

United States Agency for
International Development (AID)
Quito, Ecuador



PD - ABF - 634
Agencia de los Estados Unidos para
el Desarrollo Internacional (AID)
Quito, Ecuador

15N 81-818

0733

September 29, 1989

**DUPLICATE
ORIGINAL**

Dr. Josephine Gilman
Executive Director
Proyectos en Informatica, Salud,
Medicina y Agricultura -PRISMA
Av. Las Artes s/n
San Borja
Lima, Peru

Subject: Cooperative Agreement No. 527-0333-A-00-9407-00

Dear Dr. Gilman:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as USAID or "Grantor") hereby grants to the Proyectos en Informatica, Salud, Medicina y Agricultura, a Peruvian Private Voluntary Organization (hereinafter referred to as "PRISMA" or "Recipient"), the sum of \$150,000 to provide support for a program in HIV-AIDS Education and Prevention, as described in the Schedule of this Cooperative Agreement and the Attachment 2, entitled "Program Description."

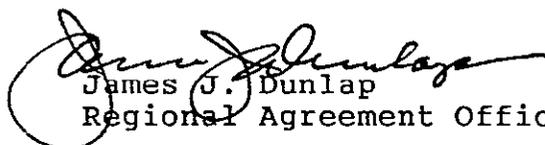
This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives during the period beginning with the effective date and ending September 30, 1992.

This grant via cooperative agreement is made to PRISMA on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled the "Schedule", Attachment 2, entitled "Program Description," and Attachment 3 entitled "Standard Provisions," which have been agreed to by your organization.

INTERNATIONAL MAIL ADDRESS: U. S. AID Mission to Ecuador - c/o American Embassy - Quito, Ecuador
U. S. MAIL ADDRESS: USAID Quito - APO Miami, FI 34039
PHONE: 521 - 100

Please sign the original and seven (7) copies of the letter to acknowledge your receipt of the Cooperative Agreement, and return the original and six (6) copies to this office.

Sincerely yours,


James J. Dunlap
Regional Agreement Officer

Attachments:

1. Schedule
2. Program Description
3. ~~Standard Provisions~~

ACKNOWLEDGED:

PROYECTOS EN INFORMATICA, SALUD, MEDICINA
Y AGRICULTURA -PRISMA-

BY:



NAME:

Josephine Gilman

Title:

Executive Director

Date:

FISCAL DATA

PIO/T	527-0333-3-90071
APP	72-1191021
BPC	LDGA-89-25527-KG13
	\$100,000 (R900384)
PIO/T	527-0333-3-90085
APP	72-1191021
BPC	LDHA-89-25527-KG13
	\$50,000 (R900385)
Project No:	527-0333
Total Estimated Amount:	\$500,000
Total Obligated Amount:	\$150,000

SCHEDULE

A. Purpose of Agreement

The purpose of this three-year Cooperative Agreement is to support the efforts of PRISMA to implement a program to provide technical assistance, training, commodities, and local administrative and logistical support directed toward the reduction of the sexual transmission of the Human Immunodeficiency Virus (HIV).

Under the terms and conditions of this Agreement, USAID will provide funding for:

- technical assistance in order to strengthen the Special AIDS program of the Ministry of Health (PECOS);
- technical assistance to prepare a trained cadre of Peruvian AIDS workers, including materials development specialists, counselors, educators, epidemiologists, and laboratory workers;
- budgetary support for development and production of educational materials, and for an anonymous/confidential HIV testing facility.

B. Period of Agreement

1. The effective date of this Agreement is the date on the cover letter. The expiration date of this Agreement is September 30, 1992.
2. Funds obligated hereunder are available for program expenditures for the estimated period from the Agreement effective date to September 30, 1990, as shown in the Agreement Budget.

C. Amount of Grant and Payment

1. The total estimated amount of this Agreement for the period shown in B.1 above is \$500,000.
2. USAID hereby obligates the amount of \$150,000 for program expenditures during the period set forth in B.2 above and as shown in the Agreement Budget below.

3. Payment shall be made to the Recipient in accordance with procedures set forth in Attachment 3 Standard Provision No. 1, entitled "Payment - Periodic Advance."
4. Additional funds up to the total amount of the grant shown in C.1 above may be obligated by USAID subject to the availability of funds, and to the requirements of the Standard Provision of the Grant, entitled "Revision of Grant Budget."

D. Agreement Budget

1. The following is the Agreement Budget, including local cost financing items, as authorized, and other contributions to the project. Revisions to this budget shall be made in accordance with Mandatory Standard Provision 4 of this Agreement, entitled "Revision of Grant Budget."

