

**Annual Budget  
Submission**

**FY-1993**

**SRI LANKA**

BEST AVAILABLE

JULY 1991



**Agency for International Development  
Washington, D.C. 20523**



UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

MISSION TO SRI LANKA

P.O. Box 100, Colombo, Sri Lanka

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MISSION FORWARDING STATEMENT

The Mission's 1992-96 Strategic Framework was approved in April 1991. Our strategic vision has Sri Lanka as a Newly Industrializing Country (NIC) by 2001. This vision sets an optimistic but achievable goal - one in which we can play a major role. Our strategic goal is to direct resources to activities which expand opportunities of economic growth through new private-public partnerships. The three subgoals are: a dynamic open market economy, a healthy environment and productive resource base, and an active, pluralistic democracy.

To implement this strategy and to respond to the Administrator's FY 1993 Program Guidance, the Mission will concentrate on the following three areas: narrowing our program focus; accelerating project expenditures; and streamlining our monitoring systems.

We are consolidating our portfolio by focusing the program around three of the Agency's priorities: economic growth based on free markets, the environment, and support for democracy. In the short run, this is being done by merging two projects: Mahaweli Agriculture and Rural Development and the Mahaweli Downstream Support. With the consolidation of these two projects under one contractor this activity, with the Mahaweli Enterprise project, will be the final phase of our investment to the development of the Mahaweli Basin. Furthermore, during FY 1992 we plan to design a new policy project which will consolidate both our current policy projects - Agriculture Planning and Analysis and Development Studies and Training. These two mergers of projects will reduce management units from four to two. Over the longer run, we will have a further reduction of management units. Our aim is to reduce the number of bilateral projects to 13 by FY 1993, to 11 by 1994 and to 9 by the end of 1995.

A second program priority is to accelerate project expenditures. We plan to reduce our current pipeline by expending \$34.4 million in FY 1991, \$52.7 million in FY 1992 and \$33.7 million in FY 1993. Our analysis demonstrates that by the end of FY 1993 our total pipeline is expected to be \$33.6 million. This reduction will be achieved in large part with the use of more rapid disbursement mechanisms such as

performance-based disbursements and one-time disbursements of large sums of money for special activities such as contributions to the privatization fund under the Private Sector Policy Support project. Furthermore, in the design of all new projects, we will be looking for ways to expand the range of mechanisms for accelerating expenditures.

A third emphasis will be to externalize staff intensive management functions. For instance, for the PVO Co-Financing II project a management consultant will recommend ways to transfer project monitoring functions to an outside organization, thus reducing a heavy management burden to the Mission. We are also planning to use local accounting firms to strengthen our project commodity management which will again reduce the Mission's administrative workload. And, we will be looking for other ways to contract monitoring functions outside the Mission and thus concentrate our resources in quality ways.

A handwritten signature in cursive script that reads "George Jones".

George Jones  
Acting Director  
USAID/Sri Lanka  
June 14, 1991

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TABLE I - LONG RANGE PLAN BY APPROPRIATION ACCOUNT (\$000)

ACCOUNT	FY 1990	FY 1991	FY 1992	FY 1992	FY 1993	PLANNING PERIOD			
	ACTUAL	ESTIMATE	CP	PLANNED	PROPOSED	1994	1995	1996	1997
TOTAL ECON. SUPPORT FUND									
Grants									
Loans									
SPECIAL ASST. INIT.									
CAPITAL PROJECTS									
Grants									
Loans									
TOTAL DA, ESF AND SAI	19,814	10,834	19,300	19,300	22,000	23,500	25,000	26,600	28,300
Grants	19,814	10,834	19,300	19,300	22,000	23,500	25,000	26,600	28,300
Loans									
PL 480	36,000	35,000	21,600	40,000	53,100	42,000	44,000	44,000	44,000
TITLE III	36,000	35,000	21,600	40,000	53,100	42,000	44,000	44,000	44,000
TITLE II									
HOUSING GUARANTIES									
OPERATING EXPENSES									
OE	2823.1	2448.8		2491.0	2898.3	3079			
IF									
WORKFORCE (FTE)		115.4		113.0					
USDH (FTE)		19.4		17.0					
FNDH (FTE)		20.0		18.0					
USPSC (FTE)				1.0					
FNPSC (FTE)		76.0		77.0					
Other USG (FTE)									
Other Inst. Contr. (FTE)									
Manpower (FTE)									

RE LANKA (273830)

FY 1993 ANNUAL BUDGET SUBMISSION

TABLE IV - PROJECT BUDGET DATA

-----ESTIMATED U.S. DOLLAR COST (\$000)-----											
FUNDING SOURCE	OBLIG DATE		--TOTAL COST--		OBLIG YMRU	----FY 1991----		-----FY 1992-----			FY 1993
	INIT	FINAL	AUTH	PLAN	FY 1990	OBLIG ATIONS	EXPEND ITURES	OBLIG ATIONS	EXPEND ITURES	YR END MORTGAGE	PROPOSED
PROJECT NUMBER: 3830040 TITLE: RICE RESEARCH PROJ/NOI PROJ IND.:											
FM L	77	77	33,190	3,319	3,319						
PROJECT NUMBER: 3830056 TITLE: MAMMELI BASIN DEVELOPMENT I PROJ/NOI PROJ IND.:											
FM L	80	80	10,000	10,000	10,000		507				
PROJECT NUMBER: 3830058 TITLE: DIVERSIFIED AGRICULTURE RESEARCH PROJ/NOI PROJ IND.:											
FM G	84	90	11,100	11,100	11,100		1,385		1,560		
FM L	84	90	3,500	3,500	3,500		1,440		1,184		
PROJECT TOTAL:			14,600	14,600	14,600	0	2,825	0	2,744	0	0
PROJECT NUMBER: 3830060 TITLE: PVO CO-FINANCING PROJ/NOI PROJ IND.:											
FM G	79	86	2,500	1,550	1,249					301	
HE G	79	86	1,224	974	541					433	
EM G	79	86	959	959	876					83	
SD G	79	86	1,856	1,456	1,036					420	
PROJECT TOTAL:			6,539	4,939	3,702	0	0	0	0	1,237	0
PROJECT NUMBER: 3830073 TITLE: MAMMELI BASIN DEVELOPMENT II PROJ/NOI PROJ IND.:											
FM G	81	87	3,000	3,000	3,000				91		
FM L	81	87	107,000	107,000	107,000				6,752		
PROJECT TOTAL:			110,000	110,000	110,000	0	0	0	6,843	0	0
PROJECT NUMBER: 3830075 TITLE: MAMMELI ENVIRONMENT PROTECTION PROJ/NOI PROJ IND.:											
FM G	82	84	5,000	5,000	4,400*		1,531			600	
PROJECT NUMBER: 3830080 TITLE: IRRIGATION SYSTEMS MANAGEMENT PROJ/NOI PROJ IND.:											
FM G	86	87	6,900	6,900	6,900		1,200		1,262		
FM L	86	87	11,700	11,700	11,700		2,000		8,174		
PROJECT TOTAL:			18,600	18,600	18,600	0	3,200	0	9,436	0	0
PROJECT NUMBER: 3830082 TITLE: PRIVATE ENTERPRISE PROMOTION PROJ/NOI PROJ IND.:											
FM G	83	83	1,500	1,500	1,500						
SD G	83	83	1,500	1,500	1,500						
SD L	83	83	1,000	1,000	1,000						
PROJECT TOTAL:			4,000	4,000	4,000	0	0	0	0	0	0
PROJECT NUMBER: 3830083 TITLE: AGRICULTURE PLANNING AND ANALYSIS PROJ/NOI PROJ IND.:											
FM G	86	92	4,700	5,250	4,700		850	550	1,224		
FM L	86	92	1,900	1,900	1,900		270		884		
PROJECT TOTAL:			6,600	7,150	6,600	0	1,120	550	2,108	0	0
PROJECT NUMBER: 3830085 TITLE: DEVELOPMENT STUDIES AND TRAINING PROJ/NOI PROJ IND.:											
FM G	87	91	3,500	4,244	3,500	744	520		1,070		
SD G	87	91	2,500	2,756	2,500	256	1,050		613		

TABLE IV - PROJECT BUDGET DATA

FUNDING SOURCE	OBLIG DATE		--TOTAL COST--		OBLIG THRU		ESTIMATED U.S. DOLLAR COST (\$000)		FY 1992	YR END MORTGAGE	FY 1993 PROPOSED
	INIT	FINAL	AUTH	PLAN	FY 1990	OBLIG ATIONS	EXPEND ITURES	OBLIG ATIONS			
PROJECT TOTAL:			6,000	7,000	6,000	1,000	1,570	0	1,683	0	0
PROJECT NUMBER: 3830086			TITLE: MAHAWELI AG AND RURAL DEVELOPMENT				PROJ/NON PROJ IND.:				
FN G	87	93	10,200	14,200	10,200		2,112		1,910	4,000	3,000
FN L	87	93	3,800	3,800	3,800		1,188		830		
PROJECT TOTAL:			14,000	18,000	14,000	0	3,300	0	2,740	4,000	3,000
PROJECT NUMBER: 3830088			TITLE: WATER SUPPLY & SANITATION SECTOR				PROJ/NON PROJ IND.:				
HE G	84	89	6,040	6,040	6,040		200				
HE L	84	89	7,465	7,465	7,465		3,471				
PROJECT TOTAL:			13,505	13,505	13,505	0	3,671	0	0	0	0
PROJECT NUMBER: 3830090			TITLE: MAHAWELI ENTERPRISE DEVELOPMENT				PROJ/NON PROJ IND.:				
FN G	89	95	15,000	15,000	6,600		2,855	3,000	2,400	5,400	1,000
PROJECT NUMBER: 383000R			TITLE: DISASTER RELIEF				PROJ/NON PROJ IND.:				
PN G	90	90	137	137	137		137				
PROJECT NUMBER: 3830100			TITLE: PRIVATE SECTOR POLICY SUPPORT				PROJ/NON PROJ IND.:				
SD G	88	93	15,000	22,000	10,944		6,045	3,000	3,500	8,056	4,000
PROJECT NUMBER: 3830101			TITLE: PVO CO-FINANCING II				PROJ/NON PROJ IND.:				
FN G	87	95	7,953	8,213	5,697	1,250	1,750	406	1,960	860	
PN G	87	95		1,200		200	50	1,000	150		
HE G	87	95	1,704	1,504	683	420	150	400	450	1	
CS G	87	95		600		300		300	150		
EH G	87	95	83	83	83				83		
SD G	87	95	2,260	3,400	2,260	250	200		400	890	
PROJECT TOTAL:			12,000	15,000	8,723	2,420	2,150	2,106	3,193	1,751	0
PROJECT NUMBER: 3830103			TITLE: MAHAWELI DOWNSTREAM SUPPORT				PROJ/NON PROJ IND.:				
FN G	87	89	4,976	4,976	4,976		456		350		
FN L	87	89	10,024	10,024	10,024		1,050		2,000		
PROJECT TOTAL:			15,000	15,000	15,000	0	1,506	0	2,350	0	0
PROJECT NUMBER: 3830107			TITLE: REHABILITATION ASSISTANCE				PROJ/NON PROJ IND.:				
FN G	88	91	50,000	30,000	25,010		2,000		5,000	4,990	
PROJECT NUMBER: 3830108			TITLE: TECH. INITIATIVE FOR PRIVATE SECTOR				PROJ/NON PROJ IND.:				
FN G	91	93	5,000	10,000		2,454	454	1,843	3,843	5,703	2,015
EH G	91	93	3,000	3,000						3,000	725
SD G	91	93	4,000	4,000		2,460	460	1,280	3,280	260	260
PROJECT TOTAL:			12,000	17,000	0	4,914	914	3,123	7,123	8,963	3,000

