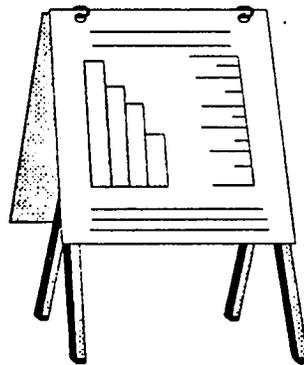


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USAID/DOMINICAN REPUBLIC
SEMI - ANNUAL PORTFOLIO REPORT
SEPTEMBER 30, 1992



**USAID/DOMINICAN REPUBLIC
SEMI-ANNUAL PORTFOLIO REPORT
SEPTEMBER 30, 1992**

Table of Contents

	<u>Page No.</u>
I. <u>Mission Director's Overview</u>	I-1
A. Portfolio Overview	I-2
B. Mission Issues	I-4
C. Financial Summary Table	I-4
D. Pipeline Analysis	I-7
II. <u>Project Status Reports</u>	II-1
A. Small Projects	II-2
B. Major Projects	
1. <u>Strategic Objective No. 1:</u>	II-3
Increased and Diversified External Trade:	
a. Agribusiness Promotion (517-0186)	II-4
b. Export & Investment Promotion (517-0190)	II-6
c. Development Training (517-0216)	II-9
d. Debt Conversion (517-0237)	II-11
e. University Agribusiness Partnership (517-0243)	II-14
f. Industrial Linkages (517-0252)	II-17
g. Economic Policy and Practice (517-0262)	II-20
h. Investment and Trade Expansion (517-0263)	II-22
i. Economic Education (517-0264)	II-24
2. <u>Strategic Objective No. 2:</u>	II-26
Increased Socio-Economic Participation of the Lower- Income Groups:	
a. Commercial Farming Systems (517-0214)	II-27
b. Family Planning (517-0229)	II-30
c. Child Survival (517-0239)	II-33
d. PVO Co-Financing (517-0247)	II-36
e. Private Initiatives in Primary Education (PIPE) (517-0251)	II-39
f. Micro and Small Business Development (517-0254)	II-42
g. AIDS Prevention (517-0256)	II-45

- | | | |
|----|---|-------|
| 3. | <u>Strategic Objective No. 3:</u>

Increased Availability of Water Needed for Sustained Economic Development: | II-48 |
| a. | On-Farm Water Management (517-0159) | II-49 |
| 4. | <u>Strategic Objective No. 4:</u>

Participatory Democratic Reform: | II-52 |
| a. | Democratic Initiatives (517-0265) | II-53 |
| 5. | <u>Local Currency Project:</u> | II-56 |
| a. | Integrated Pest Management | II-57 |

- | | | |
|-----------------------------|-----------------|-------|
| III. <u>Food Aid</u> | | III-1 |
| A. | PL-480 Title II | III-2 |

- | | | |
|--|-----------------------|-------|
| IV. <u>Centrally and Regionally Funded Projects</u> | | IV-1 |
| A. | Category "A" Projects | IV-2 |
| B. | Category "B" Projects | IV-9 |
| C. | Category "C" Projects | IV-10 |

I. MISSION'S DIRECTOR
OVERVIEW

I. Mission Director's Overview

A. Portfolio Overview:

1. General

Success in USAID/DR's efforts to improve program focus and reduce the number of program management units allowed the USAID to organize the portfolio review by strategic objectives. During the portfolio review USAID/DR also assessed progress toward meeting the Work Plan targets which are set forth in Annex A of the Action Plan. All USAID/DR portfolio management personnel and two AID/W representatives participated in the day-long review.

During the semester the total portfolio authorized amount, including terminated projects, diminished by \$42.3 million, from \$199.2 million to \$156.9 million. Similarly, cumulative obligations were \$36.9 million less, decreasing from \$167.8 million to \$130.9 million. USAID/DR began the semester with 16 active DA projects, no active ESF program, 7 active local currency activities, and the PL-480 Section 108 and PL-480 Title II programs. By the end of this semester the portfolio had been reduced to 15 active DA projects, one ESF program, 4 active local currency activities and the two PL-480 programs. Overall, this represents a reduction of 3 portfolio management units. (In addition we have 11 local currency activities which are counterpart components to DA projects.) During the semester, the Export and Investment Promotion Project (517-0190) ended May 15, the Commercial Farming Systems Project (517-0214) terminated July 31, and the AIDS Prevention Project ended September 30. The new authorizations during the semester were the DA-funded Economic Policy and Practice Project (517-0262) which began May 29, and the ESF-funded Investment and Trade Expansion Program (517-0263), obligated September 25. Finally, during the semester USAID/DR has been developing the Family Planning and Health Project (517-0259), the Trade and Investment Project (517-0267), and discussing with the GODR a possible electoral reform project.

During the semester USAID/DR obligated nearly \$9.0 million of its FY 92 OYB and \$0.8 million in FY 92 deobligations. Also, \$5.0 million were obligated for our ESF Program. In addition, two active projects required "M" account deobligations in the amount of nearly \$2.0 million. The semester's obligations are detailed as follows:

S.O. 1 -- Increased and Diversified External Trade

- Development Training (517-0216) added \$89,251;
- University Agribusiness Partnership (517-0243) added \$800,000;
- Industrial Linkages (517-0252) added \$600,000;
- Economic Policy and Practice (517-0262) added \$850,000;

S.O. 2 -- Increased Socio-Economic Participation of the Lower-Income Groups

- Family Planning (517-0229) added \$1,500,000;
- Child Survival (517-0239) added \$255,000;
- PVO Co-financing (517-0247) added \$2,100,000;
- Private Initiatives in Primary Education (517-0251) added \$1,039,749;
- Micro and Small Business (517-0254) added \$893,543; and,

S.O. 3 -- Increased Availability of Water Needed for Sustained Economic Development

- On-Farm Water Management (517-0159) partial replacement of "M" Account funds with \$848,758.

In addition, under our Project Development and Support account an additional \$1,007,122 were obligated.

These new obligations slightly increased the portfolio's mortgage to 18.4% of active project authorizations, from 18.2% as of March 31. While our cumulative obligations fell by 1.3%, from \$108.2 million last semester to \$106.8 million this semester, our pipeline decreased from 35.2% of our cumulative obligations last semester to 32.2% this semester. This was the result of a high level of expenditures during the semester, in which we achieved 84% of our planned expenditures.

2. Notable Projects

A notable project is the Agribusiness Promotion Project (517-0186). The Project is on or ahead of schedule and should accomplish all the planned EOPS by the PACD. This project was loan and grant funded. The loan funded credit component ended June 30, 1991 and the grant activities will end December 31, 1992. The grant-funded portion of the Project provides assistance to support the Dominican Agribusiness Council (JAD), an association of over 1,000 members organized for the purpose of providing services to members and agribusinesses. The JAD stresses the importance of member participation in the preparation of its annual work plan. The current menu of services includes technical assistance, a USDA/APHIS sanctioned pre-clearance program for fresh fruit and vegetable exports, market information, agribusiness promotion and networking, and a full service agricultural laboratory to be inaugurated in December. JAD staff has been engaged in policy dialogue in the areas of customs, labor, trade, taxation, banking regulations and sectorial reforms. JAD has recently assumed total budgetary responsibility for its administrative staff.

Another notable project is the Micro and Small Business Development Project (517-0254). This eight-year Project, now in its third year, is also on or ahead of schedule in all of its activities. The Project aims to develop FONDOMICRO, a second level lending organization, which wholesales funds and

provides management assistance to NGOs that provide credit to micro and small enterprises. FONDOMICRO's total loan portfolio is \$2.23 million, providing credit to 3 NGO financial institutions which currently extend credit to 14,500 micro and small businesses. In addition, credit program management assistance is being provided to seven NGOs to strengthen their operations. Finally, the first national survey of micro and small businesses was recently conducted under the Project. The survey estimates that in the Dominican Republic there are 330,000 micro and small businesses which employ more than 760,000 individuals.

3. Problem Projects

The seven-year, \$10.6 million Export and Investment Promotion Project (517-0190), while meeting and exceeding many of its EOPS targets, encountered sustainability problems which led to early project termination. The Investment Promotion Council can be credited for successfully facilitating numerous new investments in the Dominican Republic, as well as significant job creation.

Finally, the Industrial Linkages Project (517-0252), while performing satisfactorily, will be reviewed in light of new guidance stemming from Section 599 of the Foreign Operations Export Financing, and Related Programs Appropriation Act for Fiscal Year 1993 and its prohibition of support to export processing zones. The result of this review may lead to suspension or termination of the Project.

B. Mission Issues

USAID/DR has identified 39 centrally or regionally-funded projects currently operating in the Dominican Republic. These projects have been categorized in Part IV of this report as the LAC Bureau requested. There are 14 "A" projects, 17 "B" projects and 8 "C" projects. We appreciate the LAC Bureau's support of our efforts to limit those centrally and regionally-funded projects operating in the Dominican Republic to those which support our Strategic Objectives and that are within our capacity to manage. With LAC Bureau assistance during the next semester we plan to reduce the list of centrally and regionally-funded projects operating in the Dominican Republic to just category "A" projects, plus one category "C" project. During the next semester USAID/DR will only provide country clearance for the 14 "A" projects and for the one category "C" project, the Bean/Cowpea Collaborative Research Support Program until 1995.

C. Financial Summary Table

Please see table on the following page.

TABLE 1

 FINANCIAL SUMMARY OF USAID/DOMINICAN REPUBLIC PORTFOLIO
 APRIL 1, 1992 - SEPTEMBER 30, 1992

PROJ. NUM. (1)	PROJECT/PROGRAM TITLE (2)	CATEG (3)	DATE OF INITIAL OBLIGATION (4)	LAST REV. PACD (5)	%OP ELAP (6)	%OBL EXP (7)	AUTH AMT (8)	CURRENT FY OBLIGATIONS (9)	CUM OBLIG (10)	MORTGAGE (11)	BEGINNING FY PIPELINE (12)	PLANNED SFMESTER EXPEND. (13)	ACTUAL SEMESTER EXPEND. (14)	ACTUAL AS % OF PLANNED (15)	CUMULATIVE EXPEND. (16)	ENDING PIPELINE (17)	PLANNED EXPENDITURES NEXT SEM. (18)
CATEGORY I ACTIVE PROJECTS																	
S.O.1. INCREASED AND DIVERSIFIED EXTERNAL TRADE																	
0186	Agribusiness Prom (G)	A	23-Aug-85	31-Dec-92	97%	97%	5,500,000	0	5,500,000	0	1,852,533	800,000	670,957	84%	5,313,869	186,131	80,000
0190	Export & Inv. Prom.	C	28-Aug-85	15-May-92	100%	81%	10,600,000	(27,330)	9,791,734	808,266	2,182,449	100,000	257,101	257%	7,893,534	1,898,200	0
0216	Development Training	B	05-Aug-86	24-Aug-95	68%	70%	15,000,000	89,251	15,000,000	0	6,143,146	1,050,000	968,694	92%	10,552,576	4,447,424	1,050,000
0237	Debt Conversion	C	26-Aug-88	31-Dec-92	94%	45%	3,000,000	0	2,900,000	100,000	1,900,789	200,000	90,972	45%	1,315,390	1,584,610	75,000
0243	Univ. Agrib. Part.	C	29-Jun-89	30-Jun-96	46%	71%	12,000,000	800,000	7,305,903	4,694,097	3,541,924	1,200,000	1,449,448	121%	5,191,045	2,114,858	900,000
0252	Industrial Linkages	B	25-Sep-89	31-Dec-94	57%	39%	5,000,000	600,000	5,000,000	0	3,870,104	690,000	632,234	92%	1,964,256	3,035,744	600,000
0262	Ec. Policy and Practice	C	29-May-92	17-May-97	7%	0%	6,000,000	850,000	850,000	5,150,000	0	0	0	**	0	850,000	766,495
0264	Economic Education	B	30-Jul-91	30-Jul-93	59%	37%	300,000	0	300,000	0	265,785	76,231	33,365	44%	109,580	190,420	86,900
SUB-TOTAL						69%	57,400,000	2,311,921	46,647,637	10,752,363	19,756,730	4,116,231	4,102,777	100%	32,340,250	14,307,387	3,558,395
S.O.2. INCREASED SOCIO-ECONOMIC PARTICIPATION OF THE LOWER INCOME GROUPS																	
0214	Comm. Farming Syst. (L)	C	15-Jun-87	31-Jul-92	100%	88%	7,580,000	0	7,580,000	0	945,837	945,837	0	0%	6,634,163	945,837	0
0214	Comm. Farming Syst.(G)	C	15-Jun-87	31-Jul-92	100%	83%	7,170,000	0	7,170,000	0	1,740,651	500,000	646,401	129%	5,933,462	1,236,538	0
0229	Family Planning	A	11-Sep-86	31-Aug-93	87%	69%	6,950,000	1,500,000	6,950,000	0	1,902,028	600,000	768,320	128%	4,824,849	2,125,151	800,000
0239	Child Survival	A	29-Sep-87	30-Jun-93	87%	82%	5,652,000	255,000	5,652,000	0	2,288,006	845,000	702,625	83%	4,647,294	1,004,706	700,000
0247	PVO-Co-Financing	B	22-Sep-89	30-Aug-96	44%	11%	9,500,000	2,100,000	8,314,880	1,185,120	5,859,215	590,000	378,888	64%	931,157	7,383,723	600,000
0251	PIPE	B	13-Jun-90	30-Jul-97	32%	33%	5,500,000	1,039,749	2,903,957	2,596,043	1,204,893	450,000	301,026	67%	961,242	1,942,715	45,000
0254	Micro and Small Buss.	A	15-Jul-90	30-Jun-97	32%	42%	7,250,000	893,543	6,888,242	361,758	4,859,055	1,476,000	1,343,085	91%	2,883,191	4,005,051	1,476,000
0256	Aids Prevention	A	01-Jun-88	20-Sep-92	100%	100%	2,799,582	0	2,799,582	0	1,570,938	888,127	888,127	100%	2,799,582	0	0
SUB-TOTAL						61%	52,401,582	5,788,292	48,258,661	4,142,921	20,370,623	6,294,964	5,028,472	80%	29,614,940	18,643,721	3,621,000
S.O.3 INCREASED AVAILABILITY OF WATER NEEDED FOR SUSTAINED ECONOMIC DEVELOPMENT																	
0159	On-Farm Water Mgt. (L)	B	30-Jun-83	30-Mar-93	95%	100%	11,151,242	(1,970,073)	10,029,927	1,121,315	2,138,594	500,000	21,641	4%	10,029,927	0	0
0159	On-Farm Water Mgt. (G)	B	30-Sep-92	30-Mar-93	0%	32%	848,758	848,758	848,758	0	0	0	272,738	**	272,738	576,020	134,262
SUB-TOTAL						95%	12,000,000	(1,121,315)	10,878,685	1,121,315	2,138,594	500,000	294,379	59%	10,302,665	576,020	134,262
S.O.4 PARTICIPATORY DEMOCRATIC REFORM																	
0265	Democratic Initiatives	A	12-Mar-92	11-Mar-02	1%	16%	9,000,000	1,000,000	1,000,000	8,000,000	0	500,000	155,615	31%	155,615	844,385	400,000
SUB-TOTAL ACTIVE PROJECTS						68%	130,801,582	7,978,898	106,784,983	24,016,599	42,265,947	11,411,195	9,581,237	84%	72,413,470	34,371,513	7,713,657

FINANCIAL SUMMARY OF USAID/DOMINICAN REPUBLIC PORTFOLIO
APRIL 1, 1992 - SEPTEMBER 30, 1992

TABLE 1

PROJ. No. (1)	PROJECT/PROGRAM TITLE (2)	CATEG (3)	DATE OF INITIAL OBLIGATION (4)	LAST REV. PACD (5)	%LOP ELAP (6)	%OBL EXP (7)	AUTH AMT (8)	CURRENT FY OBLIGATIONS (9)	CUM OBLIG (10)	MORTGAGE (11)	BEGINNING FY PIPELINE (12)	PLANNED SEMESTER EXPEND. (13)	ACTUAL SEMESTER EXPEND. (14)	ACTUAL AS % OF PLANNED (15)	CUMULATIVE EXPEND. (16)	ENDING PIPELINE (17)	PLANNED EXPENDITURES NEXT SEM. (18)
CATEGORY II TERMINATED PROJECTS																	
0156	Ag. Policy Analysis	N/A	27-Jul-84	30-Sep-91	100%	75%	1,250,000	0	1,250,000	0	316,506	0	(20)	**	933,056	316,944	*
0157	Grad. Mgt. Trng.	N/A	23-Jun-83	22-Jun-91	100%	100%	6,500,000	0	6,445,996	0	125,890	0	53,729	**	6,441,957	4,039	*
0242	Accel. Immunization	N/A	31-Jul-87	31-Jul-91	100%	91%	705,000	0	705,000	0	8,701	0	(79,029)	**	641,754	63,246	*
0153	Health Sys. Mgt.	N/A	28-Feb-84	31-Oct-90	100%	100%	2,769,000	0	2,461,435	0	6,647	0	77,700	**	2,460,841	594	*
0218	CDE Rev. Coll. (L)	N/A	03-Mar-86	30-Sep-91	100%	77%	2,500,000	0	2,500,000	0	666,690	0	82,411	**	1,915,721	584,279	*
0218	CDE Rev. Coll. (G)	N/A	03-Mar-86	30-Sep-91	100%	93%	500,000	0	500,000	0	37,256	0	27,918	**	467,379	32,621	*
0236	Sugar Diversif.	N/A	29-Sep-87	05-Mar-91	100%	82%	3,500,000	0	1,892,537	0	390,091	0	7,350	**	1,559,595	332,942	*
0640	PTIIC ***	N/A	28-Apr-87	30-Sep-94	73%	76%	3,373,798	0	3,364,820	8,978	730,183	0	(81,049)	**	2,568,525	796,295	*
SUB-TOTAL TERMINATED PROJECTS							21,097,798	0	19,119,788	8,978	2,281,964	0	89,010	**	16,988,828	2,130,960	*
CATEGORY III ACTIVE PROGRAM																	
0263	Inv. & Trade Expansion		25-Sep-92	17-May-93	2%	0%	5,000,000	5,000,000	5,000,000	0	0	0	0	**	0	5,000,000	5,000,000
TOTAL (I+II+III)							156,899,380	12,978,898	130,904,771	24,025,577	44,547,911	11,411,195	9,670,247	**	89,402,298	41,502,473	12,713,657
SOURCE:																	
PSR	PSR	PSR	PSR	PSR	PSR (10/16)	MACS REPORT	PSR	MACS REPORT	(8-10)	MACS REPORT	Last Sem. SAR	Last & Act. Sem. SARs	(14/13)	MACS REPORT	(10-16)	PSR	

* Not Applicable.

** Planned Figures not available, Percentage can not be estimated, or are negative.

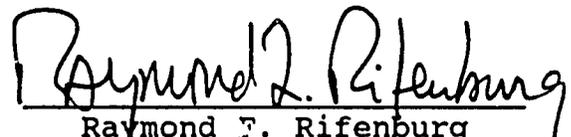
*** While the PACD for this Project has not been reached, it was technically terminated during the first semester of FY92.

D. Pipeline Analysis

The Pipeline Analysis Table found on the following page shows a total pipeline of \$30.5 million, \$7.3 million less than as of March 31, 1992. It also indicates a fairly normal aging pattern. Four projects account for 54.9% of the pipeline. They are as follows:

-- PVO Co-Financing (517-0247)	21.5%
-- Development Training (517-0216)	12.9%
-- Micro and Small Bus. (517-0254)	11.7%
-- Industrial Linkages (517-0252)	8.8%

Only 16% of the pipeline monies (four projects) are over 3 years old. This reduction is an improvement over last year's 18%, and the previous year's 36%. One of the four projects with obligated monies over 3 years old is the Debt Conversion Project (517-0237). This Project has been curtailed and will reach its PACD December 31, 1992. It accounts for one-third of the older pipeline. Another 40% of these older monies was obligated under the PVO Co-Financing Project (517-0247). While this project has experienced expenditure delays, a significant number of subgrants are likely to be approved during the next six months.


