



U.S. AGENCY FOR  
INTERNATIONAL  
DEVELOPMENT

Mr. William B. Walsh, President  
People-to-People Health Foundation, Inc. (Project Hope)  
Health Sciences Education Center Carter Hall  
Millwood, VA 22646

Subject: Cooperative Agreement No. FAO-0500-A-00-2050-00

Dear Mr. Walsh:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to People-to-People Health Foundation, Inc. (Project Hope) (hereinafter referred to as "Recipient") the sum set forth in Section 1C.2. of Attachment 1 of this Cooperative Agreement to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

This Cooperative Agreement is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Recipient for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Cooperative Agreement.

This Cooperative Agreement is made to the Recipient on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the

Page Two  
Cooperative Agreement

entire Cooperative Agreement document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

Sincerely yours,



James A. Jeckell  
Agreement Officer  
Chief, FAO Branch  
Office of Procurement

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision entitled "Restrictions on Lobbying"
- 5 A.I.D. Eligibility Rules for Goods and Commodities

ACKNOWLEDGED:

PEOPLE-TO-PEOPLE HEALTH FOUNDATION, INC. (PROJECT HOPE)

BY: \_\_\_\_\_

TYPED NAME: WILLIAM L. BROCKSCHMIDT

TITLE: VICE PRESIDENT, FINANCE

DATE: OCTOBER 29, 1992

FISCAL DATA

A. GENERAL

A.1. Total Estimated A.I.D. Amount: \$780,000  
A.2. Total Obligated A.I.D. Amount: \$780,000  
A.3. Cost-Sharing Amount (Non-Federal): \$260,000  
A.4. Other Contributions (Federal): \$N/A  
A.5. Project No.: 938-0500  
A.6. A.I.D. Project Office: FHA/PVC/CSH, J. Henriquez  
A.7. Funding Source: A.I.D./FHA/PVC  
A.8. Tax I.D. No.: 53-0242962  
A.9. DUNS No.: 09-866-1135  
A.10. LOC No.: 72-00-1431

B. SPECIFIC

B.1.(a) PIO/T No.: 938-0500-2685014  
B.1.(b) Appropriation: 72-112/31021.7  
B.1.(c) Allotment: 267-38-099-00-76-21  
B.1.(d) BPC: EDC2-92 16850 KG11  
B.1.(e) Amount: \$700,000

B.2(a) PIO/T No.: 938-0284-2681205  
B.2(b) Appropriation: 72-112/31021.3  
B.2(c) Allotment: 263-38-099-00-76-21  
B.2(d) BPC: EDN2-92 16850 KG11  
B.2(e) Amount: \$ 80,000

**ATTACHMENT 1**

**SCHEDULE**

**1A. PURPOSE OF COOPERATIVE AGREEMENT**

The purpose of this Cooperative Agreement is to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

**1B. PERIOD OF COOPERATIVE AGREEMENT**

The effective date of this Cooperative Agreement is from November 1, 1992 through February 28, 1995. Funds obligated hereunder (see Section 1C.2. below) shall be used to reimburse the Recipient for allowable program expenditures incurred by the Recipient in pursuit of program objectives during such period. Funds obligated hereunder are anticipated to be sufficient for completion by the Recipient of the program described in Attachment 2 of this Cooperative Agreement by the estimated completion date.

**1C. AMOUNT OF COOPERATIVE AGREEMENT AND PAYMENT**

1C.1. The total estimated amount of this Cooperative Agreement for its full period, as set forth in Section 1B. above, is \$780,000.

1C.2. A.I.D. hereby obligates the amount of \$780,000 for the purposes of this Cooperative Agreement during the indicated period set forth in Section 1B. above, thereby fulfilling A.I.D.'s funding requirements. A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget."

1C.3. Payment shall be made to the Recipient in accordance with procedures set forth in the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1C.5. The total estimated amount of the program described in Attachment 2 of this Cooperative Agreement is \$1,040,000, of which A.I.D. may provide the amount specified in Section 1C.1. above, and the Recipient will provide \$260,000 in accordance with Section 1M. below.

1D. COOPERATIVE AGREEMENT BUDGET

1D.1. The following is the Budget for the total estimated amount of this Cooperative Agreement (see Section 1C.1. above) for its full period (see Section 1B. above). The Recipient may not exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," as shown in Attachment 3, the Recipient may adjust line item amounts as may be reasonably necessary for the attainment of program objectives.

Revisions to the budget shall be in accordance with Section 1C. above and the Standard Provisions entitled "Revision of Grant Budget" and "Cost Sharing (Matching)."

1D.2. Budget

	<u>A.I.D.</u>	<u>Recipient/ Others (Non-Federal)</u>	<u>Total</u>
Consultants	\$ 7,737	\$ 13,703	\$ 21,440
Evaluation	\$ 9,525	\$ 2,345	\$ 11,870
Personnel	\$387,565	\$ 82,660	\$ 470,225
Travel/Per Diem	\$115,643	\$ 25,378	\$ 141,021
Other Direct Costs	\$ 46,330	\$ 90,489	\$ 136,819
Indirect Costs 55%	\$213,200	\$ 45,425	\$ 258,625
Total Budget	\$780,000	\$260,000	1,040,000

1D.3. Inclusion of any cost in the budget of this Cooperative Agreement does not obviate the requirement for prior approval by the Agreement Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Cooperative Agreement set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

1E. REPORTING

1E.1. Financial Reporting

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, FA/FM/CMPD/DCB, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Cooperative Agreement referred to in Section 1E.1.(a) above.