TABLE IV - PROJECT BUDGET DATA

-----ESTIMATED U.S. DOLLAR COST (\$000)-----											
FUNDING SOURCE	OBLIG DATE		--TOTAL COST--		OBLIG		FY 1991		FY 1992		FY 1993 PROPOSED
	INIT	FINAL	AUTH	PLAN	THRU FY 1990	OBLIG ATIONS	EXPEND ITURES	OBLIG ATIONS	EXPEND ITURES	TR END MORTGAGE	
PROJECT NUMBER:	3830109		TITLE: NATURAL RESOURCES & ENVIRONMENTAL POLICY				PROJ/NON		PROJ IND.:		
FN G	90	93	12,000	12,000	2,550	2,500	1,075		2,250	6,958	2,000
PROJECT NUMBER:	3830111		TITLE: AGRO-ENTERPRISES PROJECT				PROJ/NON		PROJ IND.:		
FN G	92	95		15,000				3,521	500	11,479	4,100
PROJECT NUMBER:	3830113		TITLE: LAND AND WATER RESOURCES PROJECT				PROJ/NON		PROJ IND.:		
FN G	92	95		25,000				4,000	500	21,000	4,900
REPORT TOTAL:			373,171	382,250	277,690	10,834	34,406	19,300	52,370	76,426	22,000

Obligations Thru FY 1990 marked with (\*) include Deobligations of Prior Year Obligations

## APPROPRIATION SUMMARY

FN	6,948	22,643	13,328	43,744	61,283	17,015
PN	200	187	1,000	150	0	0
ME	420	3,821	400	450	434	0
CS	300	0	300	150	0	0
EN	0	0	0	83	3,083	725
SD	2,966	7,755	4,200	7,793	9,626	4,260
REPORT TOTAL:	10,834	34,406	19,300	52,370	76,426	22,000

AC/SI AGGREGATE ANALYSIS  
ACTIVITY CODES  
(U.S. Dollars Thousands)

ACTIVITY	FY 1991 ESTIMATE		FY 1992 PLANNED		FY 1993 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
AGAB AGRIBUSINESS	629	5.8 %	3,808	19.7 %	3,300	15.0 %
AGIR IRRIGATION	48	0.4 %	1,642	8.5 %	1,960	8.9 %
AGMK AGRICULTURAL MARKETING					1,200	5.5 %
AGMP AGRICULTURAL MANAGEMENT, PLANNING AND POLICY			165	0.9 %		
AGTD AGRICULTURAL TECHNOLOGY DEVELOPMENT AND DIFFUSION			1,761	9.1 %	3,100	14.1 %
DIOI DEMOCRATIC INITIATIVES (NOT ELSEWHERE CODED)	363	3.4 %	3,256	16.9 %	3,660	16.6 %
EDEA BASIC EDUCATION FOR ADOLESCENTS AND ADULTS	24	0.2 %	21	0.1 %		
EDID HUMAN RESOURCE DEVELOPMENT FOR INDIVIDUALS			248	1.3 %		
EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY	1,750	16.2 %			1,400	6.4 %
EUUP URBAN AND INDUSTRIAL POLLUTION	750	6.9 %			600	2.7 %
HESD HEALTH SYSTEMS DEVELOPMENT	48	0.4 %	42	0.2 %		
HEWH WATER QUALITY HEALTH	145	1.3 %	126	0.7 %		
PEBD BUSINESS DEVELOPMENT PROMOTION	4,899	45.2 %	6,191	32.1 %	4,700	21.4 %
PEFM FINANCIAL MARKETS			450	2.3 %	600	2.7 %
PETI TRADE AND INVESTMENT PROMOTION	983	9.1 %	625	3.2 %	600	2.7 %
PEPD FAMILY PLANNING PROGRAM DEVELOPMENT	194	1.8 %	168	0.9 %		
PENS POLICY REFORM, NONSECTORAL N.E.C	1,000	9.2 %	438	2.3 %	400	1.8 %
PEMG ADMINISTRATION AND MANAGEMENT			360	1.9 %	480	2.2 %
PROGRAM TOTAL	10,834	100.0 %	19,300	100.0 %	22,000	100.0 %

AC/SI AGGREGATE ANALYSIS  
SPECIAL INTEREST CODES  
(U.S. Dollars Thousands)

SPECIAL INTEREST	FY 1991 ESTIMATE		FY 1992 PLANNED		FY 1993 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
<b>I. Substantive</b>						
<b>A. Spatial/Geographic</b>						
017 SMALL AND LARGE URBAN	19	0.2 %	17	0.1 %		
704 TOWNS	3,057	28.2 %	2,227	11.5 %	2,128	9.6 %
012 RURAL	4,420	40.8 %	13,190	68.3 %	11,308	51.4 %
<b>B. Special Targets</b>						
001 WOMEN IN DEVELOPMENT: INTEGRATED			300	1.6 %	100	0.5 %
000 REFUGEE RELIEF AND DISASTER ASSISTANCE	145	1.3 %	126	0.7 %		
004 DOMESTIC PRODUCTION	983	9.1 %	721	3.7 %	2,160	9.8 %
005 EXPORT PRODUCTION	3,931	36.3 %	2,540	13.2 %	2,790	12.7 %
002 PRIVATE SECTOR DEVELOPMENT	5,025	46.4 %	9,078	47.0 %	7,694	35.0 %
003 PRIVATIZATION			716	3.7 %	908	4.1 %
000 DEREGULATION	250	2.3 %	341	1.8 %	432	2.0 %
006 PVO INSTITUTIONAL DEVELOPMENT	707	6.5 %	158	0.8 %	420	1.9 %
005 INSTITUTION BUILDING	1,588	14.7 %	5,170	26.8 %	6,548	29.8 %
007 ECONOMIC POLICY REFORM	1,250	11.5 %	66	0.3 %	600	2.7 %
008 SECTORAL POLICY REFORM	1,229	11.3 %	4,807	24.9 %	5,545	25.2 %
<b>C. Food, Agriculture &amp; Rural Development</b>						
000 AGRICULTURAL EXTENSION					375	1.7 %
001 LIVESTOCK	136	1.3 %	118	0.6 %	150	0.7 %
002 FISHERIES					38	0.2 %
<b>D. Energy/Environment</b>						
000 REFORESTATION					105	0.5 %
002 COASTAL ZONES AND ISLANDS	750	6.9 %			600	2.7 %
004 BIOLOGICAL DIVERSITY	525	4.8 %			420	1.9 %
005 PESTICIDE SAFETY FOR ENVIRONMENT					210	1.0 %
004 NATURAL RESOURCES MANAGEMENT	1,750	16.2 %	41	0.2 %	1,400	6.4 %
<b>II. Institutional Mechanisms</b>						
<b>A. Public/Private</b>						
000 PUBLIC ENTITY	2,825	26.1 %	3,790	19.6 %	4,142	20.0 %
000 PRIVATE ENTITY	675	6.2 %	4,331	22.4 %	5,898	26.8 %
<b>B. PVO/NGOs</b>						
000 PVO/NGOs, U.S.	631	7.7 %	723	3.7 %		
000 PVO/NGOs, LOCAL	2,785	25.7 %	1,206	6.2 %	1,120	5.1 %
000 PVO/NGOs, OTHER THAN U.S. OR LOCAL	94	0.9 %	82	0.4 %		
000 COOPERATIVES	109	1.0 %	95	0.5 %		

AC/SI AGGREGATE ANALYSIS  
SPECIAL INTEREST CODES  
(U.S. Dollars Thousands)

SPECIAL INTEREST	FY 1991 ESTIMATE		FY 1992 PLANNED		FY 1993 PROPOSED	
	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM	AMOUNT	PCT OF TOTAL PROGRAM
C. International Agricultural Research Centers						
D. Universities						
E. Non-Profit Organizations						
PNP NON-PROFIT ORGANIZATIONS			2,844	14.7 %	3,532	16.1 %
III. Research Efforts						
A. Applied Research						
RAG AGRICULTURAL RESEARCH			1,056	5.5 %	1,545	7.0 %
B. Basic Research						
C. Development Research						
IV. Training						
TMA TRAINING, MALE	10	0.1 %	231	1.2 %	945	4.3 %
TFE TRAINING, FEMALE	15	0.1 %	37	0.2 %	105	0.5 %
TAC TRAINING, ACADEMIC			149	0.8 %	420	1.9 %
TTE TRAINING, TECHNICAL	250	2.3 %	1,059	5.5 %	1,806	8.2 %
TUS TRAINING, U.S.-BASED			383	2.0 %	459	2.1 %
TDH TRAINING, THIRD COUNTRY-BASED			572	3.0 %	1,054	4.8 %
TIC TRAINING, IN-COUNTRY	1,225	11.3 %	3,292	17.1 %	5,418	24.6 %
TPU TRAINING, PUBLIC	1,300	12.0 %			840	3.8 %
TPV TRAINING, PRIVATE	950	8.8 %			560	2.5 %

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

FY 1991    FY 1992    FY 1993  
 ESTIMATE    PLANNED    REQUEST

PROJECT NUMBER: 383-0083    TITLE: AGRICULTURE PLANNING AND ANALYSIS

AGMP AGRICULTURAL MANAGEMENT, PLANNING AND POLICY

SI CODE: DRG	10 %	16
SI CODE: EPR	40 %	66
SI CODE: PSD	10 %	16
SI CODE: PVZ	25 %	41
SI CODE: SPR	60 %	99

TOTAL AC CODE:            30 %            165

EDID HUMAN RESOURCE DEVELOPMENT FOR INDIVIDUALS

SI CODE: INS	100 %	247
SI CODE: TAC	60 %	148
SI CODE: TFE	10 %	24
SI CODE: TIC	5 %	12
SI CODE: TMA	90 %	222
SI CODE: TTE	40 %	99
SI CODE: TTN	5 %	12
SI CODE: TUS	90 %	222

TOTAL AC CODE:            45 %            247

PRNS POLICY REFORM, NONSECTORAL N.E.C

SI CODE: DON	70 %	96
SI CODE: EXP	30 %	41
SI CODE: MRM	30 %	41

TOTAL AC CODE:            25 %            137

PROJECT TOTAL            100 %            0            550            0

PROJECT NUMBER: 383-0085    TITLE: DEVELOPMENT STUDIES AND TRAINING

PRNS POLICY REFORM, NONSECTORAL N.E.C

SI CODE: DRG	25 %	250
SI CODE: EPR	50 %	500
SI CODE: PBL	100 %	1,000
SI CODE: TPU	25 %	250
SI CODE: TPV	25 %	250
SI CODE: TTE	25 %	250

TOTAL AC CODE:            100 %            1,000

PROJECT TOTAL            100 %            1,000            0            0

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

FY 1991    FY 1992    FY 1993  
 ESTIMATE    PLANNED    REQUEST

PROJECT NUMBER: 383-0086    TITLE: MAHAMELI AG AND RURAL DEVELOPMENT

AGAB AGRIBUSINESS				
SI CODE: AEX	50 %		375	
SI CODE: AFI	5 %		37	
SI CODE: ALI	20 %		150	
SI CODE: DOM	80 %		600	
SI CODE: EXP	20 %		150	
SI CODE: PSD	15 %		112	
TOTAL AC CODE:	25 %		750	
AGMK AGRICULTURAL MARKETING				
SI CODE: DOM	80 %		960	
SI CODE: EXP	20 %		240	
TOTAL AC CODE:	40 %		1,200	
AGTD AGRICULTURAL TECHNOLOGY DEVELOPMENT AND DIFFUSION				
SI CODE: PST	20 %		210	
SI CODE: RAG	30 %		315	
SI CODE: REF	10 %		105	
SI CODE: TAC	40 %		420	
SI CODE: TFE	10 %		105	
SI CODE: TIC	40 %		420	
SI CODE: TMA	90 %		944	
SI CODE: TTE	60 %		630	
SI CODE: TTH	35 %		367	
SI CODE: TUS	25 %		262	
TOTAL AC CODE:	35 %		1,050	
PROJECT TOTAL	100 %	0	0	3,000

