

PD-ABF-973

UNCLASSIFIED

**Annual Budget
Submission**

**SMALL MICRO AND
INFORMAL ENTERPRISE**

JULY 1992



**Agency for International Development
Washington, D.C. 20523**

UNCLASSIFIED

PRE/SMIE - PROGRAM NARRATIVE

I. Introduction

The Bureau for Private Enterprise characterizes its primary mission as "making markets work for everyone." Within this broad objective, the Office of Small, Micro and Informal Enterprise seeks to generate commercial, legal, regulatory, economic policy and institutional reform in A.I.D.-supported countries with the explicit purpose of improving the environment in which business transactions are done, both domestically and internationally. These policies and institutions serve as the foundations on which efficient and broad-based economic development can prosper and grow.

During the last two years, PRE/SMIE has developed new project initiatives and acquired economic and institutional reform projects begun in other offices. Through this process, PRE/SMIE has assembled a critical mass of project and personnel resources whose principal purpose is to support market- and business-oriented policies, and to promote business-enhancing legal and regulatory environments, organizational structures and decision-making processes.

Institutional and economic reform is assuming an increasingly important role in most A.I.D. missions. This is due to the burgeoning needs for restructuring and institution-building in newly emerging market economies, as well as the increased focus on strengthening the policy, institutional and regulatory environments in other "non-emerging" developing economies. Over the coming two years, into FY 1994, the Office proposes to re-dedicate its allotted staff and project resources increasingly to provide state-of-the-art institutional and economic reform and economic research services to A.I.D. field missions and central bureaus.

The Office also continues to serve as the central point of oversight and technical support for A.I.D.'s worldwide program in micro- and small-scale enterprise development. In this function it is continuing a role that PRE/SMIE and predecessor offices have played since the inception of small enterprise development in A.I.D. as a key contributor to expanding the breadth of the base for economic and human growth through private sector development. The Office also fulfills Agency requirements to track and report on the A.I.D. worldwide program in microenterprise development, and to assure Agency compliance with Congressionally mandated earmarks for microenterprise development.

PRE/SMIE is committed to supporting A.I.D.'s worldwide programs in micro- and small-scale enterprise development and to assuring they are carried out at the state-of-the-art, while responding to

Congress' concern for reaching particular beneficiaries. In this respect, to assure maximum sustainability of field project interventions, the Office integrates its small business development activities into its institution-building objectives...

II. Current and Proposed Program

In order to achieve program objectives in the institutional and economic reform program area, PRE/SMIE supports projects with the following objectives:

- Through **Consultancy Assistance for Economic Reform (CAER)** (940-0405), provide primarily short-term technical assistance, training and applied research to assist USAIDs and host countries to reform economic policies and to sustain the implementation of these reforms. The impact of these activities, when interpreted through policy dialogue, is to enhance the environment for financial transactions, privatization and the doing of business generally, both domestically and internationally.

Present and Planned: The Agency's demand for CAER's services to date provides ample evidence that these services are needed and of high quality. To accommodate the unexpected high level of mission buy-ins for CAER consultants, PRE is asking the Office of Procurement to reduce the CAER budgeted ceiling for core funding by \$1 million and to increase the buy-in ceiling by that amount. Even with this adjustment, we expect the life-of-project budgeted ceiling for buy-ins to be drawn down well before the project completion date of June 25, 1994. PRE will evaluate the project in the first quarter of FY 93, and plans to implement a five-year follow-on project during the latter part of that fiscal year, which, importantly, will provide new authorities for the placement of long-term advisors to support the inescapably longer-term process of reforming the business environment.

- Under the cooperative agreement with the **Institute for Contemporary Studies (ICS)** (940-0404), promote local capacity-building and action research leading to sustainable policy dialogue and reform interventions by the 240-plus correspondent-institutions (CIs) on the networks of the International Center for Economic Growth and the International Center for Self-Governance.

Present and Planned: The ICS program budget will continue at its current level in FY 93 and FY 94. ICS plans to increase activities in Africa and to develop a set of training materials in self governance, with particular emphasis on natural resources and the enabling environment for the private sector. In addition, following up on promising work begun in South Asia in FY 92, ICS' ICEG

affiliate will increase its focus on trade liberalization, attempting to reform regulations and strengthen institutions in countries in which its CIs operate, in order to open the door to enhanced trade and investment with both neighboring and distant countries, including the United States.

- **The Institutional Reform and the Informal Sector (IRIS)** project (940-0015), provides primarily long-term technical assistance and applied research services to create appropriate legal, regulatory and judicial institutions. The project is the Agency's first to specialize in research and technical assistance on the "rules of the game" for the private sector and the ways in which these rules are made. The emphasis is on building local capacity to engage in the institutional reform and institution-building processes.

Present and Planned: Begun in September 90, the IRIS project will be operating at its peak disbursement levels in FY 93-94. Subject to an evaluation in FY 93, the project may be extended beyond its current five year LOP. In addition to assisting local groups to gain ownership of, and become more effective in, the policy and legal reform processes, the project will be engaging in innovative new activities in Africa and Latin America which will deal with how interest groups affect public sector rent-seeking, the formation of policies and the delivery of services.

