



U.S. AGENCY FOR  
INTERNATIONAL  
DEVELOPMENT

~~7-11-88-215~~  
82282

MAR 30 1992

Mr. George L. Atkins  
Senior Vice President  
Public Affairs  
Humana, Inc.  
500 Main Street  
P.O. Box 1438  
Louisville, Kentucky 40201-1438

Subject: Grant No. EUR-0037-G-00-2014-00

Dear Mr. Atkins:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to Humana, Inc. (hereinafter referred to as "Humana" or "Grantee") the sum of \$2,491,454 in support of a program to establish a fully-functional cardiovascular surgery program at the Fundeni Clinic Hospital in Bucharest and the Mures Clinic Hospital in Tirgu-Mures, Romania, as more fully described in Attachment 2, entitled "Program Description".

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives through the estimated completion date of December 31, 1995. Funds disbursed by A.I.D. but uncommitted by the Grantee at the expiration of this period shall be refunded to A.I.D.

The total estimated amount of the program is \$2,491,454. Initial funding herein obligated is in the amount of \$1,080,000 (hereinafter referred to as "Obligated Amount"), and the incremental (additional) funding of \$1,411,454 may be provided, subject to availability of A.I.D. funds, after the effective date of this grant. Such incremental funding, if authorized, shall be provided through grant modifications. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount.

This Grant is made to the Grantee on the condition that the funds will be administered in accordance with the terms and conditions as set forth in this Cover Letter, Attachment 1, entitled "Schedule", Attachment 2, entitled "Program Description", and Attachment 3, entitled "Standard Provisions", which together constitute the entire Grant document and have been agreed to by your organization.

Please acknowledge receipt of this Grant by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

Sincerely,

*Diane M. Miller*  
Diane M. Miller  
Grant Officer  
Eastern Europe Branch  
Office of Procurement

**Attachments:**

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision "Certification Regarding Lobbying"

**ACKNOWLEDGED:**

HUMANA, Inc.

BY: *George L. Atkins*

TYPED OR PRINTED NAME: George L. Atkins

TITLE: Senior vice president of Public Affairs

DATE: April 7, 1992

**FISCAL DATA**

**A. GENERAL**

- A.1. Total Grant Amount: \$2,491,454
- A.2. Total Obligated Amount: \$1,080,000
- A.3. Project No.: 180-0037
- A.4. A.I.D. Project Office: EUR/DR/HR, Julia Terry
- A.5. Funding Source: A.I.D./W
- A.6. Paying Office: Office of Financial Management  
FA/FM/CMPD/DC - Room 700 SA-2  
Washington, D.C. 20523-0209
- A.7. DUNS No.: 04-944-4143
- A.8. TIN: 61-0647538

**B. SPECIFIC**

- B.1.(a) PIO/T No.: 180-0037-3-2622411
- B.1.(b) Appropriation: 72-11X1010
- B.1.(c) Allotment: 184-62-180-01-69-21
- B.1.(d) BPC: QAIX-92-32180-KG-12
- B.1.(e) Amount: \$1,080,000

ATTACHMENT 1

SCHEDULE

A. PURPOSE OF GRANT

The purpose of this Grant is to provide support for Partnerships in Health Care between medical facilities in the United States and medical facilities in Eastern Europe, as more specifically described in Attachment 2 of this Grant entitled, "Program Description" and as more fully described in the Grantee's Application (as revised) March 10, 1992, which is hereby incorporated by reference into Attachment 2.

B. PERIOD OF GRANT

B.1. The effective date of this Grant is the date of the Grant Officer's signature on the Grant Cover Letter, and the estimated completion date is December 31, 1995.

B.2. Funds obligated hereunder are available for program expenditures for the estimated period from the effective date of this Grant to approximately March 31, 1993.

C. AMOUNT OF GRANT AND PAYMENT

C.1. The total estimated cost of the program described in Attachment 2 of this Grant is \$2,491,454.

C.2. The total amount of the A.I.D. contribution to the total estimated program costs shown in C.1. above, to be provided through this Grant (hereinafter referred to as "Total Grant Amount") for the period shown in B.1. above is \$2,491,454.

C.3. A.I.D. hereby obligates the amount of \$1,080,000 (Hereinafter referred to as "Obligated Amount") for program expenditures during the estimated period set forth in B.2. above.

