



AGENCY FOR INTERNATIONAL DEVELOPMENT  
UNITED STATES A. I. D. MISSION TO COSTA RICA

PD-ARBH-438

85718

May 15, 1989

APO. Miami, FL. 34020  
Telephone: 20-45-45  
Telex 3550 AIDCR KR  
Fax (506) 20-34-34

MEMORANDUM

TO: Mr. Terrence Brown, LAC/DR  
FROM: Mr. Carl H. Leonard, MDIR   
SUBJECT: USAID/Costa Rica Semi-Annual Project Reports for the Period  
October 1, 1988 - March 31, 1989

*whiz*  
Attached for your review are the USAID/Costa Rica Semi-Annual Project Reports for the Period October 1, 1988 - March 31, 1989. The Mission is reporting this semester on 16 dollar projects with a total authorization of \$266.2 million. One project is being reported on for the first time (Justice Sector Improvement Project, 515-0244).

This package also includes the Semi-Annual Reports for 13 local currency activities, including two Trust funded projects requested by A.I.D./W, (Rural School Refurbishment 515-0194A - MOU No. 19 and Self Help Community Fund 515-0222 - Local Currency Agreement) and 11 selected by the Mission for review.

This past period has been quite active with a number of significant accomplishments. In December the Mission mutually programmed nearly \$190 million in local currency with the GOCR. Also signed with the government was the local currency Implementation Agreement to permit the programming of host country owned local currency for public and private sector activities, and A.I.D. operating expenses, without the need for Legislative Assembly approval. In addition, the PAAD for Economic Stabilization and Recovery VIII and the Project Paper for Forest Resources for a Stable Environment (FORESTA) were completed and approved.

There have been no major evaluation recommendations. The two audit recommendations, one for Family Planning Self Reliance, 515-0168, and the other for Northern Zone Infrastructure Development, 515-0191, are being dealt with. Each is on the Mission's tracking system and progress is reviewed monthly.

Of the 16 dollar projects, only one is classified as a "C" project (Agricultural and Industrial Reactivation, 515-0223). Ten other projects are classified as "A" projects. Three projects (Family Planning Self Reliance, 515-0168.02/03; PVO Support Grant, 515-0232; and Private Sector Low Cost Housing, 515-HG-007) have been reclassified from category "B" to "A" this period. One project (Non-traditional Agricultural Exports, 515-0237) has been reclassified from "C" to "A".

The 13 local currency reports include eleven "A" and two "B" activities (FINTRA/CODESA and the Rural Housing Project).

With the package of project reports, I have also included a summary table of the financial information for each dollar project. Since the nature of ESR VII is distinctly different from the rest of the dollar projects being reported on, it has been listed separately at the bottom of the table. The subtotal figures reflect DA project activity.

There are several notable performance indicators:

1. A 10.6% decrease in the DA authorization level (\$181 million this period, \$203 million last period);
2. A 41.3% increase in project expenditures as compared with last period, (this period \$12.2 million, last period \$8.4 million);
3. A 9.5% decrease in the pipeline (this period \$74.6 million, last period \$82.5 million); and
4. As a percent of the authorized portfolio, the pipeline has increased only slightly from 40.7% to 41.2% (this period \$74.6 million pipeline and \$181.2 million authorized, last period \$82.5 million pipeline and \$202.6 million authorized).

Two projects account for 45.7% of the current pipeline. There is a pipeline of over \$15 million in the combined regional and bilateral Central American Peace Scholarships projects (CAPS), 597-0001 and 515-0242. Obligations levels are a result of high allocations from AID/W, with obligations exceeding expected expenditure rates. There is also nearly a \$19 million pipeline in the Agricultural and Industrial Reactivation Project (AIR), 515-0223. Expenditures lag due to a persistent low demand for dollar loans, though it is anticipated loan fund draw downs will increase in the next reporting period.

During the next period, the Mission will continue portfolio consolidation through deobligations and project terminations. To make better use of Mission resources, the Mission plans to deobligate nearly \$12 million from the AIR Project, extend the project one year, and reprogram the funds to higher priority projects, the Regional Agricultural Higher Education Project (EARTH), 596-0129B, and the Policy and Training Support Project, (PATS), 515-0241. Further, \$6 million from the Private Sector Low Cost Shelter Project, 515-HG-007, will be deauthorized and the project terminated. In addition, the Urban Employment and Improvement Program, 515-HG-006, will terminate.

For this next semester the Mission has already authorized \$7.5 million and obligated \$5.5 million for Forest Resources for a Stable Environment, 515-0243. The Mission also plans to authorize the Policy and Training Support Project, 515-0241 for \$5.0 million.

Finally, as you will note, this is the first period that Semi- Annual Reports have been prepared using the Management Information System (MIS). The MIS software extracts financial data from MACS, combines it with WANG documents, and prepares a report including a table of contents and project financial data summaries by Mission office. It is intended that the reports be both concise and comprehensive. Please refer to the glossary of terms at the beginning of each report package for the definitions of the abbreviations used. We will continue to make adjustments to increase its management utility. For the next period's reports, some improvements will be made, including the narrative format presentation, expenditure planning for host country owned local currency activities, and combining dollar and local currency reports into a single package.

DOLLAR PROJECTS

(\$000)

USAID/COSTA RICA

QUARTERLY PROJECT REPORT

REPORT DATE:05/01/69

USAID/COSTA RICA SEMI-ANNUAL REPORTS SUMMARY TABLE, DOLLAR PROJECTS, OCTOBER 1, 1988 - MARCH 31, 1989

PROJECT NUMBER	PROJECT TITLE	PACD	CATE-GORY	TOTAL AUTHORIZED (\$000)	TOTAL OBLIGATED (\$000)	EXPENDITURE LAST PERIOD	EXPENDITURE THIS PERIOD	EXPENDITURE CUMULATIVE	PIPELINE
515-0168 .02/.03	Family Planning Self Reliance	7/93	A	8,500 (G)	3,650 (G)	0	272,115	272,115	3,377,885
515-0190	Policy, Planning, and Administrative Improvement	6/90	A	8,900 (G)	8,900 (G)	244,777	465,545	6,301,854	2,598,146
515-0204	Private Investment Corporation	2/90	B	20,000 (L)	20,000 (L)	2,850,132	2,443,651	13,467,395	6,532,605
515-0212	Training for Private Sector	9/91	A	1,000 (G)	1,000 (G)	43,655	22,739	315,076	684,924
515-0223	Agricultural and Industrial Reactivation	8/89	C	5,000 (G)	5,000 (G)	467,142	599,733	1,793,310	3,206,690
515-0232	PVO Support Grant	6/91	A	19,650 (G)	19,650 (L)	212,000	660,038	1,107,038	18,542,962
515-0235	Northern Zone Consolidation Project	9/93	B	350 (G)	350 (G)	0	0	0	350,000
515-0237	Non-Traditional Agricultural Exports	8/91	A	4,900 (G)	4,900 (G)	643,933	699,009	2,643,040	2,256,960
				5,150 (G)	5,150 (G)	0	52,114	52,114	5,097,886
515-0244	Justice Sector Improvement	8/91	A	3,500 (G)	3,500 (G)	130,795	91,891	223,891	3,276,109
596-01298	Regional Agricultural Higher Education	9/90	B	2,900 (G)	1,500 (G)	0	0	0	1,500,000
597-0001 (515-0242)	Central American Peace Scholarships (CAPS)	9/95	B	26,400 (G)	7,000 (G)	270,000	627,000	1,088,000	5,912,000
597-0002 (598-0642)	Regional Administration of Justice	8/93	A	10,300 (G)	10,300 (G)	1,091,843	811,672	9,070,257	1,229,743
515-HG-006	Urban Employment and Improvement Program	8/93	A	18,262 (G)	18,262 (G)	947,589	1,720,880	3,045,142	15,216,858
515-HG-007	Private Sector Low-Cost Housing	12/92	B	14,991 (G)	14,991 (G)	1,481,834	1,254,847	10,141,765	4,849,349
		N/A	A	11,400 (L)	11,400 (L)	0	2,410,044	11,400,000	0
		12/88	A	20,000 (L)	14,000 (L)	0	0	14,000,000	0
Subtotal				181,203	149,553	8,383,700	12,131,278	74,920,996	74,632,117
515-0236	Economic Stabilization and Recovery VII	N/A	A	85,000 (G)	85,000 (G)	45,000,000	40,000,000	85,000,000	0
<b>TOTAL</b>				<b>266,203</b>	<b>234,553</b>	<b>53,383,700</b>	<b>52,131,278</b>	<b>159,920,996</b>	<b>74,632,117</b>

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## SEMIANNUAL PROJECT REPORTS - GLOSSARY OF TERMS

The following terms or abbreviations are explained:

ABBREV. TITLE	COMPLETE TITLE	DEFINITION
PRJ # & NAME	PROJECT NUMBER AND NAME	Assigned number and title of project followed by "G" or "L" signifying "Grant" or "Loan", respectively.
CAT	CATEGORY	A full description of all possible categories follow.
-- A	CATEGORY "A" PROJECT	This classification is for projects that have no major implementation problems.
-- B	CATEGORY "B" PROJECT	This classification is for projects where previous problems have been corrected and the project is back on track.
-- C	CATEGORY "C" PROJECT	This classification is for projects with major problems requiring management attention.
-- OOS	ON ORIGINAL SCHEDULE	This classification is for projects that are proceeding well along the original schedule with minimal delays and no major problems. The project purpose remains valid and progress towards it is satisfactory. Expenditures are on target and within the planned budget.
-- ORS	ON REVISED SCHEDULE	This classification is for projects that have fallen behind the original schedule are proceeding satisfactorily on a revised schedule. Such problems and delays as are encountered are being resolved. Project purpose remains satisfactory and the project is within budget.
PROJ OFF	PROJECT OFFICER	USAID Mission Officer responsible for the management of the project.
OFF	OFFICE	An administrative unit within the USAID/Costa Rica.
DIV	DIVISION	An administrative unit within an Office.
POSTED ON	POSTED ON	Date for which the semiannual project report was prepared.
AGRDT	AGREEMENT DATE	Date on which the Project Agreement was signed.
IPACD	INITIAL PROJECT ASSISTANCE COMPLETION DATE	Date, as specified in the original Project Agreement, by which AID assistance ought to be completed.
PACD	PROJECT ASSISTANCE COMPLETION DATE	The Initial Project Assistance Completion Date (IPACD), as amended.

SEMIANNUAL PROJECT REPORTS - GLOSSARY OF TERMS  
Continued

ABBREV. TITLE	COMPLETE TITLE	DEFINITION
TDDA	TERMINAL DATE FOR DISBURSEMENT AUTHORIZATION	The last date for requesting disbursing authorizations under a loan.
TDD	TERMINAL DISBURSEMENT DATE	The last date for requesting payments under the project.
LEVDT	LAST EVALUATION DATE	Date on which the last project evaluation was completed.
NEVDT	NEXT EVALUATION DATE	Approximate date or fiscal year quarter during which the next project evaluation will commence.
AUTH	AUTHORIZED	The maximum project amount as specified by the Project Authorization (\$000).
OBLIG	OBLIGATED	The amount of the Grant or Loan as specified by the Project Agreement (\$000).
COMM	COMMITTED	The value of all contracts executed to date under the project (\$000).
CUM EXP	CUMULATIVE EXPENDITURES	Expenditures accumulated to date since project inception (\$000).
CUM DIS	CUMULATIVE DISBURSEMENTS	Portion of cumulative expenditures which are actual cash outlays accumulated to date since project inception (\$000).
ACCRUED	ACCRUED	Total expenditures minus total disbursements on the last day of the current quarter (\$000); i.e., "CUM EXP" minus "CUM DIS".
PIPELINE	PIPELINE	Unexpended portion of the obligated amount; i.e., "OBLIG" minus "CUM EXP".
IFY TGT	TOTAL FISCAL YEAR TARGET	Planned expenditures for the total fiscal year (all four quarters) (\$000).
% IFY TGT	PERCENT TOTAL FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned expenditures for the total fiscal year (\$000).
FY EXP TD	FISCAL YEAR EXPENDITURES TO DATE	Actual expenditures for this fiscal year through the last completed quarter (\$000).
FY TGT TD	FISCAL YEAR TARGET TO DATE	Planned expenditures for this fiscal year through the last completed quarter (\$000).
% FY TGT	PERCENT FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned fiscal year expenditures through the last completed quarter (\$000).
LOP	LENGTH OF PROJECT	The period from the date of project authorization to PACD.

**IMPLEMENTATION & NON-MACS PROJECTS**

PROJ. DEV. & PROGRAM OFFICES

-----  
USAID/COSTA RICA

00500/00005

EXPENDITURE STATUS REPORT  
AS OF 03/31/89, (END OF 2ND QTR)  
(BY OFFICE, \$000)  
00005/00500

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	FISCAL YEAR '89 EXPENDITURE PERFORMANCE				
						PLANNED FY 89	PLANNED THRU 2ND QTR	ACTUAL THRU 2ND QTR	ACTUAL % PLAN THRU 2ND QTR	FY 89 BAL AGAINST TOT YR TGT
5150190.00G	POLICY PLANNING & ADMIN.IMP	ORS	8,900	6,302	2,598	1,600	800	466	58.25	1,134
515K236.00G	ECON. STAB. & RECOVERY VII	OOS	85,000	85,000	0	85,000	95,000	85,000	100.00	0
TOTAL : — 00500/00005			93,900	91,302	2,598	86,600	85,800	85,466	99.61	1,134

PRJ NAME: Policy, Planning and Admin. Improvement CAT: A PROJ OFF: J.VanDenBos OFF: Program POSTED ON: 3/31/89  
 PRJ #:5150190.00G AGRDT: 05/05/83 LEVDT: OBLIG: 8,900 CUM EXP: 6,302 PIPELINE: 2,598 FY EXP TC: 466  
 TDDA:03/30/86 IPACD: 06/30/86 NEVDT: AUTH: 8,900 CUM DIS: 5,839 TFY TGT: 1,600 FY TGT ID: 800  
 TDD:03/30/89 PACD: 06/30/88 COMM: 7,381 ACCRUED: 463 TFY TGT: 29.00 FY TGT: 58.25

PARTIES TO AGR.: Ministry of the Presidency COUNTERPART PLANNED: \$2,950 % LOP ELAPSED 95  
 MAJ. GOCR AGENCY: Ministry of the Presidency COUNTERPART ACTUAL: \$1,763 % TOT OBLIG EXP 71  
 IMPLEMENTING AGENCIES: USAID/Costa Rica CONTRACTORS: Various including - CINDE, Ohio Ste. U. , Carana Corp., and CCSS

A. PROJECT PURPOSE AND DESCRIPTION:

To make inputs through five inter-related categories of assistance to policy formulation and planning, and design and implementation of management/administrative systems and programs, which are required to achieve the goals and objectives of the CBI for Costa Rica, and also to help spread the benefits of growth on an equitable basis as recommended by the NBCCA.

B. IMPLEMENTATION PROGRESS: (units indicate TA contracts, PIO/P's, studies, assessments and other activities)

LOP	Cumulative	Planned for Period
1.Economic Policy Formulation - 10	1. 6	1. 1; 3 in process
2.Private Sector Performance - 24	2. 14	2. 3; 6 in process
3.Managerial/Admin. Imp. - 7	3. 7	3. 1; 2 in process
4.Special Provision -5	4. 6	4. 2; 5 in process
5.Spreading of Benefits - 6	5. 5	5. 0; 2 in process

Actual in Period

Comments if less than planned

- |  |   |
|--|---|
| 1. Incentives/disincen. to Exports Study                                 | 1. Approximately \$1.5 million in project funding is under PIO/T, but not yet committed. Delays have been due to lack of local currency availabilities and contracting problems with RCO/Guatemala and AID/W. |
| 2. CAAP Subgrant; Ohio State T.A.; Customs T.A.                          |   |
| 3. INCOFER   |   |
| 4. William Binford, Flavio LaBarca T.A.                                  |   |
| 5. Mgmt. Training for Caja Costarricense de Seguro Social (CAJA) ongoing |   |

Next Period Plans

- Four economic studies completed
- Six private sector activities ongoing
- Controller General T.A. Started
- BANVHI T.A. started and Housing Advisor on board
- Mgmt. Training for CAJA ongoing

C. OTHER ACCOMPLISHMENTS & ISSUES:

- Municipal Development study started
- Earmarks for CARANA and Ohio State T.A.
- Controller General T.A. contracted
- Long-term T.A. funding in light of RIG Letter
- BANVHI T.A. signing

D. STATUS OF CP'S AND COVENANTS: Met

E. STATUS OF AUDITS: N/A

F. STATUS OF EVALUATIONS: mid-term evaluation done in FY 85

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

PRJ NAME: Economic Stabilization & Recovery VII  
 PRJ #:515K236.00G AGRDT: 06/10/88 LEVDT: OBLIG: 85,000 CUM EXP: 85,000 PIPELINE: 0 POSTED ON: 03/31/89  
 TODA:12/31/99 IPACD: 12/31/99 NEVDT: AUTH: 85,000 CUM DIS: 0 TFY TGT: 85,000 FY EXP TD: 85,000  
 TDD:09/28/00 PACD: 12/31/99 COMM: 85,000 ACCRUED: 85,000 % TFY TGT: 100.00 % FY TGT: 85,000  
 % FY TGT: 100.00

3

PARTIES TO AGR.: GOCR & AID  
 MAJ. GOCR AGENCY: Central Bank of Costa Rica; Ministry of the Presidency  
 IMPLEMENTING AGENCIES: Central Bank of Costa Rica  
 COUNTERPART PLANNED: 85,000 % LOP ELAPSED N/A  
 COUNTERPART ACTUAL: 85,000 % TOT OBLIG EXP 100  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: To assist the Government of Costa Rica (GOCR) to reduce its balance of payments deficit, improve external debt management, stabilize the economy, and reactivate production.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. \$85 million in balance of payments ESF assistance disbursed	\$85 million	\$40 million
2. \$85 million in eligible imports	\$85 million	\$40 million

Actual in Period      Comments if less than planned

- |  |        |
|--|--------|
| 1. Second and final tranche of \$40 million disbursed 11/88.   | 1. N/A |
| 2. Costa Rican imports of eligible imports well exceeded the required \$40 million for the reporting period. | 2. N/A |

Next Period Plans

1. N/A-- Project Completed.

C. OTHER ACCOMPLISHMENTS & ISSUES: The second and final disbursement of \$40 million under ESR VII took place on November 8, 1988. Disbursement occurred following Mission assessment of satisfactory GOCR compliance with ESR VII conditionality, particularly on its economic program for 1988, maintenance of a unified exchange rate, positive real interest

rates, avoidance of allocative credit categories, restriction of subsidized credit, maintenance of 1987 public sectorization employment levels, etc. The 1988 GOCR Economic Plan was evaluated in terms of net domestic assets of the Central Bank, banking system net credit to the non-financial public sector and central government cumulative expenditures. The Economic Plan aimed to restrain credit expansion in order to dampen domestic demand, dampen the trade deficit, strengthen the balance of payments and increase foreign exchange reserves. The greatest concern proved to be inflation which surged to 25% due to expectations linked to the 6% step devaluation of January 1988, monetization of the increase in foreign exchange reserves, continued expansion of non-traditional exports and the bidding of resources to this dynamic sector, a relatively liberal wage adjustment policy, and bad weather that created shortages in the second half of 1988. GDP grew at 4% in 1988.

