



U.S. AGENCY FOR
INTERNATIONAL
DEVELOPMENT

SEP 3 1993

Assistant
Administrator
for Asia

Mr. Jacque Baudot
Controller
Department of Administration
and Finance
United Nations
Room S-2627A
New York, NY 10017

Subject: Cambodia Democratic Initiatives Project (442-0111); United Nations Human Rights Trust Fund Grant 442-0111-G-00-3428-00

Dear Mr. Baudot:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or the "Grantor"), hereby grants to the Secretary General of the United Nations (hereinafter referred to as the "Grantee"), the sum of Five Hundred Thousand United States Dollars (\$500,000) ("Grant") to provide resources to the United Nations Transitional Authority to Cambodia (UNTAC) for the United Nations Trust Fund for a Human Rights Education Program in Cambodia, as further described herein.

The Grant is effective as of the date of this letter. Obligation is made, and shall apply to expenditures made by the Grantee, in furtherance of Program objectives during the period beginning with the effective date of this Grant and ending one year after Grant commences. If UNTAC departs Cambodia within the one year Grant period, arrangements will be made to transfer responsibility for the implementation and management of the Grant to an appropriate United Nations body. These arrangements will be made in close consultation with the A.I.D. Representative in Phnom Penh.

This Grant is made to the Grantee on condition that the funds will be administered in accordance with the terms and conditions set forth in Attachment 1 (the Schedule);

BEST AVAILABLE DOCUMENT

Attachment 2 (Program Description); and Attachment 3 (the Standard Provisions): all of which have been agreed to by your organization.

Please indicate your acceptance of this Grant and of the terms of this Agreement by signing the original and six (6) copies in the space provided below, returning the original and four (4) copies to me at the address shown below.

Room 6210 NS
Agency for International Development
Washington, D.C. 20523

You may retain two (2) copies for your files.

Sincerely,

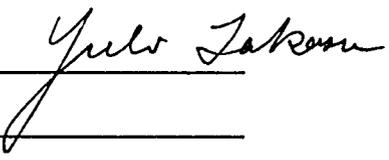
THE UNITED STATES
OF AMERICA

BY: 
George Laudato
Acting Assistant
Administrator
Bureau for Asia

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACCEPTED:

UNITED NATIONS
BY: Yukio Takasu 
Title: Controller
Date: 29 September 1993

Clearances: A-DAA/ASIA:LMorse M Date: 7/2/93

ASIA/DR, PForbes PF Date: 8/26/93

ASIA/DR/PD, JDempsey (draft) Date: 8/26/93

ASIA/DR/PD/EA, TMarr (draft) Date: 3/25/93

ASIA/DR/TR, RWhitaker (draft) Date: 3/25/93

ASIA/FPM, PDavis (draft by RDaugherty) Date: 3/26/93

EA/ASIA, MFeldstein (draft) Date: 3/24/93

GC/ASIA, GBisson (draft) Date: 8/26/93

STATE/IO, Terry Miller (phone) Date: 3/31/93

STATE/HA, DWelty (phone) Date: 4/2/93

FA/FM/A/P, BKrell (phone) Date: 4/1/93

POL/IDP, TBeck (subs.) Date: 3/25/93

Fiscal Data:

Project Number: 442-0111

Appropriation: 72-113.41037

Budget Plan Code: HES3-93-37442-IG-15 (370-67-442-01-69-31)

Total Estimated Amount: \$500,000

Total Amount Committed: \$500,000

Paying Office: FA/FM/A/LC; Room 612 SA-2

PIO/T No. 442-0111-3-3672550

cc: Srini Vasan
 A.I.D./W
 FA/OP/PS/SUP
 1440, SA-14
 Washington, DC 20523

OAR/Phnom Penh, LTwentyman
 RSM/EA, GMorris
 ASIA/DR/PD, CSuggs

FA/FM/A/NPA & PA	
OFFICE OF FINANCIAL MANAGEMENT	
ACTION:	<u>Kesawe</u>
DATE:	<u>9/1/93</u> INITIALED <u>PA</u>

8/93

The Schedule

A. Purpose

The purpose of this Grant is to provide support for the United Nations Transitional Authority to Cambodia's (UNTAC's) efforts to orchestrate a nationwide program of human rights education and monitoring activities through the UN Trust Fund for a Human Rights Education Program in Cambodia, as more specifically described in Attachment 2 (the Program Description) of this Grant.

B. Period of Grant

- 1. The effective date of this Grant is the date of signature of the cover letter. The expiration date of this Grant is one year from the date of signature of cover letter.
- 2. Funds obligated hereunder are available for program expenditures for the effective period of this Grant and as shown in the Grant Budget below.

