150	40	120	10	20	160	106
c. Extension publications finalized and distributed.	50	10	36	15	10	31	62
d. UWI is funding a Leewards Extension Specialist	1	0	1	0	0	1	100

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B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>	
				<u>Period</u>				
1. Technology Adaptation								
a. Improved technologies developed & transferred.	10	6	12	10	6	18	180	
b. Technology adaptation specialists are core funded by CARDI.	7	1	4	3	2	5	71	

2. Extension Services

a. Training (Persons)	<u>M</u>	<u>F</u>												
Long-term	14	2	1	1	14	2	2	0	2	1	12	2	86	100
Short-term	320	65	40	30	718	492	50	20	40	30	718	492	224	756

3. Research/Extension Linkages

a. Bi-annual Project Management Committee meetings	10	1	7	1	1	7	70
b. CARDI and UWI office in St. Lucia & Antigua	2	2	2	0	2	2	100
c. Joint project annual work plans prepared by CARDI and UWI	5	1	5	0	1	5	100

C. Other Accomplishments and Overall Status (continued)

CARDI prepared an annual work plan and close out plan for the remainder of the Project. The close out plan has addressed the redeployment of staff and the sustainability of Project activities after the PACD. Of the 26 positions financed by USAID, 20 regarded as the key positions, will be maintained after the PACD. Five of seven technical specialists funded by the Project have already been taken over by CARDI. A.I.D.,

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

C. Other Accomplishments and Overall Status

through LAC TECH, assisted CARDI to examine the possibilities of privatizing some of their research and extension activities. This has been taken into account in the latest CARDI Strategic Plan for the years 1993-2000. At this time, RDO/C is fairly confident of the sustainability of Project activities after the PACD. Mission is also preparing its own close-out activities such as the final audit and evaluation.

The conditions precedent for disbursement for renovation of the field station in St. Lucia have been met. Although the CPs for renovation work in Antigua were previously met, work had not yet begun. Renovation work on the field stations in both countries will commence shortly and are scheduled for completion by February 1994. The delay in the construction was due largely to satisfying the condition precedent to disbursement relating to ownership of the land. In the case of St. Lucia, although CARDI had the Government's permission to use the land, a formal lease agreement was not in place. There was also difficulty in drawing up a contract that met all of the Agency's mandatory requirements. The Government of St. Vincent has allocated 920 sq.ft. to CARDI for office and laboratory space at a recently refurbished government facility. The renovation of the existing CARDI facilities was, therefore, no longer necessary. CARDI proposed that the funds be reprogrammed to provide laboratory and field equipment and audio-visual equipment to support the complex. This was approved in the Project Year 5 Workplan and Budget.

Some of the research and development work conducted by CARDI has contributed directly to increasing the exports of non-traditional crops. In collaboration with the TROPRO (538-0163) Project a production system was developed for pineapple in St. Lucia and for papaya in Grenada. Through the work of the Project, improvements in the quality of ginger and eddoe exports have been realized. In developing these production systems CARDI has been cognizant of negative environmental effects. For example, the eddoe production system has reduced the use of toxic chemicals.

C. Other Accomplishments and Overall Status (continued)

CARDI is working with UWI to prepare technical information bulletins for distribution to farmers. This is also being closely coordinated with the field extension staff in the Ministries of Agriculture in the OECS. The research/extension system has been considerably strengthened through the efforts of the Project. This research/extension team is concentrating its efforts on transferring the technology to farmers. Adoption studies are also being conducted. At the last Project Management Committees Meeting, CARDI asked A.I.D. to consider a no-funds extension for the Project to the end of FY94 to complete some work on the technology transfer.

D. Problems and Delays

None

E. Major Activities of Corrective Actions Next Six Months

1. Final Audit and evaluation.
2. Preparation and dissemination of technical information bulletins.
3. Mission to consider granting a no-funds extension of the PACD.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A ___ B X C ___

I. BACKGROUND DATA

Project Title: Caribbean Law Institute
 Project Number: 538-0165
 Date of Authorization: original 08/01/88
 Date of Obligation: original 08/16/88
 PACD: original 08/31/89 amended to 05/31/95
 Implementing Agency: Florida State University (FSU)
 University of the West Indies (UWI)
 Major Contractors: None
 AID Project Advisor: Dennis Darby
 Date of Last Evaluation: 06/12/91 Next Evaluation: January 1995
 Date of Last Audit: No previous audits
 Planned # of Non-Federal Audits: Audit responsibility in hands of AID/W
 Audits contracted for/completed: Not yet commissioned

FINANCIAL DATA

Amount Authorized:	DA/ESF Grant: original	\$3,000,000	amended to	\$5,971,000
Amount Obligated:	DA/ESF Grant: original	\$1,500,000	amended to	\$5,971,000
Amount Committed:	Period:			\$(264,653)
	Cumulative:			\$5,666,026
Accrued Expenditures:	Period - Projected:			\$ 350,000
	Period - Actual:			\$ 659,216
	Cumulative:			\$3,849,082
	Period - Next			\$ 700,000
Counterpart				
Contribution:	Planned:			None
	Actual			None
% LOP Elapsed:				76%
% of Total Auth. Oblig.				100%
% of Total Oblig. Exp.				64%
% of Total Auth. Exp.				64%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO# 1

CLI will support improvements to the trade-related legal and regulatory environment by developing model, modern legislation for the OECS and other Commonwealth Caribbean States in subjects including companies, bankruptcy, banking, insurance, patents and trademarks, securities and anti-dumping.

B. Percentage of LOP funds relating to SO. 100%

III. PROJECT PURPOSE

To provide a framework within which the Caribbean Law Institute will provide the necessary expertise to assist the harmonization and reform of various financial, commercial and other laws of Commonwealth Caribbean countries.

IV. PROJECT DESCRIPTION

The Caribbean Law Institute Project is a regional initiative designed to provide assistance to the English-speaking Commonwealth Caribbean countries in specific areas of need such as law harmonization, law revision and law reform. The project also provides for the delivery of specialized technical assistance and training services to beneficiary

IV. PROJECT DESCRIPTION (continued)

countries to assist their law revision efforts. By the end of the project current expectations are that CLI will have produced model legislation in various areas affecting commerce and finance and that some of these revised laws will have been enacted into law by a number of states in the region.

V. PROJECT STATUS

A. Planned EOPS

1. Training conferences on maritime Law and company law completed.

2. Commercial Law Survey draft completed.

3. Draft bill on Arbitration completed.

4. CLI will have reviewed, and prepared draft legislation for the multilateral treaties on which OECS have prepared reports.

Progress to Date

Maritime Law Conf. completed
 12 Comp. Law Conf. completed

Draft completed. Survey is being updated on a continuing basis.

Draft and final Bills on Domestic and International Arbitration completed.

Review completed. Draft legislation not needed for implementation of Treaties.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (continued)

A. Planned EOPS

5. CLI will have prepared a 5-year project plan.

6. A new project on Patents, Copyrights, and Trademarks will be initiated.

7. The Development of Anti-Dumping legislation.

Progress to Date

Project Plan prepared.

Discussion with regional Governments are being initiated.

Discussion with the Government of Trinidad & Tobago are scheduled.

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>				
	<u>Period</u>		<u>Next</u>		<u>Period</u>		<u>% of LOP</u>		
	<u>LOP</u>	<u>M F</u>	<u>M/F</u>	<u>M F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	
1. Training (Persons)	*				*				
Short-term	107	0 0	107	0 0	30	6	85	30	109%
2. Commercial Law Changes (Countries)	17	- -0-	-0-	-0-	0	0	0	0	

*Project documents did not disaggregate training by gender

C. Other Accomplishments and Overall Status

- RDO/C approved a draft advertisement for the purpose of advertising for the services of an Executive Director based in the Caribbean.
- The Project Completion Date was extended to May 31, 1995 to facilitate the completion of ongoing and proposed new project activities.
- The Attorney General of Guyana was made a Fellow of the CLI and the second CLI Workshop on Insolvency (Bankruptcy) was held in that country.
- Training awards were conferred on the Deputy Solicitor General of Guyana and the Senior Parliamentary Draftsman of Dominica will enabled them to attend a CLI sponsored Insolvency seminar to be held in Tallahassee between October 21-24, 1993.

C. Other Accomplishments and Overall Status (continued.)

5. A Draft Model Insurance Bill, a Model Motor Vehicle Bill, a Model Banking Bill and a Model Bill on Consumer Protection were presented to the CLI Fellows for review in September. After perusal by the Fellows the draft Bills will go to the various Caribbean Governments for perusal and consideration for enactment into law.

6. The Governments of Trinidad and Tobago, Antigua and Barbuda and St. Vincent and the Grenadines have taken the lead in promoting enactment of the CLI Companies Bill by giving the Bill its first reading in their respective Parliaments.

D. Problems and Delays

No problems or delays encountered in reporting period.

E. Major Activities or Corrective Actions During the Next Six Months

- CLI will start a new project in the area of Patents, Copyright and Trademarks.
- CLI will embark on another phase of its reform and harmonization effort by organizing visits to the beneficiary governments by a group comprising CLI executive staff, the OECS Legal Adviser and the CARICOM Secretariat Legal Adviser. These visits are to be undertaken with a view to urging beneficiary Governments to enact CLI drafted model legislation.
- The advertisement for the post of Executive Director of CLI will be placed in the regional news media and the post will be filled.
- Discussions will take place with the Attorney General of Trinidad and Tobago on Anti-Dumping Legislation.
- The composition of the Advisory Committee on Patents, Copyrights and Trademarks will be finally ascertained. CLI will convene the first meeting of this Advisory Committee formed to give assistance in this specialized area.
- Arrangements will be made with the United Nations Environmental Program on a meeting of legal experts to formulate a program of activities for the Caribbean.
- CLI will be reminded of the need to continue the development of alternative sources of funding for this Project in anticipation of the May 31, 1995, PACD.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A x B _ C _

I. BACKGROUND DATA

Project Title: Caribbean Leadership and Development Training
 Project Number: 538-0173
 Date of Authorization: original 06/29/90
 Date of Obligation: original 06/30/90
 PACD: original 09/30/98
 Implementing Agencies: University of the West Indies
 Major Contractor: Partners for Int'l Education and Training
 AID Project Manager: Sylvia A. Samuels
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: 00/00/00 Next Evaluation: 07/94
 Date of Last Audit: None
 Planned # of Non-Federal Audits: 4
 Audits Contracted for/Completed: Recipient Contracted Audit in progress

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$11,000,000
Amount Obligated:	DA Grant: original	\$ 7,423,724
Amount Committed:	Period:	\$ 73,309
	Cumulative:	\$ 5,354,580
Accrued Expenditures:	Period - Projected:	\$ 230,000
	Period - Actual:	\$ 1,021,854
	Cumulative:	\$ 2,769,411
	Period - Next	\$
Counterpart		
Contribution:	Planned:	Regional Project - In Kind
	Actual	Satisfactory
% LOP Elapsed:		41%
% of Total Auth. Oblig.		67%
% of Total Oblig. Exp.		37%
% of Total Auth. Exp.		25%

II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#s 1 & 2)

This project provides training to enhance the skills of public and private sector managers. This will directly support Output no. 4 of SO no. 1 and Outputs 3 and 4 of SO no. 2.

B. Percentage of LOP Funds Relating to SOs:

SO #1: 40%; SO #2: 40%

III PROJECT PURPOSE

This project has two components, the Latin American and Caribbean Scholarship II (CLASP II) and Development training (DT). The purpose of the CLASP II component is to equip a broad base of leaders and potential leaders in OECS countries with technical skills, training and academic education, and an appreciation and understanding of the workings of a free

III PROJECT PURPOSE (CONT'D)

enterprise economy in a democratic society. The purpose of the DT component is to improve the productivity and efficiency of the work force in the participating private and public organizations.

IV PROJECT DESCRIPTION

The CLASP II component of CLDT seeks to direct scholarship opportunities to those with demonstrated leadership potential across a broad spectrum of academic and technical fields, with particular emphasis on those from socially/economically disadvantaged groups. Areas of training will be those identified in the Social/Institutional Framework. Training under the DT component will focus on the priority areas identified in the RDSS and will comprise the following activities: teacher training, staff development training for selected training institutions, public sector training, training for personnel of non-governmental organization and community groups, population and family planning-related training, health training, managerial and technical training in other selected areas.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

V PROJECT STATUS

A. Planned EOPS

1. Returned scholars employed in their areas of training and are applying skills learned in the U.S.
2. Scholars have benefited from the programs in terms of either finding a job or having increased responsibility or salary in an existing one.
3. A core group of trained professional managers and technicians applying acquired skills in priority fields.