Raymond F. Rifenburg
Director

PIPELINE ANALYSIS
USAID/DOMINICAN REPUBLIC
September 30, 1992
(000)

TABLE 2

PROJECT NO (1)	PROJECT TITLE (2)	CUMULATIVE OBLIGATION (3)	FY OBLIGATIONS (4)										PIPELINE (5)	PIPELINE (6)										
			1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	(5)	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	
S.O. 1. INCREASED AND DIVERSIFIED EXTERNAL TRADE																								
0216	Development Training	15,000	0	0	0	4,500	500	2,160	1,703	2,300	3,748	89	4,447	0	0	0	0	0	0	0	610	3,748	89	
0237	Debt Conversion	2,900	0	0	0	0	0	2,900	0	0	0	0	1,585	0	0	0	0	0	1,585	0	0	0	0	
0243	Univ. Agrib. Part.	7,306	0	0	0	0	0	0	3,600	2,125	781	800	2,115	0	0	0	0	0	0	0	534	781	800	
0252	Industrial Linkages	5,000	0	0	0	0	0	0	3,000	1,000	400	500	2,036	0	0	0	0	0	0	1,036	1,000	400	600	
0262	Economic Pol and Pract	850	0	0	0	0	0	0	0	0	0	0	850	850	0	0	0	0	0	0	0	0	850	
0264	Economic Education	300	0	0	0	0	0	0	0	0	0	300	0	190	0	0	0	0	0	0	0	190	0	
S.O. 2. INCREASED SOCIO ECONOMIC PARTICIPATION																								
0186	Agribusiness Prom. (G)	5,500	0	0	1,740	760	0	0	1,500	1,500	0	0	186	0	0	0	0	0	0	0	186	0	0	
0229	Family Planning	6,950	0	0	0	2,300	1,800	900	0	450	0	1,500	2,125	0	0	0	0	0	175	0	450	0	1,500	
0239	Child Survival	5,652	0	0	0	0	2,700	1,310	642	0	745	255	1,005	0	0	0	0	0	0	5	0	745	255	
0247	PVJ Cofinancing	8,315	0	0	0	0	0	0	3,000	1,683	1,532	2,100	7,384	0	0	0	0	0	0	2,069	1,683	1,532	2,100	
0251	PIPE	2,904	0	0	0	0	0	0	0	1,534	330	1,040	1,942	0	0	0	0	0	0	0	572	330	1,040	
0254	Micro & Small Bus.	6,889	0	0	0	0	0	0	0	3,514	2,481	894	4,006	0	0	0	0	0	0	0	631	2,481	894	
S.O. 3 INCREASED WATER AVAILABILITY																								
0159	On-Farm Water Mgt.(I)	10,030	12,000	0	0	0	0	0	0	0	0	0	(1,970)	0	0	0	0	0	0	0	0	0	0	
0159	On-Farm Water Mgt.(G)	849	0	0	0	0	0	0	0	0	0	0	849	576	0	0	0	0	0	0	0	0	576	
S.O. 4 PARTICIPATORY DEMOCRATIC REFORM																								
0265	Democratic Initiatives	1,000	0	0	0	0	0	0	0	0	0	0	1,000	1,000	0	0	0	0	0	0	0	0	0	1,000
TOTAL		79,445	12,000	0	1,740	7,560	5,000	7,270	13,445	14,106	10,317	8,007	30,447	0	0	0	0	0	1,760	3,109	5,666	10,207	9,704	
PERCENTAGES (%)		100%	15%	0%	2%	10%	6%	9%	17%	18%	13%	10%	38%	0%	0%	0%	0%	0%	6%	10%	19%	34%	32%	

8-1

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II. PROJECT STATUS REPORTS

A. Small Projects

USAID/DR currently has only one active DA project under \$500,000. It is the Economic Education Project (517-0264), a two-year, \$300,000 activity. Although not required, a full individual report has been written on this project and can be found on page II-21.

B. Major Projects

Following are the individual project reports of USAID/Dominican Republic's portfolio presented by Strategic Objective.

STRATEGIC OBJECTIVE NO. 1

**Increased and Diversified
External Trade**

- | | |
|---|--|
| <p>2. All services (direct technical assistance, pre-inspection, commercial information, ag laboratory) being offered to members.</p> | <p>Routine customs clearance delays of some lab equipment slowed final completion of the laboratories, however, many of the lab's services began to be offered in July from those sections completed and fully equipped.</p> |
| <p>3. JAD staff engaged in policy dialogue.</p> | <p>JAD position papers, publicity and lobbying were continued on behalf of customs, labor, trade (NAFTA), tax, banking regulation and sectorial reforms (e.g. forestry).</p> |

C. Major Outputs

	Planned						Accomplished								
	<u>LOP</u>	<u>Period</u>		<u>Cum.</u>		<u>Next Period</u>		<u>Period</u>		<u>Cum.</u>	<u>% of LOP</u>				
		M	F	M	F	M	F	M	F	M	F				
1. Service Menu:															
TA to Members	400	75	25	575	75	75	25	60	10	637	109	187			
Pre-Inspection (containers/yr)	2000			1500				3000				1500	618	3354	168
Commercial Info (members/month)	100			100				100				100	84	84	84
Estab. Ag Lab	1			0				1				0	0	1	100
2. Commodity Committees	11			0				15				0	0	15	136
3. Mgt. Info/Cost Acct System	1			0				1				0	0	1	100
4. Revised By-laws and Fee Structure	1			0				1				0	0	1	100
5. JAD Staff (persons)	32			0				25				0	0	25	78
6. Staff Training (persons)	15			4				18				4	4	23	153

D. Other Accomplishments and Overall Status

The Project is on or ahead of schedule and should accomplish all planned EOPs by the PACD.

Women in Development

An Agreement of Understanding was signed between Terra Nova and JAD, to provide technical assistance to family and commercial farm owners through the Farmer to Farmer Program, which is coordinated locally by VOCA through Terra Nova and Heifer, Intn'l. The arrangement provides JAD with efficient mechanism for servicing its increasing small and medium farm membership, where very often, the operation is managed by a woman head of household.

E. Problems and Delays

Routine customs clearance delays were experienced in obtaining project laboratory equipment and vehicles; otherwise, no significant problems or delays have been encountered.

F. Major Activities or Corrective Actions During the Next Six Months

Standard project close-out activities will be initiated in early December.

12

IA. BACKGROUND DATA

Project Title: Export and Investment Promotion
 Project Number: 517-0190
 Date of Authorization: original 08/28/85 amendment 01/31/90
 Date of Obligation: original 08/28/85 amendment 09/30/91
 PACD: original 12/31/88 amendment 05/15/92
 Implementing Agencies: Investment Promotion Council (IPC)
 Major Contractors: SRI
 AID Project Manager: Frank S. Skowronski and Joseph Carroll
 Status of CPs/Covenants: All met
 Date of Last Evaluation: 01/07/89 Next Evaluation: None
 Date of Last Audit: 04/06/92 Next Audit: N/A

IB. FINANCIAL DATA

Amount Authorized: DA	Grant: original	\$ 6,600,000	amended to \$ 10,600,000
Amount Obligated: DA	Grant: original	\$ 1,850,000	amended to \$ 9,791,734
Amount Committed:	Period:	\$	
	Cumulative:	\$ 7,983,000	
Accrued Expenditures:	Period - Projected:	\$ 100,000	
	Period - Actual:	\$ 130,024	
	Cumulative:	\$ 7,666,247	
	Period - Next:	\$ 0	
Counterpart			
Contribution:	Planned:	\$ 3,855,000	equivalent in RD\$
	Actual:	\$ 2,225,000	equivalent in RD\$
% LOP Elapsed:		93%	
% of Total Auth. Oblig.		93%	
% of Total Oblig. Exp.		78%	
% of Total Auth. Exp.		72%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

This project was a strong proponent of the Mission's Strategic Objective No. 1, Increased and Diversified External Trade. The Development and undergirding of the Investment Promotion Council created direct linkages in the development of Dominican Industrial Free Trade Zones (IFTZs) which increased non-traditional Dominican exports while also increasing employment opportunities.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB. PROJECT PURPOSE

To establish the IPC as an effective mechanism for promoting investment in and exports from the Dominican Republic (DR), and for coordinating and facilitating efforts of other public and private sector organizations with related objectives.

III. PROJECT DESCRIPTION

The project focused on three principal areas: (a) improving IPC's internal capability to provide useful and effective services; (b) planning and implementing promotional and marketing campaigns aimed at attracting increasing levels of investment in selected sectors, and at identifying and assisting Dominican manufacturers to take advantage of increasingly greater levels of export opportunities; and (c) improving the "climate" for doing business in the DR.

IV. PROJECT STATUS

<u>Planned EOPS</u>	<u>Progress To Date</u>
1. The IPC fully staffed with 25-35 professional-level individuals fully capable of carrying out the functions for which they are responsible.	Project was terminated on May 15, 1992. IPC staff then reduced to one accountant, two assistants and a messenger.
2. The IPC Board of Directors will reflect and represent the significant public and private sector constituencies served by the Council.	Board consists of three private members, four representatives of government agencies and five representatives of five private sector organizations.
3. IPC will have a dues-paying membership of at least 150 associations/firms/individuals.	Approximately 35 dues paying members when terminated.
4. IPC generating sufficient funds from membership dues, sale of IPC-produced documents, sale of services, commissions and other sources to meet its "core operational expenses".	IPC has been unable to meet "core" operational costs.

13

- | | | |
|----|---|---|
| 5. | IPC providing services to and receiving financial and other support from 2-5 international donor agencies each year. | No support from international donor agencies during 1992. |
| 6. | IPC proposing new and/or modified legislation concerning investment and exports. | Policy related activities have been curtailed. |
| 7. | IPC establishing contact with at least 500 potential investing firms each year in the DR and, from these, inducing at least 150 firms to make initial investment exploration visits to the DR each year, and 50 firms to make a follow-up visit each year. (Of the 50 firms making follow-up visits, approximately 1/3 - or 17 - should decide to establish a plant in the DR. (Average 300 new jobs created with each new plant, 5,000 new jobs in total each year.) | By termination date project made contacts with 126 new firms and followed-up with 505 others. Twelve firms visited the D.R. Promotion efforts resulted in one investment totalling \$300,000 and produced 685 new jobs. |
| 8. | From 500 firms IPC will facilitate/arrange 50-70 "export production contracts" per year. Total value in excess of \$2,000,000 per year with at least 600 "employment-years" of work generated per year in the Dominican Republic. | By termination date project eight production contracts totalling \$400,000 and generating 200 person-years of employment were directly arranged by the IPC. Fifteen production contracts were executed by former IPC clients. The value and job creation effects of these contracts have not been reported. |

B. Major Outputs

	<u>Planned</u>			<u>Accomplished</u>			
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. IPC Strengthening Staff Training (standard courses/modules)	8-15	0	5	0	0	1	12.5
2. Promotion/Marketing Campaign							
- Annual Strategic Marketing Plans	3	0	3	0	0	13	100
- Sectoral Promotion/Marketing by Sectors	5	0	17	0	0	11	220
- "936" Investment Campaign	3	0	7	0	0	6	220
- In-Plant Assistance for Export Development	24	0	13	0	0	2	8
- General Image Enhancement Activities:							
Articles Published	60	0	22	0	0	11	18
- Reception/Tours Investor Visits	90	0	145	0	0	173	192
- Promotional Materials Designed/Updated	6	0	11	0	0	8	133
3. Business Climate Modification Seminars/Workshops	11	0	12	0	0	6	54
4. Women in Development Proportion of jobs in IFTZs* which are held by women	75	0	160	0	0	85	113

* IFTZs: Industrial Free Trade Zones.

14

C. Other Accomplishments and Overall Status

The project was terminated for the convenience of the U.S. Government on May 15, 1992.

As of the termination date, the project directly produced investments totalling \$120 million and \$15 million in export contracts. More than 33,500 new jobs were produced as a result of these investments and export contracts. Subsequent expansion of the plant raised investment and employment to substantially higher levels.

The USAID secured return of IPC A.I.D.-funded properties valued at US\$1,000 or more as part of the termination settlement. Most of this properties have been disposed of.

The close out audit and all standard close out activities have been completed except for a final financial settlement which awaits receipt of final IPC claims.

A draft PACR has been completed.

D. Problems and Delays

Not applicable.

E. Major Activities or Corrective Actions During the Next Six Months

No activities are planned for the next six months.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A B X C

IA. BACKGROUND DATA

Project Title: Development Training
 Project Number: 517-0216
 Date of Authorization: original 07/02/86 amendment 08/24/88
 Date of Obligation: original 08/05/86 amendment 08/30/91
 PACD: original 07/05/91 amendment 08/24/95
 Implementing Agencies: National Council of Businessmen (CNHE)
 and Educational Credit Foundation (FUNDAPEC)
 Major Contractors: Development Associates
 AID Project Manager: William Binford and Paul A. Struharik
 Status of CPs/Convenants: All met
 Date of Last Evaluation: 09/30/92 Next Evaluation: None
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 1
 Date of Last Audit: 7/28/92 Next Audit: 12/31/92
 (FUNDAPEC Contract Audit) (CNHE Contract Audit)

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 7,000,000	amended to \$ 15,000,000
Amount Obligated: DA Grant: original	\$ 2,500,000	amended to \$ 15,000,000
Amount Committed: Period:	\$ 952,778	
	Cumulative:	\$13,317,293
Accrued Expenditures: Period - Projected:	\$ 1,050,000	
	Period - Actual:	\$ 968,694
	Cumulative:	\$10,552,576
	Period - Next:	\$ 1,050,000
Counterpart Contribution: Planned:	RD \$25,717,050	
	Actual:	RD \$19,287,787
% LOP Elapsed:	68%	
% of Total Auth. Oblig.	100%	
% of Total Oblig. Exp.	70%	
% of Total Auth. Exp.	70%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

This project supports the Mission's first Strategic Objective, Increased and Diversified External Trade, by providing training to public and private sector personnel to promote economic stabilization, and the fourth, Participatory Democratic Reform, by providing training to Dominican institutions responsible for improving government efficiency.

Percent of LOP Funds Relating to Strategic Objectives: I 72%
 II 28%

IIB. PROJECT PURPOSE

To increase the number of trained professional, technical and managerial personnel needed to meet the manpower demands of an export-oriented economy and to improve the efficiency and productivity of the private and public sector institutions and organizations supportive of A.I.D.'s strategy by providing training to Dominicans already employed.

III. PROJECT DESCRIPTION

The project provides long-term, short-term and in-country training for private and public sector employees in areas supportive of the Mission's development strategy. The project is implemented by two Dominican institutions: for the private sector component, the CNHE, and for the public and private non-profit component, FUNDAPEC.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	An estimated 138 private sector and public sector employees trained at the Master's level in the U.S. or Third Countries.	87 employees have completed training and returned to the Dominican Republic.
2.	About 690 employees sent for short-term U.S. or Third Country courses or observational training program.	679 employees have completed short-term training and returned to the Dominican Republic.
3.	Approximately 2,250 Dominicans having attended in-country seminars and workshops.	1,337 employees attended in-country training programs.

16

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A B X C

B. Major Outputs

	<u>Planned</u>								<u>Accomplished</u>					
	LOP		Period		Cum.		Next Period		Period		Cum.		% of LOP	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F
1. Training	M	F	M	F	M	F	M	F	M	F	M	F	M	F
2. Masters Long-Term*	103	35	1	0	100	50	1	0	8	4	107	54	104	154
3. PhDs	3	0	0	0	3	0	0	0	0	0	3	0	100	N/A
4. Short-Term**	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	517	173	69	22	538	135	48	17	67	34	536	147	104	85
5. In-Country	90		14		60		12		5		51		57	
6. (Trainees)	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	1687	563	285	95	1046	579	225	75	70	22	831	506	49	90

*Graduate Training numbers indicate participants who have been selected and have begun training.

**Short-term and in-country numbers indicate completed

Training.

C. Other Accomplishments and Overall Status

1. Project implementation during this reporting period is satisfactory. The Institutional capabilities of both CNHE and FUNDAPEC has continued to grow.

A Mission managed audit of FUNDAPEC was completed on July 28, 1992 by Price Waterhouse for the period August 24, 1988 through August 31, 1991. The Academy for Educational Development submitted a draft report of the impact evaluation for A.I.D. comments at the end of the reporting period.

The Mission was able to send 48 participants to HBCU's. Placements in HBCU's has been difficult because some of these institutions have not been responsive to requests for proposals for short-term training. During this reporting period the Mission placed 46 short-term associates and 2 long-term participants.

CNHE signed a counterpart agreement with the Technical Secretariat of the Presidency on May 27, 1992 for the use of host country owned counterpart funds. Implementation of the agreement has been modified, and the Mission anticipates its implementation during the next reporting period.

2. Follow-on activities:

The Mission Training Office is revising and updating records of returned participants to verify that scholars are working for their sponsoring institutions and continue working in the field of their training.

3. Women in Development:

During this reporting period a total of 50 women have been trained: LT= 4, ST= 24 and in-country= 22. The Mission does not foresee any problems in meeting project targets.

D. Problems and Delays

The CNHE Project Manager resigned at the end of May 1992 and was replaced by Lic. Carmen Salcé. Lic. Salcé has been the Project Assistant for several years. Partially because of this resignation, it was not possible to implement the in-country training plan as scheduled. (The in-country training program scheduled for this period will be implemented during the second semester of calendar 1992 delay). (Implementation of FUNDAPEC's in-country program was not completed as scheduled due to a delay in the approval of the second semester program). FUNDAPEC and CNHE are on schedule for short and long-term training.

E. Major Activities or Corrective Actions During the Next Six Months

CNHE and FUNDAPEC will be contracting for annual audits under the Guidelines for Financial Audits Contracted by Foreign Recipients. CNHE will conduct an audit through December 31, 1992 and FUNDAPEC will program an audit covering the period July 1, 1992 through June 30, 1993. The Mission will monitor compliance with audit requirements.

-The results of the recently completed impact evaluation will be reviewed by the Mission.
 -Following the departure of the US PSC in mid-November 1992, Amelia Ramirez, Mission Training Officer, will be responsible for the monitoring of the Project.

17

IA. BACKGROUND DATA

Project Title: Debt Conversion
 Project Number: 517-0237
 Date of Authorization: original 08/24/88 amendment 00/00/00
 Date of Obligation: original 08/26/88 amendment 00/00/00
 PACD: original 12/31/92 amendment 00/00/00
 Implementing Agencies: Central Bank
 Major Contractors: Ernst & Young
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: Initial CPs met Nov. 1989
 CP relating to Disbursement to the Investment
 Promotion Council (IPC) for Promotional
 Activities never satisfied
 Date of Last Evaluation: 06/30/91 Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: 01/93
 " (Non Federal Audit)

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 3,500,000
Amount Obligated: DA Grant: original	\$ 2,900,000
Amount Committed: Period:	\$ 0
	Cumulative:
	\$ 2,840,293
Accrued Expenditures: Period - Projected:	\$ 200,000
	Period - Actual:
	\$ 92,972
	Cumulative:
	\$ 1,315,390*
	Period - Next:
	\$ 125,000
Counterpart	
Contribution: Planned:	\$100,000,000
	Actual:
	\$ 70,000,000
% LOP Elapsed:	94%
% of Total Auth. Oblig.	83%
% of Total Oblig. Exp.	45%
% of Total Auth. Exp.	38%

*This is now the correct figure that was erroneously reported on previous SAR.

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

A well functioning Debt Conversion Unit in the Central Bank will afford opportunities to domestic and foreign entities to make investments in the Dominican productive sector, thereby directly impacting on Strategic Objective No. 1, Increased and Diversified External Trade.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB. PROJECT PURPOSE

To establish a well functioning debt conversion mechanism in the Central Bank which can function as a vehicle for increasing foreign and domestic investment.

III. PROJECT DESCRIPTION

The Project finances technical assistance, training and commodities needed to strengthen the capacity of the Central Bank to implement a Debt Conversion Program and to establish a Debt Conversion Unit (DCU) within the Bank. Funds will also be made available to finance promotional activities to be undertaken by appropriate organizations to familiarize potential investors and their bankers with opportunities in the DR and the procedures employed under the Debt Conversion Program.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

1. DCU efficiently processing some 10-20 debt conversions per year, with face value of converted debt being between US\$50 - \$100 million.

The Central Bank has approved 18 projects totalling \$70,000,000 in face value of commercial bank and trade arrearages debt. Approximately \$49,000,000 in investments were actually made. This debt conversion amounts to approximately 4.9% of overall commercial bank debt and 1.7% of total external debt. The debt was used principally for suppliers' commercial arrearages and broker purchased bank debt. Although the virtual curtailment of the project occurred in October 1991, pre-approved transactions are being disbursed in Dominican pesos with originally planned schedules. Minimal project monitoring of pre-approvals is being provided by the TA team.

2. Approximately the equivalent of \$30 to \$40 million of useful investments being made each year with proceeds of debt conversion.

Since the program's inception, the Central Bank has processed 18 conversion projects with total face value of debt totalling approximately \$70,000,000.

3. Debt Conversion process being implemented in such a fashion as to have only minimal impact on inflation. Inflation has been near zero over the period. Hence, the effects of peso disbursements have been minimal.

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. A Debt Conversion Unit within the Directorate of Foreign Investment: a) established, b) staffed, c) equipped.							
a. established	1	0	1	0	0	1	100%
b. staffed	12	0	12	0	0	12	100%
c. equipped	100%	0%	90%	0%	0%	90%	90%
2. Staff of DCU trained and fully capable of carrying out all their functions.	12	0	12	0	0	12	100%
3. Staff of related Central Bank Units trained and fully capable of carrying out all debt conversion-related functions.	10	0	10	0	0	15	150%
4. New and more useful regulations governing operation of the Debt Conversion program drawn up, reviewed and formally adopted and put into practice by the Central Bank. The regulations should cover treatment of debt from all sources, treatment of "Debt for development" projects, privatization projects, as well straightforward conversions.	1	0	1	0	0	0	0%
5. Specific GODR-owned assets identified as "privatization targets" under the Debt Conversion Program, and plans drawn up for effecting their sale.	1	0	0	0	0	0	0%
6. Debt Conversion Project completed.	30	0	18	0	0	18	60%

C. Other Accomplishments and Overall Status

1) Other Accomplishments:

- a. Although debt conversion projects in the amounts herein mentioned have been approved and the peso counterpart funds have already been disbursed or are in the process of disbursement, and are in excess of the originally projected volume objectives, the Central Bank has not submitted the TA team's formal recommendation to the Monetary Board which, if implemented, would insure a more professional and transparent debt conversion process. The TA team's recommendations are derived from a series of successful methodologies implemented in other countries, such as an auction system to determine conversion factors (discounts) on a free market basis to ensure transparency and public credibility in the conversion process. The Central Bank has been resisting this approach and prefers to apply discounts on an ad-hoc basis which, while certainly less time consuming, represents nonetheless less institutional development and transaction transparency. The peso disbursement process is also conducted on a very subjective basis which reduces the credibility of a process which the TA team does not recommend be continued. In summary, departures from the team's recommendations are such that the program has been curtailed severely for the past year and only basic maintenance continues.