C. Amount of Grant and Payment

- 1. A.I.D. hereby obligates the amount of \$500,000 for the purposes of this Grant.
- 2. Payment shall be made to the Grantee through the United Nations' letter of credit in accordance with the procedures set forth in Attachment 3, the Standard Provisions, para. 11, "Payment (Letter of Credit)."

D. Grant Budget

The following is the Grant Budget. Revisions to this Budget shall be made in accordance with the Standard Provisions of this Grant, para. 3, entitled "Revision of Grant Budget."

Cost Element	Total Estimated Cost
Various Sub-agreements	\$500,000

E. Audit and Records

The Grantee will provide A.I.D. with a final report on Grant activities as specified in Attachment 3, the Standard Provisions, para. 10, "Audit and Records (U.N. Grants)."

F. Special Provisions

1. No funds under this Grant shall be made available or used for any of the following:

a. Influencing the outcome of any election, including support to any individual candidate or party;

b. Purchasing vehicles of other than United States source and origin;

c. Assistance to the Khmer Rouge; and,

d. Financing the operational or administrative costs of the UN or its agencies.

2. Except for election monitoring training program activities, no election-related activities shall be funded under this Grant within a forty-five (45) day period prior to any election held in Cambodia. Nor shall funds provided through this Grant be awarded to human rights groups with affiliation to a particular political party or candidate.

3. Prior to awarding funds from the Grant to organizations that successfully compete for them, the UNTAC/Human Rights Staff in Cambodia will consult with the A.I.D. Representative in Phnom Penh on prospective sub-grantees. To reduce the chances of this grant duplicating other human rights education, training and monitoring activities funded in Cambodia through A.I.D. auspices, UNTAC/Human Rights Staff will provide the A.I.D. Representative with information on proposals funded by this Grant; e.g., sub-grantees selections, including information on the rationale for selection and on the size of sub-grantees' awards; the identity of groups targeted under sub-grantees' proposals; and, the nature, scope and geographic coverage of activities.

4. In addition to reports specified in section E, the Grantee will provide a comprehensive, written report of Grant and sub-grant accomplishments. The report will provide a list of all organizations and activities funded through the Grant, with specific funding levels of awards to each organization. The report will be submitted to the U. S. Mission to the UN in New York (USUN) not later than ninety (90) days after the expiration of this Grant. The USUN will forward the report to ASIA/DR/TR, the Democracy Officer in Washington, D. C. and to the A.I.D. Representative, Phnom Penh.

The report will be written in English. Any additional details concerning reporting format and requirements will be worked out between the A.I.D. Representative in Phnom Penh and UNTAC's Phnom Penh-based Human Rights Component Staff.

Program Description

A. Background

The Agreement on a Comprehensive Political Settlement of the Cambodian Conflict, signed in Paris on October 23, 1991, notes that Cambodia's recent tragic history requires special measures to assure protection of human rights, and the non-return to the policies of the past. A process of reform of the legislative, judicial and administrative structures, as well as of social norms, attitudes and political institutions, will be necessary to achieve these long-term objectives. The United Nations' has contributed to this process during the current transitional period, with the assumption by UNTAC of responsibility for fostering an environment in which respect for human rights is ensured. The creation of such an environment is essentially a task of education and training.

The Secretary-General, in his report to the Security Council on Cambodia, said that "the development and dissemination of a human rights education program is . . . the cornerstone of UNTAC's activities in fostering respect for human rights..." in Cambodia. Cambodia's unfortunate human rights history -- and lack of exposure to the rule of law, independent human rights organizations, and general accountability of the authorities -- make the fostering of the environment of which the Paris Agreement speaks a formidable task. A.I.D. has been asked to support UNTAC's efforts to establish an environment in which human rights will be respected.

B. Purpose of Agreement

The purpose of this Grant is to provide funding to UNTAC to support the UN Trust Fund for a Human Rights Education Program in Cambodia for support to human rights education and monitoring activities in Cambodia.

C. Project Description

The escalation in violence associated with the May 1993 elections in Cambodia makes it clear that increased human rights education and training are needed to promote and sustain community and civic commitment to democratic governance. To this end, it is critical to provide the maximum support to local organizations engaged in human rights education activities.

This Grant supports the human rights education and monitoring activities of Cambodia-based, non-governmental organizations (NGOs) through the UN Trust Fund for a Human Rights Education

Program in Cambodia. The Trust Fund will award sub-grants competitively to organizations on the basis of criteria which were established by the Human Rights Component of the U. N. Transitional Authority to Cambodia (UNTAC).