Progress to Date

- 824 individuals have received training under this Project and are all in jobs applying the skills acquired.
- Will be assessed during the planned evaluation
- Will be assessed during the planned evaluation

B. Major Outputs

	<u>Planned</u>								<u>Accomplished</u>					
					<u>Next</u>				<u>Period</u>		<u>Cum.</u>		<u>% of LOP</u>	
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>
ms	M	F	M	F	M	F	M	F	M	F	M	F	M	F
<u>LI</u>														
term	30	20	7	5	7	5	8	6	15	11	15	11	50	150
<u>Component</u>														
<u>al Training</u>														
term	60	40	15	10	55	30	0	0	14	12	39	49	65	123
term	240	160	60	40	126	81	30	20	33	25	186	151	43	94
country	180	120	30	15	65	45	60	20	42	138	107	301	59	251
<u>Training</u>														
term	4	0	0	0	2	0	0	0	2	0	2	0	50	0
term	80	55	1	2	11	6	4	2	0	2	32	12	40	22

C. Other Accomplishments and Overall Status

DT Component: A Grant was executed between the University of the West Indies (UWI) and USAID to implement this component of the CLDT Project. The Grant Agreement was signed on June 30, 1990. However, delays in meeting the Conditions Precedent and appointing project staff resulted in a one-year delay in project start-up. The UWI appointed project staff assumed their positions in September 1991 and project activities were immediately implemented.

USAID and UWI Project staff travelled to all of the participating islands to introduce the project and to develop the first year's workplan. Programs were then designed within the parameters of the project to meet the needs of the countries. The first activity under the project was a regional workshop on AIDS Education and Counselling. This was quickly followed by other in-country and regional workshops in such areas as project management, management of credit unions, food processing technology, development strategies, development of trade information systems and agribusiness. The first batch of long-term trainees was placed in September 1992.

In FY 1992, the Mission developed two strategic objectives - the management of natural resources and increased and diversified trade. Working with the Grantee and the participating islands the Mission refocused the project to ensure that at least eighty percent of the training activities supported the two strategic objectives. This has been successful, as evidenced by the programs now being offered.

In FY 1993, the Mission amended the Project Authorization for this project to increase the LOP amount by \$1.0 million and to add Guyana as a beneficiary. To date, \$400,000 has been obligated for Guyana and the first batch of Guyanese will be placed during this fiscal year. The training programs are directed at short-term upgrading of technical skills of the Ministry of Finance personnel.

Initially, the Mission expected the participating islands to be somewhat resistant to the amount of in-country and regional training being offered under the project. However, to date, the response has been overwhelming. As can be seen from the outputs section, we have already surpassed the planned LOP outputs. This has been due to the fact that the training has proved less expensive than anticipated.

C. Other Accomplishments and Overall Status (Cont'd)

During the period in review the following regional workshops were held: (1) Financial Management for PVO's; (2) Pesticides Management: Policies and Practice; (3) Management; (4) Aquaculture Production Technologies; (5) Entrepreneurship Development; (6) Modern Approaches to Agribusiness Management; (7) Management of Training; (8) Development of Trade Information Systems - Phase II; (9) Women in Caribbean Agricultural Development - Gender Planning in Agriculture; (10) Small Business Management. In-country workshops were also conducted as follows: Managers' Training; Small Business Management; Educational Administration and Supervision; Testing, Orientation and Evaluation. Twenty-six long-term trainees were also placed during the period in one-year and two-year certificate and diploma courses at regional training institutions.

CLASP II Component: RDO/C has executed a \$987,850 buy-in into the central contract with PIET for the placement and monitoring of its trainees under this component of CLDT. Since no further obligations will be made to this component of the Project, the Grant Agreement will be amended to reflect an earlier PACD and decreased LOP amount.

Under this component, a specially tailored academic program for fifty secondary school teachers from Dominica was arranged. The fifty teachers will be sent to the U.S. in batches of twelve to fifteen to avoid disruption of the school system. Two batches have already been placed.

D. Problems and Delays

Some delays have been caused due to the suspension by USAID of advances to the Grantee. This action was necessary since the Grantee cancelled the Recipient Contracted Audit which had commenced in November 1992 and despite reminders from USAID, the audit has not recommenced.

While the pipeline appears to be high, it should be noted that there are 85 long-term trainees in school for whom expenditures need to be made on an annual basis.

E. Major Activities or Corrective Actions During the Next Six Months

1. Work with OUS to reschedule the training programs which were postponed.
2. Travel to regional institutions to visit all of the long-term trainees and discuss with them any problems being encountered.
3. Travel to participating islands to meet with the advisory committees in each island to discuss the progress of the project to date.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993 A B X C

I. BACKGROUND DATA

Project Title: Dominica Agricultural Sector Support
Project Number: 538-0176
Date of Authorization: original 08/12/91: amended 09/25/92
Date of Obligation: original 08/14/91: amended 09/28/92
PACD: original 09/28/94
Implementing Agencies: Ministry of Finance, Ministry of
 Agriculture
 Government of the Commonwealth of Dominica

Major Contractors: None
AID Project Managers Richard L. Owens
Status of CPs/Covenants 1st and 2nd tranche conditions met; 3rd
 partially met 4th unmet

Date of Last Evaluation: 00/00/00 Next Evaluation: 05/94
Date of Last Audit: 00/00/00 Next Audit: Schedule for 01/94
Planned # of Non-Federal Audits: 3
Audits Contracted for/Completed: 0

FINANCIAL DATA

Amount Authorized:	ESF Grant: original	\$1,000,000	ESF: amended \$2,000,000
Amount Obligated:	ESF Grant: original	\$1,000,000	ESF: amended \$2,000,000
Amount Committed:	Period:	\$ -0-	
	Cumulative:	\$1,000,000	
Accrued Expenditures:	Period - Projected:	\$ 500,000	
	Period - Actual:	\$ -0-	
	Cumulative:	\$1,000,000	
	Period - Next	\$1,000,000	
Counterpart	Planned:	Not required	
Contribution:	Actual	-0-	
% LOP Elapsed:		66%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		50%	
% of Total Auth. Exp.		50%	

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

This Program contributes to the objective of increased and diversified trade by supporting efforts to expand the base of non-traditional agricultural exports from Dominica.

B. Percentage of LOP funds relating to SO:

SO#1 - 100%

III. PROGRAM PURPOSE

To assist the country of Dominica to increase its capacity to broaden the export base of the economy through a private sector-led program of expanded and diversified agricultural production.

IV. PROGRAM DESCRIPTION

The original program was designed to promote the development and official approval of an agricultural diversification strategy which would reduce the relative dependence of the economy on banana exports. The amendment provides additional resources for the implementation of the diversification strategy.

V. PROGRAM STATUS

A. Planned EOPS

Progress to Date

- | | |
|---|-----------------|
| 1. An agricultural diversification strategy developed and officially approved by the government | 1. Accomplished |
| 2. Implementation of the strategy | 2. Initiated. |

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

V. PROGRAM STATUS (continued)

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Comprehensive agricultural diversification strategy.	1	0	1	0	0	1	100%
2. Joint public/private sector steering committees for diversification projects.	1	1	1	0	1	1	100
3. Decree allowing non-banana producers access to banana marketing infrastructure.	1	1	1	0	1	1	100
4. Decree allowing private sector access to GOCD packing facilities.	1	1	1	1	1	1	100
5. Distribution of private sector investment diagnostics.	1	1	1	1	0	0	0
6. Official review of investment diagnostics.	1	1	1	1	0	0	0
7. Evaluation of socio-economic impacts of the diversification program including gender disaggregated impacts.	1	0	0	1	0	0	0

C. Other Accomplishments and Overall Status

The conditions precedent to a third tranche disbursement of \$500,000 (evidence of private sector access to GOCD facilities involvement in project steering committees, and progress in disbursements of local currencies for diversification) were satisfied. This will be confirmed in a project implementation letter. To date, the GOCD has made a great deal of progress in promoting more diversified production for export (cocoa, mangoes, avocados, root crops, spices, cut flowers, etc.). It has already opened-up Government owned packing and processing facilities to the private sector. The linkage between diversification and trade is also apparent as much of the new packaging and processing equipment being used by the private sector has been imported from the U.S.

D. Problems and Delays

Due to the poor quality of the drafts and the need to revise/improve them, the IDB/CDB/AID investment sector diagnostic for Dominica was delayed in production and prevented formal GOCD review and approval. This will be accomplished during the next reporting period.

E. Major Activities or Corrective Actions During the Next Six Months

1. Disburse third tranche of grant - \$500,000 on basis of satisfaction of corresponding conditions precedent.
2. Initiate audit and evaluation plans.
3. Monitor local currency programming, program implementation, and efforts to comply with fourth tranche conditions precedent.
4. Begin evaluating and monitoring the impact of this program on women and the rural poor.
5. Formalize coordination with EEC on diversification activity in Dominica. Donor meeting scheduled for January 25th in Dominica at which this topic will be addressed.

PROJECT STATUS REPORT
April 1, 1993 to September 30, 1993

A__ B__ X C__

I. BACKGROUND DATA

Project Title: Caribbean Policy Project (CPP)
 Project Number: 538-0184
 Date of Authorization: original 09/21/92
 Date of Obligation: original 09/21/92 amended 09/30/93
 PACD: original 12/31/97
 Implementing Agencies: Organization of Eastern Caribbean States
 Economic Affairs Secretariat
 Major Contractors: In Process of selecting 8 A firm
 AID Project Managers: Robert J. Wilson
 Status of CPs/Covenants: Met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 12/94
 Date of Last Audit: 00/00/00 Next Audit: Schedule for: 10/93
 Planned # of Non-Federal Audits: 5
 Audits Contracted for/Completed: 0

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$12,000,000
Amount Obligated:	DA Grant: original	\$ 2,000,000 amended to \$4,730,25
Amount Committed:	Period:	\$ 86,425
	Cumulative:	\$ 186,425
Accrued Expenditures:	Period - Projected:	\$ 300,000
	Period - Actual:	\$ 17,350
	Cumulative:	\$ 17,350
	Period - Next	\$ 150,000
Counterpart		
Contribution:	Planned:	-0-
	Actual	-0-
% LOP Elapsed:		20%
% of Total Auth. Oblig.		39.4%
% of Total Oblig. Exp.		12%
% of Total Auth. Exp.		0%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1/2)

This Project supports the Mission's efforts to support the analysis and implementation of policy options related to: liberalized and increased trade; competitive market climates; increased private sector access to capital; productive factors, inputs and infrastructure; rational fiscal administration; and sustainable natural resource use.

B. Percentage of LOP funds relating to SO:

SO#1 - 87.4%
 SO#2 - 12.6%

III. PROGRAM PURPOSE

The adoption of macroeconomic and sector policies that will lead to increased trade and private sector investment, and position the states of the Eastern Caribbean to sustain economic growth.

IV. PROJECT DESCRIPTION

The project addresses the need to plan economic policies and support interventions that cushion the region's small island economies from the anticipated reductions of preferential trading arrangements (the European Single Market, GATT Uruguay Round, NAFTA) and the negative economic impacts that are likely to result; enhance the competitiveness of regional goods and services sectors, in light of world wide trade liberalization and the adoption of export-led growth strategies by competitors; and ensure sustainable growth of trade and investment.

The Project provides technical, training, and operations assistance to address requirements for: a) accurate, timely and relevant statistics; b) policy analyses, and c) consensus building and implementation. It responds to demand driven requests from both regional and national public and private sector institutions.

PROJECT STATUS REPORT
April 1, 1993 to September 30, 1993

V. PROJECT STATUS

<u>A. Planned EOPS</u>	<u>Progress to Date</u>
1. Widely disseminated, trusted, and referenced data on trade and other economic parameters, marketing of non-traditional agricultural export products.	Contracting in process for country by country assessment of National Statistics Offices, data development needs and capabilities and a specific tourism Management Information System for St. Kitts.
2. Practical analyses of trade, private sector, and other developmental constraints.	A preliminary list of trade and investment analyses has been prepared.
3. Collaborative policy development between the public and private sector partners in the region leading to consensus on major policy items.	Several proposals for collaborative policy development; specifically Grenada privatization, and CARICOM Customs Harmonization have been presented.
4. The implementation of important policy measures to facilitate private led investment and trade.	No direct impacts from project.

