

PD-ARH-214

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U.S. AGENCY FOR  
INTERNATIONAL  
DEVELOPMENT

**SEP 29 1982**

Dr. William P. Fuller, President  
The Asia Foundation  
465 California Street, 14th Floor  
San Francisco, CA 94104

Subject: Cooperative Agreement No. AEP-0015-A-00-2057-00

Dear Dr. Fuller:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to The Asia Foundation (hereinafter referred to as "Recipient") the sum set forth in Section 1C.2. of Attachment 1 of this Cooperative Agreement to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

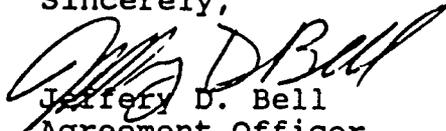
This Cooperative Agreement is effective as of 1 August 1992 and funds obligated hereunder shall be used to reimburse the Recipient for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Cooperative Agreement.

The total estimated amount of this Cooperative Agreement is the amount set forth in Section 1C.1. of Attachment 1, of which the amount set forth in Section 1C.2. is hereby obligated. A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount. However, subject to Section 1C.4. of Attachment 1, additional funds may be obligated by A.I.D. until such time as the obligated amount may equal the total estimated amount of this Cooperative Agreement.

This Cooperative Agreement is made to the Recipient on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Cooperative Agreement document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

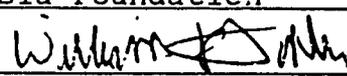
Sincerely,

  
Jeffery D. Bell  
Agreement Officer  
Division B, AEP Branch  
Office of Procurement

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision entitled "Restrictions on Lobbying"
5. A.I.D. Eligibility Rules (total procurement value > \$250K)

ACKNOWLEDGED:

The Asia Foundation  
BY:   
TYPED NAME: William P. Fuller  
TITLE: President, The Asia Foundation  
DATE: November 16, 1992

FISCAL DATA

A. GENERAL

A.1. Total Estimated A.I.D. Amount: \$3,553,301  
A.2. Total Obligated A.I.D. Amount: \$ 700,000  
A.3. Cost-Sharing Amount (Non-Federal): \$ -0-  
A.4. Other Contributions (Federal): \$ -0-  
A.5. Project No.: 499-0015  
A.6. A.I.D. Project Office: ASIA/US-AEP, Mr. Lewis Reade  
A.7. Funding Source: A.I.D./W  
A.8. Tax I.D. No.: 94-1191246  
A.9. DUNS No.: 07-463-2001  
A.10. LOC No.: 72-00-1307

B. SPECIFIC

B.1.(a) PIO/T No.: 499-0015-3-2672527  
B.1.(b) Appropriation: 72-112/31021.8  
B.1.(c) BPC: HDH2-92-37499-IG12  
B.1.(d) Amount: \$700,000

ATTACHMENT 2

PROGRAM DESCRIPTION

The Recipient's proposal number       N/A       entitled "U.S. Asian Environmental Fellowship Program" and dated 4 September 1992, (as negotiated) is attached hereto as the Program Description (Attachment 2) and is made a part of this Cooperative Agreement.

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ATTACHMENT 2

Program Description

1. Background

Asia's rapid and remarkable economic growth has occurred with alarming cost to the environment. A visit to any large city in the region amply demonstrates the costs of rapid industrialization and urbanization, and the recent mudslide disaster in the Philippines underscores the serious degradation of natural resources. In fact, the human, institutional, and economic infrastructure of the region are seriously threatened by environmental degradation.

In response, President Bush launched the United States Asia Environmental Partnership earlier this year. The Partnership proposes to organize a coalition of American and Asian businesses, governments, and community groups to work together to enhance Asia's environment and to promote economic progress. United States government field posts in Asia and a broad range of United States government agencies and departments are already collaborating on strategies to develop and implement programs. Through working groups, they have also engaged a wide range of private and not-for-profit institutions and organizations from the United States to participate in that foundation work. While Asian institutions and organizations will participate in the implementation of programs in the region, they have not yet been drawn into the planning and development phase in any systematic fashion.

2. Purpose

The purpose of the cooperative agreement is to launch a United States-Asian Environmental Fellowship Program responsive to the challenge of President George Bush: "Asia's continued economic and social progress and increased environmental protection will be beneficial to both the region and the United States. Greater use of U.S. environmental expertise, goods, and services will result in improved environmental quality in Asia while building stronger...links...for Asia and the U.S.". Specifically, the cooperative agreement is intended to promote thoughtful consideration of environmental challenges and implementable approaches to environmental issues on the part of senior Asian and Pacific Islands and American professionals; this purpose should be reflected in the specification of outputs in a first annual workplan.