AGREEMENT BUDGET

A. FINANCIAL PLAN, 1989-1992

<u>Source of Funding</u>	Year 1	Year 2	Year 3	<u>Total</u>
	9/29/89 9/30/90	10/01/90 9/30/91	10/01/91 9/30/92	
USAID	\$150,000	\$150,000	\$200,000	\$500,000
Other Donors and Sources	---	---	---	---
Total	\$150,000	\$150,000	\$200,000	\$500,000

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B. FINANCIAL PLAN, 1989-1992
(September 29, 1989 - September 30, 1992)

USAID
Summary of Total Program Costs
by Foreign Exchange (FX) (1) and Local Currency (LC)

Project Components	LOP	
	(FX) (1)	(LC) (1)
A. <u>Program Costs</u>		
1. Training	\$ 9,100	\$ 6,
2. Technical Assistance	\$ 111,500	\$ 24,
3. Commodities		\$ 92,
4. Other Costs		\$ 174,
Total Program Costs	\$ 120,600	\$ 297,
B. <u>Administrative Costs (Direct)</u>		
Project Monitor		\$ 1,
Administrative Support		\$
Audit/Financial Reviews	\$ 15,000	
Total Administrative Costs	\$ 15,000	\$ 2,
C. <u>Indirect Administrative Costs</u>		\$ 1,
D. <u>Contingency and Inflation</u>		\$
Total Program Costs	\$ 135,600	\$ 3,

Notes:

- (1) To be administered directly by USAID.
- (2) The Recipient may reprogram or adjust budget line items, however, without prior written approval of the Agreement Office the variance for any individual line item may not exceed 20%.

E. Reporting

1. Financial Reporting

a. Financial reporting requirements shall be in accordance with the Standard Provision of this grant entitled "Payment-Periodic Advance, (November 1985)" as shown in Attachment 1.

b. The original and two copies of all financial reports shall be submitted to USAID Controller, c/o U.S. Embassy, Larrabure Unanue, 110, Lima 1, Peru. In addition, three copies of all financial reports shall be submitted to the USAID project officer.

2. Program Performance Reporting

a. Recipient shall monitor performance under the Agreement where appropriate, ensure that time schedules are being met, projected work units by time periods are being accomplished, and other performance goals are being achieved. This review shall be made for each program, function, or activity of the Agreement.

b. Recipient shall submit a quarterly performance report (technical report) that briefly presents the following information for each program, function, or activity involved:

(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investment or both. If the output of programs can be readily quantified, such quantitative data should be related to the data for computation of unit costs.

(2) Reasons why established goals were not met.

(3) Other pertinent information including, when appropriate, analysis and explanation of cost overruns or high unit costs.

See also Attachment 2, Paragraph IX.

c. Between the required performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Recipient shall inform USAID as soon as the following types of conditions become known:

(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any USAID assistance needed to resolve the situation.

(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

d. If any performance review conducted by the Recipient discloses the need for change in the budget estimates in accordance with the criteria established in Mandatory Standard Provision 4, the Recipient shall submit a request for budget revision.

e. The USAID Project Officer shall make site visits as frequently as practicable to:

(1) Review program accomplishments and management control systems, and

(2) Provide such technical assistance as may be required.

F. Special Provisions

1. As set forth in Attachment 3, all mandatory standard provisions for Non-U.S. Nongovernmental Grantees apply to this Agreement. Applicable optional standard provisions are indicated by reference in Attachment 3.

2. To assist in the implementation of the project, USAID from time to time will issue cooperative agreement implementation letters furnishing additional information on requirements about matters stated in this Agreement. Such letters shall not modify the terms of the Agreement.

3. The USAID Project manager is authorized to issue all approvals or technical interpretations which can clearly and reasonably be considered within the terms of this Agreement as written. This authority includes all approvals reserved to USAID under article J (below) of the Schedule.

G. Indirect Cost Rate

There is no overhead or indirect cost rate in percentage terms applicable to this Agreement. There are non-program costs which will be reimbursable under this Agreement, on a prorata or direct charge basis. More specifically, the "overhead" or indirect administrative costs of program support have been developed by analysis of the monthly input of all PRISMA core personnel having a recurring input. The level of input is expected to remain constant for the term of the Agreement. Included in overhead are those core administrative expenses related to core personnel inputs. These costs are minimal. These indirect administrative costs will be reimbursed based upon monthly billings from PRISMA in local currency.

H. Title to Property

Title to property obtained under this grant shall vest in the Recipient. It is understood that the Recipient may in turn pass selected property to subrecipients.

I. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this Agreement is 000 (the United States), plus Peru.

J. Substantial Involvement Understandings

PRISMA and USAID agree that the nature of the activities to be carried out under the Agreement require the substantial involvement of USAID. PRISMA, therefore, agrees to:

- Request USAID to issue necessary PIO/T's for buy-ins to AIDSCOM and AIDSTECH for technical assistance during the first program year. In addition, USAID will be responsible for obtaining country clearance for all TA activities, the buy-ins, briefings and debriefings, and receiving technical reports.

