

Action Plan

FY 1992-1993

Brazil

January 1991



Agency for International Development
Washington, D.C. 20523

ACTION PLAN FOR
A.I.D. IN BRAZIL
FY 1992-1993

December 15, 1990

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I. PROGRAM OVERVIEW AND RELATIONSHIP TO BUREAU OBJECTIVES

A. PROGRAM OVERVIEW

BACKGROUND Brazil represents half the population and land area of South America. First world in talent, educated leadership, performance of elite institutions, technology and competitiveness, it has stunning poverty, overburden and decay of urban areas, social inequities, inattention to preventive and protective care of people and environment and unevenness in performance of democratic processes and institutions. Its relation with the U.S. is one of contrasts: a strong trade partner, having much to offer in intellectual and scientific cooperation and a supporter on some key issues such as liberalization of agriculture in GATT and international solidarity in confronting the Gulf crisis, it continues anti-competitive practices, has not recognized intellectual property rights and is over-protectionist. Its new Government is struggling to move away from these failings.

Non-cooperation on safeguarding nuclear materials and processing technology (soon to be resolved) and non-payment of debt to the U.S., trigger prohibition of AID assistance. Exceptions allow relatively unhampered programs in global climate change and family planning, training programs for narcotics awareness, AIDS prevention and, in the future, administration of justice.

LAC REGIONAL PROJECTS

The Intercountry Technology Transfer Project (ITT) is the principal funding vehicle. In FY 90, the LAC II participant training project was succeeded by the five year ADC training contract and a separate regional project was established for global climate change advisors to Mexico, Brazil and AID/W. In FY 91, another regional project will be established for the global climate change program.

GLOBAL CLIMATE CHANGE - Objectives are to: (1) encourage policies which will recognize long-term value of the Amazon, avoiding rapid and low-return clearing of tropical forest, and which will improve energy efficiency; and (2) stimulate participation by talented Brazilians and networking arrangements among them which will attract other resources. The program has three central themes: (a) comparative analytic research and practical demonstrations with policy impact for forest management and increasing the economic value of forest products -- the work will be through nuclei of researchers and community groups being formed in Belem and Rio Branco (eastern and western Amazon); (b) energy conservation promoted through a non-government organization, the Energy Efficiency Institute, will stimulate cooperation between Federal

and State Government and the energy industry; and (b) interchange among U.S. and Brazilian natural resource economists on the relation between people (quality of life) and environment, sustainability and future costs. In parallel, three cross-cutting activities will occur: (i) government to government cooperation: the U.S. Environmental Protection Agency with Brazil's Secretary for Environment (SEMAM) and Amazon research institute (INPA); USDA/Forestry Service with Brazil's environmental executing agency (IBAMA), several state institutions and NGOs; (ii) strengthening of selected NGOs; and (iii) support to SEMAM and IBAMA to plan use of resources becoming available for Amazon environmental projects. The program will be carried out through U.S. intermediaries, initially the World Wildlife Fund, the University of Florida/Gainesville, Woods Hole Research Center, the Smithsonian Institution and Hagler-Bailly, AID's centrally-funded contractor for energy conservation. (\$1.2m LAC; \$1.0m ST/ENR).

FAMILY PLANNING - A strategy for Brazil adopted in 1987 has been successfully completed, concentrating support for services in the northeast, augmenting sustainability of NGOs, emphasizing linkages between the NGOs and government, and seeking in-roads on quality issues (improving method mix and appropriate use of methods, reducing caesarian sections). The government's economic plan has set back efforts toward sustainability. Looking ahead, concentration in the northeast will be reinforced; emphasis will be on stimulating the government to make family planning part of maternal public health care; sustainability of NGOs will continue, systems will be improved for procurement and distribution of contraceptive materials; use of market forces will be accented to extend the reach of family planning methods to the disadvantaged. (\$5.6m ST/POP; \$0.43m LAC).

