

PROJECT DATA SHEET

1. TRANSACTION CODE

A = Add
 C = Change
 D = Delete

Amendment Number

14109

DOCUMENT CODE

3

2. COUNTRY/ENTITY

Worldwide

3. PROJECT NUMBER

936-5555

FD-ABIS 516

4. BUREAU/OFFICE

S&T/FENR

032

5. PROJECT TITLE (maximum 40 characters)

Environmental and Natural Resources Policy and Training

6. PROJECT ASSISTANCE COMPLETION DATE (PACD)

MM DD YY
08 31 01

7. ESTIMATED DATE OF OBLIGATION (Under "B" below, enter 1, 2, 3, or 4)

A. Initial FY 91 B. Quarter 4 C. Final FY 00

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	1,600		1,600	35,500		35,500
(Grant)	(1,600)	()	(1,600)	(35,500)	()	(35,500)
(Loan)	()	()	()	()	()	()
Other U.S. Buy-ins				40,000		40,000
Host Country						
Other Donor(s)						
TOTALS	1,600		1,600	75,500		75,500

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) ARDN	750	090				18,500		18,500	
(2) EHR	750	790				5,000		5,000	
(3) PSEE	750	850				12,000		12,000	
(4)									
TOTALS						35,500*		35,500*	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

11. SECONDARY PURPOSE

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code	RGEN	ENV	850	877	878
B. Amount	20,050	35,500	35,500	35,500	1,000

13. PROJECT PURPOSE (maximum 480 characters)

To advance recognition by LDC policy makers of the linkages between economic policy, sustainable ENR use and development, and to assure that they have available to them requisite analyses to develop appropriate policy options and the technical resources to perform such analyses.

14. SCHEDULED EVALUATIONS

Interim MM YY MM YY Final MM YY
09 93 09 95 09 00

15. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 Local Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page PP Amendment)

*Distribution of total between appropriation accounts is an estimate. Functional account levels will be determined annually subject to program requirements and availability of funds; obligations, however, will not exceed authorized life-of-project funding of \$35,500,000.

17. APPROVED BY

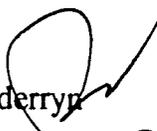
Signature *Twig Johnson*
Title Twig Johnson, Acting Director
S&T/FENR

Date Signed MM DD YY
01 31 91

18. DATE DOCUMENT REVISION, OR FOR AMENDMENTS. DATE OF DIST

MM DD YY

February 19, 1991

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR
FOR SCIENCE AND TECHNOLOGYTHRU: S&T/EN, Jack Vanderryn FROM: S&T/FENR, ^{for} Twig Johnson 

SUBJECT: Authorization of the Environmental and Natural Resources Policy and Training (EPAT) Project (936-5555)

PROBLEM: Your authorization is requested to initiate a new centrally-funded project entitled "Environmental and Natural Resources Policy and Training" (936-5555) in the amount of \$35.5 million from the Agriculture, Rural Development and Nutrition (ARDN); Education and Human Resources Development (EHR); and Private Sector, Environment and Energy (PSEE) accounts.

DISCUSSION: The development of many AID-assisted countries is seriously constrained by environmental degradation and natural resource depletion. EPAT was designed to respond to a growing appreciation, in AID and elsewhere, that these problems are often closely linked to inappropriate macroeconomic, sectoral and environmental policies and, conversely, that policy reform is frequently essential for sustainable natural resource use and preservation and enhancement of environmental quality. The purpose of EPAT is to advance recognition by LDC policy makers of the links between policy, sustainable ENR use and development, and to assure that they have available to them the requisite analyses to develop appropriate policy options and the technical resources to perform such analyses.

EPAT has six components:

1. **State-of-the-art Research:** Multidisciplinary research will be undertaken in selected priority areas where policy impinges on environmental quality and natural resource use (see Annex B.1 of the PP). Initially, considerable attention will be given to synthesizing research and field experience of other institutions and other AID projects, and producing and disseminating guidelines and other materials based on the syntheses for use by AID and host country practitioners, policy makers, and researchers.

2. **Applied In-country Research:** Upon request, and with S&T/FENR concurrence, EPAT will undertake research in areas of economic policy/ENR interaction of major concern to host countries and A.I.D. missions.

3. Information and Dissemination: EPAT will emphasize dissemination of research findings and improved analytical methods through publications, newsletters, workshops, training, networking and other means.

4. Support for Policy Dialogue: Upon request, and with S&T/FENR concurrence, the project will provide support for mission policy dialogue efforts. Such support may include assistance in identifying and analyzing key economic/ENR issues, transfer of analytical methods, training, or technical assistance.