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- Allow USAID to directly administer portions of the Agreement Budget as indicated therein.
- Request USAID approval prior to selection and contracting of key personnel. These include the Project Monitor.
- Obtain USAID approval for subgrants.
- Submit an Annual Plan for USAID approval per Attachment IX A.1.
- Allow the participation of the USAID Project Manager in Project Coordinating Committee meetings.

PROGRAM DESCRIPTION

I. Background

The Acquired Immune Deficiency Syndrome (AIDS) is now widely recognized as a serious health, social, human, economic, and development problem for many countries. In Peru, the first AIDS cases were reported in 1983 and have more than doubled every year since. Many of the recently confirmed cases have been infected within the country. It is estimated, however, that the number of AIDS cases is underreported and that, moreover, the number of asymptomatic HIV carriers could exceed the figure of 15,000. The purpose of this Cooperative Agreement is to support the efforts of PRISMA, a Peruvian private voluntary organization registered with USAID, to implement a program to provide (primarily) technical assistance, training, commodities and local administrative and logistical support directed toward the reduction of the sexual transmission of the Acquired Immune Deficiency Virus.

II. Purpose

The purpose of the project is to reduce the sexual transmission of HIV through testing, research, education, counseling, the distribution of condoms and other interventions that encourage risk-reducing behavioral change.

III. Goal and Objective

A. The goal is to reduce the spread of AIDS in Peru.

B. The objective is to utilize directly the capacity of three local institutions to implement its AIDS prevention program: the Special AIDS Control Program at the Ministry of Health (PECOS), the Institute of Tropical Medicine at San Marcos University, and a Peruvian homosexual community services organization (MHOL-Peru). Technical assistance will be provided to the project from two AID/W funded projects, AIDSCOM and AIDSTECH. PRISMA is a local PVO registered with USAID and will have the responsibility for coordinating and supervising all project-funded activities to be undertaken by all the participating institutions. This document describes the activities for PRISMA.

IV. Outputs

The project elements related to the achievement of the project purpose and goal statements are:

- 10 educators trained in communication methodology and materials development.

- 3,000 copies of basic AIDS information pamphlets developed and distributed to the public.
- 3,000 copies of AIDS information packages designed specifically for women printed and distributed to the public.
- Three research studies conducted on male/female attitudes/behavior; 3,000 copies of each study published.
- 10 workshops conducted for AIDS prevention workers.
- 7,500 copies of AIDS prevention pamphlets distributed via peer education groups.
- Anonymous HIV antibody testing center established with output of:
 - 15 ELISAS per day x 250 (year 1)
 - 20 ELISAS per day x 250 (year 2)
 - 25 ELISAS per day x 250 (year 3)
- AIDS hotline operational and receiving average of 15 calls/day, five days/week, 52 weeks/year for total of 11,700 calls over the three year life of project.
- 20 new volunteers trained each year; 60 volunteers trained over life of project.
- 80 high risk men trained each year; 240 men trained over life of project.
- 10 high risk men trained through "safer sex" workshops; 10 men/workshop x 36 months = 360 men reached over life of project.

V. PRISMA Support Responsibilities

Project Start-Up Activities

The first month after the project has been authorized the following activities will be carried out:

PRISMA will request USAID/Peru to submit two PIO/Ts for buy-ins to AIDSCOM and AIDSTECH for technical assistance during the first year of the project.

PRISMA will negotiate a sub-grant with MHOL/Peru to provide for a continuation of three on-going activities, SI'DAYUDA AIDS information hotline, Pasa la Voz Peer Education Outreach, and Safer Sex workshops.

PRISMA will receive quotations from pharmaceutical companies for the provision of reagents for the laboratory at San Marcos, and oversee the minor structural changes San Marcos will make to provide the space for the counseling room in the laboratory.

After the receipt of the first project advance:

PRISMA will contract services of the personnel needed for both PECOS and San Marcos.

By the second month of the project it is expected that USAID/Peru will have approved the consolidated operational plan and the calendar of events for the first year for the project.

USAID/Peru will have submitted the two PIO/Ts for buy-ins to AIDSCOM and AIDSTECH for technical assistance.

By the third project month, the Materials Development workshop with assistance from AIDSCOM will take place. Activities ongoing from the pilot project will continue throughout start-up time.

PRISMA will give a sub-grant to MHOL/Peru, a PVO in process of registration with USAID, to continue with three main activities being implemented under the AIDS Prevention pilot project:

- a) SI'DAYUDA AIDS information hotline;
- b) Pasa la Voz Peer Education activities; and,
- c) to provide continued Safer Sex workshops for high risk males as well as modifying the workshop content for other groups.

The PECOS and San Marcos University are public sector institutions. PRISMA, therefore, will be responsible for all administrative and financial management for both. PRISMA will also locally purchase equipment and supplies for these institutions.

