PD-ABD-366

Annual Budget Submission

FY-1993

ASEAN

BEST AVAILABLE

JULY 1991

Agency for International Development
Washington, D.C. 20523

U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT
USAID / THAILAND

CABLE USAID THAILAND
Telex 87058 RPS TH
Fax (662) 255-3730
Telephone 255-3650-9

USAID/THAILAND
Box 47
APO San Francisco 96346-0001

International Address
USAID Thailand
37 Petchburi Soi 15
Bangkok 10400 Thailand

Attached for your information is the ASEAN FY 1993 Annual Budget Submission. It includes:

1) Table I - Long Range Plan by Appropriation
2) Table IV - Project Budget Data
3) Table IVa and IVb - Activity Code/Special Interest Aggregate Analysis and Summaries
4) Table V - Proposed Project Ranking
5) Table VII - List of Planned Evaluations

Table VIII - Data on Operating Expenses and Table IX - Staffing in Workyears are included in USAID/Thailand's ABS.

Please also note the following:

Table IV - we are unable to make some needed changes because of protected cells for data entry.

Table V - a footnote provides the required amount of PD&S funds.

ASEAN (274989)

FY 1993 ANNUAL BUDGET SUBMISSION

TABLE I - LONG RANGE PLAN BY APPROPRIATION ACCOUNT ($000)

ACCOUNT	FY 1990 ACTUAL	FY 1991 ESTIMATE	FY 1992 CP	FY 1992 PLANNED	FY 1993 PROPOSED	PLANNING PERIOD 1994	1995	1996	1997
AGR.,RURAL DEV. & NUTR.	1,586	1,670	1,309	809					
Grants	1,586	1,670	1,309	809					
Loans									
POPULATION PLANNING									
Grants									
Loans									
HEALTH	850								
Grants	850								
Loans									
CHILD SURVIVAL FUND									
Grants									
Loans									
AIDS									
Grants									
Loans									
EDUCATION & HUMAN RES.	342		702	702	500				
Grants	342		702	702	500				
Loans									
PVT.SECT.,ENERGY & ENV.	1,032	1,040	1,289	1,789	3,000				
Grants	1,032	1,040	1,289	1,789	3,000				
Loans									
TOTAL FUNCTIONAL ACCOUNTS	3,810	2,710	3,300	3,300	3,500				
Grants	3,810	2,710	3,300	3,300	3,500				
Loans									
DEV. FUND FOR AFRICA									
DEVELOPMENT PROGRAMS						3,800	4,000	4,300	4,600
INT'L DISASTER ASST.									
TOTAL DA	3,810	2,710	3,300	3,300	3,500	3,800	4,000	4,300	4,600
Grants	3,810	2,710	3,300	3,300	3,500	3,800	4,000	4,300	4,600
Loans									

ASEAN (274989)

FY 1993 ANNUAL BUDGET SUBMISSION

TABLE I - LONG RANGE PLAN BY APPROPRIATION ACCOUNT ($000)

ACCOUNT	FY 1990 ACTUAL	FY 1991 ESTIMATE	FY 1992 CP	FY 1992 PLANNED	FY 1993 PROPOSED	PLANNING PERIOD 1994	1995	1996	1997
TOTAL ECON. SUPPORT FUND									
Grants									
Loans									
SPECIAL ASST. INIT.									
CAPITAL PROJECTS									
Grants									
Loans									
TOTAL DA, ESF AND SAI	3,810	2,710	3,300	3,300	3,500	3,800	4,000	4,300	4,600
Grants	3,810	2,710	3,300	3,300	3,500	3,800	4,000	4,300	4,600
Loans									
PL 480									
TITLE III									
TITLE II									
HOUSING GUARANTIES									