Program objectives in the micro- and small-scale enterprise development program area will be achieved through the following interventions:

- Through the **Growth and Equity through Microenterprise Investments and Institutions (GEMINI)** project (936-5448), long- and short-term technical assistance, applied research and an active portfolio of dissemination activities will provide leadership inside and outside A.I.D. in designing and implementing sustainable business development initiatives. Focus has been especially (but not exclusively) in the financial sector, the area of business development support in which micro/small enterprises traditionally have had the greatest difficulty gaining access.

Present and Planned: GEMINI is the Agency's premier vehicle for the provision of technical assistance services in small and microenterprise development. As demonstrated by the forty-seven buy-ins within the first three years of the program, GEMINI has proved to be a highly requested resource. The PACD for GEMINI is September 1994, but there almost certainly will be a follow-on activity which would provide for a continuation of technical assistance services. In addition, an increase in near-term funding authority has been approved to accommodate higher levels of Peace Corps PASA funding, RSSA positions and an OYB transfer for work in

the former Soviet Republics.

- Under the new **Anti-Poverty Lending for Enterprises (APPLE)** (940-0403) matching fund, additional resources will be provided to field missions to encourage them to develop and fund high quality microenterprise projects whose benefits accrue predominantly to the poor and women.

Present and Planned: APPLE is expected to get underway in FY 93 at a level of \$10.0 million. APPLE is a demonstration of A.I.D.'s increased commitment to anti-poverty lending. The initiative responds to frequent Congressional requests that A.I.D. support microenterprise development through credit in amounts up to \$300. It also serves an important development function -- to capitalize and expand microenterprises to increase incomes and employment. Fifteen years of development experience have demonstrated that micro credit programs are feasible and can cover overhead costs.

- The **Microenterprise Monitoring System (MEMS)** project (940-0400) develops management information systems for USAIDs and the institutions through which micro- and small-scale enterprise projects are implemented to monitor and support their enterprise development programs.

Present and Planned: FYs 92 and 93 will be MEMS' major disbursement years, as the system is put into full worldwide implementation. MEMS is planned to phase down by FY 94, and will operate at a lower level of funding. The project will continue to collect two types of data, required for A.I.D. reports to Congress: (1) aggregate financial data on microenterprise programs, and (2) detailed project information such as number and size of loans, and gender of beneficiaries. The project will come to an end in 1994, but its ability to generate information on micro- and small-scale enterprise projects should become institutionalized by then.

III. Projects Being Terminated/Phased Out

- **Microenterprise Impact Evaluation System (MIMES)** This project (940-0401) was scheduled to begin in FY 93. Its purpose was to examine the qualitative impact of microenterprise activities. In recognition of the enhanced evaluation capability of CDIE, and after discussions with other bureaus, PRE has decided not to pursue the MIMES project, and has reprogrammed its planned FY 1993 resources in favor of the follow-on CAER project, which will begin in late FY 93.
- **Inherited PPC Projects** Two grant projects that were part of

the portfolio inherited from PPC (batched under the project no. 930-0095) -- the International Institute for Entrepreneurology and the Sequoia Institute Seminar Series will terminate during FY 92 and will not be extended.

IV. New Initiatives

The principal new initiative contemplated at this time is the FY 93 follow-on to the Consultancy Assistance for Economic Reform (CAER) project (940-0405). CAER has been one of the major vehicles available to A.I.D. economists and private sector officers for carrying out applied research, training and policy dialogue, directed at the reform of regulations, policies and institutions in ways that make them, and the host country environment as a whole, more friendly to the doing of business. Beginning in late FY 93, PRE/SMIE intends to authorize an extension to the CAER project, and to recompute it with the following changes in focus:

- Focus more explicitly on the business environment: Although the project's objective always has implicitly been to have impact on the actual policies, regulations and institutions that govern the doing of business, the focus on the positive or negative aspects of these forces explicitly on the carrying out of business transactions, and the feeding back of this knowledge and awareness into the policy dialogue and institution-strengthening processes will be made more manifest.
- Need for long-term inputs: CAER initially was designed to provide short-term assistance to USAIDs, and host country private and public sector institutions. It has been observed that despite the effectiveness of this short-term technical assistance, overall impact could have been greatly enhanced by providing for long-term advisors, reflecting the longer gestation of training and reform processes, especially in countries undergoing major transitions into market economies. To accommodate this increasingly important segment of USAID mission demand, authorities and priorities under the new project will be expanded to emphasize provision of long-term services.

PRE SMALL, MICRO AND INFORMAL ENTERPRISE (943) FY 1994 ANNUAL BUDGET SUBMISSION

TABLE I : APPROPRIATION SUMMARY (\$000)

ACCOUNT	FY 1991 ACTUAL	FY 1992 ESTIMATE	FY 1993 CP	FY 1993 PLANNED	FY 1994 PROPOSED	FY 1995 PROPOSED
AGR, RUR DEV & NUTRITION	3,943	3,007	5,470	5,470	7,920	7,890
HEALTH	360		600	600		
EDUCATION AND HUMAN RES.	230	314			500	500
PVT. SECTOR, ENV & ENERGY	2,801	5,105	12,560	12,560	10,085	7,450
FUNCTIONAL DEVELOPMENT ASSISTANCE TOTAL:	7,334	8,426	18,630	18,630	18,505	15,840
DEVELOPMENT FUND FOR AFRICA		1,000				
DEVELOPMENT ASSISTANCE TOTAL:	7,334	9,426	18,630	18,630	18,505	15,840
DA & ESF TOTAL:	7,334	9,426	18,630	18,630	18,505	15,840