PROJECT NUMBER: 383-0090    TITLE: MAHAMELI ENTERPRISE DEVELOPMENT

AGAB AGRIBUSINESS			
SI CODE: PSD	100 %	1,500	500
SI CODE: RUR	80 %	1,200	400
SI CODE: TWM	20 %	300	100
SI CODE: WDI	20 %	300	100
TOTAL AC CODE:	50 %	1,500	500

PEBD BUSINESS DEVELOPMENT PROMOTION

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: PSD	100 %		1,500	500
SI CODE: RUR	80 %		1,200	400
SI CODE: TUN	20 %		300	100
TOTAL AC CODE:	50 %		1,500	500
PROJECT TOTAL	100 %	0	3,000	1,000

PROJECT NUMBER: 383-0100 TITLE: PRIVATE SECTOR POLICY SUPPORT

DIOI DEMOCRATIC INITIATIVES (NOT ELSEWHERE CODED)

SI CODE: DRG	10 %		54	72
SI CODE: INS	10 %		54	72
SI CODE: PBL	35 %		189	252
SI CODE: PHP	35 %		189	252
SI CODE: PRT	65 %		351	468
SI CODE: PSD	20 %		108	144
SI CODE: PVZ	15 %		81	108
SI CODE: SPR	15 %		81	108
TOTAL AC CODE:	18 %		540	720

PEBD BUSINESS DEVELOPMENT PROMOTION

SI CODE: DRG	15 %		202	270
SI CODE: INS	10 %		135	180
SI CODE: PBL	40 %		540	720
SI CODE: PRT	60 %		810	1,080
SI CODE: PSD	100 %		1,350	1,800
SI CODE: PVZ	40 %		540	720
SI CODE: SPR	40 %		540	720
TOTAL AC CODE:	45 %		1,350	1,800

PEFM FINANCIAL MARKETS

SI CODE: DRG	15 %		67	90
SI CODE: INS	15 %		67	90
SI CODE: PBL	50 %		225	300
SI CODE: PHP	50 %		225	300
SI CODE: PRT	50 %		225	300
SI CODE: PSD	15 %		67	90
SI CODE: SPR	15 %		67	90
TOTAL AC CODE:	15 %		450	600

PRNS POLICY REFORM, NONSECTORAL N.E.C

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: IMS	10 %		30	40
SI CODE: PNP	10 %		30	40
SI CODE: PRT	100 %		300	400
SI CODE: PSD	10 %		30	40
TOTAL AC CODE:	10 %		300	400
PSMG ADMINISTRATION AND MANAGEMENT				
SI CODE: PBL	50 %		180	240
SI CODE: PRT	50 %		180	240
SI CODE: PSD	15 %		54	72
SI CODE: PVZ	15 %		54	72
SI CODE: SPR	15 %		54	72
TOTAL AC CODE:	12 %		360	480
PROJECT TOTAL	100 %	0	3,000	4,000

PROJECT NUMBER: 383-0101 TITLE: PVO CO-FINANCING II

AGAB AGRIBUSINESS				
SI CODE: PVL	50 %	314	273	
SI CODE: PVO	15 %	94	82	
SI CODE: PVU	35 %	220	191	
SI CODE: RUR	100 %	629	547	
TOTAL AC CODE:	26 %	629	547	
AGIR IRRIGATION				
SI CODE: PVL	100 %	48	42	
SI CODE: RUR	100 %	48	42	
TOTAL AC CODE:	2 %	48	42	
DIOI DEMOCRATIC INITIATIVES (NOT ELSEWHERE CODED)				
SI CODE: COP	30 %	108	94	
SI CODE: INS	100 %	363	315	
SI CODE: PVL	35 %	127	110	
SI CODE: PVU	35 %	127	110	
SI CODE: PVX	50 %	181	157	
TOTAL AC CODE:	15 %	363	315	

EDEA BASIC EDUCATION FOR ADOLESCENTS AND ADULTS

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: PVL	100 %	24	21	
SI CODE: RUR	70 %	16	14	
SI CODE: TFE	60 %	14	12	
SI CODE: TMA	40 %	9	8	
SI CODE: TMN	30 %	7	6	
<b>TOTAL AC CODE:</b>	<b>1 %</b>	<b>24</b>	<b>21</b>	
<b>HESD HEALTH SYSTEMS DEVELOPMENT</b>				
SI CODE: PSD	30 %	14	12	
SI CODE: PVL	100 %	48	42	
SI CODE: TMN	100 %	48	42	
<b>TOTAL AC CODE:</b>	<b>2 %</b>	<b>48</b>	<b>42</b>	
<b>HEWM WATER QUALITY HEALTH</b>				
SI CODE: PVL	100 %	145	126	
SI CODE: RFG	100 %	145	126	
SI CODE: RUR	100 %	145	126	
<b>TOTAL AC CODE:</b>	<b>6 %</b>	<b>145</b>	<b>126</b>	
<b>PEBD BUSINESS DEVELOPMENT PROMOTION</b>				
SI CODE: ALI	14 %	135	117	
SI CODE: PVL	50 %	484	421	
SI CODE: PVU	50 %	484	421	
SI CODE: RUR	100 %	968	842	
<b>TOTAL AC CODE:</b>	<b>40 %</b>	<b>968</b>	<b>842</b>	
<b>PNPD FAMILY PLANNING PROGRAM DEVELOPMENT</b>				
SI CODE: CIT	10 %	19	16	
SI CODE: PSD	50 %	96	84	
SI CODE: PVL	100 %	193	168	
SI CODE: RUR	80 %	154	134	
SI CODE: TMN	10 %	19	16	
<b>TOTAL AC CODE:</b>	<b>8 %</b>	<b>193</b>	<b>168</b>	
<b>PROJECT TOTAL</b>	<b>100 %</b>	<b>2,420</b>	<b>2,106</b>	<b>0</b>

PROJECT NUMBER: 383-0108 TITLE: TECH. INITIATIVE FOR PRIVATE SECTOR

PEBD BUSINESS DEVELOPMENT PROMOTION

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: DOM	20 %	786	499	480
SI CODE: EXP	80 %	3,144	1,998	1,920
SI CODE: PSD	100 %	3,931	2,498	2,400
SI CODE: RUR	50 %	1,965	1,249	1,200
SI CODE: SPR	25 %	982	624	600
SI CODE: TWM	50 %	1,965	1,249	1,200
TOTAL AC CODE:	80 %	3,931	2,498	2,400
PETI TRADE AND INVESTMENT PROMOTION				
SI CODE: DOM	20 %	196	124	120
SI CODE: EXP	80 %	786	499	480
SI CODE: PSD	100 %	982	624	600
SI CODE: RUR	50 %	491	312	300
SI CODE: SPR	25 %	245	156	150
SI CODE: TWM	50 %	491	312	300
TOTAL AC CODE:	20 %	982	624	600
PROJECT TOTAL	100 %	4,914	3,123	3,000

PROJECT NUMBER: 383-0109 TITLE: NATURAL RESOURCES & ENVIRONMENTAL POLICY

EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY

SI CODE: BDV	30 %	525		420
SI CODE: CLZ	30 %	525		420
SI CODE: EPR	30 %	525		420
SI CODE: INS	70 %	1,225		979
SI CODE: NRM	100 %	1,750		1,400
SI CODE: PBL	70 %	1,225		979
SI CODE: PRT	30 %	525		420
SI CODE: PVL	80 %	1,400		1,120
SI CODE: PVX	30 %	525		420
SI CODE: TIC	70 %	1,225		979
SI CODE: TPU	60 %	1,050		840
SI CODE: TPV	40 %	700		560
TOTAL AC CODE:	70 %	1,750		1,400

EVMP ENVIRONMENTAL MGMT, PLANNING AND POLICY

SI CODE: BDV	30 %
SI CODE: CLZ	30 %
SI CODE: EPR	30 %
SI CODE: INS	70 %

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

		FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
SI CODE: NRM	100 %			
SI CODE: PBL	70 %			
SI CODE: PRT	30 %			
SI CODE: PVL	80 %			
SI CODE: PVX	30 %			
SI CODE: TIC	70 %			
SI CODE: TPU	60 %			
SI CODE: TPV	40 %			
TOTAL AC CODE:	0 %			
EVUP URBAN AND INDUSTRIAL POLLUTION				
SI CODE: CLZ	30 %	225		180
SI CODE: EPR	30 %	225		180
SI CODE: PBL	80 %	600		480
SI CODE: PRT	20 %	150		120
SI CODE: TMN	70 %	525		420
TOTAL AC CODE:	30 %	750		600
PROJECT TOTAL	100 %	2,500	0	2,000

PROJECT NUMBER: 383-0111 TITLE: AGRO-ENTERPRISES PROJECT

AGAB AGRIBUSINESS				
SI CODE: INS	50 %		880	1,025
SI CODE: PBL	30 %		528	615
SI CODE: PRT	70 %		1,232	1,435
SI CODE: PSD	70 %		1,232	1,435
SI CODE: RUR	100 %		1,760	2,050
SI CODE: SPR	50 %		880	1,025
TOTAL AC CODE:	50 %		1,760	2,050
AGTD AGRICULTURAL TECHNOLOGY DEVELOPMENT AND DIFFUSION				
SI CODE: INS	50 %		880	1,025
SI CODE: PBL	30 %		528	615
SI CODE: PRT	70 %		1,232	1,435
SI CODE: RAG	60 %		1,056	1,230
SI CODE: RUR	100 %		1,760	2,050
SI CODE: SPR	40 %		704	820
TOTAL AC CODE:	50 %		1,760	2,050
PROJECT TOTAL	100 %	0	3,521	4,100

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

AC/SI SUMMARY REPORT  
 (U.S. Dollars Thousands)

	FY 1991	FY 1992	FY 1993
	ESTIMATE	PLANNED	REQUEST

PROJECT NUMBER: 383-0113      TITLE: LAND AND WATER RESOURCES PROJECT

AGIR IRRIGATION			
SI CODE: INS	70 %	1,120	1,372
SI CODE: PBL	100 %	1,600	1,960
SI CODE: RUR	100 %	1,600	1,960
SI CODE: SPR	40 %	640	784
SI CODE: TIC	70 %	1,120	1,372
SI CODE: TTE	30 %	480	588
SI CODE: TTH	20 %	320	392
SI CODE: TUS	10 %	160	196
TOTAL AC CODE:	40 %	1,600	1,960
DIOI DEMOCRATIC INITIATIVES (NOT ELSEWHERE CODED)			
SI CODE: INS	60 %	1,440	1,764
SI CODE: PNP	100 %	2,400	2,940
SI CODE: RUR	100 %	2,400	2,940
SI CODE: SPR	40 %	960	1,176
SI CODE: TIC	90 %	2,160	2,646
SI CODE: TTE	20 %	480	588
SI CODE: TTH	10 %	240	294
TOTAL AC CODE:	60 %	2,400	2,940
PROJECT TOTAL	100 %	0	4,900
REPORT TOTAL		10,834	22,000

SRI LANKA (273830)  
FY 1993 ANNUAL BUDGET SUBMISSION

17

AGGREGATION OF AC/SI CODES FOR SPECIAL ISSUES  
(U.S. Dollars Thousands)

	FY 1991 ESTIMATE	FY 1992 PLANNED	FY 1993 REQUEST
(1) Child Survival Funding			
(2) Other Health	193	168	
(3) Environment	2,500		2,000
(4) Energy			
(5) Forestry			