- b. An additional issue which precludes a more professional implementation of the program involves the legitimate utilization of the commercial bank portion of the external debt subject to conversion. This category of debt represents the major element of external debt to be converted and will require a new credit agreement with the commercial bank lending group with appropriate clauses to allow for anticipated cancellation of bank debt. While negotiations are ongoing, this new agreement has not as yet been negotiated. Both lenders and borrowers are at odds on the debt restructuring conditions, and it is possible that an agreement will not be reached in the near term. Meanwhile, conversions are being done primarily with debt that is not subject to credit agreements. Basic issues with the bank debt are: 1) debt conversion is a prepayment of debt and, 2) peso proceeds must be applied on a prorated basis to all banks in the lending group, in accordance with the terms of the credit agreements. These clauses are normal in these types of credits with sovereign borrowers and the issue is not restricted to the Dominican Republic.
- c. Notwithstanding the aforementioned impediments, the volume of transactions has exceeded original projections. Of the 18 approved and implemented conversions, seven of the most recent transactions included three commercial bank capital restructurings, two environmental projects, an education project, and a tourist development project. There are also two new projects in the pipeline. Proceeds of peso disbursements were utilized to retire short-term obligations or to provide new investment funds. The entire program was accomplished with virtually no effect on the monetary base, which was an issue of considerable concern at the outset. Inflation in the DR has been non-existent for the past year and a half.
- d. The GODR, through the offices of the Central Bank, has completed virtually all negotiations with the IMF and other IFIs, and is completing the Paris Club individual country negotiations. This part of the project has been most successful, and the TA team played a most important role. The missing element is an agreement with the commercial banks which is a sine-qua-non for a transparent debt conversion process. While negotiations are ongoing between the GODR and the banks, positions continue to be far apart and it does not appear that an agreement will be concluded by year end.
- e. Adequate systems and software have been installed at the DCU unit in the Central Bank to process existing and future increased transactions. No further infrastructure investment should be made, and there is staff available to process ongoing and new transactions. There are 12 employees in the Debt Conversion Unit.
- f. A privatization element was introduced to the conversion process last year. In order to help initiate a broad national consensus in favor of privatization, the project selected a group of eight public and private sector opinion leaders to attend an executive level, two week seminar in Washington on privatization. Subsequent follow-up in the Dominican Republic, in terms of positive press coverage, was significant. The Mission was also apprised by the TA team of the possibility of a privatization program to establish procedures and parameters for sale of GODR-owned companies using the debt conversion mechanism. The GODR, however, has not made a firm commitment to privatize.
- g. The mid-term project evaluation was completed and confirmed favorable results to date, and highlighted the significant and valuable role the project has played in bringing about a GODR Agreement with the IMF.
- h. Training was implemented and nine of the DCU staff, plus fifteen other staff members from the Central Bank, underwent training either externally or internally since the inception of the program. No further training is planned.

2) Women in Development

The Project Paper indicated that this project would generate 58,000 new jobs in four years and that 80% of these jobs would be filled by women. Early curtailment of this project made it impossible to verify the results originally expected.

D. Problems and Delays

While an agreement with the IMF and the IFIs was reached and is operating successfully, and the Paris Club agreed to renegotiate the official debt on favorable terms to the GODR, the Central Bank wishes to finalize arrangements with the five debtor countries. This is a time consuming process. Earlier this year, the Central Bank reached an agreement with Mexico and Venezuela to cancel petroleum related obligations at a discount. This was successful with significant input from the TA team. Commercial bank restructuring should have been a simple process. The banks were willing to proceed so as to complete the package and go on to other countries, given the small size of the GODR bank debt. The Central Bank chose to take an adversarial position, and, although an agreement could have been reached as early as March of this year, negotiations were protracted and it is felt that the Central Bank's intractable posture could not accommodate the banks without setting unusual precedents in other larger debtor countries. It does not appear that the banks are willing to continue to meet without firm prospects for a successful conclusion. Such negotiations may be protracted.

E. Major Activities or Corrective Actions During the Next Six Months

This grant expires at year end. Completion of standard close-out activities and a PACR will be completed.

IA. BACKGROUND DATA

Project Title: University Agribusiness Partnership
 Project Number: 517-0243
 Date of Authorization: original 11/07/89 amendment 00/00/00
 Date of Obligation: original 12/29/89 amendment 09/29/92
 PACD: original 06/30/96 amendment 00/00/00
 Implementing Agencies: Instituto Superior de Agricultura (ISA)
 Major Contractors: Midwest Universities Consortium (MUCIA)
 AID Project Manager: Kenneth B. Wiegand
 Status of CPs/Covenants: All CP's Met

Date of Last Evaluation: 00/00/00 Next Evaluation: 02/09/93
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 1
 Date of Last Audit: 08/92 Next Audit: 00/00/00

IB. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$12,000,000	
Amount Obligated: DA Grant: original	\$ 3,600,000	Amended to \$7,305,903
Amount Committed: Period:	\$ 1,113,272	
	Cumulative:	\$ 6,745,805
Accrued Expenditures: Period - Projected:	\$ 1,200,000	
	Period - Actual:	\$ 1,449,447
	Cumulative:	\$ 5,191,044
	Period - Next:	\$ 900,000
Counterpart Contribution: Planned:	\$15,000,000 RD	
	Actual:	\$15,000,000 RD
% LOP Elapsed: (39/84 mos)	<u>46%</u>	
% of Total Authorization Obligated	<u>51%</u>	
% of Total Obligation Expended	<u>71%</u>	
% of Total Authorization Expended	<u>43%</u>	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project directs itself to the Mission's Strategic Objective No. 1 (increased and diversified external trade); and, S.O. No. 3 (increased availability of water needed for sustained economic development), by establishing forestry and horticulture disciplines and forestry student exchange programs.

Percent of LOP Funds Relating to Strategic Objectives: I - 70%
 III - 30%

IIB. PROJECT PURPOSE

To provide the expanding agribusiness and agro-industrial community with increased trained manpower by institutionally strengthening ISA.

III. PROJECT DESCRIPTION

The Project will establish an institutional Endowment Fund, create a Development Office for ISA, provide long-term faculty and administrative technical assistance, provide short-term technical assistance and faculty exchange, and provide ISA with long-term faculty exchange opportunities in the U.S. in research, teaching and university administration.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

- | | |
|--|---|
| <p>1. Increased and improved preparation of mid-level agribusiness and agroindustrial applications to non-traditional commodities.</p> | <p>Senior student internship program, established and functioning well by 1/92. Also, a student exchange (both ways) now underway with Ohio tree farmers; faculty/producer linkages established for selected ISA researchers wherein professor is detailed for several weeks each semester to a local producer operation.</p> |
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21

2. Improved management of ISA sufficient to meet institutional development goals through year 2010.

(a) Final draft of university-wide strategic plan completed; (b) Design completed for computerized accounting and budgeting system, based on cost center approach; (c) Design completed for computerized peripheral systems for purchasing, inventory control, fixed assets control, personnel, issuance of checks, reconciliation of bank account; (d) Office of Vice-Rector for Administration reorganized; (e) New vice-rectors for Horticulture and Natural Resources (Forestry) appointed and other administrative appointments limited in time; (f) Balance of project commodities ordered through (PSA); irrigation equipment now in customs.

3. Fully functioning Endowment Fund with increased value of no less than RD\$20 million and established mechanisms to ensure its growth sufficient to meet future core costs needs.

(a) Proceeds from US\$500,000 Debt Conversion now being deposited by Central Bank to Endowment Fund, as agreed; 25% of benefits are being used to purchase copiers, fax and printers; (b) Remaining RD\$10 million of GODR LC commitment assigned by TSP to ISA's Fund; (c) Private sector donations exceeded RD\$50,000; (d) Endowment fund totals RD\$21,594,000.

4. Self-sustaining Development Office established, implementing fund raising activities, promoting alumni and community relations, and donor coordination.

The ISA Foundation was activated and a Board of Directors sworn in to manage ISA's endowment fund independently of the Institute. Action Plan for fund raising and office management developed.

5. Establishment of BS Degrees in Horticulture and Forestry and a technical level degree in Forestry.

Outcome dependent upon adoption of strategic plan, now completed and ready for University-wide review.

6. Faculty/staff salaries and supplementary income opportunities will equal or exceed equivalent private sector levels.

Faculty and staff salaries increased an average of 10% in August, 1992; market criteria applied to increase compensation for selected faculty; extra income policies are under review; other personnel enhancements have been implemented (e.g. English training, pension and benefit fund, etc.).

7. Production units (dairy, poultry, cannery) placed under modern private management, while conserving their research/teaching opportunities for ISA faculty and students.

Final disposition of each production unit requires finalization of ISA's strategic objectives. Nevertheless, the ISA/CADER hotel and cafeteria facilities are increasingly used for non-ISA conferences/training seminars to earn net income and the soil, water and plant disease lab at ISA/CENDA has undertaken a campaign to market its services.

C. Major Outputs

	Planned						Accomplished		% of LOP			
	LOP	Period		Cum.		Next Period		Period				
		M	F	M	F	M	F	M		F		
1. Long-term ISA Faculty Exchange: (Per Yrs)	8 ¹	2	0	3	2	1	0	.1	0	.1	0	1
2. Short-Term ISA Faculty Exchange: (Per Wks)	342	38	10	127	21	40	4	42	15	174	30	6
3. Policy Workshops	15	3		8		3		4		24		160
4. Agrib. Training Weeks	50	8		32		8		22		77		153
Farm Leaders	3000	100		400		100		869		3107		104
(Male)	na	na		na		85		645		2490		79
(Female)	na	na		na		15		219		617		21
						10						
5. Research Monographs	250	16		48				28		102		41
6. Endowment Fund Contributions (RD\$ 000)	5000	350		1600		350		52		1545		31

¹ Reduced in MUCIA Contract from 18 to 8.

C. Other Accomplishments and Overall Status

1. Contrary to most other higher ag. education institutions in the DR, ISA's enrollment increased this Fall; 103 new students entered the curriculum, which is 29% in excess of goal. 2. In September, 32 students graduated from the three-year technical degree (junior college) program. 3. Student life services have improved steadily, including quality of cafeteria food, dormitories, and intramural sports. 4. The new program requiring students to work on campus as part of their in-kind tuition payment entered its second half year and is functioning well. 5. The first long-term faculty exchange member departed for the U.S. in September, and a second will follow in November. 6. An architect was contracted to design a new Communications Center; the design/cost estimate will be presented to a potential donor (already identified). 7. A system for maintaining records of faculty publications and publishing lists of publications was established. 8. Major outreach activities included ag. policy dialogue on such issues as yautia, swine and sheep production, soil conservation, and a common ag. sector plank among major political parties. 9. The policy studies will soon be published by ISA's new Publications Office. 10. Several donor funding proposals have been prepared and presented to GTZ, Kellogg and the Ford Foundation. 11. An agreement has been signed (first of its kind) among ISA and Salcedo Province officials for ISA to provide extension services to the Province's farmers. 12. With the restructuring of the Forestry Department into a Natural Resources Department, the University expects to better address the increasing number of requests to clean up and conserve the environment.

Women in Development

1. ISA continues to actively expand its recruitment of women students and promotion of women faculty. 2. Over 30 percent of recent incoming classes have been women. 3. One of ISA's top administrative positions (Department Head/Animal Husbandry) is held by a woman. 4. Women faculty represented 15 percent of the short-term professional exchange of ISA faculty to the U.S. and elsewhere during this semester. 5. More than 20 percent of the farmers and agribusiness leaders trained this semester through ISA's outreach program were women.

D. Problems and Delays

1. ISA continues to lack the necessary financial resources to be self-sufficient and simultaneously pay competitive salaries to faculty and staff. Tuition fees have been increased, but efforts must be made to increase donations. Services must be priced on a cost basis, and ISA must redouble efforts to reduce those activities which create a heavy drain on resources. 2. The Directorship of the Development Office remains unfilled six months after the former Director's resignation; not even an acting officer was charged with these key activities. Faculty retention would appear to be a continuing problem, especially for CADER, which has been constrained in offering competitive salaries. 3. Management of the Endowment Fund required attention to assure compliance with the Grant Agreement.

E. Major Activities or Corrective Actions During the Next Six Months

1. A Mid-Term Project Evaluation will be completed during QTR 2 FY 93; 2. A routine non-federal audit will be completed during the same interim; 3. A determination of the long term resident technical staff requirements (MUCIA) will be made, based upon the mid-term evaluation; 4. The ISA Foundation bylaws will be reviewed by the RLO for Grant compliance.

23

IA. BACKGROUND DATA

Project Title: Industrial Linkages
 Project Number: 517-0252
 Date of Authorization: original 09/25/89 amendment 00/00/00
 Date of Obligation: original 09/25/89 amendment 06/30/92
 PACD: original 12/31/94 amendment 00/00/00
 Implementing Agencies: Association of Industries (AIRD) and Dominican Association of Industrial Free Zones (ADOZONA)
 Major Contractors: Louis Berger International, Inc.
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: All Met

Date of Last Evaluation: 00/00/00 Next Evaluation: 12/31/92
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: 12/31/92
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 5,000,000	
Amount Obligated: DA Grant: original	\$ 3,000,000	Amended to \$5,000,000
Amount Committed: Period:	\$ (6,092)	
	Cumulative:	\$ 3,462,570
Accrued Expenditures: Period - Projected:	\$ 690,000	
	Period - Actual:	\$ 631,636
	Cumulative:	\$ 1,964,250
	Period - Next:	\$ 600,000
Counterpart Contribution: Planned:	\$ 1,000,000	
	Actual:	\$ 422,213
% LOP Elapsed:	57%	
% of Total Auth. Oblig.	100%	
% of Total Oblig. Exp.	39%	
% of Total Auth. Exp.	39%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project contributes to the USAID specific objective of increasing export production by Dominican firms. This specific objective contributes to Strategic Objective No. 1, Increased and Diversified External Trade.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB PROJECT PURPOSE

To increase sales of goods and services from firms located throughout the DR to firms located in the country's Industrial Free Trade Zones (IFTZs), and to off-shore markets.

III. PROJECT DESCRIPTION

The Project provides financial, technical and training support to the AIRD and its subgrantees to: a) improve the legal and regulatory environment for the integration of the local economy with IFTZs; b) improve the product quality, production capacity, and delivery capability of local firms and industries; and c) establish an integrated system of gathering, processing and disseminating information to the domestic industrial/service sector and IFTZs in the DR.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	The number of IFTZ firms purchasing inputs (other than labor) from non-IFTZ firms will have increased from approximately 60 to about 150.	The most recent survey indicates that in 1991 an estimated 333 IFTZ firms purchased from local market.
2.	The kinds of products/services procured from local firms by IFTZ firms will increase. IFTZ firms will regularly by significant quantities (i.e. value greater than \$10,000) of at least 30 different products from local vendors.	In 1991, IFTZ firms procured 92 products. 27 of these products totaled US\$100,000+.
3.	Total annual value of sales of goods and services from local firms to IFTZ firms will have increased from US\$60,000,000 to US\$80,000,000 (in 1989 dollars).	In 1991, an estimated US\$33,000,000 worth of goods & services were procured from local sources. Most of these local purchases were imported goods. This figure is from new baseline data.
4.	Total number of local firms regularly selling significant levels of goods and services to IFTZ firms will have increased from 40 to 100 (those selling goods; will have increased from 15 to 40).	Surveys indicate approximately one dozen local firms regularly sold products into IFTZs in 1991. The project recently assisted eight companies on ten different linkages. An additional seven companies are working with another ten IFTZ firms.

B. Major Outputs

	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. <u>Communication and Information</u>							
a. Surveys designed, executed and analyzed.	2	0	2	0	0	2	100
b. Data bases on potential supplier and consumer firms established.	3	1	3	0	1	3	100
c. Fully documented Database User Manuals.	2*	2	2	0	2	2	100
d. Semi-annual publicity campaign designed.	7	1	3	1	1	3	43
e. Annual Buyer-seller Directories printed.	4	1	1	1	0	0	0
f. Monthly Bulletin established.	1	0	2	0	0	1	100
g. Annual Reports published.	4	0	1	1	0	1	25
h. Ad-hoc series of publications printed.	10	3	3	2	1	3	30
i. Promotion Seminars:							
i. Held Inside Free Zones	8	2	5	1	2	7	88
ii. Held Outside Free Zones.	8	2	5	5	5	11	138
j. Trade Exhibitions:							
i. Held Inside Free Zones	3	1	1	1	0	0	0
ii. Held Outside Free Zones	3	2	2	1	3	5	167
k. IFTZs holding Field Days	15	2	3	3	0	0	0
<u>Policy Modification</u>							
a. Produce annual survey of policy constraints.	4	1	1	1	0	1	25
b. Complete policy analyses.	10	2	4	2	3	7	70
c. Initiate campaigns to modify policies.	10	1	2	1	2	3	30
3. <u>Production Improvement</u>							
a. Set criteria for TA recipients.	1	0	1	0	0	1	100
b. Complete analyses of target sector companies.	200	30	65	20	23	109	55
c. TA to firms							
i. In-plant manufacturing	45	10	4	8	6	10	22
ii. Tailored marketing	170	40	51	30	20	32	30
d. # Workshops held.	10-25	1	3	4	3	5	20
4. <u>General / Administrative</u>							
a. Produce Annual Work Plan.	4	0	2	1	0	2	50
b. Complete Final Report.	1	0	0	0	0	0	0

* Originally, a separate Manual was contemplated for each database. However, all three databases are documented with the two completed Manuals

C. Other Accomplishments and Overall Status

- The Project registered eight interested companies and completed 10 supply linkages totalling more than US\$2,000,000 during this reporting period.
- The Project doubled the number of T.A. interventions this period (Six intensives and 20 marketing), a total of ten intensive and 32 marketing.
- The USAID obligated the remaining \$600,000 of the \$5,000,000 funding originally authorized.
- The USAID approved the 1992 budget.
- The campaign to modify the Export Promotion Law generated seminars, presentations and positive press coverage.
- The Information Component completed user manuals about project databases.
- The contractor completed one "ad-hoc" technical study of nine different "linkage" products.
- The project completed three policy analyses; one on Monetary Regulations, a second on the Export Support System, and a third on Textile Quota Procedures.
- RFPs were issued for non-federal audits of both AIRD and ADOZONA.
- The project completed Three trade workshops with a total of 290 paying participants, resulting in 59 inquiries for further assistance.
- The project is considering additional TA from the International Executive Service Corps.

Women in Development

64% of all new jobs created by the project are expected to be filled by women, especially in the IFTZs.

D. Problems and Delays

Election of a new president at the Industrial Association (AI) and changes in project management produced minor delays in project implementation. The new president reviewed most project activities, and refocused on strategies. This resulted in postponement of several activities planned for this period, included creation of a Technical Resource Center, publication of manuals, an amendment to the TA contract, revision of baseline data, and an updating and revision of administrative procedures.

Departure of the communications specialist delayed the Communications Component, including postponement of field days, exhibitions and a buyer-seller directory (subsequently subcontracted out in July to a Miami-based firm) experienced delays due to Hurricane Andrew. The new president has also expressed concern that the performance of project staff needs to improve in research and communications.

E. Major Activities or Corrective Actions During the Next Six Months

- Seek AID/W's determination on impact of Section 599 of the FY 1993 FAA concerning support to export processing zones. The result of this action may lead to suspension or termination of some or all of the project. In the Foreign Operations Export Financing, and Related Programs Appropriation Act for Fiscal Year 1993, Congress included provisions which prohibit the use of A.I.D. appropriations for certain activities that may impact on jobs in the United States. One of these provisions, Section 599 (b), prohibits assistance for the purpose of establishing or developing in a foreign country any export processing zone...unless the President determines and certifies that such assistance is not likely to cause a loss of jobs within the United States. Initial draft AID/W guidelines provide that, as a practical matter, all assistance to export processing zones should be terminated and funds deobligated. Given this guidance, the Mission will undertake an extensive review of the principal Project documents in order to clearly determine the impact of the Section 599 legislation.
- Establish project monitoring system to provide data for improved oversight by Consultative Committee and USAID.
- Develop and implement action plan to promote the creation of proposed Export Support System.
- Publish and distribute the Buyer-Seller Directory.
- Conduct audits of the implementing agencies.
- Review overall strategy, and submit annual work plan and budget.

5. At least 16 NGOs are developing position papers and working to bring about sound and feasible GODR policy modifications each year.