D. STATUS OF CP'S AND COVENANTS: As noted above, the Mission judged the GOCR to be in substantial compliance with ESR VII CP's and covenants. A separate semiannual covenant compliance report is prepared by the Mission and was last submitted to LAC/UR in October 1988. The report noted Mission concern for GOCR compliance with certain housing sector covenants, as some housing entities have undertaken activities outside their established mandates. The Mission is monitoring the situation and has negotiated corrective actions in ESR VIII conditionality.

E. STATUS OF AUDITS: The Mission contracted with Peat, Marwick and Mitchell to verify the eligibility of import transactions by reviewing supporting documentation at the Central Bank, and also providing recommendations on improving BCCR internal controls. For ESR VII, 100% of imports equal to disbursements were verified prior to release of ESF dollars from the Separate Account.

F. STATUS OF EVALUATIONS: N/A

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

3

TRAINING OFFICE

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USAID/COSTA RICA

00530/00006

M

AS OF 03/31/89, (END OF 2ND QTR)  
 (BY OFFICE, \$000)  
 00006/00530

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	FISCAL YEAR '89 EXPENDITURE PERFORMANCE				FY 89 BAL AGAINST TOT YR TGT	
						PLANNED FY 89	PLANNED THRU 2ND QTR	ACTUAL THRU 2ND QTR	ACTUAL % PLAN THRU 2ND QTR		
5150212.00G	TRAINING FOR PRIVATE SECTOR	OOS	5,000	1,793	3,207	3,656	1,788	599	33.50	3,057	
5150242.00G	CENTRAL AMER PEACE SCHOLARS	OOS	18,262	3,045	15,217	4,451	2,200	1,721	78.22	2,730	
5970001.01G	CEN.AMERICA PEACE SCHOLARSH	OOS	10,300	9,070	1,230	1,200	600	812	135.33	388	
<b>TOTAL :</b>			<b>00530/00006</b>	<b>33,562</b>	<b>13,908</b>	<b>19,654</b>	<b>9,307</b>	<b>4,588</b>	<b>3,132</b>	<b>68.26</b>	<b>6,175</b>

PRJ NAME: Training for Private Sector Development CAT: A PROJ MGR: Joy Lucke OFF: TD/OPS POSTED ON: 3/31/89  
 PRJ #:5150212.00G AGRDT: 09/28/84 LEVDT: OBLIG: 5,000 CUM EXP: 1,793 PIPELINE: 3,207 FY EXP TD: 599  
 TDDA:09/30/89 IPACD: 09/30/89 NEVDT: AUTH: 5,000 CUM DIS: 1,349 TFY TGT: 3,656 FY TGT TD: 1,788  
 TDD:06/30/90 PACD: 09/30/89 COMM: 3,537 ACCRUED: 444 \* TFY TGT: 16.00 \* FY TGT: 33.50

PARTIES TO AGR.: CINDE/PROCAP; A.I.D.  
 MAJ. GOCR AGENCY: N/A  
 IMPLEMENTING AGENCIES: CINDE/PROCAP

COUNTERPART PLANNED: N/A \* LOP ELAPSED 90  
 COUNTERPART ACTUAL: N/A \* TOT OBLIG EXP 36  
 CONTRACTORS: Education Development Center for ST/US only

A. PROJECT PURPOSE AND DESCRIPTION: Strengthen human resources for prvt. sector develop. via training activities. Training in U.S. and C.R. of C.R. professionals and technicians in prvt., non-trad. productive sector, the financial and univ. sectors.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. Private Sector		
a. ST/US: 238 part.	42 part.	60 part.
b. In CR 2,200 part.	1,231 part.	467 part.
2. Financial Sector		
a. ST/US: 49 part.	0 part.	14 part.
b. In CR:1,100 part.	1,265 part.	40 part.
c. LT/US: 8 M.S.	8 sent	0 part.
	4 returned M.A.	
3. Univ. Sector		
a. ST/US: 24 Part.	0	7 part.
b. In CR: 700 part.	676 Part.	30 part.
c. LT/US: 14 M.S.	12 M.S./4 Phd.sent	0 part.
	3 Ph. D.	2 M.S. returned

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Overall-Emphasis on implementing EDC Short-term US contract. Very good relations from all parties and initial feedback on program quality is very positive. Planning Progress Eval. in Aug., 1989.

2. Over next few months, review of remaining funds and possible reprogramming within project.

D. STATUS OF CP'S AND COVENANTS:

All met.

E. STATUS OF AUDITS:

Annual audits continue to be done each calendar year.

F. STATUS OF EVALUATIONS:

-Interim proj. eval. (May 1988) rec. more emphasis on financial viability. By June, 1989, PROCAP to have reassessed allocation of costs and determined baseline from which to increase costs. PROCAP will immediately increase tuitions by 10% and monitor impact. EDC mid-contract progress evaluation to be conducted in 8/89.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A". All major elements in place and no major obstacles to meeting goals anticipated.

Actual in Period

Actual in Period	Comments if less than planned
1. a. 29 part.	TIP dev. time longer than planned.
b. 299 part.	Excessive attrition of coordinators.
2. a. 0 part.	Low support by Priv. Bankers. Efforts continue. BCCR require programs in Spanish. Few exist. Efforts continue.
b. 34 part.	Jan.-March dedicated to re-eval. of sector needs.
c. 0 part.	-
3. a. 0 part.	Slower candidate selection by Univ. Efforts continue.
b. 50 part.	-
c. 0 part.	-

ABBREVIATION KEY:

ST - Short-term  
 part. - participant(s)  
 TIP - Training Implementation Plan  
 EDC - Education Development Center

Next Period Plans

1. a. 44 part.  
 b. 104 part.  
 2. a. 0 part.  
 b. 115 part.  
 c. 0 part.  
 3. a. 3 part.  
 b. 95 part.  
 c. 2 M.S.

PRJ NAME: Central America Peace Scholarships (CAPS) CAT: A PROJ OFF: David Lusk OFF: TD POSTED ON: 03/31/89  
 PRJ #:5150242.00G AGRDT: 04/08/87 LEVDT: OBLIG: 18,262 CUM EXP: 3,045 PIPELINE: 15,217 FY EXP TD: 1,721  
 TDDA:08/28/93 IPACD: 08/28/93 NEVDT: AUTH: 18,262 CUM DIS: 2,936 TFY TGT: 4,451 FY TGT TD: 2,200  
 TOD:05/28/94 PACD: 08/28/93 COMM: 8,297 ACCRUED: 109 % TFY TGT: 39.00 % FY TGT: 78.22

PARTIES TO AGR.: A.I.D.; GOCR COUNTERPART PLANNED: NA % LOP ELAPSED 31%  
 MAJ. GOGR AGENCY: None COUNTERPART ACTUAL: NA % TOT OBLIG EXP 17%  
 IMPLEMENTING AGENCIES: USAID CONTRACTORS: PIET, USDA, various sub-contractors

**A. PROJECT PURPOSE AND DESCRIPTION:**

To increase the number of U.S.-trained individuals at the planning, technical, and administrative levels; To counter Soviet/Cuba bloc training initiatives by targeting members of socio-economically disadvantaged classes for training. Long-term (academic: college and high school) and short-term (technical) training programs are components of the project and candidates are carefully selected according to CAPS guidelines, including economic need, leadership potential, and rural origins. Cultural "instruction" through the "Experience America" component complements academic/technical training.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 1822 total partic. 30% long-term 40% women 70% disadvantaged	1. 1245 partic. 18% long-term 42% women over 90%	1. 135 short-term 2. 65 long-term 3. FUNAC Coop.Agr. executed.

**Actual in Period**

- 135 short-term
- 65 long-term
- Coop. Agr. signed with FUNAC.

**Comments if less than planned**

- Planned departures were achieved during reporting period.

**Next Period Plans**

- 60 long-term continue in ELT.  
142 high school depart in June.  
35 short-term will depart.

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

- CTP approved and praised by AID/W.
- 5 TD staff attended TCA workshop.
- Delay in LC obligation delayed initiation of ELT.
- USAID/USIS collaboration on Rural Journalists group.

**D. STATUS OF CP'S AND COVENANTS:**

None

**E. STATUS OF AUDITS:**

CAPS has not been audited. No plans exist for an audit in the future.

**F. STATUS OF EVALUATIONS:**

The last process evaluation of CAPS/CR was done in Fall, 1987 and reported in February, 1988. Aguirre International has a contract with AID/W for an on-going process evaluation of CLASP. CAPS/CR is evaluated less rigorously on an annual basis; conclusions appear in the CLASP Annual Report. We do not know when the next complete evaluation of CAPS/CR will be done.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "A". CAPS remains on track in spite of the problems with ELT contracts.

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PRJ NAME: Central America Peace Scholarships (CAPS)      CAT:      PROJ OFF: David J. Lusk      OFF: TD      POSTED ON: 03/31/89  
 PRJ #:5970001.01G      AGRDT: 05/15/85      LEVDT:      OBLIG: 10,300      CUM EXP: 9,070      PIPELINE: 1,230      FY EXP TD: 812  
 TDDA:08/28/93      IPACD: 08/28/93      NEVDT:      AUTH: 10,300      CUM DIS: 9,070      TFY TGT: 1,200      FY TGT ID: 600  
 TDD:05/28/94      PACD: 08/28/93      COMM: 10,093      ACCRUED: 0      % TFY TGT: 68.00      % FY TGT: 135.33

PARTIES TO AGR.:      A.I.D., GOCR      COUNTERPART PLANNED: C149,200      % LOP ELAPSED 46%  
 MAJ. GOGR AGENCY:      None      COUNTERPART ACTUAL: C118,151      % TOT OBLIG EXP 88%  
 IMPLEMENTING AGENCIES: USAID      CONTRACTORS: PIET, USDA, and various sub-contractors

NOTE: This project, 5970001.01G, and 5150242.00G are jointly implemented. This report only contains financial information for 5970001.001G. Please see the narrative describing project implementation progress on the previous page.

6/

OFF. OF PRIVATE SECTOR

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USAID/COSTA RICA

00610/00007

AS OF 03/31/89, (END OF 2ND QTR)  
 (BY OFFICE, \$000)  
 00007/00610

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED FY 89	PLANNED THRU 2ND QTR	ACTUAL THRU 2ND QTR	ACTUAL % PLAN THRU 2ND QTR	FY 89 BAL AGAINST TOT YR TGT
5150204.00G	PRIVATE INVESTMENT CORPORAT	ORS	1,000	315	685	375	175	23	13.14	352
5150204.00L	PRIVATE INVESTMENT CORPORAT	ORS	20,000	13,467	6,533	7,121	332	2,443	73.56	4,678
5150223.00G	AGROINDUSTRIAL REACTIVATION	OOS	350	0	350	260	100	0	0.00	260
5150223.00L	AGROINDUSTRIAL REACTIVATION	OOS	19,650	1,107	18,543	12,740	4,900	660	13.47	12,080
TOTAL : ——— 00610/00007			41,000	14,889	26,111	20,496	5,507	3,126	56.76	17,370

2

PRJ NAME: Private Investment Corporation      CAT: B      PROJ MGR:Vinzenz Schmack OFF: OPS      POSTED ON: 03/31/89  
 PRJ #:5150204.00G    AGRDT: 08/31/84    LEVDT:      OBLIG: 1,000    CUM EXP: 315    PIPELINE: 685    FY EXP TD: 23  
 TDDA:08/31/88    IPACD: 08/31/88    NEVDT:      AUTH: 1,000    CUM DIS: 307    TFY TGT: 375    FY TGT TD: 175  
 TDD:11/28/90    PACD: 02/28/90      COMM: 316    ACCRUED: 8    % TFY TGT: 6.00    % FY TGT: 13.14

PARTIES TO AGR.: Private Investment Corporation (PIC); A.I.D.      COUNTERPART PLANNED: 0      % LOP ELAPSED 85  
 MAJ. GOCR AGENCY: NONE      COUNTERPART ACTUAL: N/A      % TOT OBLIG EXP 28  
 IMPLEMENTING AGENCIES:Private Investment Corporation (PIC)      CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

The Grant Fund was established to assist PIC in staff training and Project evaluation and analysis.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. \$1,000,000	1. \$315,000	1. \$175,000

Actual in Period

Comments if less than planned

1. 0	1. The usage have been slower than anticipated.
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Next Period Plans

1. \$50,000  
 Mission will continue to pressure PIC to take advantage of the Technical Assistance grant.

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. The Mission recognizes that the fund can still play an important role in PIC's development and, therefore, the availability of the fund was extended until the PACD of the Loan Agreement (02/28/90). The Grant agreement was changed by obliging PIC to participate in the costs. For project evaluation PIC participates with 35% and for training 50%.

D. STATUS OF CP'S AND COVENANTS: All met.

E. STATUS OF AUDITS: Annual CY audits being conducted in conjunction with Loan projects by independent CPA firms.

F. STATUS OF EVALUATIONS: Evaluation planned for May.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "B".

NOTE: Further project implementation detail can be found in the report on the loan-financed portion of this project. See next page.

**PRJ NAME:** Private Investment Corporation      **CAT:** B      **PROJ MGR:** Winrenz Schmack   **OFF:** OPS      **POSTED ON:** 03/31/89  
**PRJ #:** 5150204.00L   **AGRD:** 08/31/84   **LEVDT:**      **OBLIG:** 20,000      **CUM EXP:** 13,467      **PIPELINE:** 6,533      **FY EXP TD:** 2,443  
**TDDA:** 08/31/88      **IPACD:** 08/31/88   **MEVDT:**      **AUTH:** 20,000      **CUM DIS:** 12,742      **TFY TGT:** 7,121      **FY TGT TD:** 332  
**TDD:** 05/31/89      **PACD:** 08/31/88      **COMM:** 14,250      **ACCROED:** 725      **& TFY TGT:** 34.00      **& FY TGT:** 73.56

**PARTIES TO AGR.:** Private Investment Corporation (PIC); A.I.D.      **COUNTERPART PLANNED:** 10,000      **& LOP ELAPSED** 85  
**MAJ. GOCR AGENCY:** NONE      **COUNTERPART ACTUAL:** 10,155      **& TOT OBLIG EXP** 64  
**IMPLEMENTING AGENCIES:** Private Investment Corporation (PIC)      **CONTRACTORS:** N/A

**A. PROJECT PURPOSE AND DESCRIPTION:** To establish a viable Private Investment Corporation (PIC) to provide investment packaging services, medium and long-term credit, and financing for export oriented investments. The PIC will strengthen the private sector's capacity to undertake new export oriented projects, either through the creation of new enterprises or the modernization/expansion of existing enterprises.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. Yr 4 loan vol. goal \$20,000,000	1. \$12,742,000	1. \$3,321,000
2. New proj. identified and financed - 21	2. 32	2. 7
3. Efficient functioning of credit and proj. monitoring system; timely interest and prin. pay'ts; low delinquencies.	3. 8 loans past-due on interest and principal.	3.