6

TABLE IV : PROJECT BUDGET DATA (U.S. \$000)

PROJECT NUMBER	FUND SRC	NPA IND	OBLIG DATE INIT/FINAL	--TOTAL COST-- AUTH	OBLIG THRU FY 1991	--FY 1992 EST.-- OBLIG ATIONS	EXPEND ITURES	-----FY 1993 PLANNED----- OBLIG ATIONS	EXPEND ITURES	YR END MORTGAGE	--FY 1994 PROP.-- OBLIG ATIONS	EXPEND ITURES	FY 1995 OBLIG PROP
930-0095			ECON INTERDEPENDENCE AND STRUCTURAL ADJ										
	FN G	PA	80 92	7,264	7,264	5,481	1,783	2,000		2,067			
	HE G	PA	80 92	905	905	905		355					
	EH G	PA	80 92	1,119	1,119	805		314	300				
	SD G	PA	80 92	1,253	1,253		1,253	1,000		253			
	PROJECT TOTAL:			10,541	10,541	7,191	3,350	3,655	0	2,544	0	0	0
936-5426			EMPLOYMENT AND ENTERPRISE POLICY ANALYSI										
	FN G	PA	84 90	1,221	1,221	1,221		20					
936-5448			GROWTH & EQUITY THRU MICRO INVEST/INST.										
	FN G	PA	90 95	4,800	4,800	2,256	1,161	1,300	470	1,050	913	470	1,050
	SD G	PA	90 95	7,500	7,500	3,277	1,639	1,000	800	1,700	1,784	800	1,000
	PROJECT TOTAL:			12,300	12,300	5,533	2,800	2,300	1,270	2,750	2,697	1,270	2,050
940-0002			ACCION BRIDGE FUND GRANT										
	FN G	PA	90 92	163	163	100*	63	63					
	SD G	PA	90 92	163	163	100*	63	63					
	PROJECT TOTAL:			326	326	200*	126	126	0	0	0	0	0
940-0015			INSTITUTIONAL REFORM & INFORMAL SECTOR										
	SD G	PA	90 94	8,835	8,835	2,350	1,800	2,500	2,200	2,200	2,485	2,485	2,000
	SS G	PA	90 94	1,000	1,000		1,000	500		500			
	PROJECT TOTAL:			9,835	9,835	2,350	2,800	3,000	2,200	2,700	2,485	2,485	2,000
940-0400			MICROENTERPRISE MONITORING SYSTEM										
	SD G	PA	91 94	900	900	270	350	350	130	270	150	150	
940-0403			ANTI-POVERTY LENDING FOR ENTERPRISES										
	FN G	PA	93 97		20,000				5,000	3,500	15,000	5,000	4,000
	SD G	PA	93 97		30,000				5,000	3,500	25,000	5,000	4,000
	PROJECT TOTAL:			0	50,000	0	0	0	10,000	7,000	40,000	10,000	8,000
940-0404			INSTITUTE FOR CONTEMPORARY STUDIES										
	FN G	PA	93 95	4,900	4,900					4,900	2,450	2,000	2,450
	HE G	PA	93 95	600	600			600	400			200	
	EH G	PA	93 95	1,000	1,000					1,000	500	200	500
	SD G	PA	93 95	4,300	4,300			3,000	2,800	1,300	650	300	650
	PROJECT TOTAL:			10,800	10,800	0	0	0	3,600	3,200	7,200	3,600	2,700
940-0405			CONSULTANCY ASSISTANCE FOR ECON REFORM										
	SD G	PA	93 93	630	630			630	600			30	
940-0406			ECON REFRM & PVT SECTOR (CAER FOLLOW-ON)										
	SD G	PA	93 98		5,000			800	100	4,200	1,000	1,000	1,000
	REPORT TOTAL:			46,553	101,553	16,565	9,426	9,451	18,630	19,164	56,932	18,505	15,780

* FY 1991 and prior year obligation reported under PRE/I office by Agency accounting system.

TABLE IV : PROJECT BUDGET DATA (U.S. \$000)

PROJECT NUMBER	FUND SRC	NPA IND	OBLIG DATE INIT/FINAL	--TOTAL COST-- AUTH PLAN	OBLIG THRU FY 1991	--FY 1992 EST.--		-----FY 1993 PLANNED-----			--FY 1994 PROP.--		FY 1995 OBLIG PROP
						OBLIG ATIONS	EXPEND ITURES	OBLIG ATIONS	EXPEND ITURES	YR END MORTGAGE	OBLIG ATIONS	EXPEND ITURES	

APPROPRIATION SUMMARY

FN	3,007	3,383	5,470	6,617	20,913	7,920	7,050	7,890
HE	0	355	600	400	0	0	200	0
EH	314	300	0	224	1,000	500	200	500
SD	5,105	4,913	12,560	11,423	35,019	10,085	8,330	7,450
SS	1,000	500	0	500	0	0	0	0
REPORT TOTAL:	9,426	9,451	18,630	19,164	56,932	18,505	15,780	15,840