B. Major Outputs

		<u>Planned</u>			<u>Accomplished</u>			
		<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1.	No. of NGOs funded	16	0	0	0	0	0	0
2.	No. NGOs audited	8	0	0	0	0	0	0
3.	Newspaper articles	200	0	0	0	0	0	0
4.	TV or radio spots	100	0	0	0	0	0	0
5.	Short-Term Training	1,000	0	0	0	0	0	0

C. Other Accomplishments and Overall Status

- 1) Agreement was signed with SRI on 6/23/92. The Consultative Council will be created composed of 6 individuals, on the second week of October 1992.

Women in Development:

- 2) The project is intended to promote sound economic policy. Households and microenterprises in which women play a strong entrepreneurial/managerial role are the main victims of bad economic policies. Therefore, the good economic policies fostered by this Project should lead to improved participation of women in the economy development process.

D. Problems and Delays

Upon review of the project design in the approved EPP Cooperative Agreement, both USAID and SRI agreed that a design change was needed regarding the functions and size of the Consultative Council and the Activity Selection Committee and their relationship to one another. Resolution of this re-design and the selection of the Consultative Council created unexpected delays. Accordingly, a project amendment is being drafted to reflect these changes. In addition there were some delays incurred in the recruitment of the SRI local administrative personnel. Under the new proposed schedule, solicitation of NGOs sub-grant concept paper applications will start on or about December 14, 1992.

Finally, the budget, as currently presented, does not seem to adequately provide for all anticipated direct costs. SRI will review with A.I.D. those budgetary elements which require adjustment and we will propose appropriate modifications in the context of the pending amendment.

E. Major Activities or Corrective Actions During the Next Six Months

- | | | |
|-----|--|---------------|
| 1. | Formation of the Consultative Council | October 1992 |
| 2. | Formation of the Activity Selection Committee (ASC). | December 1992 |
| 3. | Review of the budget to allow for extension of the services of the Project Development Specialist. | Oct-Nov 1992 |
| 4. | Conclusion of local SRI staff hiring process and procurement of equipment. | November 1992 |
| 5. | CC Develops annual issues agenda/action plan. | Nov-Dec 1992 |
| 6. | SRI Project Manager publicizes funds availability for target areas set by CC, and works with NGOs to develop concept papers. | December 1992 |
| 7. | SRI Project Manager informs NGOs of concept paper approval, and NGOs begin to develop applications. | January 1993 |
| 8. | ASC receives, reviews, and approves or denies applications. | February 1993 |
| 9. | SRI Project Manager informs NGOs of approval. | Feb-Mar 1993 |
| 10. | Pre-award evaluations performed | March 1993 |
| 11. | Subgrant agreements between Grantee and NGOs are drafted and signed. | Mar-Apr 1993 |
| 12. | Sub-grant Activities begin. | Mar-Apr 1993 |

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A _ B _ C x

IA. BACKGROUND DATA

Project Title: Investment and Trade Expansion Program
 Project Number: 517-0263
 Date of Authorization: original 09/09/92 amendment 00/00/00
 Date of Obligation: original 09/25/92 amendment 00/00/00
 PACD: original N/A amendment 00/00/00
 Implementing Agencies: Technical Secretariat of the Presidency
 Major Contractors: None
 AID Project Manager: Thomas Miller
 Status of CPs/Covenants: All CPs to be satisfied
 Date of Last Evaluation: 00/00/00 Next Evaluation: N/A
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: September 1993

1B. FINANCIAL DATA

Amount Authorized:	ESF Grant: original	\$5,000,000	amended to \$N/A
Amount Obligated:	ESF Grant: original	\$5,000,000	amended to \$N/A
Amount Committed:	Period:	\$5,000,000	
	Cumulative:	\$5,000,000	
Accrued Expenditures:	Period - Projected:	\$0	
	Period - Actual:	\$0	
	Cumulative:	\$0	
	Period - Next:	\$5,000,000	
Counterpart			
Contribution:	Planned:	\$ N/A	
	Actual:	\$	
% LOP Elapsed:		0%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		0%	
% of Total Auth. Exp.		0%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: This project will contribute to Mission Strategic Objective Number 1 by a) encouraging the GODR to stay the course with its current economic reform programs, and b) helping to create a reliable, competitive priced electrical energy.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB. PROJECT PURPOSE

The purpose of the program is to provide cash transfer assistance in support of economic reforms to promote sustained and broad based economic growth led by the private sector in the Dominican Republic.

III. PROJECT DESCRIPTION

The U.S. dollar funds will be used to finance the importation of raw materials and other supplies, and equipment required by the private productive enterprises in the Dominican Republic. Project conditionality relates to continuation of the market-oriented economic reform program; a private sector oriented strategy for the electric sector, and worker rights. The local currency equivalent of the U.S. dollar funds, provided by the GODR under the terms of the Agreement, will be used to retire a portion of the domestic debt of the public sector power company. The objective is to make the power company a more attractive investment for privatization through the reduction of its domestic debt burden.

IV. PROJECT STATUS

- | | <u>Planned EOPS</u> | <u>Progress To Date</u> |
|----|---|-------------------------|
| 1. | An opinion of legal counsel that agreement has been duly executed. | |
| 2. | Certification with signature specimen of person authorized to act in the name of the government on matters related to the agreement. | |
| 3. | Open a bank account with a United States bank, in the United States, of a dollars interest-bearing account for dollars available under the agreement. | |
| 4. | Open a special interest-bearing peso account of dollars disbursed from A.I.D. under the agreement. | |
| 5. | Evidence that the GODR has met the IMF stand-by Performance Criteria for September 30, 1992. | |

6. Evidence that rules and regulations maintaining a unified market-determined exchange rate and market-determined financial system interest rates are in force and are being applied consistently.
7. Policy signed by the President or competent authority committing th GODR to a firm schedule of steps to transfer expeditiously to effective private control the distribution of electricity within the country and to facilitate private investment in new energy generation capacity.
8. Evidence that seasonal foreign workers can quickly and easily obtain residence documentation and that there is substantial progress in providing them with this documentation.

C. Other Accomplishments and Overall Status

1. None

2. Women in Development

The ESF program is aimed at sustaining the economic reform of the Government. Good economic policy inherently favors a stronger role for women, and works toward correction of economic discrimination against them.

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Six Months

- | | |
|--|--|
| 1. PIL No. 1. | October 1992 |
| 2. Satisfaction of condition precedent (other than worker rights) | December 1992 |
| 3. Satisfaction of worker rights conditionality | December 1992 |
| 4. Disbursement of US Dollars | January 1992 |
| 5. Staff level coordination meeting (USAID/STP) | Each month |
| 6. Principle staff level coordination meeting (Director and STP Secretary) | December and February |
| 7. Debt Purchase | (date targets to be discussed with STP). |

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A B X C

IA. BACKGROUND DATA

Project Title: Economic Education
Project Number: 517-0264
Date of Authorization: original 07/25/91 amendment 00/00/00
Date of Obligation: original 07/30/91 amendment 00/00/00
PACD: original 07/30/93 amendment 00/00/00
Implementing Agencies: Fundación Economía y Desarrollo, Inc. (FED)
Major Contractors: None
AID Project Manager: Piedad I. González/Benjamin Severn
Status of CPs/Covenants: N/A
Date of Last Evaluation: 00/00/00 Next Evaluation: Oct. 1992
Planned Number of Non-Federal Audits during Fiscal Year: July 1993
The Number of such Audits Contracted for/Completed: 0
Date of Last Audit: 00/00/00 Next Audit: 07/30/93
(Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA/Grant: original	\$ 300,000	amended to \$N/A
Amount Obligated:	DA/Grant: original	\$ 300,000	amended to \$N/A
Amount Committed:	Period:	\$ 300,000	
	Cumulative:	\$ 300,000	
Accrued Expenditures:	Period - Projected:	\$ 76,233	
	Period - Actual:	\$ 33,365	
	Cumulative:	\$ 109,598	
	Period - Next:	\$ 86,900	
Counterpart			
Contribution:	Planned:	\$ 693,000	
	Actual:	\$ 404,250	
% LOP Elapsed:		59%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		37%	
% of Total Auth. Exp.		37%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: The project will contribute to Mission strategic objective No. 1 by encouraging informed debates on economic issues in public fora and the press.

Percent of LOP Funds Relating to Strategic Objective I: 100 %

IIB. PROJECT PURPOSE

To increase public awareness of basic economic principles.

III. PROJECT DESCRIPTION

The project will partially finance the FED's ongoing economic education activities as well as permit the development of more original and varied material for existing programs, add a daily chart on interest rates and exchange rates to its successful newspaper program, allow development, implementation and expansion of a new radio program. It will also strengthen existing publishing activities, increase the number and variety of conferences and seminars sponsored by the FED, and establish a modest budget for new program development.

IV. PROJECT STATUS

<u>Planned EOPS</u>	<u>Progress To Date</u>
1. Basic principles of economic wide-spread.	Increase in quantity and quality of TV and print media discussion of economic issues; in part attributable to project activities and their catalytic influences.
2. Standard of economic discussion at the national level elevated.	
3. Fostered principles, mechanisms and advantages of an economic based on competitive markets and enterprises.	
4. Promoted facilitative government economic policies which support a strategy of economic development.	

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Newspaper programs	1376	344	802	344	288	709	52%
2. TV programs	1352	338	789	338	258	609	45%
3. Radio Programs	347	43	87	43	0	0	0
4. Publishing Books	10	3	5	2	2	5	50%
5. Conferences and Seminars	6	2	3	2	0	0	0

C. Other Accomplishments and Overall Status

1) Through its programs, the FED has defended and supported the structural economic reform programs that the GODR has been carrying out since 1990. The basic underpinning of this support has been the continuous message that the GODR must undertake those policy changes that create a more open economy based on market principles.

A simple, yet poignant example of the FED's impact would be the reduction of the price of powdered milk from RD\$175 to RD\$115 for a five pound container. That resulted from the GODR's decision to "open" the economy by reducing the import tariff on the commodity from 30% to 20%.

2) Women in Development:

The project will include mass media programming to increase women's awareness of economic principles and issues, thereby advancing their ability to influence economic decisions and take greater advantage of economic opportunities.

D. Problems and Delays

The FED has faced serious financial problems during this period as many of its advertisers have been persuaded, by those who favor more protectionist policies, to remove their support from the various newspaper articles and TV programs such as Sábado Económico in the Listín Diario, and Trílogo and Mercado y Libertad on television. In addition to this negative impact on FED's income, lower interest rates have also yielded lower earnings on FED's investments. These two factors represent a reduction in income of more than RD\$200,000 monthly.

E. Major Activities or Corrective Actions During the Next Six Months

An amendment is being prepared calling for a change in the Financial Plan. The money originally allocated for radio, new program development, seminars, and conferences will be reprogrammed among TV and newspaper programs. The FED will actively keep seeking new financial resources to continue carrying out its activities.

The FED will launch volume III of Sábado Económico, as well as Volume I of Economía para Todos during the next six month period.

STRATEGIC OBJECTIVE NO. 2
**Increased Socio-Economic Participation
of the Lower-Income Groups**

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A ___ B ___ C X

IA. BACKGROUND DATA

Project Title: Commercial Farming Systems
 Project Number: 517-0214
 Date of Authorization: original 06/01/87 amendment 09/22/89
 Date of Obligation: RFS original 08/31/87 amendment 09/22/89
 ADF original 07/10/87 amendment
 BCF original 09/15/87 amendment 09/22/89
 PACD: original 06/30/92 amendment to 07/31/92
 Implementing Agencies: RFS - Rural Savings Mobilization Office
 ADF - Agricultural Development Foundation
 BCF - Central Bank GODR Reserve Bank/
 Bridge Credit Fund
 Major Contractors: RFS - Ohio State University; ADF - IRI; BCF - None
 AID Project Managers: Kenneth B. Wiegand
 Status of CPs/Covenants: All Met
 Date of Last Evaluation: 09/21/92 Next Evaluation: 00/00/00
 Date of Last Audit: 02/26/90 Next Audit: 12/15/92
 (Non Federal audit) (Recipient Contract Audit)

IB. FINANCIAL DATA

Amount Authorized:	DA Grant:	original	\$ 4,750,000	Amended to	\$7,170,000
	DA Loan:	original	\$10,000,000	Amended to	\$7,580,000
Amount Obligated:	DA Grant:	original	\$ 3,015,000	Amended to	\$7,170,000
	DA Loan:	original	\$ 3,000,000	Amended to	\$7,580,000
Amount Committed:	Period:	(G)	\$ 804,308	(L)	\$ 0
	Cumulative:	(G)	\$ 6,856,424	(L)	\$7,580,000
Accrued Expenditures:	Period-Projected:	(G)	\$ 500,000	(L)	\$ 945,837
	Period - Actual:	(G)	\$ 646,401	(L)	\$ 0
	Cumulative:	(G)	\$ 5,933,462	(L)	\$6,634,163
	Period - Next:	(G)	\$ 0	(L)	\$ 0
Counterpart					
Contribution:	Planned: (ADF)	(G)	RD\$32,852,240		
	Actual: (ADF)	(G)	RD\$42,000,000 est.	(US\$3.36 Million)	
% LOP Elapsed:(64/62 mos. - Project completed)		(G)	103	(L)	103
% of Total Auth. Oblig.		(G)	100	(L)	100
% of Total Oblig. Exp.		(G)	83	(L)	88
% of Total Auth. Exp.		(G)	83	(L)	88

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: This project was completed 7/31/92. This project contributed to Mission Strategic Objective No. 2 "Increased Socio-Economic Participation of the Lower Income Group" by providing bridge credit to small farmers; assisting Central Bank to develop and expand rural based financial institutions; and improving agricultural production.

Percent of LOP Funds Relating to Strategic Objective II: 100%.

IIB. PROJECT PURPOSE

To increase production of non-traditional crops through improved farmer linkages with agribusinesses and direct access to credit and improved technology.

III. PROJECT DESCRIPTION

The Project has three related components, the grant financed Rural Financial Services (1.0 RFS), Agricultural Development Foundation (2.0 ADF), and the grant and loan financed Bridge Credit Fund (3.0 BCF). The RFS component will promote the development and expansion of financially viable, rural based financial institutions; improve the GODR Central Bank's ability to formulate and implement sound rural banking policies and procedures; consolidate rural financial services, policy and management improvements in the Agricultural Bank; and initiate a program of rural savings mobilization in the Reserve Bank. The ADF component will improve agricultural production technology for producers/exporters of non-traditional crops; and develop a private sector organization that provides direction, training, financial and technical assistance for ag. technology development in both the public and private sectors. The BCF component will provide loans to small producers through agribusinesses who depend upon farmer-outgrowers.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

1.0 RFS

Expanded rural cooperative banking system serving at least 19,000 clients.

Over 22,000 clients now served.

Organize second level CU Association to represent CU's, manage funds, and provide technical assistance to CU's.

The Association is now operating.

31 credit unions strengthened to provide improved financial service to rural small and micro enterprises.

20 CU's receive assistance.

JK

2.0 ADF
 Private Foundation providing funding, Accomplished.
 quality control and dissemination of
 Agricultural Technology.

3.0 BCF
 37 Agribusinesses receiving Eight.
 financing.

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1.0 <u>RFS</u>							
1.1 Credit Unions (CU's):							
a. New CU's Formed	10	0	7	0	0	6	60
b. Contacts with Existing CU's	21	0	19	0	0	14	67
c. CU's Mobilizing Savings	31	0	25	0	1	15	48
d. CU's Personnel Trained	2,135	100	2,086	0	100	1,206	57
e. Savings (RD\$000)	18,056	5,000	23,056	0	8,000	65,200	362
f. Loans (RD\$000)	14,444	4,000	18,444	0	20,691	52,157	363
1.2 GODR Banco Agricola Personnel Trained:	0	40	120	0	50	652	N/A
2.0 <u>ADF</u>							
2.1 Institutional Development	1	0	1	0	0	1	100
a. ADF established							
b. Board Appointed	1	0	1	0	0	1	100
c. Staff Hired	9	0	9	0	0	11	122
d. Annual Research Program	4	1	4	0	1	4	100
e. Proposal Review System	1	0	2	0	0	2	200
2.2 Endowment Fund Capitalized	3.7	0	3.7	0	0	4.9	132
a. Private Sector Contribution (RD\$ Million)							
b. GODR Contribution (RD\$ Million)	15.0	0	15.0	0	0	15.0	100
c. Total Capitalization	18.7	0	18.7	0	0	19.9	106
2.3 Research Grants Approved (RD\$ Million)	8.5	1.8	9.5	0	2.0	4.6	54
2.4 Quick Response T.A. System (Individuals)	12	1.3	12.3	0	22	32	267
2.5 Tech/Pack Developed/Disseminated	15	5	16	0	6	15	100
	15	5	16	0	2	6	40
2.6 Researchers Trained							
a. Conferences	500	50	460	0	12	790	158
b. In-Country (No.)	240	25	258	0	400	620	258
c. Overseas (No.)	90	0	63	0	0	7	8
d. Other (No.)	0	0	0	0	0	197	N/A
3.0 Bridge Credit Fund							
3.1 Intermediate Financial Institutions (IFIs)							
a. IFI Prequalified	10	0	10	0	0	15	150
3.2 Subloans Approved (RD\$ Million)	42.1	16	47	0	5.3	38.3	91
3.3 Outgrowers Receiving Bridge Credit	6,000	0	4,440	0	0	12,713	212

C. Other Accomplishments and Overall Status

The project was successfully ended on July 31, 1992.

1. RFS: The RFS element of the project has obtained tentative success institutionalizing the concept of strong financial management and rural savings mobilization through six new and 12 existing savings and loan associations. Rural savings mobilization through the government's Agricultural Bank branches has also been strengthened, and by project's end, a national association of savings institutions had been established, to serve as a source of technical assistance, training and emergency, short-term deposit insurance.

2. ADF: The institutional development path chosen by the Agricultural Development Foundation's Board of Directors could best be described as "steady but sure". The ADF has consistently resisted well-meant attempts by others to involve the Foundation in activities beyond the sustainable limit of the Foundation's endowment and human resource base. By keeping its sites firmly fixed, the ADF has garnered a solid reputation among Dominicans as a "serious" organization. Its support for solid, topical, applied research (largely in the absence of any effective, governmental program) is increasingly recognized as the ADF's major contribution, in addition to its leadership role in convening the first national agricultural scientists conference, and thereafter establishing an annual research conference.

3. BC: The diversity of the enterprises financed and the general level of the managerial and technical abilities of the borrowers (all medium- to large-scale operations) leads to the judgement that developments in nontraditional products, agricultural exports, generation of foreign exchange, and the creation of employment were favorable. Among the non-traditional products financed were: aromatic plants, cashews, aloe vera, vegetables, melons, avocados, coconuts, mangos, guavas, and pineapples. Credit was also provided to processors of other exportable products, such as cream of coconut, tomatoes, and vegetables.

Women in Development

1. RFS: Membership in the Credit Unions is approximately 50 percent women, who benefit through improved access to loan and deposit services.

2. ADF: Over 20 percent of the project funded research has been directed to women, and 25 percent of the participants in academic training have been women.

3. BC: It is estimated that 50 percent of the rural small enterprise clients are women. Also, women are proportionally more active in commercial production harvesting than male rural laborers.

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Six Months

ADF is now being audited; completion of the PACR for the project is scheduled for January 23, 1993.

IA. BACKGROUND DATA

Project Title: Family Planning Services Expansion
 Project Number: 517-0229
 Date of Authorization: original 09/09/86 amendment 06/29/92
 Date of Obligation: original 09/19/86 amendment 06/29/92
 PACD: original 09/19/91 amendment 08/31/93
 Implementing Agencies: Dominican Association for Family Welfare (PROFAMILIA); and National Planning Office's Population and Employment Division (ONAPLAN); Maternity Hospital "N.S. de la Altagracia" (MNSA); and Dominican Association of Family Planning (ADOPLAFAM).
 Major Contractors: Development Associates, Inc.
 AID Project Manager: Lisa Usher and John Thomas
 Status of CPs/Convenants: All met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 3/30/93
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 04/19/90 Next Audit: 2/30/93
 (Non-Federal Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA/ Grant: original	\$ 5,000,000	amended to \$ 6,950,000
Amount Obligated:	DA/ Grant: original	\$ 2,300,000	amended to \$ 6,950,000
Amount Committed:	Period:	\$ 741,327	
	Cumulative:	\$ 6,079,545	
Accrued Expenditures:	Period - Projected:	\$ 600,000	
	Period - Actual:	\$ 768,320	
	Cumulative:	\$ 4,824,849	
	Period - Next:	\$ 800,000	
Counterpart			
Contribution:	Planned:	RD \$12,781,980	
	Actual:	RD \$14,666,179	
% LOP Elapsed:		87%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		69%	
% of Total Auth. Exp.		69%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project supports the Mission's Strategic Objective to "increase socio-economic participation of the lower-income groups" (Strategic Objectives No. 2) by expanding family planning service delivery outlets to meet the demand for voluntary family planning by low-income persons and couples.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

To improve and expand a public/private network of family planning service delivery outlets over the next seven years (1986-1993) to meet the demand for voluntary family planning services by low-income persons and couples.

