

PD-ABE-882
15N 79810

University of Massachusetts, Amherst
Center for International Education
University Development Linkages Project
Cooperative Agreement No. DAN-5063-A-00-1108-00

ANNUAL ACTIVITY REPORT
Oct 1, 1991 - Sept 30, 1992

1. Description of past year's activities for each objective: The Literacy Linkage Program is divided into three goals for budgeting and financial reporting. Each goal contains two objectives for planning and implementation of activities.

GOAL 1: COLLABORATIVE LINKAGE BETWEEN CIE/UMASS AND CERID/TU

Objective 1: Collaborative Management Joan Dixon (CIE Project Coordinator) and David Kinsey (PI) traveled to Nepal in February to meet with CERID and World Education/Nepal personnel and establish a collaborative process for working together. The process to get an approved subcontract agreeable to USAID, UMass and CERID took several months and was finally completed on August 25, 1992. In spite of this delay, work went forward to lay the groundwork for the linkage project. A fax machine was purchased by the project in July to facilitate smoother communication between the linkage partners. Formative evaluation of the collaborative effort was facilitated informally by Sumon Tuladhar, an employee of CERID who is currently on leave to pursue a doctorate at UMass. She spent two months in Nepal during June and July doing dissertation research and working with CERID. During this time staff were able to discuss any problems, confusions and miscommunications with her. She provided a vital liaison service in helping the two organizations bridge cultural and organizational differences. Surya Bahadur Shrestha (CERID Project Coordinator) and Bidya Nath Koirala (CERID Researcher) spent five weeks at UMass for the Summer Literacy Institute. They worked with Joan Dixon to analyze information from the NIR Assessment (see below), discuss organizational processes and design the 2nd Year Workplan.

Objective 2: Needs, Interests, Resource (NIR) Assessment CERID's Working Committee collected information from 26 agencies who do literacy education in Nepal. Sumon Tuladhar assisted CERID staff in compiling information from the NIR Assessment. She also worked with Surya Bahadur Shrestha to organize a Seminar held on July 6-7. 40 participants attended the Seminar where they gave feedback, discussed issues and prioritized areas of interest. The information collected from the literacy provider agencies determined the priorities and activities for the 2nd Year Workplan. A copy of the NIR Assessment Seminar Report is enclosed.

GOAL 2: INCREASE INTERNATIONAL AND COMMUNITY DEVELOPMENT PERSPECTIVE OF UMASS

Objective 3: Increase UMass Interest & Involvement A conference titled "Implications of the Concepts of Family Literacy and Intergenerational Literacy for Program Development, Teacher Education and Research" was organized by UMass faculty from three campuses and Literacy Linkage Program staff. Over 70 participants came. The conference information contributed to the development of two grant proposals to start a Family Literacy Research Network that would link

1

partners in Nepal with program practitioners in the United States. Sumon Tuladnar introduced Family Literacy to Nepal at a workshop she facilitated in Kathmandu in June. An informal discussion was held with the Dean of the School of Education at UMass regarding a request from the NIR Assessment to develop a correspondence course for literacy practitioners. He expressed willingness to discuss the possibility in more detail in the fall.

Objective 4: Collaborative Projects Progress has been made in two areas to develop collaborative projects. 1) Family Literacy: Linkage Project staff at CIE developed a proposal for a Family Literacy Project with Save the Children, in Nepal. UNESCO's Young Child in the Family Environment Program will provide \$10,000 to Save the Children to fund the first phase. Some of this money will fund a research assistant from UMass to go to Nepal next summer. CERID staff will also participate in the setting up the project during the coming year. 2) UNESCO/APPEAL: Linkage Project staff at CERID have made connections with Mr. Thakur Man Sakya, Educational Advisor and Coordinator of APPEAL Program (Asia Pacific Education and Adult Literacy) at UNESCO/Bangkok. Arrangements were made to co-host a regional seminar for literacy personnel on training issues in October 1992. CERID has also been invited to develop and submit proposals for innovative studies and workshops for literacy personnel.