8

PRE SMALL, MICRO AND INFORMAL ENTERPRISE (943)
 FY 1994 ANNUAL BUDGET SUBMISSION

TABLE V : PROPOSED PROGRAM RANKING

RANK	PROJECT	TITLE	PROGRAM FUNDING (\$000)	
			APPROP	INCR
MCC LEVEL				
	936-5448	GROWTH & EQUITY THRU MICRO INVEST/INST.	FN	470
	936-5448	GROWTH & EQUITY THRU MICRO INVEST/INST.	SD	800
	940-0015	INSTITUTIONAL REFORM & INFORMAL SECTOR	SD	2,485
	940-0400	MICROENTERPRISE MONITORING SYSTEM	SD	150
	940-0403	ANTI-POVERTY LENDING FOR ENTERPRISES	FN	5,000
	940-0403	ANTI-POVERTY LENDING FOR ENTERPRISES	SD	5,000
	940-0404	INSTITUTE FOR CONTEMPORARY STUDIES	EH	500
	940-0404	INSTITUTE FOR CONTEMPORARY STUDIES	FN	2,450
	940-0404	INSTITUTE FOR CONTEMPORARY STUDIES	SD	650
	940-0406	ECON REFORM & PVT SECTOR (CAER FOLLOW-ON)	SD	1,000
		TOTAL MCC REQUEST		18,505
INCREMENT LEVEL				
		TOTAL INCREMENT REQUEST		0
		TOTAL REQUEST		18,505

9

Workforce and OE Levels

PRE/SMIE has a total USDH FTE request level of seven. This includes an office director (economist), one international economist, two general business specialists, a social science analyst, a secretary and an economist position yet to be staffed (to be re-sparred after the departure of the Office's long-time deputy/small enterprise coordinator who transferred to Bangladesh).

Consistent with practice of the last twelve years, the Office expects to receive two professional staff under RSSAs from the U.S. Department of Labor. They will provide support services to the Office's two program areas.

In addition to the office director (economist) and secretary, the professional staff breaks down as follows:

Microenterprise:

General Business Specialist - PRE/SMIE is launching the new Anti-Poverty Lending to Enterprises (APPLE) initiative to respond to the continuing interest of Congress concerning A.I.D. activity levels in anti-poverty lending. This initiative will be underway in FY 93. The APPLE project, coupled with the Office's existing microenterprise activities such as the Peace Corps and microenterprise monitoring, necessitated a new FTE. The candidate should be selected in the last quarter of FY 92.

Social Science Analyst - This position serves as the principal coordinator for small-scale and microenterprise development activities. The incumbent manages research on small enterprise issues, provides field support for the design, implementation and evaluation of microenterprise projects, and monitors Congressional microenterprise mandates.

Institutional and Economic Reform:

General Business Specialist - This is an institutional reform and private sector development specialist, providing professional and administrative leadership for A.I.D. initiatives designed to improve business performance through reform of laws, regulations and decision-making processes.

International Economist - This position provides technical skills/leadership in the area of macroeconomics, trade and finance. The incumbent assists in interpreting and marketing the products of the Office's policy reform projects.

Operating Expenses:

The OE/travel estimates are far below levels that allow for high quality professional management and program sustainability. With the acknowledged pressure on the Agency's OE budget, we are cutting back on necessary travel. If more OE funding were made available, this would greatly enhance the Office's ability to advance economic reform field projects, evaluation and training initiatives.

TABLE VIII(o)
 ORGNO: 943 SMIE
 PRE/WASHINGTON

WORKFORCE PLANNING HRDM

----- FY 1992 -----				
Area of Focus (1)	Area of Focus (2)	Area of Focus (3)	Other	
: Institutional & Economic Reform:	Micro- and Small Enterprse:	:	:	:

USDH BY BACKSTOP:				
Economist	2.0			
Gen Bus Spec/ Pvt Sec Officer	2.0			
FNDH				
US PSC's				
FN PSC's				
Other US Gov't		2.0		
Other Institutional Manpower Contracts				

TOTAL WORKFORCE	4.0	2.0	0.0	0.0

----- FY 1993 -----				
Area of Focus (1)	Area of Focus (2)	Area of Focus (3)	Other	
: Institutional & Economic Reform:	Micro- and Small Enterprse:	:	:	:

USDH BY BACKSTOP:				
Economist	2.0	1.0		
Gen Bus Spec/ Pvt Sec Officer	1.0	1.0		
Soc Sci Analyst		1.0		
FNDH				
US PSC's				
FN PSC's				
Other US Gov't	1.0	1.0		
Other Institutional Manpower Contracts				

TOTAL WORKFORCE	4.0	4.0	0.0	0.0

12

TABLE VIII(o)
 ORGNO: 943 SMIE
 PRE/WASHINGTON

WORKFORCE PLANNING HRDM

	FY 1994			
	Area of Focus (1)	Area of Focus (2)	Area of Focus (3)	Other
	: Institutional & Economic Reform:	: Micro- and Small Enterprise:	:	:
USDH BY BACKSTOP:				
Economist	2.0	1.0		
Gen Bus Spec/	1.0	1.0		
Pvt Bus Officer				
Social Analyst		1.0		
FNDH				
US PSC's				
FN PSC's				
Other US Gov't	1.0	1.0		
Other Institutional				
Manpower Contracts				
TOTAL WORKFORCE	4.0	4.0	0.0	0.0