AIDS PREVENTION - The program emphasizes AIDS prevention: (1) in the workplace (in Sao Paulo through the occupational safety foundation (SESI); improving and extending prevention programs of leading enterprises, reaching workforce and communities through banks and working with SESI at the national level to improve training effectiveness and extension) and (2) for high risk groups (homosexuals, prostitutes and street children). There is participant training for key figures in public and private organizations. In preparation are AIDS preventive education for youth (in and out of schools) and strengthening of NGOs. (\$0.3m LAC).

NARCOTICS AWARENESS - The way is being opened through AIDS prevention to increase narcotics awareness in the workplace and among youth. Participant training of key individuals will also be emphasized initially. A major event in Sao Paulo in April 1991 will permit an interdisciplinary look at the drug problem and identify persons in whom the program will invest. (\$0.3m LAC).

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PARTICIPANT TRAINING AND SEMINARS - Partners of the Americas will continue training activities (pipeline under LAC II and \$0.25m ITT). SUNY will begin modestly in participant training because of the low FY 90 obligation for ADC Training (\$0.15m). The contract is \$2.25 million over five years. Participant training, seminars and U.S. visits are planned for the themes of the country program; a small input (through Partners) is for health care administration responding to a request from the Health Minister. In 1991, Administration of Justice will be added.

AIFLD - Assists free and democratic Brazilian labor unions in the development of educational programs, fostering broader worker participation in the trade union movement. (\$0.4m LAC).

OTHER ACTIVITIES - The LAC Regional Environmental Support Project provides the USPSC global climate change technical specialist in AID/Brasilia. (\$0.15m LAC). An ITT-funded Vitamin A research project is ending this year (fully funded in FY 88).

SCIENCE AND TECHNOLOGY (ST)

ST/POP - Fifteen centrally-funded intermediaries work in Brazil; collaboration is excellent. Strong support is provided by ST/POP and LAC/OR/HPN.

ST/H - Complements Mission buy-ins: AIDSTECH, AIDSCOM, DRUGCOM.

OTHER ACTIVITIES - Work continues on pest management under the Bean and Cowpea CRSP. The Office of the Science Advisor funded two projects in both FY 89 and 90 in agriculture and health. A small OFDA grant for a disaster preparedness training center is coming to completion. OSHA supports Esperanza, a U.S. pvo in the eastern Amazon in primary and tertiary health care, emphasizing training in health service delivery and administration; a matching grant has been proposed to FVA Bureau. A direct relation exists between Esperanza's activities and the global climate change program.

B. AFFIRMATION OF THE APPROPRIATENESS OF THE COUNTRY STRATEGY IN RELATION TO NEW LAC OBJECTIVES

1. The Country Strategy in Relation to New LAC Objectives

1. GOB's economic and structural reforms are struggling to take hold; forces of inflation are so strong that total GOB effort is absorbed in this problem, with the result that:

a. political support is waning, notwithstanding the president's popularity;

- b. exports are being stifled by overvalued cruzeiros and lack of financing;
- c. privatization is taking time to get in place, but looks serious; there is no movement yet toward land reform;
- d. business does not back the reforms, because they don't want to pay the price to modernize management methods and controls, and because there are still too many special interests tied to inflation-related gains;
- e. an "entendimento nacional", a national pact among government, business and labor has not prospered, and the Collor Administration could pay a price for its vacillation on the pact;
- f. performance problems, especially in the technical ministries, undermine the Government's ability to get results;
- g. the middle class is continuing to erode;

2. the overriding economic issue is Brazil's economic model: the new Government is distancing itself from past practices, but there are still impediments and sensitivities; more will be accomplished in select areas where collaboration is welcomed, e.g., energy conservation and natural resource economics;

3. economic reform issues such as privatization, open markets and expanded use of market forces are political and they are best handled through the larger economic/financial dialogue between the two countries.

How, then, does the Mission propose to pursue the LAC objectives?

- a. emphasize sustainability and equity:
 - i. elevate attention to environment in ways that also contribute to economic policy reform;
 - ii. address key social issues by building bridges, sharpening focus on problems, improving processes;
- b. reach economic impact targets: energy conservation, natural resource economics, help develop a modest dimension for increased productivity through managed use of the forest together with properly-focused economic priorities for development of the Amazon Region.