5. Institutional Strengthening: Upon request, and with S&T/FENR concurrence, EPAT will support strengthening of host country institutions which play a major role in influencing, analyzing or formulating economic policies which have important ENR impacts.

6. Human Resources Development: Initially, EPAT will develop short courses dealing with "Economic Policy and the Environment," and "Environmental Policy and Regulation." Environmental education workshops for host country policy makers and NGOs which include subject matter of both the two above courses will also be developed. Other related training courses will be developed as needs are identified. Support for longer term (including degree) training may be provided in conjunction with institutional strengthening.

Project Data:

The initial obligation year is FY 1991, and \$1,600,000 is programmed in the initial FY 1991 OYB. The final year of obligation is FY 2000, and the PACD is August 31, 2001.

In addition to the amount requested for authorization above (\$35.5 million), an estimated \$40 million may be contributed to this project by Missions, regional bureaus, and other offices of A.I.D. Funding may be provided from the Economic Support Fund (ESF), the Development Fund for Africa (DFA), or other appropriate A.I.D. program accounts, as well as the accounts authorized for S&T funding under this project.

Project Issues: None

Evaluations and Audits: Formal external evaluations and audits of all project components will be conducted approximately two years following signature of contracts and cooperative agreements for the implementation of the project. Subsequent evaluations will be carried out at the end of the fourth, seventh and ninth year of the project. Every effort will be made to conduct evaluations of the various project components simultaneously in order to give A.I.D. managers a good sense of the performance of the project as a whole against its purposes. Between external evaluations, continuous oversight will be provided by an external Technical Advisory Group and an A.I.D. Project Committee, both of which will meet at least semiannually. These bodies, as well as A.I.D. project managers, will have ready access to work plans prepared by the cooperative agreement institutions and

contractors, progress reports, and "end of assignment" task level evaluative reports solicited by S&T/FENR and prepared by A.I.D. missions or offices or collaborating institutions using or overseeing technical support and training services.

Special Interest in the Project: Special interest in EPAT derives both from heightened Congressional interest and understanding of the relationship between sustainable ENR management and sustainable economic growth, and from a growing awareness in A.I.D. and elsewhere that "...unsound economic policy and ineffective environmental laws, policies and regulations are among the most significant causes of environmental degradation in developing countries" (from the Executive Summary to A.I.D.'s "Initiative on the Environment"). Indeed, EPAT will enable A.I.D. to be a major player looking at the intersect between economic policy and its environmental and natural resources consequences in developing countries.

Waivers, Special Clearances, Provisions, and Determinations:

a. Waivers: It is expected that frequent requests to waive maximum compensation regulations will be essential to successful project implementation. Justification for such waivers is provided in the Implementation Plan (see page 74 of the Project Paper).

b. Special Clearances: Research may be conducted in and assistance provided to "key middle- and low-income countries...which are projected to contribute large amounts of greenhouse gases..." as defined in Section 533 (c) of the FY 1991 Foreign Assistance Appropriations Act. Global climate change is closely related to ill-conceived macroeconomic policy, to inappropriate pricing of natural resources and products, and to LDC policies which promote overuse of the environment as a waste sink. For these reasons, EPAT involvement in key countries is appropriate and consistent with the Congressional intent. Key countries that may be assisted could include, "notwithstanding any other provision of law," middle-income countries, Eastern European countries, and other developing countries not otherwise eligible.

SECTOR COUNCIL REVIEW:

The Energy and Natural Resources (EN) Sector Council reviewed and endorsed the attached Project Paper on January 14, 1991 with the following reservations:

1. EPAT support should be provided to multilateral fora considering policy change at the international level.

2. A fuller discussion is needed of micro-level/human welfare issues and their relationship to the macro- and sector-level economic policies which are the primary focus of the project.

3. Coordination with other A.I.D. projects with policy components needs to be addressed more fully.

These reservations have been addressed in the current project paper.

CONGRESSIONAL JUSTIFICATION:

A substantive notification is required because there is no Planned Program Summary Sheet in the FY 1991 Congressional Presentation. The notification is in process.

PROCUREMENT PLAN AND BUDGET:

The project will be implemented beginning in FY 1991 under one or more cooperative agreements and contracts. These will be competitively awarded. The FY 1991 CP programmed \$1,350,000 for this project; \$1,600,000 is programmed in the initial FY 1991 OYB. Planned additional obligations for years 2 through 5 of the project are \$14,425,000.

Additional future procurements are anticipated in three instances:

1. The lead management institution under each cooperative agreement enters into sub-agreements with other U.S. institutions where elements of its work plan agreed to by AID can best be carried out by institutions outside the cooperative agreement.

2. Sub-agreements (including grants or sub-contracts) with host country institutions are appropriate because:

- the latter can make a useful contribution to policy dialogue and/or in-country case studies undertaken as part of the core research program, and

- in-country or regional training institutions need to be engaged to adapt and present core courses overseas.

