

Agency for International Development
Washington, D.C. 20523

PD-ABT 260

ISA 80891

FILE

ACTION MEMORANDUM FOR THE DEPUTY ASSISTANT ADMINISTRATOR
BUREAU FOR EUROPE AND NEAR EAST

FROM: ENE/PDP, Robert Nachtrieb *RN*
ENE/EUR, Donald Pressley *DP*

SUBJECT: Social Process and Cultural Pluralism Project
(180-0021), Request for Authorization

Issue: We request your authorization of up to \$5,250,000 in grant funds for the East European Regional Social Process and Cultural Pluralism Project for activities to foster democratic pluralism. The Project Assistance Completion Date (PACD) will be three (3) years from the date of this authorization.

Background: Communist authorities in Central and Eastern Europe suppressed the public expression of ethnic differences and of democratic principles and practice. Now that a democratic transition is occurring in Central and Eastern Europe, sub-national groups associated with varying religions, ethnic groups, political aspirations, and languages are emerging with an equally diverse and often conflicting array of concerns. Without knowledge, experience or acceptance of the democratic means by which conflict and disagreements are reconciled or peacefully negotiated within a rule of law, these conflicts could threaten both democratic institutions and the nation states.

Schools and universities in Central and Eastern Europe have been dominated by a Marxist ideology that discouraged individual initiative and expression. The inculcation of communist ideology was an important goal of the system, and conflicting ideas were rarely tolerated. With the advent of democracy in Central and Eastern Europe, the educational system is now open to reform. Many educators are enthusiastic about the prospects for democratic change, but the inertia of the old system remains. Seminars, courses, and educational consulting are necessary to revitalize the teaching of social sciences. Such assistance is essential to ensure that academic pluralism becomes an integral part of the education process.

Societies in Central and Eastern Europe have been denied access to Western publications. The removal of censorship in these countries is not enough to restore the intellectual heritage that has long been denied. The hard currency to purchase textbooks is lacking, and efforts at building democratic societies could be hampered by a scarcity of Western publications. The Books for Democracy program is designed to supply and distribute books and related materials to Central and Eastern European libraries, universities, schools, teachers, and media.

Discussion: The project was reviewed and approved by the inter-agency committee on December 12, 1990. The draft Inter-Agency Agreement with USIA, the Project Memorandum (Green Paper), the minutes of the meeting, the Project Decision Paper (White Paper) and the Environmental Examination are attached (Attachments A, B, C, D, & E).

The purposes of the project are:

- o to institutionalize the democratic process in Central and Eastern Europe;
- o to support a commitment to democratic processes, the establishment of a democratic attitude and orientation, the development of civil society, a respect for ethnic and religious differences, an emotional and intellectual acceptance of the civil and legal means by which conflicts and differences (particularly between different ethnic, linguistic and religious groups) can be negotiated;
- o to support the integration of democratic principles and ideology into curricula and educational institutions;
- o to provide access to Western publications in areas of business, social sciences, law, and democracy that are vital to the political and economic development of Central and Eastern Europe.

One component of the project, civic education and minority issues, will be directly implemented by A.I.D. under AID Handbook 13 requirements for grants. A 632(b) inter-agency transfer to USIA will initiate implementation of the remaining two project components, i.e. educational reform and books for democracy (Attachment A). USIA will implement its programs under USIA rules and regulations. A brief description of each project component follows:

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a.) Civic Education and Minority Issues (A.I.D.) - \$1,250,000:

This element will address minority issues to assist in the peaceful, democratic resolution of conflict, a delicate area with an elevated risk factor. A broad solicitation of proposals, including a competitive process (if time and the programmatic prerequisites permit), will be used to elicit ideas regarding program content. The broad solicitation will include notices published in the newsletters of such academic associations as the American Sociological Association, the American Political Science Association, and the American Anthropological Association. We anticipate that the solicitation will produce a diverse array of applications and ideas. If no proposals offering the possibility of a valid and effective contribution are received, these funds will be reprogrammed. Your concurrence would be requested for any such reprogramming.

b.) Educational Reform (USIA) - \$2,000,000:

This project component will target educational reform by sending American university and secondary school educators and experts in history, economics, business, civics, political science, and other social sciences to Central and Eastern Europe to teach, consult, and conduct workshops in their areas of expertise (Annex A). In the process, these individuals will advise in-country education officials and assist with curriculum development projects.

Education reform issues will be addressed by funding U.S. academic lecturers (\$600,000) to teach, to develop curriculum, and to conduct faculty enrichment sessions; academic specialists (\$200,000) to complement the efforts of the academic lectures through short seminars and workshops; summer institutes (\$600,000) to train Eastern European university professors; U.S. based short-term study programs (\$150,000) for curriculum developers, textbook writers, teacher trainers, and government officials; teacher exchange programs (\$250,000) to send U.S. secondary school science teachers to teach in Central and Eastern European schools; and a small grants program (\$200,000) to address small, unique proposals.

c.) Books for Democracy (USIA) - \$2,000,000:

The books for democracy initiative will provide assistance in four key areas: procurement of books and materials (\$880,000), delivery and distribution of donated books (\$250,000), assistance to Eastern European publishers (\$240,000), and assistance to libraries (\$630,000) (Annex B).

Books, journals, and related materials on topics including business, economics, social science, law, American studies, and journalism will be purchased and distributed to libraries, government officials, schools (including secondary schools), universities, students, and teachers in Central and Eastern Europe. Workshops on publishing, information access, and library assistance will be held, and institution to institution book donations will be supported.

In the short-run, emphases will be placed on the practical aspects of developing democracy, relying primarily on English-language materials. Any longer-term programs would focus on translation of more materials into vernacular languages and assistance to the local publishing industry in the region.

Implementing Details:

a.) Congressional Notification: The CN for the Social Process and Cultural Pluralism Project was initially submitted on December 14, 1990 and was put on hold by Congress. A replacement CN was submitted on December 21. The CN is still on hold pending A.I.D.'s clarification of its procedures for soliciting grant proposals. Your authorization would be subject to the hold on the CN being lifted, at which time the Inter-Agency Agreement will be submitted for your signature or that of your designee.

b.) Host Country Duties/Taxes: A significant percentage of funds will be spent on books and other educational materials for these countries. A.I.D. shall seek to ensure that funds are not used to pay host country duties/taxes. A.I.D. anticipates concluding bilateral agreements in the near future with these Eastern European host countries which will, inter alia, provide such exemption for A.I.D. - financed goods and services. Until such agreements are in place, however, this matter will be handled on an ad hoc basis.

c.) Environmental Determination: This project qualifies for a categorical exclusion because it supports the institutionalization of the democratic processes in Central and Eastern Europe through technical assistance and training. A.I.D. assistance for education, technical assistance and training programs are generally categorically excluded from environmental examination under 22CFR216 Environmental Procedures in Sections 216.2 (c)(1)(i), and 216.2 (c)(2)(i). An Initial Environmental Examination Determination is included at Attachment E.