12

TABLE VIII(c)
 BPC: 943 SMIE
 PPE/WASHINGTON

WORKFORCE CATEGORY	FY 1992				FY 1993				FY 1994			
	OE	TF	PROG	TOTAL	OE	TF	PROG	TOTAL	OE	TF	PROG	TOTAL
U.S. Direct Hire	5.0			5.0	7.0			7.0	7.0			7.0
F.N. Direct Hire				0.0				0.0				0.0
U.S. PSC				0.0				0.0				0.0
F.N. PSC				0.0				0.0				0.0
OTHER U.S. GOV'T.			2.0	2.0			2.0	2.0			2.0	2.0
OTHER INSTITUTIONAL				0.0				0.0				0.0
MANPOWER CONTRACTS				0.0				0.0				0.0
TOTAL FTE	5.0	0.0	2.0	7.0	7.0	0.0	2.0	9.0	7.0	0.0	2.0	9.0

BLE VIII(e)
 C: 943 SMIE
 Washington Operating Budget

EXPENSE CATEGORY	FUNC CODE	FY 1994				TOTAL FY 1994 REQUEST	TOTAL FY 1994 UNITS
		TOTAL FY 1993 REQUEST	FY 1993 UNITS	DECREASE & NON RECURRING INCREASES	WAGE & PRICE INCREASES		
As/DETAILS-IN:	N200	0.0				0.0	
EQUIPMENT PURCHASES:							
User Resources Software	P322	0.0				0.0	
TRAVEL/GENERAL TRAVEL:							
Site Visits - Proj/Prog Support	T510	11.9	3.0			17.5	5.0
Site Visits - Admin Support	T520	0.0				0.0	
Conferences / Seminars / Meetings	T530	4.8	2.0			7.5	3.0
Retreats	T540	0.0				1.5	1.0
Experts / Consultants	T550	0.0				0.0	
Invitational Travel	T560	0.0				0.0	
Orientation Travel	T570	0.0				0.0	
Impact Evaluation Travel	T581	0.0				0.0	
Disaster Travel	T582	0.0				0.0	
Assessment Travel	T583	3.3	1.0			7.0	2.0
Other Travel	T590	0.0				3.5	1.0
Subtotal	T500	20.0		0.0	0.0	0.0	37.0
MISCELLANEOUS SERVICES:							
Data Entry/Retrieval Services	V911	0.0				0.0	
Clerical Services	V912	0.0				0.0	
Consultant Services	V913	0.0				0.0	
All Other Manpower Services	V919	0.0				0.0	
DCAA Audits	V921	0.0				0.0	
HHS Audits	V922	0.0				0.0	
Other Federal Audits	V923	0.0				0.0	
Non-Federal Audits	V924	0.0				0.0	
OPM Background Investigations	V925	0.0				0.0	
Contract Background Investigation	V926	0.0				0.0	
Special Investigations	V927	0.0				0.0	
All Other Audit/Investigation	V929	0.0				0.0	
AID Hosted Confs/Mtgs/Seminars	V931	0.0				0.0	
Meetings and Workshops	V932	0.0				0.0	
Seminars	V933	0.0				0.0	
All Other Conf/Mtgs/Seminars	V939	0.0				0.0	
Internal Studies & Analysis	V951	0.0				0.0	
Congressional Studies	V952	0.0				0.0	
OMB Studies	V953	0.0				0.0	
All Other Studies/Analysis	V959	0.0				0.0	

5

TABLE VIII(e)

PC: 943 SMIE

Washington Operating Budget

EXPENSE CATEGORY	TOTAL		FY 1994			TOTAL		
	FUNC CODE	FY 1993 REQUEST	FY 1993 UNITS	DECREASE & NON RECURRING	WAGE & PRICE INCREASES	OTHER INCREASES	FY 1994 REQUEST	FY 1994 UNITS
Boards - Review	V961	0.0					0.0	
Recruiting - Advertising	V962	0.0					0.0	
Recruiting - All Other	V963	0.0					0.0	
Grievances	V964	0.0					0.0	
Outplacement	V965	0.0					0.0	
FSN Activities	V966	0.0					0.0	
All Other Pers Spt Activity	V969	0.0					0.0	
All Other Miscellaneous Service	V990	0.0					0.0	
Subtotal	V900	0.0		0.0	0.0	0.0	0.0	
TOTAL BUDGET		20.0		0.0	0.0	0.0	37.0	

10

TABLE VII - SCHEDULE OF EVALUATIONS COMPLETED AND PLANNED
(FY 92, 93, 94)

AID/W - PRE/SMIE

Evaluation Officer: Catherine Gordon
Title : General Business Specialist

<u>Evaluation Activities</u>	<u>Date of Evaluation</u>	<u>PACD</u>	<u>Funding Level (In \$ Thousands)</u>
CAER	1st Quarter FY 93	June 1994	70,000
GEMINI	To Be Completed By 3rd Quarter FY 92	Sept 94	26,000
ICS	To Be Completed By 3rd Quarter FY 92	May 95	53,000
IRIS	3rd Quarter FY 93	Sept 95	30,000
MED-PASA	To Be Completed By 3rd Quarter FY 92	Sept 94	33,000

AC/SI AGGREGATE ANALYSIS
ACTIVITY CODES
(U.S. Dollars Thousands)