3. The research agenda (see Annex B.1) is modified in ways which suggest that the expertise of institutions other than those awarded the initial cooperative agreement(s) could usefully be involved in a portion of the research.

In each instance, requests for approval of procurement awards under less than full and open competitive procedures will be made by A.I.D. on the basis of the interests of the project and applicable policy and regulations.

RECOMMENDATION: That you sign the attached project authorization (Attachment A).

Clearances:

AA/S&T: BLangmaid  Date: 1/3/91
S&T/PO: DSheldon  Date: 2/25/91
GC: CStephenson (draft) Date: 1/18/91

Attachments:

- A. Project Authorization
- B. Project Data Sheet
- C. Project Paper

S&T/FENR: RMisheloff: 1/28/91

PROJECT AUTHORIZATION

Country/Entity: Worldwide

Name of Project: Environmental and Natural Resources Policy and Training (EPAT)

Number of Project: 936-5555

1. Pursuant to Section 103, Agriculture, Rural Development and Nutrition (ARDN), Section 105, Education and Human Resources Development (EHR), and Section 106, Private Sector, Environment and Energy (PSEE) of the Foreign Assistance Act of 1961, as amended, I hereby authorize the Environmental and Natural Resources Policy and Training project involving planned obligations of not to exceed \$35,500,000 in grant funds from the ARDN, EHR, and PSEE accounts, subject to the availability of funds in accordance with the A.I.D. OYB/allotment process.

Functional account levels will be determined annually subject to program requirements and availability of funds; obligations, however, will not exceed the life-of-project funding of \$35,500,000.

The initial obligation year for the project is FY 1991; the final obligation year is FY 2000, and the PACD is August 31, 2001.

In addition to the amounts authorized above, an estimated \$40,000,000 may be contributed to the project by Missions, regional bureaus, and other offices of A.I.D. Funding may be provided from the Economic Support Fund (ESF), the Development Fund for Africa (DFA), or other A.I.D. program accounts, as appropriate, as well as the accounts authorized for S&T funding under this project.

2. The project purpose is to advance recognition by developing country policy makers of the linkages between economic policy, sustainable environmental and natural resource (ENR) use and development, and to assure that they have available to them requisite analyses to develop appropriate policy options, and the technical resources to perform such analyses.

The project will finance research in selected priority areas where economic policy impinges on environmental quality and natural resource use in developing countries, synthesize and disseminate economic/ENR research (including research conducted under other auspices) and research methodologies, design and conduct training activities in related areas, support dialogue with host countries, other donors, and international bodies on policies which

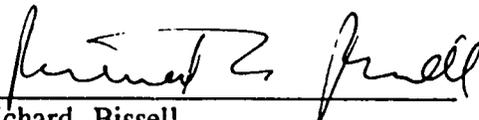
impinge on environmental quality and natural resource use, and help strengthen host country institutions which play a major role in influencing, analyzing or formulating economic policies which have important ENR impacts. Planned project outputs include, but are not limited to, research documents, guidelines, training courses (designed and carried out), and completed technical assistance engagements for policy dialogue support and institutional strengthening.

3. The agreements which may be negotiated and executed by the officer(s) to whom such authority is delegated in accordance with A.I.D. regulations and Delegations of Authority shall be subject to the following essential terms and covenants and major conditions, together with such other terms and conditions as A.I.D. may deem appropriate:

a. Source and Origin of Commodities, Nationality of Services:

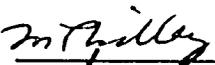
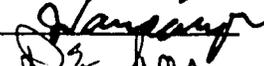
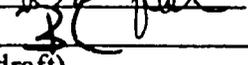
Commodities financed by A.I.D. under the project shall have their source and origin in the "cooperating country" or the United States, except as A.I.D. may otherwise agree in writing. (Each country in which research, training, or technical or other assistance takes place under the project shall be considered a "cooperating country.") Except for ocean shipping, the suppliers of commodities or services shall have the cooperating country or the United States as their place of nationality, except as A.I.D. may otherwise agree in writing.

Ocean shipping financed by A.I.D. under the project shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of the United States.

Signature: 
Richard Bissell
Assistant Administrator for
Science and Technology

Date: 3/1/91

Clearances:

S&T/FENR: TJohnson	<u></u>	Date: <u>2-14-91</u>
S&T/EN: JVanderryn	<u></u>	Date: <u>2-19-91</u>
S&T/PO: DSheldon	<u></u>	Date: <u>2/25/91</u>
AA/S&T: BLangmaid	<u></u>	Date: <u>3/1/91</u>
GC: CStephenson (draft)		Date: 1/18/91