Note: At the time all required reports are submitted by WRC to FHA/PVC, a copy should simultaneously be sent to the A.I.D. Mission in each respective country. Additionally, all work to be charged to this agreement, including preparation of final reports, must be completed prior to expiration of this agreement.

1E.2. Program Performance Planning and Reporting

1E.2.(a) Detailed Implementation Plan (DIP)

The Recipient shall prepare and submit to the A.I.D. Project Officer specified in the Cover Letter of this Cooperative Agreement five (5) copies of a detailed implementation plan, with critical path indicators (as described in Appendix 9A of A.I.D. Handbook 3), for each country program in accordance with FHA/PVC guidelines, for the full term of this Cooperative Agreement. Illustrative guidelines are available from FHA/PVC and will be furnished to you in the fall of 1992. The Recipient is instructed to submit the DIP by June 1, 1993.

This implementation plan should include a description of how the various child survival interventions will be evaluated and should clearly define: (a) the objectives and outputs that each program will be held accountable for; (b) the specific indicators that will be used to measure program success in reaching objectives and outputs; (c) mechanisms for collecting data, i.e. surveys, sentinel systems, etc; and (d) manpower and other resources needed for carrying out monitoring and

evaluation activities with a revised budget. The DIP should include scheduled reports, internal and external evaluations, and line item budgets, in addition to the results of a baseline survey as described below.

All country projects are required to conduct a baseline and final survey in accordance with the process developed by FHA/PVC. The results of the baseline are required to be submitted with the DIP, and the results of the final survey are required to be submitted with the final evaluation. FHA/PVC will provide the opportunity for training in 30-cluster survey techniques for country projects when appropriate. The Recipient will be responsible for the transportation and per diem costs for a survey trainer for country projects requesting survey training.

**1E.2.(b)            Annual Workplans**

**1E.2.(b)(1)** The Recipient shall submit five copies of the annual workplans to the AID/FHA/PVC Project Officer for this Cooperative Agreement by December 7, of each year of the program. The first report is due December 7, 1993. (Note: The AID Health and Child Survival Project Questionnaire must be submitted with the December 7 Annual Report. This must be completed each year of the agreement, including the final year.) Field reports prepared by the recipient's regional and technical specialists, as well as FHA/PVC consultants will also be included in the annual report. Each annual workplan shall contain the following:

1E.2.(b)(1)(A) Develop/adopt a program-specific Health Information System responsive to needs of field programs and headquarters and be able to provide A.I.D. with information for tracking program performance. The report should include reporting on the standard A.I.D. indicators for ORT, immunization and growth monitoring and nutrition interventions which are required of all programs receiving child survival funding. This system should be described in the first year progress report.

**1E.2.(b)(1)(B)** An action-oriented workplan describing planned activities during the next year, delineated by calendar quarter, and linked to the country specific project goals and objectives, which describes the individuals to be involved, the activities to be conducted, and where and when they will be conducted. Planned activities shall be grouped by subject category, and then related to project objectives; other A.I.D.-funded grants, such as OPGs, should be identified if there is a relationship of that grant/agreement to this agreement supported program.

**1E.2.(b)(1)(C)** A projected budget, utilizing the same budget line items as are set forth in the budget of this Cooperative Agreement, for each calendar quarter, corresponding to the workplan; and

1E.2.(b)(1)(D) Publications, reports, workshops, seminars, and other information dissemination activities planned, by calendar quarter.

1E.2.(b)(2) The Recipient may develop the annual workplans in consultation with the A.I.D. Project Officer for this Cooperative Agreement.

1E.2.(b)(3) Five (5) copies of each annual workplan will be submitted to the designated A.I.D. Project Officer for this Cooperative Agreement and one copy submitted to the Agreement Officer. The first annual workplan covering the first year of this Cooperative Agreement shall be submitted by the Recipient as instructed by the Project Office.

1E.2.(c) Quarterly Reports

The Recipient shall submit five (5) copies of brief quarterly program performance reports, which coincide with the financial reporting periods described in Section 1E.1. above, to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement. In addition, two copies shall be submitted to A.I.D., POL/CDIE/DI, Washington, DC 20523-1802. These reports shall be submitted within 30 days following the end of the reporting period, and shall briefly present the following information:

1E.2.(c)(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.

1E.2.(c)(2) Reasons why established goals were not met, if applicable, (positive or negative) with a brief explanation. An example of the quarterly summary format will be sent to you along with DIP guidelines this fall.

1E.2.(c)(3) Other pertinent information including the status of finances and expenditures and, when appropriate, analysis and explanation of cost overruns or high unit costs.

1E.2.(d) Special Reports

Between the required program performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Recipient shall inform the A.I.D. Project Officer as soon as the following types of conditions become known:

1E.2.(d)(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any A.I.D. assistance needed to resolve the situation.

1E.2.(d)(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

1E.2.(d)(3) If any performance review conducted by the Recipient discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," the Recipient shall submit a request for budget revision to the Agreement Officer and the A.I.D. Project Officer specified in the Cover Letter of this Cooperative Agreement.