Except for election monitoring training program activities, no election-related activities shall be funded by this Grant during the forty-five (45) day period prior to any election in Cambodia.

1. Selection Criteria

UNTAC will award grant funds for proposals on the basis of applicants's demonstrated capability. Applicants are not expected to provide all of the information listed below. However, priority consideration will be given to those applicants that provide most of the following information.

a. Organizational Competence

- (1) Experience in developing countries, especially in Southeast Asia.
- (2) In-depth knowledge of the current realities and political context of Cambodia, while maintaining a strictly neutral position with regard to the Cambodian parties.
- (3) Structure, board, management and annual budget that demonstrates a capacity to implement projects reliably, responsibly and effectively.
- (4) Competence in the human rights field.
- (5) Competence in the specific field of activity (teacher training, legal skills, etc.), including the capacity to draw upon individuals with the special skills required for the project submitted.
- (6) Experience with semi-literate and rural populations.
- (7) Contribution from the applicant organization of 30% of the funds required for the project.

b. Project Implementation Skills

- (1) Practical educational purpose aimed at one or more of the target groups identified in the proposal with preference to teaching or training of trainers.

- (2) Realistic budget, with minimal administrative cost (10%) and no planning or institutional support, indicating other sources of funding, materials, equipment and vehicles to be acquired, and salaries of staff.
- (3) Priority placed on short-term, maximum impact prior to mid-1993, with emphasis on pre-electoral programs, and indication of ability to sustain and manage activities if they continue beyond the period of the UNTAC presence.
- (4) Written proposal that sets out clearly the rationale of the project, the competence of the applicant organization, the qualifications of the individuals to implement the project, the budget, the location of project activities, the number of persons to be reached, the implementation schedule, and the procedure for evaluation and monitoring.
- (5) Involvement of Cambodians in the implementation of the project.
- (6) Prospects for lasting impact of the project.

Final selection of sub-grantees will be made by the Human Rights Component staff. Formal approval by A.I.D. is not required. However, the UNTAC/Human Rights Staff in Cambodia will consult with the A.I.D. Representative in Phnom Penh on prospective sub-grantees. To reduce the chances of this grant duplicating other human rights education, training and monitoring activities funded in Cambodia through A.I.D. auspices, UNTAC/Human Rights Staff will provide the A.I.D. Representative with information on proposals funded by this Grant; e.g., a list of sub-grantees selected, including information on the rationale for selection and on the size of sub-grantees' awards; the identity of groups targeted under sub-grantees' proposals; and, the nature, scope and geographic coverage of activities.

2. Implementation

The Grant will be administered by the Education, Training and Information Unit of the Human Rights Component of UNTAC which also administers the Trust Fund. For most of the activities funded by this Grant, proposals have already been received and reviewed in accordance with previously outlined criteria. An illustrative list of likely sub-grantees is provided below:

- a. Election Monitoring Training Program for Cambodia Human Rights Groups

- b. Training of trainers in eight provinces develop grass roots education through local human rights organizations.
- c. Training of local human rights organizations in human rights monitoring, reporting and documentation.
- d. Training of the Cambodian NGO delegation to 1993 UN Commission of Human Rights, including the function of treaty-monitoring bodies and various reporting and complaint procedures.
- e. Education materials on Buddhism and human rights, based on previous research to be disseminated for purposes of study groups.
- f. Adaptation of education materials "Human Rights for All" into Khmer and pilot testing in three provinces.
- g. Conference of educators with experience in other parts of the world to assess human rights education in Cambodia and draw lessons for future human rights education.
- h. Develop, with existing women's groups, a human rights education program for women in rural areas and organize ten training activities.

Grant administration shall be pursuant to the regulations and procedures of the United Nations. During the life of the Grant, Phnom Penh-based, UNTAC/Human Rights Staff will consult with the A.I.D. Representative in Phnom Penh regarding progress of sub-grantee activities.

3. Reports

The Grantee will submit a comprehensive, written report of Grant and sub-grant accomplishments to the U. S. Mission to the UN in New York (USUN) within ninety (90) days of Grant expiration. A complete list of all organizations funded through the Grant, and specific funding levels will be included. The report will be written in English. Any additional reporting requirements will be worked out between the A.I.D. Representative in Phnom Penh and UNTAC's Phnom Penh-based Human Rights Component staff.

Financial reporting will be in accordance with the terms and conditions of Attachment 3, the Standard Provisions, para. 10, "Audit and Records (UN)".