Actual in Period	Comments if less than planned
1. \$2,443,651	1. Unexpected delays from approval to actual disbursement.
2. 12	2. 6 projects under study.
3. Credit and monitoring system functioning efficiently.	3. Problem loans under control. Total past-due: 8 loans, \$426,710 in principal and \$201,658 in interest.

**Next Period Plans**

- Mission will continue to pressure PIC for increases in the disbursement for development/proj. loans and co-investments
- Disbursement of \$4 million
- PIC expects to achieve total disbursement on or before 2/29/90. They have 6 projects totalling \$2.8 million ready to be presented for Board approval and 6 projects totalling \$2.5 million under study.

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

- Amount of problem loans not alarming since PIC is in a strong financial position with \$478,000 in 1988 earnings and \$480,000 in reserves for loan losses; PIC capital base also improved with the recent \$1 mil. subscription agreement with the Commonwealth Development Corporation, bringing PIC's equity to \$10,494,000 as of 12/31/88.
- PIC generated investments are \$106.9 mil. It also generated \$66.5 mil. in foreign exchange and 2,225 jobs. Approximately 45% of its loans are for agriculture and agro-industry products for nontraditional exports.
- The new shareholders in PIC, the German Development Bank and the British Commonwealth Development Corporation, will be exerting a positive management force by placing two experienced representatives on the PIC Board. Further, a Dutch Development Bank is also considering investing in PIC.
- In addition to purchasing a seat on the local stock exchange, forming a real estate investment company to promote Free Zones, and conducting a leveraged buy-out, PIC has several other projects under study. These include debt restructuring, assistance in divestiture, search for venture partners and raising local capital.
- PIC still experiences slower than expected movement in loan and equity funds which the Mission believes is due in part to a general aversion of the business community for dollar loans, and a relatively high turnover in lending officers. Thus, PIC continues to have a young and inexperienced staff.

**D. STATUS OF CP'S AND COVENANTS:** All met.

**E. STATUS OF AUDITS:** Annual CY audits being conducted by independent CPA firms.

**F. STATUS OF EVALUATIONS:** Evaluation planned for May.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "B".

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PRJ NAME: Agroindustrial Reactivation CAT: C PROJ MGR: Vinzenz Schmack OFF: OPS POSTED ON: 3/31/89  
 PRJ #: S150223.00L AGRDT: 08/28/86 LEVDT: OBLIG: 19,650 CUM EXP: 1,107 PIPELINE: 18,543 FY EXP TD: 660  
 TDDA: 08/28/89 IPACD: 08/28/89 NEVDT: AUTH: 19,650 CUM DIS: 1,107 TFY TGT: 12,740 FY TGT TD: 4,900  
 TDD: 05/28/90 PACD: 08/28/89 COMM: 4,995 ACCRUED: 0 % TFY TGT: 5.00 % FY TGT: 13.47

PARTIES TO AGR.: Central Bank of Costa Rica (BCCR), A.I.D. COUNTERPART PLANNED: \$8,000 % LOP ELAPSED 90  
 MAJ. GOCR AGENCY: None COUNTERPART ACTUAL: 0 % TOT OBLIG EXP 8  
 IMPLEMENTING AGENCIES: Central Bank of Costa Rica (BCCR) CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:** The project establishes a dollar facility for on-lending to the Costa Rican Non-traditional Export Sector. The \$19.65 mil. credit facility is housed in the BCCR and is open to all authorized Costa Rican private Intermediate Financial Institutions (IFI's), on a first-come-first-served basis, for retail on-lending to private businesses who are installing or expanding their facilities for non-traditional exports to non-CACM markets.

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

The Loan Agreement was amended to make the funds available for free zone projects, hard currency generating tourism projects, and working capital financing for new or expansion of non-traditional export businesses. Approximately 45% of AIR loans are for agriculture and agro-industry products for non-traditional exports.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. Year 3 (Cumulative) \$19.65 Mil.	1. \$1.5 Mil.	1. \$4.9 Mil.
2. 45 sub-loans	2. 12 sub-loans	2. 20 sub-loans
3. 5 training sessions	3. 1 session	3. 0 sessions

The constraints of Article 63 were overcome by allowing project funds to be triangulated with state-owned banks. This is a mechanism by which an AIR loan, which is negotiated and administered by one bank, can be moved onto the balance sheet of another bank which still has room under Article 63. By means of this triangulation mechanism the financieres also have access to AIR funds.

**Actual in Period**

Actual in Period	Comments if less than planned
1. 660 Mil.	1.a) Aversion towards long-term loans in dollars on the part of banks and the business community.
2. 8 sub-loans	b) Regulatory constraints which limit the percentage of a bank's portfolio which can be financed by Central Bank discount facilities (Article 63)
3. - 0 -	c) Lack of experience in project lending on the part of some of the banks. d) Availability of other, less complex credit lines.

OPS has taken a series of actions to accelerate disbursement. There has been some positive response and we anticipate increased use of project funds in the coming quarter. If not, we intend to deobligate.

There have been various notices placed in the major local newspapers to bring the project to the attention of the business community.

OPS has been in constant contact with all private banks and CANAFIC (Cámara Nacional de Financieras) urging them to make an effort to use the project facilities.

**Next Period Plans**

OPS will continue its efforts to achieve a higher disbursement through:

- a. Further publications in local newspapers.
- b. Personal contact with private banks and financieres.
- c. Bring the project to the attention of new investors and exporters.

**D. STATUS OF CP'S AND COVENANTS:** All met.

**E. STATUS OF AUDITS:** None yet. For the reasons above mentioned.

**F. STATUS OF EVALUATIONS:** None yet.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "C".

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RURAL DEVELOPMENT OFFICE

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USAID.COSTA RICA

00620/00008

AS OF 03/31/89, (END OF 2ND QTR)  
 (BY OFFICE, \$000)  
 00008/00620

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	EXPENDITURE PERFORMANCE				FY 89 BAL AGAINST TOT YR TGT
						PLANNED FY 89	PLANNED THRU 2ND QTR	ACTUAL THRU 2ND QTR	ACTUAL % PLAN THRU 2ND QTR	
5150235.00G	NORTHERN ZONE CONSOLIDATION	OOS	5,150	52	5,098	1,223	1,080	52	4.81	1,171
5150237.C0G	NON-TRADITIONAL EXPORTS	OOS	3,500	224	3,276	560	280	92	32.85	468
596K129.B G	REG. AGRICULTURAL HIGHER ED	OOS	7,000	1,088	5,912	2,000	800	627	78.38	,373
TOTAL : — 00620/00008			15,650	1,364	14,286	3,783	2,160	771	35.69	3,012

PRJ NAME: Northern Zone Consolidation  
 PRJ #:5150235.00G AGRDT: 08/24/88 LEVDT: CAT: B PROJ OFF: Jaime Correa OFF: RDO POSTED ON: 3/31/89  
 TODA:09/30/93 IPACD: 09/30/93 MEVDT: OBLIG: 5,150 COM EXP: 52 PIPELINE: 5,098 FY EXP TD: 52  
 TDD:06/30/94 PACD: 09/30/93 AUTH: 5,150 COM DIS: 43 TFY TGT: 1,223 FY TGT TD: 1,080  
 COMM: 527 ACCRUED: 9 % TFY TGT: 4.00 % FY TGT: 4.81

PARTIES TO AGR.: Planning Ministry (MIDEPLAN) COUNTERPART PLANNED: C1,058,000 % LOP ELAPSED 10.00  
 MAJ. GOCR AGENCY: MIDEPLAN COUNTERPART ACTUAL: 0 % TOT OBLIG EXP .01  
 IMPLEMENTING AGENCIES: MOPT, DINADECO, IDA, IMAS, CMV CONTRACTOR: Servicios Técnicos del Caribe

**A. PROJECT PURPOSE AND DESCRIPTION:** The purpose is to contribute to the consolidation of the efficient and equitable socio-economic development of the Cantons of Upala and Guatuso, the District of Santa Cecilia of the La Cruz Canton, and the District of Caño Negro of the Canton of Los Chiles. The project, which focusses primarily on the non-traditional agricultural sector, has the following five components: (1) Crop Production Diversification, (2) Road Maintenance and Rehabilitation, (3) Community Development, (4) Development of Farmer Land Settlements and Titling, and (5) Project Administration and Monitoring.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 4600ha NTAE planted	1. 0	1. 0
2. 450km grav rd maint	2. 0	2. 0
3. 350km road rehab	3. 0	3. 0
4. 550 comm dev projs	4. 0	4. 0
5. 50 env ed programs	5. 0	5. 0
6. 1600 titles issued	6. 0	6. 0
7. 2000 housing	7. 0	7. 0

Actual in Period	Comments if less than planned
1. 0	1.
2. 0	2.
3. 0	3.
4. 0	4.
5. 0	5.
6. 0	6.
7. 0	7.

**Next Period Plans**

1. 0
2. 0
3. 0
4. 0
5. 0
6. 0
7. 0

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

**Accomplishments -ISHMENTS & ISSUES:**

1. Rehabilitation of trunk road initiated at no cost to NZCP.
2. Review and evaluation of managerial capacity underway.
3. First annual implementation budget approved.
4. Contracting of the Environmental Management Specialist underway.
5. Contracting for "interim" TA in support of environmental management-related implementation actions across all components underway.
6. PIO/Ts for (a) procurement of TA to help charter/organize CMV and (b) strengthening the managerial and financial capacity of the Project Coordination Office are in the clearing process.
7. Procurement of dollar-financed vehicles underway.
8. Basic specs. for procurement of road rehabilitation and maintenance equipment development and submitted by MOPT.

**Issues -**

Meeting remaining CPs - although progress has been made for meeting CPs, it has been at a very slow pace. Time for meeting CPs will be up to 270 days (15% of LOP) by the end of the current extension (May 22, 1989), and it is not certain whether or not MIDEPLAN will have met at least the CPs for the components by such date. Mission needs to take a position on how to proceed if CPs are not met by May, especially in light of the fact that the Mission Director only has 3 more months within his delegated authority to extend the TDCP without having to refer the action to AID/W.

**D. STATUS OF CP'S AND COVENANTS:**

1. CP to first payment - approved under PIL No. 2 dated October 7, 1988.
2. CP to payments for components and additional requirements - Terminal date for meeting CPs extended to May 22, 1989.

**E. STATUS OF AUDITS: N/A**

**F. STATUS OF EVALUATIONS:**

Review and Evaluation of Managerial Capacity underway.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "B".

PRJ NAME: Nontraditional Agr. Exports (NTAE/CAAP) CAT: A PROJ MGR: A. Villalobos OFF: RDO POSTED ON: 3/31/89  
 PRJ #:5150237.00G AGRDT: 09/10/87 LEVDT: OBLIG: 3,500 CUM EXP: 224 PIPELINE: 3,276 FY EXP TD: 92  
 TDDA:09/30/91 IPACD: 09/30/91 NEVDT: AUTH: 3,500 CUM DIS: 199 TFY TGT: 560 FY TGT TD: 280  
 TDD:06/30/92 PACD: 09/30/91 COMM: 3,500 ACCRUED: 25 % TFY TGT: 16.00 % FY TGT: 32.85

PARTIES TO AGR.: USAID, CINDE  
 MAJ. GOCR AGENCY: None  
 IMPLEMENTING AGENCIES: CINDE/CAAP

COUNTERPART PLANNED: \$1.8 m. % LOP ELAPSED 63  
 COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 7  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: This project is the principal technical assistance activity in the Mission's NTAE promotion strategy. It combines a DA project (Non-Traditional Agricultural Export Technical Services, 515-0237) with ESF local currency and contributions from producers. The implementing agent, CINDE/CAAP, acts as coordinator for a number of production and investment promotion activities. Further, it plays a catalytic role in the dialog between the emerging export industries and the GOCR.

Next Period Plans

1. Investment Promo. -  
 - 500 ha. planted  
 - \$ 1 million investment
2. Trade Promo. -  
 - \$2 million trade
3. Other Services -  
 - Upgrade NTAE marketing expertise in international markets.  
 - Increase efficiency and volume of air cargo and related services.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Peri
1. Investment Promo. - - 1500 ha. planted - \$5 million invest.	164 ha. planted \$320,000 invested	- 120 ha. plante - \$300,000 invested
2. Trade Promo. - - \$4 million trade	\$1.3 mil. trade	- \$1.0 mil. trad
3. Other Services - - Cargo Terminal		Remodeling of current airport facilities & administrative reorg.
- Chemical Residue Tests/Control		Development & implementation in three crops.
- Drug Smuggling Control in NTA product exports		Workshops/implementation of measures to avoid drug smuggling practices in NTA product exports.

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Current Programs are well oriented and go forward relying on good will and close cooperation with UCR, ICAFE, MAG, CATIE and other institutions.
2. The Miami Office in close coordination with the PIE offices, is working with some success in the promotion and expansion of the C.R. NTAE program.
3. Internal cooperation within CINDE appears to be improving with CAAP beginning to integrate itself into the overall program.

D. STATUS OF CP'S AND COVENANTS: All met

E. STATUS OF AUDITS: Quarterly Financial Audits conducted by external CPA.

F. STATUS OF EVALUATIONS: Last evaluation performed on 5/88

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

Actual in Period

Comments if less than planned

- 134 ha. planted
- \$320,000 invested
- \$1.3 million trade
- IFB'S for construction of airport cargo facilities received.
- Accomplished chemical residue tests (Melons, strawberries).
- Implementation of measures to avoid drug smuggling practices in NTA exports.

**PRJ NAME:** Regional Agriculture Higher Education      **CAT:** B      **PROJ MGR:** Frank Heilemann      **OFF:** RDC      **POSTED ON:** 3/31/89  
**PRJ #:** 596K129.B G      **AGRDT:** 09/30/85      **LEVDT:**      **OBLIG:** 7,000      **CUM EXP:** 1,088      **PIPELINE:** 5,912      **FY EXP TD:** 627  
**TDDA:** 09/30/95      **IPACD:** 09/30/95      **NEVDT:**      **AUTH:** 26,400      **CUM DIS:** 616      **TFY TGT:** 2,000      **FY TGT TD:** 800  
**TDD:** 06/28/96      **PACD:** 09/30/95      **COMM:** 2,215      **ACCRUED:** 472      **% TFY TGT:** 31.00      **% FY TGT:** 78.38

**PARTIES TO AGR.:** GOCR, EARTH, AID      **COUNTERPART PLANNED:** \$79,582 (LC) \$10,000 (US\$)      **% LOP ELAPSED:** 35%  
**MAJ. GOCR AGENCY:** N/A      **COUNTERPART ACTUAL:** \$53,809 (LC) \$ 5,000 (US\$)      **% TOT OBLIG EXP:** 9%  
**IMPLEMENTING AGENCIES:** EARTH      **CONTRACTORS:** California Polytechnic State University

**A. PROJECT PURPOSE AND DESCRIPTION:** The project consists of a grant and local currency counterpart to finance the creation of a four-year Regional Agricultural College with a focus on the lowland humid tropical zones to produce the resource base with the practical and educational experience needed to address the agricultural production problems of the Central American and Panama Region.

- 2. ACADEMIC PROGRAM**  
 a. Hire counterpart for Academic Program  
 b. Complete description and schedule of courses  
 c. Approval, translation and begin publishing  
 d. Develop requirements and begin search  
 e. -0-

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
<b>1. PHYSICAL PLANT</b>		
a. Phase I Construction	a. 15%	a. N/A
b. Procurement	b. -0-	b. -0-
<b>2. ACADEMIC PROGRAM</b>		
a. Hire Director	a. Director hired	a. As planned
b. Develop curriculum	b. Curriculum approved	b. Years 3 and 4
c. College Catalog	c. Ready for review	c. Approve Catalog
d. Recruit Faculty	d. -0-	d. -0-
e. 400 students enrolled in undergraduate program	e. -0-	e. -0-

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

- 1. OVERALL:** Progress is satisfactory and construction of buildings is generally on schedule. Development of academic program was slightly delayed due to the lack of counterpart. This matter appears resolved with the addition of the Director in April and an Academic Consultant in May 1989.  
**2. PIPELINE:** Dollar disbursements have increased by 162% over the last reporting period, from a cumulative \$416,000 to \$1,088,000. Projections for the remainder of the FY are encouraging as the College begins significant procurement in May 1989.  
**3. SUPPORT UNIVERSITIES:** The resident Financial Administrator from Rutgers University was removed at the request of EARTH due to unsatisfactory performance. Cal Poly is in the process of defining, with the new Director and AID, priority tasks for the next period. Included are the development of the Financial and Management Information System of the College, academic policies and student life policies.  
**4. IMPLEMENTATION UNIT:** The College continued consolidating its Implementation Unit by hiring a Fin. Administrator and Other Support staff. Procurement staff will be added in May.  
**5. TRUST FUND MANAGEMENT:** The Trustees are moving forward with the selection of several Trust Fund Managers in Costa Rica. Final selection is anticipated during the next reporting period.