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d.) Source/Origin/Nationality: With regard to the USIA activities resulting from the 632(b) inter-agency transfer, the eligible source/origin/nationality for goods and services will follow established USIA procurement regulations. And where possible, USIA shall procure or obtain goods and services whose source, origin, or nationality is the United States, or as shall be otherwise designated by A.I.D. The eligible source/origin/nationality for goods and services procured with funds other than those transferred to USIA will follow A.I.D. regulations, which in order of preference, are as follows:

- A) The United States (Code 000)
- B) The Cooperating Countries
- C) Selected Free World (Code 941)
- D) Special Free World (Code 935)

The cooperating countries are not included in Code 941 or Code 935; consequently, you are hereby requested to invoke the authority contained in the Foreign Operations, Export Financing, and Related Programs Appropriations Act of 1991 allowing assistance to be provided "notwithstanding any other provision of law" to designate the cooperating countries as authorized sources of procurement.

e.) Evaluation: Those parts of this project implemented under the direction of USIA will be evaluated directly by USIA and by the Office of the Special Advisor for Eastern European Assistance to the Deputy Secretary of State and by A.I.D. in accordance with the terms of the inter-agency agreement. The program of each other grantee will be subject to its own evaluation procedures and evaluation by the Office of the Special Advisor for Eastern European Assistance to the Deputy Secretary of State, by A.I.D. or by independent evaluators selected by A.I.D. The entire multi-year program will be formally reviewed by the inter-agency group no less than once each fiscal year.

f.) Audit: The inter-agency agreement with USIA contains the standard A.I.D. clause which provides that the A.I.D. Inspector General will ensure that the program is audited in full compliance with the provisions of the Inspector General Act of 1978 (Attachment A). A.I.D. grants will contain the appropriate audit requirements.

g.) Reports: USIA will submit to A.I.D. quarterly financial and semi-annual narrative program reports on the progress of program activities as stated in the inter-agency agreement. Individual A.I.D. grants to other entities will also stipulate reporting requirements to A.I.D .

h.) Monitoring: ENE/TR/HR/DPI will monitor project progress through review of project reports, periodic meetings with grantees, and the formal inter-agency review meeting mentioned under the evaluation section above.

Authority: Chapter 1 of Part I of the Foreign Assistance Act of 1961, as amended, and Title II of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1991.

Recommendation: That you, subject to proper and completed notification of Congress and the availability of funds under the A.I.D. OYB allotment process, a) authorize up to \$5,250,000 to fund the subject project with a PACD of three years from the date of this authorization under the terms and conditions described herein and in Attachments hereto, b) approve the Initial Environmental Examination at Attachment E, and c) approve the designation of the cooperating countries as authorized sources of procurement by invoking the "notwithstanding" provision of the FY 1991 Appropriations Act.

Approved: *Justin Merrin*

Disapproved: _____

Date: 3/22/91

Attachments:

- A. Draft Inter-agency Agreement with USIA
 - Annex A: Educational Reform
 - Annex B: Books for Democracy
- B. Project Memorandum (Green Paper)
- C. Minutes of Inter-Agency Review Meeting
- D. Project Decision Paper (White Paper)
- E. Initial Environmental Examination Determination

Funding: Special Assistance Initiative: Assistance for Eastern Europe \$4.0 million
Appropriation Symbol: 72-11X1010

Budget Plan Code: QAIX-91-33180-IG-12 (184-63-180-01-69-11)

Funds Control Number: 1183575

Clearances:

ENE/TR/DPI: G.Hyman	<i>Guadalupe Hyman</i>	Date	<u>3/22/91</u>
GC/ENE: R.Sarkar	(draft)	Date	<u>3/18</u>
ENE/PDP/F: L.Rogers	(draft)	Date	<u>3/15</u>
ENE/EUR: D.Larson	(draft)	Date	<u>3/18</u>
ENE/TR/ENV: R.Greenberg	(draft)	Date	<u>3/15</u>
ENE/PD: R.Redman	(draft)	Date	<u>3/15</u>
ST/D/EEA: J.Curtin	(draft)	Date	<u>3/15</u>
PPC/PB/C: S.Merrill	(info)	Date	

DRAFTED: ENE/PD/EUR: R.Redman: ljb: 2/25/91: 0437u

REVISED: ENE/TR/HR: DBeck: 3/12/91: 0437u

DBeck

FUNDS RESERVED BY:

Initials: *K. Anderson*

Date Posted: 4-3-91

PFM/FM/A/PNP

OFFICE OF FINANCIAL MANAGEMENT

ATTACHMENT A

ATTACHMENT B

GREEN COPY
PROJECT MEMORANDUM
SOCIAL PROCESS AND CULTURAL PLURALISM
IN
CENTRAL AND EASTERN EUROPE
MARCH 13, 1991

Team Leader: Gerald Hyman, A.I.D.

DeAndra Beck
ENE/DR/DPI
(202) 647-9229

PROJECT MEMORANDUM

Project: Social Process and Cultural Pluralism

Project Purpose:

- 1) To institutionalize the democratic process in Central and Eastern Europe.
- 2) To support a commitment to democratic processes, the establishment of a democratic attitude and orientation, the development of civil society, a respect for ethnic and religious differences, and an emotional and intellectual acceptance of the civil and legal means by which conflicts and differences (particularly between different ethnic, linguistic and religious groups) can be negotiated.
- 3) To support the integration of democratic principles and ideology into curricula and educational institutions, thereby into the foundations of society.
- 4) to provide access to Western publications in areas of business, social sciences, law, and democracy that are vital to the political and economic development of Central and Eastern Europe.

Project Rationale:

The geographical boundaries that were established in Central and Eastern Europe after World War II disregarded cultural, national, and civic boundaries that existed prior to the war. Moreover, Communist authorities suppressed the public expression of ethnic differences and of democratic principles and practice. Now that a democratic transition has begun to evolve in Central and Eastern Europe, sub-national identities associated with varying religions, ethnic groups, political aspirations, and languages are emerging with an equally diverse, and often conflicting, array of concerns. Without knowledge, experience and acceptance of the democratic means by which conflict and disagreements are reconciled or at least negotiated within a rule of law, these

Project: Social Process and Cultural Pluralism

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conflicts could threaten democratic institutions, peace and civility, and the nation state.

Schools and universities in Central and Eastern Europe have been dominated by a Marxist ideology that discouraged individual initiative and expression. The inculcation of communist ideology was an important goal of the system, and conflicting ideas were rarely tolerated. With the advent of democracy in Central and Eastern Europe, the educational system is now open to reform. Many educators are enthusiastic about the prospects for democratic change, but the inertia of the old system remains. Seminars, courses, and educational consulting are necessary to revitalize the teaching of social sciences. Such assistance is essential to ensure that academic pluralism becomes an integral part of the education process.

Societies in Central and Eastern Europe have been denied access to Western publications. The removal of censorship in these countries is not enough to restore the intellectual heritage that has long been denied. The hard currency to purchase textbooks is lacking, and efforts at building democratic societies could be hampered by a scarcity of Western publications. Books and related materials must be collected and distributed to Central and Eastern European libraries, universities, schools, teachers, and media.

Project

Description: The Social Process and Cultural Pluralism project will consist of three components. The first component is Education Reform, which is content oriented and will target curricular reform of such syllabi as history, economics, civics and social sciences. Teachers will be trained in democratic principles and theory, and student groups may be encouraged to apply democratic practices in such activities as student government, student newspapers, and student organizations. A second component of the project, Civic Education and Minority Issues, will focus upon achieving a peaceful, democratic resolution to ethnic, religious, and political conflicts by addressing minority

Project: Social Process and Cultural Pluralism

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concerns, the peaceful resolution of those concerns, and civic education. This assistance should provide assurance that the rights, limitations, and responsibilities of individuals and groups will be recognized. The removal of censorship in Central and Eastern Europe has produced a demand for Western text, yet resources are lacking to purchase and distribute these books. A Books for Democracy component of the project will fund the costs of providing books and supplemental material relating to the principles and philosophical bases of democracy.