TABLE IV - PROJECT BUDGET DATA

FUNDING SOURCE	OBLIG DATE INIT	OBLIG DATE FINAL	TOTAL COST AUTH	TOTAL COST PLAN	OBLIG THRU FY 1990	FY 1991 OBLIGATIONS	FY 1991 EXPENDITURES	FY 1992 OBLIGATIONS	FY 1992 EXPENDITURES	FY 1992 YR END MORTGAGE	FY 1993 PROPOSED
PROJECT NUMBER: 398025803 TITLE: ASEAN WATERSHED MANAGEMENT										PROJ/NON PROJ IND.:	
FN G	83	C	1,095	595	544						
PROJECT NUMBER: 3990277 TITLE: ASEAN SMALL-MEDIUM BUSINESS IMPROVEMENT										PROJ/NON PROJ IND.:	
SD G	84	88	270	270	270						
PROJECT NUMBER: 3990285 TITLE: ASEAN ENERGY CONSERVATION AND MGT										PROJ/NON PROJ IND.:	
SD G	85	88	5,000	4,275	2,300 /1					1,975 /1	
PROJECT NUMBER: 3990286 TITLE: ASEAN COASTAL RESOURCES MANAGEMENT										PROJ/NON PROJ IND.:	
FN G	85	91	5,800	5,800	3,000 /2	100	866	191		2,700 /2	
PROJECT NUMBER: 3990287 TITLE: ASEAN HUMAN RESOURCES DEVELOPMENT										PROJ/NON PROJ IND.:	
FN G	87	93	6,574	6,574/3	4,965	800	1,609	809	1,264		
HE G	87	93	1,500	1,500	1,500		780		720		
EH G	87	93	3,651	3,651	2,449		400	702	864	500	500
SD G	87	93	575	575	575		489				
PROJECT TOTAL:			12,300	12,300	9,489	800	3,278	1,511	2,848	500	500
PROJECT NUMBER: 3990358 TITLE: ASEAN PVT INVESTMENT AND TRADE										PROJ/NON PROJ IND.:	
FN G	90	96	970	970	200	770	700		262		
SD G	90	96	12,030	12,030	832	1,040	1,300	1,100	1,500	9,058	2,500
PROJECT TOTAL:			13,000	13,000	1,032	1,810	2,000	1,100	1,762	9,058	2,500
PROJECT NUMBER: 3990360 TITLE: ASEAN ENVIRONMENTAL IMPROVEMENT										PROJ/NON PROJ IND.:	
SD G	92	97	12,000	12,000				689	300	11,311	500
REPORT TOTAL:			49,465	48,240	16,635	2,710	6,144	3,300	5,101	25,544	3,500

Note

/1 Obligation through FY 1990 is 4,275; therefore, there is no mortgage.

/2 Obligation through FY 1990 is 5,700; therefore, there is no mortgage.

/3 Obligation through FY 1990 is 5,041; planned LOP is 6,650. For correct numbers of planned LOP and obligations for HE, EH accounts, please see FY 1992 CP (attached).

TABLE IV - PROJECT BUDGET DATA

FUNDING SOURCE	OBLIG DATE INIT	OBLIG DATE FINAL	TOTAL COST AUTH	TOTAL COST PLAN	OBLIG THRU FY 1990	FY 1991 OBLIGATIONS	FY 1991 EXPENDITURES	FY 1992 OBLIGATIONS	FY 1992 EXPENDITURES	FY 1992 YR END MORTGAGE	FY 1993 PROPOSED
					ESTIMATED U.S. DOLLAR COST ($000)						
APPROPRIATION SUMMARY											
FN						1,670	3,175	809	1,717	2,700	0
HE						0	780	0	720	0	0
EH						0	400	702	864	500	500
SD						1,040	1,789	1,789	1,800	22,344	3,000
REPORT TOTAL:						2,710	6,144	3,300	5,101	25,544	3,500

ASEAN (274989)

FY 1993 ANNUAL BUDGET SUBMISSION

AC/SI AGGREGATE ANALYIS
ACTIVITY CODES
(U.S. Dollars Thousands)