III. PROJECT DESCRIPTION

The project, as amended in July 1990 and June 1992, has three components:

- (1) Expansion of the voluntary family planning services of PROFAMILIA, ADOPLAFAM and the MNSA hospital, utilizing clinic-based, community-based and contraceptive social marketing delivery systems;
- (2) Institutional strengthening of PROFAMILIA, ADOPLAFAM and ONAPLAN's Population and Employment Division, including improvement of their administrative, financial and management information systems; and
- (3) Population policy promotion by means of applied research and demographic projections and analyses conducted by ONAPLAN's Population and Employment Division and PROFAMILIA's Institute for the Study of Population and Development (IEPD). The amended project will assist the implementing agencies to reduce the recurrent expenses of their programs and introduce alternative cost-recovery mechanisms to sustain services once financial assistance from A.I.D. is terminated.

IV. PROJECT STATUS

A. Planned EOPS

Progress to Date

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. 806,555 Couple-Years of Protection (CYP)/1 2. 127 PROFAMILIA and ADOPLAFAM urban clinics and/or physicians providing voluntary family planning services to low-income persons and couples. 3. MNSA Voluntary Surgical Contraception program reactivated, Family planning in academic training for ob/gyn residents as well as medical students. | <p>589,975 CYP 1/.</p> <p>127 clinics and/or physicians, (91 PROFAMILIA and 36 ADOPLAFAM) 2/.</p> <p>MNSA VSC program started in September 1991. Training is being provided for hospital staff as well as ob/gyn residents and medical students.</p> |
|--|--|

37

- | | |
|---|---|
| <p>4. PROFAMILIA's Community-based Distribution network of 575 promoters operating nationwide; ADOPLAFAM CBD network of 450 promoters and 300 beauty and barber shops.</p> <p>5. Expanded PROFAMILIA Contraceptive Social Marketing program.</p> <p>6. Nationwide radio and TV Information and Education Campaign.</p> <p>7. A nationwide Family Planning Unmet Needs Study conducted in 1989; DHS II final conducted in 1991.</p> <p>8. Demographic data and analyses produced by IEPD and ONAPLAN made available to the GODR's socio-economic planning process.</p> <p>9. Institutional strengthening of participating implementing agencies under the project.</p> <p>10. FP services providers recovering between 20% and 50% of their operating costs.</p> | <p>834 PROFAMILIA CBD promoters; 462 ADOPLAFAM CBD promoters and 369 beauty and barber shops.</p> <p>Sales through 1200 existing pharmacies and convenience stores.</p> <p>Focus group research completed and report released. In-depth interviews to determine knowledge and attitudes about family planning methods completed.</p> <p>Unmet needs study completed in 1989 DHS II conducted in 1991 and results released in Sept. 1992.</p> <p>Nine IEPD major reports completed. 2 ONAPLAN demographic projections and 5 newsletters published.</p> <p>Installation of computerized data programming and management information systems for PROFAMILIA, ADOPLAFAM, and ONAPLAN; technical assistance in accounting and finance, evaluation, and administration; procurement of lab. and office equipment and vehicles; various courses and workshops offered.</p> <p>PROFAMILIA recovering 42% of their operating costs; ADOPLAFAM recovering 28% of its costs.</p> |
|---|---|

B. Major Outputs

	Planned				Accomplished			
	LOP	Period	Cum. 3/	Next Period	Period	Cum.	% of LOP	
1. Service Delivery:								
A. CYP: 4/								
- Reversible	336,706	43,500	265,000	34,000	34,569	212,382	63	
- Non-Reversible	469,849	64,000	738,000	47,000	29,406	377,593	80	
- Total	806,555	107,000	945,000	81,000	63,975	589,975	73	
B. Service Sites:								
- Clinics/Doctors	132	125	NA	130	127	127	96	
- Volunteers	1,200	1,130	NA	1,300	1,296	1,296	108	
- Pharmacies/ Convenient Stores	1,200	1,200	NA	1,200	1,200	1,200	100	
- Other Sites (Barber Shops, Beauty Parlors)	300	240	NA	370	369	369	123	
C. Short-Term Trg.: (Staff and Volunteers)								
	M	F	M	F	M	F	M	F
	600	675	50	200	481	952	50	200
	111	305	341	1079	57	160		
D. Cost Recovery (%) 5/								
- PROFAMILIA	50	50	50	40	42	42	84	
- ADOPLAFAM	20	12	12	28	28	28	140	
2. Population Policy Promotion:								
A. IEPD's Major Reports/ Bulletin/Other Research Outputs								
	22	1	26	0	1	25	114	
B. ONAPLAN's Publications								
	6	1	5	1	0	5	83	
C. Courses/Workshops for ONAPLAN staff								
	9	0	12	0	1	12	133	
D. ONAPLAN's Demographic and Socio-Economic Data Bank								
	1	0	0	0	0	0	0	
E. Family Planning Unmet Needs Study								
	1	0	1	0	0	1	100	
F. Demographic and Health Survey II								
	1	0	1	0	0	1	100	
G. Other Studies (Demographic Projections Bateyes Study).								
	6	2	9	2	2	5	83	



C. Other Accomplishments and Overall Status

- Project extended 14 months from June 30, 1992 to August 31, 1993.
- Technical assistance contract re-competed and new contract signed with Development Associates, Inc.
- DHS II completed and final report published.
- Analysis of in-depth interviews with health workers to examine knowledge and attitudes about family planning completed and draft report.
- Completion and opening of new surgical unit for performing female sterilizations in the Maternidad Nuestra Señora de la Altagracia. Female sterilizations and IUD insertions begun under MNSA/AVSC program.
- Study of family planning needs in "Bateyes" (place where sugar workers live) released.
- Expansion of ADOPLAFAM community-based distribution activities into a northern health region.
- Short-term technical assistance contracted for design of new Family Planning and Health Project and initial meetings held with local implementing agencies.

D. Women in Development

Women are the primary beneficiaries under this project, both as clients and as managers of the various programs. Through increased access to contraceptive services, the project seeks to increase Dominican women's control of their reproduction as well as other aspects of their lives, thus allowing for improved health and increased economic participation of these women.

E. Problems and Delays

No major problems or delays.

F. Major Activities or Corrective Actions During the Next Six Months

- Buy-ins to be completed and work begun on 1) social marketing project and 2) male program and clinic, both with PROFAMILIA.
- Release of new, low cost social marketing oral contraceptive.
- ONAPLAN Socio-economic data bank completed and publicized.
- Release of final report on in-depth interviews with health workers.
- Release of research monograph analyzing data from IEPD's extended household survey.
- Financial audit contracted and begun.
- Technical evaluation contracted.

1/ Calculated using revised (lower) CYP factors.

2/ Although the total count of PROFAMILIA's associated clinics continue to be included here, PROFAMILIA's program with Associated Clinics began receiving complete funding from the Pathfinder Fund in March, 1992, and therefore the Associated Clinic outputs are no longer being reported to A.I.D. Project outputs are thus affected (footnote 4 below).

3/ Cumulative Planned outputs reflect earlier CYP factors which resulted in higher CYP levels. These factors have been revised downward based on current AID/W guidelines.

4/ Couple years of protection (CYP's) have changed since last SAR as a result of 3 changes:
1) the factors used for calculating CYP's per contraceptive method were changed and are now much lower, particularly for female sterilizations, based on current guidance from AID/W.
2) as of March, 1992, contraceptive methods distributed in PROFAMILIA's 89 associated clinics are no longer counted as output of this project (see footnote 2 above); this has affected the number of CYP's reported as accomplished during the period.
3) LOP goals were revised for the project in the ProAg Amendment of June 29, 1992.

5/ Calculated as income received divided by direct costs incurred and multiplied by 100, by activity.

IA. BACKGROUND DATA

Project Title: Child Survival
 Project Number: 517-0239
 Date of Authorization: original 09/24/87 amendment 02/21/91
 Date of Obligation: original 09/29/87 amendment 07/25/91
 PACD: original 09/30/91 amendment 06/30/93
 Implementing Agencies: Technical Secretariat to the Presidency (TSP)
 Major Contractors: University Research Corporation (URC)
 AID Project Manager: John Thomas and Tim Truitt
 Status of CPs/Covenants: All met
 Date of Last Evaluation: 08/28/90 Next Evaluation: 04/15/93
 Planned Number of Non-Federal Audits during Fiscal Year: -0-
 The Number of such Audits Contracted for/Completed: -0-
 Date of Last Audit: 09/30/90 Next Audit: 06/01/93

IB. FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 4,652,000	amended to \$ 5,652,000
Amount Obligated:	DA Grant: original	\$ 2,700,000	amended to \$ 5,652,000
Amount Committed:	Period:	\$ 955,978	
	Cumulative:	\$ 5,527,306	
Accrued Expenditures:	Period - Projected:	\$ 845,000	
	Period - Actual:	\$ 702,625	
	Cumulative:	\$ 4,647,294	
	Period - Next:	\$ 700,000	
Counterpart			
Contribution:	Planned:	RD \$11,970,000	
	Actual:	RD \$82,852,017	Includes GODR and PVO contributions since the beginning of the project; first reported during this period.
% LOP Elapsed:		87%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		82%	
% of Total Auth. Exp.		82%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project supports the Mission's second Strategic Objective, Increase Socio-Economic Participation of Lower-Income groups, through improving access to selected primary health care services.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

To create in three Health Regions an integrated public/private child survival health services delivery system which can be expanded to national coverage.

III. PROJECT DESCRIPTION

The project assists local Private Voluntary Organizations (PVOs), and State Secretariat for Public Health and Social Assistance (SESPAS) to the extent circumstances permit, in the provision of improved child survival services for women 15-49 and children under 5 years. The interventions supported under this project include: maternal education for control of diarrheal diseases and oral rehydration therapy (CDD/ORT); promotion of birth spacing (BS) and breastfeeding (BF); and support for the Expanded Program on Immunization (EPI) in project areas.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Child Survival Service Delivery Model fully operational in 12 PVOs and in 3 SESPAS regions.	PVOs: 14 SESPAS: 0
2.	Receiving Services under the Project.	Although SESPAS continues to function in all three project areas, the service delivery model will be considered operational when their health workers have been trained using project developed materials (this should be completed by spring 1993).
	<u>LOP TARGET</u>	55,251
	Children: 48,000	52,306
	Women: 36,000	

40

c. The mass media campaign for diarrheal disease control/oral rehydration therapy was launched in June 1992. The launching ceremony was widely attended (e.g., Minister of Public Health/SESPAS, UNICEF, PAHO, etc.) and received complete media coverage. During the period June to September 1992, with support from USIS, approximately RD\$4,417,940 (about \$350,000 equivalent) worth of television time was donated to the project from local television stations broadcasting campaign messages.

d. Several local groups (the 4-40 Foundation, representing a Dominican music group which has won international acclaim, the Telephone Company, The central Bank, etc.) have expressed interest in financing some supplies and promotional materials for the project. Talks are underway to determine the extent of these donations.

e. The participating PVOs are organizing themselves in a consortium of PVOS working in Child Survival in order to ensure that their activities do not cease when the current project comes to a completion on 6/30/93.

2. Training

During this reporting period the project formally trained 572 females and 116 males in various project components.

Informal training (areas that are not necessarily project priorities and are not directly financed) was provided in subjects such as hygiene education, cholera prevention, AIDS prevention, community participation, supervision and monitoring, etc., for 5,109 females and 78 males.

3. Women in Development

This project directly benefits women in development in the Dominican Republic, particularly those with low incomes. The Child Survival Project directly targets women 15 to 49 years in rural and marginal urban areas as recipients of education and service delivery to enhance their own health as well as that of their children.

D. Problems and Delays

Extra time was allotted for the finalization of the training manuals for Birth Spacing and Breastfeeding in order to permit final review and revision from the Mission and other cooperating agencies (as well as to include the latest DHS-II data which recently became available). The manuals have both been completed and training is underway. All scheduled formal training will be complete by mid-November.

The launching of the mass communication campaigns in Birth Spacing and Breastfeeding was temporarily delayed pending the completion of the training manuals. Draft television and radio messages have been shared with the Mission, UNICEF, PAHO, UNFPA, SESPAS, CONAPOFA, PROFAMILIA, various high-level religious authorities, and other organizations, for comment and suggestions. The project received some excellent suggestions along with general support for the campaign material. In general, the religious officials consulted support the project's effort to educate adolescents about the risks of early pregnancy and to promote the advantages of spacing births (in 20 to 30 year old). The final spots are under production now and both campaigns will be launched by mid-November.

E. Major Activities or Corrective Actions During the Next Six Months

1. The participating PVOs will complete formal training in Birth Spacing and Breastfeeding for their field promoters.
2. The mass communication campaign will be launched in the two remaining project components (BS and BF).
3. The participating PVOs will present a proposal to the PVO Co-Financing project for continued funding of their child survival activities after the project ends.

IA. BACKGROUND DATA

Project Title: PVO Co-Financing
 Project Number: 517-0247
 Date of Authorization: original 09/22/89 amendment 00/00/00
 Date of Obligation: original 09/22/89 amendment 08/25/92
 PACD: original 08/30/96 amendment 00/00/00
 Implementing Agencies: Pan American Development Foundation
 Major Contractors: ENTRENA, S.A.; Banco de Desarrollo Dominicano
 AID Project Manager: Richard Mangrich and Paul Struharik
 Status of CPs/Covenants: All met.
 Date of Last Evaluation: 00/00/00 Next Evaluation: 04/01/93
 Planned Number of Non-Federal Audits during Fiscal Year: 3 Pre-Award Audits
 The Number of such Audits Contracted for/Completed: 3 Pre-Award Audits
 Date of Last Audit: 08/31/92 Next Audit: 01/31/93
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 9,500,000 amended to NA
 Amount Obligated: DA Grant: original \$ 4,000,000 amended to \$ 8,314,880
 Amount Committed: Period: \$ 1,371,433
 Cumulative: \$ 3,004,321
 Accrued Expenditures: Period - Projected: \$ 590,000
 Period - Actual: \$ 378,888
 Cumulative: \$ 931,157
 Period - Next: \$ 600,000
 Counterpart Contribution: Planned: RD\$37,680,000
 Actual: RD\$21,954,812
 % LOP Elapsed: 43%
 % of Total Auth. Oblig. 88%
 % of Total Oblig. Exp. 11%
 % of Total Auth. Exp. 10%

TIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: The PVO Co-Financing Project contributes directly toward achieving the following three Mission strategic objectives through private organizations that deal at the grassroots level.

a. S.O. No. 2, Increase Socio-Economic Participation of the Historically Disadvantaged, the PVO project expects to finance about 18 subprojects with PVOs in preventative health (The eligible areas were reprioritized in the following order to better reflect the Mission objectives in the health sector: child survival, AIDS, water/sanitation and delivery systems); community development (housing improvement, youth development, rural electrification), and; employment generation (rural microenterprise, handicrafts, and job training).

b. S.O. No. 3, Increase the Availability of Water Needed for Sustained Economic Development, the PVO Project expects to finance about 12 subprojects with PVOs in watershed management (soil conservation, reforestation, sustainable hillside agriculture); parks management; and; costal zone management. The project will also provide guidelines, technical assistance and training to help PVOs develop and implement environmentally sound projects for all project proposals dealing with water management.

c. S.O. No. 4, Participatory Democratic Reform, the PVO Project will actively encourage community participation in all subprojects and provide funding for subprojects dealing specifically with civic education and promotion of democratic values within NGOs.

Percent of LOP Funds Relating to Strategic Objectives: II - 45%
 III - 44%
 IV - 11%

IIB. PROJECT PURPOSE

The project purpose is to increase the capability of PVOs and NGOs to deliver selected services and other resources to the poor.

III. PROJECT DESCRIPTION

The project will provide funding for about thirty-five subprojects to be implemented by PVOs/NGOs over a seven-year period. It will establish a mechanism which will improve and streamline the budgeting, project development, design and approval process and the administrative, technical and financial management of USAID/DR's portfolio of U.S. and Dominican PVO projects.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	300,000 rural and urban poor benefiting from PVO projects in the areas of hillside agriculture, health, water and sanitation.	Male: 269 Female: 120

2. Approximately 20 local PVOs institutionally strengthened.
 - T.A. provided to 80 PVOs in proposal preparation economic analyses and environmental guidelines of which 42 are still receiving help. Training in design and environment provided to 15 PVOs. Training in fund accounting, information systems, and financial sustainability scheduled.
 - Pre-Award Surveys of 7 PVOs were completed with recommendations that will strengthen them.

3. Actual and perceived increase of USAID's links with the DR's low income groups and the PVO community.
 - There has been an increase in perceived USAID commitment to low income groups and NGOs, especially among small NGOs who may be able to qualify for assistance in consortia or through intermediaries. To date 86 Concept Papers have been received and 4 complete Grant Applications.

B. Major Outputs

	Planned				Accomplished				% of LOP			
	LOP	Period	Cum.		Next Period	Period	Cum.					
1. System established for subgrant design, selection, financial mgt., monitoring and provision of T.A. and training.	1	0	1		0	0	1		100			
2. Subgrants to PVOs.	35	2	2		3	1	1		2.9			
3. TA to PVOs (person/months)	NA	12	29		9	6	23		NA			
4. Training (persons)		M	F	M	F	M	F	M	F			
4a. Long-Term	NA	0	0	0	0	0	0	0	0	NA		
4b. Short-Term	NA	50	50	373	188	50	50	100	24	423	162	NA

C. Other Accomplishments and Overall Status

- a. PVO Support Unit with Dominican firm, ENTRENA, S. A. fully operational.
- b. Procedures for subproject selection amended to strengthen environmental safeguards.
- c. PVO Participation Manual containing criteria, procedures and application guidelines distributed to over 700 PVOs/NGOs. A streamlined, more friendly version containing all recent policy changes was developed and is being distributed.
- d. Major policy changes this period:
 - Minimum 25% PVO Contribution to subprojects must exclude beneficiary contribution.
 - A.I.D. will not provide more than 50% of personnel costs.
 - Eligible Health areas reprioritized in accordance to new USAID S.O.'s.
 - Vehicle procurement prohibited.
 - Home improvements no longer eligible.
- e. All five Orientation Conferences held, 450 PVOs/NGOs attended.
- f. Environmental Guidelines for Watershed Management and Water/Sanitation developed and distributed with training to PVOs. (W/S Guidelines pending AID/W approval). The guidelines are a new tool being used by the Mission to put into effect an innovative environmental strategy which places the prime responsibility for designing and implementing environmentally sound projects directly on the PVOs, with assistance as needed from A.I.D.
- g. Environmental Assessment for Pesticide Use approved.
- h. Data bank on PVOs established.
- i. Two more newsletters published (one contained "10 responsibilities of PVO Boards").
- j. Six PVO consortia submitted Concept Papers thereby providing smaller PVOs the opportunity to participate in the project.
- k. Grant to Pan American Development Foundation awarded to fund FIRENA Project.
- l. Grant to Fundación Dominicana de Desarrollo for \$826,206 approved for Microenterprise Training Project.
- m. Two Grant Applications (IDDI, FUDECO) under review.
- n. Received 22 new Concept Papers raising the total to 86. Seven more Concept Papers were approved raising the total to 16. Thirteen more were rejected bringing the total to 21 rejected. The remainder are being either reviewed or revised. Given the good response from PVOs, ENTRENA is no longer actively promoting the Project.
- o. Seven PVOs have passed Pre-Award Surveys. Three more surveys are underway.
- p. The formal PVO training program was initiated with six courses held in Project Design, log frame, Grant Application Preparation, Environmental Guidelines, Democratic Initiatives and Latrine designs.
- q. A condensed Project Design/Log Frame course was conducted by MSI for 7 ENTRENA and 8 A.I.D. staff members, and a course in Democratic Initiatives was held for ENTRENA staff.
- r. The ENTRENA contract was amended to add 10 person-months for economic analyses, 10 person-months for specific PVO training and 2 person-months for PVO alternative financing. No additional funds were required.

44

SUMMARY OF APPROVED CONCEPT PAPERS AND GRANT APPLICATIONS

<u>Institution</u>	<u>Amount Requested (\$000)</u>	<u>Concept Paper Approved</u>	<u>Grant Amount (\$000)</u>	<u>Pre Award Survey</u>	<u>A.I.D. Registration</u>
PADF/ADSJO	1,000	4/91	999(2/92)	Passed	Yes
IDDI	900	7/91		Passed	Yes
FUDECO	796	7/91		Passed	Yes
FDD	952	10/91	826(6/92)	Underway	Yes
AAVI	573	2/92		Passed	No
CESI	146	2/92		Passed	No
FUNDEJUR	740	3/92		Passed	Yes
APEDI	156	3/92		Passed	Yes
BARCELO*					
Pro foresta	927	3/92		Pending	No
LDCC	677	4/92		Pending	No
ASPIRE	65	4/92		Underway	No
APEDI	200	6/92		Passed	Yes
EDUDELIC	325	4/92		Underway	No
SODIN	682	7/92		Pending	No
MUDE	659	9/92		Pending	Yes
HERMANDAD	210	9/92		Pending	Yes

*Concept Paper subsequently rejected for lack of adequate beneficiary participation

2. Subproject Accomplishments:

FIRENA II: This Natural Resources Management subproject being implemented jointly by the Pan American Development Foundation and the Asociación para el Desarrollo de San José de Ocoa was signed May 8, 1992 for US\$999,231. They have been finishing work on three irrigation/soil conservation systems started under FIRENA I and are working on the preliminary steps for several new systems under FIRENA II, including procurement of trees and irrigation materials. A contract was signed with a local accounting firm to strengthen the accounting system and investment program. The baseline evaluation was initiated.