**Actual in Period**

Comments if less than planned

<b>1. PHYSICAL PLANT</b>		
a. Generally as planned	a. N/A	
b. Procurement lists developed	b. N/A	
<b>2. ACADEMIC PROGRAM</b>		
a. As planned	a. N/A	
b. As planned	b. N/A	
c. Final draft ready for review	c. Final approval delayed pending clearance of Director	
d. -0-	d. N/A	
e. -0-	e. N/A	

**D. STATUS OF CP'S AND COVENANTS:** All met.

**E. STATUS OF AUDITS:**

- 1. College:** external financial draft audit report covering until 12/31/89 completed. No major findings.  
**2. Trust:** external financial audit draft report covering until 9/30/89 completed. No major negative findings.

**F. STATUS OF EVALUATIONS:** First evaluation planned six months after opening of College.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "B".

**Next Period Plans**

- 1. PHYSICAL PLANT**  
 b. Cumulative 45%  
 c. US\$1,784,000

29

29

GENERAL DEVELOPMENT OFFICE

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USAID/COSTA RICA  
00700/00009

60

AS OF 03/31/89, (END OF 2ND QTR)  
 (BY OFFICE, \$000)  
 00009/00700

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED	PLANNED	ACTUAL	ACTUAL %	FY 89 BAL
						FY 89	THRU 2ND QTR	THRU 2ND QTR	PLAN THRU 2ND QTR	AGAINST TOT YR TGT
5150168.02G	FAMILY PLANNING SELF REALIA	OOS	1,800	22	1,778	350	200	22	11.00	328
5150168.03G	FAMILY PLANNING SELF RELIAN	OOS	1,850	250	1,600	588	343	250	72.88	338
5150232.00G	PACT PRIV AGCY COLLAB TOGET	ORS	4,900	2,643	2,257	750	350	699	199.71	51
515KHG006	G URB. EMPLOY & IMPROVE. PROG	OOS	11,400	11,400	0	2,410	2,410	2,410	100.00	0
515KHG007	G PVY. SECT. LOW COST SHELTER	OOS	14,000	14,000	0	0	0	0	100.00	0
<b>TOTAL :</b>			<b>33,950</b>	<b>28,315</b>	<b>5,635</b>	<b>4,098</b>	<b>3,303</b>	<b>3,381</b>	<b>102.36</b>	<b>717</b>

1/3

PRJ NAME: FAMILY PLANNING SELF RELIANCE CAT: A PROJ MGR: Betsy Murray OFF: GDO POSTED ON: 3/31/89  
 PRJ #:5150168.02G AGRDT: 05/27/88 LEVDT: OBLIG: 1,800 CUM EXP: 22 PIPELINE: 1,778 FY EXP TD: 22  
 TDDA:04/18/93 IPACD: 07/18/93 NEVDT: AUTH: 1,500 CUM DIS: 8 TFY TGT: 350 FY TGT ID: 200  
 TDD:04/18/94 PACD: 07/18/93 COMM: 499 ACCRUED: 14 % TFY TGT: 6.00 % FY TGT: 11.00

PARTIES TO AGR.: MINISTRY OF HEALTH, CAJA COSTARRICENSE DE SEGURO SOCIAL COUNTERPART PLANNED: 12,000 % LOP ELAPSED 16  
 MAJ. GOCR AGENCY: CAJA COSTARRICENSE DE SEGURO SOCIAL (CCSS) COUNTERPART ACTUAL: 1,500 % TOT OBLIG EXP 1  
 IMPLEMENTING AGENCIES: CCSS CONTRACTORS: N.A

A. PROJECT PURPOSE AND DESCRIPTION: To revitalize and expand family planning services through public, private and commercial sector activities and to enhance their self reliance.

consultants  
 b) Initiation of audio visuals-post partum

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period	Next Period Plans
1. Improved quality & quantity of services provided by public sector FP program	1.a) Contracted Director, 3 Supervisors, 1 Secretary b) Supervisors visited 173 centers c) Agreement with Clinica Biblica	1.a) Implementation unit personnel contracted b) Supervisors initiate field visits c) Agr. with PVOs for services	1. a) Complete contracting, achieve status of regular CCSS personnel. b) Supervisors will complete first national level round of visits. 2. Coordination continues with MOH, CENDEISSS, CCSS depts., UCR & UACA. 3. Second TA visit in logistics mgt. for MOH. 4. Second TA visit in communications. 5. Education component initiated 6. JHPIEGO TA/training initiated.
2. Improve integration of FP within preventive health program	2.a) Coordination: Training-CENDEISSS, (CCSS training center)communications-MOH, adolescent commission; Logist. MOH internal CCSS depts. b) CCSS budget approved with ctrpart	2.a) Coordination related serv. for initiation of project activities b) Preventive Hlth. budget includes counterpart for program	C. OTHER ACCOMPLISHMENTS & ISSUES: 1. Religious opposition is building from minority natalist groups. 2. CCSS program leadership is strong, problems identified are being resolved. 3. MOH, while not as strong, has identified logistical problems and information requirements needing TA. TA will be provided during 1989 in this area. Micro computers will be purchased. 4. Field supervision has identified technical training needs, minor logistical shortfalls, and enthusiasm for the FP program, along with some pockets dominated by religious anti-FP beliefs. 5. Communications activities will focus on birth-spacing, high-risk populations and health benefits of FP. Program will avoid open conflict with natalist groups, as they represent only a minority of Costa Ricans.
3. Revitalized IE&C/ promotion activities	3.a) Communication strategy TA b) Prepared audio visual for post-partum women	3.a) External TA w communications b) Preparation of audio visuals	D. STATUS OF CP'S AND COVENANTS: All complete E. STATUS OF AUDITS: None. External audit scheduled for Dec. 1989. F. STATUS OF EVALUATIONS: None. Scheduled for 1991. G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

Actual in Period

Comments if less than planned

1.a) Contracted all personnel except research coordinator  
 b) Supervisors visited 173 centers  
 c) Agrmnt w/ Clinica Biblica (PVO)  
 2.a) Coordination w/ CCSS 2. Target met departments, MOH  
 b) CCSS budget approved; Prev. Health includes personnel, vehicles, mass media financing  
 3.a) First visit JHU/PCS 3. Target met

1. Status of research coordinator unclear, as former CCSS employee

PRJ NAME: FAMILY PLANNING SELF RELIANCE  
 PRJ #: 5150168.03G AGRDT: 08/29/88 LEVDT:  
 TDDA: 04/18/93 IPACD: 07/18/93 NEVDT:  
 TDD: 04/18/94 PACD: 07/18/93

CAT: A PROJ MGR: Betsy Murray OFF: GDO  
 OBLIG: 1,850 COM EXP: 250 PIPELINE: 1,600  
 AUTH: 2,200 COM DIS: 125 TTY TGT: 588  
 COM: 325 ACCRUED: 125 % TTY TGT: 43.00

POSTED ON: 3/31/89  
 FY EXP TD: 250  
 FY TGT TD: 343  
 % FY TGT: 72.88

PARTIES TO AGR.: PROFAMILIA/ASDECOSTA S.A.  
 MAJ. GOCR AGENCY:  
 IMPLEMENTING AGENCIES: PROFAMILIA/ASDECOSTA S.A.

COUNTERPART PLANNED: N/A % LOP ELAPSED 12  
 COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 14  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: To revitalize and expand Family Planning services through public, private and commercial sector activities and to enhance their self reliance.

Next Period Plans

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. Service delivery capacity of the commercial and voluntary sectors expanded	1. 2,100 points of purchase	1. 2,000
	2. Demographic impact unknown; ave. sales 160,000/month	2. Monthly ave. 160,000 condoms sold

1. First Semi Annual audit.
2. Continued sales at same or increased level.

Actual in Period

1. 2,100
2. Monthly ave. 176,000 condoms sold

Comments if less than planned  
 1. Sales levels are good, despite having only one product estimated coverage of 21,000 couples

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Local legal firm in charge of registration for oral contraceptives.
2. Profamilia negotiating with CEFA, distributor, to augment contraceptive product line using Profamilia trademark umbrella.
3. Negotiations with Pfizer to combine marketing cervical spatulas with medications for common vaginal infections.
4. Program costs for providing a year of contraceptive protection per couple = \$11.90.
5. Study of 100 best outlets, nationwide coverage via informal distributors.

D. STATUS OF CP'S AND COVENANTS: Complete

E. STATUS OF AUDITS: Semiannual audit due next period

F. STATUS OF EVALUATIONS: 1991

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

33

W  
W

PRJ NAME: PVO Support Grant  
 PRJ #: 5150232.00G AGRDT: 06/27/86 LEVDT: OBLIG: 4,900 CUM EXP: 2,643 PIPELINE: 2,257 FY EXP TD: 699  
 TDDA: 06/26/91 IPACD: 06/26/91 NEVDT: AUTH: 4,900 CUM DIS: 1,539 TFY TGT: 750 FY TGT TD: 350  
 TDD: 03/26/92 PACD: 06/26/91 COMM: 4,900 ACCRUED: 1,104 % TFY TGT: 93.00 % FY TGT: 199.71

PARTIES TO AGR.: Private Agencies Collaborating Together (PACT); A.I.D. COUNTERPART PLANNED: N/A % LOP ELAPSED 55  
 MAJ. GOCR AGENCY: NONE COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 44  
 IMPLEMENTING AGENCIES: Private Agencies Collaborating Together (PACT) CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: To assist the USAID Mission maintain its support to PVOs, and especially the U.S. PVO community. To achieve this purpose, PACT will provide technical assistance to assist in establishing an independent PVO umbrella support entity in Costa Rica and channel dollars to PVOs registered with AID/W to finance OPG activities.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. 45 Auth. sub-proj.	15	2
2. New PVO support entity	1	0
3. 15 PVO workshops	0	0
4. 18 mini-grants to PVOs	40	5
5. 7 internat'l training trips for ACORDE personnel	7	3

Actual in Period

Actual in Period	Comments if less than planned
1. 2	1. 45 PVO proj. unrealistic given the number of PVOs in Costa Rica, their projects' focus and cost. A PACT and ACORDE study estimates only 4 projects can be funded in FY 88-90, if funded at the maximum level.
2. 0	2. Target met
3. 0	3. See next section
4. 7	4. Target exceeded
5. 3	5. Target met

Next Period Plans

1. ACORDE and PACT will review strategic plan with mission.
2. Through PACT contract with INCAE, training workshops for PVO staff are being conducted at a rate of approximately 10 annually for 1989 and 1990.
3. Mini-grants will continue to be utilized by PVOs for short-term technical asst. and training opportunities. Five are planned for the next period.
4. PACT will concentrate on training new ACORDE staff.
5. Amendment of OPG to extend TA to fourth year.

C. OTHER ACCOMPLISHMENTS AND ISSUES:

1. Overall - The ACORDE Board of Directors continues to meet regularly; project selection done qtrly.; board and staff now more experienced and have improved proj. selection, monitoring and eval. procedures
2. Project Approvals - OEF and Technoserve projects approved.
3. Workshops and mini-grants - Short-term training and managerial support for local PVOs in computer skills, evaluations, needs assessments strategic planning, exposition of beneficiaries' products.
4. Technical Asst. - PACT resident advisor, will stay through June 1989 and may be extended to June 1990.

D. STATUS OF CP'S AND COVENANTS: All met.

E. STATUS OF AUDITS: Annual audits continue to be done each year by Coopers & Lybrand in U.S.A.

F. STATUS OF EVALUATIONS: Final report received by Mission Feb. 1989. Findings were helpful to make operational adjustments at ACORDE and define further T.A. needs from PACT.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

PRJ NAME: Urban Employment and Improvement Project CAT: A PROJ OFF: Jeffery Boyer OFF: Housing/GDD POSTED ON:  
 PRJ #: 515KEG006 G AGRDT: 08/01/81 LEVDT: OBLIG: 11,400 CUM EXP: 11,400 PIPELINE: 0 FY EXP TD: 2,410  
 TDDA: 12/31/99 IPACD: 12/31/99 NEVDT: AUTH: 11,400 CUM DIS: 11,400 TFY TGT: 2,410 FY TGT TD: 2,410  
 TDD: 09/29/00 PACD: 12/31/99 COMM: 0 ACCRUED: 0 % TFY TGT: 100.00 % FY TGT: 100.00

35

PARTIES TO AGR.: Ministry of Finance, USAID  
 MAJ. GOCR AGENCY: INVU  
 IMPLEMENTING AGENCIES: INVU/Private Builders

COUNTERPART PLANNED: % LOP ELAPSED  
 COUNTERPART ACTUAL: % TOT OBLIG EXP  
 CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

Introduce into Costa Rica's housing industry, sites and service solutions that will permit a higher volume of housing production. These lower cost solutions will permit low income families access to home ownership.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. Introduction of the concept of lower cost shelter solutions affordable to families below median income.	1. Concept was formally endorsed in 1983. Current GOGR administration supports self-help construction but wants minimum 38 M2 units.	1. NONE
2. Preparation and sale of 3,703 urbanized lots for construction of progressive shelter units.	2. All construction activity was completed in 1987.	2. NONE

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

1. The concept of a progressively built housing solution has become common place.
2. Costa Rica has formally adopted a limited housing subsidy mainly for low/moderate income families.
3. The concept of self-help type projects have been widely used in the Arias administration housing program.

**D. STATUS OF CP'S AND COVENANTS:**

All were completed at the time of the last borrowing.

**E. STATUS OF AUDITS:**

Last audit was done in 1984.

**F. STATUS OF EVALUATIONS:**

Last evaluation was completed in 1985.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "A".

**Actual in Period**

**Comments if less than planned**

1. AID/Washington advised in March, 1989, that disbursements No. 13 through No. 16 were approved subsequent to Costa Rica's payment of its outstanding HG debts.

1. NONE.

**Next Period Plans**

1. Close-out of the program, including liquidation of the interest earnings in the RIGGS escrow account.

kw

PRJ NAME: PRIVATE SECTOR LOW COST SHELTER  
 PRJ #:515KBG007 G AGRDT: 07/25/83 LEVDT: CAT: A PROJ OFF: JEFFORY BOYER OFF: GDO/MHO POSTED ON: 3/31/89  
 TDDA:12/31/88 IPACD: 12/31/88 NEVDT: OBLIG: 14,000 CUM EXP: 14,000 PIPELINE: 0 FY EXP TD: 0  
 TDD:09/29/89 PACD: 12/31/88 AUTH: 20,000 CUM DIS: 14,000 TFF TGT: 0 FY TGT TD: 0  
 COMM: 0 ACCRUED: 0 % TFF TGT: 0 % FY TGT: 100.00

PARTIES TO AGR.: Ctrl. Bank, Housing Mortgage Bank, Off. of the Presidency, USAID COUNTERPART PLANNED: % LOP ELAPSED 98  
 MAJ. GOCR AGENCY: Housing Mortgage Bank (BANHVI) COUNTERPART ACTUAL: % TOT OBLIG EXP 100  
 IMPLEMENTING AGENCIES: Savings and Loans Associations CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

Strengthen Costa Rica's private Savings and Loan system from financial collapse and to orient their financial operations towards lower income housing market. AID authorized a \$20 million HG loan to be used in new housing and home improvement loan financing.

Next Period Plans

1. Formal transmittal letter will be sent to all parties on AID's de-authorization action.
2. MHO will program a PAC report for the close-out of this project activity.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. Construction and long-term financing for approximately 1,700 new housing units and 1,300 home improvement loans.	1. Project activity completed resulting in the financing of 3,986 new units and 1,307 home improvement loans.	1. Mission requested on 12/6/88 LAC approval to de-authorize the remaining \$6 million HG.
2. S&L presentation of mortgages to liquidate \$4 million advance prior to close-out of project.	2. BANHVI presented in May 1986 the list of eligible mortgages canceling \$4 million advance.	2. None
3. Increased entry of private sector developers into low & moderate cost housing through S&Ls.	3. The integration of OVI/OVA operations (promoters) with S&L system has stimulated the construction of 30 projects & 1,451 low/moderate cost units. Most of this activity has been carried out by new builders.	3. None

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. None.

D. STATUS OF CP'S AND COVENANTS:

CP's and covenants were completed during project implementation.

E. STATUS OF AUDITS:

None required.

F. STATUS OF EVALUATIONS:

RHUDO/CA and USAID conducted and completed an evaluation of HG-007 and ESF-0188 grant activity on 6/24/87.

G. MISSION DIRECTOR'S ASSESSMENT:

This is a category "A" project since there are no major implementation problems.