Proposed
Mechanism:

A 632(b) inter-agency transfer of \$2 million will be made to USIA to support the development of new curricula in such areas as history, economics, social sciences, and civic education. A 632(b) inter-agency transfer of \$2 million will be made to USIA to manage the Books for Democracy component of the project.

A.I.D. will manage the Civic Education and Minority issues component of the project. A.I.D. will solicit proposals from a broad range of potential grantees. If time and programmatic prerequisites permit, a request for applications will be published both in the Commerce Business Daily and in selected professional publications to solicit grant proposals in this area. Grant proposals will be reviewed by an inter-agency committee chaired by A.I.D. To the extent practical, grants will be competitively awarded, and consortia or joint ventures will be encouraged to submit applications. Issues of quality, access, sustainability, and cost will be examined for each assistance program considered.

Risk
Assessment:

- 1) Quality assistance may be difficult to identify; moreover, regional diversity may impose constraints for widespread access to training. We are certain that the problem exists, yet we are quite uncertain whether any U.S. assistance program can contribute to the solution of the problem.

Project: Social Process and Cultural Pluralism

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This is a delicate area, and there is a risk that more harm than good can be done. Unlike certain other more well-defined programs, broad solicitation and, to the extent practical, the competitive process will be used to elicit ideas regarding program content. If no proposals offering a reasonable hope for a valid and effective contribution are received, these funds will be reprogrammed. We anticipate that the competitive granting process will produce a diverse array of applications and ideas.

- 2) It may be difficult to provide sustainable assistance for civic education in countries where national or regional tensions exist. Civic education assistance will target schools through curricula reform and teacher training, local citizens through media efforts, and local officials through government training programs. Hopefully, widespread access to civic education will temper national and regional tensions.
- 3) The cost for providing training in skills of governance could be prohibitively high.

The competitive granting process should maximize cost effectiveness.

- 4) Grant awards and inter-agency transfers will limit A.I.D.'s influence over project management and content, yet A.I.D. will remain accountable for the efficacy of the entire assistance program.

Inter-agency transfers to USIA will be made because USIA possesses a predominant capability to provide the desired assistance. The inter-agency transfer document will include a substantial policy framework including the active participation of A.I.D. and the Special Advisor for Eastern European Assistance to the Deputy Secretary of State. USIA will be subject to certain requirements, including requirements for reporting, for awarding grants under its own rules of competition.

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Project: Social Process and Cultural Pluralism

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Grants will be made only on the basis of an detailed grant application. Normal A.I.D. grant supervision, including standard reporting requirements and accountability standards, will apply to A.I.D. direct grantees.

- 5) By addressing the national and regional factions that exist in the countries of Central and Eastern Europe, implied recognition or support of these groups may hinder efforts at democratization. Moreover, raising sub-national issues may exacerbate sub-national tensions.

Efforts should be made to provide equitable, non-biased assistance for all civic interests that request such assistance.

FY 1991 Funds

<u>to be obligated:</u>	\$2,000,000	Educational Reform (through USIA)
	\$1,250,000	Civic Education and Minority Issues
	<u>\$2,000,000</u>	Books for Democracy (through USIA)
	\$5,250,000	Total*

*Although the total funding for the project will remain constant, it may be necessary to transfer funds between the Educational Reform component and the Books for Democracy component of the project.

Anticipated Life
of Project

(1 yr.): \$5,250,000

Implementation

Plan: Two components of this program (the educational reform component and the Books for Democracy component) will be implemented by USIA through an inter-agency transfer. The inter-agency transfers to USIA will be contingent upon the quality of the project proposal and an evaluation of previous experiences in similar programs. The remaining portion relating to civic education and minority issues will be implemented by A.I.D. through a competitive solicitation of grants.

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Project: Social Process and Cultural Pluralism

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Implementing

- Details:
- 1) Host Country Duties/Taxes. A.I.D. shall seek to ensure that funds are not used to pay host country duties/taxes. A.I.D. anticipates concluding bilateral agreements in the near future with these Eastern European host countries which will, inter alia, provide such exemption for A.I.D. - financed goods and services. Until such agreements are in place, however, this matter will be handled on an ad hoc basis.
 - 2) Environmental Determination. This project qualifies for a categorical exclusion because it supports the institutionalization of the democratic processes in Central and Eastern Europe through technical assistance and training. A.I.D. assistance for education, technical assistance, or training programs are generally categorically excluded from environmental examination under 22 CFR 216 Environmental Procedures in Sections 216.2 (c)(1)(i), and 216.2 (c)(2)(i).
 - 3) Source/Origin/Nationality. The eligible source/origin/nationality for goods and services will follow established USIA regulations concerning procurement. And, where possible, USIA shall procure or obtain goods and services whose source, origin, or nationality is the United States, or as shall be otherwise designated by A.I.D. The eligible source/origin/nationality for goods and services procured with funds other than those transferred to USIA will follow A.I.D. regulations, which in order of preference are as follows:
 - A) The United States (Code 000)
 - B) The Cooperating Countries
 - C) Selected Free World (Code 941)
 - D) Special Free World (Code 935)

The cooperating countries are not included in Code 941 or Code 935; consequently, the authority contained in the Foreign Operations, Export Financing, and Related Programs

Project: Social Process and Cultural Pluralism

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Appropriations Act of 1991 allowing assistance to be provided "notwithstanding any other provision of law" must be invoked to designate the cooperating countries as authorized sources of procurement.

- 4) Audits. The audit and inspection requirements of the Inspector General Act of 1978, as amended, shall apply hereto, and the Office of the Inspector General, Agency for International Development shall ensure full compliance with all applicable provisions thereof.
- 5) Reports. USIA and grantees will submit to A.I.D. quarterly financial reports and semi-annual narrative reports on the progress of the program activities.
- 6) Monitoring. ENE/TR/HR/DPI will monitor project progress through review of the project reports, periodic meetings with grantees, and the formal inter-agency review meeting mentioned under the evaluation section below.

Evaluation: Those parts of this project implemented under the direction of USIA will be evaluated directly by USIA and by the Office of the Special Advisor for Eastern European Assistance to the Deputy Secretary of State and by A.I.D. in accordance with the terms of the inter-agency agreement. The program of each other grantee will be subject to its own evaluation procedures and evaluation by the Office of the Special Advisor for Eastern European Assistance to the Deputy Secretary of State, by A.I.D, or by independent evaluators chosen by A.I.D. The entire program will be subject to a formal inter-agency review no less than once during the fiscal year.

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GREEN COPY
PROJECT MEMORANDUM
SOCIAL PROCESS AND CULTURAL PLURALISM
(BOOKS FOR DEMOCRACY)
IN
CENTRAL AND EASTERN EUROPE
MARCH 14, 1991

USIA

PROJECT DECISION PAPER

Project: BOOKS FOR DEMOCRACY

<u>Funds:</u>	I. Procurement of Books	\$ 880,000
	II. Donation of Books	250,000
	III. Assistance to Book Publishers	240,000
	IV. Library Assistance	<u>630,000</u>
	TOTAL	\$2,000,000

Project Purpose: To distribute widely throughout East and Central Europe key works in print on democracy and free markets;

To support the dissemination and integration of democratic principles and ideas into the foundations of society.