Although all of the Implementing Agencies are Lima based, consideration was given to comprehensive national coverage for AIDS Prevention activities.

1. Technical Assistance

Technical Assistance for this project will be provided by consultants from AIDSCOM and AIDSTECH in all matters concerning AIDS Education and Prevention. This will be acquired through buy-ins for these centrally funded projects. PRISMA will provide administrative assistance to strengthen the three institutions in administration of programs/projects.

2. Institutional Support

Institutional Support in the form of direct funding to both PECOS and San Marcos will be provided by PRISMA for contract with personnel, activities, other costs associated with each institution. A yearly sub-grant will be negotiated with MHOL/Peru by PRISMA and approved by USAID/Peru. Each of the institutions will prepare an annual budget and projected quarterly cash flow as along with the yearly operational plan to be submitted to PRISMA which prior to disbursement of funds have these approved by USAID/Peru. All

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institutional budgets will be submitted in Intis. Funding advances and liquidations between PRISMA and USAID/Peru, and MHOL/Peru and PRISMA will follow standard USAID/Peru procedures.

3. Project Management and Support Services

The Project Management team will consist of the Project Monitor from PRISMA and the Project Manager from USAID/Peru and his/her designated coordinator. Day-to-day project operations will be supervised by the Project Monitor who will report to the Project Manager in USAID/Peru, who in this case will be the Population USDH Officer. The Management team will meet monthly.

4. Commodities and Equipment

All commodities and equipment will be purchased locally by PRISMA, which will begin this process within one month after the Project Authorization has been signed. The reagents needed by San Marcos laboratory will be purchased on a regular basis as the need arises from pharmaceutical companies in Peru which import these reagents from other countries. They are not manufactured in Peru.

VI. Implementation Schedule

Y E A R 1	Y E A R 2	Y E A R 3	OUTPUTS
<u>PECOS</u>			
a. -Research on women and AIDS	a. AIDS education with women	a. Expanded education with women	! -FGD's (Focus Group Designs)
-Printed material on AIDS for women	b. Research with men at Callao brothels	b. Print materials/ interventions with men at Callao brothels	! -Surveys
b. -Materials development workshop	c. Press liaison	c. Press liaison	! -Workshops
-Production of general information pamphlet on AIDS for men		d. Evaluation	! -Pamphlets
-Press liaison			! -Press material
<u>MHOL</u>			
a. Hotline	a. Hotline	a. Hotline	! -Workshops
b. Safe sex workshop	b. Safe sex workshop	b. Safe sex workshop	! -Pamphlets
c. Peer outreach	c. Peer outreach	c. Peer outreach	! -Posters
		d. Evaluation	!
<u>SAN MARCOS</u>			
a. Pilot testing center	a. Pilot testing center	a. Pilot testing center	! -Clinic
b. Counseling pre/post test	b. Counseling pre/post test	b. Counseling pre/post test	! -Pamphlets
c. Callao counseling, education	c. Callao counseling, education	c. Callao counseling, education	! -Laboratory testing facility
		d. Evaluation	!

VII. Project Budget and Release of Funds

- A. The proposed budget calls for grant financing over a period of three years from the date of project authorization of not to exceed \$500,000.
- B. Release of funds under this Grant shall be in accordance with Attachment 1, Schedule. Shifts of more than 20 percent among USAID-financed line items cannot be made without prior written authorization of USAID.

VIII. Project Activities Description

A. Print Materials

One of the first activities to be supported by this project will be a hands-on workshop focusing on the methodological process of developing AIDS informational and educational materials, as well as modifying materials which have been developed in Peru and other Spanish-speaking countries. The local coordinators

will be the communication specialist from PECOS, in collaboration with a communication expert from AIDSCOM. The participants will include representatives from each agency supported by USAID/Peru under this project (San Marcos/Callao, PRISMA and MHOL). Groups such as Generacion, Peru-Mujer and other local PVOs which are developing AIDS information materials will also be invited to participate. The participants will be trained and offered practical experience in developing materials appropriate for different populations, and in pretesting materials.

The workshop will focus on developing a basic AIDS information pamphlet, including information on AIDS prevention, based on audience research. The pamphlet will be pretested, printed and eventually used in the San Marcos HIV-antibody testing center as well as by other institutions or groups interested in disseminating AIDS prevention information. Materials developed by the participating groups will be pretested and adapted for eventual printing and distribution.

B. Research and Intervention Among Young Women

While women currently account for only a small number of the reported AIDS cases in Peru, regional epidemiological trends indicate that women in Latin America are increasingly at risk of contracting HIV. Risk for women is considered substantial as a result of sexual behavior patterns that include high levels of male bisexuality, non-monogamy and high incidence of STDs. In order to prevent the spread of AIDS to this population of young women, research is needed to provide a broader understanding of risks, as well as the various networks they rely on for information about sex and disease prevention.