Actual in Period

Comments if less than planned

1. LAC/DR advised USAID on 4/18/89 that its request for de-authorization was approved.

1. None

REG. ADMIN. OF JUSTICE

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USAID/COSTA RICA

00901/00010

12

AS OF 03/31/89, (END OF 2ND QTR)  
 (BY OFFICE, \$000)  
 00010/00901

572

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	FISCAL YEAR '89 EXPENDITURE PERFORMANCE				FY 89 BAL AGAINST TOT YR TGT
						PLANNED FY 89	PLANNED THRU 2ND QTR	ACTUAL THRU 2ND QTR	ACTUAL % PLAN THRU 2ND QTR	
5150244.00G	ADMINISTRATION OF JUSTICE	OOS	1,500	0	1,500	1,136	56R	0	0.00	1,136
5970002.00G	REG. ADMINISTRATION OF JUST	OOS	13,586	9,650	3,936	1,383	1,187	1,194	100.58	189
5980642.01G	REG. ADMINISTRATION OF JUST	OOS	1,405	491	914	157	112	61	54.46	96
TOTAL : — 00901/00010			16,491	10,141	6,350	2,676	1,867	1,255	67.22	1,421

PRJ NAME: Justice Sector Improvement Project  
 PRJ #:5150244.00G AGRDT: 09/28/88 LEVDT: CAT: B PROJ MGR: C. Cira/M. Foster OFF: RAJO POSTED ON: 3/31/89  
 TDDA:09/30/91 IPACD: 09/30/91 NEVDT: OBLIG: 1,500 CUM EXP: 0 PIPELINE: 1,500 FY EXP TD: 0  
 TDD:06/30/92 PACD: 09/30/91 AUTH: 1,500 CUM DIS: 0 TFY TGT: 1,136 FY TGT TD: 568  
 COMM: 0 ACCRUED: 0 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: AID/Min. Just. (Sup. Ct., Att. Genr'l, Nat'l Comm., ILANUD) COUNTERPART PLANNED: 1,244,046 % LOP ELAPSED 27  
 MAJ. GOCR AGENCY: Ministry of Justice COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 0  
 IMPLEMENTING AGENCIES: ILANUD CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

Improve the justice sector in Costa Rica through support for the National Commission in order to achieve better planning and coordination by and between justice sector agencies; support for the project to create a systematized and automated Legislative Reference System implemented by the Attorney General of the Republic; and support for the reorganization and expansion of the Judicial School of the Supreme Court of Costa Rica.

Actual in Period	Comments if less than planned
1. Same as Cumulative	1.N/A
2. Same as Cumulative	2.The ILANUD/Sup.Ct. MOA should be signed in April.
3. Same as Cumulative	3.N/A
4. Same as Cumulative	4.N/A

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1.Nat'l Comm.:TA and trg. for Sect. Asses. up-dates and follow-up activities, administrative strengthening of Judiciary, revamping legal ed. and trg., institutional development of Nat'l Comm, advocacy of specific programs.	1.ILANUD/Nat'l Comm. MOA signed.	1.Same
2.Judicial School:TA and trg. to strengthen and enhance Judicial School enabling it to provide continuing ed. for its staff and other legal professionals.	2.None	2.ILANUD/Sup. Ct. MOA signed.
3. Leg. Ref. System:Establish computerized data base of all laws currently in force thru TA in computer system development as well as related training.	3.ILANUD/Attorney General MOA signed.	3.Same
4.Establishment of Project Implementation Unit in ILANUD and Project Manager contracted.	4.Established Project Implementation Unit in ILANUD and Project Manager contracted.	4.Same

**Next Period Plans**

1.Nat'l Comm:update CR sector assessment; create Planning Dept. in Jud. Br.; contract Exec. Dir., assts. and sec. for the Comm.; pub. of 3 documents; obtain fin. support from Nat'l Bdg  
 2.Judicial School:4 basic orientation courses; 9 continuing ed. courses for professionals; 9 continuing ed. courses for support and admin. staff; 2 research projects; evaluation of courses given; contracting of consultants for Project activities; physical restructuring of Judicial School.  
 3.Legislative Reference System:development of a manual legislative information system to support the computerization project; develop index of historical and current legislation in effect which will include designing and testing a system supporting the data base, training of personnel and data entry; reconditioning of physical installations in the Attorney General's Office; gather info. on appropriate informatics resources; publication of Macrothesaurus and preparation of Admin. Law Thesaurus; define legal framework for the system.  
 4.Continue planning, programming, supervising and financial management duties for the Project.

**C. OTHER ACCOMPLISHMENTS & ISSUES:** 1.The Assistance Agreement between AID and GOGR was signed on 9/14/88.  
 2.The Cooperative Agreement between AID and ILANUD (as the Project's implementing agency) was signed in March 1989, however two amendments were done by the RCO primarily to extend the period for fulfillment of CPs and to change the budget to reflect amounts by Project component.

**D. STATUS OF CP'S AND COVENANTS:** GOGR has satisfied the CPs to first payment by AID under the Project. For subsequent payments by ILANUD to the various Project components, most of the CPs have been satisfied except for the signing of the MOA between ILANUD and the Sup. Ct. for the Jud. School Component.

**E. STATUS OF AUDITS:** No firm date at this time.

**F. STATUS OF EVALUATIONS:** No firm date at this time.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "B".

OK

PRJ NAME: Regional Administration of Justice  
 PRJ #:5970002.00G AGRDT: 03/22/85 LEVDT:  
 TDDA:12/31/90 IPACD: 03/31/90 MEVDT:  
 TDD:09/31/91 PACD: 12/31/90  
 CAT: B PROJ MGR: C. Cira/M. Foster OFF: RAJO POSTED ON: 3/31/89  
 OBLIG: 13,586 CUM EXP: 9,650 PIPELINE: 3,936 FY EXP TD: 1,194  
 AUTB: 13,586 CUM DIS: 8,448 TTY TGT: 1,383 FY TGT TD: 1,187  
 COMM: 13,029 ACCRUEL: 1,202 % TTY TGT: 86.00 % FY TGT: 100.58

PARTIES TO AGR.: AID and ILANUD  
 MAJ. GOCR AGENCY: N/A  
 IMPLEMENTING AGENCIES: ILANUD  
 COUNTERPART PLANNED: N/A % LOP ELAPSED 60  
 COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 71  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

The purpose of the Project is to strengthen regional and national institutions in order to provide services necessary for the improvement of administrative, technical and legal performance of justice systems in the region with major emphasis on criminal justice system improvement. The Project addresses the needs for improved planning of criminal justice policies, for long and short-term training, improvement of the criminal justice statistical system, more and better legal documentation, assistance to bar associations, technical assistance in these areas and for raising the awareness of judicial reform needs among decision makers.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1.Training:20 seminars/wkshops w/ 572 trained; 12 short courses held with 474 trained; UCR scholarships;study tours	1.64 seminars/wkshops held; 27 courses held; 44 lawyers complete UCR Postgrad.; 10 study tours.	1.8 seminars, 3 short courses, 1 study tour and 13 UCR scholarships.
2.Advisory Services:TA and trg. on CJ Stats., Reporting of Legis./Juris., Biblio Needs, Data Base Info/Assist, Admin. Strengthening of Judc'l Branches, Environ. Legal Protection, Sect Asmnts, Trg. materials design	2.8640 bib. registers entered in D Base;all CA Sector Assessments completed;56 TA assignments;24 trg activities;12 trg materials designed;2 legal thesauri finalized;8 basic law libraries purchased and staff trained in each country; 10 bib. published/distributed;DR CJ Stats pilot proj. operat'l;Ct. Admin. and Environ. Legal Protection Projects underway.	2.Entry in D Base of all bib. registers processed; continued purchasing of documents for Documentation Center;on-going TA & trg. activities; 3.Cont'd support for country specific activities and funding for Res. Coords.
3.Extension Facility; Support for Res.Coords., Country Specific Activity Assist. - Mat'l Plans	3.Activities supported:CR-38, Honduras-30, DR-24, Guat-27, El Salv-5, Panama-1, Col.-1,	4.On-going implementation of long-term institutional development strategy for ILANUD & support for Nat'l Comms. continued; completion of assistance planned for IIHR; admin. structure established in ILANUD
4.Institutional Development: Organizat'l/ Long-term Development for ILANUD and IIHR, Long-term Advisors to ILANUD, Mat'l Comms.		

LOP (cont.) Cumulative (cont.)	Plnd for Per(cont.)
Ecuador-1, Uruguay-1. 4.Continued reorganization of ILANUD admin. structure; on-going long-term/institut'l development activities;continued TA to IIHR;all anticipated Nat'l Comms. established as government orgs. and staff funded.	for implementation of JSIP, including signing of 3 MOAs.

Actual in Period	Comments if less than planned
1. 8 seminars/wkshops held with 166 trained; 3 short courses held with 130 trained; 11 new UCR scholar.;1 study tour	1.Only 11 UCR scholarships because 2 participants withdrew.
2. 13 TA assignments; 9 trg. activities held and 3 trg. materials designed; donation of software to CR Judicial Branch; Agreement signed for Guatemala ct. admin. proj.; 1029 bib. registers processed and 1570 entered in DBase;1 bibliography published; ILANUD's Documentation Center evaluated and 291 documents recv'd;Agreement w/Univ. of Rhode Island for Ecuador environ. Legal Protection Project;agreemnt reached w/Bolivia for SectAsmnt; 3.Supported 5 CR, 4 Honduras, 2 Guatemala, and 2 DR activities.	2.Not all of the bib. registers processed were entered in DBase because of staffing limitations; purchasing of documents for Documentation Center suspended due to unavailability of funds. 3. As of Jan. 1, 1989, Res. Coords. funded by Institut'l Dev. component.

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PRJ NAME:Regional Administration of Justice CAT: B PROJ OFF: C.Cira/M.Foster OFF: RAJO POSTED ON: 3/31/89  
 PRJ #:5980642.01G AGRDT: 03/22/86 LEVDT: OBLIG: 1,405 CUM EXP: 491 PIPELINE: 914 FY EXP TD: 61  
 TDDA:12/31/90 IPACD: 03/31/90 NEVDT: AUTH: 1,405 CUM DIS: 273 TFY TGT: 157 FY TGT TD: 112  
 TDD:09/31/91 PACD: 12/31/90 COMM: 1,405 ACCRUED: 218 % TFY TGT: 39.00 % FY TGT: 54.46

PARTIES TO AGR.: AID and ILANUD  
 MAJ. GOCR AGENCY: N/A  
 IMPLEMENTING AGENCIES: ILANUD

COUNTERPART PLANNED: N/A % LOP ELAPSED 60  
 COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 71

CONTRACTORS: N/A

NOTE: This project, 5980642.01G, and 59700002.00G are jointly implemented.

Continuation of narrative from previous page.

Actual in Period	Comments if less than planned
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4.Contractd ILANUD Exec Director; amended RAJP adding funds, extending PACD to 1992 and including SA countries in TA services; 8 TA/Financial Support agreements; MOAs signed w/Att.Gnr'l and Nat'l Comm. for CR bilateral proj./creation of Proj.Imp.Unit in ILANUD; contracted FIU Institutional Develop. Advisor; abolished Operat'ns and Ext. Facility Depts. and Planning Dept. substituted for Ext.Facilty; funded Res. Coords. as of Jan. 1; completed assistance originally planned for IIHR.	4.MOA between ILANUD and Sup. Ct. for JSIP Judicial School component to be signed in April.
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Next Period Plans

1.ILANUD will continue to provide regional and national level training, technical assistance under the various sub-projects which it carries out in participating countries and in accordance with National Plans and will continue its own long-term development strategy, institutional development and internal reorganization.  
 2.ILANUD will increasingly involve itself in assisting the implementation of various bilateral administration of justice projects, including providing training and technical assistance under these.

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Signing of amendment to RAJP increasing funding and extending PACD to December 31, 1992.
- 2.Greater emphasis given to ILANUD's institutional development, long-range planning and project consolidation as evidenced by the contracting of an Executive Director and Institutional Development Advisor and ILANUD's continued internal reorganization.
- 3.Successful integration of Honduran, Guatemalan and Costa Rican bilateral administration of justice projects with RAJP activities.
- 4.Hiring of Special Projects Advisor in RAJO.

D. STATUS OF CP'S AND COVENANTS:

All CPs and covenants have been complied with.

E. STATUS OF AUDITS:

1. Price Waterhouse audit conducted in early 1988 and covered the period from the initiation of the Project to December 1987. No major issues brought up and minor issues have been addressed. However, ILANUD requested further guidance on certain issues from USAID/CR and has yet to receive a reply from the Mission.
2. Price Waterhouse audit covering the period January through December 1988 began mid May 1989 and will last approximately 10 weeks.
3. Preliminary RIG audit conducted in early 1989.
4. RIG audit to begin May 9, 1989.

F. STATUS OF EVALUATIONS:

1. First evaluation carried out by John Oleson of Checchi and Co. and finalized in March 1987. Major issues brought up were the inst. development and long-term strategy for ILANUD.
2. Second evaluation carried out by Checchi and Company team and finalized in June 1988. This evaluation brought up several of the same major issues as the previous one. ILANUD and RAJO continue to address these issues, examples being the establishment and meeting of an international board of advisors, the contracting of an Institutional Development Advisor and of an Executive Director for ILANUD, and the further internal reorganization of ILANUD. Other recommendations focused on LAC/DI's role, increased staffing for RAJO, changes in ILANUD's training strategy and the creation of an evaluation system.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

LOCAL CURRENCY ACTIVITIES  
(000,000)

USAID/COSTA RICA

QUARTERLY PROJECT REPORT

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REPORT DATE:05/01/89

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PLEASE NOTE: The MIS software used to prepare this report is not yet as comprehensive for projects not accounted for on MACS, such as the local currency activities found in this report. Therefore, the Mission Office summary tables only list each activity's obligation and cumulative expenditures, and the individual reports do not report on project performance with respect to planned expenditures.

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## SEMIANNUAL PROJECT REPORTS - GLOSSARY OF TERMS

The following terms or abbreviations are explained:

ABBREV. TITLE	COMPLETE TITLE	DEFINITION
PRJ # & NAME	PROJECT NUMBER AND NAME	Assigned number and title of project followed by "G" or "L" signifying "Grant" or "Loan", respectively.
CAT	CATEGORY	A full description of all possible categories follow.
-- A	CATEGORY "A" PROJECT	This classification is for projects that have no major implementation problems.
-- B	CATEGORY "B" PROJECT	This classification is for projects where previous problems have been corrected and the project is back on track.
-- C	CATEGORY "C" PROJECT	This classification is for projects with major problems requiring management attention.
-- OOS	ON ORIGINAL SCHEDULE	This classification is for projects that are proceeding well along the original schedule with minimal delays and no major problems. The project purpose remains valid and progress towards it is satisfactory. Expenditures are on target and within the planned budget.
-- ORS	ON REVISED SCHEDULE	This classification is for projects that have fallen behind the original schedule are proceeding satisfactorily on a revised schedule. Such problems and delays as are encountered are being resolved. Project purpose remains satisfactory and the project is within budget.
PROJ OFF	PROJECT OFFICER	USAID Mission Officer responsible for the management of the project.
OFF	OFFICE	An administrative unit within the USAID/Costa Rica.
DIV	DIVISION	An administrative unit within an Office.
POSTED ON	POSTED ON	Date for which the semiannual project report was prepared.
AGRDT	AGREEMENT DATE	Date on which the Project Agreement was signed.
IPACD	INITIAL PROJECT ASSISTANCE COMPLETION DATE	Date, as specified in the original Project Agreement, by which AID assistance ought to be completed.
PACD	PROJECT ASSISTANCE COMPLETION DATE	The Initial Project Assistance Completion Date (IPACD), as amended.

SEMIANNUAL PROJECT REPORTS - GLOSSARY OF TERMS  
Continued

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ABBREV. TITLE	COMPLETE TITLE	DEFINITION
TDDA	TERMINAL DATE FOR DISBURSEMENT AUTHORIZATION	The last date for requesting disbursing authorizations under a loan.
TDD	TERMINAL DISBURSEMENT DATE	The last date for requesting payments under the project.
LEVDT	LAST EVALUATION DATE	Date on which the last project evaluation was completed.
NEVDT	NEXT EVALUATION DATE	Approximate date or fiscal year quarter during which the next project evaluation will commence.
AUTH	AUTHORIZED	The maximum project amount as specified by the Project Authorization (\$000).
OBLIG	OBLIGATED	The amount of the Grant or Loan as specified by the Project Agreement (\$000).
COMM	COMMITTED	The value of all contracts executed to date under the project (\$000).
CUM EXP	CUMULATIVE EXPENDITURES	Expenditures accumulated to date since project inception (\$000).
CUM DIS	CUMULATIVE DISBURSEMENTS	Portion of cumulative expenditures which are actual cash outlays accumulated to date since project inception (\$000).
ACCRUED	ACCRUED	Total expenditures minus total disbursements on the last day of the current quarter (\$000); i.e., "CUM EXP" minus "CUM DIS".
PIPELINE	PIPELINE	Unexpended portion of the obligated amount; i.e., "OBLIG" minus "CUM EXP".
TFY TGT	TOTAL FISCAL YEAR TARGET	Planned expenditures for the total fiscal year (all four quarters) (\$000).
% TFY TGT	PERCENT TOTAL FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned expenditures for the total fiscal year (\$000).
FY EXP TD	FISCAL YEAR EXPENDITURES TO DATE	Actual expenditures for this fiscal year through the last completed quarter (\$000).
FY TGT TD	FISCAL YEAR TARGET TO DATE	Planned expenditures for this fiscal year through the last completed quarter (\$000).
% FY TGT	PERCENT FISCAL YEAR TARGET	Actual fiscal year expenditures through the last completed quarter as a percent of planned fiscal year expenditures through the last completed quarter (\$000).
LOP	LENGTH OF PROJECT	The period from the date of project authorization to PACD.