1E.2.(e) Annual Activity Reports

Within thirty (30) days following the annual anniversary date of this Cooperative Agreement, the Recipient shall submit to the A.I.D. Project Office specified in the cover letter of this Cooperative Agreement five (5) copies of an annual technical progress report which will be a description of the past year's activities, including technical, scientific, managerial, and fiscal information. The report shall include, both for each field site or subcontractor/subrecipient individually and for project activities as a whole, a review of program and problems to date, and a discussion of technical and managerial issues significant to the success or failure of this Cooperative Agreement. The report will also address regulatory issues related to the project. Although principally a technical document, it nevertheless must include pertinent statistics or quantitative information regarding the project and its activities. The Annual Activity Report shall also include an annual expenditure report corresponding to each annual workplan (see Section 1E.2.[b] above). These expenditure reports will cover A.I.D. and, if applicable, cost-sharing amounts by budget line item (see Section 1D.2. above) and by estimated distribution amongst project components, e.g., research, training, technical assistance, technology transfer, information dissemination, or networking.

1E.2.(f) Trip Reports

Within 30 days following the completion of each international trip, the Recipient shall submit 3 copies of a trip report summarizing the accomplishments of the trip to the A.I.D. Project Officer specified in the cover letter of this Cooperative Agreement. If several individuals are travelling together to one site, a single report representing the group will suffice. The report shall include the purpose of the trip, technical observations, suggestions and recommendations, overall impressions of the site situation (if appropriate), and a list of persons visited with their title and organization affiliation.

1E.2.(g)            Training Reports

1E.2.(g)(1)    If the Standard Provision entitled "Participant Training" applies to this Cooperative Agreement (see Section 1K. for applicability), the Recipient shall comply with reporting and information requirements of the Standard Provision of this Cooperative Agreement entitled "Participant Training," as well as Chapters 5 and 24 of A.I.D. Handbook 10.

1E.2.(g)(2)    The Recipient shall also provide five (5) copies of quarterly training reports to the A.I.D. Project Officer, covering this Cooperative Agreement. The report shall include the following information:

- Total number of new trainees during the period; and
- The following information for each LDC trainee:
  - name
  - citizenship
  - gender
  - training site
  - beginning and ending dates of training
  - purpose of training
  - type of training activities
  - source of funding

1E.2.(g)(3)    The Recipient shall provide ten (10) copies of all training manuals produced under this Cooperative Agreement to the A.I.D. Project Officer.

1E.2.(h)            Final Report

Within 90 days following the estimated completion date of this Cooperative Agreement (see Section 1B. above), the Recipient shall submit five (5) copies of a final report to the AID/FHA/PVC Project Office, following PVC final report guidelines and including items specified by the project officer, specified in the cover letter of this Cooperative Agreement. One copy should be provided to the Agreement Officer whose address appears on the cooperative agreement cover letter. The final report includes but is not limited to the findings of the final evaluation, and the results of a final survey. In addition, two copies shall be submitted to A.I.D., POL/CDIE/DI, Washington, DC 20523-1802. It will cover the entire period of the Cooperative Agreement and include all information shown in Sections 1E.2.(b) through 1E.2.(f) above. As part of the final report, a full financial report including a complete pipeline analysis is required.

**1F.            SUBSTANTIAL INVOLVEMENT UNDERSTANDINGS**

Ecuador is approved for direct in-country program support under this agreement.

It is understood and agreed that A.I.D. will be substantially involved during performance of this Cooperative Agreement as follows:

**1F.1.        Annual Workplan** - The A.I.D. Project Officer will be consulted during the development of the annual workplan reports, and have the right of final approval of all areas of the workplan where A.I.D. funds are included. The annual report should follow the annual report guidelines and should summarize inputs, outputs, progress to date, constraints, and highlights from the preceding year. The Report should also include reporting on the standard A.I.D. indicators for ORT, immunization and growth monitoring and nutrition interventions which are required of all programs receiving child survival funding. Field reports prepared by the recipient's regional and technical specialists, as well as FHA/PVC consultants will also be included in the Annual Report. A mid-term evaluation report will replace the 2nd annual report. This evaluation will be scheduled in collaboration with AID.

**1F.2.        Workplan Revisions** - The A.I.D. Project Officer will be consulted and have the right of approval for revisions of the annual workplan which involves the use of A.I.D. funds.

**1F.3.        Field Visits** - Pursuant to the standard provision of this Cooperative Agreement entitled "Air Travel and Transportation," the A.I.D. Project Officer must provide advance approval of all international travel.

**1F.4.        Field Activities** - The A.I.D. Project Officer will be involved in, and must approve, the selection of sites, methodologies and strategies to be used in field activities funded under this Cooperative Agreement.

**1F.5.        Consultants** - The A.I.D. Project Officer must approve, in advance, the selection of consultants retained by the Recipient.

**1F.6.        Participants** - Where A.I.D. funds are used, the A.I.D. Project Officer must approve, in advance, the selection of technical trainees or scientists for participation in training activities.

**1F.7.        Principal Investigator/Program Manager** - The A.I.D. Project Officer must approve, in advance, the selection of the individual that the recipient proposes to make responsible for the management and operation of the proposed project, regardless of the position title of the individual investigator and any alternate.

1F.8. Subcontracts and Subagreements - The A.I.D. Project Officer must approve, in advance, the terms of reference or scope of work of all subcontracts and subagreements awarded by the Recipient. If required by Paragraphs (b)(5) or (b)(6) of the Standard Provision entitled "Revision of Grant Budget," or the Standard Provision entitled "A.I.D. Eligibility Rules for Goods and Services," the Agreement Officer must approve subcontracts (see the Standard Provision entitled "Procurement of Goods and Services") and subagreements (see the Standard Provision entitled "Subagreements").