ACTIVITY	FY 1992 ESTIMATE		FY 1993 PLANNED		FY 1994 PROPOSED		FY 1995 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
AGAB AGRIBUSINESS.	560	5.9 %	254	1.4 %	254	1.4 %	248	1.6 %
AGPP AGRICULTURAL POLICIES & PLANNING			429	2.3 %	300	1.6 %	300	1.9 %
DILJ LEGAL AND JUDICIAL DEVELOPMENT.	560	5.9 %	440	2.4 %	497	2.7 %		
DILT LEADERSHIP TRAINING IN DEMOCRATIC PROCESSES	560	5.9 %	440	2.4 %	497	2.7 %		
DIPI REPRESENTATIVE POLITICAL INSTITUTIONS	560	5.9 %	440	2.4 %	497	2.7 %		
PEBD BUSINESS DEVELOPMENT PROMOTION.	3,276	34.8 %	1,679	9.0 %	1,785	9.6 %	868	5.5 %
PEFM FINANCIAL MARKETS	950	10.1 %	10,127	54.4 %	10,127	54.7 %	10,124	63.9 %
PETI TRADE AND INVESTMENT PROMOTION.	335	3.6 %						
PRNS POLICY REFORM, NONSECTORAL N.E.C	1,620	17.2 %	4,821	25.9 %	4,549	24.6 %	4,300	27.1 %
PSMG PUBLIC SECTOR ADMINISTRATION AND MANAGEMENT	1,005	10.7 %						
PROGRAM TOTAL	9,426	100.0 %	18,630	100.0 %	18,505	100.0 %	15,840	100.0 %

AC/SI AGGREGATE ANALYSIS
SPECIAL INTEREST CODES
(U.S. Dollars Thousands)

SPECIAL INTEREST	FY 1992 ESTIMATE		FY 1993 PLANNED		FY 1994 PROPOSED		FY 1995 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
I. Substantive								
A. Spatial/Geographic Distrib. of Beneficiaries								
B. Special Targets								
WDP	WOMEN IN DEVELOPMENT: SPECIFIC.	25	0.3 %					
WDI	WOMEN IN DEVELOPMENT: INTEGRATED	1,575	16.7 %	5,700	30.6 %	5,710	30.9 %	5,620
PSD	PRIVATE SECTOR DEVELOPMENT	8,622	91.5 %	17,636	94.7 %	17,605	95.1 %	14,940
SFI	SOCIAL SECTOR FINANCING			5,000	26.8 %	5,000	27.0 %	5,000
PVX	PVO INSTITUTIONAL DEVELOPMENT	455	4.8 %	178	1.0 %	178	1.0 %	174
INS	INSTITUTION BUILDING	2,135	22.7 %	9,473	50.8 %	9,569	51.7 %	8,736
SPR	SECTORAL POLICY REFORM	3,350	35.5 %	2,015	10.8 %	1,950	10.5 %	1,950
EPR	MACROECONOMIC POLICY REFORM.	3,350	35.5 %	2,549	13.7 %	2,500	13.5 %	2,500
C. Food, Agriculture & Rural Development								
D. Energy/Environment								
II. Institutional Mechanisms								
A. Public/Private								
PRT	PRIVATE ENTITY			10,000	53.7 %	10,000	54.0 %	10,000
B. PVO/NGOs								
PVU	PVO/NGOs, U.S.	126	1.3 %					
PVL	PVO/NGOs, LOCAL.	1,554	16.5 %	5,676	30.5 %	5,696	30.8 %	5,533
COP	COOPERATIVES.	336	3.6 %	5,152	27.7 %	5,152	27.8 %	5,149
C. International Agricultural Research Centers								
D. Universities								
E. Non-Profit Organizations								
III. Research and Development Activities								
A. Applied Research								
RSS	SOCIAL SCIENCE RESEARCH	1,532	16.3 %	423	2.3 %	452	2.4 %	198
B. Basic Research								
RBS	BASIC RESEARCH (if not applied or development)	536	5.7 %					
C. Development								
IV. Training								

19

AC/SI SUMMARY REPORT
 (U.S. Dollars Thousands)

	% FY92	% FY93	% FY94/95	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
PROJECT NUMBER: 930-0095 TITLE: ECON INTERDEPENDENCE AND STRUCTURAL ADJ							
PEFM FINANCIAL MARKETS							
SI CODE: EPR	100 %			670			
SI CODE: PSD	85 %			569			
SI CODE: SPR	100 %			670			
TOTAL AC CODE:	20 %			670			
PETI TRADE AND INVESTMENT PROMOTION							
SI CODE: EPR	100 %			335			
SI CODE: PSD	100 %			335			
SI CODE: SPR	100 %			335			
TOTAL AC CODE:	10 %			335			
PRNS POLICY REFORM, NONSECTORAL N.E.C							
SI CODE: EPR	100 %			1,340			
SI CODE: PSD	85 %			1,139			
SI CODE: RBS	40 %			536			
SI CODE: RSS	60 %			804			
SI CODE: SPR	100 %			1,340			
TOTAL AC CODE:	40 %			1,340			
PSMG PUBLIC SECTOR ADMINISTRATION AND MANAGEMENT							
SI CODE: EPR	100 %			1,005			
SI CODE: PSD	50 %			502			
SI CODE: SPR	100 %			1,005			
TOTAL AC CODE:	30 %			1,005			
PROJECT TOTAL	100 %			3,350	0	0	0

PROJECT NUMBER: 936-5448 TITLE: GROWTH & EQUITY THRU MICRO INVEST/INST.