SK

**IMPLEMENTATION & NON-MACS PROJECTS**

OFF. OF PRIVATE SECTOR

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USAID/COSTA RICA

00610/00007

17

8/5

EXPENDITURE STATUS REPORT  
 AS OF 06/30/89, (END OF 3RD QTR)  
 (BY OFFICE, \$000)  
 00007/00610

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED FY 89	PLANNED THRU 3RD QTR	ACTUAL THRU 3RD QTR	ACTUAL % PLAN THRU 3RD QTR	FY 89 BAL
										AGAINST TOT YR TGT
515K194.09G	FINTRA/CODESA/BCCR/NAT. COM	OOS	9,065	6,644	0	0	0	0	0.00	0
515K194.11G	IESC SUPPORT TO NTE COMPANI	ORS	27	16	0	0	0	0	0.00	0
515K222.04G	A.I.D./CINDE/PIE	OOS	1,208	462	0	0	0	0	0.00	0
TOTAL : — 00610/00007			10,300	7,122	0	0	0	0	0.00	0

PRJ NAME: CODESA DIVESTMENT/FINTRA TRUST CAT: B PROJ MGR: IVAR BUCH OFF: OPS POSTED ON: 3/31/89  
 PRJ #:515K194.09G AGRDT: 01/18/85 LEVDT: 05/88 OBLIG: 9,065 CUM EXP: 6,644 PIPELINE: 2,421 FY EXP TD: 0  
 TDDA:12/31/99 IPACD: 12/31/99 NEVDT: 12/90 AUTH: 9,065 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/00 PACD: 12/31/99 COMM: 9,065 ACCRUED: 6,644 TFY TGT: 0 FY TGT: 0

PARTIES TO AGR.: AID/BCCR/CODESA COUNTERPART PLANNED: N/A % LOP ELAPSED NA  
 MAJ. GOCR AGENCY: CODESA COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 73  
 IMPLEMENTING AGENCIES: FINTRA/CODESA CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: To assist the GOCR in its divestiture of the equity interests of its holding company, CODESA. CODESA was created in 1972 to serve as a development bank/venture capital partner with the pvt. sector to start new businesses. CODESA's role in each enterprise was intended to be short-term, with the objective of turning its interests/holdings over to the pvt. sector as the enterprises became viable. Instead, CODESA took a majority, or sole owner position. To keep them operating, CODESA borrowed heavily from the Central Bank (BCCR). Since 1979, credit to CODESA increased five-fold and consistently accounted for one-third of all credit to the public sector. Despite the magnitude of this credit, in 1983 its subsidiaries provided only 2,122 direct jobs (0.3% of the labor force) and accounted for only 1.1% of GDP; thus, the continued operation of CODESA enterprises has had a significant negative impact on the economy. Consequently, the GOCR concluded that CODESA should divest itself of its holdings. In 1985, an AID/GOCR agreement provided for AID to establish and fund a pvt. sector trust, FINTRA, from a ESF-generated local currency account to buy, or hold in trust, holdings of CODESA for packaging and resale to the pvt. sector. In addition to the ESF special currency account shown above, FINTRA has funds totalling about C 1,050 million earmarked for privatization.

Actual in Period	Comments if less than planned
1. Sales agreements covering 70% of CATSA's shares were signed with 7 cooperatives. 2% sold directly to cooperative members.	1. In both the case of CATSA and FERTICA/ CEMPASA, delays were due to Government slowness in reacting to FINTRA proposals.
2. Negotiations for FINTRA to take over FERTICA and CEMPASA in trust well advanced.	

Next Period Plans

- The remaining 28% of CATSA shares will be sold. FINTRA's trust agreement on CATSA expires June 30, 1989 when the new owners will take over management.
- Assuming agreement on terms, FINTRA will take over 100% of FERTICA and CEMPASA in trust and restructure them so as to make 40% of their shares saleable. Most of the remaining ESF special account of C.2,421 million plus FINTRA's balance of C.1,050 million will be used to reduce CODESA's debt with the BCCR as part of this effort.
- The last remaining CODESA holding to be disposed of, STABAPARI (23% CODESA), is virtually bankrupt and will most likely be liquidated without FINTRA involvement.

C. OTHER ACCOMPLISHMENTS & ISSUES:

- At Min. of Planning's request to AID, FINTRA contracted a study on ways of using Employee Stock Ownership Plans in Costa Rica and legislation needed to make them effective.
- INCAE will sponsor and FINTRA fund privatization seminar to be conducted by First Boston Corp. on May 11, 1989 to which many high ranking Government officials will be invited.

D. STATUS OF CP'S AND COVENANTS: All met.

E. STATUS OF AUDITS: Audits on FINTRA are done semi-annually.

F. STATUS OF EVALUATIONS: Ctr for Privatization evaluation completed in May 1988. Findings were complimentary to AID.

G. MISSION DIRECTOR'S ASSESSMENT: A category "B" project.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. CODESA originally had 30 subsidiaries (owned 51% or more) and 11 affiliates (owned less than 50%) to be disposed of. One affiliate (the Securities Exchange, 40% CODESA) is by law to be retained by CODESA.	1. Thirty companies have been sold, liquidated or transferred to other government agencies, and seven are now being liquidated. FINTRA bought and resold two of them (ALUNASA and ATUNES). One, CATSA, still held in trust by FINTRA, has been restructured and 72% of its shares have been sold.	1. Sale of 100% of CATSA to cooperatives (as provided by law) was planned 2. Signing trust agreements were planned for FINTRA to take over 100% of each of FERTICA and CEMPASA for later sale of 40% of their shares (by law the GOCR will retain 60% of their shares.)



PRJ NAME: AID/CINDE/PIE CAT: A PROJ MGR: JOHN HOLDER OFF: OPS POSTED ON: 3/31/89  
 PRJ #:515K222.04G AGRDT: 04/01/87 LEVDT: 05/88 OBLIG: 1,208 CUM EXP: 462 PIPELINE: 746 FY EXP TD: 0  
 TDDA:12/31/91 IPACD: 06/30/88 NEVDT: ?? AUTH: 1,208 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/92 PACD: 12/31/91 COMM: 1,208 ACCRUED: 462 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: CINDE, AID, GOCR  
 MAJ. GOCR AGENCY: NONE  
 IMPLEMENTING AGENCIES: CINDE (PIE)

COUNTERPART PLANNED: N/A % LOP ELAPSED N/A  
 COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 38%  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

To increase extra-regional, non-traditional exports by:  
 (1) attracting foreign and domestic investment capital and by:  
 (2) offering technical and trade assistance to national companies to better enable them to compete in NTE markets.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. Employment generation.	1. 21,857	1. 3,812
2. Investment generation.	2. \$143 mm.	2. 24 mm.
3. Export generation.	3. \$154 mm.	3. 52 mm.

Actual in Period

Comments if less than planned

1. 4,920	1. NA
2. \$26 mm.	2. NA
3. \$63 mm.	3. NA

Next Period Plans

1. Employment generation	4,000
2. Investment generation	\$26 mm
3. Export generation	\$58 mm

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. A guideline, Financial Policies and Procedures Manual, was developed, approved by CINDE's Board of Directors and implemented in early 1989. This provides PIE with a solid financial basis in support of its operations.
2. Dramatic improvement was noted in PIE's cost documentation as evidenced by Peat Marwick's quarterly audit reports.
3. A Procurement Manual was developed, approved by CINDE's Board of Directors and implemented in early 1989. This should result in improved control and cost savings for PIE.
4. A policy dialogue unit was formed in CINDE in late 1988 (Investigación y Diálogo) to strengthen CINDE's ability to be an effective force in influencing structural changes in Costa Rica relative to the development of NTE's.

5. An Industrial Development Program was initiated in early 1989 to spur the development of local company exports of NTE's to non-traditional markets via trade and technical assistance.
6. Free Zone Development activities were started by CINDE in early 1989 to aid private free zone development companies.
7. The March 1989 Development Assistance Committee of OECD reported that: "In CINDE, Costa Rica offers one of the world's most effective models of an operating agency for attracting and facilitating export-capable investments".
8. Until 12/31/89, PIE was funded under specific program MOU's on 12/23/88, an MOU was signed funding CINDE as a whole for the period 1/1/88-12/31/90, PIE's share of this MOU is included in 1st Q 89 data.

D. STATUS OF CP'S AND COVENANTS: All met.

E. STATUS OF AUDITS:

Annual audits continue to be done each calander year.

F. STATUS OF OF EVALUATIONS:

Lastest evaluation made in May 1988, by Checchi and Co. No major problems noted. Next evaluation has not been scheduled as yet.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

RURAL DEVELOPMENT OFFICE

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USAID.COSTA RICA

00620/00008

AS OF 06/30/89, (END OF 3RD QTR)  
 (BY OFFICE, \$000)  
 00008/00620

63

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	FISCAL YEAR '89 EXPENDITURE PERFORMANCE				
						PLANNED FY 89	PLANNED THRU 3RD QTR	ACTUAL THRU 3RD QTR	ACTUAL % PLAN THRU 3RD QTR	FY 89 BAL AGAINST TOT YR TGT
515K194.16G	COFFEE TECH. & DIVERS.	OOS	100	100	0	0	0	0	0.00	0
515K484.00L	PL - 480, TITLE I, FY 84	OOS	1,090	874	0	0	0	0	0.00	0
515K485.00L	PL - 480, TITLE I, FY 85	OOS	879	655	0	0	0	0	0.00	0
515K486.00L	PL - 480, TITLE I, FY 86	OOS	884	698	0	0	0	0	0.00	0
515K487.00L	PL - 480, TITLE I, FY 87	OOS	787	526	0	0	0	0	0.00	0
515K489.00L	PL - 480, TITLE I, FY 89	OOS	0	0	0	0	0	0	0.00	0
TOTAL : ——— 00620/00008			3,740	2,853	0	0	0	0	0.00	0

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PRJ NAME: FEDECOOP Technification CAT: A PROJ OFF: Ross Wherry OFF: RDO POSTED ON: 3/31/89  
 PRJ #:515K194.16G AGRDT: 03/27/85 LEVDT: 12/87 OBLIG: 100 CUM EXP: 100 PIPELINE: 0 FY EXP TD: 0  
 TDDA:03/27/90 IPACD: 03/27/90 NEVDT: 05/90 AUTH: 100 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:12/28/90 PACD: 03/27/90 COMM: 100 ACCRUED: 100 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: USAID/COFISA/FEDECOOP  
 MAJ. GOCR AGENCY: NONE  
 IMPLEMENTING AGENCIES: FEDECOOP, COFISA

COUNTERPART PLANNED: C114 LOP ELAPSED 80%  
 COUNTERPART ACTUAL: C 45 TOT OBLIG EXP 84%  
 CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

To renovate 7,000 ha. of small holder coffee plantations and to diversify 1,500 ha. from coffee into non-traditional crops. A trust fund managed by COFISA is invested through FEDECOOP for the use of its 33 member cooperatives.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 7,000 ha. renovated in coffee	1. 7,004 ha. renovated	1. -0-
2. 1,500 ha. diversified into NTAE crops	2. 1,289 ha. diversified	2. -0-
3. 6,500 participating farmers in 33 cooperatives	3. 7,800 farmers in 33 cooperatives	3. -0-
4. Timely loan collection	4. 100% repayment to trust	4. 100% repayment to trust

Actual in Period      Comments if less than planned

- 1. -0-
- 2. -0-
- 3. -0-
- 4. 100% payment

**Next Period Plans**

- 1. Continued monitoring of loan collection

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

- 1. All project goals have been met with the loan funds. FEDECOOP collection from the cooperatives is 96% of loans due. The problems have been isolated and project TA is working with the delinquent cooperatives.
- 2. FEDECOOP is paid to date on its obligations to the project trust.

**D. STATUS OF CP'S AND COVENANTS:** All met.

**E. STATUS OF AUDITS:**

Annual audits of the FEDECOOP and COFISA project accounts conducted by external CPA. FEDECOOP is implementing internal control recommendations from the CY 87 audit. The CY 88 audit is in progress.

**F. STATUS OF EVALUATIONS:** Last evaluation 12/87.

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "A".

PRJ NAME: FY 84 Title I Generations      CAT: A      PROJ OFF: Ross Wherry      OFF: RDO      POSTED ON: 3/31/89  
 PRJ #:515K484.00L      AGRDT: 11/22/83      LEVDT: N/A      OBLIG: 1,090      CUM EXP: 874      PIPELINE: 216      FY EXP TD: 0  
 TDDA:12/31/99      IPACD: 12/31/99      MEVDT: N/A      AUTH: 987      CUM DIS: 0      TFY TGT: 0      FY TGT TD: 0  
 TDD:09/29/00      PACD: 12/31/99      COM: 874      ACCRUED: 874      % TFY TGT: 0      % FY TGT: 0

PARTIES TO AGR.: MIDEPLAN/AID      COUNTERPART PLANNED: N/A      % LOP ELAPSED      N/A  
 MAJ. GOCR AGENCY: MIDEPLAN/UE      COUNTERPART ACTUAL: N/A      % TOT OBLIG EXP      90  
 IMPLEMENTING AGENCIES: IDA, MOPT, CNE      CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

1. Improve rural infrastructure in roads and basic grains storage
2. Increase rural incomes through increased production of basic grains and export crops

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

1. Preparing for deobligation of unused funds from completed projects.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 13 infrastructure activities	1. 13 infrastructure activities	1. 6 progressing-on schedule
2. 9 production activities	2. 9 production activities	2. 5 progressing on schedule

**D. STATUS OF CP'S AND COVENANTS:**

All Self Help measures complied with.

**E. STATUS OF AUDITS:**

Last management review by RIG 1/89, had no observations.

**F. STATUS OF EVALUATIONS: N/A**

**Actual in Period**

1. 3 on schedule, 3 delayed
2. 1 on schedule, 4 delayed

**Comments if less than planned**

1. Contracting delays at MOPT
2. Bureaucratic inertia at IDA

**G. MISSION DIRECTOR'S ASSESSMENT: This program is classified as category "A".**

**Next Period Plans**

1. 6 infrastructure activities on schedule
2. 5 production activities on schedule

PRJ NAME: FY 85 Title I Generations CAT: A PROJ OFF: Ross Wherry OFF: RDO POSTED ON: 3/31/89  
 PRJ #:515K485.00L AGRDT: 11/19/84 LEVDT: N/A OBLIG: 879 CUM EXP: 655 PIPELINE: 224 FY EXP TD: 0  
 TDDA:12/31/99 IPACD: 12/31/99 NEVDT: N/A AUTH: 1,043 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/00 PACD: 12/31/99 COMM: 655 ACCRUED: 655 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: MIDEPLAN, AID COUNTERPART PLANNED: N/A % LOP ELAPSED N/A  
 MAJ. GOCR AGENCY: MIDEPLAN/UE COUNTERPART ACTUAL: N/A % TOT OBLIG EXP 75  
 IMPLEMENTING AGENCIES: MOPT, CNE, SENARA CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

1. Improve rural infrastructure in roads
2. Increase incomes through production of basic grains and livestock
3. Support natural resource management

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. 10 infrastructure activities	1. 10 activities 3 completed	1. 7 activities on schedule
2. 7 production activities	2. 7 activities 3 completed	2. 4 activities on schedule
3. 2 Natural Resource activities	3. 2 activities 1 completed	3. 1 activity on on schedule

Actual in Period

Comments if less than planned

- |  |                                       |
|--|---------------------------------------|
| 1. 2 activities on schedule, 5 delayed | 1. Construction delays with MOPT, CNE |
| 2. 4 activities on schedule            |                                       |
| 3. 1 activity on schedule              |                                       |

Next Period Plans

1. 7 activities on schedule
2. 4 activities on schedule
3. Close out 1 activity

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. MOPT and CNE continue to show slow progress in road projects.
2. Deobligations of closed out projects are in process.

D. STATUS OF CP'S AND COVENANTS:

All Self Help Measures complied with.

E. STATUS OF AUDITS:

RIG review 1/89 had no observations.

F. STATUS OF EVALUATIONS: N/A

G. MISSION DIRECTOR'S ASSESSMENT: This program is classified as category "A".

PRJ NAME: FY 86 Title I Generations      CAT: A      PROJ OFF: Ross Wherry      OFF: RDO      POSTED ON:  
 PRJ #:515K486.00L      AGRDT: 11/29/85      LEVDT: N/A      OBLIG: 884      CUM EXP: 698      PIPELINE: 186      FY EXP TD: 0  
 TDDA:12/31/99      IPACD: 12/31/99      NEVDT: N/A      AUTH: 892      CUM DIS: 0      TFY TGT: 0      FY TGT TD: 0  
 TDD:09/28/00      PACD: 12/31/99      COMM: 698      ACCRUED: 698      † TFY TGT: 0      † FY TGT: 0

PARTIES TO AGR.: MIDEPLAN/AID      COUNTERPART PLANNED: N/A      † LOP ELAPSED      N/A  
 MAJ. GOGR AGENCY: MIDEPLAN/UE      COUNTERPART ACTUAL: N/A      † TOT OBLIG EXP      79  
 IMPLEMENTING AGENCIES: IFAM, CNE, MOPT, MAG      CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

1. Improve rural infrastructure on roads, grain storage, and water supply.
2. Increase incomes through production of basic grains, export crops, and secure land tenure.
3. Support natural resource management.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 11 infrastructure activities	1. 11 activities, none completed	1. 11 activities on schedule
2. 5 production activities	2. 5 activities, 3 completed	2. 2 activities on schedule
3. 1 natural resource activity	3. 1 activity	3. 1 activity on schedule

**Actual in Period**

1. 4 on schedule  
7 delayed
2. 2 activities on schedule
3. 1 activity on schedule

**Comments if less than planned**

1. Slow work by MOPT, CNE

**Next Period Plans**

1. 11 activities on schedule
2. Complete 2 activities
3. 1 activity on schedule

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

1. MOPT and CNE continue to show slow progress in roads. Grain storage and water systems proceed well.
2. Export production activities proceed well. Basic grains activities completed.
3. Natural resource budget support to MIRENEM ends October 1989.