Refer to ABS Guidance Attachment B for aggregation basis

The above summaries are aggregations of ACs and SIs. Other aggregations consist of ACs only and can be derived from AC section of the Aggregate Analysis Report

TABLE V - PROPOSED PROGRAM RANKING

RANK	PROJECT	TITLE	PROGRAM FUNDING (\$000)	
			APPROP	INCR
<b>MCC LEVEL</b>				
	383-0090	MAHAWELI ENTERPRISE DEVELOPMENT	FN	1,000
	383-0101	PVO CO-FINANCING II	FN	450
	383-0108	TECH. INITIATIVE FOR PRIVATE SECTOR	SD	260
	383-0108	TECH. INITIATIVE FOR PRIVATE SECTOR	FN	1,315
	383-0100	PRIVATE SECTOR POLICY SUPPORT	SD	3,000
	383-0111	AGRO-ENTERPRISES PROJECT	FN	3,000
	383-0113	LAND AND WATER RESOURCES PROJECT	FN	4,000
	383-0086	MAHAWELI AG AND RURAL DEVELOPMENT	FN	2,500
	383-0109	NATURAL RESOURCES & ENVIRONMENTAL POLICY	FN	1,500
	383-0108	TECH. INITIATIVE FOR PRIVATE SECTOR	EH	425
	TOTAL MCC PROPOSED			17,000
<b>INCREMENT LEVEL</b>				
1	383-0108	TECH. INITIATIVE FOR PRIVATE SECTOR	FN	700
2	383-0100	PRIVATE SECTOR POLICY SUPPORT	SD	1,000
3	383-0111	AGRO-ENTERPRISES PROJECT	FN	1,100
4	383-0113	LAND AND WATER RESOURCES PROJECT	FN	900
5	383-0086	MAHAWELI AG AND RURAL DEVELOPMENT	FN	500
6	383-0109	NATURAL RESOURCES & ENVIRONMENTAL POLICY	FN	500
07	383-0108	TECH. INITIATIVE FOR PRIVATE SECTOR	EH	300
	TOTAL INCREMENT PROPOSED			5,000
	TOTAL PROPOSED			22,000

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

TABLE VI - EXPENDITURES OF LOCAL CURRENCY GENERATIONS  
 (all in U.S. Dollar equivalents, and in \$thousands)

SOURCE/PURPOSES	FY1990 ACTUAL	FY1991 ESTIM.	FY1992 PLAN	FY1993 PROP.
-----				
I. ECONOMIC SUPPORT FUND and SAI				
A. PROJECTS				
B. SECTORS OF GOVERNMENT BUDGET				
C. GOVERNMENT DEFICIT/DEBT				
D. A.I.D. ADMINISTRATIVE COSTS				
E. LOCAL CURRENCY MONITORING (TRUST FUND)				
-----				
SUBTOTAL ECONOMIC SUPPORT FUND and SAI	0	0	0	0
UNEXPENDED ESF BALANCE AT END OF EACH YEAR, I.E. THE PIPELINE				
-----				
II. FDAP AND DEVELOPMENT FUND FOR AFRICA				
A. PROJECTS				
B. SECTORS OF GOVERNMENT BUDGET				
C. GOVERNMENT DEFICIT/DEBT				
D. A.I.D. ADMINISTRATIVE COSTS				
E. LOCAL CURRENCY MONITORING (TRUST FUND)				
-----				
SUBTOTAL FDAP AND DEVELOPMENT FUND FOR AFRICA	0	0	0	0
UNEXPENDED DA BALANCE AT END OF EACH YEAR, I.E. THE PIPELINE				
-----				

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

TABLE VI - EXPENDITURES OF LOCAL CURRENCY GENERATIONS  
 (all in U.S. Dollar equivalents, and in \$thousands)

SOURCE/PURPOSES	FY1990 ACTUAL	FY1991 ESTIM.	FY1992 PLAN	FY1993 PROP.
-----				
III. PL 480				
A. PROJECTS				
B. SECTORS OF GOVERNMENT BUDGET	36	35	40	53
C. GOVERNMENT DEFICIT/DEBT				
D. A.I.D ADMINISTRATIVE COSTS				
E. TF FOR PL 480 MONITORING AND IMPLEMENT.				
-----				
SUBTOTAL PL 480	36	35	40	53
UNEXPENDED PL BALANCE AT END OF EACH YEAR, I.E. THE PIPELINE		10	10	12
-----				
GRAND TOTAL OF ALL LC EXPENDITURES	36	35	40	53
GRAND TOTAL OF ALL UNEXPENDED LC BALANCES, I.E. THE PIPELINE		10	10	12

TABLE VII - SCHEDULE OF PLANNED EVALUATIONS

PROJECT LIST Project # & Title	LAST EVAL COMPLETED M/Yr	EVALUATION START TO AID/W Qtr Qtr	REASONS/ISSUES	FUNDING	USAID	COLLATERAL
				SOURCE \$000	PERSON	ASSISTANCE DAYS
FY 1991						
383-0100 Private Sector Policy Support	-	4 1/92	PACD: 07/31/93 The first interim evaluation will provide the GSL, Ministry of Finance and USAID/Sri Lanka with a detailed assessment of project implementation and progress to date, and recommend any modifications to improve the implementation and progress to date, and achievement of the project's purpose. Also to look at changes in the political and economic environment which have affected the project, and the initial project assumptions, and to recommend alternative or additional activities which should be considered for support under the project.	Project 125	60	118
FY 1992						
PL 480 Program	2/82	2 4	PACD:N/A Mission would like to assess the progress and impacts of the policy reform measures under Title I and new Title III agreements. Information will be to modify policy reform measures if necessary, verify current food aid strategy and determine whether a further in-depth analysis would be useful.	PD&S 75	30	10C/75 person days
383-004 Low Income Shelter Program	6/88	4 2/93	PACD:N/A The objective of this interim evaluation will be to assess whether the GSL has taken steps towards pursuing the following main components of the policy agenda, namely: a) Increased use of resources mobilized from the private sector or market oriented sector; b) More effective use of public budgetary resources; c) Increased access of low income households to both public and private housing finance resources.	DS&T 50	10	10C/60
383-0075 Mahaweli Environment	3/87	2 3	PACD:09/30/91 The project aims to ensure the stability of irrigated agricultural development and settlement in the Accelerated Mahaweli Project (AMP) area by providing alternative, ecologically sound and socially acceptable habitats for wildlife displaced by AMP developments. Final evaluation to determine impact of project on wildlife resources and institutional building of the Department of Wildlife Conservation.	Project 50	20	10C/60

TABLE VII - SCHEDULE OF PLANNED EVALUATIONS  
REASONS/ISSUES

PROJECT LIST	LAST EVAL	EVALUATION		REASONS/ISSUES	FUNDING	USAID	COLLATERAL
Project # & Title	COMPLETED	START	TO AID/W		SOURCE	PERSON	ASSISTANCE
	M/Yr	Qtr	Qtr		\$000	DAYS	
383-0080 Irrigation Management Systems	4/90	3	1/93	PACD: 06/30/92 Final reports by TA team on lessons learned and USAID End of Project Status report will be done in lieu of final evaluation.	Project	10	21
FY 1993 383-0090 Mahaweli Enterprise Development	-	2	3	PACD: 03/31/95 Mid term evaluation will assess the project's ability to accelerate the creation of permanent jobs in private enterprises at all levels in the Mahaweli region, from micro-enterprises to large scale ventures. Also to determine whether any component of the project needs to be redesigned.	Project	50	10 IQC/60
383-0101 PVO Co-Financing II	4/90	2	4	PACD: 08/31/95 The project aims to enhance the performance of PVOs in launching sustainable development activities by improving access to and control over development resources by all population groups and in strengthening the voice of disadvantaged groups by democratic processes. Mid-term evaluation will assess project progress in achieving outputs, strengthening PVO capability and reaching beneficiaries.	Project	75	40 IQC/75

Evaluation Officer: Randy Casey Title: Program Officer % of Time: 35

TABLE VIII(a)  
FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

TABLE VIII(b)  
FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

TABLE VIII(c)  
FY 1992 ESTIMATE - LOW LEVEL

TABLE VIII(d)  
FY 1992 ESTIMATE - HIGH LEVEL

EXPENSE CATEGORY	FUNC CODE	FY 1991 ESTIMATE			FY 1992 ESTIMATE - LOW LEVEL			FY 1992 ESTIMATE - HIGH LEVEL		
		Dollars	Total Funds	Units	Dollars	Total Funds	Units	Dollars	Total Funds	Units
<b>MISSION NAME USARDBRI/LANKA</b>										
<b>U.S. Direct Hire</b>										
Other Salary	U106	141.0	141.0	13.0	0.0	147.6	15.0	0.0	147.6	15.0
Educ Allow's	U108		0.0		0.0	0.0		0.0	0.0	
COLA	U109		0.0		0.0	17.4		0.0	17.4	
Other Benefits	U110	14.5	14.5	2.0	0.0	30.0	4.0	0.0	30.0	4.0
Post Assign Trv	U111	0.3	0.3		0.0	0.0		0.0	0.0	
Post Assign Frt	U112	35.0	35.0	2.0	0.0	0.0	4.0	0.0	0.0	4.0
Home Lv Trv	U113	45.7	45.7	4.0	100.5	100.5	10.0	100.5	100.5	10.0
Home Lv Frt	U114	30.0	30.0	4.0	42.7	42.7	10.0	42.7	42.7	10.0
Edue Trv	U115	5.5	5.5	1.0	0.0	0.0		0.0	0.0	
R.R. Trv	U116	0.0	0.0	11.0	22.8	22.8	6.0	22.8	22.8	6.0
Other Trv	U117	54.0	54.0	24.0	20.4	20.4	4.0	20.4	20.4	4.0
<b>Subtotal</b>	<b>U100</b>	<b>362.0</b>	<b>362.0</b>	<b>87.0</b>	<b>481.4</b>	<b>481.4</b>	<b>60.4</b>	<b>481.4</b>	<b>481.4</b>	<b>60.4</b>
<b>F.N. Direct Hire</b>										
F.N. Basic Pay	U201	95.5	95.5	19.0	0.0	90.5	18.0	0.0	90.5	18.0
Overtime/Standby Pay	U202	1.5	1.5	0.1	1.5	1.5	0.1	1.5	1.5	0.1
All Other Costs 11-F.N.	U203	14.3	14.3		16.5	16.5		16.5	16.5	
All Other Costs 12-F.N.	U204	48.2	48.2		40.1	40.1		40.1	40.1	
Benefits - Farmer F.N.	U205		0.0		0.0	0.0		0.0	0.0	
<b>Subtotal</b>	<b>U200</b>	<b>160.0</b>	<b>160.0</b>	<b>19.1</b>	<b>168.6</b>	<b>168.6</b>	<b>18.1</b>	<b>168.6</b>	<b>168.6</b>	<b>18.1</b>
<b>Contract Personnel</b>										
U.S. PBC SubBenefits	U302	24.0	24.0	0.5	63.5	63.5	1.0	63.5	63.5	1.0
All Other U.S. PBC Costs	U303		0.0		0.0	0.0		0.0	0.0	
F.N. PBC SubBenefits	U304	310.5	310.5	7.6	374.0	374.0	7.7	374.0	374.0	7.7
All Other F.N. PBC Costs	U305	11.5	11.5		12.0	12.0		12.0	12.0	
Management Contracts	U306	5.0	5.0	0.3	0.3	0.3	0.3	0.3	0.3	0.3
<b>Subtotal</b>	<b>U300</b>	<b>356.0</b>	<b>356.0</b>	<b>8.4</b>	<b>457.3</b>	<b>457.3</b>	<b>9.3</b>	<b>457.3</b>	<b>457.3</b>	<b>9.3</b>
<b>Housing</b>										
Exp. Rent	U401	100.0	100.0	17.0	102.3	102.3	17.0	102.3	102.3	17.0
Fire Utilities	U402	110.0	110.0		122.0	122.0		122.0	122.0	
W.S.R.	U403	28.5	28.5		31.3	31.3		31.3	31.3	
LOA	U404	0.0	0.0		0.0	0.0		0.0	0.0	
Security Utilities	U407	30.0	30.0	30.0	43.0	43.0	34.0	43.0	43.0	34.0