GOAL 3: INCREASE CAPACITY OF CERID TO PROVIDE TRAINING AND TECHNICAL ASSISTANCE IN THE FIELD OF LITERACY AND DEVELOPMENT

Objective 5: CERID Staff Development Five participants from Nepal attended the Summer Institute for Literacy Professionals at UMass during July and August. Surya Bahadur Shrestha and Bidya Nath Koirala from CERID, Narendra Katwal from the Ministry of Education and Culture, and Buddhi Man Shrestha from Save the Children were funded by the Linkage Project. Udaya Manandhar was funded by Save the Children to attend for 2-1/2 weeks to discuss family literacy. The Institute curriculum focused on program planning, research approaches, family literacy, evaluation and networking. In addition, participants worked in small groups to develop resource packets and guidelines for the Linkage Program seminars which CERID will conduct next year in Nepal. A copy of the training manual is being submitted with the Quarterly Training Report.

Objective 6: CERID Organizational Development 1) At the NIR Seminar, it was decided that the Literacy Providers should form a network to share information on a regular basis. CERID will facilitate the first meeting and leadership will rotate to each organization for subsequent meetings. It was decided that Linkage Program staff at CIE will work with CERID staff to develop guidelines and training modules for literacy networks in Nepal during the coming year. 2) CERID organized an Advisory Panel for the Literacy Linkage Program in Nepal. They met in April and authorized the Executive Director of CERID to decide the policies and working strategies needed for program activities. The University Central Office and the Ministry of Education and Culture will provide full cooperation in approaching international and governmental agencies within or outside the country. The Advisory Panel met a second time in September to review progress to date and to approve the 2nd Year Workplan. They are eager to establish a correspondence course for literacy practitioners through the university linkage project. They began discussing possibilities of crediting the course through Tribhuvan University's system, possibly starting a literacy course in the Faculty of Education and using the national literacy materials in their teacher training programs. They also decided to work more closely with the new National Education Development Center when it starts working.

2

2. Progress toward the completion of each linkage objective:

GOAL 1: COLLABORATIVE LINKAGE BETWEEN CIE/UMASS AND CERID/TU

Objective 1: Collaborative Management A lot of the effort during this first year was geared toward establishing a collaborative relationship and learning how to work together. This objective is on-going throughout the life of the project. We have laid a good foundation that will be strengthened and expanded during the coming years.

Objective 2: NIR Assessment This objective as described in the original proposal is completed. However, we have recognized that we need to have an on-going needs, interests and resource assessment built into the project to keep our decisions and activities abreast of the changing opportunities and constraints for literacy in Nepal. Therefore, we will continue to work on this objective each year.

GOAL 2: INCREASE INTERNATIONAL AND COMMUNITY DEVELOPMENT PERSPECTIVE OF UMass

Objective 3: UMass Involvement This objective is the least developed. The completion of the NIR Assessment has provided a framework for defining UMass Involvement. The foundation for developing the potential of this objective will be laid during the coming year.

Objective 4: Collaborative Projects One project (Family Literacy) has received start-up funding from UNESCO. Contacts have been initiated to develop other collaborations. We have made a good start, but we anticipate that this objective's potential will really grow during the next four years. Family Literacy appears to be a very fruitful area because there is a lot of interest among programs and funders. We have established a connection with the Amherst Schools and are looking for funds to do a comparative study of family literacy with Rural Nepali Families and Cambodian Refugee Families. The foundation of this linkage was established at the Summer Institute.

INCREASE CAPACITY OF CERID TO PROVIDE TRAINING AND TECHNICAL ASSISTANCE IN THE FIELD OF LITERACY AND DEVELOPMENT

Objective 5: CERID Staff Development The desired outcome for year one was to gain a shared vision for meeting this objective. We are now in agreement that this objective should include literacy practitioners from other organizations in addition to CERID. We also decided that we should not use the resources in this objective to bring people to UMass for short-term training as described in the original proposal. We feel that more people will be able to benefit from the staff development opportunities if we locate the training programs in Nepal or if we do a study tour to neighboring Asian countries. The activities for this objectives will be further defined during year two.

Objective 6: CERID Organizational Development Recommendations for activities in this area were identified in the NIR Assessment report. It was decided that during year two, we would focus on two areas: first, to define CERID's role in the Nepal literacy community and help literacy providers develop a network; and second, to increase CERID's capacity to conduct training programs in Adult Literacy through offering two Seminars in grassroots level planning and local materials development. CERID is also developing its capacity through collaborations with UNESCO-Bangkok. Preparations to co-host a Sub-Regional Workshop for Training of Literacy Personnel in October were made during the final quarter of this year.