3. Women in Development:

Rural and urban women in the Dominican Republic are expected to benefit directly from subprojects in health delivery, water and sanitation, community development, agriculture and employment generation. Subproject design and selection criteria will focus on activities affecting women to assure that they will adequately benefit from the project. Grantees will be expected to report progress on their subproject by gender.

D. Problems and Delays

Implementation is running approximately nine months behind schedule. Although the administrative, technical and financial mechanisms are in place, project management has not yet been able to completely make-up the initial nine-month delay in hiring the Project Manager and four-month contractor mobilization delay.

It became evident that PVOs require considerably more hand-holding than previously anticipated to meet A.I.D. standards for Concept Papers, Pre Award Surveys, Environmental Guidelines, and Grant Applications. The PVO Support Unit has therefore been taking a much more pro-active approach dealing with PVOs in these areas and the ENTRENA contract has been amended to add more short-term assistance for economic analyses and environmental planning and to provide specific training to help PVOs overcome their weakness in various areas. Small and new PVOs have not been able to fully participate in the project given their lack of experience and weak institutional conditions. ENTRENA has been successful in promoting the formation of various consortia where smaller, less experienced PVOs are entering into joint ventures with more experienced institutions.

There was a considerable delay in the development and approval of Environmental Guidelines for Water/Sanitation which has resulted in a subsequent delay on the part of PVOs to respond. The guidelines have finally been developed and final approval is expected early next semester.

Despite the delays, project implementation has been gaining some momentum. Sixteen Concept Papers and two Grant Applications have been approved and the PVO Support Unit is actively working with about 40 PVOs to present acceptable proposals. The first grant was awarded and the implementation phase is underway. Given the high level of interest in this project by PVOs coupled with the intensive T.A. and training now being provided, the delays should not impact on achieving the project's overall outputs and purpose. The rate of expenditure should begin increasing considerably next semester as five more grants are awarded and begin implementation.

E. Major Activities or Corrective Actions During the Next Six Months

- Five more grants awarded raising the total amount committed to about US\$4,700,000.
- Eight more concept papers approved including three from consortia.
- Environmental Guidelines for water/sanitation approved.
- Subcontract with Junta Agroempresarial Dominicana (JAD) to provide IPM services for the PVO Project.
- Two ENTRENA personnel will participate in an IPM course provided by the Zamorano Agricultural School in Honduras.
- A Pesticide Manual and "Code of Conduct" for pesticide management will be developed by JAD.
- Two more issues of newsletter.
- PVO training sessions in fund accounting, economic analysis, financial sustainability, project design, information systems and environmental guidelines.
- Initiate disbursement to grantees through the project fiduciary agent, Banco de Desarrollo Dominicano.
- Give STP a more active role in the subproject selection committee which may hopefully expedite the processing of Grant approval documents by the GODR.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

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IA. BACKGROUND DATA

Project Title: Private Initiative in Primary Education
Project Number: 517-0251
Date of Authorization: original 06/13/90 amendment 00/00/00
Date of Obligation: original 09/05/90 amendment 08/28/92
PACD: original 07/30/97 amendment 00/00/00
Implementing Agencies: Acción para la Educación Básica (EDUCA)
Major Contractors: Academy for Educational Development (AED)
AID Project Manager: Paul A. Struharik
Status of CPs/Convenants: EDUCA successfully met CPs for Phase II of the Project.
Date of Last Evaluation: 00/00/00 Next Evaluation: 10/01/93
Planned Number of Non-Federal Audits during Fiscal Year: 1
The Number of such Audits Contracted for/Completed: 1
Date of Last Audit: 06/30/92 Next Audit: 01/94
(Recipient Contract Audit)

IB. FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 5,500,000 amended to \$ NA
Amount Obligated: DA Grant: original \$ 1,534,000 amended to \$ 2,903,957
Amount Committed: Period: \$ 1,310,958
Cumulative: \$ 2,844,958
Accrued Expenditures: Period - Projected: \$ 450,000
Period - Actual: \$ 301,026
Cumulative: \$ 961,242
Period - Next: \$ 450,000
Counterpart Contribution: Planned: RD \$ 11,905,400
Actual: \$ 546,119
% LOP Elapsed: 32%
% of Total Auth. Oblig. 53%
% of Total Oblig. Exp. 33%
% of Total Auth. Exp. 17%

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project is directly linked to the Mission's second strategic objective, Increased Socio-Economic Participation of the Lower Income Groups, through improving the quality of primary education services, and the fourth, Participatory Democratic Reform, by introducing democratic themes into primary level textbooks.

Percent of LOP Funds Relating to Strategic Objectives: II - 70%
IV - 30%

IIB. PROJECT PURPOSE

The purpose of the project is to establish an administrative capacity within a local private organization, EDUCA, to organize and oversee the implementation of special studies and training programs, as well as the production of instructional material, which are vital to primary education.

III. PROJECT DESCRIPTION

The principal objective of the project is to support EDUCA in its role as an advocate for the improvement of primary education. In addition to strengthening EDUCA as an institution, the project raises the credibility of EDUCA by supporting its activities designed to improve primary education. These activities include: (1) in-service training for public and private primary school teachers and directors; (2) textbook and instructional material development; (3) the development of achievement testing for grades 4 and 8 in Mathematics and Spanish; and (4) the development of a management information system for EDUCA.

Because EDUCA was a new organization, it was decided to implement the project in two phases. The first phase, which lasted about twenty-four months, was devoted to the institutional strengthening of EDUCA and to the implementation of initial project activities, i.e.: establishing linkages with organizations concerned with primary education, including the Ministry of Education (SEEBAC), private school associations, institutions of higher learning and the private sector; conducting a census of schools within Metropolitan Santo Domingo, the project area; revising existing and contracting for the development of new textbooks and instructional materials; and establishing an MIS within EDUCA. EDUCA and the Academy for Educational Development (AED), the institutional contractor, have been successful in implementing Phase I activities and in building the institutional capacity of EDUCA. A pre-audit of EDUCA conducted in June 1992 determined that EDUCA was qualified to receive the Phase II grant directly from USAID. In Phase II EDUCA will implement project activities and the Cooperative Agreement between USAID and AED will be continued to provide technical assistance to EDUCA.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

1. EDUCA is fully staffed with trained personnel. All members of EDUCA's staff have been contracted and are being trained.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

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| 2. | EDUCA is overseeing at least five special studies/training programs annually. | EDUCA is currently organizing and overseeing five special studies/training programs. By EOP EDUCA will oversee at least eight special studies/training programs per annum. |
| 3. | 100% of EDUCA's Operating Expenses will be funded from non-A.I.D. sources. | At present EDUCA is funding office space and some salaries. The amount of EDUCA contributions is designed to increase as the project progresses. |
| 4. | EDUCA is recognized in the DR and by other international donors as an implementing vehicle in primary education. | In addition to EDUCA/PIPE activities, EDUCA is developing a PTA type program and 10 year educational development and planning study. |

B. Major Outputs

	Planned				Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
1. Financial management, procurement and personnel systems in place	3	3	3	0	3	3	100	
2. Training Short-term (staff)	M 7	F 20	M 0	F 10	M 0	F 16	M 0	F 80
900 School administrators	M 280	F 620	M 0	F 0	M 46	F 114	M 60	F 154
3,000 Non-certified teachers	M 0	F* 0	M 0	F 0	M 0	F 0	M 0	F 0
5,400 Certified teachers	M 0	F* 0	M 0	F 0	M 0	F 0	M 0	F 0
3. Assessment instrument devised and field-tested by project year 3	1	0	0	0	0	0	0	
4. Materials produced and distributed	1	0	0	0	0	0	0	
5. MIS in place and being utilized	1	0	1	0	1	1	100	

* Unable to be determined by second stage of school census. Will be determined by follow-up survey in 1993

C. Other Accomplishments and Overall Status

- Forty-eight school directors received two cycles of training and 160 received the first cycle during first phase of the Project, ahead of schedule.
- Subcontractor completed Math and Spanish textbooks and teachers guides for 7th grade.
- Subcontractor completed second stage of school census.
- Administrative manuals and procedures for EDUCA completed, approved, and operational.
- Pre-tests administered and achievement tests developed for grades 4 and 8.

Women in Development:

The recently completed school census identified 1,104 private and public sector primary schools in the PIPE project area. There are approximately 8,414 teachers in the overall target group schools and 80% potential female participants over LOP.

D. Problems and Delays

None.

47

E. Major Activities or Corrective Actions During the Next Six Months

- Complete installation of computerized accounting system.
- Follow-up training for participating school directors in October, December 1992 and February 1993.
- Workshops for 25-50 Math and Spanish Teachers to review and suggest modifications in new textbooks and guides.
- Revisions and proof printing of 5th and 7th grade textbooks and teachers guides for Math and Spanish Language.
- Review of proposals and award of subcontract for 8th grade Math and Language textbooks and guides.
- Selection of 25-30 candidates for Math and Spanish Language "Training of Trainers" program. From this group 10-12 trainers will be selected and contracted by educational institution to implement short-term training programs for in-service teachers.
- Revision and printing of 3 teacher/learning modules for teachers. These modules will be for self-learning and for organized short-term training programs.
- Contracting and printing of approximately 10,000 copies of 5th and 7th grade Math and Language textbooks for introduction and evaluation in participating schools.
- Continue training of EDUCA and SEEBAC personnel.
- Update school census information for 1992-93 school year. Enter into MIS.

IA. BACKGROUND DATA

Project Title: Micro and Small Business Development
 Project Number: 517-0254
 Date of Authorization: original 07/11/90 amendment 00/00/00
 Date of Obligation: original 07/18/90 amendment 08/30/91
 PACD: original 06/30/97 amendment 00/00/00
 Implementing Agencies: Fund for the Financing of Microenterprise
 (FONDOMICRO)
 Major Contractors: Development Alternatives Inc.
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: CPs to First Disbursement - All met
 CPs to Disbursement after 180 days - All met
 CPs for credit support program - All met
 CPs for 1992 and beyond - Annual Implementation Plan - Met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 11/10/93
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: 01/15/93
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 7,250,000	
Amount Obligated:	DA Grant: original	\$ 500,000	amended to \$6,888,242
Amount Committed:	Period:	\$ 185,482	
	Cumulative:	\$ 3,462,570	
Accrued Expenditures:	Period - Projected:	\$ 1,476,000	
	Period - Actual:	\$ 1,343,085	
	Cumulative:	\$ 2,883,191	
	Period - Next:	\$ 1,476,000	
Counterpart			
Contribution:	Planned:	RD\$19,048,975	
	Actual:	RD\$ 9,615,000	
% LOP Elapsed:		32%	
% of Total Auth. Oblig.		95%	
% of Total Oblig. Exp.		42%	
% of Total Auth. Exp.		40%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

This project provides direct support for achieving one of the three specific results of our Strategic Objective No. 2, Increasing Socio-Economic Participation of Dominican Lower-Income Groups in the Development Process. This linkage is borne out by measurable improvements in income generation and employment resulting from the availability of credit to the micro- and small-business community.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

To develop FONDOMICRO into a viable financially self-sustaining organization which can efficiently provide the financing and technical assistance needed by NGOs operating credit programs which directly service the informal small and microenterprise sector.

III. PROJECT DESCRIPTION

FONDOMICRO is a second level lending organization which will wholesale funds and provide management assistance to NGOs providing credit to micro and small enterprises in the informal sector. FONDOMICRO will also access funds for on-lending from other donors and from the local commercial market. Major project components include strengthening FONDOMICRO institutionally and helping it to develop its credit and management assistance programs.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

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|---|---|
| <p>1. FONDOMICRO will be a well functioning institution with a permanent staff of appropriately trained individuals, an active and involved Board of Directors, and adequately equipped office facilities.</p> | <p>All key FONDOMICRO staff are on board. FONDOMICRO is fully installed in adequate office space. The Board of Directos meets on a regular basis.</p> |
| <p>2. FONDOMICRO will have an established client base of between 6-12 NGOs, each operating a credit program reaching between 2,000-10,000 borrowers, and each operating their program in such a manner as to assure full and prompt repayment to FONDOMICRO of all funds they borrow.</p> | <p>Three credit clients, reaching 10,000, 2,000, and 2,500 clients each, have received US\$2.23 million in credit. Seven NGOs are receiving Credit Program Management assistance.</p> |
| <p>3. FONDOMICRO's total outstanding portfolio (including funds for which it may be providing guarantees) will have reached approximately the equivalent of US\$30,000,000.</p> | <p>FONDOMICRO's outstanding portfolio is now US\$2.23 million. Interest payments are prompt from all three credit clients.</p> |

49

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| <p>4. FONDOMICRO will be generating sufficient revenue from its lending program to allow it to meet all costs it incurs in the operation of its program.</p> | <p>FONDOMICRO is able to cover 100% of its operating expenses from revenues generated from its lending operations. Because it benefits from its USAID grant, it is able to capitalize the difference.</p> |
| <p>5. FONDOMICRO will be meeting all its obligations in full and on schedule.</p> | <p>FONDOMICRO is meeting all its obligations on schedule.</p> |
| <p>6. The number of Informal Small and Microenterprise (ISME) operators having access to reliably available, reasonably priced credit will have increased from about 10,000 to about 36,000.</p> | <p>Approximately 17,000 ISME businesses currently have access to credit.</p> |
| <p>7. FONDOMICRO will have developed appropriate business relationships with, and be able to obtain and/or access lending capital from at least two commercial banks on appropriate terms.</p> | <p>FONDOMICRO has a RD\$2.0 million line of credit with the Banco Popular</p> |

B. Major Outputs

	Planned			Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
<u>Component 1 - Strengthening FONDOMICRO</u>							
a. FONDOMICRO offices fully established equipped and functioning.	1	0	1	0	0	1	100%
b. Development and adoption of all necessary administration systems and procedures.	1	0	2	0	0	1	100%
c. Development of appropriate staff capability.	8	1	10	0	2	8	100%
d. MIS* fully developed, installed, and functioning.	1	0	2	0	0	1	100%
e. Staff receiving short-term training.	5	0	2	0	1	2	40%
<u>Component 2 - Financial Support Program</u>							
a. Development and adoption of credit guidelines.	1	0	1	0	0	1	100%
b. Loans made to NGOs.							
1. No. clients	10	4	9	4	1	3	30%
2. Amount (Million)	30	1.8	3.3	.15	.96	2.23	7.3%
c. Proportion of Female Final Beneficiaries	50%	40%	40%	40%	40%	40%	80%
<u>Component 3 - CPM** Assistance to NGOs</u>							
a. "Diagnostics" of NGO CPM capability conducted.	21	1	5	2	2	8	38%
b. CPM assistance program initiated.	12	3	7	3	4	6	50%
d. NGOs becoming eligible for FONDOMICRO loans.	10	3	6	3	1	3	30%
e. Training Workshops.	7	3	5	6	5	7	100%

50

C. Other Accomplishments and Overall Status

FONDOMICRO increased its line of credit with ADEMI from RD\$15 million (US\$1.2 million) to RD\$30 million (US\$2.4 million).

ADOPEM received a line of credit of RD\$1.0 million from FONDOMICRO fully guaranteed by a letter of credit issued by the American Security Bank and confirmed by the Banco del Comercio.

FONDOMICRO took an additional disbursement of RD\$3.115 million (US\$ 250 thousand) during the period from its RD\$19,048,975 Technical Secretariat of the Presidency (STP) line of credit as a counterpart contribution. Drawdowns from STP total RD\$9.615 million (US\$ 760 thousand).

Additional disbursements to two client NGOs from their credit lines were made for a total of RD\$9.11 million (US\$ 720 thousand).

Eight institutional assessments have been completed; two of them during the period.

Four CPM assistance programs were initiated during the period, for a total of six programs operating currently.

The first phase of the National Microenterprise and Small Business Study directed by Michigan State University was completed and was presented publically at a major information dissemination workshop held on September 3, 1992. 800 copies of the published study have been distributed in the Dominican Republic. There has been excellent press coverage.

FONDOMICRO completed its design of a credit seminar for microcredit analysts. Two credit workshops were given to five NGOs during the period. (ADOPEM conducted in Santo Domingo and ADEMI, FONDESA, EDUDELIC, and ADEPE conducted in Santiago).

Three workshops on the FONDOMICRO recommended Chart of Accounts and the interpretation of financial indicators were conducted for five NGOs (FDD, ADOPEM, ASPIRE, EDUDELIC, and FONDESA) that have adopted the systems.

Off-shore training in the Microenterprise Monitoring System (MEMS) was provided to FONDOMICRO's Executive Director in San José de Costa Rica.

Two full-time staff members were hired for FONDOMICRO's in-house technical assistance department.

D. Problems and Delays

While institutional assessments were completed and technical assistance was provided to one credit cooperative and four traditional NGOs, they had not yet qualified for FONDOMICRO credit as of the close of this reporting period.

Final decisions on the GEMINI Buy-in extension and other budget considerations, regarding reallocation of funds between line items, were not made during the period, as planned.

E. Major Activities or Corrective Actions During the Next Six Months

One credit cooperative (La Candelaria in Sabana Grande de Boyá) is expected to receive a credit line facility from FONDOMICRO.

The three traditional NGOs projected last period to obtain FONDOMICRO lines of credit (FONDESA in Santiago, ASPIRE in Santo Domingo, and ADEPE in Moca) will probably receive them during the current period instead. This assumes that the accounting information required by FONDOMICRO, and being organized with the assistance of the CPM technical assistance programs, is available and reliable.

ADOPEM is planning to open a second letter of credit in order to be able to amplify its current credit line facility with FONDOMICRO.

FONDOMICRO will complete its credit program design work with MUDE and assist in the implementation of a pilot SME program.

Technical assistance will continue in ADEMI, FDD, ADOPEM, ASPIRE, FONDESA, EDUDELIC, ADEPE, La Candelaria, and MUDE.

Training workshops will be conducted in Objectives Setting and Planning (2), Technical Report Writing (1), Credit Analysis (2), and Micro and Macroeconomic Topics for SME Credit Analysts (1).

Michigan State University, under the GEMINI Buy-in, will carry out the monitoring tasks in both test and control groups, required by the credit impact evaluation methodology adopted by FONDOMICRO.

FONDOMICRO will incorporate into its MIS and that of client NGOs the adjustments required by the Microenterprise Monitoring System (MEMS).

GEMINI technical assistance no-cost and add-on extensions will be negotiated during the period. Based on an internal needs assessment, technical assistance provided will all be short-term, and the long-term advisor will depart post o/a November 1.

*Management Information System.
**CPM: Credit Program Management.

IA. BACKGROUND DATA

Project Title: AIDS Prevention
 Project Number: 517-0256
 Date of Authorization: original 00/00/00 amendment 08/02/91
 Date of Obligation: original 08/20/88 amendment 08/02/91
 PACD: original 09/20/92 amendment 00/00/00
 Implementing Agencies: Program for Control of Sexually Transmitted Diseases & AIDS (PROCETS); 5 Local NGO's
 Major Contractors: Academy for Educational Development (AED), Contract; and Family Health International (FHI), Cooperative Agreement.
 AID Project Manager: Sarah George
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: 01/10/89* Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year:
 The Number of such Audits Contracted for/Completed:
 Date of Last Audit: 07/10/91 Next Audit: 00/00/00

*Worldwide Evaluation

1B. FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 2,300,000	amended to \$ 2,799,582
Amount Obligated:	DA Grant: original	\$ 2,300,000	amended to \$ 2,799,582
Amount Committed:	Period:	\$ N/A	
	Cumulative:	\$ 2,799,582	
Accrued Expenditures:	Period - Projected:	\$ 888,127	
	Period - Actual:	\$ 888,127	
	Cumulative:	\$ 2,799,582	
	Period - Next:	\$ - 0 -	
Counterpart Contribution:	Planned:	RD\$ 9,000,142	PL-480
	Actual:	RD\$ 3,725,142**	
% LOP Elapsed:		100%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		100%	
% of Total Auth. Exp.		100%	

(**) This amount was erroneously typed on last SAR. It should have read \$3,300,142.

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: The project supports the Mission's Strategic Objective No. 2 to "increase socio-economic participation of lower-income groups" by reducing the incidence of HIV transmission.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

The purpose of the project is to reduce the rate of growth of HIV transmission in the Dominican Republic.

III. PROJECT DESCRIPTION

The AIDS Prevention Project is a four-year project comprising activities carried out under buy-ins to two centrally-funded projects AIDSTECH (Family Health International) and AIDSCOM (Academy for Educational Development). The Project is implemented through PROCETS, as well as other organizations in the Dominican Republic. Programmed activities are related to the following major intervention areas: Prevention of Sexual and Blood Supply Transmission, Educational Campaigns, and Institutional Development.

In addition to the above interventions, the project includes research activities which allow for the design of more effective intervention activities.