HUDGE: PLAN CODE FOIA9127383U0000

TABLE VIII(a)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

EXPENSE CATEGORY	FUNC CODE	FY 1991 ESTIMATE		
		Dollars	Trust Funds	Units
Subtotal	U600	195.3	0.0	195.3
636(c)	U800			0.0

MISSION NAME USAID/SRI LANKA

Total OE Expense Budget 2,448.8 0.0 2,448.8

LOCAL CURRENCY USAGE: DOLS 1,725  
 EXCHANGE RATE USED IN CALCULATIONS US\$1=RS 40  
 USDH FTE: 17  
 TRUST FUND END-OF-YEAR BALANCE:

FOIA9227383U0000

TABLE VIII(a)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

EXPENSE CATEGORY	FUNC CODE	FY 1992 ESTIMATE - LOW \$ LEVEL		
		Dollars	Trust Funds	Units
Subtotal	U600	305.5	0.0	305.5
636(c)	U800			0.0

MISSION NAME USAID/SRI LANKA

Total OE Expense Budget 2,491.0 0.0 2,491.0

LOCAL CURRENCY USAGE: DOLS 1,751  
 EXCHANGE RATE USED IN CALCULATIONS US\$1=RS 40  
 USDH FTE: 16  
 TRUST FUND END-OF-YEAR BALANCE:

TABLE VIII(a)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

EXPENSE CATEGORY	FUNC CODE	FY 1992 ESTIMATE - HIGH \$ LEVEL		
		Dollars	Trust Funds	Units
Subtotal	U600	305.5	0.0	305.5
636(c)	U800			0.0

MISSION NAME USAID/SRI LANKA

Total OE Expense Budget 2,491.0 0.0 2,491.0

LOCAL CURRENCY USAGE: DOLS 1,751  
 EXCHANGE RATE USED IN CALCULATIONS US\$1=RS 40  
 USDH FTE: 16  
 TRUST FUND END-OF-YEAR BALANCE:

FILE NAME: 91\_92OS  
PRINT RANGE: 92HIGH

FILE NAME: 91\_92OS  
PRINT RANGE: 92 LOW

FILE NAME: 91\_92OS  
PRINT RANGE: 91EST

TABLE VIII(a)

TABLE VIII(a)

TABLE VIII(a)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 1991 ESTIMATE

FY 1992 ESTIMATE - LOW \$ LEVEL

FY 1992 ESTIMATE - HIGH \$ LEVEL

EXPENSE CATEGORY	FUNC CODE	FY 1991 ESTIMATE			FY 1992 ESTIMATE - LOW \$ LEVEL			FY 1992 ESTIMATE - HIGH \$ LEVEL		
		Dollars	Funds	Units	Dollars	Funds	Units	Dollars	Funds	Units
MISSION NAME USAID-SRI LANKA										
REP	U409	2.0			2.0			2.0		
Subtotal	U400	346.4	0.0	346.4	391.4	0.0	391.4	391.4	0.0	391.4
Office Operations										
Office Rent	U501	356.0		356.0	12.7		12.7	12.7		12.7
Office Utilities	U502	96.0		96.0	105.6		105.6	105.6		105.6
Bldg M & R	U503	38.0		38.0	28.7		28.7	28.7		28.7
Equip M & R	U508	28.0		28.0	48.5		48.5	48.5		48.5
Communications	U509	51.8		51.8	56.9		56.9	56.9		56.9
Security Guards	U510	25.5	10.5	25.5	28.0	10.5	28.0	28.0	10.5	28.0
Printing	U511	5.0		5.0	2.2		2.2	2.2		2.2
Site Visit Mission	U513	33.1	440.0	33.1	33.6	460.0	33.6	33.6	460.0	450.0
Site Visit - AID/W	U514	36.0	8.0	36.0	38.2	8.0	38.2	38.2	8.0	38.2
Info Meetings	U515	14.5	3.0	14.5	10.0	2.0	10.0	10.0	2.0	10.0
Training	U516	44.1	14.0	44.1	45.7	12.0	45.7	45.7	12.0	45.7
Conference Attendance	U517	23.3	6.0	23.3	13.9	5.0	13.9	13.9	5.0	13.9
Other Ops Travel	U518				0.0		0.0	0.0		0.0
Supplies	U519	69.2		69.2	72.6		72.6	72.6		72.6
FAAS	U520	148.8		148.8	156.0		156.0	156.0		156.0
Cont Consult Svcs	U521	30.0		30.0	6.0		6.0	6.0		6.0
Cont Mgt/Prfd Svcs	U522				0.0		0.0	0.0		0.0
Spec Studies/Analysis	U523				0.0		0.0	0.0		0.0
AID/W Leases/Maint	U525				0.0		0.0	0.0		0.0
AID/W Leases/Maint	U526				0.0		0.0	0.0		0.0
Travel/Flight U-400	U598				0.0		0.0	0.0		0.0
Attorney Cont Fees	U599	31.0		31.0	31.2		31.2	31.2		31.2
Subtotal	U540	1,011.2	0.0	1,011.2	880.8	0.0	880.8	889.8	0.0	889.8
CFE Development										
Books	U601	1.7	1.0	1.7	1.6	1.0	1.6	1.6	1.0	1.6
Box Equipment	U602	5.0	5.0	5.0	17.7	17.7	17.7	17.7	17.7	17.7
Box Equipment	U603	8.0	8.0	8.0	3.6	3.6	3.6	3.6	3.6	3.6
Office Furniture	U604	25.0	25.0	25.0	15.5	15.5	15.5	15.5	15.5	15.5
Office Equipment	U605	21.2	23.2	23.2	11.2	11.2	11.2	11.2	11.2	11.2
Other Equipment	U606	13.9	13.9	13.9	5.1	5.1	5.1	5.1	5.1	5.1
Other Equip Purchase	U607	10.0	10.0	10.0	136.8	136.8	136.8	136.8	136.8	136.8
Other Equip Purchase	U608	27.5	27.5	27.5	17.2	17.2	17.2	17.2	17.2	17.2
Subtotal	U609	111	111	111	64.8	64.8	64.8	64.8	64.8	64.8

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 93 DOLLAR REQUEST

EXPENSE CATEGORY	FUNC CODE	EST. 92 OBLS.	DECREASES & NON-RECURRING	WAGE & PRICE INCREASES	OTHER INCREASES	FY 93 TOTAL	UNITS
MISSION NAME : USAID/SRI LANKA							
F.N. Direct Hire							
Other Salary	U105					0.0	
Educ. Allow's	U106	147.6		18.0	32.0	197.5	17.0
COLA	U108					0.0	
Other Benefits	U110	17.4	(2.1)			15.3	
Post Assign Trv	U111	26.0		3.8	11.7	41.5	5.0
Post Assign Frt	U112	90.2		11.7	26.7	128.6	5.0
Home Lv Trv	U113	108.5	(100.0)			8.5	4.0
Home Lv Frt	U114	42.7	(31.0)			11.7	4.0
Educ Trv	U115	0.0				0.0	
R & R Trv	U116	22.6		2.3	42.3	67.1	11.0
Other Trv	U117	26.4		2.6		29.0	4.0
Subtotal	U100	481.4	(133.1)	38.3	112.6	499.3	
F.N. Direct Hire							
F.N. Basic Pay	U201	99.5		9.2		108.7	18.0
Overtime/Holiday Pay	U202	1.5		0.5		2.0	0.1
All Other Code 11-F.N.	U203	16.5		1.5		18.0	
All Other Code 12-F.N.	U204	48.1		3.6		51.7	
Benefits - Former F.N.	U205					0.0	
Subtotal	U200	165.6	0.0	14.7	0.0	180.3	
Contract Personnel							
U.S. PSC Sal/Benefits	U302	63.5		2.5		66.0	1.0
All Other US PSC Costs	U303					0.0	
FN PSC Sal/Benefits	U304	374.9		30.7		405.6	76.0
All Other FN PSC Costs	U305	12.6		1.3		13.9	
Manpower Contracts	U306	6.3		0.7		7.0	0.5
Subtotal	U300	457.3	0.0	35.2	0.0	492.5	
Housing							
Res. Rent	U401	182.3		23.5		205.8	17.0
Res. Utilities	U402	132.0		6.0		138.0	
M & R	U403	31.3	(1.4)			30.0	
LQA	U404	0.0				0.0	
Security Guards	U407	43.8		4.5		48.3	36.0
ORE	U408	0.0				0.0	
REP	U409	2.0				2.0	
Subtotal	U400	391.4	(1.4)	34.0	0.0	424.1	
Office Operations							
Office Rent	U501	12.7			389.6	402.3	
Office Utilities	U502	105.6		10.6		116.2	
Bldg. M & R	U503	28.7	(7.1)			21.6	
Equip. M & R	U508	48.5		4.9		53.4	
Communications	U509	56.9		2.6		59.5	
Security Guards	U510	28.0		2.9		30.9	10.5
Printing	U511	2.2		0.3		2.5	
Site Visit-Mission	U513	33.6			3.4	37.0	450.0

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 93 DOLLAR REQUEST

EXPENSE CATEGORY	FUNC CODE	EST 92 OBL.	DECREASES & NON-RECURRING	WAGE & PRICE INCREASES	OTHER INCREASES	FY 93 TOTAL	UNITS
MISSION NAME USAID/SRI LANKA							
Info Meetings	U515	10.0		1.0		11.0	2.0
Training	U516	45.7		1.8		47.5	10.0
Conference Attendance	U517	13.9		1.8		15.7	6.0
Other Ops Travel	U518	0.0				0.0	
Supplies	U519	72.6		7.4		80.0	
FAAS	U520	156.0		15.6		171.6	
Cont Consult Svcs.	U521	0.0				0.0	
Cont Mgt/Prof Svcs.	U522	6.0	(6.0)			0.0	
Spec Studies/Analysis	U523	0.0				0.0	
ADP H/W Leases/Maint	U525	0.0				0.0	
ADP S/W Leases/Maint	U526	0.0				0.0	
Trans/Freight U500	U598	0.0				0.0	
All Other Cont. Svcs	U599	31.2		3.2		34.4	
Subtotal	U500	689.8	(13.1)	55.8	393.0	1,125.5	
EXP Procurement							
Vehicles	U601	13.6			2.0	15.6	1.0
Res Furniture	U602	17.7	(14.7)			3.0	
Res Equipment	U603	3.6			2.3	5.9	
Office Furniture	U604	15.5	(8.3)			7.2	
Office Equipment	U605	11.2			11.7	22.9	
Other Equipment	U606	5.1	(5.1)			0.0	
ADP H/W Purchases	U607	136.8	(54.9)			81.9	
ADP S/W Purchases	U608	37.2	(24.5)			12.7	
Trans/Freight U600	U698	64.8	(37.3)			27.5	
Subtotal	U600	305.5	(144.8)	0.0	16.0	176.7	
U5600	U900					0.0	
Total OE Expense Budget		2,491.0	(292.4)	178.1	521.6	2,898.3	

LOCAL CURRENCY USAGE: DOLS 2,044

EXCHANGE RATE USED IN CALCULATIONS: US\$1=RS40

SDH FTE: 16

TRUST FUND END-OF-YEAR BALANCE.