C.4. Payment shall be made to the Grantee in accordance with procedures set forth in the Standard Provision entitled "Payment - Cost Reimbursement", as set forth in Attachment 3.

D. GRANT BUDGET

D.1. The following is the Budget for this Grant. Except as specified in the Standard Provision of this Grant entitled "Revision of Grant Budget", as set forth in Attachment 3, the Grantee may adjust budget amounts within the total agreement amount as may be reasonably necessary for the attainment of program objectives.

3'

D.2. Budget

	Obligated Amount (03/31/92 - <u>3/31/93)</u>	Remaining to be Funded (4/01/93 - <u>12/31/95)</u>	<u>TOTAL</u>
(a) DIRECT COSTS			
Direct Labor	\$ 3,000	\$ 6,000	\$ 9,000
Admin. Costs	45,770	91,540	137,310
Participant Trng.	43,344	114,396	157,740
Travel/Per Diem	23,454		23,454
Expendable Supplies	559,342	1,150,658	1,710,000
Equipment	354,000		354,000
Subcontracts	70,800	141,600	212,400
Education Program	26,200	-	26,200
Other Direct Costs (Communication/Pass- ports/Visa/Misc.)	<u>2,860</u>	<u>4,800</u>	<u>7,660</u>
SUBTOTAL	\$1,128,770	\$1,508,994	\$2,637,764
(b) * Cost-Sharing:			
Administrative Costs	- 45,770	-91,540	-137,310
Direct Labor	- 3,000	- 6,000	- 9,000
TOTAL AMOUNT	\$1,080,000	\$1,411,454	**\$2,491,454

\*Reference L.3., Additional Standard Provisions For U.S. Nongovernmental Grantee's, clause entitled "Cost Sharing (Matching) (November 1985)".

\*\* A.I.D. funds may not be used to pay or supplement salaries of host country government personnel.

D.3. Inclusion of any cost in the budget of this Grant does not obviate the requirement for prior approval by the Grant Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Grant set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Grant, unless specifically stated in Section J. below.

E. REPORTING REQUIREMENTSE.1. Financial Reporting

E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Grant entitled, "Payment - Cost Reimbursement," as set forth in Attachment 3.

E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, (FM/CMP), Washington, D.C. 20523. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Grant.

E.1.(c) With the exception of the final financial report, all financial reports shall be submitted within 30 days following the end of the reporting period. The final financial report shall be submitted within 90 days following the estimated completion date of this Grant.

**E.2. Program Performance Reporting**

The Grantee shall submit the following:

**E.2.(a) Workplans**

The initial workplan shall include an implementation plan for the initial, authorized year of the project. It will be due within 45 days after the signature date of the grant, and shall indicate the timing, by month, of the various components and subcomponents of the program over the 12 month period of implementation. It shall indicate the responsible organization and/or individual for each subcomponent or activity.

At least 45 days before the end of the initial workplan period, Grantee shall submit a workplan for the next 12 month period. If Grant is authorized for a third year, a workplan for the third year of the project will be due at least 45 days before the end of the year covered by the second workplan.

**E.2.(b) Quarterly Reports by Reference to Quantifiable Indicators and Other Evaluation Benchmarks**

E.2.(b)(1) The Grantee shall submit five copies of quarterly program performance reports which coincide with the financial reporting periods described in Section E.1. above to the A.I.D. Project Office specified in the Cover Letter of this Grant, and to the A.I.D. Representative (or AID Affairs Officer, Economic Section at the Embassy) of the country where the program is being undertaken. These reports shall include quantitative and qualitative measures of performance; shall be submitted within 30 days following the end of the reporting period; and shall briefly present the following information:

E.2.(b)(1)(A) Implementation status including a comparison of actual accomplishments with the established evaluation benchmarks for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data shall be related to cost data for computation of unit costs.

E.2.(b)(1)(B) Reasons why established goals were not met, if applicable, including any problems or difficulties requiring U.S.G., possibly host country, or implementing organization attention.

E.2.(b)(1)(C) Summary financial report of all project expenditures, by subcomponent.