1F.9 Mid-Term Evaluation A mid-term project evaluation will be scheduled in collaboration with AID. The Recipient should work closely with the FHA/PVC Project Officer to plan for this evaluation including scheduling and participants. The results of this evaluation will be submitted to FHA/PVC as a mid-term evaluation report in accordance with mid-term evaluation report guidelines provided by FHA/PVC.

1F.10 Final Evaluation A final independent evaluation in collaboration with A.I.D. will be carried out in the final year of the program to evaluate program effectiveness and impact. This final evaluation must be completed prior to the expiration date of the agreement, in accordance with final evaluation guidelines provided by FHA/PVC. The final evaluation will be submitted to A.I.D. as part of the final report as explained under Final Report in Section 1E.2.(h).

## 1G. PROCUREMENT AND (SUB)CONTRACTING

### 1G.1. Applicability

This Section 1G. applies to the procurement of goods and services by the Recipient (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Cooperative Agreement entitled "Procurement of Goods and Services" and "AID Eligibility Rules for Goods and Services"), and not to assistance provided by the Recipient (i.e., a [sub]grant or subagreement) to a subrecipient (see the Standard Provision of this Cooperative Agreement entitled "Subagreements").

### 1G.2. Requirements

In addition to other applicable provisions of this Cooperative Agreement, the Recipient shall comply with paragraph (b)(1) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," concerning total procurement value of less than \$250,000 under this Cooperative Agreement. If, under the order of preference set forth in paragraph (b)(1)(i) of said Standard Provision, the Recipient procures goods or services from cooperating country sources, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" shall also apply. However, paragraph (b)(1) of the Standard Provision entitled "AID

Eligibility Rules for Goods and Services" does not apply to: the restricted goods listed in paragraph (a)(3) of said Standard Provision and paragraph (e) of the Standard Provision entitled "Local Cost Financing," which must be specifically approved by the Agreement Officer in all cases, except to the extent that such approval may be provided in Section 1I. below; or to paragraph (d) of said Standard Provision pertaining to air and ocean transportation, to which the Standard Provisions entitled "Air Travel and Transportation" and "Ocean Shipment of Goods" apply, respectively. Paragraph (b)(2) of the Standard Provision entitled "AID Eligibility Rules for Goods and Services" does not apply.

Geographic Code(s):

1G.2.(a) Authorized Geographic Codes

1G.2.(a)(1) Source, Origin, and Componentry of Goods and Commodities

1G.2.(a)(1)(A) Source, Origin, and Componentry

Except as specified in Sections 1G.2.(a)(1)(B) and 1G.2.(a)(1)(D) below, all goods/commodities shall have their source and origin in the United States of America or the cooperating country, and shall meet A.I.D.'s componentry requirements, except as the Agreement Officer may otherwise agree in writing (see also Section 1G.2.[a][4] below).

Note: Cooperating Country is defined as any country in which research, training, technical, or other assistance takes place.

1G.2.(a)(1)(B) Exception for Purchase/Procurement Transactions not Exceeding \$5,000

If the proposed purchase/procurement transaction does not exceed \$5,000 excluding transportation costs, paragraph (b)(1) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" shall apply in lieu of Section 1G.2.(a)(1)(A) above.

1G.2.(a)(1)(C) Local Cost Financing

If, pursuant to Sections 1G.2.(a)(1)(A) or 1G.2.(a)(1)(B) above, the cooperating country is authorized for source and origin purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, indigenous goods and imported shelf items provided by local suppliers are eligible for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

1G.2.(a)(1)(D)      Restricted Goods

Notwithstanding the foregoing, the restricted goods listed in paragraph (a)(3) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1G.2.[a][1][C] above or Section 1K. below for applicability), paragraph (e) of the Standard Provision entitled "Local Cost Financing," must be specifically approved by the Agreement Officer, except to the extent that such approval may be provided in Section 1I. below.

1G.2.(a)(2)      Eligibility of Commodity-Related Services

1G.2.(a)(2)(A)      Ocean Transportation

The eligibility of ocean transportation services is determined by the flag registry of the vessel. Notwithstanding the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods," ocean shipping financed hereunder shall, except as the Agreement Officer may otherwise agree in writing, be financed only on flag vessels of the United States (A.I.D. Geographic Code 000). If the Agreement Officer approves the use of non-U.S. flag vessels, the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods" will apply. Notwithstanding any of the foregoing, commodities shipped by a transportation medium owned, operated, or under the control of any country not included in A.I.D. Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible for A.I.D. financing hereunder, regardless of whether such transportation costs are financed hereunder. Moreover, commodities are ineligible for A.I.D. financing hereunder if shipped on a vessel which A.I.D. has designated as ineligible, regardless of whether such transportation costs are financed hereunder. Commodities are also ineligible for A.I.D. financing hereunder if shipped under an ocean charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

1G.2.(a)(2)(B)      Dead Freight

Transportation costs attributable to dead freight are not eligible for A.I.D. financing.

1G.2.(a)(2)(C)      Despatch and Demurrage

If the Recipient finances the delivery costs beyond the port of loading, the Recipient must refund to A.I.D. all despatch earned at the port of unloading. Demurrage costs are ineligible for A.I.D. financing.

1G.2.(a)(2)(D) Air Transportation

The eligibility of air travel and transportation services is determined by the flag registry of the aircraft. The Standard Provision of this Cooperative Agreement entitled "Air Travel and Transportation" applies. Commodities are ineligible for A.I.D. financing hereunder if shipped under an air charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

1G.2.(a)(2)(E) Marine Insurance

The Authorized Geographic Code for marine insurance is the same as is set forth in Section 1G.2.(a)(3)(B) below. Paragraph (c) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" applies. If the Cooperating Country is authorized for the placement of marine insurance but discriminates against any marine insurance company authorized to do business in any state of the United States, failure to insure all A.I.D.-financed commodities with U.S. insurance companies shall render the commodities ineligible for A.I.D. financing hereunder.