IV. PROJECT STATUS

<u>Planned EOPS*</u>	<u>Progress To Date</u>
1. HIV seroprevalence among high risk behavior groups, (sexually transmitted disease patients, and Haitian residents) has not increased more than 5 percentage points over the baseline (less than 6.4% for female sex workers; 8% for sexually transmitted diseases patients; and 18% for sexually active Haitian residents).	As of Mar. 90 (the most current data) seroprevalence rates were: -Sexual Transmitted Diseases patients 3-5%. -Sexually active Haitian residents 9.3% -Pregnant women 0.94%
2. HIV seroprevalence among the general population (measured thru blood donor data) has not increased more than 1% over the 1986 baseline (1.5% for blood donors).	By mid-1989, the seroprevalence rate for blood donors was 0.9%.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

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3. % of general population with basic knowledge of AIDS transmission and prevention. A 1988 Knowledge, Attitudes and Practices (KAP) Survey showed that 97% understand AIDS transmission and risk behavior. Similar levels were found in a 1991 KAP Study in the Capital.

(*) Due to the long gestation of the AIDS virus, and the lack of a unified seroprevalence surveillance system, these indicators cannot be taken as actual measures of program performance.

B. Major Outputs	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Sentinel studies of high risk behavior groups in 2 geographic areas. (AIDSTECH)	2	1	3	0	1	2	100
2. Needs assessment for the national blood screening program. (AIDSTECH)	1	0	1	0	0	1	100
3. Annual monitoring of blood banks. (AIDSTECH)	3	0	2	0	1	3	100
4. Design and implementation of 2 radio and T.V. long-term educational campaigns for the general population. (AIDSCOM)	2	1	4	1	0	3	50
5. Condom use study with female sex workers. (AIDSCOM)	1	1	1	0	0	1	100
6. KAP studies with high risk behavior groups. (AIDSCOM/AIDSTECH)	6	1	1	0	2	7	117
7. Design & production of manuals/materials	24	1	23	2	8	30	125%
8. Training of Staff	208	270	431	60	460	581	279%
9. Private sector mobilization in support of the National AIDS Plan. (No. of firms)	3	1	5	2	3	6	200%
10. A.I.D.-supplied condoms (000)	5.25	0.6	7.7	-0-	0.7	8.4	161%

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C. Other Accomplishments and Overall Status

After consideration of CARE's proposal to use the PVO Co-Financing Project as the umbrella organization for continuation of the AIDS activities beyond the PACD, Mission decided to do a OYB transfer to the AIDSCAP project due to lack of time.

Mission assisted AIDSCAP in identifying candidates for the position of Resident Advisor. The position was filled with a local hire. Mission briefed her extensively.

Effective Bridge funding from AIDSCAP was obtained for NGOs working in AIDS prevention, while new subagreements are being prepared.

Property acquired by AIDSTECH and AIDSCOM was transferred to AIDSCAP.

Free airtime was obtained for existing radio and spots with the assistance of USIS.

Negotiations were conducted for AIDSCAP team to assist Mission in assessing the Mission involvement in AIDS prevention activities in the future.

D. Women in Development

The AIDS Prevention Project addresses WID goals both directly and indirectly, by virtue of its educational activities in the general population as well as targeted populations. Since the major mode of the transmission of the AIDS virus is sexual, the direct involvement with women as target groups include education, counselling, medical checkups and condom distribution to high risk behaviour groups and specifically commercial sex workers, 90% of whom are female. General Population activities reach women as target populations indirectly since they include mass media campaigns aimed at promoting condom use and "safe sex" messages, which reach equal proportions of the population in terms of gender. Other activities which reach equal proportions of the population include blood bank system improvement, improved HIV testing procedures, and research activities designed to understand the nature of sexual behaviour in the Dominican Republic with a view to refining educational campaigns and methodologies.

E. Problems and Delays

Extensive negotiations with PROCETS for the broadcasting of the Mass Media Campaign terminated. No positive outcome was obtained from negotiations, and the second radio and T.V. campaign was never implemented.

F. Major Activities or Corrective Actions During the Next Six Months

Project terminated. Neither an audit nor a PACR are needed since this project was a Buy-in to two centrally funded projects.

STRATEGIC OBJECTIVE NO. 3

**Increased Availability of Water Needed
for Sustained Economic Development**

IA. BACKGROUND DATA

Project Title: On-Farm Water Management
 Project Number: 517-0159
 Date of Authorization: original 06/30/83 amendment 00/00/00
 Date of Obligation: original 06/30/83 amendment 00/00/00
 PACD: original 09/30/88 amendment 03/30/93
 Implementing Agencies: National Institute of Hydraulic Resources (INDRHI)
 Major Contractors: Utah State University
 AID Project Managers: Kenneth B. Wiegand and Odalis Pérez
 Status of Cps/Covenants: All met
 Date of Last Evaluation: 03/19/90 Next Evaluation: 03/05/93
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 12/00/91 Next Audit: 00/00/00
 (Non Federal Audit)

IB. **FINANCIAL DATA** (Note: Data reflect DEOB of FY 1985 and prior M Account Loan balances and REOB as a HB-3 Grant)

Amount Authorized:	DA Loan:	original	\$ 12,000,000	amended to	\$ 11,151,242
	DA Grant:	original	\$ 848,758	amended to	\$
Amount Obligated:	DA Loan:	original	\$ 12,000,000	amended to	\$ 10,029,927
	DA Grant:	original	\$ 848,758	amended to	\$
Amount Committed:	Period:	(L)	\$(1,098,217)	(G)	\$ 848,499
	Cumulative:	(L)	\$ 10,029,927	(G)	\$ 848,499
Accrued Expenditures:	Period - Projected:	(L)	\$ 500,000	(G)	\$ 0
	Period - Actual ¹ :	(L)	\$ 21,641	(G)	\$ 272,738
	Cumulative:	(L)	\$ 10,029,927	(G)	\$ 272,738
	Period - Next:	(L)	\$ 0	(G)	\$ 134,262
Counterpart Contribution:	Planned:	(L)	RD\$ 29,600,000	(G)	\$ 000,000
	Actual:	(L)	RD\$ 21,038,046 ²	(G)	\$ 000,000
% LOP Elapsed:		(L)	100%	(G)	0%
% of Total Auth. Oblig.		(L)	90%	(G)	100%
% of Total Oblig. Exp		(L)	100%	(G)	32%
% of Total Auth. Exp.		(L)	90%	(G)	32%

¹ Project total:\$477,339 excluding accruals ² RD\$6.4 million disbursed by INDRHI.

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The OFWM Project contributes to achieving the Mission Strategic Objective No.3 by: (1) applying and promoting environmentally sound water management practices thus improving water quality, streamflow pattern and volume of useable water; and (2) increasing participation of NGOs in promoting law/institutional reforms for a more rational management of the water/natural resources of the DR.

Percent of LOP Funds Relating to Strategic Objective III: 100%

IIB. PROJECT PURPOSE

To strengthen GODR capability to: (a) effectively plan the development of its water resources for irrigation, (b) plan and operate irrigation systems, (c) support increased agricultural productivity under irrigation and (d) prevent and/or correct the deterioration of land resources already under irrigation.

III. PROJECT DESCRIPTION

Water users in the YSURA and PRYN irrigation districts will be organized into associations which elect a Board of Directors. These Boards will contract private firms to operate and maintain their irrigation systems. The Boards will establish water fee structures and collect fees to pay their contractors. The project will assist INDRHI to organize this task and will fund rehabilitation and cleaning of the YSURA and PRYN systems. The project will develop specifications for operating and maintaining rehabilitated parts of the systems. The project will also fund drainage improvement efforts and on-farm water management activities.

IVA. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Improved capability for management of the irrigation water systems in the DR through strengthening of human and institutional resources.	12 water users associations (WUAs) already are into their fifth year of experience managing the two targeted irrigation systems.

PROJECT STATUS REPORT
April 1, 1992 - September 30, 1992

A B X C

IVB. Major Outputs

	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1.0 Establish 2 Irr. Systems: The PRYN System (5,220 Has.- 2,000 Farmers) and YSURA (6,981 Has.- 3,800 Farmers).							
1.1 WUAs organized	10	0	10	0	0	12	120
1.2 No. of Water users paying water fees to WUAs:							
1987/88	5,382	0	0	2,000	2,663	2,663	49
1988/89	5,382	2,000	2,000	1,000	1,010	3,673	68
1989/90	5,382	1,000	3,000	0	1,547	5,220	97
1990/91	5,382	0	3,000	50	30	5,250	98
1991/92	5,382	50	3,050	0	0	5,250	98
1992/93	5,382	0	3,050	0	0	5,250	98
1.3 Kms. rehabilitated							
a. Irrigation	306.5	0	179.0	0	0	158.3	52
b. Drainage	210.1	2	222.1	0	0	388.5	185
2.0 On-Farm Water Mgt. for 2 Pilot Areas: PRYN (750 Has.- 150 Farmers); and YSURA (400 Has.- 210 Farmers).							
2.1 On-Farm irrigation efficiency increased to 50% (hectares).	1,150	0	200	0	0	200	17 ¹
2.2 Hectares leveled.	1,150	0	1,150	0	0	1,184.4	103
2.3 Farm hectares benefitting with new:							
(a) Drainage:	1,150	200	1,233	0	200	2,160 ²	187.8
(Km. of drains)	100	4	105	0	14.5	108.3	108.3
(b) Irrigation canals and structures:	1,150	0	1,150	0	0	1,492.5	130
(Km. of canals)	N/A	0	13	0	0	14.0 ²	N/A
3.0 Training							
3.1 (a) No. of Farmers:	5,000	40	5,400	0	40	5,250 ³	105 ³
(b) No. of Off-Shore:	40	0	40	0	0	75	188
3.2 Degree Training.	26	0	26	0	0	25	97

¹ Corrections from previous SARs. On-Farm irrigation efficiency increased to 40%-45% in 950 hectares (300% increase with respect to initial conditions in pilot areas). Irrigation efficiency is dramatically increased by leveling the land with laser controlled equipment.

² Including farm areas out of the pilot areas.

³ Corrections from previous SARs.

IVC. Other Accomplishments and Overall Status

1. Because of its remarkable success, the On-Farm Water Management Project, described by evaluators in 1990 as one of the top-five "turnover" projects in the world, played a key role in the production of the videotape version of Dr. Elinor Ostrom's book "Crafting Institutions for Self-Governing Irrigation Systems". This book, produced by the International Center for Self-Governance (ICSG), focusses on the socio-institutional components needed for any irrigation project to succeed and presents OFWM/Water User Associations as a model to replicate.

The most recent Quarterly Review (April-June 1992) and Project Committee meeting (October 13, 1992) indicate that the project is on schedule with respect to achieving its objectives and resolving the issues raised in the previous SAR. Amendment of the technical assistance contract with USU (with only one long-term advisor to PACD) is in process.

The audit firm Sotero Peralta & Asociados completed review of project financial documentation and reported to INDRHI and the Mission. Based on this report and discussions with INDRHI representatives, the auditors recommended that questioned costs (RD\$2,896,490) of the RIG-managed-non-federal audit should be reduced to RD\$581,910 and that a bill for collection in this amount should be issued to INDRHI. This determination allowed the Mission to request RIG/A/T to close the pending audit recommendation.

Project field activities reactivated with respect to last semester. The GODR has already approved budgets in the amounts of RD\$12.5 million for YSURA (Azua) and RD\$14.1 million for PRYN I (Santiago), all for completing the drainage systems. With a disbursement of RD\$6.4 million the project constructed 14.5 Km. of drains (field and main drains) in Azua (Lateral No. 1, Los Negros-Asentamiento 2-C area) and reclaimed 200 additional hectares of land. This drainage system will allow to reclaim 1,000 hectares more.

With the assistance of USU advisors and project personnel, INDRHI continued efforts to replicate the project throughout the nation. By the end of the year, eight new Water Users Associations (4,000 farmers in total) will take responsibility for the management and maintenance of the irrigation systems of Bani, San Juan de la Maguana, Dajabón, Monte Cristi, Aglipo (Nagua), and Alto Yaque del Norte (13,000 hectares in total). The EEC-financed irrigation system of Pedernales will be transferred to farmers by first quarter, 1993.

The GODR has budgeted about RD\$45 million for land reclamation and consolidation in Bajo Yaque del Norte and Bani. To support this activity, INDRHI is in the process of purchasing 2 additional US made drain-tile laying machines (\$250,000 each).

2. Women in Development

Many of the water users are women who directly manage their own farming operations. Nearly all the women in the project areas participate in the production cycle, especially planting and harvesting. Women also dominate informal production and marketing activities which provide an important source of income to farming families.

IVD. Problems and Delays

Loan funds (M account) in the amount of \$1,970,073 were deobligated from the bilateral agreement with the GODR. Parallely, \$848,758 were reobligated as a HB-3 Grant. No amendments were made to the current bilateral agreement to reflect these changes. All necessary amendments will be made by next quarter.

Pending amendment of the TA contract delayed payment of USU vouchers. Amendment will be made by next quarter under a reduced SOW and only one long-term advisor.

Based on a report by the CPA firm Sotero Peralta & Asociados, contracted by INDRHI to review and complete project financial documentation, the Mission is proposing to RIG/A/T the reduction of the RIG-managed-non-federal audit questioned costs from RD\$2,896,490 to RD\$581,910. A bill for collection will be issued and the RD\$581,910 deducted from INDRHI's pending reimbursement request, whose amount to pay will be determined by COM.

IVE. Major Activities or Corrective Actions During the Next Six Months

1. Amendment of the current bilateral agreement with the GODR to reflect reobligation of project funds as a HB-3 Grant.
2. Amendment of the technical assistance contract with USU, which will allow for only one long-term advisor (in drainage and project close-out activities). Issuance of a PIL to answer INDRHI's letters which request TA extension.
3. Issue bill for collection to INDRHI and close RIG audit recommendation; review of INDRHI's documentation in support of last reimbursement request.
4. Standard end-of-project evaluation during QTR 2, FY 93. This evaluation will focus upon (but not limited to) the achievement of the project amendment objectives.
5. The Mission will initiate standard close out of all project activities. This includes the preparation of a completion report, inspection of project-funded inventory and close out of the technical assistance contract with USU. The project will be thoroughly closed out by QTR 3, FY 93.

STRATEGIC OBJECTIVE NO. 4
Participatory Democratic Reform

IA. BACKGROUND DATA

Project Title: Democratic Initiatives
 Project Number: 517-0265
 Date of Authorization: original 03/11/92 amendment 00/00/00
 Date of Obligation: original 03/12/92 amendment 00/00/00
 PACD: original 03/11/2002 amendment 00/00/00
 Implementing Agencies: Pontificia Universidad Católica Madre Y Maestra (PUCMM)
 Major Contractors: None
 AID Project Manager: Manuel Ortega/Thomas F. Miller
 Status of CPs/Covenants: None
 Date of Last Evaluation: 00/00/00 Next Evaluation: June/Dec. 1994
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: March 1993
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA/Grant: original	\$ 9,000,000	amended to \$N/A
Amount Obligated:	DA/Grant: original	\$ 1,000,000	amended to \$N/A
Amount Committed:	Period: "	\$ 1,000,000	
	Cumulative:	\$ 1,000,000	
Accrued Expenditures:	Period - Projected:	\$ 500,000	
	Period - Actual:	\$ 155,615	
	Cumulative:	\$ 155,615	
	Period - Next:	\$ 400,000	
Counterpart			
Contribution:	Planned:	\$ 3,000,000	
	Actual:	\$ 0	
% LOP Elapsed:		8%	
% of Total Auth. Oblig.		9%	
% of Total Oblig. Exp.		16%	
% of Total Auth. Exp.		2%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: This project will directly contribute to Mission Strategic Objective No. 4 "Participatory Democratic Reform" by helping strengthen and expand citizen participation in the political process as well as their involvement in the promotion of democratic reforms.

Percent of LOP Funds Relating to Strategic Objective IV: 100%

IIB. PROJECT PURPOSE

To strengthen the democratic process in the Dominican Republic by promoting a more dynamic democratic culture; facilitating and encouraging involvement by citizens in the political process; and enhancing governmental efficiency and impartiality.

III. PROJECT DESCRIPTION

The project will support initiatives presented by Dominican NGOs and other private sector institutions to strengthen the democratic system. It will have a flexible design enabling it to adapt to Dominican priorities as the national political situation evolves during the decade. The project was designed through the collaboration of a widely representative group of Dominicans and will be administered by PUCMM, a private Dominican University. The project will focus on two priority areas: an ongoing program of education about democracy at all levels of the Dominican society to promote wider citizen participation in the political process; and a series of initiatives in support of reform and modernization of the political system in such priority areas as the electoral system, the civil service, and the judiciary.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Wide acceptance by citizens, intermediate groups, parties and leaders of basic democratic values and attitudes.	
2.	Increased percentage of citizens well informed about the political system, confident of their roles in it, and actively participating in shaping their daily lives.	
3.	A more fair and effective civil service system in operation.	
4.	Improved public services at national and local levels.	
5.	A government more open to people's expressed needs.	

60

- 6. Effective mechanism in place providing opportunities to influence the political decision making process.
- 7. Greater participation of women in the political process.

NOTE: Between Nov. 1992 and March 1993, mechanisms for impact-assessing will be developed by PUCMM's Operational Unit consisting of a survey program (one baseline and four periodic surveys). Through these mechanisms, indicators will be developed to measure progress toward the achievement of the above-mentioned planned EOPs and for Major Outputs below numbers 1, 4, and 5.

B. Major Outputs

	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. A core group of NGOs and other public interest organizations as a major force in shaping changes in public opinion and the political process.							
2. Institutional development:							
a. Operational Unit established	1	1	1	0	1	1	100
b. Consultative Council appointed	1	1	1	0	1	1	100
c. Selection Committee appointed	1	1	1	0	1	1	100
3. Core instrumental Activities:							
a. Inventory of social and political institutions	1	0	0	1	0	0	0
b. Survey research plans	5	0	0	1	0	0	0
c. Democratic forums for consensus building	3	0	0	1	0	0	0
4. Improved citizens knowledge, attitudes and participation in the democratic political process through a program of education on democracy at all levels of Dominican Society.							
5. State reforms, for illustrative purposes only, such as a more open and fair electoral system and process, a stronger and more independent judiciary, and the implementation of the Civil Service Law.							
6. Sub-grants awarded	75	0	0	3	0	0	0

61

C. Other Accomplishments and Overall Status

- 1) All nine major activities planned for this six month period were accomplished. These activities were:
1. PUCMM selected, with A.I.D. concurrence, the OU personnel.
 2. PUCMM's OU started operations.
 3. PUCMM and A.I.D. selected the first Consultative Council (CC) for the period April 92-March 93.
 4. CC approved its internal regulations.
 5. PUCMM, CC and A.I.D. agreed on a list of criteria to approve waivers to the 50% rule regarding counterpart contributions.
 6. PUCMM selected, with CC and A.I.D. concurrence, a roster of candidates for the Selection Committee.
 7. PUCMM and CC designed, with A.I.D. approval, the first Annual Work Plan (April 1992-May 1993).
 8. PUCMM compiled, with CC and A.I.D. concurrence, the Manual of Participation and the Guide for Preparation of Proposals.
 9. PUCMM and CC will promote the project among NGOs and the larger society.

2) Women in Development:

The project seeks to open the political process to greater and more equitable involvement from all elements of society. Women, who, as a group, have not been fully included in that process, should benefit disproportionately.

D. Problems and Delays

Due to the democratic nature of the decision making process within the project, a longer period was required to establish all the administrative functions than was anticipated at the time of the project design. This delay in time prevented the obligation of sub-grants during this semester.

E. Major Activities or Corrective Actions During the Next Six Months

1. PUCMM and the CC will continue to promote the project among NGOs and the larger society through meetings, press conferences, leaflets, etc.
2. An inventory of Dominican social and political institutions with experience in the DI field will be compiled.
3. The design of a survey research plan to measure project impact will be completed.
4. The base line survey on values, beliefs, attitudes and opinions concerning Dominican democracy and its institutions will be initiated.
5. Three initiatives in democratic education, electoral reform and/or implementation of the civil service law will be approved and initiated from among the approximately 15 concept papers expected to be submitted to PUCMM before the end of 1992.
6. The Operational Unit will perform an internal evaluation of the first year of the project.

LOCAL CURRENCY PROJECT

1A. BACKGROUND DATA

Project Title: Integrated Pest Management
Project Number: 2.07/B
Date of Authorization: original 07/26/90 amendment
Date of Obligation: original amendment
PACD: original 06/30/95 amendment
Implementing Agencies: Dominican Agribusiness Council (JAD)
Fundación de Desarrollo Agropecuario (FDA)
Secretaría de Estado de Agricultura (SEA)
AID Project Manager: Kenneth B. Wiegand
Status of CPs/Covenants: N/A
Date of Last Evaluation: 00/00/00 Next Evaluation: 00/00/00
Planned Number of Non-Federal Audits during Fiscal Year: 0
The Number of such Audits Contracted for/Completed: 0
Date of Last Audit: 00/00/00 Next Audit: 00/00/00

1B. FINANCIAL DATA

Amount Programmed:	LC Grant: original	RD\$7,500,000	Amended to: \$N/A
Amount Approved:	LC Grant: original	RD\$7,500,000	Amended to: \$N/A
Amount Released:	Period:	RD\$2,277,000	
	Cumulative:	RD\$4,074,529	
Accrued Expenditures:	Period - Projected:	RD\$1,300,000	
	Period - Actual:	RD\$ 969,619	
	Cumulative:	RD\$3,372,862	
	Period - Next:	RD\$1,300,000	
Counterpart Contribution			
(SEA, FDA, JAD) Planned:		RD\$8,352,548	
	Actual:	RD\$2,456,595	
% LOP Elapsed: (32/60 mos)	53%		
% of Total Authorization Obligated	100%		
% of Total Obligation Expended	45%		
% of Total Released: (RD\$4,074,429)	83%		

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project directs itself to the Mission's Strategic Objectives I of increased and diversified external trade.