E.2.(b)(2) Between the required performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Grantee shall inform A.I.D. as soon as the following types of conditions become known:

E.2.(b)(2)(A) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any U.S.G., or possibly host country, assistance needed to resolve the situation.

E.2.(b)(2)(B) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

E.2.(b)(2)(C) If any performance review conducted by the Grantee discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Grant entitled "Revision of Grant Budget", the Grantee shall submit a request for budget revision to the Grant Officer and the A.I.D. Project Officer specified in the Cover Letter of this Grant.

E.2.(b)(3) Quarterly updates and proposed modifications of annual work plans, specifically to include travel projected for the subsequent quarter.

**E.2.(c) Annual Report of Project**

The annual report will be submitted to both EUR/DR, A.I.D. Washington and to the A.I.D. Representative (or the AID Affairs Officer, Economic Section at the Embassy) of the country where the program is being undertaken. The annual report will substantively review performance against planned quantitative and qualitative measures, including a discussion and analysis of the success of the program's impact per the established mechanism(s) for measuring the partnership's impact on (a) excess mortality and morbidity rates for the chosen medical problem in the area served by the Eastern European partner; (b) knowledge and skills of each partners' staff; and (c) the extent to which the partners incorporate joint activities into their individual operations. Incremental funding will be contingent on submitting complete reporting, effectively resolving implementation problems, as well as on the availability of funds and any revisions in the overall program structure that A.I.D. may require.

Within 60 days following the estimated completion date of this Grant the Grantee shall submit five copies of the final report as indicated in the preceding paragraph. It should include: (1) an executive summary of the Grant's accomplishments or failings; (2) a description of the Grant activities from its inception; (3) significance of these activities; (4) comments and recommendations; (5) a fiscal report that describes in detail how the Grant funds were used.

**E.2.(d) Evaluations**

**E.2.(d)(1)** The project will be closely monitored on a continuing basis by the Project Office shown in the cover letter of this Grant (under Fiscal Data, Item A.4.).

**E.2.(d)(2)** An independent evaluator will assess the effectiveness of the health care partnership. Grantee shall cooperate fully with the assessment of partnership activities.

**F. Closeout Procedures (OMB Circular A-110)**

1. This paragraph prescribes uniform closeout procedures for AID grants and cooperative agreements.

2. The following definitions shall apply for the purpose of this paragraph:

(i) Closeout. The closeout of a grant is the process by which AID determines that all applicable administrative actions and all required work of the agreement have been completed by the grantee and AID.

(ii) Date of completion. The date of completion is the date on which all work under grants is completed or the date on the award document, or any supplement or amendment thereto, on which AID sponsorship ends.

(iii) Disallowed costs. Disallowed costs are those charges to a grant that AID or its representative determines to be unallowable, in accordance with the applicable Federal cost principles or other conditions contained in the grant.

3. AID closeout procedures include the following requirements:

(i) Upon request, AID shall make prompt payments to a grantee for allowable reimbursable costs under the grant or agreement being closed out.

(ii) The grantee shall immediately refund any balance of unobligated (unencumbered) cash that AID has advanced or paid and that is not authorized to be retained by the grantee for use in other grants or cooperative agreements.

(iii) AID shall obtain from the grantee within 90 calendar days after the date of completion of the agreement all financial, performance, and other reports required as the condition of the grant. AID may grant extensions when requested by the grantee.

(iv) When authorized by the grant, AID shall make a settlement for any upward or downward adjustments to AID's share of costs after these reports are received.

(v) The grantee shall account for any property acquired with AID funds, or received from the Government in accordance with any provisions of this grant.

(vi) In the event a final audit has not been performed prior to the closeout of the agreement, AID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

#### **G. INDIRECT COST RATES**

Pursuant to the Standard Provision of this Grant entitled, "Cost Sharing (Matching) (November 1985)", and the Grantee's proposal; the costs for indirect expenses (administrative costs) represent a portion of the project or program costs not borne by the Federal Government. The Grantee agrees not to charge the Government any indirect or administrative costs under this Grant, and shall absorb as their cost sharing and matching any and all such related costs. The aforementioned administrative costs shall not be paid by the Federal Government under any other grant or agreement. Accordingly, indirect rates are not a part of this agreement.