AGAB AGRIBUSINESS							
SI CODE: COP	20 %	20 %	20 %	112	50	50	49
SI CODE: PSD	100 %	100 %	100 %	560	254	254	248
SI CODE: PVL	30 %	30 %	30 %	168	76	76	74
SI CODE: WDI	50 %	50 %	50 %	280	127	127	124
TOTAL AC CODE:	20 %	20 %	20 %	560	254	254	248

PEBD BUSINESS DEVELOPMENT PROMOTION

20

AC/SI SUMMARY REPORT
 (U.S. Dollars Thousands)

	% FY92	% FY93	% FY94/95	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
SI CODE: COP	10 %	10 %	10 %	196	88	88	86
SI CODE: INS	50 %	50 %	50 %	979	444	444	434
SI CODE: PSD	100 %	100 %	100 %	1,959	889	889	868
SI CODE: PVL	50 %	50 %	50 %	979	444	444	434
SI CODE: PVX	20 %	20 %	20 %	392	177	177	173
SI CODE: RSS	20 %	20 %	20 %	392	177	177	173
SI CODE: WDI	50 %	50 %	50 %	979	444	444	434
TOTAL AC CODE:	70 %	70 %	70 %	1,959	889	889	868
PEFM FINANCIAL MARKETS							
SI CODE: COP	10 %	10 %	10 %	28	12	12	12
SI CODE: INS	50 %	50 %	50 %	140	63	63	62
SI CODE: PSD	100 %	100 %	100 %	280	127	127	124
SI CODE: PVL	20 %	20 %	20 %	56	25	25	24
SI CODE: RSS	20 %	20 %	20 %	56	25	25	24
SI CODE: WDI	50 %	50 %	50 %	140	63	63	62
TOTAL AC CODE:	10 %	10 %	10 %	280	127	127	124
PROJECT TOTAL	100 %	100 %	100 %	2,800	1,270	1,270	1,240

PROJECT NUMBER: 940-0002 TITLE: ACCION BRIDGE FUND GRANT

PEBD BUSINESS DEVELOPMENT PROMOTION							
SI CODE: PSD	100 %			126			
SI CODE: PVU	100 %			126			
SI CODE: PVX	50 %			63			
SI CODE: WDP	20 %			25			
TOTAL AC CODE:	100 %			126			
PROJECT TOTAL	100 %			126	0	0	0

PROJECT NUMBER: 940-0015 TITLE: INSTITUTIONAL REFORM & INFORMAL SECTOR

DILJ LEGAL AND JUDICIAL DEVELOPMENT							
SI CODE: PSD	100 %	100 %	100 %	560	440	497	
TOTAL AC CODE:	20 %	20 %	20 %	560	440	497	
DILT LEADERSHIP TRAINING IN DEMOCRATIC PROCESSES							
SI CODE: PSD	100 %	100 %	100 %	560	440	497	
TOTAL AC CODE:	20 %	20 %	20 %	560	440	497	

AC/SI SUMMARY REPORT
 (U.S. Dollars Thousands)

	% FY92	% FY93	% FY94/95	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
DIPI REPRESENTATIVE POLITICAL INSTITUTIONS							
SI CODE: PSD	100 %	100 %	100 %	560	440	497	
TOTAL AC CODE:	20 %	20 %	20 %	560	440	497	
PEBD BUSINESS DEVELOPMENT PROMOTION							
SI CODE: INS	100 %	100 %	100 %	840	660	745	
SI CODE: PSD	100 %	100 %	100 %	840	660	745	
TOTAL AC CODE:	30 %	30 %	30 %	840	660	745	
PRNS POLICY REFORM, NONSECTORAL N.E.C							
SI CODE: PSD	100 %	100 %	100 %	280	220	248	
SI CODE: RSS	100 %	100 %	100 %	280	220	248	
TOTAL AC CODE:	10 %	10 %	10 %	280	220	248	
PROJECT TOTAL	100 %	100 %	100 %	2,800	2,200	2,485	0
PROJECT NUMBER: 940-0400 TITLE: MICROENTERPRISE MONITORING SYSTEM							
PEBD BUSINESS DEVELOPMENT PROMOTION							
SI CODE: INS	50 %	50 %	50 %	175	65	75	
SI CODE: PSD	100 %	100 %	100 %	350	130	150	
SI CODE: PVL	100 %	100 %	100 %	350	130	150	
SI CODE: WDI	50 %	50 %	50 %	175	65	75	
TOTAL AC CODE:	100 %	100 %	100 %	350	130	150	
PROJECT TOTAL	100 %	100 %	100 %	350	130	150	0
PROJECT NUMBER: 940-0403 TITLE: ANTI-POVERTY LENDING FOR ENTERPRISES							
PEFM FINANCIAL MARKETS							
SI CODE: COP	50 %	50 %		5,000	5,000	5,000	
SI CODE: INS	50 %	50 %		5,000	5,000	5,000	
SI CODE: PRT	100 %	100 %		10,000	10,000	10,000	
SI CODE: PSD	100 %	100 %		10,000	10,000	10,000	
SI CODE: PVL	50 %	50 %		5,000	5,000	5,000	
SI CODE: SFI	50 %	50 %		5,000	5,000	5,000	
SI CODE: WDI	50 %	50 %		5,000	5,000	5,000	
TOTAL AC CODE:	100 %	100 %		10,000	10,000	10,000	
PROJECT TOTAL	100 %	100 %		0	10,000	10,000	10,000