3. Problems or barriers affecting the progress toward achieving the linkage objectives:

The major problem affecting the progress of the project was the delay in getting the project started due to resistance at the USAID/Nepal field office and internal start-up difficulties with UMass Office of Grants and Contracts. In spite of the slow start, we have made good progress on all the objectives except for Objective #3. The involvement of UMass faculty and students in the project had to be delayed until we had information concerning needs and interests in Nepal. Because of the good will of our linkage partner, CERID, the long delay in the signing of the sub-contract agreement did not prevent progress on Objective #2, the NIR Assessment which was the most critical activity for year one.

4. Quantitative outputs of the linkage activities:

Objective 2: NIR Assessment

** 40 representatives from 26 organizations in Nepal participated in the NIR Assessment and Seminar and will be joining the Literacy Provider Network

** 70 biodata forms from resource personnel working for NGOs and GOs in Nepal have been collected and entered into a computer data-base. A directory will be published next year so that these resource people can be contacted to assist other organizations with training, consulting, materials development, and other non-formal education and literacy needs.

Objective 3: UMass Involvement

** More than 70 participants attended the Family Literacy Conference

** More than 20 graduate students from 8 countries at UMass are currently participating in CIE literacy courses and activities.

** 6 graduate students are directly involved in the Literacy Linkage Program as project assistants

Objective 4: Collaborative Projects

** 1 collaborative project between CIE, CERID and Save the Children has received start-up funding from UNESCO.

Objective 5: CERID Staff Development

** 4 Nepali participants completed a 5-week intensive Summer Institute for Literacy Professionals at UMass.

5. Assessment of the impact of the linkage on internationalization of the recipient institution and any U.S. linkage partners:

As explained in section 3 above, Objective #3, the involvement of the University of Massachusetts, was the most affected by the start-up delay. This was due to the fact that we needed information about the needs and interests of literacy providers in Nepal before we would know where to do outreach within our University. Therefore, we only made general contacts with the faculty

members identified in our original proposal and started preliminary preparations for a more focused effort in year two. We did have the opportunity to bring an international perspective to the Family Literacy Conference which we co-sponsored during May. This was a positive experience and will bear more opportunities for internationalization in the future. The Linkage Project itself has attracted interest from the Chancellor's Office at the University and from the regional press. Several articles appeared in local newspapers. One article published in the Boston Globe has resulted in a number of inquiries about the Literacy Linkage Program.

6. Assessment of the impact of the linkage on strengthening each developing country linkage partner institution's capabilities to meet its societal development needs:

It is still early to assess the impact of the linkage. However, as explained above, the linkage has opened up an opportunity for CERID to play an important role in the current literacy activities in Nepal. Both government and non-government organizations are interested in training opportunities which could be provided through CERID. UNESCO is also interested to collaborate with them to meet regional literacy needs. This project is very timely in terms of current changes in Nepal. The Prime Minister has just announced a "War on Illiteracy." As the national literacy campaign expands, the need for the type of services CERID provides through this linkage will increase.

7. Statement satisfying the requirements of 116(b) in the Cooperative Agreement.

Please note that none of the activities described above have anything to do with agricultural commodities. Therefore, no U.S. funds have been used by this project for activities which would result in the growth or production of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

UNIVERSITY DEVELOPMENT LINKAGES PROJECT

Recipient: University of Massachusetts at Amherst

Agreement Number: DAN-5063-A-00-1108-00

Date Prepared: 11/05/92

Semiannual Report No.: 1

Number of Objectives: 3

SEMIANNUAL EXPENDITURE Object: Total of all 3
ANNUAL EXPENDITURES

Cost Element	Semiannual Report No 2				Current Six Month Period				Current Six Month Period			
	Previous Six Month Period				Begin: 4/1/92 End: 9/30/92				Begin: 4/1/92 End: 9/30/92			
	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	Total	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	Total	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	Total
Salaries & Wages	10,641	12,419	0	23,060	28,359	32,017	0	60,376	39000	44436	0	83436
Fringe Benefits	1,802	3,165	0	4,967	6,383	2,338	0	8,721	8185	5503	0	13688
Indirect Costs	0	7,433	0	7,433	0	36,976	0	36,976	0	44409	0	44409
Consultants	0	0	0	0	800	0	0	800	800	0	0	800
Travel, Transportation & Per Di	5,768	0	0	5,768	3,287	0	0	3,287	9055	0	0	9055
Nonexpendable Equipment	0	0	0	0	2,000	0	0	2,000	2000	0	0	2000
Participant Training	0	0	0	0	18,756	4,612	0	23,368	18756	4612	0	23368
Other Direct Costs	826	0	0	826	872	2,000	0	2,872	1698	2000	0	3698
Subcontracts/Subagreements	0	0	0	0	7,566	10,126	0	17,692	7566	10126	0	17692
TOTAL	\$19,037	\$23,017	\$0	\$42,054	\$68,022	\$88,070	\$0	\$156,092	87059	111087	0	198146

Signature: Robert Grant Wilbur

Name/Title:

Date: 11/5/92

SEMIANNUAL EXPENDITURE Object: 1 of 3

Name: Establish a collaborative linkage between CIE/UMass & CERID/Tribhuvan.