1G.2.(a)(2)(F) Other Delivery Services

No special eligibility requirements pertain to other delivery services (such as export packing, loading, commodity inspection services, and services of a freight forwarder) except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of delivery services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

1G.2.(a)(2)(G) Incidental Services

Incidental services are defined as installation or erection of A.I.D.-financed equipment or the training of personnel in the maintenance, operation, and use of such equipment. No special eligibility requirements pertain to incidental services except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of incidental services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

1G.2.(a)(2)(H) Local Cost Financing

If, pursuant to this Section 1G.2.(a)(2), the cooperating country is authorized for commodity-related services, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, services provided by local suppliers are eligible

for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2.(a)(3) Nationality of Supplier**

**1G.2.(a)(3)(A) Suppliers of Goods and Commodities**

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of goods and commodities shall have their nationality in the United States of America or the cooperating country, except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(B) Suppliers of Services (Other Than Commodity-Related Services)**

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of services (other than commodity-related services, as described in Section 1G.2.[a][2] above) shall have their nationality in the United States or the cooperating country, except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(C) Government Owned Organizations**

Notwithstanding the foregoing, a Government Owned Organization, i.e., a firm operated as a commercial company or other organizations (including nonprofit organizations other than public educational institutions) which are wholly or partially owned by governments or agencies thereof, are not eligible as suppliers of goods and commodities, commodity-related services, or services (other than commodity-related services), except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(D) Local Cost Financing**

If, pursuant to this Section 1G.2.(a)(3), the cooperating country is authorized for supplier nationality purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, local suppliers are eligible for local cost financing of indigenous goods, imported shelf items, and services in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

1G.2.(a)(4) Definitions

1G.2.(a)(4)(A) Source, Origin, Componentry, and Nationality of Supplier

Source, origin, componentry requirements, and supplier nationality are defined in Chapter 5 of A.I.D. Handbook 1, Supplement B, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by reference.

1G.2.(a)(4)(B) A.I.D. Geographic Codes

A.I.D. Geographic Codes are defined in Appendix D of A.I.D. Handbook 18, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by reference.

1G.3. Approvals

Inclusion of costs in the budget of this Cooperative Agreement for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) for prior approval of such purchases by the Agreement Officer, nor any other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 11. below.

1G.4. Title to Property

Title to property acquired hereunder shall vest in the Recipient, subject to the requirements of the Standard Provision of this Cooperative Agreement entitled "Title To and Use of Property (Recipient Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 11. below.

1H. INDIRECT COST RATES

1H.1. Pursuant to the Standard Provision of this Cooperative Agreement entitled "Negotiated Indirect Cost Rates - Provisional," an indirect cost rate or rates shall be established for each of the Recipient's accounting periods which apply to this Cooperative Agreement. Pending

establishment of final or revised provisional indirect cost rates, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate(s) applied to the base(s) which is (are) set forth below:

<u>Type</u>	<u>Rate</u>	<u>Effective Period</u>	
		<u>From</u>	<u>Through</u>
Provisional	55%	07-01-90	- Until Amended

1/ Base of Application: Salaries and wages, including all fringe benefits.

II. SPECIAL PROVISIONS

II.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs

II.1.(a) Employee Salaries

Except as the Agreement Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Recipient for any costs allocable to the salary portion of direct compensation paid by the Recipient to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

II.1.(b) Consultant Fees

Compensation for consultants retained by the Recipient hereunder shall not exceed, without specific approval of the rate by the Agreement Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

## 11.2. Publications

11.2(a) The Recipient agrees to provide one copy of the manuscript of any proposed publication to the A.I.D. Project Officer not later than submission to the publisher, and to give serious consideration to any comments received from the A.I.D. Project Officer.

11.2(b) In the case of publication of any of the reports described in Section 1E.2. of this Cooperative Agreement, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves the right to dissociate itself from sponsorship or publication. In both cases, the Recipient will consult with the A.I.D. Project Officer as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

11.2(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Recipient shall, in accordance with the Standard Provision of this Cooperative Agreement entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of Private and Voluntary Cooperation, Bureau of Food and Humanitarian Assistance, U.S. Agency for International Development, under Cooperative Agreement No. FAO-0500-A-00-2050-00."

11.3(d) In addition to providing one copy of all published works and lists of other written work produced under this Cooperative Agreement to the A.I.D. Project Officer, as required by paragraph (b) of the Standard Provision of this Cooperative Agreement entitled "Publications," the Recipient shall also provide two copies of such publications and lists to A.I.D., POL/CDIE/DI, Washington, D.C. 20523-1802.

## 11.3. Equipment Purchases

### 11.3.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Cooperative Agreement entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section 13 of Attachment B of OMB Circular A-122, the Recipient must obtain A.I.D. Agreement Officer approval for purchases of the following:

11.3.(a)(1) General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property which is usable for other than research, medical, scientific or technical activities, whether or not special modifications are needed to make them suitable for a particular purpose (e.g., office equipment and furnishings, air conditioning equipment, reproduction and printing equipment, motor vehicles, and automatic data processing equipment), having a useful life of more than two years and an acquisition cost of \$500 or more per unit); and

11.3.(a)(2) Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or technical activities (e.g., microscopes, x-ray machines, surgical instruments, and spectrometers), and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit).