B. Major Outputs (continued)

	<u>Planned</u>				<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>		<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>	<u>Period</u>			
5. Trainees in policy implementation process.	100	0	0	4	0	0	0	
6. Regional workshops on policy issues.	10	1	0	1	0	0	0	
7. National workshops seminars, meetings, and consensus building events	120	6	0	6	0	0	0	
8. Policy implementation grants to public and private sector organizations	40	8	0	3	0	0	0	

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>		<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>	<u>Period</u>			
1. Masterplan for statistics and database development for 6 OECS countries.	1	1	0	0	0	0	0	
2. National and regional surveys agri. products.	18	0	0	0	0	0	0	
3. Policy and Sector Constraint Publications.	24	2	0	6	0	0	0	
4. Trainees in information management.	36	2	0	6	0	0	0	

C. Other Accomplishments and Overall Status

The project experienced several implementation delays and problems which have constrained progress since it was initiated in September of 1992. First of all was the absence of a permanent and professional counterpart staff in the offices of the project implementation agency, the Economic Affairs Secretariat of the Organization of Eastern Caribbean States. The position of Director of the EAS was vacant until January, and the position of Chief of Trade and Economic Policy was not filled until August. In the interim period, the project suffered from the lack of leadership by temporary directors that could not translate the demand for assistance on emerging policy issues into feasible work plans. Moreover the temporary directors were opposed to plans to recruit contractor assistance for project implementation. Adding to the initial start up problems were A.I.D.'s own "rightsizing" efforts. Budget cuts required reconsideration of management and administrative arrangements and ways project resources could have a more direct and timely impact. The phase down of the RDO/C Mission forced project management to consider ways to

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

Other Accomplishments and Overall Status

reduce A.I.D. direct management responsibilities in favor of an arrangement whereby A.I.D. oversees a capable and reliable institution with management responsibilities.

During the reporting period, agreements were reached to eliminate a Regional Implementation Unit as an unnecessary and unsustainable administrative layer of the project and to distribute its responsibilities between the permanent EAS staff and A.I.D. contractors. After a long period of time with no direction, the technical policy role of the EAS became more professional and focused with the recruitment of a highly qualified and regarded regional economist for the position of Chief of Trade and Economic Policy. This economist went to work immediately to define a work plan that began to address trade and investment policy issues. The plan will focus initially on generating information on the economic impacts of current trade tariff (the Common External Tariff) and tax policies on producer behavior and the potential effects from changes in these policies. The work plan also envisions efforts to assist with a CARICOM-wide initiative to harmonize customs legislation and automate customs information. Several proposals for project assistance have come from national and regional bodies to support their own policy initiatives. The work plan is consistent with the region's own development strategies and is coordinated with other donor assistance in the policy analysis and implementation area.

The project now has a streamlined administration, an energized and qualified counterpart, a policy-based work plan, and an agreement to bolster the capacity of the EAS with a technical assistance contractor which will assume a greater degree of management responsibilities as RDO/C is phased down.

D. Problems and Delays

Among the problem facing the project were:

- The inability of the project grantee (EAS) to recruit capable staff for the Regional Implementation Unit of the project and weak capability in grantee support offices.
- The reluctance of the grantee to accept the idea of a technical assistance contractor for the project, i.e. that both the administration and technical scope of the project was beyond the capability of the grantee to lead and manage on its own.
- The disproportionate attention given to administrative details and arrangements rather than technical policy concerns, i.e. more attention was devoted to the search for and refurbishing plans for a project office than policy matters.
- The budgetary cutbacks facing A.I.D.

E. Major Activities or Corrective Actions During the Next Six Months

1. Completely install and make operational the technical assistance contractor and project administrative unit in Antigua.
2. Begin implementation of a trade and investment policy assessment agenda outlined by the policy analysis at the EAS with technical assistance provided by the TA contractor.
3. Complete assessments of data base development needs in all the OECS territories and begin the development of a tourism management information system for St. Kitts.
4. Complete a project paper and grant agreement amendment which formalize the elimination of the RIU as the administrative focus of the project.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A ___ B x C ___

FINANCIAL DATA

I. BACKGROUND DATA

Project Title: Grenada Technical Assistance (GTA)
 Project Number: 538-0198
 Date of Authorization: September 30, 1992
 Date of Obligation: September 30, 1992
 PACD: November 30, 1993
 Implementing Agencies: Government of Grenada
 Major Contractors: Price Waterhouse International Privatization Group
 AID Project Officer: Peter Medford
 Status of CPs/Covenants: Met
 Date of Last Evaluation: N/A Next Evaluation: N/A
 Planned # of Non-Federal audits: 1
 Audits contracted for/completed: None
 Date of Last Audit: N/A

Amount Authorized:	DA/ESF Grant: original	\$	500,000
Amount Obligated:	DA/ESF Grant: original	\$	500,000
Amount Committed	Period:	\$	375,406
	Cumulative:	\$	475,406
Accrued Expenditures:	Period - Projected:	\$	475,000
	Period Actual:	\$	411,547
	Cumulative:	\$	411,547
	Period - Next:	\$	66,000
Counterpart			
Contributions:	Planned:	\$	in-kind contributions
	Actual:	\$	in-kind contributions
% LOP Elapsed:			93%
% of Total Auth. Oblig.			100%
% of Total Oblig. Exp.			82%
% of Total Auth. Exp.			0%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO #1

Privatization supports increased and diversified trade through the implementation of an improved policy and regulatory environment. Accomplishment: The government of Grenada has demonstrated a resolute commitment to privatization and the first divestment under the current initiative is expected to be finalised by November 30, 1993.

B. Percentage of LOP funds relating to SO
100%

III. PROJECT PURPOSE

The Project goal is to support GOG efforts to implement its structural adjustment program. The Project purpose is to formulate a GOG comprehensive divestiture and liquidation program of selected Government activities and Government-owned enterprises that will most effectively contribute to economic stabilization. The project will assist the GOG to reduce Government recurrent costs and increase revenues through preparation of selected liquidation and privatization valuations under the Government divestiture program, leading to sale of Government-owned enterprises.

IV. PROJECT DESCRIPTION

The technical assistance effort through an A.I.D direct contract with an IQC firm will develop specific privatization or liquidation valuations or plans based on GOG priorities and economic feasibility. An additional project objective is the transfer of privatization technical skills to an official designated by the GOG to work with the technical assistance team in development of a privatization strategy, enterprise valuations and marketing of selected enterprises.

V. PROJECT STATUS

A. Planned EOPS Progress to Date

1. Two (2) government enterprises Completed.
valued.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

B. Major Outputs

	<u>Planned</u>			<u>Accomplished</u>				
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>		<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>	<u>Cum.</u>			
1. Government adopted divestiture strategy	1	1	1	0	1	1	100	
2. Government official trained.	1	1	1	0	1	1	100	
3. Privatization sub-committee trained.	1	0	0	0	0	0	0	
4. 2 government-owned enterprises valued	2	2	2	0	2	2	100	

- Valuations of the Grenada Electricity Services Ltd. (GRENLEC) and the Gravel, Concrete & Emulsion Production Corporation (GCEPC).
- Following the identification of GRENLEC by the GOG as the initial privatization candidate, PW prepared an information memorandum which served as the primary reference document for the marketing of GRENLEC to identified potential investors.
- Facilitation of training for a GOG official at a two week seminar on Privatization Management and Implementation in Washington as well as practical training of that individual and other government official in country.
- A Public information Program strategy designed to generate public support for the privatization program.

C. Other Accomplishments and Overall Status

In response to a request from the Government of Grenada and in support of a multi-donor response to a GOG self-determined structural adjustment program, RDO/C agreed to provide assistance for a divestiture program. The other major donors in the region variously agreed to provide assistance with computerization of public sector systems, budget development, tax reform and retraining of displaced workers. USAID's assistance was procured by RDO/C on behalf of the GOG through a buy-in to the AID/W contract with the Price Waterhouse International Privatization Group. RDO/C has been very impressed with the performance of PW including the technical expertise sourced, the documentary products produced and the overall management of the delivery order. Price Waterhouse completed the following under the delivery order:

- An Assessment of Privatization and Liquidation Opportunities in which they reviewed selected government-owned enterprises and activities and made recommendations for privatization or liquidation of the various entities. In addition, the Assessment recommended a general strategy for implementation of the GOG's privatization program. That strategy was accepted by the Government.

D. Problems and Delays

RDO/C has been concerned about the development of capacity within the Grenadian public sector to carry on the process of privatization. The GOG did appoint a Privatization Coordinator and a Privatization Sub-Committee to work with PW but they have not shown a keenness to take initiative. We expressed our concern to PW officials and requested them to empower the GOG officials to take a leadership role. As the TA comes to an end, PW believes that GOG personnel have been sufficiently exposed to the process that they should be able to continue implementation of the program.

E. Activities or Corrective Actions During the Next Six Months

1. Receipt of bids from investors for purchase of shares in GRENLEC.
2. Evaluation of bids and negotiation of sale of GRENLEC.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

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I. BACKGROUND DATA

Project Title: Caribbean Justice Improvement
 Project Number: 538-0645
 Date of Authorization: original 08/08/86
 Date of Obligation: original 08/28/86
 PACD: original 07/31/91 amended 09/30/94
 Implementing Agency: University of the West Indies (UWI)
 Government of St. Kitts Nevis (Court House)
 Major Contractors: Hugh Martin and Associates (Court House)
 AID Project Advisor: Dennis Darby; Brinley Selliah*
 Status of CP's/ : CP's to First disbursement - All met
 Convenants CP's to Quick Impact Activities - All met
 Date of Last Evaluation: 06/27/89 Next Evaluation: 3/94
 Date of Last Audit: Ongoing.
 Planned # of non-Federal Audits : 2
 Audits contracted for/completed: 1 contracted (Peat Marwick)

FINANCIAL DATA

Amount Authorized:	DA/ESF Grant: original	\$10,000,000	amended	\$13,200,000
	UWI and St. Kitts only	\$ 7,800,000	amended	\$10,400,000
Amount Obligated:	DA/ESF Grant: original	\$ 1,600,000	amended	\$10,204,669
Amount Committed:	Period:	\$ 2,654		
	Cumulative:	\$ 9,633,356		
Accrued Expenditures:	Period - Projected:	\$ 400,000		
	Period - Actual:	\$ 592,227		
	Cumulative:	\$ 7,132,750		
	Period - Next	\$ 700,000		
Counterpart				
Contribution:	Planned:	(in kind)		
	Actual:	Satisfactory		
% LOP Elapsed:		90%		
% of Total Auth. Oblig.		100%		
% of Total Oblig. Exp.		64%		
% of Total Auth. Exp.		64%		

*Responsible for St. Kitts Courthouse activity only.

*Inclusive of St. Kitts Courthouse component

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (# 1)

CJIP seeks to strengthen the legal systems of Eastern and other Caribbean beneficiary countries and in so doing supports improvements to the legal infrastructure and regulatory framework which will facilitate increased and diversified trade. Activities which specifically contribute to this objective include revision and printing of beneficiary country laws; improving the operations of the Court Registries in the 6 OECS countries and Belize; law library development and various training programs.

Progress in achieving the SO has been good in all areas, with the primary emphasis now being on court registry improvements.

B. Percentage of LOP funds relating to SO

70% - SO #1
 30% - Other.

III. PROJECT PURPOSE

To strengthen legal systems in the region, especially in Belize, Jamaica and the Eastern Caribbean by providing services necessary for fostering maintenance and increasing performance of national justice systems.

IV. PROJECT DESCRIPTION

Under the UWI component of the project (\$8,808,315), UWI makes sub-grants to, and executes contracts with regional, sub-regional and national institutions and entities. Major project components include law revision and reform, case reports/text book revolving fund, law library enhancement, country-specific activities, UWI operational support and regional technical assistance/training.

A separate grant Agreement between RDO/C and the Government of St. Kitts/Nevis is providing US \$1.4 million for the construction of a new courthouse in that country. USAID/Jamaica had until recently separately administered a US\$2.8 million project grant for legal system support in that country. (Included in total "Amount Authorized" but not other financial data).

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

1. Increase in the speed of trials and appeals.

Improvements of Court Registries in which the raw data is contained have started and will shortly allow conclusions on

PROJECT STATUS REPORT
April 1, 1993 - Sept. 30, 1993

V. PROJECT STATUS (continued)

A. Planned EOPS

Progress to Date

2. Better trained judges magistrates, prosecutors and paralegals.

3. Increase in the number of modern and ascertainable laws.

4. Improved legal aid and public defender systems initiated and operational.

5. St. Kitts Courthouse

this subject to be made.
15 Training courses initiated. 2 others being planned. Participants trained in evidence, sentencing etc.
Law Revisions of five of seven beneficiary countries being printed.
Legal aid system in one primary beneficiary country dramatically improved. Another system being assisted in another country. Public defender system operational in four beneficiary countries.
Courthouse construction has commenced.