Using a variety of communications research techniques, PECOS will explore these areas with the objective of developing an AIDS prevention strategy targeting young (14-20 years old) women, including the development of appropriate printed materials. Project activities will be carried out in collaboration with local women's organizations working in the area of health education and other information networks identified through research as the most viable means of reaching this population.

The Project will support initial research (focus groups and in-depth interviews) and AIDSCOM will provide technical assistance to help design the intervention strategy once the initial research phase has been completed. In addition, the project will support the production of communications materials and the training of peer or professional educators to implement the selected strategy. The materials produced will be distributed in the Callao and Lima STD clinics, the San Marcos testing center, and other institutions that provide health education services to women.

C. Changing Attitudes/Behavior of High Risk Males

Three pilot activities initiated with MHOL-Peru in FY 1988 under the USAID/Peru AIDS Project will continue to receive support for the three years of the project. The AIDS Information Hotline, Peer Outreach Program, and

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Safer Sex Workshop Series all provide complementary educational approaches designed to reach diverse groups of men at high risk for AIDS. PRISMA will provide a sub-grant to MHOL for these three activities.

The SI'DAYUDA hotline will be coordinated by a paid staff member with volunteer operators taking calls five days a week, eight hours each day. Using the operators as interviewers, the hotline will also serve as a data collection service that will help to identify specific information and service needs. Preliminary experience with the hotline has indicated latent demand for information that, with additional publicity, should increase. A sub-grant through PRISMA will continue to provide the salary of the hotline coordinator, training for volunteers, minimal monetary support for volunteers (e.g. - transportation costs), and will assist them in the development of further evaluation tools and expanded referral services. AIDSCOM will provide technical assistance.

D. Research and Intervention Among Men in Callao

The male clients of prostitutes have been largely ignored in AIDS prevention efforts to date. Since men are financially and often physically in control of decisions regarding sexual behavior, it is important to develop AIDS prevention strategies that inform them of the risks associated with these activities and to motivate them to change or modify their behavior. Using a variety of communications research techniques, personnel at San Marcos will attempt to gain information about knowledge and attitudes these men have concerning AIDS with the objective of developing an AIDS prevention strategy targeting the men who visit the established brothels in Callao. This will include the development of appropriate printed materials. This activity, to be implemented closely with the owners of the brothels, will include condom distribution, and will complement and reinforce the work being done with female prostitutes in the area. This activity will be initiated in the second year of the project and will involve an initial research and materials development phase, followed in the third year by the implementation of a materials distribution and outreach phase.

The Pasa La Voz peer educator program also will be managed by a paid coordinator supported through the sub-grant. Minimal support for peer outreach workers and production costs for support materials will be provided through the sub-grant, as well as technical assistance to evaluate and strengthen this service over time. In addition to the service being provided on the streets, one-day workshops to provide men with an opportunity to explore in-depth, as a group, the issue of AIDS and risk reduction will be held. These peer outreach workshops will be promoted directly by the outreach workers and through posters and will be open to 80 men. The content of the workshop will be modeled after that of the Safer Sex workshops.

The Safer Sex workshops, developed as part of a pilot study by a physician from the University of Cayetano Heredia, will be continued with MHOL-Peru offering the workshops free of charge on a regular basis for men at high risk in Lima. The sub-grant will support the part-time salary of a workshop

coordinator, the training of additional workshop facilitators, and the production of materials to promote the workshop series. Technical assistance will be provided by AIDSCOM to modify the workshop model so that it can be used with other population groups.

E. Provision of Anonymous/Confidential HIV Antibody Testing and Related Counseling

1. Testing Center.

A need for an anonymous/confidential HIV antibody testing and counseling center has been identified as important to properly track potential AIDS carriers so that adequate data can be maintained on the incidence of the disease. The Institute of Tropical Medicine at San Marcos University has been selected as the site for this center based on the previous experience of its staff and the equipment it has received in support of the research of the Population Council's centrally funded operations research project. San Marcos will assume the cost of some minor structural changes required to remodel the existing work space. AIDSTECH will arrange a visit to another regional anonymous/confidential testing and counseling site for the Project Director, since Peruvians have had little experience with these centers.

Initially, two counselors or social workers will be trained (with technical assistance by AIDSCOM) in pre- and post-test AIDS counseling. One additional counselor will be added to the staff each year to allow for estimated service growth over time. The counseling staff are expected to serve approximately five new clients daily. In the beginning this will limit the testing center to 10 new clients a day with the probability of five clients for repeat testing. A strategy will be developed (also with technical assistance from AIDSCOM) to assess the impact of testing and counseling on subsequent behavior.