3. Conditions and Criteria

As noted above, the cooperative agreement is intended to promote thoughtful consideration of environmental challenges and implementable approaches to environmental issues on the part of senior Asian and Pacific Islands and American professionals. While initial conditions and criteria for the fellowship program have been articulated (see the following paragraphs), Phase I activity

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is intended to draw a wide range of potential partner individuals and institutions into the program by including their ideas in the definition of conditions and criteria for both selection and placement of fellows.

The fellowship program will sponsor individualized learning experiences for professional personnel from Asia in the United States, and from the United States in Asia. Eligible candidates will have important responsibility in the areas of environmental technology, management, or policy, and will have institutional affiliation with government, industry, or other non-governmental organizations (including the press and other media).

Candidates will be nominated to The Asia Foundation by the A.I.D. Project Manager. A.I.D. will make nominations on the basis of consultations with, and recommendations from, United States government country teams in the field, from other participating United States government agencies and departments, from the Working Group for Fellowships, Exchange, and Training, and from The Asia Foundation itself. The Foundation will also have the opportunity to review nominations and provide appropriate input before final selection to assure that the selection criteria are met. The Foundation reserves the right to decline a candidate or affiliation which it finds inappropriate from the institutional viewpoint of the Foundation.

Criteria for selection of candidates will be developed jointly and will include the personal leadership qualities, the significance of candidate's institutional affiliation and situation, and candidate's potential to become increasingly important as spokespersons for the environment and international technology cooperation. Fellowships will involve a large measure of personal initiative and responsibility (i.e., defining objectives, identifying sponsoring institutions, designing programs, and for self-learning), and candidates reflecting this kind of initiative will be given priority. Workplans developed by the candidates will be reviewed by The Asia Foundation to determine feasibility and refined accordingly.

It is the intent of the fellowship program to link learning experiences to work situations and institutional settings, and only by exception to sponsor work at universities and/or study tours. In the design of learning programs, The Asia Foundation will be expected to relate proposed experiences to the current assignments of candidates, to the potential for expanding the professional horizons of candidates, and to specific opportunities for follow-up upon completion of a program. Fellowships may be programmed for periods from one to four months. There will be situations where very senior personnel will want to participate in the program, where competition might be awkward, where professional personnel would benefit from a less structured experience, or where an academic or study tour experience could be relevant. Provision will be made for such situations, but they will be the exception rather than the rule, and they will require prior approval from

AID. Over time, the program will also support the organization and maintenance of professional partnerships among individual and institutions.

#### 4. Program of Work

##### A. Phase I - Pilot Program

This sub-element will be managed in two to three groups of participants totalling 50. They will be evenly distributed between Asians/Pacific Islanders and Americans. The first, a planning group, will involve environmental policymakers from the Asia-Pacific region and U.S. to consider critical environmental issues they are confronting, relevant country circumstances, and possible approaches to be explored in the Environmental Fellowship Program. The Fellows will be constituted in group two and group three, meeting separately with their counterparts in the United States (probably San Francisco) to exchange information on both challenges and opportunities relevant to the environment in Asia. The program will then support month-long individualized fellowships in the United States for Asians, and in Asia for participants from the United States. And, at the conclusion of the month, the program will bring the fellows together again to engage and discuss conditions and criteria for Phase II and the design of a follow-on competitive fellowship program for years three through ten. It is expected that Foundation staff, the proposed Foundation Advisory Committee, and the United States Working Group for Fellowships, Exchange, and Training will participate in wrap-up workshops with each sub-group of participants for analysis and synthesis, for refining selection and placement criteria, for designing a follow-on program, and for establishing the basis for future networking among fellows.

##### B. Phase II - Expanded Program Implementation

This sub-element will provide an opportunity for extended consideration of common environmental concerns on both sides of the Pacific through individualized practical learning experiences

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focused on specified workplans and placements in selected organizations. Candidates will be nominated by the A.I.D. Project Manager as discussed above, and each nomination will be accompanied by a notional program plan developed by the candidate in discussion with a Country Team, a participating United States government agency or department, or The Asia Foundation itself. The Asia Foundation will build on the notional workplan, take into account the conditions and criteria already articulated for the program, draw on its own experience, take guidance and recommendations from members of the Working Group, and develop a final workplan.