Percent of LOP Funds Relating to Strategic Objective I : 100%

IIB. PROJECT PURPOSE

To establish a self-sustaining, integrated pest management (IPM) program nationwide, with an emphasis on applied research and extension activities, to enable increases in agricultural production and productivity.

III. PROJECT DESCRIPTION

The five year program will establish four regional IPM Centers to undertake laboratory and field research, farm trials and demonstrations, and training activities among technicians, producers and farm workers, concentrating upon insect pests and related plant diseases which are of immediate concern to producers. Each Center will establish its own research facility (or coordinate with existing facilities, e.g., USAID, ISA). Initial research will focus upon (a) analyses of regional IPM status and needs, (b) the production and release of existing beneficial insects and increased protection for natural pest controls, (c) analysis and introduction of biological control agents useful in reducing white fly, trips and other pest infestations, and (d) determination and dissemination of safer and less environmentally degrading chemical pesticides. Training will concentrate upon safe utilization of pest reduction agents and the benefits of protecting natural pest predators. The program is funded via Local Currency donations of RD\$3,175,000 (from 517-0255) and RD\$4,325,000 (from Section 416-FY88), and donations from the following entities: SEA RD\$2,750,000; ADF RD\$3,500,000; JAD Members RD\$2,282,548.

IV. PROJECT STATUS

A. Planned EOPS

B. Progress To Date

- | | |
|---|---|
| <ol style="list-style-type: none"> 1. Four regional IPM Centers operating under a locally organized board of directors composed of agricultural producers, businessmen and concerned citizens, working under the overall coordination of a national council. The national council, charged with coordinating the program nationwide, will include representatives of SEA, ADF, and JAD. 2. The program shall be administered by JAD. 3. All research activities shall be coordinated through ADF. 4. Regulatory, and available technical and material resources shall be provided by SEA. | <p>Three IPM Regional Centers have been established and a regional sub-center in Constanza. Final plans for the fourth center in Hato Mayor are being completed.</p> <p>Administration fully integrated into JAD.</p> <p>All research proposals are reviewed and many partially funded by the ADF.</p> <p>Regulations were promulgated, are periodically updated, and technicians and vehicles were assigned.</p> |
|---|---|

C. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1. Regional IPM Centers	4	1	3	1	0	3	75
2. Training:							
Technicians	800	150	600	150	370	1186	148
Producers	500	50	200	50	706	2000	400
Farm Workers	3,000	500	1700	500	na	na	na
3. Research - Number of Projects	200	20	110	10	12	98	50

na = not available

D. Other Accomplishments and Overall Status

The project is on schedule. Actual field experience by tomato producers who have been combatting White Fly infestations in recent years utilizing the IPM techniques indicate an RD\$400 per tarea reduction in production costs; for the 100,000 tareas normally put into tomato production, this represents a RD\$30 million savings. Similarly, tobacco producers report a cost reduction from RD\$300 to RD\$21 per tarea, thus an RD\$279 per tarea cost reduction; over 150,000 tareas are planted yearly to tobacco.

E. Problems and Delays

No significant problems or delays have been experienced. However, industrial tomato production will apparently be severely curtailed this Fall in the Azua Valley, affecting over 75,000 residents who depended upon this yearly cash crop, because of a U.S. firm's violation of a SEA/IPM quarantine on certain plant varieties just prior to the tomato planting season. The peppers, which were planted in violation of the prohibition, were subsequently examined by a top U.S. plant virologist contracted by the IPM program. The plants not only contained the dreaded tomato virus, but several other previously unintroduced strains of golden mosaic virus, which affects red beans.

F. Major Activities or Corrective Actions During the Next Six Months

The fourth regional center in Hato Mayor will be inaugurated within three months.

63

III. FOOD AID

IA. BACKGROUND DATA

Project Title: PL-480 Title II
 Project Number: N/A
 Date of Authorization: original N/A amendment N/A
 Date of Obligation: original N/A amendment N/A
 PACD: original N/A amendment N/A
 Implementing Agencies: Secretariat of State for Public Health and Social Assistance (SESPAS) and Secretariat of State for Education (SEEBAC)
 Major Contractors: CARE Dominicana (Cooperating Sponsor)
 AID Project Manager: Sarah George and John H. Thomas
 Status of CPs/Convenants: NA
 Date of Last Evaluation: 1983 Next Evaluation: 1993
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 1983 Next Audit: 2/93

1B. FINANCIAL DATA

Total Program Value:
 Commodities: Regular Program \$1.6 million
 Monetization \$1.9 million
 Transportation \$1.6 million
 Counterpart Contribution*Planned RD\$6,000,000
 Actual RD\$3,804,000

Tonnage Amount Authorized:
 Balance in stock at the beginning of period: 13,470 MT
 Call Forward Status: 172 MT

1st. Q: 800 MT	Date of Arrival:	12/91
2nd. Q: 7,520 MT	" " "	04/92
3rd. Q: 2,645 MT	" " "	06/92
4th. Q: 450 MT		At port

Amount Received (MT) :
 Period :
 Cumulative (FY) : 1,198
 Tonnage Distributed : 10,799
 Period :
 Cumulative (FY) : 1,129
 Balance in stock by the end of period : 11,803
 Project amount to be called forward next period : 2,241
 : 1,960

*Local Currency Program

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:
 The project supports the Mission's Strategic Objective No. 2 "to increase socio-economic participation of lower-income groups" by promoting health education activities to lower income mothers to empower them to prevent the causes of their children's poor health and by increasing primary school enrollment and attendance and improving academic performance of rural poor children.

Percent of LOP Funds Relating to Strategic Objectives II: 100%

IIB. PROJECT PURPOSE

- 2.1 Maternal Child Health (PROMI) To empower mothers to understand and prevent the causes of their children's poor health in order to improve the health status of children under three years old.
- 2.2 Nutrition Recovery Center Project (PROCERN) To recuperate acutely malnourished children on a permanent and timely basis.
- 2.3 Pre-School Program (CREZCA) To teach mothers of pre-school children basic health issues in order to reduce morbidity in children between three and six years old.
- 2.4 School and Other Institutional Meal Program (DEI) To increase the number of children who attend school regularly and complete their primary school studies, and to enhance learning capability.

III. PROJECT DESCRIPTION

PROMI is a health education project, concentrating on the areas of intrafamily food distribution, low birth weight, breastfeeding and weaning practices and the prevalence of infectious diseases. The project operates out of several clinics and also contains a food supplementation component for the most needy cases.

PROCERN is seen as complementary to PROMI. This project functions through government nutritional recuperation centers.

CREZCA operates through the non-formal government pre-schools providing a daily cooked meal to children aged between 3 and 6. Children attending and their mothers receive health education.

DEI targets the 10 westernmost provinces of the DR. A cooked meal is served on a daily basis to all students, to improve attendance rates and academic performance.

CREZCA and DEI have been merged to contain same operational objectives. Both projects are to be phased-out in June 1993.

67

IV. PROJECT STATUS

A/B EOPS/Outputs

1. Beneficiaries	Targets for <u>FY-92</u>	Planned this <u>Period</u>	Actual this <u>Period</u>	Average for <u>FY-92</u>	% of FY-92 <u>Targets</u>	Planned 2/ next <u>Period (FY 93)</u>
1.1 PROMI/PROCERN	36,910	18,375	15,208	15,467	42%	20,347
1.2 CREZCA	20,000	14,000	15,346	14,153	71%	12,052
1.3 DEI	80,000	80,000	30,172 ^{1/}	51,335	64%	79,673
1.4 NGO/Batey	12,000	0	0			0

2. Commodities Importation	Approved for <u>FY-92</u>	Projected this <u>Period</u>	Actual this <u>Period</u>	Cumulative for <u>FY-92</u>	% of FY-92 <u>Targets</u>	Planned 3/ next <u>Period (FY 93)</u>
2.1 Cornmeal	697	100	100	200	29%	0
2.2 Bulgur	890	405	220	520	58%	1,110
2.3 Beans	707	360	150	150	21%	700
2.4 Vegoil	376	90	88	88	23%	50
2.5 Rice	1,995	840	640	1,040	52%	100
2.6 Soybean Meal	8,805	0	0	8,805	100%	0

^{1/}Schools were closed for summer vacation during three months.

^{2/}Based on approved targets for FY 93.

^{3/}Based on approved MTS for FY 93.

C. Other Accomplishments and Overall Status

CARE Operational Plan update for FY 93 was approved for 4,689 MTS with a total program value for the Title II commodities of \$2,453,500. The approved level is based on 125,600 beneficiaries.

A mid-term evaluation for PROMI was completed by CARE. As a result of the recommendations some actions have been taken to date such as hiring of regional coordinators and extensionists and reassignment of work zones (five clinics to an extensionist) the simplifying of the beneficiaries and selection criteria. The birth spacing component was introduced, and training of the extensionists is underway.

Studies on community participation in DEI, its impact on attendance and performance and a pilot project designed for alternative DEI with locally produced food are underway. These studies will provide the MOE with quality information for decision-making after CARE phases out of DEI in June of 1993.

Discussions have continued within the Mission on optimization of use of Title II resources. The identified options will be shared with the CARE Director before determining the best course of action.

D. Women in Development

The Title II program benefits low income women. The Maternal Child-Health project aims to empower mothers to prevent the causes of their children's poor health. Moreover, roughly 50% of beneficiaries of supplementary food are pregnant and lactating women. Likewise, approximately 50% of the students receiving supplementary food under the School Meal Program are female.

E. Problems and Delays

No further progress has been made to date in the development of the MCH/Batey project. CARE, in consultation with the Mission, has decided to put in abeyance the start up of the MCH/Batey activity. This is at least partly because the UNFPA is already working in the Bateyes (place where the sugar workers live) and the EEC is currently designing a Batey Program.

F. Major Activities or Corrective Actions During the Next Six Months

1. Decision made on future utilization of Title II resources.
2. New Multi Year Operational Plan the next three to five years developed.

IV. CENTRALLY AND REGIONALLY
FUNDED PROJECTS

69

**IV. CENTRALLY AND REGIONALLY FUNDED
PROJECT**

A. Category "A" Projects

**(Support USAID/DR Strategic Objectives and Can Be
Managed by Mission)**

Strategic Objective No.1

Increased and Diversified External Trade

- I) 1. **Project Title and Number:** Private Sector Energy Development, 936-5738
2. **Funding level:** USAID/DR -- \$250,000 FY 93
3. **PACD:** 9/30/96
4. **Project Purpose:** To develop and implement an optimal USAID strategy on electrical energy supply and distribution in the DR.
5. **Nature and Extent of Mission Management:** Short-term technical assistance work performed under the Buy-in will be managed by TIO.
6. **Project Rating:** "A"

Strategic Objective No.2

Increased Socio Economic Participation of the Lower Income Group

- I) 1. **Project Title and Number:** Association for Voluntary Surgical Contraception, 936-3049
2. **Funding level:** USAID/DR -- Buy-in FY 92 \$100,000
3. **PACD:** 8/93
4. **Project Purpose:** To Assist PROFAMILIA and the Secretariat of Health in establishing a national training center for female sterilization and other clinical family planning.
5. **Nature and Extent of Mission Management:** Population PSC visits site once every several months; progress is reported at regular meetings with PROFAMILIA; PSC -- one-half day per month; USDH -- one-half day per year.
6. **Project Rating:** "A"

- II) 1. **Project Title and Number:** Family Planning Logistics Management, 936-3038
2. **Funding level:** Core -- \$15,000 per year
3. **PACD:** 8/96
4. **Project Purpose:** To assist the USAID/DR in planning and ordering contraceptive supplies for all projects, including Family Planning, Child Survival, and AIDS Prevention.
5. **Nature and Extent of Mission Management:** Arrange itineraries for each semi-annual trip and meeting with Population PSC and Deputy GDO. Population PSC prepares annual contraceptive order cable. PSC -- one day per semi-annual visit.
6. **Project Rating:** "A"
- III) 1. **Project Title and Number:** Education and Human Resources Technical Support, 598-0659
2. **Funding level:** Core -- FY 92 \$35,000
3. **PACD:** 8/17/94
4. **Project Purpose:** Promote Cross-Fertilization of information and support LAC Missions that are involved in basic education, management education, vocational education, and participant training.
5. **Nature and Extent of Mission Management:** Mission purchases TA as required. Current Buy-in is for Impact Evaluation of Development Training Project. USDH -- two days per year.
6. **Project Rating:** "A"
- IV) 1. **Project Title and Number:** AIDS Control and Prevention AIDSCAP, 936-5972, Cooperative Agreement AID/DPE-5972-A-00-1031-00.
2. **Funding level:** USAID/DR -- OYB transfer \$850,000
3. **PACD:** 9/96
4. **Project Purpose:** To reduce the rate of sexually transmitted HIV infection.

5. **Nature and Extent of Mission Management:** Currently, USAID/DR is treating AIDS activities as a separate project, with the Senior FSN in health as a project manager, 2 1/2 days per week. An AIDSCAP country advisor is responsible for day-to-day management. USDH -- 2 1/2 days per month.
 6. **Project Rating:** "A"
- V)
1. **Project Title and Number:** Contraceptive Social Marketing II, 936-3051.
 2. **Funding level:** USAID/DR -- Buy-in FY 92 \$100,000
 3. **PACD:** 9/93
 4. **Project Purpose:** To Assist PROFAMILIA in adding new contraceptive product line, to give consumers more choices and to enhance PROFAMILIA sustainability.
 5. **Nature and Extent of Mission Management:** Regular visits to Social Marketing Manager at PROFAMILIA and to pharmacies (sales point); PSC -- one-half day per month; USDH -- one-half day per month.
 6. **Project Rating:** "A"
- VI)
1. **Project Title and Number:** LAC Accelerated Immunization Phase II, No. 598-0786
 2. **Funding level:** USAID/DR -- OYB transfer \$400,000
 3. **PACD:** 7/31/96
 4. **Project Purpose:** To increase the percentage of immunizations among children up to age 5 in order to reduce infant and child mortality.

5. **Nature and Extent of Mission Management:** The participation of the USAID/ Dominican Mission in this activity is limited to participation in the Inter-Agency Coordination Committee (ICC) consisting of international donors and the Dominican Secretariat of Public Health (SESPAS). The ICC meets once monthly to review progress reports, approve annual action plans, effect annual program reviews, and advise on necessary program improvements and strategies. Involvement also includes occasionally mediating problem resolution among donor representatives and host-country representatives. PSC -- 2 days per month; USDH -- 2 days per month.

All financial reporting will be managed and monitored by LAC/DR/HPN.

6. **Project Rating:** "B"

Strategic Objective No.3

Increased Availability of Water Needed for Sustained Economic Development

- I) 1. **Project Title and Number:** Parks and Peril Project No. 598-0782
2. **Funding level:** Core -- \$50,000 per year
3. **PACD (best estimate, exact date unknown):** 1996 (?)
4. **Project Purpose:** Develop adequate on-site protection for 40 critically threatened Latin American and Caribbean parks and reserves that have global biological significance.
5. **Nature and extent of Mission Management:** Mission clearance of occasional TDY (PIP maintains a local Dominican coordinator through the Nature Conservancy). FSN -- one day per quarter; USDH -- one day per quarter.
6. **Project Rating:** "A"

- II) 1. **Project Title and Number:** Forestry Support Program
No. BST-5519.
2. **Funding level:** Unknown to USAID/DR
3. **PACD (best estimate, exact date unknown):** 1996 (?)
4. **Project Purpose:** To provide forestry technical assistance through the U.S. Forestry Service via a Regional Tropical Forester stationed at the University of Puerto Rico, Rio Piedras.
5. **Nature and extent of Mission Management:** Technical assistance available when requested at no cost to Mission. FSN -- one day per quarter; USDH -- one day per quarter;
6. **Project Rating:** "A"
- III) 1. **Project Title and Number:** Environmental and Natural Resources Policy and Training Project (EPAT)
No. 936-5555.
2. **Funding level:** Core -- \$40,000 (est.); USAID/DR -- Buy-In \$40,000 (est.)
3. **PACD:** 2001
4. **Project Purpose:** Advance recognition by developing country policy makers of the linkages between economic policy and environmentally sustainable development, and to assure that they have the technical resources to develop policies which remedy pollution, achieve sustainable use of natural resources, and ensure equitable economic development.
5. **Nature and Extent of Mission Management:** Technical assistance on-call to USAID/DR as needed. To be used in FY 93.
6. **Project Rating:** "A"
- IV) 1. **Project Title and Number:** Environmental Pollution Prevention Program (EP3) No. 936-5559.
2. **Funding level:** Core -- to be determined; USAID/DR -- Buy-in amount to be determined
3. **PACD:** 1997

4. **Project Purpose:** Provide technical field support in industrial pollution, prevention and control.
5. **Nature and Extent of Mission Management:** Technical assistance on-call to USAID/DR as needed. To be used in FY 93.
6. **Project Rating:** "A"

Strategic Objective No. 4

Participatory Democratic Reform

- I)
 1. **Project Title and Number:** Regional Administration of Justice, .598-0642
 2. **Funding level:** Core -- Data not available; USAID/DR -- no contribution
 3. **PACD (best estimate, exact date unknown):** At least FY 97.
 4. **Project purpose (in-country portion of project only):**
Current in-country activities are:
 - a) Establishment of a computerized Criminal Justice Statistics for Court Management System.
 - b) Short-term, in-country and regional, training of judges and prosecutors.
 5. **Nature and Extent of Mission Management:** Technical supervision of in-country activities which requires approximately 5% of one PSC's time, one hour per month by USAID/DR Front Office, and minimal clerical time. No financial management is performed.
 6. **Project Rating:** "A"
- II)
 1. **Project Title and Number:** Regional Legislative Management, 598-0770
 2. **Funding level:** Core -- Data not available; USAID/DR -- no contribution
 3. **PACD:** FY 93

4. **Project purpose (in-country portion of project only):**
In Country activity: Short-term TA by FIU one consultant, to design a plan for the modernization of the Dominican Congress. Activity completed in September 1992.
 5. **Nature and Extent of Mission Management:** Technical supervision of in-country activities, including serving as liaison between FIU and the Dominican Congress, which requires approximately 10% of one PSC's time, one hour per month by USAID/DR Front Office, and minimal clerical time. No financial management.
 6. **Project Rating: "A"**
- III)
1. **Project Title and Number:** American Institute for Free Labor Development (AIFLD) Project No. 598-0790
 2. **Funding level:** Core -- \$250,000 yearly (est.)
 3. **PACD:** 1993
 4. **Project purpose (in-country portion of project only):**
Assisting in the organized movement of independent, democratic labor unions which can effectively defend workers interests.
 5. **Nature and Extent of Mission Management:** USAID meets periodically with AIFLD personnel and coordinates project activities with other units of the Embassy. In conjunction with Embassy Mission team, provides country clearances, as appropriate. Requires roughly 1/2 day of A.I.D. USDH time. Embassy labor attache is more heavily involved and devotes some two or more days per month.
 6. **Project Rating: "A"**

B. Category "B" Projects

(Support Strategic Objectives and are beyond USAID/DR capacity to manage)

Project Titles and Numbers:

Cooperative Association of States for Scholarship, 517-0001

Demographic and Health Surveys II, 936-3023

Operations Research and Technical Assistance, 936-3030

Expansion and Improvement of Family Planning Services in Latin American and the Caribbean, 936-3043

Vitamin "A" Field Support Program, 936-5116

Systems Approach to Regional Income and Sustainable Resources, 936-5452

Mothercare, 936-5939

World Vision Centrally Funded Grant, 936-5951.01

Child Survival Action Program, 936-5951.13

WELLSTART (Breast Feeding, Maternal & Neonatal Health), 936-5966.05

Caribbean and Latin American Scholarship Program, 598-0661 & 597-0044

ICITAP, 598-0644. Please note that ICITAP is managed by State/DOJ.

Advance Training in Economics, 598-0774

AID/Cooperative Agreement-Pan American Development Foundation, OTR-0158-0087-00

Volunteers in Overseas Cooperative Assistance, Farmer to Farmer Program, PDC-000-CGS-6204

International Planned Parenthood Federation

University Development Linkages

C. Category "C" Projects

(Do not support Strategic Objectives)

Bean/Cowpea Collaborative Research Support Program (CRSP)
No. 931-1310. USAID will continue support as per special
agreement with AID/W.

HBCU Research Grant (Texas A&M- Prairie View) Sustainable
Agricultural Technologies (Title XII), No. 936-5053

REACH, No. 936-5968

PRITECH, No. 936-5969

Latin America and Caribbean Agricultural and Rural
Development Technical Services Project No. 598-0654

RTAC II, No. 598-0791

USAID/FVA Cooperative Agreement with ACD (APTLINK), No. OTR-
0192-A-00-9052

National Forest (USDA-FARSSA BST), No. R-AG-2188