11.3.(b) Approvals

In furtherance of the foregoing, the Agreement Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Section 1C. above):

1. N/A

11.3.(c) Exception for Automation Equipment

Any approval for the purchase of automation equipment which may be provided in Section 11.4.(b) above or subsequently provided by the Agreement Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Recipient must, under such circumstances, obtain the approval of the Agreement Officer for the total planned system of any automation equipment, software, or related services.

11.3.(d) Compliance with A.I.D. Eligibility Rules

Any approvals provided in Section 11.4.(b) above or subsequently provided by the Agreement Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Cooperative Agreement, unless specifically stated.

11.4. Restricted Goods

Pursuant to Section 1G. above, paragraph (a)(3) of the Standard Provisions of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1K. below for applicability), paragraph (e) of the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing," the Agreement Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Agreement Officer does hereby provide such approval to the extent set forth below. The Agreement Officer's approval is required for purchases of such restricted goods if all of the conditions set forth below are not met by the Recipient. Any approval provided below or subsequently provided by the Agreement Officer shall not serve to waive any terms and conditions of this Cooperative Agreement unless specifically stated.

#### 11.4.(a) Agricultural Commodities

Agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Agreement Officer.

#### 11.4.(b) Motor Vehicles

Motor vehicles, if approved for purchase under Section 11.4.(b) above or subsequently approved by the Agreement Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The source of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 1G.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks.

#### 11.4.(c) Pharmaceuticals

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 1G. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 1G. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 1G. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Recipient and the Standard Provision of this Cooperative Agreement entitled "Procurement of Goods and Services."

#### 11.4.(d) Pesticides

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of

plant or animal life or viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or dessicant.

**11.4.(e)           Rubber Compounding Chemicals and Plasticizers**

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Agreement Officer.

**11.4.(f)           Used Equipment**

Used equipment may only be purchased with the prior written approval of the Grant Officer.

**11.4.(g)           Fertilizer**

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Grant Officer.

**11.5.           Limitation on Use of Funds**

**11.5.(a)**           The Recipient shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

**11.5.(b)**           The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 11.6.(a) above.

**11.5.(c)**           The Recipient agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 11.6.(a) above.

**11.5.(d)**           No funds provided by A.I.D. under this Cooperative Agreement shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Cooperative Agreement entitled "Ineligible Countries").

**11.6. Defense Base Act (DBA) and/or Medical Evacuation Insurance**

Pursuant to Section J.16 of OMB Circular A-21 (for educational institutions) or Section 18 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions), the Recipient is authorized to purchase DBA and/or medical evacuation insurance under this Cooperative Agreement.

**1J. Closeout Procedures**

**1J.1.**

This paragraph prescribes uniform closeout procedures for A.I.D. grants and cooperative agreements with recipients.

**1.J.2.**

The following definitions shall apply for the purpose of this paragraph.

**1.J.2.(a) Closeout** The closeout of a grant or cooperative agreement is the process by which A.I.D determines that all applicable administrative actions and all required work of the grant or cooperative agreement have been completed by the Recipient and A.I.D.

**1.J.2.(b) Date of completion** The date of completion is the date on which all work under grants and cooperative agreements is completed or the date on the award document, or any supplement or amendment thereto, on which A.I.D. sponsorship ends.

**1.J.2.(c) Disallowed Costs** Disallowed costs are those charges to a grant or cooperative agreement that A.I.D. or its representatives determines to be unallowable, in accordance with the applicable Federal cost principles or other conditions contained in the grant or cooperative agreement.

**1.J.3.** A.I.D. closeout procedures include the following requirements:

**1.J.3.(a)** Upon request, A.I.D. shall make prompt payments to a recipient for allowable reimburseable costs under the grant or cooperative agreement.

1.J.3.(b) The Recipient shall immediately refund any balance of unobligated (unencumbered) cash that A.I.D. advanced or paid and that is not authorized to be retained by the recipient for use in other grants or cooperative agreements.

1.J.3.(c) A.I.D. shall obtain from the recipient within 90 calendar days after the date of completion of the grant or cooperative agreement all financial, performance, and other reports required as the condition of the grant or cooperative agreement. A.I.D. may grant extensions when requested by the recipient.

1.J.3.(d) When authorized by the grant or cooperative agreement, A.I.D. shall make a settlement for any upward or downward adjustments to A.I.D.'s share of costs after these reports are received.

1.J.3.(e) The Recipient shall account for any property acquired with A.I.D. funds, or received from the Government in accordance with the provisions of paragraph 1T of A.I.D. Handbook 13.