It is not expected that government fellows will necessarily be assigned to government organizations, or private sector managers to industrial organizations. Rather, placements will seek to expand horizons and will put a premium on multi-disciplinary and cross-institutional experience. The Asia Foundation will encourage the maximum input and initiative from nominated fellows in the development of work programs. Some 100 participants from Asia and 50 participants from the United States are expected to be engaged.

Throughout Phase II, The Asia Foundation will give thought to the planning, conceptualization, and design of a longer-term Environmental Fellowship Program, contemplating fellowships as described above, a competitive structure for identifying and selecting participants, a set of sustaining institutions which will host participants, and continuing relationship and network among both participants and sustaining institutions. And as with the other element of the program, it is expected that Foundation staff, the proposed Foundation Advisory Committee, and the United States working Group for Fellowships, Exchange, and Training will participate in the exchange of ideas and work in this regard.

5. Effective Date

The effective date of the cooperative agreement will be the date of the agreement officer's signature. The effective dates will be August 1, 1992 to August 1, 1995. Funds obligated under the cooperative agreement will be available for program expenditure from June 1, 1992.

6. Product and Level of Effort

The general work related to programs A and B above will be defined by product outputs and approved in writing in advance by the Agency for International Development. All fulltime personnel assigned to work on activities under the cooperative agreement will be subject to prior review and approval by the Agency for International Development.

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## 7. Sub-grants

As part of its overall program of work, the Foundation may elect to provide sub-grants in selected areas where the engagement of an additional institution or organization may further the purposes of the cooperative agreement. The Foundation will submit proposed sub-grants, scopes of work, key personnel, and budgets to the Agency for International Development for prior written approval.

## 8. Relationships

A. Principal Points of Contact: The APRE/DR/TR Project Manager (Molly Kux) will be the principal point of contact at the Agency for International Development for the cooperative agreement. It will be the responsibility of the Project Manager to approve the annual workplans and fulltime personnel, to finalize fellowship nominations, to identify liaison personnel with other United States government agencies and other related non-governmental institutions and organizations, and to resolve issues arising in connection with the activities of the agreement. Dr. Elizabeth White, currently Director of The Asia Foundation's Afghan Program, will be the Environmental Fellowship program's Project Director and will be the principal point of contact for The Asia Foundation for the cooperative agreement. Dr. Vera Young, the Foundation's Director of Asian-American Exchange, and Mr. Gerald Martin, Regional Manager of the Foundation's Partners for International Education and Training, will participate in the program in an informal, consultative capacity.

The Asia Foundation will be responsible for preparing work plans for the overall program, identifying sponsoring institutions and organizations, developing workplans with nominated fellows, supervising all work conducted under the cooperative agreement, and resolving issues which may arise in connection with the activities of the agreement. In keeping with the spirit of the assistance instrument, the Agency for International Development will leave a large measure of independent authority to The Asia Foundation for the integrity and quality of its placement and support work under the cooperative agreement, but the Agency will also look for, and The Asia Foundation should facilitate, a large measure of collaboration with the U.S.-Asia Environmental Partnership Secretariat and Fellowship Working Group, and other partner institutions in the development of the First Annual Workplan.

B. Coordination: In keeping with the cooperative nature of the Partnership, The Asia Foundation will, together with U.S.-Asia Environmental Partnership Secretariat and Fellowship Working Group,

and other partner institutions, provide input, including identification of potential participants, for nominations of candidates. The AID Project Manager will solicit recommendations from the various parties mentioned above. The Asia Foundation will engage the Working Group throughout the implementation of the program. The United States Working Group will be represented by Co-Chairs from the Agency for International Development and the Environmental Protection Agency.

## 9. Implementation

A. Annual Work Plan: Within 90 days of the signatory date of this cooperative agreement, The Asia Foundation will submit two copies of a detailed work plan for the period from the effective date of the agreement through June, 1993, and for subsequent incremental funding, which will include: an outline of work planned, outputs to be completed under programs A and B, criteria for fellowship selection (as developed with, and approved by, the A.I.D. Project Manager), criteria for the placement of nominated fellows, resumes of fulltime personnel, a budget for all activities, commentary on progress and accomplishments including findings and recommendations, and identification of major problems and recommendations for action. The First Annual Workplan will give particular attention to placement criteria and outputs, emphasizing the steps which will be taken to enhance the developmental and environmental impacts from the proposed work. Outputs may be related to the organization of a national and/or regional leadership cadre, particular environmental issues, to particular countries, to a specified range of technologies, etc., but they must be related to objectives and purposes above and beyond the accomplishment of specific fellowships, and they must be quantifiable. Attention will also be given to the opportunities for financial leverage, building on The Asia Foundation's own network and/or programs of other organizations.