B. Major Outputs

	<u>Planned</u>		<u>Next</u>		<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>

1. Staffed Project Office (UWI)	1	1	0	1	0	1	100%
2. Quick Impact Activities funded	7	0	7	0	0	7	100%*
3. Central Law libraries made available in each country	7	0	5	0	1	6	86%**
4. Publication of legal materials in all territories	0	0	0	0	1	8	- ***

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>								
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>						
5. Regional Training Courses developed by UWI	5	0	5	0	2	26	520%***						
6. Regional TA activities developed by UWI	2	0	2	0	1	7	350%***						
7. Country specific activities funded in beneficiary countries	15	0	15	0	4	74	493%***						
8. Court Registries improved in beneficiary countries	7	3	0	0	2	2	28%						
	M	F	M	F	M	F	M	F					
9. Training (persons) Short term	10	4	10	4	0	0	0	0	26	0	617	249	812%

*Activities no longer being funded under project.

**Beneficiary Government contribution.

***Project design did not quantify amount of legal materials to be published.

****Exceeds 100% in those cases where original design objectives were modest.

C. Other Accomplishments and Overall Status

1. A law librarian has been appointed by the Government of Grenada to oversee its law library operations. The law librarian has completed a two-week law librarian training course at the UWI's Law Library at Cave Hill, under CJIP auspices.
2. A Court Registry improvement study for the six OECS countries and Belize, commissioned by CJIP, was received in July.

PROJECT STATUS REPORT
April 1, 1993 - Sept. 30, 1993

C. Other Accomplishments and Overall Status (continued)

3. Court Registry improvement proposals for Dominica and St. Vincent and the Grenadines were approved by RDO/C and UWI and improvement work has started in both these countries.
4. A Memorandum of Understanding was approved by RDO/C for the air-conditioning of the St. Lucia High Court.
5. A Memorandum of Understanding was approved between the UWI and the Government of St. Lucia for the provision of US\$50,000 in law books for its central law library.
6. A financial audit of UWI's operations was initiated by RDO/C and will be completed shortly.
7. Two regional training courses, which trained 26 court Bailiffs and Marshalls, were concluded.

D. Problems and Delays

1. Disbursements to the UWI were suspended due to their tardiness in executing a contract with independent auditors for the audit of the Project.
2. The preparation of Court Registry improvement proposals were delayed due to the unavailability of personnel qualified to draft proposals in this area.

E. Major Activities or Corrective Actions During the Next Six Months

1. A regional training course for Court Prosecutors will be implemented by UWI and RDO/C.
2. CJIP will enter into a contract with a law librarian who will be asked to assess the library needs of the Guyana legal system.

E. Major Activities or Corrective Actions During the Next Six Months (cont'd)

3. RDO/C will provide financial assistance for the development of a central law library in Guyana.
4. The Attorney General of Guyana will be approached on the question of possible CJIP preliminary assistance for the Guyana Court Registries.
5. Court Registry improvement proposals will be funded for the primary beneficiary countries of Grenada, Belize and St. Lucia.
6. A contract for the audit of the project will be executed by the UWI and Project disbursements will resume.
7. An expedition in the preparation of Court Registry improvement proposals will be effected through the delivery of relevant technical assistance requested by beneficiary countries.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

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C. Other Accomplishments and Overall Status

After the original courthouse burnt down the High Court and the Court Registry were moved to existing police buildings which are wholly inadequate for the conduct of legal proceedings and the participation of the general public. The law library was also totally destroyed. This state of affairs has seriously affected the delivery of legal services including those services necessary to conduct commerce. The Attorney General of St. Kitts and Nevis and other officers of the law have expressed confidence that the construction of the new courthouse will go a long way towards improving the delivery of justice with its attendant social and economic benefits.

After re-advertising, bids were received and a contract was signed on February 24, 1993. A survey of all the buildings surrounding the site was completed. This was done for damage insurance purposes. Mobilization was completed and construction is in progress. Excavation for the construction of foundations has been completed and bearing tests are being carried out.

D. Problems and Delays

After excavation for construction of the foundations there was some concern expressed about the accuracy of the original soil survey. Further soil tests were carried out and the foundations of the building were redesigned. This issue has resulted in the contractor requesting a six month extension for completion of the contract.

E. Major Activities or Corrective Actions During the Next Six Months

1. Continue construction of the new Judicial & Legal Services Complex.
2. Review and extend the PACD to enable the completion of construction.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993 A B X C

I. BACKGROUND DATA

Project Title: Environment and Coastal Resources
 Project Number: 538-0171
 Date of Authorization: original 08/28/91
 Date of Obligation: original 08/28/91
 PACD: 09/30/97
 Implementing Agencies: Organization of Eastern Caribbean States (OECS)
 Major Contractors: None
 AID Project Manager: Richard L. Owens
 Status of CPs/Covenants: Initial Disbursement CP met. Others outstanding
 Date of Last Evaluation: 00/00/00 Next Evaluation: 03/94
 Date of Last Audit: 09/92 Next Audit: 01/94
 Planned # of Non-Federal Audits: 6
 Audits Contracted for/Completed: 1

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$11,000,000
Amount Obligated:	DA Grant: original	\$ 8,060,085
Amount Committed:	Period:	\$ 500,377
	Cumulative:	\$ 2,448,403
Accrued Expenditures:	Period - Projected:	\$ 240,000
	Period - Actual:	\$ 391,261
	Cumulative:	\$ 889,450
	Period - Next	\$ 1,500,000
Counterpart Contribution:	Planned:	\$ -0- N/A
	Actual	\$ -0-
% LOP Elapsed:		33%
% of Total Auth. Oblig.		73.3%
% of Total Oblig. Exp.		11%
% of Total Auth. Exp.		8%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#2)

ENCORE will increase the awareness of the governments and private sector of the causes and consequences of environmental degradation. It will also enhance their ability to better manage natural resources in economically productive and sustainable ways as well as strengthen the OECS/NRMU to play the role of coordinator of regional environmental activities and regional environmental policy agent.

B. Percentage of LOP Funds relating to SO: 100%

III. PROJECT PURPOSE

To demonstrate regionally that partnership between public, private and community interests can conserve the natural resource base of small Eastern Caribbean islands.

IV. PROJECT DESCRIPTION

The project has two components: a) Regional Environmental Management; mainly aiming to improve the OECS' environmental policy framework, laws and regulations and institutional strengthening; b) Local Site Management; this

IV. PROJECT DESCRIPTION (continued)

component addresses specific environmental problems. These components are supported by training, policy dialogue, field documentation and use of the methodology of community management for planning and implementation of project activities.

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | |
|--|--|
| 1. Environmental degradation in Local Sites has stopped or been substantially retarded, and biological diversity is being maintained. | Progress to date does not permit measurement of EOPS. |
| 2. Increases in economic activity (production and income) are being realized from the introduction in Local Sites of environmentally sound management practices. | Economic activities in local sites will begin next period. |

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A. <u>Planned EOPS</u> (continued)	<u>Progress to date</u>	B. <u>Major Outputs</u>	<u>Planned</u>				<u>Accomplished</u>		
			<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
3. Environmental monitoring in participating countries is providing quantitative, scientifically reliable environmental data and information on trends affecting resource quality.	A monitoring system has been developed based on current needs. Assessment of individual country requirements were main inputs to system design. Activity to begin next period.	<u>REGION:</u> 1. Improve ability of OECS/NRMU to coordinate natural resources activities in the region	100%	5%	5%	5%	5%	5%	5%
4. Local and national governments, private sector entities and communities are actively incorporating environmental analysis and data into decision-making.	OECS Governments have requested two studies undertaken by ENCORE: a) "The Impact on the Environment of Agricultural Diversification Program and Policies". b) Feasibility Study into the supply of sand for construction from Guyana to the OECS. Training workshops and seminars for decision-makers will start next period.	2. Analyse natural resource policy needs in region 3. Establish an environmental database for region 4. Improve regional ability to monitor environmental conditions in region and in each OECS member country	100%	5%	5%	5%	5%	5%	5%
5. The OECS and other regional organizations are advising and assisting Eastern Caribbean countries to formulate an appropriate policy framework such as laws, regulations, incentive structures and enforcement strategies for natural resource conservation, and is pursuing with EC countries to replicate EC countries opportunities to replicate project achievements.	International training and participation in international workshops and seminars have started. This international exposure, plus the distribution of the environmental legislation document prepared by the Caribbean Law Institute, contribute to a good start to establish appropriate policy framework. This target will receive increased priority beginning next period.	5. Establish focal point (contact) for environmental issues in each OECS member state 6. Develop a legislative needs agenda for OECS member states 7. Assist OECS member states in developing their national environmental management plans	7	0	0	2	0	0	0
6. Public knowledge, support and participation of local communities in maintaining the natural resource base for sustainable development is increasing.	Local Site Management teams have been established in St. Lucia and Dominica are serving as local implementing entities. The sensitization and awareness process of the community has been accomplished and will continue in the next period as the focus changes from planning and design to implementation of LSM activities begin.	8. Develop and implement a regional environmental awareness program 9. Assist regional institutional development to better address environmental concerns	6	0	0	10%	0	0	0
			6	0	0	0	6	6	100
			6	0	0	10%	10%	10%	10%
			6	0	0	20%	15%	15%	15%
			6	0	0	20%	5%	5%	5%
			6	0	0	10%	5%	5%	5%

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

B. Major Outputs (continued)

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Next</u>		
					<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
REGION: (continued)							
10. Training to public and private sector is a major output in this project.							
People trained	100	0	0	25	12	12	12%
% of women trained	30	0	0	5	2	2	7%
LOCAL:							
1. Develop comprehensive management plans for implementation through the project for each local site	3	0	0	50%	15%	15%	15%
2. Local community groups influence management and development of natural resources for sustainable economic development	100%	0	0	20%	20%	20%	20%
3. Successful demonstration activities that support appropriate management of natural resources initiated	10	0	0	4	0	0	0
4. Local site environmental databases developed	3	0	0	10%	10%	10%	10%
5. Specific environmental monitoring programs established	3	0	0	10%	0	0	0

C. Other Accomplishments and Overall Status

During the period the REM component made considerable progress in the area of training, planning, support to the LSM activities, etc. However, there have been serious delays related to the inability of the local site management groups to implement LSM component activities. Some progress

C. Other Accomplishments and Overall Status (continued)

was made in the area of training, environmental awareness campaigns, design of LSM component site activities, etc. However, the current implementation arrangements and relationships are too burdensome and require streamlining and improvement. See Section 'D' below.

Women in Development (W.I.D.)

Women are included in every activity of the project. They hold several principal, decision-making positions. The overall project director for the OECS is a woman. The national coordinator for Dominica is a woman. Of project funded positions, six of ten positions have been filled by women. Women are prominently represented in workshops and committees and are beginning to be viewed as some of the principal spokespersons on the environment and sustainable development in the OECS sub-region.

D. Problems and Delays

Due to the downsizing/phase-out of RDO/C and the lack of progress under the LSM component, a draft project amendment to revise project implementation arrangements was prepared and presented to the OECS and the Governments of Dominica and St. Lucia for review. The amendment as currently written, would give the World Wildlife Fund under its ENCORE funded Cooperative Agreement, responsibility for funding the sub-project under the LSM component. This should help speed-up the pace of implementation under the LSM component, free-up resources for the other OECS member states, and also allow the project to be implemented via the World Wildlife Fund beyond the closure of RDO/C. This change is currently being negotiated with all involved parties and should be resolved one way during the next period.

E. Major Activities or Corrective Actions During the Next Six Months

1. World Wildlife long-term advisors arrive and begin work.
2. Finalize and approve administrative amendment to project implementation arrangements.
3. Approve third year annual work plan and budget.
4. Execute sub-grant with CEHI for environmental monitoring.
5. Conduct annual project audit.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

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I. BACKGROUND DATA

Project Title: Special Development Activities Fund
 Project Number: 538-0005
 Date of Authorization: Project commenced FY 72
 Date of Obligation: Funding allotted annually from Mission's OYB
 PACD: Continuing
 Implementing Agencies: RDO/C
 Major Contractors: None
 AID Project Manager: Michael R. Taylor
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: FY 88
 Date of Last Audit: 10/91
 Planned # of Non-Federal Audits: N/A
 Audits Contracted for/Completed: N/A

FINANCIAL DATA

Amount Authorized: FY 93 200,000
 Expenditures: Period actual: \$174,056

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE

No direct linkage to Mission's strategic objectives, however, this project complements the Mission's development program and provides tremendous goodwill for the United States in all the participating Caribbean countries.

provide skills training which will clearly enhance the employability of the trainee; improve education of youths, encourage energy conservation or introduce new energy technologies; or enhance health standards.

III. PROJECT PURPOSE

Through the SDA fund, assistance is made available to groups and organizations in the Caribbean to support the promotion and development of small scale community self-help projects. Funds are also utilized to support the promotion of Peace Corps activities being implemented in the Caribbean.