Demographic data will be collected to add to a broader understanding of the epidemiology of AIDS in Peru. Additional epidemiological surveys undertaken by the Institute could be supported by other donors. A basic AIDS information and prevention pamphlet will be developed to be used at San Marcos, as well as in other appropriate sites in Lima, under the PECOS component of this project.

One of the counselors from the testing center will collaborate with on-going work at the Ministry of Health STD clinic in Callao. The counselor will work several afternoons a week distributing free contraceptives through the MOH. Both condoms for AIDS prevention and other methods (primarily oral contraceptives) for family planning will be given, along with AIDS prevention education and counseling. Since the relationship between STDs and AIDS has been well documented, women will receive gynecological services from a MOH physician providing these services on a part-time basis. Additionally, the education, counseling and family planning assistance should help to reduce abortion rates and pelvic infections in women who use IUDs. In the second and third years of this project, a peer education component will be added, targeting the women attending the clinic.

IX. Project Implementation Procedures

A. Reporting Requirements

1. Annual Plan. An annual plan will be prepared by PRISMA, and approved by USAID/Peru at the beginning of each calendar year during the life of this Grant. The plan will identify objectives for the year, describe activities to accomplish the objectives, identify resources required to carry out the activities, and present a budget of estimated local currency and U.S. dollar expenditures.

2. Quarterly Reports. The quarterly reports will be prepared during the annual plan period and will describe accomplishments in meeting planned objectives, identify significant problem areas, and indicate measures to be taken to resolve the identified problems. The quarterly reports should include a list of the items planned or under consideration for the subsequent quarter. The final quarterly period of the year will be covered in the subsequent annual plan as part of a progress assessment for the preceding year.

B. Audits

The Audit and Financial Management Review will be conducted in accordance with USAID policies. Funds are provided to contract independent auditors, through RIG/A/T; and, Financial Management Review assistance will be contracted by USAID/Peru.

C. Procurements

1. Commodities. Condoms requirements will be included in the annual plan. Condoms will not be purchased through the project but rather donated from other USAID funded projects in Peru. All commodity procurements, with probable source and origin and estimated cost, will be described in the annual plan. Procurement of commodities available in Peru will be conducted by PRISMA in accordance with good management practices and with Optional Standard Provisions Nos. 5 and 6 of Attachment 4. Offshore procurements, denominated in U.S. dollars, will be conducted, at the request of PRISMA, directly by USAID/Peru using funds made available in the Grant;

2. Training and Educational Travel. Requirements for offshore training and educational travel, including attendance at seminars and conferences will be identified by PRISMA in the annual plan. Applicable cost will be financed by advances to PRISMA by USAID/Peru. U.S. dollar denominated per diem and incidental costs will be financed by advances made directly to the trainee/traveler. Upon termination of the training and/or travel, the trainee/traveler will render the account of actual costs through PRISMA to USAID/Peru.

X. IMPLEMENTATION PLAN

A. Administrative and Monitoring Arrangements

1. Overview

This project will be implemented through a cooperative agreement between USAID/Peru and PRISMA, a local PVO registered with USAID.

Logistics, financial and all other arrangements for the project (including sub-grants) will be the responsibility of PRISMA, and the Population Division, for technical matters.

PRISMA will request USAID/Peru to issue two PIO/Ts each year to buy-in to AIDSCOM and AIDSTECH centrally funded projects for technical assistance to PECOS, MHOL, and San Marcos. Funds for these two PIO/Ts will be administered directly by AID/W.

USAID/Peru Population Division will secure country clearances for TA visits and provide overall project guidance and management.

Implementation decisions were made based on an analysis of the economic situation (discussed in the PID-Like document) and financial considerations. This is a small project with very limited funding and therefore it is more cost-effective to implement through local organizations already involved in AIDS prevention activities. PECOS, San Marcos and MHOL were chosen to implement these activities based on a positive evaluation of their previous prevention and outreach activities. Additionally, there were no other alternatives which would have been as cost-effective to implement. The San Marcos Laboratory has already been equipped by the Population Council through an operations research project.

2. Implementing Agencies

PRISMA is a local PVO with a history of implementing USAID/Peru projects. This cooperative agreement between USAID/Peru and PRISMA will provide funds for all local currency costs as well as dollar costs for international training or travel.

MHOL/Peru is an organization mandated to provide services for the gay/lesbian community in Lima, and under the USAID/Peru pilot AIDS Education and Prevention Project has been providing educational/counseling and medical services to MHOL associates in AIDS Prevention.

The Institute of Tropical Medicine at San Marcos University is equipped to develop an anonymous/confidential HIV antibody testing and counseling center. Through the INOPAL/Population Council Operations Research Study the laboratory at the Institute was equipped and personnel have been trained in ELISA technique and in counseling skills.