**D. STATUS OF CP'S AND COVENANTS:**

One self-help measure not complied with. Compliance expected 4/89.

**E. STATUS OF AUDITS:**

RIG review of 1/89 had no observations.

**F. STATUS OF EVALUATIONS: N/A**

**G. MISSION DIRECTOR'S ASSESSMENT:** This program is classified as category "A".

51

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PRJ NAME: FY 87 Title I Generations      CAT: A    PROJ OFF: Ross Wherry    OFF: RDO      POSTED ON: 3/31/89  
 PRJ #:515K487.00L    AGRDT: 01/14/87    LEVDT: N/A    OBLIG: 787    CUM EXP: 526    PIPELINE: 261    FY EXP TD: 0  
 TDDA:12/31/99    IPACD: 12/31/99    NEVDT: N/A    AUTH: 910    CUM DIS: 0    TFY TGT: 0    FY TGT TD: 0  
 TDD:09/28/00    PACD: 12/31/99    COMM: 526    ACCRUED: 526    % TFY TGT: 0    % FY TGT: 0

PARTIES TO AGR.: MIDEPLAN/AID  
 MAJ. GOCR AGENCY: MIDEPLAN/UE  
 IMPLEMENTING AGENCIES: MOPT, CNE, MIDEPLAN

COUNTERPART PLANNED: N/A      % LOP ELAPSED    N/A  
 COUNTERPART ACTUAL: N/A      % TOT OBLIG EXP    67  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

1. Improve Rural infrastructure in roads, community buildings.
2. Increase incomes through production of basic grains and export crops.
3. Generate Section 108 funds for private sector investment.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. 9 infrastructure activities	1. 9 activities, 1 completed	1. 8 activities on schedule
2. 6 production activities	2. 6 activities, 2 completed	2. 4 activities on schedule
3. Section 108 generations	3. Section 108 generations received by USG	3. N/A

Actual in Period      Comments if less than planned

- |                             |                                 |
|-----------------------------|---------------------------------|
| 1. 3 on schedule, 5 delayed | 1. Slow progress with MOPT, CNE |
| 2. 4 activities on schedule |                                 |

Next Period Plans

1. 8 activities on schedule
2. 4 activities on schedule, 3 completed

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. MOPT and CNE continue to show slow progress in road construction.
2. Basic grains production activities completed. Most export crop activities will terminate next quarter.
3. Section 108 funds have been turned over to AID for lending and to USDA for market development.

D. STATUS OF CP'S AND COVENANTS:

Three self-help measures not complied with. All are related to NZCP progress, with expected compliance by 12/89.

E. STATUS OF AUDITS:

RIG review 1/89 had no observations.

F. STATUS OF EVALUATIONS: N/A

G. MISSION DIRECTOR'S ASSESSMENT: This program is classified as category "A".

PRJ NAME: FY 89 Title I Generations      CAT: A      PROJ OFF: Ross Wherry      OFF: RDC      POSTED ON: 3/31/89  
 PRJ #:515K489.00L      AGRDT: 03/03/88      LEVDT: N/A      OBLIG: 0      CUM EXP: 0      PIPELINE: 0      FY EXP TD: 0  
 TDDA:12/31/99      IPACD: 12/31/99      NEVDT: N/A      AUTH: 851      CUM DIS: 0      TFY TGT: 0      FY TGT TD: 0  
 TDD:09/28/00      PACD: 12/31/99      COMM: 0      ACCRUED: 0      % TFY TGT: 0      % FY TGT: 0

PARTIES TO AGR.: MIDEPLAN/AID      COUNTERPART PLANNED: 1,125      % LOP ELAPSED      N/A  
 MAJ. GOCR AGENCY: MIDEPLAN/UE      COUNTERPART ACTUAL:      % TOT OBLIG EXP      0  
 IMPLEMENTING AGENCIES: MAG, IDA, MIRENEM      CONTRACTORS: N/A

- A. PROJECT PURPOSE AND DESCRIPTION:**
- Increase incomes through production of basic grains and secure land tenure
  - Support natural resource management
  - Provide Section 108 funds for private enterprise lending

- C. OTHER ACCOMPLISHMENTS & ISSUES:**
- Grain deliveries were accomplished; CNP is making deposits to the Special Account.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 5 production activities	1. LC generations accumulating	1. Accumulate LC for activity funding
2. Sector grant to Natural Resource Ministry	2. LC generations accumulating	2. Accumulate LC for grant
3. Generate Section 108 funds	3. None generated	3. None

- D. STATUS OF CP'S AND COVENANTS:**
- Seven self-help measures are open. Progress against them is satisfactory.

- E. STATUS OF AUDITS:**
- RIG review of 1/89 had no observations.

- F. STATUS OF EVALUATIONS:**
- Annual Mission evaluation of MIDEPLAN's implementing unit begins in May 1989.

Actual in Period      Comments if less than planned

All grain arrived; generations being received as planned

- G. MISSION DIRECTOR'S ASSESSMENT:** This program is classified as category "A".

**Next Period Plans**

- MIDEPLAN receives MAG, IDA requests for funds
- MIDEPLAN receives MIRENEM request for funds
- Request transfer of funds to USG

54

69

- 1. Increase income through production of basic grains and export crops.
- 3. Generate Section 108 funds for private sector investment.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 9 infrastructure activities	1. 9 activities, 1 completed	1. 8 activities on schedule
2. 6 production activities	2. 6 activities, 2 completed	2. 4 activities on schedule
3. Section 108 generations	3. Section 108 generations received by USG	3. N/A

**Actual in Period**

**Comments if less than planned**

- 1. 3 on schedule, 5 delayed
- 2. 4 activities on schedule

- 1. Slow progress with MOPT, CNE

**Next Period Plans**

- 1. 8 activities on schedule
- 2. 4 activities on schedule, 3 completed

- 2. Basic grains production activities completed. Most export crop activities will terminate next quarter.
- 3. Section 108 funds have been turned over to AID for lending and to USDA for market development.

**D. STATUS OF CP'S AND COVENANTS:**

Three self-help measures not complied with. All are related to NZCP progress, with expected compliance by 12/89.

**E. STATUS OF AUDITS:**

RIG review 1/89 had no observations.

**F. STATUS OF EVALUATIONS: N/A**

**G. MISSION DIRECTOR'S ASSESSMENT:** This program is classified as category "A".

PRJ NAME: FY 89 Title I Generations      CAT: A      PROJ OFF: Ross Wherry      OFF: RDO      POSTED ON: 3/31/89  
 PRJ #:515K489.00L      AGRDT: 03/03/88      LEVDT: N/A      OBLIG: 0      CUM EXP: 0      PIPELINE: 0      FY EXP TD: 0  
 TDDA:12/31/99      IPACD: 12/31/99      NEVDT: N/A      AUTH: 851      CUM DIS: 0      TFY TGT: 0      FY TGT TD: 0  
 TDD:09/28/00      PACD: 12/31/99      COMM: 0      ACCRUED: 0      % TFY TGT: 0      % FY TGT: 0

PARTIES TO AGR.: MIDEPLAN/AID      COUNTERPART PLANNED: 1,125      % LOP ELAPSED      N/A  
 MAJ. GOCR AGENCY: MIDEPLAN/UE      COUNTERPART ACTUAL:      % TOT OBLIG EXP      0  
 IMPLEMENTING AGENCIES: MAG, IDA, MIRENEM      CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

1. Increase incomes through production of basic grains and secure land tenure
2. Support natural resource management
3. Provide Section 108 funds for private enterprise lending

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

Grain deliveries were accomplished; CNP is making deposits to the Special Account.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 5 production activities	1. LC generations accumulating	1. Accumulate LC for activity funding
2. Sector grant to Natural Resource Ministry	2. LC generations accumulating	2. Accumulate LC for grant
3. Generate Section 108 funds	3. None generated	3. None

**D. STATUS OF CP'S AND COVENANTS:**

Seven self-help measures are open. Progress against them is satisfactory.

**E. STATUS OF AUDITS:**

RIG review of 1/89 had no observations.

**F. STATUS OF EVALUATIONS:**

Annual Mission evaluation of MIDEPLAN's implementing unit begins in May 1989.

**Actual in Period**

Comments if less than planned

All grain arrived; generations being received as planned

**G. MISSION DIRECTOR'S ASSESSMENT:** This program is classified as category "A".

**Next Period Plans**

1. MIDEPLAN receives MAG, IDA requests for funds
2. MIDEPLAN receives MIRENEM request for funds
3. Request transfer of funds to USG

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GENERAL DEVELOPMENT OFFICE

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USAID/COSTA RICA

00700/00009

129

AS OF 06/30/89, (END OF 3RD QTR)  
 (BY OFFICE, \$000)  
 00009/00700

FISCAL YEAR '89  
 EXPENDITURE PERFORMANCE

PROJECT NUMBER	PROJECT NAME	CAT	LOP OBLIG	LOP EXPEND	PIPELINE (UNEXP)	PLANNED FY 89	PLANNED THRU 3RD QTR	ACTUAL THRU 3RD QTR	ACTUAL % PLAN THRU 3RD QTR	FY 89 BAL AGAINST TOT YR TGT
515K040.00G	INVU TURNKEY HOUSING PROGRA	OOS	343	311	G	0	0	0	0.00	0
515K192.05G	MUNICIPAL INFRASTRUC. SHEL	ORS	319	319	0	0	0	0	0.00	0
515K192.26G	PVT INDUST. URB/RURALHOUSIN	OOS	537	358	0	0	0	0	0.00	0
515K192.27G	PVT INDUST URB/RURAL HOUSIN	OOS	0	0	0	0	0	0	0.00	0
515K194.15G	RURAL HOUSING PROJECT	OOS	35	17	0	0	0	0	0.00	0
515K194.19G	RURAL SCHOOL REFURBISHMENT	OOS	50	11	0	0	0	0	0.00	0
515K222.07G	FINANCING FOR PVO ACTIVITIE	OOS	194	68	0	0	0	0	0.00	0
515K222.99G	SELF HELP COMMUNITY FUND	OOS	18	6	0	0	0	0	0.00	0
515K231.01G	SUPPORT TO NAT. HOUSING FIN	OOS	2,019	734	0	0	0	0	0.00	0
515K231.02G	SUPPORT TO NAT. HOUSING FIN	OOS	0	0	0	0	0	0	0.00	0
TOTAL : 00700/00009			3,515	1,824	0	0	0	0	0.00	0

PRJ NAME: INVU TURNKEY HOUSING PROGRAM CAT: PROJ OFF: JEFFORY BOYER OFF: GDO/MHO POSTED ON: 3/31/89  
 PRJ #:515K040.00G AGRDT: 07/05/83 LEVDT: 10/84 OBLIG: 343 COM EXP: 311 PIPELINE: 32 FY EXP TD: 0  
 TDDA:12/31/99 IPACD: 12/31/99 NEVDT: ?? AUTH: 216 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/80 PACD: 12/31/99 COMM: 343 ACCRUED: 311 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: INVU/USAID COUNTERPART PLANNED: % LOP ELAPSED  
 MAJ. GOCR AGENCY: COUNTERPART ACTUAL: % TOT OBLIG EXP  
 IMPLEMENTING AGENCIES: INVU/Private Builders CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

To increase the production/administrative capability of the National Institute of Housing (INVU) to provide low-cost shelter solutions using private builders (turn-key concept) to deliver the units. ted. However, GOCR, is discussing the subject.

Next Period Plans

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. 1000 low cost units	1. Construction mortgages discounted for 1,213 units. Last project declared eligible in 2/89 and is expected to be completed in 9/89.	1. Last project was expected to be completed in this period.
2. Increase private sector participation.	2. Concept of turn-key housing adopted by INVU for all of its housing production.	2. NONE
3. Strengthen INVU's institutional and financial capacity via special TA.	3. Projected TA completed in 2/84 & 6/87.	3. NONE

1. Close out of the program. INVU has to complete the San Antonio de Padua project and send to AID the list of mortgages in order to liquidate the advance of funds and to receive remaining ESF funds.

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Despite INVU's adoption of the turn-key housing concept, its participation in the newly created National Housing Finance System which discounts mortgages of on-lending agencies, has been minimal due to its inability to overcome its administrative problems. Consequently, its long-term future is doubtful unless major reforms are adopted.

D. STATUS OF CP'S AND COVENANTS:

All were completed at the time of the initial ESF disbursement in 1984.

E. STATUS OF AUDITS:

None specified in MOU.

F. STATUS OF EVALUATIONS:

None specified in MOU. However, USAID/RHDO/CA conducted a formal evaluation of this project activity in conjunction with the 515-BG-006 evaluation in 10/84. In addition, INVU completed an in-house review of this activity which led to the formal adoption of the turn-key approach for all of INVU's operations in the future. USAID is planning to conduct a Project Assistance Completion Report when the last project is completed.

Actual in Period

Comments if less than planned

1. The last project (San Antonio de Padua) was declared eligible & USAID is taking action on a disbursement request for construction financing of the project.
1. INVU delayed the presentation of the San Antonio de Padua project for approval by USAID. Upon the presentation of additional information the proj. was declared eligible in 2/88.
2. INVU'S delays on this proj. reflect continued presence of serious administrative and project management problems in the inst. Despite interest in improving INVU's administrative & financial problems, effective reforms have not been adopted.

G. MISSION DIRECTOR'S ASSESSMENT:

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PRJ NAME: MUNICIPAL INFRASTRUCTURE SHELTER CAT: A PROJ OFF: JEFFORY BOYER OFF: GDO/MBO POSTED ON: 3/31/89  
 PRJ #: 515K192.05G AGRDT: 02/05/85 LEVDT: 77 OBLIG: 319 CUM EXP: 319 PIPELINE: 0 FY EXP TD: 0  
 TDDA: 04/18/89 IPACD: 03/31/88 NEVDT: 05/89 AUTH: 306 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD: 01/28/90 PACD: 04/18/89 COMM: 319 ACCRUED: 319 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: COUNTERPART PLANNED: % LOP ELAPSED 99  
 MAJ. GOCR AGENCY: IFAM COUNTERPART ACTUAL: % TOT OBLIG EXP 100  
 IMPLEMENTING AGENCIES: IFAM/Eligibl Municipalities/Private Contractors CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

To facilitate the construction of low and moderate cost housing in Costa Rica. The purpose of this project is to eliminate the temporary constraint of the lack of sufficient water production and/or distribution systems to permit new housing construction. Furthermore, the generation of construction jobs in the housing industry was of considerable importance to reduce unemployment.

Actual in Period

Comments if less than planned

- |  |  |
|--|--|
| 1. Two additional projects were completed (Aserri and Alajuela). | 1. Problems completing the drilling of the wells in Sn Rafael and Heredia, delayed their project completion. |
|--|--|

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
-----	------------	--------------------

- |  |   |   |
|--|---|---|
| 1. Improvement of eight municipal water systems. | 1. Six municipal improvement projects have been completed. Two projects (Sn Rafael & Heredia) are in their final stages of completing the well casings. | 1. Completion of last four projects.<br>- San Rafael<br>- Heredia<br>- Aserri<br>- Alajuela |
|--|---|---|

Next Period Plans

1. Completion of the remaining two projects and close out of the project activity.

- |   |  |
|---|--|
| 2. Increase service capacity to facilitate building permits for new housing construction. | 2. 14,460 new building permits have been approved to date. |
|---|--|

- |  |  |
|--|--|
| 3. Obtain support of local municipal governments to give favorable approval of low and moderate cost shelter projects. | 3. Most of the permits approved are for low cost units. Achieved better understanding of municipal role. |
|--|--|

- |   |  |
|---|--|
| 4. To encourage municipalities to establish new water tariff rates that will permit them to fully recover investment and operating/maintenance costs. | 4. Eight municipalities have approved and implemented new water tariffs. |
|---|--|

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

1. With the interest earnings, twelve additional small emergency water projects were approved and completed during this last period. Development linkage between water services/municipal governments and housing/urban growth clearly established.

**D. STATUS OF CP'S AND COVENANTS:**

All CP's were previously met prior to the 1st disbursement.

**E. STATUS OF AUDITS:**

Last audit was submitted in 1988. Instructions of the new AID guidelines (3/88) for preparation of next audit was sent to IFAM for use in preparing the final audit of this project. Copy expected in 4/89.

**F. STATUS OF EVALUATIONS:**

A final close-out evaluation of this program is in preparation and will be completed by May 1989.

**G. MISSION DIRECTOR'S ASSESSMENT:**

This is a category "A" project since there are no major implementation problems.