V. PROJECT STATUS

During the reporting period, twenty-five sub-projects were approved. The average size project approved was \$7,400. Projects were distributed by country as follows:

<u>COUNTRY</u>	<u>NO. OF PROJECTS</u>	<u>AMOUNT OF FUNDS APPROVED</u>
Barbados	1	\$ 4,902
Antigua/Barbuda	3	\$ 27,000
Dominica	3	\$ 16,500
Montserrat	1	\$ 12,500
St. Lucia	5	\$ 50,698
St. Kitts/Nevis	2	\$ 14,900
Anguilla	1	\$ 5,600
St. Vincent & the Grenadines	3	\$ 22,900
Grenada	<u>6</u>	<u>\$ 30,000</u>
Total RDO/C	25	\$185,000
Peace Corps (SPA) Allocation		<u>\$ 15,000</u>
TOTAL	25	\$200,000

IV. PROJECT DESCRIPTION

Each year since FY 1972, RDO/C has allocated a small portion of its OYB to assist community groups and organizations with the implementation of small-scale community activities. A.I.D. in reviewing applications, normally gives high priority to those activities which generate employment or increase income through productive activities or improved technologies;

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A. Major Outputs

For FY 93, the major outputs were the twenty-five (25) community activities which were approved.

B. Other Accomplishments and Overall Status

1. As a result of the twenty five (25) development grants approved, the standard of living for more than 200 low income/grassroots persons will be improved. This will result in new skills acquired, improved health and jobs generated. The types of activities funded in FY 93 included: the construction of new headquarters for St. Lucia Scouts Association; purchase of materials and equipment to improve education and training by St. Lucia Cancer Society; purchase of fishing equipment for Nevis Fishermen's Cooperative; purchase of color separation equipment for a screen printing business in Dominica.
2. During FY 93, the Mission restructured the management of the SDA program. As a result, greater administrative responsibilities have been assigned to our regional Embassies.

C. Problems or Delays

None.

D. Major Activities or Corrective Actions During the next six months

1. In light of RDO/C's current phase-down of its Regional program and the continued constraints placed on our operating year budget for FY 94 and beyond, mission management has determined that, after FY 94, funding will not be allocated to the SDA Fund.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

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BACKGROUND DATA

Project Title: Population & Development
 Project Number: 538-0039
 Date of Authorization: original 07/28/82 amendment 06/26/87
 Date of Obligation: original 07/28/82 amendment 03/30/90
 PACD: original 12/31/86 amended to 07/27/94
 Implementing Agency: Caribbean Family Planning Affiliation Ltd
 Major Contractors: Population Council; John Snow Incorporated (JSI)
 AID Project Advisor: Neville Selman
 Status of CPs/Covenants: Not Applicable
 Date of Last Evaluation: 09/30/90(CFPA) Next Evaluation: 00/00/00
 Date of Last Audit: CFPA's A/cs Next Audit: February, 1994.
 audited annually.
 Planned No. of Audits: 1
 Audits Contracted or completed: 1 Next Audit: 01/02/94 .
 Contractor: John Snow Inc. Audit requested IG/A/FA.

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$3,500,000 amended to \$8,091,00
Amount Obligated:	DA Grant: original	\$ 500,000 amended to \$7,799,35
Amount Committed:	Period:	\$ -0-
	Cumulative:	\$7,799,352
Accrued Expenditures:	Period - Projected:	\$ 200,000
	Period - Actual:	\$ 198,101
	Cumulative:	\$7,276,231
	Period - Next	\$ 500,000
Counterpart		
Contribution:	Planned:	Regional project; in-kind.
	Actual	Satisfactory.
% LOP Elapsed:		93.0%
% of Total Auth. Oblig.		96.4%
% of Total Oblig. Exp.		93.0%
% of Total Auth. Exp.		89.0%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

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This is a phase-out activity. Although this project has no specific linkage with any of the strategic objectives, if population increases can be contained by increased contraceptive prevalence rates, it will make easier the attainment of the objective relating to the protection of the environment.

III. PROJECT PURPOSE

To increase the impact and sustainability of family planning programs in the Eastern Caribbean.

IV. PROJECT DESCRIPTION

Under the \$8.0 million project, major components were grants to (1) Caricom: to help EC countries develop national population and medical policies. (2) International Planned Parenthood Federation, Western Hemisphere Region (IPPF/WHR) to improve service delivery of family planning. (3) Tulane University and Population Council to undertake operations research projects in family planning. (4) CFPA Ltd to involve private sector participation in family planning for their workers, to improve its affiliates management capabilities and to help train cadres of professionals in family planning.

V. PROJECT STATUS

A. Planned EOPS(CFPA only)

Progress to Date

- | | |
|---|--|
| <p>1. Contraceptive Prevalence rates in Barbados and OECS countries will be increased from 31% to 40% by 1990.</p> <p>2. Private sector programming in family planning service delivery in up to five countries.</p> <p>3. Greater self sufficiency, improved management capability and reduced donor reliance by family planning associations.</p> <p>4. Well trained cadre of professionals in family planning.</p> | <p>Available data indicate average rate has increased to about 57%.*</p> <p>Feasibility studies completed for 3 private sector programs. Only one program will be completed in this project. Management Training Courses for CFPA staff and FPA's Staff have been held. Training in fund raising also carried out.</p> <p>Continuous training undertaken to meet staff losses through retirement and active recruitment by North American hospitals.</p> |
|---|--|

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

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B. Major Outputs (CFPA only)+

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>			
1. Private sector programs in FP service delivery.	5	0	1	0	1	1	20% **
2. Contraceptive prevalence surveys - 8 baseline and five follow up field studies completed.	13	5	8	0	5	13	100%
3. Training							
Nurses 1&2/	37	0	143	0	0	143	386%#
- U.S.	3	0	3	0	0	3	100%
- In country	20	0	134	0	44	178	890%
- Third Country	14	0	6	0	0	6	43%
4. CFPA,FPA Staff ^{2/}							
<u>Staff Mngrs,</u>	193	0	0	0	0	193	100%
<u>Mng. Ass,</u>							
<u>Computer & special</u>							
<u>trg.</u>							
-In country	46	0	46	0	0	23	50%
-Third Country	147	0	0	0	0	95	64.6%
5. Private Sector ^{2/}							
- <u>Project Mngrs.</u>	15	0	0	0	10	10	66%
-In country	10	0	0	0	10	10	100%
-Third Country	5	0	0	0	0	0	0%
<u>Revenue Generation</u>	10	0	29	0	0	29	290%
-In country	2	0	0	0	0	0	0%
-Third Country	8	0	29	0	0	29	350%

1. Categories: Training- IPPF

2. Categories: Training - CFPA

* Among Barbados and 4 of 7 OECS countries in which recent contraceptive prevalence surveys have been carried out.

B. Major Outputs (CFPA only)+ (continued)

- + These are remaining major outputs to be completed in CFPA grant. Those completed in grants of IPPF, Caricom, Tulane and POP. Council have been dropped from report.
- ** LOP target unrealistic. Feasibility studies all concluded that with small numbers of workers employed in industrial parks and smaller numbers of these workers likely to use family planning clinics, these services could hardly be expected to break even. In the event, only one private sector program was started at Frequente Park in Grenada. Although well equipped and staffed and factory managers cooperative and helpful, only small numbers of workers signed up as clients. The adjoining children's day care center jointly established simultaneously more successful in terms of numbers of children but even this facility is now underutilized with the downsizing and closing of expatriate factories producing for the U.S. market.
- # Local training capacity for nurses in family planning established on permanent basis, but substantial losses of trained nurses.

C. Other Accomplishments and Overall Status

- The outstanding accomplishment of this project has been achieving and exceeding in some instances, the target set for country contraceptive prevalence rates. Although in some countries the rate was still in the 30 percentile, the average rate in the region was almost 60 percent. USAID's contribution towards this achievement was substantial in conjunction with other donors such as UNFPA, IPPF and WHO/PAHO.
- CFPA continued to excel in the production of IE&C materials. A teaching video on the IUD was completed and has been distributed to the FPA's. Posters aimed at young adults have also been completed and distributed. They will be used to mark International Population Week in November.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A X B C

C. Other Accomplishments and Overall Status

3. Training workshops in Counselling and Human Sexuality have been held in six OECS countries and attracted, on average, about sixteen trainees, composed of community development workers, counsellors, teachers, nurses and a single policeman.
4. The child care center at Frequente Park remains functioning but with reduced numbers of children since some of them have "graduated" to primary schools. Some industries in the Park have also closed. The Parent Teacher's Association is helping to spread the good word about the center and at a planned Open House it is hoped to have some local T.V. coverage. Two or three of the factories continue to make monetary contributions to GRENSAVE to help meet running costs of the day care center. Although the center is highly regarded as being the best run in the island, the price differential (\$30 per child per week) has worked against many more mothers sending their children. Some day care centers charge as little as five dollars per child per week. Frequente is also somewhat off the beaten track and entails two bus fares. Full utilization of its services will only come about with an upsurge in business activities and full occupation of all factory space in the park.
5. Following on the despatch of letters to all Ministers of Health, confirming what had been announced at the Symposium and urging them to start planning to purchase their own supplies of contraceptives through the Eastern Caribbean Drug Service, as of FY 95, a meeting of OECS Permanent Secretaries of Health, Directors of

C. Other Accomplishments and Overall Status (continued)

Central Medical Stores, officials of CFPA Ltd and the ECDS was held in April in St. Lucia, under the joint auspices of the CFPA and the Eastern Caribbean Drug Service, and completed preliminary planning.

5. In discussions with officials of IPPF/WHR and RD/POP/FPSD on the future role and responsibility of IPPF/WHR and CFPA, Mission officials were able to extract from IPPF/WHR officials, undertakings that IPPF/WHR was committed to ensuring a continuing and expanded role for CFPA when USAID's grant came to an end in July 1994.

D. Problems and Delays.

None.

E. Major Activities or Corrective Actions During the Next Six Months

1. Non-Federal Audit of CFPA for January, 1993 planned for February 1994.
2. Meeting of Permanent Secretaries, Family Planning Administrators and Medical Stores Supervisors of OECS countries arranged to be held in St. Lucia in December 1993 under the joint auspices of CFPA Limited and Eastern Caribbean Drug Service to take final steps in including in Ministry of Health estimates 1994/95, provision for purchase of contraceptives.
3. Continued Country and Regional Training courses in Counselling, Human Sexuality and Family Planning. Country courses are planned for St. Lucia, St. Vincent and Grenada, and a regional course is to be held in Antigua.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A X B ___ C ___

I. BACKGROUND

Project Title: Basic Needs Trust Fund
 Project Number: 538-0103
 Date of Authorization: original 06/26/84 amendment 09/30/88
 PACD: original 09/30/87 amended to 06/28/94
 Implementing Agency: Caribbean Development Bank (CDB)
 Major Contractors: None
 AID Project Manager: D. Craig Archer
 Status of CPs/Covenants: All CP's have been met
 Date of Last Evaluation: 05/91 Next Evaluation: Not planned
 Date of Last Audit: 05/21/90 Next Audit: Not planned
 Planned # of Non-Federal Audits: None
 Audits contracted for/or completed: None

FINANCIAL DATA

Amount Authorized: ESF Grant: original \$12,700,000 amended \$20,700,000
 Amount Obligated: ESF Grant: original \$12,700,000 amended \$19,700,000
 Amount Committed: Period: \$ 2,000,000
 Cumulative: \$19,700,000
 Accrued Expenditures: Period - Projected \$ 300,000
 Period - Actual \$ [25,221]
 Cumulative: \$17,379,930
 Period - Next: \$ 1,000,000
 Counterpart
 Contribution: Planned: \$ 8,500,000
 Actual: \$ 8,500,000
 % LOP Elapsed: 92%
 % of Total Auth. Oblig. 95%
 % of Total Oblig. Exp. 88%
 % of Total Auth. Exp. 84%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES (SO)

A. Specific Linkage to SO
 No direct linkage

III. PROJECT PURPOSE

To expand and conserve, using labor-intensive methodologies, the social and economic infrastructure in eleven Eastern Caribbean countries: Anguilla, Antigua, Belize, Dominica, Grenada, Montserrat, St. Kitts/Nevis, St. Lucia, St. Vincent and the Grenadines, the British Virgin Islands, and the Turks and Caicos. Priority subprojects include such areas as education, health, water supply, drainage and footpaths, roads and day care centers.

IV. PROJECT DESCRIPTION

The Project, in addition to expanding the capital stock, will encourage the development of improved maintenance systems through discrete interventions designed to increase the longevity of existing assets. Project funds will also be used to support a core of professionals within CDB's Infrastructure Division who will constitute the project management team. In addition assistance will be provided for in-country project supervisors, outside A&E and other consultants, as needed to ensure timely implementation of the construction subprojects and maintenance activities.