Project Rationale: For fifty years societies in Eastern Europe have been denied access to Western publications. Western books were simply not available. The removal of censorship in these countries is not enough to return these countries to their intellectual heritage. These regions lack hard currency to purchase Western books. In every field the East Europeans lag behind their Western counterparts. The "Books for Democracy" program stems from an effort to help them catch up quickly. Books and related materials are critically needed as tools in their rebuilding efforts.

Project Description: The Books for Democracy initiative will provide assistance to Eastern Europe in four key areas: procurement of books and materials, donation of books, assistance to publishers and assistance to libraries. In the short-run, emphasis will be placed on the practical aspects of developing democracy, relying primarily on English-language materials. The longer-term programs would focus on translation of more materials into vernacular languages and assistance to the local publishing industry in the region.

Proposed Mechanism: The program will be implemented by the Agency through an inter-agency transfer from A.I.D. Various Agency program elements including the Bureau of Educational and Cultural Affairs and the Bureau of Programs, will be used to administer the program. Each element will work closely with the Office of the President's Eastern European Initiative to ensure coordination of the book program with other democratic initiatives underway throughout the Agency.

Implementation
Plan:

I. PROCUREMENT OF BOOKS TOTAL COST: \$880,000

(a) Special Book Procurement

Textbooks, handbooks and reference books in such key fields as marketing, management, organizational behavior, accounting, banking and finance, economics, and business planning, as well as fields of law, public administration, social and political processes, political philosophy and history would be procured competitively in special print runs of 1,000-2,000 each for direct distribution through USIS posts, AID missions, and Peace Corps volunteers to schools (including secondary schools), libraries and teachers.

In addition, in order to provide urgently needed access to information in all of the priority areas under the democratic initiatives, special book collections would be presented to universities and public institutions and to targeted individuals such as deans of key institutions, editors of important media publications, Members of Parliament, and other government officials.

Total special procurement: \$380,000

(b) Purchase of American Studies Collections for University and National Library Collections

Funding of 100 core collections of 50-70 books in fields of American history, literature, culture, demography, geography, political institutions for utilization by university departments and national libraries. \$180,000

(c) Purchase of Journal Subscriptions for East European Institutions

Funding of subscriptions for East European institutions, including selected libraries and other special 'targets of opportunity,' in market economics, management, law, social and political processes, political philosophy and history, as well as other professional fields of priority under the East European Initiative. \$150,000

(d) Purchase of Books for Fulbright Teachers

Fulbright exchange teachers and teacher trainers in the fields of journalism, social studies, history, civics, sciences, EFL and teaching methods in those fields desperately need subject matter textbooks, reference materials and journals for use in their classrooms. Each teacher could be given \$1,000 to purchase books for use by his or her students and to present permanent collections to schools in Poland, Hungary, Czechoslovakia and Bulgaria. \$ 90,000

(e) Pamphlets Series on Freedom of the Press

Preparation of a series of pamphlets on freedom of the press and First Amendment issues to be translated into the languages of Central and Eastern Europe. \$ 30,000

(f) Translation and Printing of a Text for Working Journalists

Print and translate a basic text on reporting for working journalists and journalism students. \$ 50,000

II. DONATION OF BOOKS TOTAL COST: \$250,000

(a) Donated Books through Grant Awards

U.S. non-profit organizations will receive funds for book donation programs which will include books on accounting, finance, banking, industrial relations, policy analysis, and other practical fields, as well as fields of law, public administration, social and political processes, political philosophy and history. The books will be targeted for use by grantees who will select desired titles from annotated lists supplied in advance of shipment. \$ 75,000

(b) Support for Institution-to-Institution Book Donations

USIA would fund shipments of collections of books donated from universities and other non-profit institutions in the U.S. to designated East European counterpart institutions. Note: USIA often gets requests for this kind of support but has no budget for this kind of activity. Shipments can be made through the Agency's Brooklyn Warehouse, with USIS posts arranging to turn the books over to their East European recipients. \$ 75,000

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(c) Support to Strengthen the Book-Distribution Facilities

With the expansion of the donated book program, support is needed at the receiving end to strengthen the distribution mechanism and process. \$100,000

III. ASSISTANCE TO PUBLISHERS TOTAL COST: \$240,000

(a) Publishing Education

Funding for a professional development program for 15-18 Eastern European participants. The program, combining a seminar and short internships with American publishers, would focus on financing, marketing, copyright, distribution and bookselling in a market economy. Funding of 3-5 American experts for working visits to Eastern Europe as follow-up to specific issues raised in the seminar-internship program would also be carried out. \$210,000

(b) Purchase of Books and Journals for East European Publishers

Funding of small reference shelves of 25-40 titles each of selected titles in fields of professional publishing and the international book trade, including referenced works for translators, for presentation to East European publishers. \$ 30,000

IV. LIBRARY ASSISTANCE TOTAL COST: \$630,000

(a) Special Collections for Libraries

Procurement of sets of special collections of 40 to 100 books each in priority areas such as accounting, finance, business management, economic forecasting, and industrial control of pollution, as well as fields of law, social and political processes, political philosophy and history. The sets would be exhibited at book fairs, universities, and libraries and donated to the host institutions which display them. Funds would also be used to cover costs of cataloguing, if necessary, by recipient institutions. \$295,000

(b) Assignment of American Library Fellows in Eastern Europe

Library Fellow projects in Poland, Romania and Yugoslavia will place American Library Associate (ALA) selected American librarians in key institutions for periods of eight to twelve months to carry out projects pertaining to library automation, cataloging and library school curriculum development. An important component of each project will be conducting training seminars and workshops for groups of librarians in each of the host countries. Funding under the project will cover the Fellows' expenses (round-trip travel, stipend and housing), in-country travel for host country workshop participants and training materials. Host institutions will also receive small, but essential collections of basic professional library publications on library/information science technology and practices.

\$155,000

(c) Journals on CD-ROM for Library Use

A core collection of business, economics, management and social science journals on compact discs will be provided to major central libraries in Poland, Czechoslovakia and Hungary. This system, developed and updated monthly by University Microfilms Incorporated, provides exact image, full-text reproductions of articles from more than 350 important American journals. Hardware included in the package---a computer, laser printer, and CD-ROM player--will become the property of the Eastern European recipient institution at the end of the three-year subscription period.

\$180,000

Risk
Assessment:

- 1) In considering the procurement of books in the U.S. it is important to be aware of the arcane nature of book publishing in the U.S. It may be difficult to move the books as quickly as we would hope.
- 2) The scholarly nature of many of the books we would send to Eastern Europe increases the length of time it takes to procure them.

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- 3) Distributing "free books" in Eastern Europe may impact negatively on the competition among local publishers. We will attempt to seek a balance between our desire to create independent publishing houses and flooding Eastern Europe with books.
- 4) The absorption capacity for books in the region may be hindered by the inadequate methods and techniques and unavailability of equipment and trained staff necessary to process the books.
- 5) In the area of donated books, it is important to bear in mind that the infrastructure at the receiving end is easily overwhelmed. Additional funding for administrative costs of the donated book program should help expedite the distribution process. However, we would not want to minimize any volunteer aspects of the East European networks by paying many of the costs.
- 6) Adapting the curricula of the training seminars to the needs of the participants could pose a challenge. We must be careful to avoid seminars of a general nature and emphasize the importance of meeting the specific needs of those individuals directly involved in the process.
- 7) The proper selection of participants in the various library and publishing seminars is critical. We must rely on USIS posts to choose practitioners, those who will be involved in the actual cataloguing and classifying of materials, as opposed to administrators.