PRJ NAME: Private Industry Urban/Rural Housing Program CAT: A PROJ OFF: Jeffery Boyer OFF: GDO/MHO POSTED ON: 3/31/89  
 PRJ #:515K192.26G AGRDT: 05/14/85 LEVDT: 02/89 OBLIG: 537 CUM EXP: 358 PIPELINE: 179 FY EXP TD: 0  
 TDDA:12/31/99 IPACD: 12/31/99 NEVDT: N/A AGTH: 215 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/00 PACD: 12/31/99 COMM: 537 ACCRUED: 358 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: USAID AND COFISA  
 MAJ. GOGR AGENCY: NONE  
 IMPLEMENTING AGENCIES: COFISA AND OVI/OVA

COUNTERPART PLANNED: % LOP ELAPSED N/A  
 COUNTERPART ACTUAL: % TOT OBLIG EXP 166  
 CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

To increase greater participation of builders/developers in the low/and moderate cost housing market. A line of short-term construction financing was created using OVI and OVA as promoters and the financial services of the private bank, COFISA.

Actual in Period                      Comments if less than planned

1. No. of approved new projects: 3                      1. None.

-No. of units in newly approved project: 124

-No. of units completed & sold: 202

-No. of units in process of sale: 285

-No. of units under construction: 303

2. USAID/COFISA successfully negotiated close-out of project activity within 12-18 months following review of new projects in pipeline by COFISA/

2. None

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. Provide short-term construction financing for low and moderate income housing units generated by OVI/OVA in urban and rural sectors of the country.	1. No. of approved projects: 29 - No. of units in approved projects: 1,451 - No. of units under construction: 303 - No. of units sold: 863	1. Maximize housing delivery from projects in process and secure long-term financing to discount mortgages.
2. Service contracts should be signed between COFISA and within 30 days of the signing of the Trust Agreement.	2. - COFISA completed the signing of these service contracts on June 12, 1985 (less than 30 days after funding obligation.) - Operational guidelines between COFISA and OVI/OVA modified and formalized based on past experiences.	2. Establish USAID strategy for implementing a consolidation of the diverse shelter portfolio by adopting specific measures leading to the termination of USAID financial support to this activity.

Next Period Plans

1. Increase production of units for lower income families by modifying the designs of projects to reduce costs.
2. COFISA approve the construction of the projects that comply with the new requirements established by USAID/CR in 11/88 to serve primarily groups between 1-2.5 of min. salaries.
3. Reduction of direct USAID financial support to OVI and OVA activities in order to prepare for the transfer of Trust funds to BANHVI in 12-18 months.

PRJ NAME: Private Industry Urban/Rural Housing Program CAT: A PROJ OFF: Jeffery Boyer OFF: GDO/MEO POSTED ON: 3/31/89  
 PRJ #:515K192.27G AGRDT: 05/14/85 LEVDT: N/A OBLIG: 0 CUM EXP: 0 PIPELINE: 0 FY EXP TD: 0  
 TDDA:12/31/99 IPACD: 12/31/99 NEVDT: N/A AUTH: 999,999 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/00 PACD: 12/31/99 COMM: 0 ACCRUED: 0 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: USAID AND COFISA  
 MAJ. GOCR AGENCY: NONE  
 IMPLEMENTING AGENCIES: COFISA AND OVI/OVA

COUNTERPART PLANNED: % LOP ELAPSED N/A  
 COUNTERPART ACTUAL: % TOT OBLIG EXP 166  
 CONTRACTORS: N/A

REPORT CONTINUED FROM PREVIOUS PAGE

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. The revolving capital fund has been cycled 2.5 times since start-up of the activity in 1985.

D. STATUS OF CP'S AND COVENANTS:

Completed at the time of the first disbursement on 5/14/85.

E. STATUS OF AUDITS:

Last audit was completed in May, 1988. Terms of reference for the next audit have been transmitted to COFISA for use in the next audit report due June, 1989.

F. STATUS OF EVALUATIONS:

A final evaluation report, prepared by PEAT, MARWICK MITCHELL AND CO. was submitted to USAID in February 1989. Appropriate follow-up actions were taken to correct administrative and technical weaknesses.

G. MISSION DIRECTOR'S ASSESSMENT:

This is a category "A" project since there are no major implementation problems.

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PRJ NAME: RURAL SCHOOL REFURBISHMENT CAT: A PROJ MGR: Flora Ruiz OFF: GDO POSTED ON: 3/31/89  
 PRJ #:515K194.19G AGRDT: 04/27/87 LEVDT: N/A OBLIG: 50 CUM EXP: 11 PIPELINE: 39 FY EXP TD: 0  
 TDDA:04/26/89 IPACD: 04/26/89 NEVDT: N/A AUTH: 50 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:01/28/90 PACD: 04/26/89 COMM: 44 ACCRUED: 11 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: Ministry of Public Education, Peace Corps (PC), AID COUNTERPART PLANNED: 47 % LOP ELAPSED 43  
 MAJ. GOCR AGENCY: Ministry of Public Education (MEP) COUNTERPART ACTUAL: 45 % TOT OBLIG EXP 99.4  
 IMPLEMENTING AGENCIES: MEP, PC, AID, Communities CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

Improvement of the physical facilities of approximately 400 rural schools, encompassing about 800 classrooms and benefiting over 13,000 children.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 400 Refurb. Rural schools.	1. 180 completed sub-projects	1. 100 completed sub-projects
	2. 467 sub-projects approved	2. 180 additional sub-grants approved

Actual in Period	Comments if less than planned
1. 106 completed sub-projects	1. N/A
2. 221 sub-projects approved	2. N/A

**Next Period Plans**

1. Complete additional 117 sub-projects
2. Approve additional 100 sub-projects

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

1. The measureable accomplishments and overall status of this project are reflected on the number of completed sub-projects (183) and the number of students benefited, currently 11,000 primary rural school students.
2. An equivalent of 20% of the total number of eligible schools has been approved (467); additional funds of C70 million were requested which aim to extend coverage to 50% of the total number of schools.
3. SDF awaits final definition of the process to be followed for the approval of additional funding.
4. There are an additional 155 school refurbishment sub-activities proposals awaiting approval.

**D. STATUS OF CP'S AND COVENANTS:**

N/A

**E. STATUS OF AUDITS:**

Scheduling of an audit depends upon approval of additional funds.

**F. STATUS OF EVALUATIONS:**

N/A

**G. MISSION DIRECTOR'S ASSESSMENT:** This project is classified as a category "A".

69

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PRJ NAME: FINANCING FOR PVO ACTIVITIES CAT: A PROJ MGR: BETSY MURRAY OFF: GDO POSTED ON: 3/31/89  
 PRJ #:515K222.07G AGRDT: 10/13/87 LEVDT: 02/89 OBLIG: 194 CUM EXP: 68 PIPELINE: 126 FY EXP TD: 0  
 TDDA:10/12/92 IPACD: 10/12/92 NEVDT: ?? AUTH: 194 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:07/28/93 PACD: 10/12/92 COMM: 137 ACCRUED: 68 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: Ministry of Presidency, ACORDE COUNTERPART PLANNED: N/A % LOP ELAPSED 28  
 MAJ. GOGR AGENCY: N/A COUNTERPART ACTUAL: N/A % TOT OBLIG EXP N/A  
 IMPLEMENTING AGENCIES: ACORDE - Asociación Costarricense de Organizaciones de Desarrollo CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION: To provide financial resources for project implementation and training to PVOs involved in the social and economic development of Costa Rica.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
1. 45 projects financ.	1. 10	1. 5
2. 10 TA projects	2. 3	2. 2

Actual in Period	Comments if less than planned
1. 5	1. Target met
2. 3	2. Target met

Next Period Plans

1. 5 new projects funded
2. 2 new TA projects
3. New staff trained
4. USAID management/ACORDE Board policy meeting

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. New staff hired for project and financial management
2. Restructured responsibilities of staff
3. 2 new board members elected
4. Evaluation and strategic planning meetings held

D. STATUS OF CP'S AND COVENANTS: Complete

E. STATUS OF AUDITS: Annual audit underway.

F. STATUS OF EVALUATIONS: Completed, final copy submitted February 1989. The findings were positive and helped achieve operational adjustments at ACORDE.

G. MISSION DIRECTOR'S ASSESSMENT: This project is classified as a category "A".

PRJ NAME: SELF HELP COMMUNITY FUND CAT: A PROJ MGR: Jorge Arroyo OFF: GDO POSTED ON: 3/31/89  
 PRJ #:515K222.99G AGRDT: 07/01/87 LEVDT: 03/87 OBLIG: 18 CUM EXP: 6 PIPELINE: 12 FY EXP TD: 0  
 TDOA:12/31/88 IPACD: 12/31/88 NEVDT: N/A AUTH: 18 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/89 PACD: 12/31/88 COMM: 22 ACCRUED: 6 & TFY TGT: 0 & FY TGT: 0

PARTIES TO AGR.: GOCR - USAID  
 MAJ. GOCR AGENCY: NONE  
 IMPLEMENTING AGENCIES: Costa Rican Communities

COUNTERPART PLANNED: 30 & LOP ELAPSED 43  
 COUNTERPART ACTUAL: 13 & TOT OBLIG EXP 16  
 CONTRACTORS: N/A

**A. PROJECT PURPOSE AND DESCRIPTION:**

The purpose of this Project is to help fund small-scale community development projects in the areas of construction of schools, health posts, school lunchrooms, water systems, rural roads and bridges and support for group income-generating activities. The Project activities have an immediate impact on the beneficiaries. This document records the commitment of funds for sub-grants and administrative costs associated with the implementation of the Project.

**B. IMPLEMENTATION PROGRESS:**

LOP	Cumulative	Planned for Period
1. 100 sub-grants approved.	1. 21 sub-grants	1. 25

Actual in Period	Comments if less than planned
1. 21	1. Target was practically met.

**Next Period Plans**

1. 50

**C. OTHER ACCOMPLISHMENTS & ISSUES:**

A total of 32 additional proposals have reached "approval consideration" stage and 25 of those will be highly recommended for approval at the next Selection Committee Meeting.

**D. STATUS OF CP'S AND COVENANTS:**

N/A

**E. STATUS OF AUDITS:**

N/A

**F. STATUS OF EVALUATIONS:**

N/A

**G. MISSION DIRECTOR'S ASSESSMENT:**

This project is classified as a category "A".

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PRJ NAME: SUPPORT TO NATIONAL HOUSING FINANCE SYSTEM CAT: A PROJ OFF: JEFFORY BOYER O&F: GDO/MHO POSTED ON: 3/31/89  
 PRJ #:515K231.01G AGRDT: 12/11/87 LEVDT: NONE OBLIG: 2,019 CUM EXP: 734 PIPELINE: 1,285 FY EXP TD: 0  
 TDDA:12/11/92 IPACD: 12/11/92 NEVDT: 12/89 AUTH: 3,196 CUM DIS: 0 TFF TGT: 0 FY TGT TD: 0  
 TDD:09/28/93 PACD: 12/11/92 COMM: 734 ACCRUED: 734 % TFF TGT: 0 % FY TGT: 0

PARTIES TO AGR.: Office of the Presidency, Housing Mortgage Bank, and USAID COUNTERPART PLANNED: % LOP ELAPSED 45  
 MAJ. GOCR AGENCY: Housing Mortgage Bank (BANHVI) COUNTERPART ACTUAL: % TOT OBLIG EXP 61  
 IMPLEMENTING AGENCIES: BANHVI and Authorized Lending Institutions CONTRACTORS: N/A

A. PROJECT PURPOSE AND DESCRIPTION:

USAID's capitalization of BANHVI (\$50 million) is designed to assist the GOCR in achieving the long-term growth and financial stability of C.R.'s National Housing Finance System. Furthermore, USAID's support is intended to contribute towards the achievement of the new housing law's (No. 7052) objectives.

tegit objectives have been established to guide operations.

B. IMPLEMENTATION PROGRESS:

LOP	Cumulative	Planned for Period
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1. Contribute to the capitalization of the National Housing Fund (FONAVI).	1. Total USAID financing to BANHVI's FONAVI operations equivalent to \$20 million. An additional \$9.5 million was obligated on 12/23/88 but not disbursed yet. As of 12/31 USAID contribution represented 58% of the FONAVI fund and 21% of total resources mobilized by BANHVI.	1. BANHVI had projected to mobilize C1.5 bil. (\$18.8 mil.) in addition to USAID's support. It received C1.0 billion, or 67% of its projected goal. Overall BANHVI mobilized 73% of its projected resources as of 12/88.
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3. Discount an estimated 11,845 mortgages (7/88) using USAID/BANHVI resources. Income distribution of these mortgages is projected to be: 0 - 40% = 9,300 41- 60% = 2,500 However, 70% of USAID's resources are for beneficiaries below the 40 income percentile and 30% destined between 41-60%.

3. Total number of mortgages dis-counted as of 3/31/89 was 2,673. Their value is C851.8 million, or 67% of USAID's original \$20 million grant plus, accrued interest earnings. The distribution of all mortgages as of this date is: 0 - 40% = 1,708 41- 60% = 1,449

3. BANHVI'S semi-annual projections had estimated the utilization of C703.6 million of USAID's donation.

Actual in period

Comments if less than planned

2. Support the long-term growth and financial stability of the Nat. Housing Finance System (NHFS).	2. Major steps have been taken by BANHVI to establish a computerized information system for the NHFS. Administrative audits have been completed for all S&L associations and financial indicators adopted to evaluate their financial positions. Stra-	2. Same
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1. No additional USAID and/or BANHVI financing has entered into the FONAVI fund since the last period. An additional \$9.5 million is in process of disbursement to BANHVI as a result of USAID/GOCR ESF local currency negotiations ending in December, 1988.

1. None

PRJ NAME: SUPPORT TO NATIONAL HOUSING FINANCE SYSTEM CAT: A PROJ OFF: JEFFORY BOYER OFF: GDC/MEO POSTED ON: 3/31/89  
 PRJ #:515K231.02G AGRDF: 12/21/87 LEVDT: NONE OBLIG: 0 CUM EXP: 0 PIPELINE: 0 FY EXP TD: 0  
 TDDA:12/11/92 IPACD: 12/11/92 MEVDT: 12/89 AUTH: 9,999,999 CUM DIS: 0 TFY TGT: 0 FY TGT TD: 0  
 TDD:09/28/93 PACD: 12/11/92 COMM: 0 ACCRUED: 0 % TFY TGT: 0 % FY TGT: 0

PARTIES TO AGR.: Office of the Presidency, Housing Mortgage Bank, and USAID COUNTERPART PLANNED: % LOP ELAPSED 45  
 MAJ. GOCR AGENCY: Housing Mortgage Bank (BANHVI) COUNTERPART ACTUAL: % TOT OBLIG EXP 61  
 IMPLEMENTING AGENCIES: BANHVI and Authorized Lending Institutions CONTRACTORS: N/A

REPORT CONTINUED FROM PREVIOUS PAGE

2. The BANHVI interven- 2. None  
 tions into the Gua-  
 nacaste and the Pun-  
 tarenas S&Ls were  
 successfully carried  
 out and USAID techni-  
 cal assistance (P.  
 Lasa) concluded oper-  
 ations were follow-  
 ing planned strategy.  
 Increased meetings  
 between BANHVI &  
 S&Ls concurred to re-  
 solve minor problems  
 and to strengthen  
 relationships. Fed-  
 eration of S&Ls for-  
 mally organized and  
 director hired.  
 3. BANHVI's discount 3. None  
 operations between  
 9/88 and 2/89 in-  
 creased from 189 to  
 a total of 3,157  
 mortgages.  
 The distribution of  
 the mortgages dis-  
 counted in this per-  
 iod by income range  
 is as follows:  
 0-40% = 1,595  
 41-60% = 1,373

Next Period Plans

1. Initiate and complete contracting process for hiring an institutional contractor to provide the services of a long-term resident advisor and limited short-term technical assistance to BANHVI and NHFS.
2. Support participation of the Executive Director of the Federation of S&Ls to the Fels housing finance course in Philadelphia.

3. Completion of BANHVI annual audit report and its review/acceptance by USAID. audit report and its
4. Establish date and complete administrative procedures for contracting the services for a BANHVI evaluation.
5. Monitor carefully BANHVI's financial situation with respect to it being overextended in short-term construction financing.

C. OTHER ACCOMPLISHMENTS & ISSUES:

1. Given the rapid rise in demand for discounting mortgages, BANHVI is now acutely aware of the high risk involved in overextending itself in providing construction advances and then, not having the resources to discount long-term mortgages. The pressures being exerted by the Minister to finance the Emergency Housing Commission projects has lead BANHVI down this road. Hopefully, corrective actions taken in the last month will avoid the occurrence of any serious financial shortfall.

D. STATUS OF CP'S AND COVENANTS:

All CPs to the MOU were met at the time of the initial \$20 million disbursement and BANHVI is considered to be in compliance at this time.

E. STATUS OF AUDITS:

The annual audit by an external firm is now in process and BANHVI is following USAID recommended guidelines.

F. STATUS OF EVALUATIONS:

No evaluations have been conducted to date. It would be advisable to plan one before the end of 1989.

G. MISSION DIRECTOR'S ASSESSMENT:

This is a category "A" project since there are no major implementation problems. However, intensive monitoring is required given the national importance and complexity of this activity.

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