1.J.3.(f) In the event a final audit has not been performed prior to the closeout of the grant or cooperative agreement, A.I.D. shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

**1K. RESOLUTION OF CONFLICTS**

Conflicts between any of the Attachments of this Cooperative Agreement shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 2 - Program Description
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on Lobbying"
- Attachment 5 - A.I.D. Eligibility Rules for Goods and Commodities

**1L. STANDARD PROVISIONS**

The Standard Provisions set forth as Attachment 3 of this Cooperative Agreement consist of the following Standard Provisions denoted by an "X" which are attached hereto and made a part of this Cooperative Agreement:

**1L.1. Mandatory Standard Provisions For U.S., Nongovernmental Grantees**

- ( X ) Allowable Costs (November 1985)
- ( X ) Accounting, Audit, and Records (September 1990)
- ( X ) Refunds (September 1990)
- ( X ) Revision of Grant Budget (November 1985)

- ( X ) Termination and Suspension (May 1986)
- ( X ) Disputes (November 1989)
- ( X ) Ineligible Countries (May 1986)
- ( X ) Debarment, Suspension, and Other Responsibility Matters (March 1989)
- ( X ) Nondiscrimination (May 1986)
- ( X ) U.S. Officials Not to Benefit (November 1985)
- ( X ) Nonliability (November 1985)
- ( X ) Amendment (November 1985)
- ( X ) Notices (November 1985)

1L.2. Additional Standard Provisions For U.S.,  
Nongovernmental Grantees

- ( X ) Payment - Letter of Credit (November 1985)
- ( ) Payment - Periodic Advance (January 1988)
- ( ) Payment - Cost Reimbursement (November 1985)
- ( X ) Air Travel and Transportation (November 1985)
- ( X ) Ocean Shipment of Goods (May 1986)
- ( X ) Procurement of Goods and Services (November 1985)
- ( X ) AID Eligibility Rules for Goods and Services (November 1985)
- ( X ) Subagreements (November 1985)
- ( X ) Local Cost Financing (November 1988)
- ( ) Patent Rights (November 1985)
- ( X ) Publications (November 1985)
- ( ) Negotiated Indirect Cost Rates - Predetermined (May 1986)
- ( X ) Negotiated Indirect Cost Rates - Provisional (May 1986)
- ( X ) Regulations Governing Employees (November 1985)
- ( X ) Participant Training (May 1986)
- ( X ) Voluntary Population Planning (August 1986)
- ( X ) Protection of the Individual as a Research Subject (November 1985)
- ( ) Care of Laboratory Animals (November 1985)
- ( ) Government Furnished Excess Personal Property (November 1985)
- ( X ) Title To and Use of Property (Grantee Title) (November 1985)
- ( ) Title To and Care of Property (U.S. Government Title) (November 1985)
- ( ) Title To and Care of Property (Cooperating Country Title) (November 1985)
- ( X ) Cost Sharing (Matching) (November 1985)
- ( X ) Use of Pouch Facilities (November 1985)
- ( X ) Conversion of United States Dollars to Local Currency (November 1985)
- ( X ) U.S. Officials Not to Benefit (November 1985)
- ( X ) Nonliability (November 1985)
- ( X ) Amendment (November 1985)
- ( X ) Notices (November 1985)

**1M. COST SHARING AND OTHER CONTRIBUTIONS**

**1M.1.** The Recipient agrees to expend an amount not less than (a) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from non-federal funds (see Sections 1D. and/or 1H.), and (b) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from other federal funds.

**1M.2.** The Standard Provision of this Cooperative Agreement entitled "Cost Sharing (Matching)" makes reference to project costs. "Project Costs" are defined in Attachment E of OMB Circular A-110 as all allowable costs (as set forth in the applicable cost principles [see the Standard Provision of this Cooperative Agreement entitled "Allowable Costs"]) incurred by a Recipient and the value of in-kind contributions made by the Recipient or third parties in accomplishing the objectives of this Cooperative Agreement during the program period.

**1M.3.** The restrictions on the use of A.I.D. funds provided hereunder, as set forth in this Cooperative Agreement, do not apply to cost-sharing (matching) or other contributions unless such restrictions are stated in the applicable federal cost principles and/or imposed by the source of such cost-sharing (matching) funds or other contributions.

PROGRAM DESCRIPTION

The summary of the Recipient's proposal entitled "Project HOPE Ecuador Child Survival Project" and dated May 4, 1992 is attached hereto as the Program Description (Attachment 2) and is made a part of this Cooperative Agreement.

Project HOPE/Ecuador  
CS-VII

1. SUMMARY DESCRIPTION OF THE PROJECT

The goal of this project is the reduction of mortality and morbidity in children under five and women of fertile age by extending Project HOPE's CS-V project in Ecuador to replicate the project's activities in neighboring areas and achieve sustainability. The extension area (project area 1, PA1) includes about 150 communities in the highland province of Azuay and 340 communities in the coastal province of Manabi. The expansion area (project area 2, PA2) includes approximately 40 communities in Azuay and 100 in Manabi. The total estimated beneficiary population for the project is 132,000. This includes 90,473 in PA1 and 41,527 in PA2 (see Table A, following page 1).

Apart from the geographic expansion, the proposed activities differ essentially from the CS-V project only in the addition of ALRI as an intervention. Other proposed interventions, in order of priority, are: 1. control of diarrheal diseases; 2. family planning and maternal care; 3. nutrition; 4. Vitamin A; 5. immunization; and 6. upgrading the vital statistics reporting systems, to improve the quality and reliability of mortality and morbidity data.

The project also seeks to increase community participation and achieve project sustainability by training new and retraining existing community health volunteers (CHVs) and traditional birth attendants (TBAs), by training MOH auxiliaries in CS technical and management skills (including the training and supervision of CHVs/TBAs), and by promoting the establishment of groups such as mothers' clubs to address community health concerns (with a gradual withdrawal of project supervision and support).

The project, based on lessons-learned during the first 18 months of the CS-V project and on the recommendations of the external midterm evaluators, would continue the close collaboration with the national and provincial MOH. The activities described in this proposal were, in fact, planned to be complementary to the new national CS project funded by A.I.D./E, which targets the national and provincial levels of the delivery system. This proposal focuses on health education and promotion at the community level.