#1842B

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GREEN COPY
PROJECT MEMORANDUM
SOCIAL PROCESS AND CULTURAL PLURALISM
(EDUCATIONAL REFORM)
IN
CENTRAL AND EASTERN EUROPE
MARCH 14, 1991

USIA

25

PROJECT DECISION PAPER

Project: Educational Reform

Funds: \$2,000,000

Purpose

- 1) To introduce the teaching of democracy and democratic principles in Central and Eastern Europe on the university, secondary, and continuing education level through educational reform assistance in the social sciences.
- 2) To utilize the democratization of the educational process to support an overall democratic framework in Central and Eastern Europe.
- 3) To counter over forty years of misperceptions about the U.S.

Rationale: Until very recently, schools and universities in Central and Eastern Europe were dominated by Marxist ideology, leaving little room for individual initiative. Teaching adherence to authoritarianism was the goal of this system, and conflicting ideas were not tolerated. Further, information taught about the U.S. and the West was false and misleading.

With the advent of democracy in Central and Eastern Europe, the educational system is now open to reform. Many educators are enthusiastic about the prospects for democratic change, but the inertia of the old system remains. All educators have been trained under the old system, and thus would benefit from seminars, courses, and educational consulting designed to revamp the teaching of social sciences. Such assistance is essential to ensure that academic pluralism becomes an integral part of the Central and Eastern European education process. In addition, curriculum reform assistance would help the countries of Central and Eastern Europe accurately teach the history and values of the West.

Description: This project would target educational reform, particularly in the areas of history, economics, civics, and other social sciences. American university and secondary school educators would be sent to Central and Eastern Europe where they would hold seminars and lectures, advise in-country education officials, and assist with curriculum development projects. A special effort would be made to conduct seminars and workshops during the summer months, when the greatest number of American and in-country academics would be available to participate. Audio-visual materials may be utilized in conjunction with curriculum development projects.

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American educators would spend up to one year working on various aspects of social science reform. A strong emphasis would be placed on sending social science educators to Eastern Europe in addition to curriculum reform specialists. In-country participants would be teachers, professors, and educational administrators, rather than students, in order to enhance the multiplier effect of these programs. Some programs for Central and Eastern European educators may be conducted in the U.S.

Mechanism:

Existing program mechanisms such as Fulbright grants and Academic Specialists would be utilized. Programs would be implemented by USIA in cooperation with core grantee organizations and USIS posts. USIA's Eastern European Initiatives office (D/EE) would coordinate and provide oversight.

Risk
Assessment:

1) The countries of Central and Eastern Europe are eager for as much curriculum reform assistance as USIA can provide, yet it may be difficult to determine exactly how, where, and to whom we should best target this assistance.

USIS posts should be able to target an optimum in-country audience, while American academics, familiar with the countries involved and with the subject matter, should be able to deliver highly-effective curriculum reform programs.

2) The curriculum development programs may not achieve maximum cost-effectiveness.

USIA would be utilizing long-standing programs such as the Fulbright and Academic Specialists programs. Agency personnel are thoroughly acquainted with the costs of these programs, and would ensure maximum cost effectiveness.

Evaluation:

Each program would be carefully planned, implemented, and evaluated by USIS-posts and the Office of Academic programs. USIA's Eastern European Initiatives office (D/EE) would provide oversight.

ATTACHMENT C

**Minutes from the DPI Project Decision Paper Review:
Social Process and Cultural Pluralism
in Central and Eastern Europe**

December 12, 1990

ISSUES/RESPONSES/NOTES

Civic Education and Minority Issues (AID)

ISSUE: The Project Decision Paper does not adequately reflect the differential risk between the Civic Education and Minority Issues component and the other two components of this project. Moreover, the risk assessment places an unwarrantably high risk of failure on the entire project, when in fact, the education reform and Books for Democracy components of the project have a minimal risk of failure. A majority of the risk lies with the Civic Education and Minority Issues component of the project.

RESPONSE: Agreed. The Project Memorandum should more accurately reflect the varying degrees of risk for each component of the project.

ISSUE: Would it be more effective to carry out training in civic education and minority issues predominantly in the U.S.?

RESPONSE: The program does not preclude some level of U.S. training, but one of the goals of the entire program is to build in-country institutional capacity. It is anticipated that this component of the project will become further defined upon consultation with representatives from the State Department desks, U.S. academia, and the European community. Additionally, a substantive proposal review will be undertaken by representative members of the interagency review committee, to be addressed in the Project Memorandum.

Educational Reform (USIA)

NOTE: Funding for this component has been increased from \$1M to \$2M to cover the expense of a U.S. faculty exchange program.

ISSUE: What is the relationship of the educational reform component of this project and the education component of the entire Eastern European program?

RESPONSE: The main purpose of the educational reform component of the democratization program is to assist Eastern European countries restructure their education systems through substantive changes in course content. Assistance in curriculum reform would reflect an incorporation of democratic principles into such areas as civics, history, economics, social studies, etc. The assistance is not designed to be an exercise in transferring U.S. teaching theory to the Eastern European community.

ISSUE: Would USIA get more results for its financial investment by shifting all funds from this component into the Books for

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Democracy component of the project?

RESPONSE: The purpose of this component of the project is to effect changes in curriculum and content of the educational system with respect to democracy, while the purpose of the Books for Democracy component of the project is to disseminate materials that relate to the philosophy, theory, and practice of democracy throughout Eastern Europe. Because the foci of the two components are complementary, it is possible that a similar mechanism of assistance would be provided for both components of the project. Due to the complementary nature of these two components, the Project Memorandum should reflect the possibility of transferring funds between the two as deemed appropriate.

ISSUE: The Project Decision Paper does not presently define the nature of curriculum reform nor where the initiative for reform is originating.

RESPONSE: The USIS missions have PAO's and CAO's in all the countries involved who are very familiar with the respective educational systems and with the officials who have educational authority. USIA should initiate dialog with US and EC representatives concerning curriculum reform, the former to give insight into substantive goals and the latter to avoid duplication of efforts. USIA has received unsolicited requests for aid in educational reform from Eastern European countries. Also, the EC has recently hosted the education ministers of Poland and Hungary and begun its own reform program.

ISSUE: Is there latitude to include primary school reform, post graduate reform and adult education?

RESPONSE: USIA does not traditionally get involved with primary school projects but prefers to work at the level of teachers colleges. There is room for supporting the development of certain functional areas of post-graduate study in, for example, law schools. Adult education and mass education are both beyond the scope of this component of the project.

ISSUE: Does the USIA Project Decision Paper cite an implementation mechanism?

RESPONSE: Yes, the Fulbright Program will be extensively utilized as will USIA's citizens' network for grant funded specific activities.

Books for Democracy (USIA)

ISSUE: How will this component address the concern that there is a heavy language bias toward English texts?

RESPONSE: Given the pressure for considerable impact within the first year of implementation, there is not adequate lead time to prepare quality translations. It is agreed that consideration be given to creative and expeditious means of solving this problem.