2. AID/Brazil Strategic Objectives (Keyed to Objectives Statement)

I. Export-Led, Sustainable Growth

A. policy reform for economic diversification

- 1. improve quality, efficiency and financial sustainability of social services

C. accelerate participation by disadvantaged

- 2. maintain reductions in population growth rates and extend to the undeserved
- 3. bring quality and more efficient social services to at-risk and disadvantaged populations

D. preservation and sustainability of natural resources

- 4. stimulate sustainable-use practices in the Amazon Region
- 5. promote reform of economic policies negatively impacting on sustainable-use of natural resources
- 6. promote reform of energy policies constraining growth and contributing to global climate change

II. Evolution of Stable Democratic Societies

A. strengthened, competent, civilian government entities

- 7. foster credible public institutions acting responsibly and delegating to regional and local authorities, e.g., technical ministries dealing with health, environment and in the future, justice

B. expanded pluralism, democratic values

- 8. increased awareness and debate on social issues; strengthening linkages for communication among organized sectors of the society, especially through private entities collaborating with government in health, environment and in the future, justice

III. International Cooperation Advancing Growth and Democracy

- A. advancement of U.S. strategies on issues of special concern: narcotics, environment

- 9. increase narcotics awareness
- 10. help Brazil improve internal performance and play constructive role internationally on global climate change issues
- B. advancement of U.S. foreign policy initiatives for technology transfer where there is comparative advantage: epidemics
 - 11. collaborate to reduce spread of AIDS.

3. How the Program is Helping Achieve Relevant Sub- Objectives

By strengthening NGOs, helping them toward sustainability and to find a market niche, and by promoting their collaboration with government to provide needed services and training, the objectives of improving social services and access to them by disadvantaged groups are advanced. This has been the centerpiece of work in family planning. It will take on increasing significance in global climate change and AIDS prevention.

Bringing private enterprises to become involved in social issues, and to recognize the extent of self-interest in so doing is key to their taking a more active role in influencing policy. This direction is still of modest dimension in the program, but will expand at the pace that receptivity permits. It has been pursued most in AIDS prevention (and will be for narcotics awareness) and in bringing family planning to HMOs and private businesses. It will be significant in the global climate change program.

Through expanded networking and careful preparation of events, it will become possible to position the participating entities to attract other support, internal and external, and to exercise influence. This is what the natural resource economics theme is about. It is an objective of the research nuclei in Belem and Rio Branco and is an agenda item of the Energy Efficiency Institute. The relationship between Partners of the Americas' seminars and the AIDS prevention/narcotics awareness programs also highlights this dimension, as do family planning activities for young adults.

Finally, through education and post-training involvement of promising individuals in positions of responsibility, promotion of program objectives is being enhanced. Most successful has been environmental group visits to the U.S. and the multiple networking activities for AIDS prevention in the workplace. Within Brazil, this is a central element in the family planning strategy and will be for narcotics awareness.

II. PERFORMANCE

OVERVIEW: Quality preparations for the global climate change program made possible excellent conceptual thinking while taking advantage of opportunities presented. The program was able to position itself strategically at three levels: in relation to the Government's own setting of priorities; in drawing forth participation of those who may catalyze other resources and influence policy; and in its substantive dimensions.

Family planning continues the successful direction of the past three years, with strong support of cooperating agencies collaborating with specific groups. There have been set-backs, with consequent deferral of strategy refinement. The Government's economic plan has had negative impact on several entities, especially those who market training and service delivery to enterprises and government. BEMFAM, Brazil's largest NGO, is in final stages of an extensive audit instituted by IPPF. ABEPF, an umbrella organization of local NGOs, is seeking new vitality and rethinking its mission. There is favorable prospect for collaboration with the UN Fund for Population Activities. In contrast, the new Health Minister has not given the positive orientation for family planning which was anticipated. A number of the AID-supported NGOs have matured significantly, meriting a new definition of their roles and multiplier effects.