FILE NAME: 930S  
PRINT RANGE: 93DOLLARS

FY 94 DOLLAR REQUEST

EXPENSE CATEGORY	FUNC CODE	EST 93 OBLS.	DECREASES & NON-RECURRING	WAGE & PRICE INCREASES	OTHER INCREASES	FY 94 TOTAL	UNITS
MISSION NAME : USAID/SRI LANKA							
S. Direct Hire							
Other Salary	U105					0.0	
Educ. Allow's	U106	197.5		3.1	3.1	203.7	17.0
COLA	U108					0.0	
Other Benefits	U110	15.3			10.8	26.1	
Post Assign Trv	U111	41.5		8.2	13.3	63.1	7.0
Post Assign Frt	U112	128.6		24.1	31.9	184.6	7.0
Home Lv Trv	U113	8.5		6.2	33.1	47.8	7.0
Home Lv Frt	U114	11.7		5.2	23.0	39.9	7.0
Educ Trv	U115					0.0	
R & R Trv	U116	67.1	(14.9)			52.2	8.0
Other Trv	U117	29.0		2.9		31.9	4.0
Subtotal	U100	499.2	(14.9)	49.8	115.2	649.3	
F.N. Direct Hire							
F.N. Basic Pay	U201	108.7		8.6		117.3	18.0
Overtime/Holiday Pay	U202	2.0		0.5		2.5	0.1
All Other Code 11-F.N.	U203	18.0		1.4		19.4	
All Other Code 12-F.N.	U204			2.1		2.1	
Benefits - Former F.N.	U205					0.0	
Subtotal	U200	128.7	0.0	12.7	12.7	141.4	
Contract Personnel							
U.S. PSC Sal/Benefits	U302	66.0	(66.0)			0.0	
All Other US PSC Costs	U303						
FN PSC Sal/Benefits	U304	405.6		36.7		442.3	76.0
All Other FN PSC Costs	U305	13.9		1.4		15.3	
Manpower Contracts	U306	7.0		0.7		7.7	0.5
Subtotal	U300	492.5	(66.0)	38.8	0.0	465.3	
Housing							
Res. Rent	U401	205.8		1.8		207.6	17.0
Res. Utilities	U402	138.0		6.0		144.0	
M & R	U403	30.0		2.0		32.0	
LQA	U404					0.0	
Security Guards	U407	48.3		4.8		53.1	36.0
ORE	U408					0.0	
REP	U409	2.0				2.0	
Subtotal	U400	424.1	0.0	14.6	0.0	438.7	
Office Operations							
Office Rent	U501	402.3		25.4		427.7	
Office Utilities	U502	116.2		11.6		127.8	
Bldg. M & R	U503	21.6		1.4		23.0	
Equip. M & R	U508	53.4		2.4		55.8	
Communications	U509	59.5		2.6		62.1	
Security Guards	U510	30.9		3.0		33.9	10.5
Printing	U511	2.5				2.5	
Site Visit-Mission	U513	37.0		3.1		40.1	450.0

FY 1993 ANNUAL BUDGET SUBMISSION  
(DOLLARS IN THOUSANDS)

FY 94 DOLLAR REQUEST

EXPENSE CATEGORY	FUNC CODE	EST. 93 OBLS.	DECREASES & NON-RECURRING	WAGE & PRICE INCREASES	OTHER INCREASES	FY 94 TOTAL	UNITS
MISSION NAME : USAID/SRI LANKA							
Info Meetings	U515	11.0		0.5		11.5	2.0
Training	U516	47.5		3.7		51.2	10.0
Conference Attendance	U517	15.7		3.0		18.7	5.0
Other Ops Travel	U518	0.0				0.0	
Supplies	U519	80.0		3.6		83.6	
FAAS	U520	171.6		8.6		180.2	
Cont Consult Svcs.	U521	0.0				0.0	
Cont Mgt/Prof Svcs.	U522	0.0				0.0	
Spec Studies/Analysis	U523	0.0				0.0	
ADP H/W Leases/Maint	U525	0.0				0.0	
ADP S/W Leases/Maint	U526	0.0				0.0	
Trans/Freight U500	U598	0.0				0.0	
All Other Cont. Svcs	U599	34.4		2.1		36.5	
<b>Subtotal</b>	<b>U500</b>	<b>1,125.6</b>	<b>0.0</b>	<b>73.0</b>	<b>0.0</b>	<b>1,198.6</b>	
NXP Procurement							
Vehicles	U601	15.6	(2.0)			13.6	1.0
Res. Furniture	U602	3.0		12.5		15.5	
Res. Equipment	U603	5.9	(2.9)			3.0	
Office Furniture	U604	7.2	(4.8)			2.4	
Office Equipment	U605	22.9	(16.9)			6.0	
Other Equipment	U606					0.0	
ADP H/W Purchases	U607	81.9	(16.4)			65.5	
ADP S/W Purchases	U608	12.7		32.7		45.4	
Trans/Freight U600	U698	27.5		6.8		34.3	
<b>Subtotal</b>	<b>U600</b>	<b>176.7</b>	<b>(43.0)</b>	<b>52.0</b>	<b>0.0</b>	<b>185.7</b>	
636(c)	U900					0.0	
<b>Total: OE Expense Budget</b>		<b>2,846.8</b>	<b>(123.9)</b>	<b>240.8</b>	<b>127.9</b>	<b>3,079.0</b>	

LOCAL CURRENCY USAGE: DOLS 2.174

EXCHANGE RATE USED IN CALCULATIONS: US\$1=RS 40

USDH FTE:16

TRUST FUND END-OF-YEAR BALANCE:

FILE NAME: 940S  
RANGE NAME: 94DOLLARS

USAID/SRI LANKA  
TABLE VIII(D)  
NARRATIVE EXPLANATION OF CHANGES

<u>Function Code</u>	<u>Detailed Explanation of Changes</u>
----------------------	--

1993

U106 -- Changes in USDH staff  
U110 -- Reduced number of planned transfers from AID/W  
U111, U112 -- Increased number of post assignments  
U113, U114 -- Decreased number of home leave/return to post  
U116 -- Increase in number of R&R  
U501 -- Eighteen month office rental, none in FY1992  
U503 -- Reduction in settling in costs  
U513 -- Increase in Regional Contracting Officer coverage for  
          new contract negotiations  
U522 -- Non-recurring management seminars  
U602, U604  
U606, U607  
U608, U698 -- FY1992 was catch-up year; significant reductions  
          in FY1993

1994

U106 -- Change in USDH staff  
U110 -- Increase in pre-departure costs associated with post  
          assignment  
U111, U112 -- Increased number of assignments to post  
U113, U114 -- Increased number of home leaves  
U116 -- Decreased in number of R&R  
U302 -- Deletion of PSD, USPSC position  
U601, U603,  
U604, U605,  
U607 -- Further reductions from FY1992 catch-up year

TABLE IX - MISSION WORKFORCE SUMMARY IN WORKYEARS (FTE'S)  
FY 1991: ESTIMATE

FUNCTION	USDH		FNDH		USPSC			FMPSC			OTHER U.S.G.		OTHER INST.		NONPOE
	OE	TF	OE	TF	PR	OE	TF	PR	OE	PR	OE	PR	OE	PR	OE
<b>GENERAL MANAGEMENT</b>															
EXECUTIVE DIRECTION	2.0														
PROGRAM DIRECTION	3.0	1.2						1.4							
PROJECT DEVELOPMENT	2.2	0.3						1.3							
FINANCIAL MANAGEMENT	1.0	5.0						4.0							
ADMIN. MGMT.: PERSONNEL MGMT	1.0	1.0						1.0							
ADMIN. MGMT.: OFFICE OPRS./SUPPORT		4.0						23.0							
ADMIN. MGMT.: RESIDTL OPRS./SUPPORT															
ADMIN. MGMT.: CUSTODIAL/CNAR FORCE															
ADMIN. MGMT.: ALL OTHER															
PROGRAM SUPPORT	0.2	1.0						3.0							
INFORMATION SYSTEMS MANAGEMENT								1.0							
GENERAL LEGAL FUNCTIONS															
CLERICAL/SECRETRL./DATA ENTRY SUP.	1.0	2.0						30.0							
OTHER (identify):															
TOTAL	10.4	14.5	0.0	0.0	0.0	0.0	64.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>PROG/PROJ MANAGEMENT (BY SECTOR)</b>															
AGRICULTURE/RURAL DEVELOPMENT	2.8	2.3						4.2							
NAT RES MGMT/ENVIRONMENT/ENERGY	0.9	1.3						0.3							
HEALTH/CHILD SURV/NUTRITION/AIDS	0.8	1.2						0.4							
POPULATION/FAMILY PLANNING	0.3							0.3							
EDUCATION/HUMAN RES. DEV.	0.1							0.5							
PVT. SECTOR ACTIVIT. (not inc. above)	2.4*	0.3						2.7							
TOTAL	7.3	5.1	0.0	0.0	0.0	0.0	8.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>MISCEL. PROGRAM/PROJECT MGMT</b>															
HOUSING GUARANTIES	0.5+														
URBAN DEVELOPMENT															
DISASTER ACTIVITIES	0.2	0.2						1.4							
DEMOCRACY INTVS./ADMIN OF JUSTICE	0.4	0.1													
INFRASTRUCTURE/CAPITAL PROJECTS	0.3							0.4							
OTHER (identify):	0.3	0.1						1.1							
TOTAL	1.7	0.4	0.0	0.0	0.0	0.0	2.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>SPECIAL ISSUES - NON-ADDITIVE</b>															
PL480 T.II/S416 Mntz,Emcy;All T.III	0.9	0.4													
PL480 All Other (T.II/S416 PWD & MFP)	0.3	0.2													
NARCOTICS	0.1	0.2													
ECONOMIC POLICY REFORM	1.2	0.1						0.2							
PROGRAM/PROJECT EVALUATION	0.8	0.1						0.6							
CENTRAL/REGIONAL PROJECT MGMT.	0.9	0.3						0.2							
LOCAL CURRENCY MGMT./ACTIVITIES	0.4	0.3						0.4							

\* Includes 11 months IDI position

\*\* 1 USDH FTE position has been covered by excess FTE hours

+ 5 USDH FTE for housing guarantees has been funded by RHUDO





TABLE X: MICROENTERPRISE PROGRAMS  
 (U.S Dollars Thousands)

FUNCTION FUND & FUNCTION	FY1990 ACTUAL	FY1991 ESTIMATE	FY1992 PLANNED	FY1993 PROPOSED
3830058 DIVERSIFIED AGRICULTURE RESEARCH				
DA Training and Technical Assistance	50	50	50	50
DA Institutional Development & Support	50	100	100	
PROJECT TOTAL:	100	150	150	50
3830083 AGRICULTURE PLANNING AND ANALYSIS				
DA Policy/Regulatory Reform		100	100	100
PROJECT TOTAL:		100	100	100
3830086 MAKAWELI AG AND RURAL DEVELOPMENT				
DA Training and Technical Assistance	150	250	300	150
DA Institutional Development & Support	50	50	50	50
PROJECT TOTAL:	200	300	350	200
3830090 MAKAWELI ENTERPRISE DEVELOPMENT				
DA Training and Technical Assistance	719		900	720
DA Other	125		344	275
LC Loans to Microenterprises	32	162	292	422
PROJECT TOTAL:	876	162	1,536	1,417
3830101 PVO CO-FINANCING II				
DA Loans to Microenterprises	65	150	100	50
DA Training and Technical Assistance	300	200	500	250
DA Institutional Development & Support	155	100	250	100
LC Loans to Microenterprises			100	100
LC Training and Technical Assistance			550	550
LC Institutional Development & Support			550	550
LC Policy/Regulatory Reform			350	350
PROJECT TOTAL:	520	450	2,400	1,950
3830111 AGRO-ENTERPRISES PROJECT				
DA Training and Technical Assistance			50	200
DA Institutional Development & Support			100	200
PROJECT TOTAL:			150	400
REPORT TOTAL:	1,696	1,162	4,686	4,117