The percentage of local language texts will be a recurring concern in future evaluations. For now, there is a large market for books written in English to cover the lag time in putting translations in place; however, USIA will continue to increase the number of vernacular texts available. The Project Memorandum will be revised by USIA to reflect this method of implementation.

ISSUE: In section II(A) of the Project Decision Paper, who will receive the funds for book donation and for what purpose will the funds be used? Also, are the donated books to be targeted for specific groups, and if so, how will appropriate titles be chosen?

RESPONSE: U.S. donors will receive funds for shipping and distribution. The books are targeted for use by grantees who select desired titles from an annotated list supplied in advance by USIA. Book distribution facilities will be strengthened in-country only. The Project Decision Paper will be revised by USIA to reflect this means of implementation.

NOTE: In section II(A) of USIA's Books for Democracy Project Decision Paper, the phrase "expansion of" should be deleted.

ISSUE: In sections III and IV of the Books for Democracy Project Decision Paper, there is a heavy reliance on the use of seminars as a training tool. Is this a cost effective and appropriate means of implementation?

RESPONSE: USIA is confident that the training seminars will be an efficient and practical training tool. However, USIA will monitor the cost and the range of participants to ensure cost effective, equitable use of this means of implementation.

NOTE: This project is approved as acceptable to the Inter-Agency Committee.

Amendments to the Minutes

1. A Request for Expression of Interest will be published in the Commerce Business Daily and in appropriate academic journals to solicit interest in Civic Education and Minority Issues assistance. Grants will then be awarded either through open competition following a Request for Applications or through limited solicitation resulting from previously solicited interest.

List of Participants:

AID

DeAndra Beck	ENE/TR
Peter Hobby	ENE/EUR/PD
Travis Horel	PPC
Jerry Hyman	ENE/DPI
Rodney Johnson	GE/ENE/EUR
Susan Merrill	PPC/PB
Diane Miller	ENE/EUR/OP
Don Pressley	ENE/EUR
Ron Redman	ENE/EUR/PD

STATE DEPT

Shaun M. Byrnes	EUR/EEY
Jeremy Curtin	D/EEA
Jim Hooper	EUR/EEY
Amy L. Schwartz	HA
Keith C. Smith	D/EEA

TREASURY DEPT

Daniel Berg	ITE
-------------	-----

USIA

Anne Chermak	D/EE
Walt Raymond	D/EE

ATTACHMENT D

DRAFT
PROJECT DECISION PAPER
SOCIAL PROCESS AND CULTURAL PLURALISM
(EDUCATIONAL REFORM)
CENTRAL AND EASTERN EUROPE
DECEMBER 24, 1991

USIA

PROJECT DECISION PAPER

DRAFT

Project: Educational Reform

Funds: \$2,000,000

Purpose

- 1) To introduce the teaching of democracy and democratic principles in Central and Eastern Europe on the university, secondary, and continuing education level through educational reform assistance in the social sciences.
- 2) To utilize the democratization of the educational process to support an overall democratic framework in Central and Eastern Europe.
- 3) To counter over forty years of misperceptions about the U.S.

Rationale:

Until very recently, schools and universities in Central and Eastern Europe were dominated by Marxist ideology, leaving little room for individual initiative. Teaching adherence to authoritarianism was the goal of this system, and conflicting ideas were not tolerated. Further, information taught about the U.S. and the West was false and misleading.

With the advent of democracy in Central and Eastern Europe, the educational system is now open to reform. Many educators are enthusiastic about the prospects for democratic change, but the inertia of the old system remains. All educators have been trained under the old system, and thus would benefit from seminars, courses, and educational consulting designed to revamp the teaching of social sciences. Such assistance is essential to ensure that academic pluralism becomes an integral part of the Central and Eastern European education process. In addition, curriculum reform assistance would help the countries of Central and Eastern Europe accurately teach the history and values of the West.

Description:

This project would target educational reform, particularly in the areas of history, economics, civics, and other social sciences. American university and secondary school educators would be sent to Central and Eastern Europe where they would hold seminars and lectures, advise in-country education officials, and assist with curriculum development projects. A special effort would be made to conduct seminars and workshops during the summer months, when the greatest number of American and in-country academics would be available to participate. Audio-visual materials may be utilized in conjunction with curriculum development projects.

American educators would spend up to one year working on various aspects of social science reform. A strong emphasis would be placed on sending social science educators to Eastern Europe in addition to curriculum reform specialists. In-country participants would be teachers, professors, and educational administrators, rather than students, in order to enhance the multiplier effect of these programs. Some programs for Central and Eastern European educators may be conducted in the U.S.

Mechanism:

Existing program mechanisms such as Fulbright grants and Academic Specialists would be utilized. Programs would be implemented by USIA in cooperation with core grantee organizations and USIS posts. USIA's Eastern European Initiatives office (D/EE) would coordinate and provide oversight.

Risk

Assessment:

1) The countries of Central and Eastern Europe are eager for as much curriculum reform assistance as USIA can provide, yet it may be difficult to determine exactly how, where, and to whom we should best target this assistance.

USIS posts should be able to target an optimum in-country audience, while American academics, familiar with the countries involved and with the subject matter, should be able to deliver highly-effective curriculum reform programs.

2) The curriculum development programs may not achieve maximum cost-effectiveness.

USIA would be utilizing long-standing programs such as the Fulbright and Academic Specialists programs. Agency personnel are thoroughly acquainted with the costs of these programs, and would ensure maximum cost effectiveness.

Evaluation:

Each program would be carefully planned, implemented, and evaluated by USIS-posts and the Office of Academic programs. USIA's Eastern European Initiatives office (D/EE) would provide oversight.

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DRAFT
PROJECT DECISION PAPER
SOCIAL PROCESS AND CULTURAL PLURALISM
(BOOKS FOR DEMOCRACY)
CENTRAL AND EASTERN EUROPE
DECEMBER 24, 1991

USIA

PROJECT DECISION PAPER

DRAFTProject: Books For Democracy

<u>Funds:</u>	I. Procurement of Books	\$ 880,000
	II. Donation of Books	250,000
	III. Assistance to Book Publishers	240,000
	IV. Library Assistance	630,000
	TOTAL	\$2,000,000

Project Purpose: To distribute widely throughout East and Central Europe key works in print on democracy and free markets;

To support the dissemination and integration of democratic principles and ideas into the foundations of society.

Project Rationale:

For fifty years societies in Eastern Europe have been denied access to Western publications. Western books were simply not available. The removal of censorship in these countries is not enough to return these countries to their intellectual heritage. These regions lack hard currency to purchase western books. In every field the East Europeans lag behind their western counterparts. The "Books for Democracy" program stems from an effort to help them catch up quickly. Books and related materials are critically needed as tools in their rebuilding efforts.

Project Description:

The Books for Democracy initiative will provide assistance to Eastern Europe in four key areas: procurement of books and materials, donation of books, assistance to publishers and assistance to libraries. In the short-run, emphasis will be placed on the practical aspects of developing democracy, relying primarily on English-language materials. The longer-term programs would focus on translation of more materials into vernacular languages and assistance to the local publishing industry in the region.

Proposed Mechanism:

The program will be implemented by the Agency through an inter-agency transfer from A.I.D. Various Agency program elements including the Bureau of Educational and Cultural Affairs and the Bureau of Programs, will be used to administer the program. Each element will work closely with the Office of the President's Eastern European Initiative to ensure coordination of the book program with other democratic initiatives underway throughout the Agency.