	ACTIVITY	FY 1991 ESTIMATE AMOUNT	FY 1991 ESTIMATE PCT OF TOTAL PROGRAM	FY 1992 PLANNED AMOUNT	FY 1992 PLANNED PCT OF TOTAL PROGRAM	FY 1993 PROPOSED AMOUNT	FY 1993 PROPOSED PCT OF TOTAL PROGRAM
AGAB	AGRIBUSINESS	160	5.9 %	302	9.2 %	100	2.9 %
AGPM	PEST MANAGEMENT	160	5.9 %	302	9.2 %	100	2.9 %
EVMP	ENVIRONMENTAL MGMT, PLANNING AND POLICY	100	3.7 %	207	6.3 %	150	4.3 %
HESD	HEALTH SYSTEMS DEVELOPMENT	160	5.9 %	302	9.2 %	100	2.9 %
PEBD	BUSINESS DEVELOPMENT PROMOTION	1,044	38.5 %	1,251	37.9 %	1,350	38.6 %
PEFM	FINANCIAL MARKETS	362	13.4 %	220	6.7 %	500	14.3 %
PETI	TRADE AND INVESTMENT PROMOTION	724	26.7 %	716	21.7 %	1,200	34.3 %
	UNCODED ACTIVITIES			0	0.0 %		
	PROGRAM TOTAL	2,710	100.0 %	3,300	100.0 %	3,500	100.0 %

AC/SI AGGREGATE ANALYIS
SPECIAL INTEREST CODES
(U.S. Dollars Thousands)

	SPECIAL INTEREST	FY 1991 ESTIMATE AMOUNT	FY 1991 ESTIMATE PCT OF TOTAL PROGRAM	FY 1992 PLANNED AMOUNT	FY 1992 PLANNED PCT OF TOTAL PROGRAM	FY 1993 PROPOSED AMOUNT	FY 1993 PROPOSED PCT OF TOTAL PROGRAM
	I. Substantive						
	A. Spatial/Geographic						
CIT	SMALL AND LARGE URBAN			103	3.1 %	75	2.1 %
	B. Special Targets						
WDI	WOMEN IN DEVELOPMENT: INTEGRATED	240	8.9 %	453	13.7 %	150	4.3 %
PSD	PRIVATE SECTOR DEVELOPMENT	2,066	76.2 %	2,169	65.7 %	3,085	88.1 %
INS	INSTITUTION BUILDING	704	26.0 %	1,330	40.3 %	440	12.6 %
EPR	ECONOMIC POLICY REFORM	434	16.0 %	360	10.9 %	670	19.1 %
	C. Food, Agriculture & Rural Development						
	D. Energy/Environment						
CLZ	COASTAL ZONES AND ISLANDS	100	3.7 %				
NRM	NATURAL RESOURCES MANAGEMENT	100	3.7 %				
	II. Institutional Mechanisms						
	A. Public/Private						
PBL	PUBLIC ENTITY	480	17.7 %	907	27.5 %	300	8.6 %
PRT	PRIVATE ENTITY	1,810	66.8 %	1,582	47.9 %	2,850	81.4 %
	B. PVO/NGOs						
	C. International Agricultural Research Centers						
	D. Universities						
	E. Non-Profit Organizations						
PNP	NON-PROFIT ORGANIZATIONS	420	15.5 %	604	18.3 %	200	5.7 %
	III. Research Efforts						
	A. Applied Research						
	B. Basic Research						
	C. Development Research						
	IV. Training						
TTE	TRAINING, TECHNICAL			62	1.9 %	45	1.3 %
TTH	TRAINING, THIRD COUNTRY-BASED	772	28.5 %	1,420	43.0 %	470	13.4 %