The Ministry of Health Special Program for AIDS Control (PECOS) also carried out an Operations Research Study with funding from INOPAL/Population

Council and Johns Hopkins University Population Communications Service (JHU/PCS), and administered by PRISMA.

These groups have all developed a cadre of trained professionals and level of expertise not available before in Peru. This enables all three to continue to strengthen their programs in research, counseling and education, expanding the base of the infrastructure.

The private sector institutions participating in the project are requesting only reimbursement for the costs incurred in carrying out the activities and PRISMA maintains a low overhead charge. These cost savings will allow project funds to reach more people and deliver more services.

3. USAID/Peru Project Monitoring

The Population Division of the Office of Human Resources (O/HR) will have responsibility within USAID/Peru for managing the project. The Chief of the Population Division will serve as Project Manager and will be responsible for the administrative approval of all project inputs and for overall project monitoring and coordination. The project manager may designate a project coordinator to assist with the day to day contact between USAID/Peru and the Implementing Agencies. The Project Manager will approve the yearly operational plans submitted by PRISMA and consolidated from the plans of the three Implementing Agencies.

Upon request from PRISMA, the Project Manager will submit each year to AID/Washington two PIQs for buy-ins to AIDSCOM and AIDSTECH for technical assistance to the three Implementing Agencies.

4. Project Coordinating Committee

The Project Coordinating Committee will consist of: a) USAID/Peru Project Manager or designee; b) PRISMA Director and Project Monitor; c) representatives of each of the three implementing agencies (i.e. PECOS, MHOL and San Marcos). Scheduled monthly meetings will take place to measure progress in all components of the project.

B. Implementation Schedule

1. Implementation Strategy

This project will be implemented through a Cooperative Agreement between USAID/Peru and PRISMA, a PVO registered with USAID/Peru. PRISMA was selected to implement this project because of their past and current experience with the financial management of the Operations Research project with the Population Council and the MOH.

As a matter of policy, A.I.D. requires that recipients of Operative Cooperative Agreements contribute, or cause to be contributed, at least 25% the total costs of the project. PRISMA does not have the resources to complete

with such a contribution. However, PRISMA is registered with A.I.D. and per Handbook 3, Appendix 29, Section A, Application of Section 110(a), this requirement does not apply.

2. Project Start-Up Activities

PRISMA will give a sub-grant to MHOL/Peru, a PVO in process of registration with A.I.D. to continue with three main activities being implemented under the AIDS Prevention pilot project: a) SI'DAYUDA AIDS information hotline; b) Pasa la Voz Peer Education activities; c) and to provide continued Safer Sex workshops for high risk males as well as modifying the workshop content for other groups.

The PECOS and San Marcos University are public sector institutions. PRISMA, therefore, will be responsible for all administrative and financial management for both. PRISMA will also purchase locally equipment and supplies for these institutions.

Although all of the Implementing Agencies are Lima based, consideration was given for comprehensive national coverage for AIDS Prevention activities. Consequently coordination meetings were held with CEDRO, a local PVO involved in drug abuse prevention with A.I.D. funding through the Drug Education and Public Awareness Project 527-0288, this organization expressed an interest in using its counseling staff to respond to an increasing number of requests for information regarding AIDS from actual and potential drug users. CEDRO promoters will be trained under the workshop component of this project and will also have access to educational materials developed as part of the planned activities. CEDRO Promoters can be found in almost all Departments in Peru, both in rural and urban settings, and after training will serve as a vehicle for providing AIDS information and distributing materials developed for AIDS Education and Prevention.

XI. General Gender Considerations

HIV can be transmitted ~~to~~ and by both males and females. At the present time, the greatest incidence of AIDS in Peru is found among men, although women are increasingly at risk. Therefore, this project will target activities and approaches separately for men and women and will train both men and women as AIDS workers.

Statistical reporting of HIV positives and AIDS cases has always been disaggregated by sex as well as by risk factors, and will continue to be so in the future. End of Project Status (EOPS) Indicators have been sex disaggregated. In keeping with the higher incidence of AIDS among high risk men (male homosexuals and clients of prostitutes), greater numbers of men will be trained as educators and peer counselors (volunteers), and greater number of men are expected to benefit directly from project activities (workshops, antibody testing, hotline).

In order to prevent the spread of AIDS to young women, the project will

support research efforts to provide a broader understanding of the risk factors that characterize this population, as well as the networks they rely on for information about sex and prevention of disease. Project activities will be carried out in collaboration with Peruvian women's organizations. Ongoing activities with already-identified high risk women, such as prostitutes, will be continued and strengthened.

Insofar as project resources will be used to train professionals (materials specialists, epidemiologists, etc.), efforts will be made to recruit and include women. At least one third of the professionals trained under the project will be women.