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Implementation
Plan:

I. PROCUREMENT OF BOOKS. TOTAL COST: \$880,000

(a) Special Book Procurement

Textbooks, handbooks and reference books in such key fields as marketing, management, organizational behavior, accounting, banking and finance, economics, and business planning, as well as fields of law, public administration, social and political processes, political philosophy and history would be procured competitively in special print runs of 1,000-2,000 each for direct distribution through USIS posts, AID missions, and Peace Corps volunteers to schools (including secondary schools), libraries and teachers.

In addition, in order to provide urgently needed access to information in all of the priority areas under the democratic initiatives, special book collections would be presented to universities and public institutions and to targeted individuals such as deans of key institutions, editors of important media publications, Members of Parliament, and other government officials. Special supplies would be maintained and made available for U.S. Fulbright lecturers who teach in East European countries.

Total special procurement: \$430,000

(b) Purchase of American Studies Collections for University and National Library Collections

Funding of 100 core collections of 100 books in fields of American history, literature, culture, demography, geography, political institutions for utilization by university departments and national libraries.

\$180,000

(c) Purchase of Journal Subscriptions for East European Institutions

Funding of subscriptions for East European institutions, including selected libraries and other special 'targets of opportunity,' in market economics, management, law, social and political processes, political philosophy and history, as well as other professional fields of priority under the East European Initiative.

\$150,000

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(d) Purchase of Books for Fulbright Teachers

Fulbright exchange teachers and teacher trainers in the fields of social studies, history, civics, sciences, EFL and teaching methods in those fields desperately need subject matter textbooks, reference materials and journals for use in their classrooms. Each teacher could be given \$1,000 to purchase books for use by his or her students and to present permanent collections to schools in Poland, Hungary, Czechoslovakia and Bulgaria. \$ 40,000

(e) Pamphlets Series on Freedom of the Press

Preparation of a series of pamphlets on freedom of the press and First Amendment issues to be translated into five languages of Central and Eastern Europe. \$ 30,000

(f) Printing and Translation of a Text for Working Journalists

Print and translate a basic text on reporting for working journalists and journalism students. \$ 50,000

II. DONATION OF BOOKS

TOTAL COST: \$250,000

(a) Donated Books through Grant Awards

Expansion of support to U.S. non-profit organizations to allow inclusion of books on accounting, finance, banking, industrial relations, policy analysis, and other practical fields, as well as fields of law, public administration, social and political processes, political philosophy and history. \$ 75,000

(b) Support for Institution-to-Institution Book Donations

USIA would fund shipments of collections of books donated from universities and other non-profit institutions in the U.S. to designated East European counterpart institutions. Note: USIA often gets requests for this kind of support but has no budget for this kind of activity. Shipments can be made through the Agency's Brooklyn Warehouse, with USIS posts arranging to turn the books over to their East European recipients. \$ 75,000

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(c) Support to Strengthen the Book-Distribution Facilities

With the expansion of the donated book program, support is needed at the receiving end to strengthen the distribution mechanism and process. \$100,000

III. ASSISTANCE TO PUBLISHERS TOTAL COST: \$240,000

(a) Publishing Education

Funding for two seminars of 15-20 participants each for East European publishers on financing, marketing, copyright, distribution and bookselling in a market economy, with short internships in U.S. publishing houses. Funding of 8-10 American experts for working visits, administered through the Agency's Amparts program, to Eastern Europe as follow-up from the seminars. In conjunction with publishing seminars, USIA would also cooperate with professional publishing organizations in the U.S. to develop a series of workshops to be held in Eastern Europe on copyright and intellectual property issues for publishers. \$210,000

(b) Purchase of Books and Journals for East European Publishers

Funding of small reference shelves of 25-40 titles each of selected titles in fields of professional publishing and the international book trade, including referenced works for translators, for presentation to East European publishers. \$ 30,000

IV. LIBRARY ASSISTANCE TOTAL COST: \$630,000

(a) Special Collections for Libraries

Procurement of fifty sets of 10-12 special collections of 60-75 books each in priority subject areas such as accounting, finance, business management, economic forecasting, and industrial control of pollution, as well as fields of law, social and political processes, political philosophy and history. The sets would be exhibited at book fairs, universities, and libraries and donated to the host institutions which display them. Funds would also be used to cover costs of cataloguing, if necessary, by recipient institutions. \$245,000

(b) Seminars in Eastern Europe on Library Methods, Information Access, and Publishing

One two-week seminar of twenty participants from Eastern European library educators, administrators and professionals in large research and university libraries. Participants would receive 1) current principals of management and organization of library materials, particularly modern classification and cataloguing methods and technology; 2) training on adopting international library classification standards and lead to curricular reform. These projects would be managed by either a university library or library association. In addition, two library fellows will be placed in Central and Eastern Europe to support the education and training of librarians.

One two-week seminar of twenty participants from Eastern European library educators, administrators and professionals in large research and university libraries on information systems and services, automated retrieval services, electronic formats. This training would increase scholarly access and cooperation between institutions in Eastern Europe and the West and lead to curricular reform. \$250,000

(c) Funding of Cataloguing and Classification Materials to Libraries and Acquisition Tools

These materials would be targeted for institutes and research libraries and library training institutes and would include sets of annuals and periodicals and basic cataloging and classification library sets. \$20,000

(d) Journals on CD-ROM for Library Use

A central core collection of 350 business, economics management, and social science journals would be acquired through the ABI Inform project by UMI inc. The package provides a computer, a laser printer, and a CD-ROM player. The system provides journals on DC-ROM from 1987 to date. It is updated monthly. The entire program is leased from UMI, with the hardware ownership converted to the subscriber after three years. Copyright clearance included in the price. One set each for institutions in Warsaw, Budapest and Prague. \$115,000

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Risk
Assessment:

- 1) In considering the procurement of books in the U.S. it is important to be aware of the arcane nature of book publishing in the U.S. It may be difficult to move the books as quickly as we would hope.
- 2) The scholarly nature of many of the books we would send to Eastern Europe increases the length of time it takes to procure them.
- 3) Distributing "free books" in Eastern Europe may impact negatively on the competition among local publishers. We will attempt to seek a balance between our desire to create independent publishing houses and flooding Eastern Europe with books.
- 4) The absorption capacity for books in the region may be hindered by the inadequate methods and techniques and unavailability of equipment and trained staff necessary to process the books.
- 5) In the area of donated books, it is important to bear in mind that the infrastructure at the receiving end is easily overwhelmed. Additional funding for administrative costs of the donated book program should help expedite the distribution process. However, we would not want to minimize any volunteer aspects of the East European networks by paying many of the costs.
- 6) Adapting the curricula of the training seminars to the needs of the participants could pose a challenge. We must be careful to avoid seminars of a general nature and emphasize the importance of meeting the specific needs of those individuals directly involved in the process.
- 7) The proper selection of participants in the various library and publishing seminars is critical. We must rely on USIS posts to choose practitioners, those who will be involved in the actual cataloguing and classifying of materials, as opposed to administrators.

DRAFT
PROJECT DECISION PAPER
SOCIAL PROCESS AND CULTURAL PLURALISM
IN
CENTRAL AND EASTERN EUROPE
DECEMBER 6, 1990

Team Leader:

Gerald Hyman, A.I.D.