#### **H. TITLE TO PROPERTY**

Title to property purchased by the Grantee under this Grant shall be vested in the Grantee. The Standard Provision of this Grant entitled "Title to and Use of Property (Grantee Title)" applies. Disposition of property shall be in accordance with said Standard Provision.

#### **I. PROCUREMENT AND (SUB)CONTRACTING**

##### **I.1. Authorized Geographic Code**

All services shall have their nationality in the United States (A.I.D. Geographic Code 000) or the Cooperating Country (Romania, A.I.D. Geographic Code 186), except as A.I.D. may otherwise agree in writing. The authorized source and origin for all goods/commodities shall be in accordance with the Optional Standard Provision entitled, "A.I.D. Eligibility Rules

for Goods and Services (November 1985)". Requests for deviations to this requirement must include a full justification to be submitted to the Project Office indicated in the cover letter of this Grant. Approval of the Grant Officer and the Project Office is required before procurement of this nature can be undertaken. Ocean shipping financed by A.I.D. under the program shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of the United States.

Although not yet implemented into the A.I.D. Handbooks, A.I.D. Geographic Code 935 has been amended to include the Cooperating Country.

**I.2. Procurement Cost Detail**

Whenever feasible, the lead U.S. grantee and/or its subgrantees will be responsible for purchasing the U.S. equipment and commodities required for the technical assistance components of the program. This equipment must be specifically and directly linked to the training programs undertaken under the various subcomponents. No drug or device procured in the performance of this grant shall be in violation of the Federal Food, Drug, and Cosmetic Act, as Amended, and related laws.

**I.3. Local Cost Financing**

Local cost financing is hereby authorized under this Grant, provided such financing falls within the legitimate needs of the Program Description of Attachment 2, and does not exceed the following limitations:

- a. Procurement locally of items of U.S. origin up to a per transaction limit of the local currency equivalent of \$100,000.
- b. Procurement locally of items of non-U.S. origin up to a per transaction limit of the local currency equivalent of \$5,000.
- c. Commodities and services available only in the local economy (no specific per transaction value applies to this category). This category includes the following items:
  - (1) utilities - including fuel for heating and cooking, waste disposal and trash collection;
  - (2) communications - telephone, telex, fax, postal, and courier services;
  - (3) rental costs for housing and office space;

(4) petroleum, oils, and lubricants for operating vehicles and equipment;

(5) newspapers, periodicals, and books published in the cooperating country, and

(6) other commodities and services (and related expenses) that, by their nature or as a practical matter, can only be acquired, performed, or incurred in the cooperating country.

In cases where local cost procurements are expected to exceed the above limitations, and authorization for such procurement does not already exist in the Grant, the Grantee must obtain approval from the A.I.D. Grant Officer prior to proceeding with the procurement.

Except as otherwise changed by the above limitations, the conditions of the Optional Standard Provision entitled Local Cost Financing (November 1988), hereby incorporated into this Grant, apply, including paragraphs (b), (c), (d), (e), and (f).

#### I.4. Government Owned Organizations

A Government Owned Organization, i.e., a firm operated as a commercial company or other organization (including nonprofit organizations other than public educational institutions) which are wholly or partially owned by governments or agencies thereof, are not eligible as suppliers of goods and commodities, commodity-related services, or services (other than commodity-related services), except as the Grant Officer may otherwise agree in writing.

#### I.5. Travel

The standard provision entitled "Air Travel and Transportation" which requires advance notification of the Project Officer of the Grantee's travel intentions overseas, is required. The Grantee is also required to provide advance notification of intention to travel to the Embassy or A.I.D. Mission in the Cooperating Country.

All air travel and transportation under this grant are required to be made on U.S. flag carriers to the extent service by such carriers is available, in accordance with the aforementioned Standard Provision.

#### J. SPECIAL PROVISIONS

For the purposes of this Grant, references to "OMB Circular A-122" in the Standard Provisions of this Grant shall include the A.I.D. implementation of this Circular, as set forth in Subpart 731.7 of the A.I.D. Acquisition Regulation (AIDAR) (48 CFR Chapter 7).

