

FD-ABF-548

ISN 21511

**AGENCY FOR INTERNATIONAL DEVELOPMENT  
AMERICAN EMBASSY**

**PASEO DE LA REFORMA No. 305  
COL. CUAUHEMOC  
06500 MEXICO, D. F.**

**U.S. Mailing Address:  
AMEMB Mexico City  
P.O. Box 8087  
Laredo, TX 78044**

**Lic. Rodolfo Ogarrío  
Director General  
Fundacion Universo Veintiuno, A.C.  
Carretera Circumvalacion Sin Numero  
Rumbo a Puente Grande, Tepetzotlan  
Codigo Postal 54600, Edo. de Mexico**

**September 26, 1990.**

**SUBJECT: Grant No. 598-0784. ~~598-0784~~-0046**

**Dear Mr. Ogarrío:**

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby awards Grant Agreement No. 598-0784.23-523-0046 to Fundacion Universo Veintiuno, A.C., (hereinafter referred to as "FUV" or "Grantee") for the sum of \$99,330.00 U.S. (Ninety Nine Thousand Three Hundred Thirty U.S. Dollars). Support for this component of the FUV program is provided under AID's Mexico component of Global Climate Change (GCC) (598-0784) Project.

This grant agreement supports the implementation of the Improved Environmental Planning and Management Project of the FUV, as described in the "Schedule" of this grant and in Attachment II, entitled "Proposal for Improved Environmental Planning and Management in Mexico".

This Grant Agreement is effective and obligation is made as of the date of this letter, and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning with the effective date and ending September 30, 1991.

This grant is made to the Grantee on condition that funding is used in accordance with the terms and conditions set forth in Attachment I, entitled the "Schedule", Attachment II, entitled "Program Description", and Attachment III, entitled "Standard Provisions", which have been agreed to by your organization.

**TELEFONO: 211-00-42 EXTS. 3450/3451/3452/3453/3457  
TELEX Ncs: 01773091 & 01775685 AEMXME  
TELEFAX No.: (905) 511-9980**

Please sign the original and three (3) copies of this letter to acknowledge your agreement with and receipt of the grant, and return the original and two (2) copies to the undersigned AID office, Room 212, U.S. Embassy, Mexico City.

Sincerely,



Gerard Bowers  
AID/Mexico  
Representative  
U.S. Embassy,  
Mexico D.F.

ACCEPTED:

FUNDACION UNIVERSO VEINTIUNO, A.C., Mexico, D.F.

By: \_\_\_\_\_



Lic. Rodolfo Ogarrio

Title: \_\_\_\_\_

Director General

Date: \_\_\_\_\_

SEPTEMBER 26<sup>TH</sup> 1990

**Fiscal Data:**

AMOUNT: \$99,330.  
BUDGET ALLOWANCE: LDNA90-25523-KG12  
APPROPRIATION: 72-1101021  
PROJECT NUMBER: 598-0784.23-523-0046

**Attachments:**

- I. Schedule
- II. Program Description
- III. Standard Provisions: Mandatory and Optional for Non-U.S.  
Nongovernmental Grantees
- IV. ~~Grant Proposal by FUV, A.C.~~

ATTACHMENT I

SCHEDULE

A. The purpose of this Grant is to provide support for the FUNDACION UNIVERSO VEINTIUNO, A.C. project "Proposal for the Improved Environmental Planning and Management (EPM) in Mexico", as more specifically described in Attachment II of this Grant entitled, "Program Description" and the attached FUV grant proposal (Attachment IV.).

B. The effective date of this Grant is September 26, 1990. The expiration date of this Grant is September 30, 1991.

C. Amount of Grant and Payment

1. AID hereby obligates the amount of \$143,042.00 U.S. for purposes of this grant. This total funding is provided by means of the two separate agreements and funding sources indicated in Section D., below.

2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment III -Mandatory Standard Provisions for Non-U.S. Nongovernmental Grantees, entitled Payment-Periodic Advance.

D. Financial Plan

The following is the Grant Budget for the life of the project, which is to be jointly funded by the GCC and ITT Projects. Line items 1 thru 3 are to be covered with GCC Project resources. Line item 4 is to be funded by means of the ITT Project. Any revisions to this budget shall be made in accordance with Standard Provision of the Grant, entitled "Revision of Grant Budget". More detailed estimates of expenditures for each of these activities/line items are provided in the grant proposal (Attachment IV.).

PROJECT BUDGET (FY 1990 U.S. Dollars)

1. Assistance for the Development of Ecological Technical Norms	\$ 27,500.
2. A Workshop on Global Climate Change in the Mexican Tropics	19,500.
3. Municipal Assistance for Environmental Planning, Impact Assessment and Management	52,330.
4. GCC/EPM Technical Assistance and Training	<u>43,712.</u>
TOTAL	\$143,042.