FY 1993 ANNUAL BUDGET SUBMISSION

AC/SI SUMMARY REPORT
(U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
PROJECT NUMBER: 399-0286 TITLE: ASEAN COASTAL RESOURCES MANAGEMENT				
EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY				
SI CODE: CLZ	100 %	100		
SI CODE: MRN	100 %	100		
SI CODE: PNP	100 %	100		
SI CODE: TTN	20 %	20		
TOTAL AC CODE:	100 %	100		
PROJECT TOTAL	100 %	100	0	0
PROJECT NUMBER: 399-0287 TITLE: ASEAN HUMAN RESOURCES DEVELOPMENT				
AGAB AGRIBUSINESS				
SI CODE: INS	100 %	160	161	
SI CODE: PBL	100 %	160	161	
SI CODE: PBD	80 %	128	129	
SI CODE: TTN	90 %	144	145	
SI CODE: MDI	30 %	48	48	
TOTAL AC CODE:	20 %	160	161	
AGPN PEST MANAGEMENT				
SI CODE: INS	100 %	160	161	
SI CODE: PBL	100 %	160	161	
SI CODE: PBD	80 %	128	129	
SI CODE: TTN	80 %	128	129	
SI CODE: MDI	30 %	48	48	
TOTAL AC CODE:	20 %	160	161	
HESD HEALTH SYSTEMS DEVELOPMENT				
SI CODE: INS	80 %	128	129	
SI CODE: PBL	100 %	160	161	
SI CODE: TTN	100 %	160	161	
SI CODE: MDI	30 %	48	48	
TOTAL AC CODE:	20 %	160	161	
PEBD BUSINESS DEVELOPMENT PROMOTION				
SI CODE: INS	80 %	256	258	
SI CODE: PNP	100 %	320	323	

AC/SI SUMMARY REPORT
(U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: TTH	100 %	320	323	
SI CODE: WDI	30 %	96	97	
TOTAL AC CODE:	40 %	320	323	
PROJECT TOTAL	100 %	800	809	0
PROJECT NUMBER: 399-0358 TITLE: ASEAN PVT INVESTMENT AND TRADE				
PEBD BUSINESS DEVELOPMENT PROMOTION				
SI CODE: EPR	30 %	92		
SI CODE: PRT	100 %	308		
SI CODE: PSD	100 %	308		
TOTAL AC CODE:	40 %	308		
PEFM FINANCIAL MARKETS				
SI CODE: PRT	100 %	154		
SI CODE: PSD	100 %	154		
TOTAL AC CODE:	20 %	154		
PETI TRADE AND INVESTMENT PROMOTION				
SI CODE: EPR	30 %	92		
SI CODE: PRT	100 %	308		
SI CODE: PSD	100 %	308		
TOTAL AC CODE:	40 %	308		
PROJECT TOTAL	100 %	770	0	0
PROJECT NUMBER: 399-0287 TITLE: ASEAN HUMAN RESOURCES DEVELOPMENT				
AGAB AGRIBUSINESS				
SI CODE: INS	100 %		140	100
SI CODE: PBL	100 %		140	100
SI CODE: PSD	80 %		112	80
SI CODE: TTH	90 %		126	90
SI CODE: WDI	30 %		42	30
TOTAL AC CODE:	20 %		140	100
AGPM PEST MANAGEMENT				
SI CODE: INS	100 %		140	100

AC/SI SUMMARY REPORT
(U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: PBL	100 %		140	100
SI CODE: PSD	80 %		112	80
SI CODE: TTN	80 %		112	80
SI CODE: WDI	30 %		42	30
TOTAL AC CODE:	20 %		140	100
HESD HEALTH SYSTEMS DEVELOPMENT				
SI CODE: INS	80 %		112	80
SI CODE: PBL	100 %		140	100
SI CODE: TTN	100 %		140	100
SI CODE: WDI	30 %		42	30
TOTAL AC CODE:	20 %		140	100
PEBD BUSINESS DEVELOPMENT PROMOTION				
SI CODE: INS	80 %		224	160
SI CODE: PHP	100 %		280	200
SI CODE: TTN	100 %		280	200
SI CODE: WDI	30 %		84	60
TOTAL AC CODE:	40 %		280	200
PROJECT TOTAL	100 %	0	702	500
PROJECT NUMBER: 399-0358 TITLE: ASEAN PVT INVESTMENT AND TRADE				
PEBD BUSINESS DEVELOPMENT PROMOTION				
SI CODE: EPR	30 %	124	132	300
SI CODE: PRT	100 %	416	440	1,000
SI CODE: PSD	100 %	416	440	1,000
TOTAL AC CODE:	40 %	416	440	1,000
PEFM FINANCIAL MARKETS				
SI CODE: PRT	100 %	208	220	500
SI CODE: PSD	100 %	208	220	500
TOTAL AC CODE:	20 %	208	220	500
PETI TRADE AND INVESTMENT PROMOTION				
SI CODE: EPR	30 %	124	132	300
SI CODE: PRT	100 %	416	440	1,000
SI CODE: PSD	100 %	416	440	1,000
TOTAL AC CODE:	40 %	416	440	1,000
PROJECT TOTAL	100 %	1,040	1,100	2,500