**J.2. Equipment and Other Capital Expenditures**

**J.2.(a) Requirement for Prior Approval**

Pursuant to the Standard Provisions of this Grant entitled "Allowable Costs" and "Revision of Grant Budget," the Grantee must obtain A.I.D. Grant Officer approval for the following:

Purchase of General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, the use of which is not limited only to research, medical, scientific, or other activities (e.g., office equipment and furnishings, air conditioning equipment, reproduction and other equipment, motor vehicles, and automated data processing equipment, having a useful life of more than two years and an acquisition cost of \$500 or more per unit.)

**J.2.(b) Approvals**

In furtherance of the foregoing, the Grant Officer does hereby provide approval for the following purchases for the two clinics. This authorization shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Section C. above):

1. \*anesthesia machines - used to maintain breathing during surgery
2. \*heart pumps - used to oxygenate the blood while the heart is stopped during surgery
3. \*operating room monitors - used during surgery to monitor the hemodynamics of the patient, (i.e. vital signs)
4. \*heater/coolers - used during bypass surgery to lower and raise the body temperature
5. \*defibrillators - electrical device used to restart the heart
6. \*temporary pacemakers - used to stimulate the electrical beats of the heart post surgery
7. \*HP - ICU monitors - used post-op to monitor the hemodynamics of the patient
8. \*EKG machines - used to monitor the beating of the heart and detect irregular heart beats
9. \*vents - used to assist in patient breathing post-operatively

\* Included in the cost of the equipment as a subcontract line item is a 20% service charge that covers installation of equipment, training, on-site repairs and maintenance.

**J.3. Salaries: HUMANA Employees**

Pursuant to the Standard Provision of this Grant entitled, "Cost Sharing (Matching) (November 1985)", and the Grantee's proposal; the costs for salaries represent a portion of the

project or program costs not borne by the Federal Government. The Grantee agrees not to charge the Government any salary costs under this Grant, and shall absorb as their cost sharing and matching any and all such costs related to this Grant. The aforementioned salary costs shall not be paid by the Federal Government under any other grant or agreement. Accordingly, salaries are not a part of this agreement, except as the Grant Officer may otherwise agree in writing. A.I.D. shall not be liable for reimbursing the Grantee, unless the Grant Officer agrees in writing, for any costs allocable to the salary portion of direct compensation paid by the Grantee to its employees or to subcontractor employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1) as periodically amended.

**J.4. Consultant Fees**

Compensation for consultants retained by the Grantee hereunder shall not exceed, without specific approval of the rate by the Grant Officer, either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years, or the maximum rate of a Foreign Service Officer, Class 1 (FS-1), as periodically amended, whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

**K. ORDER OF PRECEDENCE**

In the event of any inconsistencies in this Grant, they shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule  
Cover Letter
- Attachment 3 - Standard Provisions
- Attachment 2 - Program Description

## EXECUTIVE SUMMARY

### IDENTIFICATION OF THE PROBLEM

The need to enhance the access to quality care and treatment of cardiovascular disease patients in Romania is urgent. Cardiovascular disease accounts for 58% of the total mortality in Romania. Many of these deaths result from conditions and diseases that are treatable with modern technology and updated surgical techniques.

To address these issues, Humana Inc. and its Humana Heart Institute International (affiliated with Humana Hospital - Audubon), in partnership with the Ministry of Health of Romania, propose to establish a fully-functional cardiovascular surgery program at the Fundeni Clinic Hospital in Bucharest and the Mures Clinic Hospital in Tirgu-Mures, Romania.

### ANTICIPATED RESULTS

The proposed American/Romanian hospital partnership program is designed to:

1. increase the number of patients receiving surgical treatment for heart disease;
2. produce a more rapid return to full activity for the post-operative patient;
3. decrease the waiting time for an open-heart surgical procedure;
4. decrease medical costs; and
5. increase the public's understanding of how lifestyle and environmental factors influence their health.

With sufficient quantities of equipment and supplies, the open-heart operative caseloads of the Fundeni and Mures Clinic Hospitals are expected to double by the end of year two of this three-year proposed project. By the end of year three, the programs at each hospital are expected to be autonomously functional.