22

AC/SI SUMMARY REPORT
 (U.S. Dollars Thousands)

	% FY92	% FY93	% FY94/95	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
PROJECT NUMBER: 940-0404 TITLE: INSTITUTE FOR CONTEMPORARY STUDIES							
PRNS POLICY REFORM, NONSECTORAL N.E.C							
SI CODE: EPR	50 %	50 %		1,800	1,800	1,800	
SI CODE: INS	90 %	90 %		3,240	3,240	3,240	
SI CODE: PSD	75 %	75 %		2,700	2,700	2,700	
SI CODE: SPR	50 %	50 %		1,800	1,800	1,800	
TOTAL AC CODE:	100 %	100 %		3,600	3,600	3,600	
PROJECT TOTAL	100 %	100 %		0	3,600	3,600	3,600
PROJECT NUMBER: 940-0405 TITLE: CONSULTANCY ASSISTANCE FOR ECON REFORM							
AGPP AGRICULTURAL POLICIES & PLANNING							
SI CODE: EPR	100 %			189			
SI CODE: PSD	85 %			160			
SI CODE: SPR	50 %			94			
TOTAL AC CODE:	30 %			189			
PRNS POLICY REFORM, NONSECTORAL N.E.C							
SI CODE: PSD	85 %			374			
TOTAL AC CODE:	70 %			441			
PROJECT TOTAL	100 %			0	630	0	0
PROJECT NUMBER: 940-0406 TITLE: ECON REFRM & PVT SECTOR (CAER FOLLOW-ON)							
AGPP AGRICULTURAL POLICIES & PLANNING							
SI CODE: PSD	100 %	100 %		240	300	300	
SI CODE: SPR	50 %	50 %		120	150	150	
TOTAL AC CODE:	30 %	30 %		240	300	300	
PRNS POLICY REFORM, NONSECTORAL N.E.C							
SI CODE: EPR	100 %	100 %		560	700	700	
SI CODE: PSD	100 %	100 %		560	700	700	
TOTAL AC CODE:	70 %	70 %		560	700	700	
PROJECT TOTAL	100 %	100 %		0	800	1,000	1,000
REPORT TOTAL				9,426	18,630	18,505	15,840

22

PRE SMALL, MICRO AND INFORMAL ENTERPRISE (943)
FY 1994 ANNUAL BUDGET SUBMISSION

PAGE 5

AGGREGATION OF AC/SI CODES FOR SPECIAL ISSUES
(U.S. Dollars Thousands)

	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
(1) Child Survival Funding	--	--	--	--
(2) Other Health	--	--	--	--
(3) Environment	--	--	--	--
(4) Energy	--	--	--	--
(5) Forestry	--	--	--	--

Refer to ABS Guidance Attachment B for aggregation basis

The above summaries are aggregations of ACs and SIs. Other aggregations consist of ACs only and can be derived from AC section of the Aggregate Analysis Report

24

PRE SMALL, MICRO AND INFORMAL ENTERPRISE (943)
FY 1994 ANNUAL BUDGET SUBMISSION

CONGRESSIONAL INTEREST ATTRIBUTION
(U.S Dollars Thousands)

PROJECT NUMBER	PROJECT TITLE/AREA	FY 1992 ESTIMATE	FY 1993 PLANNED	FY 1994 REQUEST	FY 1995 PROPOSED
930-0095	ECON INTERDEPENDENCE AND STRUCTURAL ADJ				
936-5448	GROWTH & EQUITY THRU MICRO INVEST/INST.				
940-0002	ACCION BRIDGE FUND GRANT				
940-0015	INSTITUTIONAL REFORM & INFORMAL SECTOR				
940-0400	MICROENTERPRISE MONITORING SYSTEM				
940-0403	ANTI-POVERTY LENDING FOR ENTERPRISES				
940-0404	INSTITUTE FOR CONTEMPORARY STUDIES				
940-0405	CONSULTANCY ASSISTANCE FOR ECON REFORM				
940-0406	ECON REFRM & PVT SECTOR (CAER FOLLOW-ON)				

REPORT TOTAL:

25

PRE INVESTMENT (939)

FY 1994 ANNUAL BUDGET SUBMISSION

AC/SI AGGREGATE ANALYSIS
ACTIVITY CODES
(U.S. Dollars Thousands)

ACTIVITY	FY 1992 ESTIMATE		FY 1993 PLANNED		FY 1994 PROPOSED		FY 1995 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY.	288	15.0 %	375	15.0 %	375	15.0 %	375	15.0 %
PEFM FINANCIAL MARKETS	1,629	85.0 %	2,125	85.0 %	2,125	85.0 %	2,125	85.0 %
PROGRAM TOTAL	1,917	100.0 %	2,500	100.0 %	2,500	100.0 %	2,500	100.0 %

Handwritten mark

ANNUAL BUDGET SUBMISSION [1992] : SMALL PD-ABF-973
MICRO AND INFORMAL ENTERPRISE 1 OF 1 (24X)
1992

ANNUAL BUDGET SUBMISSION (ABS)