AC/SI SUMMARY REPORT
(U.S. Dollars Thousands)

			FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
PROJECT NUMBER: 399-0360	TITLE: ASEAN ENVIRONMENTAL IMPROVEMENT				
EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY					
SI CODE: CIT		50 %		103	75
SI CODE: PSD		50 %		103	75
SI CODE: TTE		30 %		62	45
TOTAL AC CODE:	30 %			206	150
PEBD BUSINESS DEVELOPMENT PROMOTION					
SI CODE: EPR		20 %		41	30
SI CODE: PRT		100 %		206	150
SI CODE: PSD		100 %		206	150
TOTAL AC CODE:	30 %			206	150
PETI TRADE AND INVESTMENT PROMOTION					
SI CODE: EPR		20 %		55	40
SI CODE: PRT		100 %		275	200
SI CODE: PSD		100 %		275	200
TOTAL AC CODE:	40 %			275	200
PROJECT TOTAL	100 %		0	689	500
REPORT TOTAL			2,710	3,300	3,500

AGGREGATION OF AC/SI CODES FOR SPECIAL ISSUES
(U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
(1)	Child Survival Funding			
(2)	Other Health	160	301	100
(3)	Environment	100	206	150
(4)	Energy			
(5)	Forestry			

Refer to ABS Guidance Attachment B for aggregation basis

The above summaries are aggregations of ACs and SIs. Other aggregations consist of ACs only and can be derived from AC section of the Aggregate Analysis Report

ASEAN (274989)
FY 1993 ANNUAL BUDGET SUBMISSION

TABLE V - PROPOSED PROGRAM RANKING

RANK			PROGRAM FUNDING ($000)	
	PROJECT	TITLE	APPROP	INCR
MCC LEVEL				
	399-0287	ASEAN HUMAN RESOURCES DEVELOPMENT	EH	500
	399-0358	ASEAN PVT INVESTMENT AND TRADE	SD	2,500
	399-0360	ASEAN ENVIRONMENTAL IMPROVEMENT	SD	500
		TOTAL MCC PROPOSED		3,500*
INCREMENT LEVEL				
		TOTAL INCREMENT PROPOSED		0
		TOTAL PROPOSED		3,500

* Total should be 3,600 to include 100 for PD&S.

TABLE VII - LIST OF PLANNED EVALUATIONS FY 1993 ANNUAL BUDGET SUBMISSION

COUNTRY/OFFICE: 399 USAID/ ASEAN

PROJECT LIST (Project # & Title)	LAST EVAL COMPLETED (Mo./Yr.)	FY 1992 START (Qtr)	FY 1992 TO AID/W (Qtr)	FY 1993 TO AID/W (Qtr)	REASONS/ISSUES	FUNDING SOURCE	____ ($000)	USAID PERSON DAYS	COLLATERAL ASSISTANCE
399-0358 ASEAN Private Investment and Trade Opportunities	-	2	4		PACD: 9/96 The project aims to establish a mechanism to promote expanded private sector trade and investment between ASEAN and the U.S. This mid-project evaluation will assess progress in creating an effective mechanism and strategy for expanding U.S. firms' participation in ASEAN.	Project funds	125	10	IQC 70 person days

TABLE VIII - OPERATING EXPENSES FOR ASEAN
IS INCLUDED IN USAID/THAILAND ABS

TABLE IX - STAFFING IN WORKYEARS FOR ASEAN
IS INCLUDED IN USAID/THAILAND ABS