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

TABLE X: MICROENTERPRISE PROGRAMS  
 COUNTRY RECAP  
 (U.S Dollars Thousands)

FUND SOURCE FUNCTION	FY1990 ACTUAL	FY1991 ESTIMATE	FY1992 PLANNED	FY1993 PROPOSED
<b>ESF/SAI DOLLAR OBLIGATIONS</b>				
Loans to Microenterprises				
Training and Technical Assistance				
Institutional Development & Support				
Policy/Regulatory Reform				
Other				
<b>ESF/SAI DOLLAR OBLIGATIONS</b>	<b>TOTAL:</b>			
<b>FDAP DOLLAR OBLIGATIONS</b>				
Loans to Microenterprises	65	150	100	50
Training and Technical Assistance	1,219	500	1,800	1,370
Institutional Development & Support	255	250	500	350
Policy/Regulatory Reform		100	100	100
Other	125		344	275
<b>FDAP DOLLAR OBLIGATIONS</b>	<b>TOTAL: 1,664 1,000 2,844 2,145</b>			
<b>LOCAL CURRENCY EXPENDITURES</b>				
Loans to Microenterprises	32	162	392	522
Training and Technical Assistance			550	550
Institutional Development & Support			550	550
Policy/Regulatory Reform			350	350
Other				
<b>LOCAL CURRENCY EXPENDITURES</b>	<b>TOTAL: 32 162 1,862 1,972</b>			
<b>GRAND TOTAL</b>	<b>1,696</b>	<b>1,162</b>	<b>4,686</b>	<b>4,117</b>

SRI LANKA (273830)  
 FY 1993 ANNUAL BUDGET SUBMISSION

TABLE XI - PL480 TITLE III

(Dollars in Millions, Tonnage in Thousands)

	ACTUAL FY 1990		ESTIMATED FY 1991		PROPOSED FY 1992		REQUESTED FY 1993	
	\$	MT	\$	MT	\$	MT	\$	MT
WHEAT	36.0	253.0	35.0	304.0	40.0	348.0	53.1	462.0
TOTAL	36.0	253.0	35.0	304.0	40.0	348.0	53.1	462.0

OPERATING EXPENSES NARRATIVE

The Mission should be able to operate with some difficulty within the prescribed OE budget levels. There will, however, be significant adjustments in FY92 and FY93 to the FY91 allocations because of the unusual costs associated with the new office building and the need to replace deferred purchase of outdated computer equipment. There will also be increased costs in the U100 component to accommodate the large number of transfers expected during the next two years.

In FY 1991 our Mission moved into its new office location. Most of the major construction costs of the new rental space were incurred in FY 1990. Moving, finishing and settling in costs occurred in FY 1991. Our eighteen-month rental payment of Dols 366,282.97 (May 1991 to November 1992) occurred in FY 1991. Covering these unusual costs in FY 1991 forced reductions in other OE components, such as delays in procurement and in replacement actions. Outdated and well-worn computer equipment were utilized, sometimes beyond their capacities, because of insufficient FY 1991 replacement funds. The discontinued maintenance financing of ADP equipment by MS/IRM added to our OE burden. Although MS/IRM has now decided to finance some ADP equipment, older peripherals are not included. To accommodate this change, our Mission did not plan on providing a limited number of back-up terminals, microcomputers and printers to cover a lack of maintenance. Future years' funding will be needed to reverse our deteriorating situation and to help catch-up on prior years delays.

It has been a relatively stable USDH workforce in FY 1991. Costs associated with new assignments to post have been minimal. We will experience an increasing turnover of USDH staff from FY 1992 through 1994 as employees complete their second full tours. Assignments to post costs will increase significantly. Furthermore, many of our Mission employees scheduled for transfer are single. Our FY 1992 and FY 1993 budgets are presented to reflect replacement of employees with families. These projected funding requirements will again reduce funds available for our catch-up plans. While one would anticipate a cost reduction associated with the elimination of one USDH FTE in FY 1992, half the costs of that position was being borne by RHUDO funds as it was a tandem assignment with the Economist position. Thus OE cost savings are insignificant.

We have presented our FY 1992 budget requests to conform to the levels provided by our bureau. The two authorized funding levels are the same. Thus, there are no differences in operations or staffing required to meet the two authorized funding levels.

Most increases to the FY 1992 base for FY 1993 accommodate a 7% inflation. FSN wage increases were budgeted for 20-25% increases as a result of recent past annual wage and compensation increases. Increases and decreases in the U.S. Direct Hire U100 series reflect budgeting for changes in our USDH staff due to transfers and assignments. Our NXP Procurement U600 series reflect minor shifts between line items. We envisage significant decreases from FY 1992 levels to catch-up on requirements postponed in FY 1991 and prior years due to budget limitations. Ideally, we should convert our ADP resources to a LAN network and POSIX system in FY 1993 but propose deferring this conversion to FY 1994 because of insufficient O.E. resources. The most significant change in our total budget from FY 1992 is the Office Rent U501 in FY 1993. An eighteen-month rental payment for our new office (over which we have no control at this point) will occur in FY 1993, with no cost in FY 1992. Our FY 1993 USDH workforce will decrease by a further FTE in FY1992.

Our Mission requires the necessary human resources to implement its recently AID/W endorsed strategic framework 1992-1996. This framework is responsive to the Administrator's goals and initiatives and is consistent with bureau objectives. In keeping with the Administrator's Strategic Management Initiative, we are consolidating a portion of our project portfolio into fewer management units. We are also initiating three new core project designs in Agro-Enterprise, Water/Land Development and Financial Markets. We are looking at a possible involvement in economic infrastructure. Measures are being taken to adjust our program to our strategic framework, help reduce the sizeable Mission project portfolio pipeline and reduce the number of management units.

In preparation for the strategy exercise, an extensive review of the USAID staff was conducted. Each office and position was examined to assess its requirement to carryout the current and future program activities. The review found some workload imbalances. The review led to the following: A merger of the Irrigation and Agriculture Offices and (elimination of one USDH position upon the incumbent's departure in 1991); termination of two underutilized Engineers working under contract for the Rehabilitation Assistance Project; relocation of office responsibilities functions (e.g., Science Program from Program to Agriculture); and, exploring external arrangements (PVO or NGO) to handle highly staff intensive supervision of some 25 centrally funded population projects. The review identified increased staffing responsibility with new activities (e.g., PL480 Title III, U.S. business investment and excess equipment). Every effort is being made to handle these functions within the existing staff levels.

Operating expenses presented in these budgets reflect those changes contemplated and include support requirements necessary during the redesign and design plans. We have also recognized a need to increase training for our staff to help delegate greater responsibilities and respond to accountability requirements being placed on both FSN and USDH staff.

Within the requested funding levels, the Mission will need to restrict its automation plans already behind replacement schedules and needs. Also, training necessary to prepare FSN staff to fill USDH staffing positions will be decreased. AID W assistance required for our redesign and new design activities will be limited, preventing measures to implement our Strategic Framework and Agency initiatives and hamper our work to reduce our project pipeline. The impact of our training programs will help augment reduced USDH staffing levels and permit delegation of increased responsibility on local staff with reduced salary costs.

Our plans to insure that acceptable levels of management and accountability are maintained will also include the increased use of non-federal audits and outside management studies. While the audits and studies will utilize project resources which might otherwise be devoted to management activities, restricted operating expense funds and the need to maintain acceptable levels of management and accountability requires allocating some measures for oversight.

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## ANNEX H

## Workforce Narrative

Workforce tables reflect the Mission's reorganization to carry out program objectives contained in the newly approved Strategic Framework for USAID/Sri Lanka within the USDH and FSNDH FTE levels provided for Fiscal Years 1992 and 1993. The reduction of the USDH Housing Advisor position to accommodate the FY 1992 FTE of 17 positions will increase the responsibilities delegated to the single FSN professional in the Housing Office. The further FTE reduction proposed for FY 1993 will result in reducing the assignment of a USDH engineer in our Agricultural and Natural Resources Division by one year. This will come at a critical time as we will be designing the land and water resources project and terminating an irrigation project. We are therefore exploring the use of a program funded PSC or an IDI to provide technical and implementation oversight on our current and planned projects in land and water resources management. Without this support, we may have to postpone the planned new project start in this area. To help manage the complex private sector portfolio, we foresee the possible requirement of a USPSC in FY92.

To permit the Mission to appropriately manage the program, we have committed ourselves to increasing the responsibility and accountability of our FSN staff. However, to do so, we also foresee a greater need for concentrated training and career development of these FSN staff during the next two years.

With regard to FSNDH FTE reductions, the Mission can accommodate the FY 1992 and 1993 levels of 18 DH employees, since one FSN DH employee will retire at end CY 1991, using three months of FSN FTE from a 1991 vacant slot, which will also be deleted. Further reductions, however, will impact adversely on our overall program implementation objectives as we require these DH positions to properly perform the project officer functions. Furthermore, we have a significant investment in our FSN personnel in terms of training, development of successful teamwork, and institutional knowledge of both USAID and Government of Sri Lanka policies and programs. Given our expanded accountability responsibilities engendered by increased audits of our projects, continued decreases in personnel and budget levels can jeopardize our ability to adequately implement, monitor, and evaluate our program strategy. A relative increase in foreign national personal services contract levels may occur to ensure proper design, management and oversight of resources required to carry out our overall strategy objectives.

## NEW PROJECT NARRATIVE

Title and Number: Agro-Enterprises - (383-0111)

Funding:

FY 92	\$3.5 Million
FY 93	\$4.0 Million
LOP	\$15.0 Million

Account: ARDN

Objectives: This project will increase rural incomes by introducing new technologies, expanding commercialized agricultural services, and intensifying inter-linkages between the farming, processing and marketing systems specifically targetted toward export crops. It is fundamental to the Mission's new strategy of improving income and employment growth through market-driven, agriculturally-led industrialization and will be the core project to achieve one of the four Strategic Objectives: Commercialization and Diversification of Agricultural Systems. It will complement and extend the recently evaluated achievements of the Mission's Diversified Agriculture Research Project, Mahaweli Agriculture and Rural Development Project, as well as the Mahaweli Enterprise Development Project. A comprehensive horticultural study last year also recommended such an approach. The project will also clearly support three of the Agency's six priorities: support of private sector approaches to broad agro-economic growth; concern for individual enterprise and the improvement of socio-economic well being of mostly smallholder farming families and rural wage laborers; and a generally positive effect of efficient, high-income minor cropping systems on the natural resource base.

Problems to be Addressed and Means: Sri Lanka is faced with severe problems of unemployment and under-employment, with very low income levels, and with balance of payment deficits. Any development strategy must consider agriculture which currently provides 50% of employment, a third of export earnings, and 25% of GNP; with agriculturally-related services and industry probably doubling this contribution to GNP. Increases in agricultural productivity are needed to generate incomes, employment and off-farm multipliers which in turn will help the expansion of the total economy. However, the potential for increasing production of major traditional crops (rice, tea, rubber, coconut) is limited. Serious efforts to diversify agriculture have only been made in the past decade and initial experience with other low value field crops has not met expectations in providing increased incomes due to limited yields and market potential.

Horticultural crops and so called minor export crops (spices and beverage crops) have proven to be a high-growth agricultural subsector with potential for considerable improvement. Sri Lanka's diverse agro-climatological zones, counter-seasonality with major Western markets, low labor costs, and cash crop tradition give it a high degree of "competitive advantage" in horticulture and minor crops. Production of these crops is labor-intensive, provides substantial downstream agro-processing multipliers and additional off-farm income and employment, and would fill substantial unmet market potential domestically, for tourist supply, and in overseas markets. Small farm plots are appropriate for many of these crops and perennials can provide more environmentally sound production systems.