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. Approximately \$120 million in new or rehabilitated capital stock provided 2. Provision of basic social services. 3. Institutionalization of routine maintenance. | <p>Approximately \$100 million in new or rehabilitated capital stock have been provided or rehabilitated.</p> <p>Beneficiaries of approved sub-project: estimated to be 344,150 persons.</p> <p>Maintenance needs are routinely prioritized and annual Planning and Budget exercises have been routinized relative to maintenance of schools, health clinics and other social and physical infrastructure.</p> |
|--|--|

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
New or Rehabilitated Subproject	270	7	246	7	3	242	90
Person weeks of Employment (000)	140	2	100	5	1.1	104.2	74

C. Other Accomplishments and Overall Status

A total of 276 sub-projects have been approved for funding under Phases 1,2,3 and 4 of the project, 242 have been completed, and the balance (34) are in various stages of design, construction bid preparation, or construction. During the period under review AID committed \$2 million which had been previously obligated.

The Caribbean Development Bank (CDB) has done an excellent job of managing the physical infrastructure part of this project and catalyzing the setting of priorities and the planning for maintenance rehabilitation and new construction of schools, health clinics, and roads in the various Eastern Caribbean countries.

Since September 30, 1992 Anguilla, Antigua and the British Virgin Islands have been reclassified by the CDB as Group III countries and disqualified from having access to further grant funds. So sub-projects are now ongoing in only eight countries.

The project continues to receive praise from politicians and decision makers as well as from parents and others in the community for its effectiveness in improving the environmental conditions at schools and health clinics and for increasing the level of services. CDB's enthusiasm for the project has resulted in its funding a follow-on project which will provide \$15 million for Guyana, Belize and some OECS Group III countries. The beneficiary governments are to contribute in local funds the equivalent of another \$6.25 million for this four year program.

C. Other Accomplishments and Overall Status (cont'd)

Funds for materials for the maintenance program in the various countries have been replenished. Established maintenance systems exist in Belize, St. Kitts/Nevis and St. Lucia. Draft maintenance plans have been prepared by Dominica, Grenada and St. Vincent.

With respect to the Public Information Program to raise awareness of communal responsibility for maintenance, school poster competitions are being conducted on a regional basis and tool kits are being provided to the schools with instructions as to their use. CDB personnel also brief the community on maintenance plans before they are implemented and discuss the community's role in the execution of these plans.

Maintenance survey reports have been completed in seven countries (Antigua, Belize, Dominica, Grenada, St. Kitts-Nevis, St. Lucia and St. Vincent and the Grenadines).

D. Problems and Delays

- The other countries have not completed these maintenance survey reports as yet due to a shortage of technical personnel.
- Disbursements during the period have been below target due primarily to delays in implementation as a result of late submissions of suitable projects and changes in project supervisors in some countries. The rate of disbursement is expected to rise significantly during the upcoming period.

E. Major Activities or Corrective Actions During the Next Six Months

- Maintenance survey reports are required from Anguilla, the British Virgin Islands, Montserrat and the Turks and Caicos Islands. RDO/C will take steps to address this issue in the upcoming quarter.
- Continue construction on approved subprojects.
- Complete design and bid preparation for some subprojects.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A B X C

I. BACKGROUND DATA

Project Title: AIDS Communication and Technical Services
 Project Number: 538-0161
 Date of Authorization: original 09/30/88 amendment: 07/31/91
 Date of Obligation: original 09/30/88 amendment: first
 PACD: original 09/30/95
 Implementing Agencies: Caribbean Epidemiology Centre (PAHO/CAREC);
 Caribbean Family Planning Affiliation Ltd.
 (CFPA); Centers for Disease Control (CDC)
 Major Contractors: None
 AID Project Manager: Samuel Dowding
 Status of CPs: All met
 Covenants: All met
 Date of Last Evaluation: 01/91 Next Evaluation: 05/94
 Date of Last Audit: CY 92 (CAREC)
 Planned # of Non-federal Audits: 8 (1988-1995)
 Audits Contracted for/Completed: 5

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 7,000,000
Amount Obligated:	DA Grant: original	\$ 6,998,000
Amount Committed:	Period:	\$ 122,455
	Cumulative:	\$ 5,778,786
Accrued Expenditures:	Period - Projected:	\$ 500,000
	Actual:	\$ 136,848
	Cumulative:	\$ 4,488,154
	Period - Next	\$ 430,000
Counterpart		
Contribution:	Planned:	All in-kind (regional)
	Actual	Satisfactory
% LOP Elapsed:		71%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		63%
% of Total Auth. Exp.		63%

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II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES (SO)

- A. Specific linkage to SO (#-)
None. This project is categorized as an "Other Activity".
- B. Percentage of LOP funds relating to SO
Not applicable.

III PROJECT PURPOSE

To assist Caribbean countries to establish a capacity to develop and implement cost-effective surveillance, information, education and intervention strategies in support of projecting future trends and reducing the transmission of HIV infection and AIDS.

IV. PROJECT DESCRIPTION

The project assists participating governments and non-governmental organizations (NGOs) with three strategies in the national and sub-regional Medium Term Plans for AIDS prevention and control; strengthening epidemiological surveillance and control systems, reducing HIV infection through sexual transmission, and improving management of national programs. Assistance to reduce sexual transmission of HIV will include activities with both governments and NGOs in public education, training of health care workers, the establishment of counselling programs, and behavior change interventions to reduce the risk of infection.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A__ B_X C__

V. PROJECT STATUS

A. Planned EOPS

1. Operational databases for HIV and AIDS in 8 program countries.
2. 75% of adult population can clearly assess their risk level and know how to reduce risk.
3. Governments and NGOs are capable of implementing AIDS interventions.
4. 50% increase in safe sex practices by targeted high-risk groups (HRGs).
5. Enhanced skills at CAREC to deal with AIDS and chronic disease prevention.

Progress to Date

1. Established in 8 countries
2. Surveys indicate over 75% of adult population know about HIV/AIDS transmission and risk reduction measures.
3. More NGOs are participating in AIDS education interventions, and the project has increased its management training activities.
4. Behavior change was seen in some HRGs. Other defined HRGs also targeted. CAREC has added to its capabilities in social marketing, communications and behavioral science.

B. Major Outputs

	Planned			Actual		
	LOP Nos	This Period	Next Period	This Period	Cum.	% of LOP
1. Pilot tested O/R interventions to reduce sexual transmission of HIV among HRGs.	4	0	1	0	4	100
2. Comparative cost analyses of various interventions	10	2	0	1	6	60
3. AIDS hotlines organized and operational	6	0	0	0	4	67

B. Major Outputs (continued)

	LOP Nos	Planned		Actual		
		This Period	Next Period	This Period	Cum.	% of LOP
4. Health care workers trained in management, prevention diagnosis and treatment of STD patients and HRGs	400	30	30	32	600	150
5. Improved national epidemiological sentinel and periodic surveillance and reporting systems for:						
a) HIV prevalence	8	2	3	1	1	12
b) AIDS prevalence	8	0	0	0	8	100
c) STD incidence	8	2	3	1	1	12

C. Other Accomplishments and Overall Status

1. CFPA completed the second set of communications material for regional distribution. This material is to be aired in time for World AIDS Day 1993. This set of materials saw greater collaboration between CAREC and CFPA in the conceptualization and testing than existed previously.
2. The PASA with the Centers for Disease Control and Prevention (CDC) was fully funded this period. CDC assistance has been much valued by CAREC, as they continue to benefit from non-project cooperation with CDC. CDC technical assistance to the project has been limited to date to improving CAREC management skills, and assessments of the STD clinical and diagnostic services in the beneficiary countries.
3. The Communications Advisor provided to the region under the AIDSCOM buy-in completed her 15-month tour of duty this period. The advisor was very valuable to CAREC in its efforts to bring a health promotion bias to its overall program, particularly in vector control and non-communicable diseases. Consequent to presenting a paper to the 1993 CAREC Scientific Advisory Committee, the Advisor coordinated the "working group" which was

established to further strategic planning in behavioral research and communication.

4. Assessments of the STD clinical and diagnostic services were completed in 5 of the 8 beneficiary countries. In collaboration with PAHO/Caribbean Program Coordination Office, CAREC has started to standardize case definitions, and refine the surveillance systems.
5. A cost analysis was completed on the St. Lucia Prisoner Education Intervention. This exercise identified a number of data gaps, which point to the need to build costing into intervention design. CDC technical assistance is to be sought to refine the costing protocol, and improve CAREC capability in this area.
6. Other accomplishments have included: approval of 3 small grants to NGOs in Nevis, BVI and Trinidad; a two-week workshop on "Responsible Reporting on HIV/AIDS" for 11 print and broadcast journalists from the region; and one regional (for National AIDS Coordinators) and three in-country workshops on the Logical Framework.
7. Overall, the project accomplished more than 80% of its planned activities in the 1992-93 year. Improved management at the national level seems to be the greatest need, and the project will concentrate more resources in this area.

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D. Problems and Delays

None. An issue which will occupy RDO/C over the remaining 2 years is the potential for project interventions to be sustained after the PACD. Neither the beneficiary countries nor PAHO/CAREC have the financial resources to ensure continued support at the current level. The only donor to have expressed interest in supporting AIDS interventions similar to the ACTS project is French Cooperation and their contribution is not likely to match USAID's. Serious consideration should be given to maintaining USAID support if our efforts are not to falter just at the time when they may be taking root.

E. Major Activities or Corrective Actions During the Next Six Months

1. Preparation and approval of the FY 1994 Project Implementation Plan.
2. Structured airing of the new TV and radio spots, thus permitting evaluation of recall/impact.
3. Continuation of STD clinical, diagnosis and surveillance assessments in remaining three countries.
4. Regional Meeting of public and private Sector influential to review AIDS programs.
5. Implementation of HIV/STD prevalence studies, and HIV sero-surveys in ante-natal women, in three countries.
6. Development of concepts for social marketing of condoms for AIDS prevention in the region.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993 A B X C

I. BACKGROUND DATA

Project Title: Health Care Policy Planning and Management
 Project Number: 538-0181
 Date of Authorization: original 09/21/92
 Date of Obligation: original 09/21/92
 PACO: original 09/30/97
 Implementing Agencies: Organization of Eastern Caribbean States (OECS)
 Major Contractors: John Snow Inc.
 AID Project Manager: Samuel Dowding
 Status of CPs: All fully satisfied
 Covenants: Appropriately met
 Date of Last Evaluation: None yet Next Evaluation: 00/00
 Date of Last Audit: None yet Next Audit: 00/00
 Planned # of Non-federal Audits: 4 (1994-1997)
 Audits Contracted for/Completed: -0-

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 5,500,000
Amount Obligated:	DA Grant: original	\$ 1,705,000
Amount Committed:	Period:	\$ 291,000
	Cumulative:	\$ 291,000
Accrued Expenditures:	Period - Projected:	\$ 200,000
	Actual:	\$ 34,000
	Cumulative:	\$ 34,000
	Period - Next	\$ 200,000
Counterpart Contribution:	Planned:	All in-kind (regional)
	Actual	None yet
% LOP Elapsed:		20%
% of Total Auth. Oblig.		31%
% of Total Oblig. Exp.		0.6%
% of Total Auth. Exp.		2%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES (SO)

- A. Specific linkage to SO #1
 HCPPM's emphasis on sector reform and resource reallocation will provide a model of governmental policy analysis and the rationalization of publicly provided services. Along with the increased private provision of health services, this modelling is supportive of economic growth, and increased, diversified trade.
- B. Percentage of LOP Funds relating to SO
 Not applicable.

IV. PROJECT DESCRIPTION

Participating countries will be assisted to assess the needs for health sector reform to address the health care needs of their populations more effectively, to undertake and evaluate those reforms, and to improve the management of health sectors to assure that resources are efficiently provided and utilized. Project elements comprise analytic and diagnostic studies, applied research and organizational development, and training and information dissemination. Activities include development of country work plans, cost, demand and alternative financing studies, user fee and privatization pilot tests, and policy workshops.

III PROJECT PURPOSE

To achieve the more efficient and equitable generation, distribution, and use of health sector resources.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

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V. PROJECT STATUS

A. Planned EOPS

	<u>Progress to Date</u>
1. Cost Recovery revenues increased by 300%.	1. None
2. Percentage of government health expenditure devoted to primary, promotive and preventive care maintained or increased by 5%.	2. None
3. Government's role in overall financing of the health sector decreased by 10%.	3. None
4. MOH capability to identify, conduct and evaluate health policy research established in 3 countries.	4. None
5. National Health Policy Planning Framework developed and implemented in 3 countries.	5. None.
6. 3 hospital management systems improved.	6. None.
7. 2 countries increase role of social and commercial risk coverage in health care financing	7. None.