Semiannual Report No 2

Previous Six Month Period

Current Six Month Period

Begin: 10/1/91 End: 3/30/92

Begin: 4/1/92 End: 9/30/92

Cost Element	Previous Six Month Period			Current Six Month Period			Current Six Month Period			Total
	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	
Salaries & Wages	3,547	12,221		9,453	1,574		13,000	13,795	0	26,795
Fringe Benefits	601	3,108		2,128	489		2,729	3,597	0	6,325
Indirect Costs		4,095			7,827		0	11,922	0	11,922
Consultants			0	800		800	800	0	0	800
Travel, Transportation & Per Di	5,768		5,768	3,055		3,055	8,823	0	0	8,823
Nonexpendable Equipment			0	2,000		2,000	2,000	0	0	2,000
Participant Training			0			0	0	0	0	0
Other Direct Costs	576		576	570		570	1,146	0	0	1,146
Subcontracts/Subagreements			0	5,000	6,683		5,000	6,683	0	11,683
SUBTOTAL	\$10,492	\$19,424	\$0	\$29,916	\$23,006	\$16,573	\$0	\$39,578	33,498	69,494

SEMIANNUAL EXPENDITURE Object: 2 of 3

Name: Increase the international and community development perspective of UMASS.

Semiannual Report No 2

Previous Six Month Period

Begin: 10/1/91 End: 3/30/92

Current Six Month Period

Begin: 4/1/92 End: 9/30/92

Cost Element	Previous Six Month Period			Current Six Month Period			Current Six Month Period			Total		
	A.I.D.	Others (Non-Fed)	Others (Fed)	A.I.D.	Others (Non-Fed)	Others (Fed)	A.I.D.	Others (Non-Fed)	Others (Fed)			
Salaries & Wages	3,547	198		9,453	18,794		13000	18992	0	31992		
Fringe Benefits	600	57		2,128	1,116		2728	1173	0	3901		
Indirect Costs		1,679			12,325		0	14004	0	14004		
Consultants			0			0	0	0	0	0		
Travel, Transportation & Per Diem			0			0	0	0	0	0		
Nonexpendable Equipment			0			0	0	0	0	0		
Participant Training			0			0	0	0	0	0		
Other Direct Costs	150			113	2,000		0	0	0	0		
Subcontracts/Subagreements			0			2,113	263	2000	0	2263		
SUBTOTAL	\$4,297	\$1,934	\$0	\$6,231	\$11,693	\$34,235	\$0	\$45,928	15990	36169	0	52159

SEMIANNUAL EXPENDITURE

Object: 3 of 3

Name: Increase capacity of CERID to provide training and technical assistance for action and
Semiannual Report No 2 practitioner research in the field of literacy and development.

Previous Six Month Period

Current Six Month Period

Begin: 10/1/91 End: 3/30/92

Begin: 4/1/92 End: 9/30/92

Cost Element	Previous Six Month Period			Current Six Month Period			Current Six Month Period			Total		
	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)	A.I.D.	Recipient/ Others (Non-Fed)	Others (Fed)			
Salaries & Wages	3,547			9,453	11,649		13,000	11,649	0	24,649		
Fringe Benefits	601			2,128	734		2,729	734	0	3,462		
Indirect Costs		1,659			16,825		0	18,484	0	18,484		
Consultants			0			0	0	0	0	0		
Travel, Transportation & Per Diem			0	232			232	0	0	232		
Nonexpendable Equipment			0				0	0	0	0		
Participant Training			0	18,756	4,612		18,756	4,612	0	23,368		
Other Direct Costs	100			189			289	0	0	289		
Subcontracts/Subagreements			0	2,566	3,443		2,566	3,443	0	6,009		
SUBTOTAL	\$4,248	\$1,659	\$0	\$5,907	\$33,324	\$37,262	\$0	\$70,586	37,572	38,921	0	76,493

9