B. Progress Reports: Regular progress reports on programs A and B will be submitted every six months and within 60 days of completion of any funded sub-activity. A mutually agreeable format will be developed later.

C. Other Reports, Findings, and Recommendations: Of course, the product or level-of-effort work undertaken pursuant to programs A-B may require separate technical reports, findings, or recommendations as may be specified in proposals by the Foundation in its Annual Work Plan, or in the review and approval of the Annual Work Plan or related plans by the Agency for International Development.

D. Distribution: The Foundation will submit two copies of all reports to the Project Manager for the Agency for International Development and to field missions, United States government agencies, and other institutions and organizations as instructed by the Project Manager (e.e., PDD/CDIE/DI ACQUISITION, Room 209, SA-18). Within 60 days of the completion of this cooperative agreement, a final report will be submitted to the Agency for International Development which will address all activities, accomplishments and failures, and an overall analysis of the agreement's effect in achieving its purposes.

#### 10. US-AEP Country Eligibility

Eligible countries and territories are: Bangladesh, Cambodia, Fiji, Hong Kong, India, Indonesia, Kirbati, Laos, Macau, Malaysia, Maldives, Republic of the Marshall Islands, Federated States of Micronesia, Mongolia, Nepal, Papua New Guinea, Philippines, Singapore, South Korea, Taiwan/AIT, and Vanuata. Prior approval from A.I.D. will be required for any work (including development and pre-implementation work) in China, Pakistan, or Thailand. No work of any kind may be undertaken in Burma, North Korea, Vietnam, Australia, Japan, or New Zealand.

AGENCY FOR INTERNATIONAL DEVELOPMENT  
PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES (PIO/T)\*

1. Cooperating Country <b>MOROCCO</b>	2. PIO/T No. <b>608-0214-3-10113</b>	3. PIO/T Amend No. <b>original</b>
4. Project/Activity No. and Title <b>Development Studies and Technical Support 608-214</b>	5. Appropriation Symbol(s) <b>7 72-111/21037</b>	
	6. Budget Plan Code(s) <b>QES1-91-23608-IG13</b>	
7. Pro Ag No. or Project Authorization Date <b>June 12, 1991</b>	8. Obligation Status <input type="checkbox"/> Administrative Reservation <input checked="" type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) <b>June 30, 1998</b>	10. Authorized Agent <b>AID/W/MS/OP</b>	
11. Type of Action and Governing A.I.D. Handbook [A] A. A.I.D. Contract (HB14) B. A.I.D. Grant or Cooperative Agreement (HB 13) C. PASA/RSSA (HB 12) D. Other	12. Contract/Grant/Cooperative Agreement/Reference Number (if this PIO/T is for an order or a modification to an award) <b>LAG-4084-C-00-3003-00</b>	

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.)

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	0	350,000	-0-	350,000
B. U.S.-Owned Local Currency				

14. Mission References

15. Instructions to Authorized Agent

Mission requests MS/OP to negotiate a buy-in to the A.I.D. Agricultural Policy Analysis Project, Phase II for the services specified in Attachment D.(APAP II 936-4084).

16. Address of Voucher Paying Office **Controller Office, American Embassy -Rabat, PSC 74, Box 022, APO AE 09718**

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333). - *RGold*

DDO: JSchamper

PROG:RGol

Signature ADO: *Charles Uphoff*

Date: Phone No:

B. The statement of work or program description lies within the purview of the initiating and approved agency  
Signature: A/PROG:MKradzkiwicz Date: *6/1/92*

C. RCO: Mary Reynolds

Signature: *MR* Date: *6/15/92*

D. Funds for the services requested are available

C910065-03  
Signature: *RDschambault* Date:

E. DDIR: James Lowenthal

Signature: Date:

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: *M. Bouanani*, Director, DPAE

*[Signature]* Date: *15/06/92*  
L. Siraj Sani, Charge d'Etudes, AE

19. For the Agency for International Development:

Signature: *Dennis M. Chandler*  
*[Signature]* BOJANANI

Title: Director/USAID Morocco Date: *15/6/92*

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSER AND RECIPIENT TO