B. Major Outputs

	<u>Planned</u>			<u>Actual</u>		
	<u>LOP Nos</u>	<u>This Period</u>	<u>Next</u>	<u>This Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Cost studies	3	0	0	0	0	0
2. Demand studies	1	0	0	0	0	0
3. Focus group studies	4	0	0	0	0	0
4. Hospital management study	2	0	1	0	0	0
5. Resource re-allocation study	2	0	0	0	0	0

B. Major Outputs (continued)

	<u>LOP Nos</u>	<u>Planned</u>		<u>Actual</u>		
		<u>This Period</u>	<u>Next</u>	<u>This Period</u>	<u>Cum.</u>	<u>% of LOP</u>
6. Insurance feasibility study	1	0	0	0	0	0
7. User fee pilot tests	2	0	1	0	0	0
8. Privatization pilot tests	1	0	0	0	0	0
9. Insurance pilot tests	1	0	0	0	0	0
10. MOH policy workshops	8	0	3	0	0	0
11. Regional Policy Workshops	4	0	0	0	0	0
12. Short-term participants	46	0	0	0	0	0

C. Other Accomplishments and Overall Status

1. The OECS has satisfied all CPs, obtained premises for the project offices, ordered furniture and office equipment, and is in the process of hiring project staff. An implementation plan for the first year was completed. RDO/C solicited bids, competed and awarded a technical assistance contract to John Snow Inc. and has procured computer equipment for the project office.
2. In spite of its slower than expected start-up, the project continues to enjoy considerable support from the beneficiary countries. All Ministers of Health have personally reaffirmed their countries' interest in participating in this project, and two have already prepared lists of activities for which they will request project assistance.

C. Other Accomplishments and Overall Status (cont'd)

3. This project was designed in close collaboration with the recipient governments, and also involved the Pan American Health Organization (PAHO). Other donors involved in the health sector, and PAHO, are expected to participate in regular reviews of project implementation through a Health Finance Advisory Committee which is shortly to be established.
4. In response to the phase-down plan for RDO/C, the project LOP and LOE were reduced in September 1993 to five years (PACD:9/97) and US\$5.5 million respectively.

D. Problems and Delays

OECS has encountered setbacks in hiring a Project Director. The first choice candidate could not settle on remuneration terms and the second choice has not been released by his government. Hiring of other staff is to proceed while options for filling the PD position are considered.

E. Major Activities or Corrective Actions During the Next Six Months

1. Hiring of Health Economist and other staff of the Health Policy Management Unit.
2. Arrival of JSI long term advisor in field.
3. First meeting of the Health Financing Advisory Committee.
4. Initial country assessments in at least three countries and determination of country work plans.
5. Preparation and approval of the second year's Project Implementation Plan

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A B X C

I. BACKGROUND DATA

Project Title: Drug Abuse Prevention and Education Pilot
Project Number: 538-0190
Date of Authorization: original 07/31/92
Date of Obligation: original 08/10/92
PACD: original 07/31/94
Implementing Agencies: Partners of the Americas Inc.
AID Project Manager: W.D. Thompson
Status of CPs/Covenants: Areas of Substantial Involvement resolved
Date of Last Evaluation: 00/00 Next Evaluation: 03/94
Date of Last Audit: 00/00
Next Audit: FA/OP Internal Evaluation
Planned # of Non-federal Audits: -0-
Audits Contracted for/Completed: -0-

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$450,000
Amount Obligated:	DA Grant: original	\$450,000
Amount Committed:	Period:	\$403,000
	Cumulative:	\$403,000
Accrued Expenditures:	Period - Projected:	\$200,000
	Period - Actual:	\$153,731
	Cumulative:	\$157,671
	Period - Next	\$100,000

Counterpart

Contribution:	Planned:	In-kind contribution (volunteers)
% LOP Elapsed:		58%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		35%
% of Total Auth. Exp.		35%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

15- Not related to Mission S.O.s; classified as an 'other' activity in the POD

III. PROJECT PURPOSE

To strengthen the ability of community-oriented organisations in seven OECS countries to carry out drug abuse prevention and education activities targetted at youth and to focus greater public attention on the issues of drug abuse through community-based public education campaigns.

IV. PROJECT DESCRIPTION

The initial six month phase of the project, through January 1993, consisted of a country by country needs assessment and planning exercise, to develop regional and country workplans for implementation between 2/93 and 7/94. The activities included in the workplan are regional training workshops, the development of local networks, U.S.-based training of Caribbean professionals, and a wide range of community interventions which are responsive to local needs identified.

IV. PROJECT DESCRIPTION (Continued)

The grantee is Partners of the Americas Inc. which will work through the Partnerships in the seven countries which are recipients of the assistance. Florida Association of Voluntary Agencies for Caribbean Action (FAVA-CA) is a sub-grantee which will provide technical assistance to the project.

IV. PROJECT STATUS

A. Planned EOPS	Progress to date
1. Ability of local organisations in OECS countries to carry out drug abuse prevention and education activities, aimed at the youth, strengthened.	Needs Assessment completed.
2. Public awareness of substance abuse issues increased through community-based public education campaigns.	None to date.

PROJECT STATUS REPORT

April 1, 1992 - September 30, 1992 A ___ B X C ___

B. Major Outputs*(4th Phase only)

	<u>Planned</u>				<u>Accomplished*</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
				<u>Period</u>			
Country by Country Needs Assessment	7	1	1	7	7	7	100%
Technical Assistance missions)20	2	2	5	2	15	75%
Regional training workshops) 3	1	1	2	1	1	66%
In-country training workshops)40	10	10	15	10	12	30%
Educational materials disseminated)50	0	0	20	0	0	0
Persons trained in U.S.)10	3	3	2	3	3	34%
Community-based projects assisted with grants)30	5	5	20	5	5	17%

C. Other Accomplishments and Overall Status

Partners of the Americas and FAVA/CA are NGO's working in the Eastern Caribbean Region which are interested in working in the area of substance abuse prevention and education. Partners will work through its local partnerships in each island to implement the project, while FAVA/CA would provide technical assistance through volunteers to augment the local partnerships.

1. A three day regional workshop on training of trainers for peer counsellors in drug abuse prevention was held from April 15-18 in St. Lucia. Thirty-six participants from seven OECS countries and Barbados attended.

C. Other accomplishments and Overall status (continued)

2. Seven DAPP Country Coordinators attended the PRIDE World Drug Conference in Cincinnati, Ohio, April 26 to May 3. The theme of this year's conference was "Community Empowering all People."
3. Two St. Lucians participated in the South Carolina School on Alcohol and Drug Abuse at Clemson University July 25-30.
4. Several in-country activities including workshops and weekend camps were held in most of the seven participating countries - 281 persons trained.
5. Camp organizers received training in the Caribbean Youth Conference Anti-Drug Program (CYCAP) workshop in St. Lucia in August.
6. Radio and Television spots produced and aired.

D. Problems and Delays

With the exception of Montserrat and St. Kitts-Nevis implementation of DAPP through the Partners Country Committees has been slow. Partners Project Director to find funds to enable Regional Substance Abuse Advisor to travel to islands to work with Country Coordinators.

E. Major Activities or Corrective Actions During the Next Six Months

1. Approve regional implementation plan for the remaining eight months of the project.
2. Approve requests from participating islands for small grant funds.
3. Finalize topic and venue for third regional workshop.
4. Work with Partners Regional Office to enhance work of local partnership committees.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A _ B x C _

I BACKGROUND DATA

Project Title: Presidential Training Initiative for the Island Caribbean
 Project Number: 538-0640
 Date of Authorization: original 08/29/86
 Date of Obligation: original 08/29/86
 PACD: original 09/30/92 amended to 09/30/94
 Implementing Agencies: Organization of Eastern Caribbean States
 Major Contractor: Academy for Educational Development (AED)
 AID Project Manager: Sylvia A. Samuels
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: 06/91 Next Evaluation: 00/00
 Date of Last Audit: None
 Planned # of Non-Federal Audits: N/A
 Audits Contracted for/Completed: Audit Requested IG/A/FA

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 435,000 amended \$16,853,750
Amount Obligated:	DA Grant: original	\$ 435,000 amended \$16,301,434
Amount Committed:	Period:	\$ [467,966]
	Cumulative:	\$16,201,434
Accrued Expenditures:	Period - Projected:	\$ 1,702,061
	Period - Actual:	\$ 197,438
	Cumulative:	\$16,038,415
	Period - Next	\$ -0-
Counterpart		
Contribution:	Planned:	Regional Project - In Kind
	Actual	Satisfactory
% LOP Elapsed:		89%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		91%
% of Total Auth. Exp.		91%

II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#s 1 & 2)

Training provided under the project supports both of the strategic objectives.

B. Percentage of LOP Funds Relating to SOs:

SO #1: 4%; SO #2: 8%

III PROJECT PURPOSE

To increase the number of U.S. trained people from socially or economically disadvantaged populations, including youth and women, who exhibit leadership potential and who would not otherwise have the opportunity to obtain training in the United States.

IV PROJECT DESCRIPTION

The PTIIC program seeks to direct scholarship opportunities to those with demonstrated leadership potential across a broad spectrum of academic and technical fields, with particular emphasis on those from socially/economically disadvantaged groups. While priority groups for inclusion in the PTIIC are tailored to the needs of the respective participating countries, the general focus of the program is on Agriculture, Economics, Business Studies, Education (including teacher training), Natural Sciences, Hotel Management, Mathematics, Statistics and Engineering.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | |
|---|---|
| 1. 394 people provided short-term technical training. | 506 people have been provided short-term technical training. All of these people have completed their training and returned home. |
| 2. 228 people provided long-term training. | 225 people provided long-term training. |

B. Major Outputs

	<u>Planned</u>								<u>Accomplished</u>					
					<u>Next</u>						<u>Cum.</u>		<u>% of LOP</u>	
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Cum.</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>
1. Trainees	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>
Long-term	135	93	0	0	101	77	0	0	0	0	127	98	94	105
Short-term	234	159	0	0	192	216	0	0	1	0	254	252	108	158

C. Other Accomplishments and Overall Status

During the visit of Former President, Ronald Reagan to Grenada in February 1986, he announced the establishment of PTIIC for the Eastern Caribbean, Jamaica, Haiti and the Dominican Republic.

RDO/C's PTIIC program had four components with an estimated LOP target of 622 trainees: (1) long-term academic or technical training, (2) short-term technical training, (3) teacher training, and (4) secondary school exchanges. Under the long-term training component, undergraduate scholarships were offered in the areas of agriculture, business, economics, education (including teacher training), engineering, hotel management, mathematics and statistics and public administration. The length of an undergraduate degree varied, depending on the credentials of the individual. Several of

RDO/C's trainees have been able to complete a four-year degree in three years and in a few cases, in two years. Undergraduate training was also provided to primary and secondary school teachers needing two or three academic years to earn degrees. Short-term technical training was offered to the following groups of individuals: agriculturalists, journalists, mid-level managers and public sector employees. The teacher training component provided skills upgrading and enhancement to both primary and secondary school teachers. Under the secondary school exchange program, disadvantaged youths between the ages of 17-18, spent one year in a U.S. high school and lived with an American host family as part of the American Field Scholarship Program.

RDO/C was the first Mission to launch the PTIIC program. In the summer of 1986, the first group of 65 primary and secondary school teachers participated in a specially tailored course to upgrade and enhance their teaching skills. Later that year, the first group of 19 undergraduates departed for training in U.S. institutions. From 1987 to present, 206 additional undergraduates were placed, a second group of 199 regional educators participated in a tailored course similar to that of their 1986 colleagues, 11 high school students completed their one year stay in U.S. high schools, and short-term technical programs were arranged for 231 individuals.

Life of project (all Missions) training target was estimated at 1,525 trainees. To date, RDO/C has trained 731 trainees or 48% of the LOP target.

Due to the strict selection criteria established for this project, it was not popular with governments, since it meant that they were unable to train individuals who did not meet the criteria. However, with creative programming and a lot of hand-holding, we were able to work with the governments and make this project successful. This is evidenced by the fact that we surpassed our own goals.

D. Problems and Delays

None.