DeAndra Beck

ENE/DR/DPI
(202) 647-9229

PROJECT DECISION PAPER

Project: Social Process and Cultural Pluralism

Project Purpose:

- 1) To institutionalize the democratic process in Central and Eastern Europe.
- 2) To support a commitment to democratic processes, the establishment of a democratic attitude and orientation, the development of civil society, a respect for ethnic and religious differences, and an emotional and intellectual acceptance of the civil and legal means by which conflicts and differences (particularly between different ethnic, linguistic and religious groups) can be negotiated.
- 3) To support the integration of democratic principles and ideology into curricula, educational institutions, and thereby into the foundations of society.

Project Rationale:

The geographical boundaries that were established in Central and Eastern Europe after World War II disregarded cultural, national, and civic boundaries that existed prior to the war. Moreover, Communist authorities suppressed the public expression of ethnic differences and of democratic principles and practice. Now that a democratic transition has begun to evolve in Central and Eastern Europe, sub-national identities associated with varying religions, ethnic groups, political aspirations, and languages are emerging with an equally diverse, and often conflicting, array of concerns. Without knowledge, experience and acceptance of the democratic means by which conflict and disagreements are reconciled or at least negotiated within a rule of law, these conflicts could threaten both democratic institutions and the nation state.

Project: Social Process and Cultural Pluralism

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Project
Description:

The project will target educational reform, including curricular reform of history, economics, and civics syllabi. Additionally, student councils and student newspapers may be supported, and teachers will be trained in democratic principles. The project will address minority issues to assist in the peaceful, democratic resolution of conflict, and civic education will be supported so that the rights, responsibilities, and limitations of individuals and groups will be recognized. A "Books for Democracy" initiative will fund the costs of providing books and supplemental material relating to the principles and philosophical bases of democracy.

Proposed
Mechanism:

An inter-agency transfer to USIA will be made to 1) support the development of new civics curricula in the area of democratic civic education and 2) to support experimental student projects in the practice of democracy. USIA will also manage the "Books for Democracy" initiative.

Requests for applications will be published both in the Commerce Business Daily and in certain professional publications to solicit grant proposals for assistance in civic education and minority issues. The grants will be competitively awarded, and consortia or joint ventures will be encouraged to submit applications. Issues of quality, access, sustainability, and cost-effectiveness will be examined for each assistance program considered.

Risk
Assessment:

- 1) Quality assistance may be difficult to identify; moreover, regional diversity may impose constraints for widespread access to training. We are certain that the problem exists, yet we are quite uncertain whether any U.S. assistance program can contribute to the solution of the problem.

This is a delicate area, and there is a risk that more harm than good can be done. Unlike certain other more well-defined programs, the competitive

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Project: Social Process and Cultural Pluralism

- 3 -

process will be used to elicit ideas regarding program content. If no proposals offering a reasonable hope for a valid and effective contribution are received, these funds will be reprogrammed. We anticipate that the competitive granting process will produce a diverse array of applications and ideas.

- 2) It may be difficult to provide sustainable assistance for civic education in countries where national or regional tensions exist. Civic education assistance will target schools through curricula reform and teacher training, local citizens through media efforts, and local officials through government training programs. Hopefully, widespread access to civic education will temper national and regional tensions.
- 3) The cost for providing extensive training in skills of governance could be prohibitively high.

The competitive granting process should maximize cost effectiveness.
- 4) Grant awards and inter-agency transfers will limit A.I.D.'s influence over project management and content, yet A.I.D. will remain accountable for the efficacy of the entire assistance program.

Inter-agency transfers to USIA will be made when USIA possesses an exclusive or predominant capability to provide the desired assistance. The inter-agency transfer document will include a substantial policy framework including the active participation of A.I.D. and the Office of the Coordinator for Assistance to Central and Eastern Europe. USIA will be subject to certain requirements, including requirements for reporting, for awarding grants under its own rules of competition.

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Project: Social Process and Cultural Pluralism

- 4 -

Grants will be made only on the basis of an detailed grant application. Normal A.I.D. grant supervision, including standard reporting requirements and accountability standards, will apply to A.I.D. direct grantees.

- 5) By addressing the national and regional factions that exist in the countries of Central and Eastern Europe, implied recognition or support of these groups may hinder efforts at democratization. Moreover, raising sub-national issues may exacerbate sub-national tensions.

Efforts should be made to provide equitable, non-biased assistance for all civic interests that request such assistance.

FY 1991 Funds
to be obligated:

\$1,000,000	Educational reform (through USIA)
\$1,250,000	Civic education and minority issues
<u>\$2,000,000</u>	Books for Democracy (through USIA)
\$4,250,000	Total

Anticipated Life
of Project
(1 yr.):

\$4,250,000

Implementation
Plan:

Two components of this program (the educational reform component and the Books for Democracy component) will be implemented by USIA through an inter-agency transfer. The inter-agency transfers to USIA will be contingent upon the quality of the project proposal and an evaluation of previous experiences in similar programs. The remaining portion relating to civic education and minority issues will be implemented by A.I.D. through a competitive solicitation of grants.

Evaluation:

The program of each grantee or transferee will be subject to its own evaluation procedures and to evaluation by the Office of the Coordinator for Assistance to Eastern Europe, by A.I.D., or by independent evaluators chosen by A.I.D.

The entire multi-year program will be subject to a formal inter-agency review no less than once each fiscal year.

ATTACHMENT E

INITIAL ENVIRONMENTAL EXAMINATION

- (A) PROGRAM COUNTRY: Poland, Hungary, Czech and Slovak, Federal Republic Yugoslavia, Romania and Bulgaria
- (B) ACTIVITY: Social Process and Cultural Pluralism
- (C) FUNDING: \$5,250,000
- (D) PERIOD OF FUNDING: FY 1991
- (E) STATEMENT PREPARED BY: Ron Redman
ENE/PDP/EUR
- (F) ENVIRONMENTAL ACTION RECOMMENDED:
Categorical Exclusion under
A.I.D. Regulation 22CFR216
Environmental Procedures 216.2
(c)(1)(i), and 216.2 (c)(2)(i).

(G) DECISION OF DEPUTY ASSISTANT ADMINISTRATOR

APPROVED: 

DISAPPROVED: _____

DATE: APRIL 3, 1991

(H) DECISION OF ENE ENVIRONMENTAL OFFICER

APPROVED: 

DISAPPROVED: _____

DATE: 4/3/1991

EXAMINATION OF THE NATURE, SCOPE AND MAGNITUDE OF THE
ENVIRONMENTAL IMPACT

A. DESCRIPTION OF THE PROGRAM

This project is aimed at institutionalizing the democratic process in Central and Eastern Europe by supporting the integration of democratic principles and ideology into curricula and educational institutions, thereby into the foundations of society. This assistance will be provided through technical assistance, training, and procurement of books and materials.

B. RECOMMENDED ENVIRONMENTAL ACTION:

Considering the above-outlined project, a categorical exclusion from A.I.D.'s Initial Environmental Examination requirements is proposed. This proposal is in accordance with A.I.D. Environmental Procedures 22CFR Part 216, Sections 216.2 (c)(1)(i) which generally provides for a categorical exclusion in situations where the action does not have an effect on the natural or physical environment. Specifically, this activity is categorically excluded under Sections 216.2 (c)(2)(i) for education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.)

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