Over the past several decades due in large part to policies which encouraged rice self-sufficiency and import substitution, there has been considerable under-investment in the commercial production, processing, research and promotion of minor crops. New planting materials are difficult to import, and have not received much domestic research effort (10.2% of public research budgets). Grading, processing and packaging are still rudimentary and production-to-market linkages particularly for export are weak and variable. The "statist" administrative environment and structure of the past have not been conducive to entrepreneurship in commercial crop production and marketing. Private investors have been discouraged because of the dominance of state undertakings, by difficulties in obtaining land, and by being cut off from innovative technology from abroad. This had led to the actual disappearance of some fruits (apples and pears), the poor quality and high costs of other crops (citrus), and declines in the production of others (spices). Serious constraints, organizational, technological and financial, limit the growth of the production of most minor crops and hence their potential for generating income and employment. A major competitive advantage of Sri Lanka's economy is not being effectively used and developed.

The GSL has, largely as a result of A.I.D. assistance, come to recognize the potential of these high-value crops, in particular the horticultural crops, and has taken three significant steps: a policy of more commercial agricultural development; a Presidential Task Force on Non-Traditional Export Crops; and macro-economic liberalization measures (lowered tariffs, devalued exchange rate, etc.) which are freeing the potential of agricultural enterprise. A number of small, donor-assisted projects and government programs has been attempted to resolve specific technical problems in minor crop production, but most have focused on specific supply-side constraints of the overall problem without broader follow-up. A government-led, administrative approach will not spur the individual and collective enterprise necessary to energize and sustain production systems.

Several A.I.D. projects are supporting the movement to diversification and higher-income crops. The Diversified Agriculture Research Project has developed Department of Agriculture research planning and management capabilities and is helping to develop a more balanced research program; a Mahaweli Enterprise Development study has stimulated wide interest in horticultural exports; a PVO project is helping organize farmer associations to intensify production; and the Mahaweli Agriculture and Rural Development Project is having demonstrated success in increasing horticultural production by improving production and export linkages. Although these efforts have resulted in some increases in production of some horticultural and minor crops, they are limited in scope and area and do not begin to tap the full range of agricultural diversity and advantages which Sri Lanka can offer. They also suffer from a lack of supportive systems (both public and private sector) for finance, management assistance and new technologies. However, these efforts have demonstrated that expansion of minor crop production can only be improved by way of the "demand side" of the system. Production will be dependent upon introduction of integrated production and marketing systems. To this end, the project will support the commercial development of entire production-to-market systems. Rather than providing assistance to resolve prespecified problems with preselected crops, the project will enable market forces to determine which investments are justified to improve the performance of crop production systems. Only after a clear market opportunity is evidenced by the commitment of interested investors or producers will resources be directed at problems, be they technological, organizational or financial. The project will help translate the "pull" of the market into improved, mostly smallholder, production systems for minor crops, or in a word: commercialization.

The mechanism for accomplishing this will likely be an agro-enterprise foundation, a non-governmental structure through which private, individual or collective interests can obtain technical assistance, risk and cost sharing, access to credit and/or supplemental equity investment to improve a production system based upon their commitment and market projections. Such a foundation would be able to select proposals for assistance based upon certain desirable criteria, e.g., export potential, capital commitment, smallholder involvement, etc. It can also ensure that project investments are focused on priority constraints from market demand through to basic production technologies and organization.

However, since minor crop development will also depend upon the capacity of supporting institutional and physical infrastructure, the project will also provide limited direct assistance to several public institutions. Public research capabilities may be enhanced in minor crop agronomy, postharvest handling and processing and integrated pest management. Higher education curricula may be expanded to include agro-processing and agribusiness with funding for scholarships and internships. One or two wholesale marketing centers may also be improved. Nevertheless, the largest component of the project will be directed toward private sector entities by means of the foundation's investments.

Target Groups: Commercial farmers and producer associations that specialize in minor crop products primarily for export or processed commodities for the local or export market, and individual farmers who will benefit either directly as they move to higher-income production for local or external markets or indirectly as the outgrowers or contractors to larger commercial firms.

PID Approval Authority: The PID approval has been delegated to the Mission Director.

Research Activities: This project supports applied and adaptive research and the international transfer of technology to support high-income agriculture for both commercial firms and individual farmers, and is therefore consistent with Agency research priorities.

Participant Training: In-country postgraduate training and U.S. or third country short-courses will be part of this project with the training component estimated as:

	<u>Long-Term</u> <u>(In-country)</u>	<u>Short-Term</u> <u>(U.S./Third Country)</u>
Number	20	40
Cost	200,000	400,000

Workforce Implications: This project represents a new "role" for a second generation in our program and will be phased in as our current project workload begins to wind down. Therefore, the incumbent USDM Agricultural Office and FN staff will provide adequate guidance and oversight.

Peace Corps: USAID/Sri Lanka and The Peace Corps are exploring possible project activities for Peace Corps Volunteer involvement.

## NEW PROJECT NARRATIVE

Title and Number: Land and Water Resources (383-0113)

Funding: FY 92 \$ 4.0 Million  
 FY 93 \$ 5.5 Million  
 LOP \$25.0 Million

Account: ARDN

Objectives: The objective of the project will be sustainable increases in the productivity of Sri Lanka's land and water resources by improving ownership and user rights, and new associated national policies. The project will address three of the Agency's six principles: free markets and broad-based growth, individuals' economic development and well being, and prudent management of natural resources. It will directly support two of the Mission's four Strategic Objectives: commercialization of agricultural systems and preservation of natural resources, and include the programmatic themes of policy change and sustainability. The major policy issues center on the passage and implementation of pending legislation on registration of land titles and incorporation of water user organizations.

Problems Addressed and Means: Substantial and sustainable increases in the productivity of Sri Lanka's land and water resources are a fundamental step in the development and transformation of its traditional, subsistence agricultural systems. The experience of the green revolution here and in many other parts of Asia and the remarkable agricultural productivity of arid, but densely populated areas of India, Korea, California and Israel are testament to what can be done. However, these increases in productivity must also be sustained by concomitant efforts to ensure the preservation of the natural resource base in which this productivity is rooted. The alarming rates of land degradation due to salinization, continuous monocropping, and devegetation particularly in South Asia indicate that the preservation of this natural capital base is usually sacrificed for shorter-term gains in productivity. The increasing soil, drainage and groundwater problems in its irrigation systems, and devegetation and erosion in its uplands are ominous indicators for Sri Lanka.

Paradoxically, most technologies necessary to increase and safeguard the productivity of agricultural land and water resources are well known and water regulation techniques, irrigation and drainage methodologies, sloping land agricultural practises, mixed cropping systems, and agroforestry are generally well-proven and effective when utilized. However, as demonstrated by numerous studies and considerable experience, the introduction and utilization of any land or water conservation technologies depends primarily upon the structure of economic incentives to the user, generally smallholder (and often subsistence) farmers. Fundamental to this incentive structure is the ownership of or rights to

the resource and associated infrastructure. In earlier times when population and economic growth was slower, less formalized, traditional systems of local, common ownership and control of resources were adequate to sustain low-output production. These traditional systems have over time been broken down by competitive economic pressures and modern administrative systems. Sri Lanka's Government ownership of "crown" land, resettlement schemes, nationalization of large private holdings, and central control of water resources are cases in point. The low productivity and overuse of these resources along with enormous public bureaucracies which cannot manage them has been the consequence.

The GSL is at the forefront of South Asian governments not only in realizing, but also doing something about ownership and local control. The current government has made a policy of land privatization ("alienation") and local control of resources. However, inadequate budgetary resources, procedural uncertainties, and rent-seeking interests constrain implementation of these policies. To improve implementation, new legislation is before Sri Lanka's Parliament which clarifies land titling procedures and water-user legal status; its passage is imminent, USAID has been relatively successful in assisting the GSL's Ministry of Irrigation, Lands and Mahaweli Development both with land titling through PL-480 Title I budgetary support and with the formation of local water user groups through its Irrigation Systems Management Project. These successes were largely due to the provision of additional budgetary support combined with well-focussed local and U.S. professional assistance to facilitate policy implementation. More importantly, USAID's Irrigation Management Policy Support Activity (IMPSA) is leading the debate at senior levels of government on guidelines for a national water policy and probable legislation.

Building on this experience, USAID proposes to expand this assistance to the government and to appropriate private firms through a new project focussed on increasing land title registration and water-user organizations and agreements. The project will provide budgetary support and professional assistance and exchanges primarily to this Ministry, to facilitate land survey and titling and the formation, training and empowerment of water-user organizations. It will also provide selective support to improve the national land and water databases and the formulation of national water policies. The project will include U.S. professional assistance, and a limited amount of technology transfer, but primarily consist of performance-based disbursements linked to achievement of various land titling and water user group targets.

Target Group: The primary beneficiaries will be smallholder farmers and irrigators. Technical and legal specialists in both the public and private sector will also benefit from project training and implementation-related services.

PID Approval Authority: Mission requests authority and does not foresee policy issues which require AID/W resolution.

Research: The project will not include any research activities per se, although there may be some testing and monitoring of different organizational approaches.

Training: The project may include a limited amount of short-term training in the U.S. or third countries. Approximately 50 participants for a total of \$400,000 in project funds.

Work Force Implications: This project will represent a new "core" land and water resources effort. The incumbent USDH water resources specialist and a newly-hired FSN engineer will provide adequate guidance and oversight for the project.

ANNEX L

COUNTER-NARCOTICS ACTIVITIES

Sri Lanka is one of the five countries covered by the ANE Regional Narcotics Education (RNE) project (398-0355) for assistance to reduce demand for narcotics through informational and educational programs to population groups at risk for narcotics abuse.

The program is being implemented by a contractor (Development Associates, Inc.) providing technical assistance and training for two organizations:

- 1) National Dangerous Drug Control Board (NDDCB)
- 2) Sri Lanka Anti Narcotic Association (SLANA)

The NDDCB, a governmental organization, is being assisted in setting up a drug information center and SLANA, a private organization, is being helped to set up a preventive education division. Over the past two years, the RNE program has provided assistance to NDDCB in the following areas:

- a. Provision of a computer and appropriate software to assist in the establishment of a bibliographic data base on drug prevention.
- b. Provision of books, monographs and journals to form a drug prevention resource center.
- c. Provision of training and technical assistance in the management of information (data base).
- d. Provision of training and technical assistance in the design of a school-based drug prevalence survey.
- e. Provision of training of staff through regional workshops.

The RNE program has provided technical assistance to SLANA in:

- a. program design and implementation;
- b. management;
- c. development of institutional support;
- d. management information systems;
- e. survey design and implementation;
- f. statistical analysis;
- g. community-based prevention; and
- h. use of mass communication.

After two years of operations, SLANA has established itself as an important institution in Sri Lanka in the area of drug awareness and education. It is providing programs of drug awareness directed at youth, at opinion leaders as well as at urban slum dwellers. It has secured hundreds of members (over 4,000) in various parts of the country ranging from Government Ministers to high school students.

SLANA has just concluded a national public awareness and opinion survey of drug and substance abuse with technical assistance provided by RNE. A preliminary study indicates that the number of drug addicts in Sri Lanka may be in the region of 70,000 as against the previously estimated 30/50 thousand.

But SLANA needs further support to achieve a capability for action as a self-sustaining drug awareness and education organization. As the RNE program will be completed by the end of FY 91, SLANA is to be assisted under the PVO Co-Financing II Project (383-0101) for a period of three years, FY 92 to FY 94 at an estimated cost of \$300,000.

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