E. Major Activities or Corrective Actions During the Next Six Months

1. Close-out all trainee files.
2. Prepare Project Assistance Completion Report.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

A _ B x C _

I. BACKGROUND DATA

Project Title: LAC Training Initiatives II
 Project Number: 598-0640.17
 Date of Authorization: original 02/14/85
 Date of Obligation: original 02/14/85
 PACD: original 09/30/90 amended to 09/30/94
 Implementing Agencies: None
 Major Contractor: PIET
 AID Project Manager: Sylvia A. Samuels
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: 06/91 Next Evaluation: 00/00
 Date of Last Audit: None
 Planned # of Non-Federal Audits: N/A
 Audits Contracted for/Completed: Audit to be arranged by IG/A/FA

FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 500,000 amended \$2,171,925
Amount Obligated:	DA Grant: original	\$ 500,000 amended \$2,038,932
Amount Committed:	Period:	\$ [2,135]
	Cumulative:	\$2,029,538
Accrued Expenditures:	Period - Projected:	\$ -0-
	Period - Actual:	\$ 12,891
	Cumulative:	\$2,028,570
	Period - Next	\$ -0-
Counterpart		
Contribution:	Planned:	Regional Project - In Kind
	Actual	Satisfactory
% LOP Elapsed:		91%
% of Total Auth. Oblig.		100%
% of Total Oblig. Exp.		98%
% of Total Auth. Exp.		98%

II RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#s 1 & 2)

Training provided under the project supports both of the strategic objectives. All unspent funds under this project will be used to provide training which supports both strategic objectives.

B. Percentage of LOP Funds Relating to SOs 1 & 2): 0.006%

and short-term training programs will respond directly to priority economic and social development areas. Training will be provided in the areas of agriculture and rural development, health and nutrition, human resource development, energy, population, environment, science and technology, planning and institutional development.

V PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | |
|---|--|
| 1. 100 people provided short-term technical training. | 101 people have been provided short-term technical training. |
| 2. 3 people provided long-term training at the undergraduate level. | Fully achieved. |
| 3. 2 people provided training at the graduate level. | Fully achieved. |

III PROJECT PURPOSE

To increase the number of U.S. trained public and private sector individuals, especially the disadvantaged, at the planning, implementation, technical and administrative levels.

IV PROJECT DESCRIPTION

The project will provide funds for training in the United States of regional government and private sector personnel. All long

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>					
			<u>Next</u>							
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>			
	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>		
1. Trainees										
Long-term	3	2	0	0	0	0	3	2	100	100
Short-term	67	33	0	0	0	0	67	34	100	103

C. Other Accomplishments and Overall Status

This project commenced in FY 1985. Limited Scope Grant Agreements were executed with each participating government to implement this project.

To date, short-term technical training (in the areas identified in the project description) has been provided for 101 individuals, master's level training has been provided to 2 individuals and undergraduate level training has been provided to 3 individuals.

During the last fiscal year, a financial reconciliation was undertaken to identify residual funds for reprogramming. The financial reconciliation of the Project was completed and \$115,751 identified for reprogramming as follows: Dominica - \$55,747; St. Kitts - \$16,435; Grenada - \$12,394; and St. Lucia - \$31,175.

Utilizing the reprogrammed funds, a total of five individuals received training under this Project during the period in review. Training was provided in the areas of management, agriculture and export market entry strategies.

D. Problems and Delays

None.

E. Major Activities or Corrective Actions During the Next Six Months

1. Close-out all trainee files.
2. Prepare Project Assistance Completion Report.

PROJECT STATUS REPORT

April 1, 1993 - September 30, 1993

A__ B x C__

I. BACKGROUND DATA

Project Title: Guyana Title III
 Project Number: PL480
 Date of Authorization: original 01/05/93
 Date of Obligation: original 01/29/93
 PACD: original 12/31/93
 Implementing Agencies: Ministry of Finance; Ministry of Trade
 Major Contractor: Government of Guyana
 AID Project Officer: Robert J. Wilson
 Status of CPs/Covenants: Met
 Date of Last Evaluation: Project to be evaluated FY
 Date of Last Audit: Project to be audited FY
 Planned # of non-Federal Audits: 2
 Audits contracted for/completed: 0

FINANCIAL DATA

Amount Authorized: ESF/DA Grant: original \$ 6,999,927.37
 Amount Obligated: DA Grant: original \$ 6,999,927.37
 Amount Committed: Period: \$ 6,999,927.37
 Cumulative: \$ 6,999,927.37
 Accrued Expenditures: Period - Projected: \$ 6,999,927.37
 Period Actual: \$ 6,999,927.37
 Cumulative: \$ 6,999,927.37
 Period - Next: \$ -0-
 Counterpart
 Contributions: Planned: \$ -0-
 Actual: \$ -0-
 % LOP Elapsed: 66%
 % of Total Auth. Oblig. 100%
 % of Total Oblig. Exp. 100%
 % of Total Auth. Exp. 100%

II. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVE (SO)

A. Specific Linkage to SO (#1)

Increased trade and investment is anticipated through the macro and sectoral policy reforms anticipate under this program

B. Percentage of LOP Funds Relating to SO:

100%

III. PROJECT PURPOSE

To enhance the food security in Guyana through the use of agricultural commodities and local currencies accruing upon their sale to combat hunger and malnutrition and their causes; promote broad-based, equitable, and sustainable development, including agricultural development; expand international trade; develop and expand export markets for United States agricultural commodities; and foster and encourage the development of private enterprise and democratic participation.

IV. PROJECT DESCRIPTION

The program is designed to:
 (a) Provide balance of payments support to the GOG with credits for commodity imports;
 (b) Promote policy reforms effecting nutrition; food prices; the rehabilitation and maintenance of production infrastructure; and access to factors of production (land);
 (c) Apply local currency counterpart to projects in the above areas ar improve accountability of its use.

V. PROJECT STATUS

A. Planned EOPS

1. The Government of Guyana, with the Ministry of Finance as the lead institution, agrees to maintain its commitment to the macro-economic policy framework agreeded to with the IMF and the World Bank and to refrain from

Progress to Date

Condition met. Progress under the GOG economic reform program has cleared the disbursement of an additional ESF grant to the GOG.

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (CONT'D)

A. Planned EOPS

adopting new measures that would reintroduce price controls and marketing distortion into the economy.

2. GOG to make an Allowance for unrestricted purchases from the National Milling Company with the specific objective of maintaining a liberalized market in wheat flour.

3. GOG to submit The terms of reference and detailed scope of work for a clear time-phased policy and action plan for restructuring the management of its drainage and irrigation systems.

4. GOG to submit The terms of reference and detailed scopes of work for a clear time-phased policy and action plan for restructuring the management of its drainage and irrigation systems.

Progress to Date

Condition met. Flour sales opened to the general public.

The GOG has submitted :a) the terms of reference for a Project Execution Unit (PEU), among whose functions are to execute rehabilitation projects for which there are financial commitments and to develop effective maintenance systems for the Sea Defence Program; b) the terms of reference for an advisory board for the restructuring of the Hydraulics Division, currently responsible for all sea defence rehabilitation and maintenance; c) legislation which transfers all sea and river defence responsibilities from regional organizations to the Guyana Sea Defence Board. Mention also is made of a study on the feasibility of cost recovery for sea defenses.

The GOG has submitted: a) the terms of reference of an Advisory Committee for the purposes of restructuring the Hydraulics Division which is responsible for

V. PROJECT STATUS (CONT'D)

A. Planned EOPS

Progress to Date

rehabilitation of drainage and irrigation systems; b) the terms of reference for a ten month consultancy for improving water control management, which include an evaluation of water user organization, the institutional relationships between the regions and the Hydraulics Division, and the implementation of a maintenance program; c) the terms of reference for the development of improved water tariff systems; d) the terms of reference for land leveling and water control work in the MMA irrigation area.

5. GOG to submit The terms of reference and detailed scopes of work for a time-phased program for granting long-term leases or selling state-owned lands at market determined prices, in which planned activities are supported with financial proposals and effective administrative and implementation arrangements.

6. GOG to submit An in-depth analysis of food security constraints (constraints such as income, marketing, distribution, etc. which hamper access to adequate levels of food

The GOG has submitted: a) the outline of a recent GOG Cabinet decision to title houselots and homesteads to individuals in Land Development Schemes, revise the government and state land lease rates, set up a task force to facilitate the development of a land lease program, and extend land leases in all land development schemes to 25 years, and b) the terms of reference for a project for land policy analysis and development property registry and land information.

The GOG has endorsed the report by Roberta Van Haeften (July 1992) and an action plan for food security in Guyana. It also has requested A.I.D. concurrence with

PROJECT STATUS REPORT
April 1, 1993 - September 30, 1993

V. PROJECT STATUS (CONT'D)

A. Planned EOPS

intake) facing the Guyanese population. The analysis will include a plan for addressing the constraints, as well as an appropriate set of quantifiable targets and related benchmarks for monitoring progress on the alleviation of the constraints. The GOG also will allocate sufficient levels of local currency as counterpart for donor-sponsored data collection, surveys, and analyses that will contribute to a better understanding and planning capability for addressing food security in the country.

C. Other Accomplishments and Overall Status

a. Flour Prices. The immediate affect of the GOG moves to liberalize the internal flour market was a drop in the wholesale price to retailers and bakers. However, liberalization also has brought about the effects of variability in wheat prices on the U.S. commodity markets. Flour milled from Title III wheat has been sold to reflect the true financial cost.

b. Mineral Enrichment of Flour. The sole miller in Guyana conducted an analysis of the flour which was found to be deficient in light of nutrition improvement strategies. Under a proposed multi-year program the flour will be enriched to adequate standards.

c. Financial Accountability. U.S.A.I.D. has conducted financial management capability assessments of several agencies proposed for sectoral programming of local currencies. A Program Implementation Letter, Number 3, has been issued with financial control, reporting and audit requirements for all recipients.

Progress to Date

the use of Title III generated local currencies for a project for analyses of household consumption, production, income data processing and analyses leading to a more informative data base on food security issues in Guyana.

C. Other Accomplishments and Overall Status (Cont'd)

d. Local Currency Programming. Local currencies are deposited in an interest bearing account. While the GOG is anxious to disburse the currencies and A.I.D. has concurred with several sectoral programming allocations, A.I.D. has insisted on establishing basic financial and audit controls as a prerequisite. The current administration entered office with a commitment to control mismanagement and restore accountability in government operations; a commitment which A.I.D. is supporting this by insisting on financial controls.

e. Long Term U.S. PSC Title III Coordinator/Policy Advisor. A candidate was selected and contract negotiations were completed by September 1, 1993. The contractor was installed in Georgetown in early September.

D. Problems and Delays

AID/W authorization of follow-on multi-year Title III agreement is causing great concern with respect to future wheat shipment, balance of payments, and achievement of program objectives.

E. Major Activities or Corrective Actions During the Next Six Months

1. Obtain AID/W authorization for a follow-on multi-year program.
2. Negotiate program; finalize agreement.
3. Submit 1994 commodity call forward.
4. Ensure GOG compliance with local currency tracking and programming procedures.

IV. CENTRALLY FUNDED PROJECTS

A. Support Mission Strategic Objectives; Mission Managed

1. Project Title: Development of Recombinant DNA Techniques for a VAM System
Project Number: 536-5542
PACD: 12/31/93 Funding Level: \$150,000

Project Purpose: To develop a transformation system for the Vesicular-Abruscular Mycorrhizal (VAM) fungus, Gigaspora gigantea, so that the molecular genetics of a VAM fungus can be rapidly investigated and strategies developed for improving crop production by genetically engineering the fungal symbiont. Supports S.O.1.

Nature and Extent of Mission Management: Mission provides financial management of the project and occasion field visits to monitor progress and inspect/verify equipment. Technical backstopping is responsibility of AID/R and D/R.

2. Project Title: Post-Harvest Physiology of Some Under-Exploited Tropical Fruit
Project Number: 538-5542
PACD: 05/31/93 Funding Level: \$100,080

Project Purpose: To characterize the ripening process in two tropical fruits, Annona muricata (soursop) and Artocarpus altilis (breadfruit) and to develop post-harvest technology required for their further commercial exploitation. Supports S.O.1.

Nature and Extent of Mission Management: Mission provides financial management of the project and occasion field visits to monitor progress and inspect/verify equipment. Technical backstopping is responsibility of AID/R and D/R.

B. Support Mission Strategic Objectives; Not Mission Managed

1. Project Title: A.I.D. Israel Cooperative Development Program
Project Number: 930-0185
PACD: Funding Level:

Under an agreement between Israel and CARDI, three Israeli agricultural technicians assist in the implementation of the production support module of the TROPRO Project (S.O.1).

2. Project Title: Cooperative Association of States for

Scholarships

Project Number:

PACD:

Funding Level:

Two-year training programs for students from the Eastern Caribbean implemented by Georgetown University has been oriented to areas supportive of Mission Strategic Objectives (S.O.1 and S.O.2).

C. Not Supporting Mission Strategic Objectives

1. Project Title: University Development Linkages

Project Number:

PACD:

Funding Level:

Assistance for vocational/technical training in Guyana

2. Project Title: Rational Pharmaceutical Management

Project Number:

PACD:

Funding Level:

MSH is assisting the Eastern Caribbean Drug Service (ECDS) with improvements in pharmaceutical registration, inventory and management. Although outside current Strategic Objectives, this is important to sustaining A.I.D.'s previous investment in ECDS.