### PROGRAM DESCRIPTION

Our plan to address the urgent Romanian needs is to sustain a cooperative interaction throughout the three-year funding period. Elements of this partnership include intensive tutorials to introduce Fundeni and Mures health care teams to modern technology and surgical techniques at the Humana Heart Institute International (HHII). HHII medical teams will also conduct training programs at the two Romanian centers, which will include performing cardiovascular procedures, lecturing at regional seminars, and producing a medical publication. Additionally, Humana will outfit operating rooms at each hospital with modern medical and surgical equipment and will oversee installation, maintenance, and service of the technology. The partnership will develop public service education programs on the prevention of heart disease by controlling lifestyle, nutrition, and environmental factors.

## **EXECUTIVE SUMMARY (continued)**

### **TECHNICAL RESOURCES OF AMERICAN PARTNER**

Humana Inc., through the Healthcare Leadership Council's Romanian Assistance Project and in association with Baylor Health Care System, Abbott Laboratories and Johnson & Johnson, has already committed resources to training programs in various medical specialties and to the provision of ophthalmologic supplies and HIV-testing equipment for Romania. Humana's Chairman and Chief Executive Officer, David A. Jones, and Humana Heart Institute International's Director, Allan M. Lansing, M.D., Ph.D., have visited Romania as delegates of this project and have devised a needs list and work plan through the Ministry of Health. AID funding for our proposal will permit an extension of Humana's commitment.

Additionally, in partnership with Rotary International, Humana has established a Gift of Life program through which 46 children from Panama, Mexico, the Dominican Republic, Russia, Korea and Haiti have undergone open-heart surgery performed by Heart Institute physicians at Louisville's Humana Hospital - Audubon. Panamanian cardiac surgeons have participated in a tutorial program at the HHII and a new operating room is being built and equipped with Gift of Life funds.

The HHII sustains a high-volume cardiovascular surgery program with advanced technical interventions and clinical research protocols. Approximately 1,000 open-heart procedures are performed each year.

### **TECHNICAL RESOURCES OF ROMANIAN PARTNER**

The Romanian hospitals have adequate physician staffing for a more active surgical caseload, but inadequate facilities and supplies restrict the frequency with which they can use their operating rooms and the severity of illness they can safely treat. Consequently, their skills have a narrow application.

### **PROGRAM MANAGEMENT PROCEDURES**

Both the tutorial program and the purchase and installation of equipment will be overseen by a project manager from Humana Inc. The project manager will facilitate all aspects of the project in conjunction with the Humana senior vice president, Humana Heart Institute director and medical writer, the Lipid Center staff, the corporate controller and purchasing department of Humana Inc. The project manager will communicate regularly with the Romanian Ministry of Health, the directors of the Fundeni and Mures surgery programs, and the Romanian project field representative in Bucharest.

### **FUNDING REQUEST**

We are seeking AID funds in the amount of \$2,491,454 for a tutorial program, expendable and non-expendable equipment and supplies, and a public education campaign. An additional \$50,000 from the Healthcare Leadership Council will supplement the AID grant.

L. STANDARD PROVISIONS

L.1. The Standard Provisions set forth as Attachment 3 of this Grant consist of the following Standard Provisions marked by an "X", which are attached hereto and made a part of this Grant:

L.2. MANDATORY STANDARD PROVISIONS FOR U.S.,  
NONGOVERNMENTAL GRANTEES

- ( X ) Allowable Costs (November 1985)
- ( X ) Accounting, Audit, and Records (September 1990)
- ( X ) Refunds (September 1990)
- ( X ) Revision of Grant Budget (November 1985) —
- ( X ) Termination and Suspension (May 1986) —
- ( X ) Disputes (November 1989)
- ( X ) Ineligible Countries (May 1986)
- ( X ) Debarment, Suspension, and Other Responsibility Matters (March 1989)
- ( X ) Nondiscrimination (May 1986)
- ( X ) U.S. Officials Not to Benefit (November 1985)
- ( X ) Nonliability (November 1985)
- ( X ) Amendment (November 1985)
- ( X ) Notices (November 1985)