XII. USAID/Peru Specific Gender Considerations Agenda.

The project incorporates many gender considerations, including suggestions and recommendations made by Women in Development consultants who reviewed the PID-like document and discussed proposed activities with USAID/Peru staff. In addition, it builds on the USAID-financed pilot project implemented in FY 1988-1989, which has been collecting and evaluating gender disaggregated data as the basis for planning interventions. AIDSCOM, AIDSTECH, the Population Council and Johns Hopkins University have been involved in AIDS education, prevention, and research projects in Peru focusing on gender issues such as the female role in contraception decision making.

Epidemiologically, the incidence of female infection has increased sharply in Peru, although males engaged in high-risk homosexual and bisexual activities continue to have the highest rates of transmission. Additionally, two cases of perinatal transmission have been reported. Since approximately 50% of children born to infected mothers will themselves be infected and die of AIDS, the infection could have a significant impact on child survival programs. The importance of educating and intervening with women, therefore, has intensified.

Although the overall incidence of AIDS in the United States is thirteen times greater for males than for females (with ratios of 14:1 to 20:1 in Europe), the sex ratio in Africa is 1:1 with a slight excess of female cases. Female prostitutes in Africa also are infected disproportionately and are considered to have an important role in transmitting infection and, therefore, are a key factor in prevention. Available data indicate that Peru and the rest of Latin America will most likely follow the American/European pattern of homosexual/bisexual transmission (or a third "Latin" model) instead of the African one of heterosexual transmission. This will need to be confirmed by sound scientific study with the careful analysis of sex disaggregated data, such as that being collected by components of this project.

In addition to prostitutes, this activity will also investigate the attitudes and behaviors of their male clients, who usually determine whether condoms are used during sexual activities, and other women of childbearing age who are at high-risk. Another part of the project will gather data for intervening with young girls aged 14-20, since little data exist regarding their knowledge,

attitudes and behaviors concerning sex roles and sexual practices.

Previous education and research projects have included active feminist groups who have been working with homosexual men, prostitutes, low-income women who are often disenfranchised about sexuality and family planning decisions, and with young females. These groups will continue to be used as information networks to disseminate educational material and recruit potential clients. They and the target population can furnish important data concerning possible differentials in female access to services, including such factors as availability of transportation; ability to pay for services; convenience of clinic hours; location, timing and duration of training events; advisability of having same-sex counselors and potential need for child-care arrangements. Decisions can then continue to be made about program and materials development that will ensure women's full participation in education, counseling and training components of the project.

Since women in Peru receive less education than men, consideration should be given to assumptions made concerning literacy and language use when developing communications and training programs and materials. When staffing decisions are made, care will also be taken to note any differences in eligibility qualifications that might discriminate against women. Finally, monitoring and evaluation systems will be designed to enable the collection of gender-disaggregated data based on the characteristics of clientele or participants and disaggregate drop-outs. This will enable adjustments in strategy based on gender-differentiated changes in female participation in components of the project.

XIII. Budget Elements

Program Costs

Training

Out-of-country training in the first year is for the benefit of San Marcos to enable a key person to observe a functioning anonymous/confidential HIV testing and counseling center in another country. Monies have been put into this item in the last year to make it possible for key personnel to participate in AIDS international conferences.

In-country training for the LOP includes the Peer Education outreach and training in materials development and communication methodology.

Technical Assistance

TA will be provided by consultants from AIDSCOM and AIDSTECH for MHOL, PECOS and San Marcos. Funds under this item will be handled through buy-ins to these two centrally funded projects. The National Advisor will be located in PRISMA and in PECOS.

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Commodities

All goods and equipment for this project will be purchased locally by PRISMA. Office equipment includes some furniture as well as some computer equipment that will be needed by San Marcos. Laboratory tests include the ELISA kits, pipettes and other expendable equipment for the San Marcos HIV testing center. Materials include training materials and communication needs. The office for the PECOS component of the project is located outside the MOH, so telephone and mail costs have been included for this portion.

Other costs

Local salaries will be paid to certain key personnel in each of the three organizations who will be responsible for the implementation of the project, and fringe benefits are included.

Administrative Costs are comprised of the monitor PRISMA will employ on a half-time basis to coordinate all aspects of the project; and, Administrative Support costs which are directly related to project activities, such as, office supplies, rent, utilities, telephone, transportation, etc. All of these costs have been budgeted in constant dollar amounts, with the expectation that exchange differential gains will offset inflation losses.

The Audit and Financial Management Review will be conducted in accordance with USAID policies. Funds are provided to contract independent auditors, through RIG/A/T; and, Financial Management Review assistance will be contracted by USAID/Peru.

"Overhead", or indirect administrative costs, has been developed by an analysis of the monthly input of all PRISMA case personnel having a recurring input. The level of input is estimated to remain constant for the life-of-the-project.

Contingencies/Inflation have been considered; the Mission anticipates that inflation in local costs will at least be offset by a favorable exchange rate for the dollar.

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