## **E. Reporting and Evaluating**

1. The Grantee shall submit fiscal and technical reports to AID/Mexico on a quarterly basis. Project implementation will be initiated based upon an AID-authorized workplan. This plan is to be presented to AID/M for approval as soon after the signature of the two grant agreements as possible. The Grantee shall adhere to the specifications and timetables established by AID for financial reporting requirements. Reports should be addressed to:

AID/Mexico  
Paseo de la Reforma 305  
Colonia Cuauhtemoc  
06500 Mexico, D.F.

2. An internal mid-term financial review and technical evaluation will be carried out by qualified persons representing the FUV. The results of these evaluations, which may be carried out jointly with other donor organizations (such as The Conservation Foundation) collaborating with FUV, will be shared with AID/M.

3. AID/M may opt to assess the project's significance, effectiveness and performance by means of a final external audit and technical evaluation. These factors will need to be assessed prior to consideration of a continuation proposal. If such external evaluations are required, the necessary funding will be provided via increments to the grant budget.

Attachment II

PROGRAM DESCRIPTION

Support for Improved Environmental Planning  
and Management in Mexico

FUNDACION UNIVERSO VEINTIUNO, A.C.

598-0784.23-523-0046 and 598-0616.23-523-0046

Since 1985, the national office of FUNDACION UNIVERSO VEINTIUNO, A.C., headquartered in Mexico City, has received AID funding for conservation and environmental management activities in Mexico. The first AID project was to develop a Mexico State of the Environment Report; it was jointly funded by LAC/DR and AID/M at a total cost of \$195,000 U.S. dollars. This comprehensive work consisted of nine volumes (environmental law, hazardous wastes, water resources, environmental health, air pollution, flora, fauna and protected areas, rural production and ecology, population and the environment, executive summary/general recommendations) and generated a vast information base that is expected to yield many future benefits for improved E/NR management in Mexico. In 1990 the FUV completed this project by distributing the ninth volume of the State of the Environment Report during the World Environment Day celebrations in Mexico City.

In the past, FUV's principal actions have focused on policy, institutional and legal reform, and on technical assistance and training in support of priority E/NR actions. Many of these activities are an outgrowth of the State of the Environment Report assessments and studies.

The approach being used by FUV integrates both conservation and development disciplines and facilitates the participation of numerous public and private institutions.

FUV's work under this grant agreement will focus on three priority activities: 1. the development of technical ecological norms to control global climate change and select environmental contamination problems; 2. technical assistance and training to promote municipal and community EPM; and 3. a technical workshop to develop a research agenda and methodologies relating to global climate change in tropical Mexico. In addition, this grant will provide financial resources for training and technical assistance activities of mutual (FUV/AID) interest and that are supportive of the strategy outlined in the AID/M 1990-1992 GCC/E workplan.

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To date, FUV has experienced extraordinary success in the development of a multi-institutional and integrated program. Effective collaboration with SEDUE, (the Mexican Secretariat of Urban Development and Ecology), and a host of other Mexican and international organizations, has facilitated much progress toward improved E/NR management and environmental protection in Mexico.

Detailed descriptions of the activities, methodologies and expected outputs of each of the proposed components are provided as part of the grant proposal (Attachment IV.). These details will be used as a basis for project monitoring and evaluation.

BUDGET:

The amount of funding provided by AID for the project is \$143,042.00 U.S. dollars. This amount is to be split-funded by the GCC and ITT project accounts, as indicated in the summary budget provided below. The breakdowns of estimated costs for each line items are presented in the detailed grant proposal and will be used as a basis for accounting and financial control.

1. Assistance for the Development of Ecological Technical Norms (GCC)	\$ 27,500.
2. A Workshop on Global Climate Change as it Affects Tropical Mexico (GCC)	19,500.
3. Municipal Assistance for Environmental Planning, Impact Assessment and Management (GCC)	52,330.
4. GCC/EPM Technical Assistance and Training (ITT)	43,712.
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TOTAL	\$143,042.

MEMORANDUM OF NEGOTIATION

**ORGANIZATION:** FUNDACION UNIVERSO VEINTIUNO, A.C.

**ACTIVITY:** Improved Environmental Planning and Management Project

**REFS:** PROJECT NOS. 598-0616.23-523-0046 and 598-0784.23-523-0046.

Funding of the abovementioned activities was approved following several revisions and review of the final FUV proposal. This included a careful item by item review of the grant agreement budgets, as well as negotiations and meetings with the Academic Director and Director General of FUNDACION UNIVERSO VEINTIUNO, A.C.. Negotiations occurred both in FUV's central office, D.F. and in AID's office in Mexico, with the participation of G.Bowers and P.Zadroga of AID/M. Cost estimates are consistent with those of other similar projects.

The agreement was signed September 26, 1990.