L.3. OPTIONAL STANDARD PROVISIONS FOR U.S.,  
NONGOVERNMENTAL GRANTEES

- ( ) Payment - Letter of Credit (November 1985)
- ( ) Payment - Periodic Advance (January 1988)
- ( X ) Payment - Cost Reimbursement (November 1985)
- ( X ) Air Travel and Transportation (November 1985)
- ( X ) Ocean Shipment of Goods (May 1986)
- ( X ) Procurement of Goods and Services (November 1985)
- ( X ) AID Eligibility Rules for Goods and Services (November 1985)
- ( X ) Subagreements (November 1985)
- ( X ) Local Cost Financing (November 1988)
- ( X ) Patent Rights (November 1985)
- ( X ) Publications (November 1985)
- ( ) Negotiated Indirect Cost Rates - Predetermined (May 1986)
- ( X ) Negotiated Indirect Cost Rates - Provisional (May 1986)
- ( X ) Regulations Governing Employees (November 1985)
- ( X ) Participant Training (May 1986)
- ( X ) Voluntary Population Planning (August 1986)
- ( X ) Protection of the Individual as a Research Subject (November 1985)

- ( X ) Care of Laboratory Animals (November 1985)
  - ( X ) Government Furnished Excess Personal Property (November 1985)
  - ( X ) Title To and Use of Property (Grantee Title) (November 1985)
  - ( ) Title To and Care of Property (U.S. Government Title) (November 1985)
  - ( ) Title To and Care of Property (Cooperating Country Title) (November 1985)
  - ( X ) Cost Sharing (Matching) (November 1985)
  - ( X ) (1) Use of Pouch Facilities (November 1985)
  - ( X ) Conversion of United States Dollars to Local Currency (November 1985)
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1. The address in paragraph (a) (4) of this Provision should be changed to add -0001 after 20523 (the zip code). —

1. Cooperating Country <b>Eastern Europe Regional (Romania)</b>	2. PIO/T No. <b>180-0037-3-2622411</b>	3. PIO/T Amend No. <b>Original</b>
4. Project/Activity No. and Title <b>180-0037 Partnerships in Health Care</b>	5. Appropriation Symbol(s) <b>72-11X1010</b>	
	6. Budget Plan Code(s) <b>QAIX-92-32180-KG-12 (184-62-180-00-69-21)</b>	
7. Pro Ag No. or Project Authorization Date <b>Proj. Authorization dated 5/15/91</b>	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) <b>12/31/95</b>	10. Authorized Agent <b>MS/OP/OS/EE OP/EE</b>	
11. Type of Action and Governing A.I.D. Handbook <b>[B]</b>		12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award)
A. A.I.D. Contract (NB14) B. A.I.D. Grant or Cooperative Agreement (NB 13)		C. PASA/RSSA (NB 12) D. Other

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.)

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	-0-	\$1,080,000		\$1,080,000
B. U.S.-Owned Local Currency				

FOUNDS RECEIVED BY:  
Initials: *R Anderson*

14. Mission References  
Date Posted: 12/18/91  
PFM/FM/A/PNP

15. Instructions to Authorized Agent  
MS/OP/OS/EE is requested to execute a grant with Humana Heart Institute International to carry out the program described in Attachment B. This PIO/T provides incremental funding for a total estimated grant of \$2,497,280. Additional resources will be provided in subsequent fiscal years, subject to the availability of funds. Attachment A provides the total estimated grant budget, and the budget for this PIO/T.

OFFICE OF FINANCIAL MANAGEMENT

16. Address of Voucher Paying Office Agency for International Development, Office of Financial Management, FA/FM/CMPD/DC, Room 700, SA-02, Washington, DC 20523-0209

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333).

Signature: EUR/DR/HR, Julia Terry *Julia L. Terry* Date: 11/19/91 Phone No: 647-8694

B. The statement of work or program description lies within the period of the initiating office and approved agency programs.

C. RME/ECA: Peter Orr *P Orr* Date: 11/19

Signature: RME/PROG: Tom Mahoney *Tom Mahoney* Date: 12/14/91

D. Funds for the services requested are available

E. Signature: RME/Controller: Denton Larson *Denton Larson* Date: 12/17/91

18. Other clearances:

Signature: EUR/DR: Ross Anthony *Karl Schwartz for* Date: 19 Nov 91

Signature: EUR/DR: Karl Schwartz *Karl Schwartz* Date: 19 Nov 91

19. For the Agency for International Development:

Signature: Frank Almaquer *Frank Almaquer* Title: Director, RME Date: 17 DEC 91

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION - SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSURER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.

FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.

Noted: DDavis *dd*  
EUR/RME/PO 12/18/91