AIDS prevention activities began slowly but are proving to be of high quality. Workplace preventive education took a big step forward with the signing by the Sao Paulo Industrial Federation of an agreement for labor education through the occupational safety foundation. Networking among large enterprises and introducing workforce training and community outreach into banks are both advancing following a very successful seminar in December. Work with high-risk groups is positive on two fronts: through BEMFAM (AIDSCOM) emphasizing materials preparation and beginning outreach to the target groups; through small NGO projects with AIDSTECH, which are getting strong results. Youth Education, through teacher training curricula and through focus on special opportunities outside of school, is still at a formative stage. Training for CCII (an innovating NGO working with AIDS patients in Campinas) has led to internal improvements and increased community support.

Successful seminars and visitation travel have been organized by Partners of the Americas for prevention of AIDS in the workplace and global climate change. Participant trainees have performed very well and significant private resources are being leveraged.

ATTAINMENT OF BUREAU AND CDSS OBJECTIVES: The 1988 mini-CDSS remains valid, except that child survival was phased out and administration of justice is to begin in FY 91 or 92.

A. GLOBAL CLIMATE CHANGE: Start-up is strong in the major grants (WWF and U. of Florida/Gainesville). Linkages have been built with government entities. The Energy Efficiency Institute is just now taking form at both the Federal level and in Sao Paulo State. The FY 91 program is identified, but a great deal of preparation remains. There will be consolidation of work on timber policy; an expanded scope of events is proposed for natural resource economics. The agenda of the Energy Efficiency Institute has been framed and will be further developed. The expectations for monitoring the GCC program will be defined with AID/W technical support in early March.

B. IMPROVING HEALTH - SELECTED ISSUES: The AIDS prevention program is increasing awareness, while opening new avenues for addressing the problem. Institutional linkages are being forged, involving both public and private entities, which advance democratic processes. They open the way for actions on narcotics awareness, with two impacts: up-scaling awareness and confidence that something can be done and facilitating preventive health care networking.

C. INCREASING ACCESS TO VOLUNTARY FAMILY PLANNING: The key is bringing the Government to take a responsible role consonant with participation in other preventive health issues. This is becoming a reality at state and local levels. Other program accomplishments are: making NGOs sustainable and influential; cooperating with UNFPA; promoting parliamentary interest and defending family planning as a right provided for in the Constitution; introducing family planning into HMOs and incipiently into enterprises; advancing quality issues. However, there is not presently movement with the Federal Government. Other donors are not forthcoming in providing needed support and influence. Some NGOs have been hard-hit by economics measures and the over-valued cruzeiro. They will take time to recover and/or reposition themselves. Innovative work on sustainability must continue and quality issues remain. Legal restrictions may impede application to Brazil of two important, new, centrally-funded projects (PROFIT and OPTIONS).

D. COMPLEMENTARY EDUCATIONAL OPPORTUNITIES: Seminars, visits and networking have been integrated with program activities in each area of focus. This year, the Mission expects to make further gains in reaching persons who make a difference to the program, e.g., apprenticeships for talented Brazilian economists with U.S. natural resource economists leading that activity and mobilizing non-AID support for training.

PERFORMANCE AGAINST ADC GUIDELINES: The guidelines call for: (1) leveraging policy reform and resources; (2) brokering, acting as a catalyst, networking and building linkages; and (3) institutional strengthening, transferring technology and mobilizing support for policy work.

Policy reform is central to the global climate change program: positioning the Belem and PESACRE groups to advocate policy change, developing an appropriate agenda for the Energy Efficiency Institute; and instituting a continuing interchange on natural resource economics. Other examples are: stimulating modified government efforts for AIDS prevention with high-risk groups, increasing attention to quality issues in family planning and bringing new ideas to the training of health care administrators. Exposure to U.S. ideas and experience for environmentalists and persons involved in AIDS prevention through the workplace has lasting return, as these people play a role in the AID program and intermediate within their institutions.

Leveraging resources is exemplified by: workplace programs for AIDS and narcotics prevention where by helping to develop materials and train trainers, preventive education may reach millions of families; introduction of family planning services into fifteen health maintenance organizations by aiding Fulbright Commission to send a group of environmental lawyers to the U.S. for a program organized by the Environmental Law Institute.