The program would be strengthened by an income-generating project, for which Project HOPE is seeking funding through a Matching Grant, which would increase the ability of women in Manabi to purchase food and medicine for their families and would empower them to take a more active role in their communities.

## 2. PROJECT ADJUSTMENTS IN VIEW OF BUDGET CUTS

a. In the second year, the CS-V project's activities in two cantons will be phased over to the MOH. These cantons will be selected jointly with the appropriate MOH counterparts, based on the following criteria: a) most progress made in the reduction of child and maternal morbidity and mortality; b) MOH cantonal counterparts have assumed supervision of community volunteers and TBAs; and c) mothers' clubs and other community groups are active and require little supervision.

b. In addition, the project duration had to be shortened to maintain the quality of activities. As pointed out by the technical reviewers of the proposal, "the number of professional health workers seems low..." and "the ratio of health worker to community appears inadequate." However, since local staff salaries and indirect costs (which, per Foundation practice, are calculated only on staff salaries) constitute 72.7% of the field proposal, other cuts were difficult to make.

It is expected that CS-V funding will cover the project through approximately October 31, 1992. The proposed duration of this CS-VII project, to be initiated on or about November 1, 1992, will be 28 months. Adjustments in the project outputs by intervention, reflecting the shorter project duration, will be made in the Detailed Implementation Plan.

## 3. OTHER ADJUSTMENTS

Vitamin A activities will be added in select communities of four to six of the cantons. The participating communities will be selected on the basis of poor nutritional status of children under six and low availability and consumption of vegetables and fruit rich in Vitamin A. The focus in these communities will be on nutrition education, production and consumption of foods rich in Vitamin A. The communities will receive additional time from the project nutritionists and from two agricultural specialists in the development of home gardens.

**Section D. PROJECT DESIGN AND DURATION**

**D.1. Project Duration.** The project duration is 36 months.

**D.2. Goals, Objectives, and Outputs. Goals.** The primary goal of this project is the reduction of infant (particularly peri-natal) and child mortality and morbidity by increasing demand for preventive CS interventions through outreach to disperse communities. A second goal is the continued development and implementation of community education methodologies to strengthen the process of regionalization and achieve project sustainability.

**Objectives and Outputs.** Outputs take into account that new areas will have significantly lower coverage rates than CS-V areas.

**Sustainability - Objective 1.** Achieve sustainability in the CS-V area by the end of Year 3.

**Outputs:**

- MOH staff (24 physicians, 20 nurses, 60 auxiliary nurses) gain technical and administrative CS skills and increase their involvement in TBA and CHV training and supervision and community outreach.
- MOH makes resources available for community outreach (e.g., per diems, training funds for replacement CHVs and TBAs).
- Mothers' clubs and/or health committees meet monthly, with gradually decreasing project supervision.

**Monitoring - Objective 2.** Baseline survey, Midterm and Final KAP surveys conducted in each province to monitor project achievements; quality of data collected improves.

<u>Output 1:</u>	Year 1	Year 2	Year 3
Baseline*	A: 2/93 M: 3/93		
Midterm		A: 5/94 M: 6/94	
Final			A: 11/96 M: 12/96

\* New cantons only; final survey becomes baseline for CS-V areas.

**Output 2.**

- 85% of CHVs and TBAs report their activities regularly and make appropriate referrals by project end.
- Project nurses conduct verbal autopsies on all deaths of children under three reported by volunteers and follow-up on all reported cases of measles or polio.

**ORT - Objective 3.** Increased use of ORT or home-based liquids, improved feeding practices during and after diarrheal episodes.

<u>Outputs.</u>	Year 1	Year 2	Year 3
% receiving ORT/home liquids	25%	30%	50%
% receiving improved feeding	45%	55%	70%

**Immunization - Objective 4.** Increase complete EPI coverage of children by age one and women of fertile age with TT2.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- Children 12-23 months completely immunized	65%	75%	85%
- % women delivered in past 12 months with TT2	30%	45%	60%

Nutrition - Objective 5. Mothers will improve their knowledge of child nutrition and feeding practices, appropriate for age.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- % of infants breastfed or fed with age-appropriate foods	30%	40%	60%
- % of children gaining weight	60%	70%	80%

Family Planning/Maternal Care - Objective 6. High-risk pregnancies will decrease due to improved delivery practices and referral skills of TBAs; increased use of pre-natal and post-partum care; and increased contraceptive prevalence.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- % of pregnant women receiving some prenatal care	55%	65%	75%
- % of women in union using modern contraceptive	25%	30%	40%

ARI - Objective 7. CHVs will be able to diagnose mild, moderate, and severe ARIs in infants and young children, advise mothers in the treatment of mild ARIs, refer moderate and severe cases to a health facility, and provide follow-up.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- 60% of referred children receive follow-up visit from CHV to assure compliance to prescribed treatment at home.			

Community Participation - Objective 8. The project will train 300 CHVs and 190 TBAs, provide regular refresher training for existing 100 CHVs and 180 TBAs (by 1992), and assist in establishing 210 mothers' clubs.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- Training of new CHVs	100	100	100
- Training of new TBAs	90	60	40
- Mothers' clubs established	75	70	65

Improvement of Vital Statistics - Objective 9. The project will continue to train MOH auxiliaries and civil registrars in the correct recording of births and deaths and promote the importance of timely recording in the communities.

<u>Outputs.</u>	Year 1	Year 2	Year 3
- Auxiliaries/Registrars trained	72	60	-
- # of communities educated	140	120	40