The catalyst role is seen in government-to-government linkages and networking among research and community organizations of the GCC program and in the expansion to over 2,000 agreements between family planning organizations and local governments for improvement in quality service provision and cost recovery. Partners of the Americas stimulated activities in the areas of program focus, supported by resources they mobilized as well as co-participation by other sources. Future actions being prepared will include bringing banks and large enterprises to explore mutual interest and economies-of-scale for AIDS and substance abuse prevention through the workplace and fostering collaboration among the Ministries of Education and Health, on youth education for preventive health care.

Strengthening of private institutions continues as a central theme. Strides toward sustainability have been made by family planning NGOs. There is innovative work with NGOs in health and environment to help them mature and develop marketing options. Involvement of enterprises in social issues has expanded, specifically the Sao Paulo Industrial Federation, individual enterprises, insurance companies, HMOs, banks and NGOs on family planning promotion, AIDS and substance abuse prevention.

Technology transfer is occurring both through accessing information and through improving management systems. Government-to-government cooperation, the Energy Efficiency Institute, and natural resource economic events for global climate change, policy studies and dialogue on family planning, and demonstration activities for AIDS prevention in collaboration with the Ministry of Health, all increase effectiveness of policy-makers. Local access to technology is improved via encouragement of informal policy-impacting nuclei in Belem and Rio Branco, and support for networks such as: REBRAJ in forestry, ABEPE in family planning, and the informal arrangements being promoted for AIDS and substance abuse prevention.

Support is being mobilized for policy work. The GCC program is helping the Government to focus its agenda. It is channelling research through government-to-government cooperation, policy-influencing nuclei, a private NGO linking government and industry, and continuing dialogue on natural resource economics. The family planning program is bringing about fuller involvement of the Government in quality and family health care issues. Private enterprise is involved in preventive education for AIDS and drugs in the workplace. A hopeful scenario is developing for collaboration with the government on youth education regarding preventive health problems.

III. NEW PROGRAM INITIATIVES

For program directions where lead work is done through central grants/contracts: family planning, AIDS prevention, narcotics awareness, buy-ins support the program evolution, *viz.*, Pathfinder Fund, AIDSCOM and AIDSTECH and DRUGCOM. The annual ITT grant to Partners of the Americas continues a motif well integrated with the sectoral programs: seminars, U.S. visits, networking and participant training in support of the work in AIDS prevention, narcotics awareness and global climate change.

The major area of new initiative is global climate change. Begun intensively during the latter part of FY 90, wide ranging activities have been initiated or are in preparation for this fiscal year. A separate paper has been prepared to facilitate discussion during the Action Plan process, because a new project document for Brazil is not in order; the Bureau is proceeding with a regional global climate change NPD under which this initiative will fall.

One new project is presented: Administration of Justice. The mission requested start-up funding in FY 91 and strong support in FY 92 and beyond. Two options are proposed for FY 91: (1) a seminar under the leadership of environmental lawyers who visited

the U.S. in 1990 through the Fulbright Commission (with AID support) and arranged by the Environmental Law Institute; this would be the prelude to an event on environmental law at the World Environmental Conference to be held in Brazil in 1992; (2) start-up for the longer term program, improved information and leadership for the judiciary, including interchange with select judges on justice issues and training of administrative judges and senior technical personnel in computerized information management for judicial process. For either option, \$100,000 is sought in FY 91.

IV. RESOURCE REQUIREMENTS

Central funding for family planning will be maintained at about \$5.8 million. There will be gaps in some key projects. LAC funds, if available, would help toward rebuilding BEMFAM, Brazil's premier institution. An increase for AIDSCOM and AIDSTECH will allow the workplace programs to expand, will sustain work targeted to high-risk groups, will start youth education, and will permit modest, innovative work on NGOs. The level for narcotics awareness is the same. More of the resources shift to DRUGCOM for diagnostic work and to identify classes of persons not yet targeted. The global climate change agenda shows a diversity of promising activities. Their scope and amount of mortgage are yet to be determined. Finally, request is made to initiate AOJ activities this year.

Two significant mortgages were created in FY 90. A \$2.25m contract (EHR, ARDN, HE, PSEE) for the Advanced Developing Country Training Project was signed with an initial obligation of \$0.15m. A three-year grant to U. of Florida for \$0.945m was executed under the GCC program with an initial obligation of \$0.378m. Mortgages to be created this year will only be in the GCC program, viz., a four-year matching grant with WWF and possibly in one or more of the new research activities (U. of Washington, UCLA, USDA/FS with NASA).

One area requiring special attention is audits. Specific activities should be targeted and program funds set aside for this purpose.

Staff is at full complement. To maximize program funding, there is only one FNDH (financial specialist). The population specialist (USPSC) and the support staff are OE funded. The training specialist, the U.S. and Brazilian global climate specialists are program funded. Staff are being trained, some have only about one year with AID, others less. Workload will be heavy, especially for GCC; no staff additions are planned, but short time PSCs are contemplated. PD&S funding could facilitate specific GCC workload needs (\$20,000 was requested).

The OE budget is primarily for wages and benefits, travel and communications with no margin for adjustment. Non-expendable property is insignificant. Communications costs are being reduced. Travel will continue a major item because AID Representative and PDP/HEALTH specialist need to travel and because AID/W TDY assistance is desired. A reduction in OE would hit TDY assistance first and would reduce project oversight. No critical vulnerabilities were exposed in the internal control assessment.

V. AID REPRESENTATIVE AND AID/W INITIATED ISSUES

1. Should the AID Mission have more direct involvement in the mainstream economic issues of Brazil?

Brazilians emphasize that environmental protection has costs; protecting resources should be made possible through growth generating investments in using the resources. This is a great oversimplification, but it points out that the global climate change program does not touch the segments of the Amazon economy which offer the most significant return for the foreseeable future: mining, petroleum and natural gas, hydroelectric power.

Brazilians want more access to modernizing technology, e.g., state of the art software, computerized industrial equipment, new materials. We can't reach these dimensions.

An opportunity which warrants consideration is extending use of modern management techniques within enterprises. The approach would be to work with a few groundbreaking entrepreneurs who both have the management skill and the recognition of need to change attitudes within the business community. Their management practices would be enhanced and they would be encouraged to transfer skills to other private entrepreneurs as well as to public enterprises and technical ministry personnel, where welcomed. The targeted outcomes would be improved business practices and an expanded constituency for constructive business influence in the socio-economic pact among government, labor and business.

This is not an easy direction. It will be necessary to select a few of entrepreneurs with broad penetration who buy into the concept. It only makes sense if one can really believe in the participants.

Note: This activity would add a sub-objective to the Mission's agenda: under I. B., sustained economic growth through visible response by the private sector, would be added: strengthened management practices of forward thinking enterprises. This item is developed in the workplan.

The Mission believes that fullest dimension should be given to the work on natural resources economics and energy conservation for the next two years. Evaluation may then be made of future options.

2. Should the Mission submit a CDSS in FY 92?

No. The new AID Representative should have at least a full year to make judgments about future directions. A longer view of what AID levels are likely to be and what will be the significance of Enterprise for the Americas is desirable.

TABLE I

SUMMARY FUNDING TABLE FOR NEW AND ONGOING ACTIVITIES

<u>Activity</u>	<u>Actual</u>			
	<u>FY 90</u>	<u>FY 91</u>	<u>FY 92</u>	<u>FY 93</u>
LAC Bureau:				
<u>ITT</u>		(non-	(non-	(non-
1. POP	430	300* add)	500 add)	500 add)
2. Health (AIDS)	350	600	600	600
3. Health (Narc.)	320	400	400	400
4. EHR	200	250	250	250
5. AIFLD	400	400	400	400
<u>ADC Training</u>				
1. ARDN	100			
2. PSEE	100			
3. EHR **	-0-	500 **	500 **	500 **
4. Health	-0-			
<u>AQJ</u>				
1. Admin. of Justice	-0-	100	400	400
<u>DI</u>				
1. None				
<u>Drug Awareness</u>				
(See ITT)				
<u>Other</u>				
1. Global Climate Change	1,204	2,100	3,900	3,885
2. Environment Support Proj.	110	100	110	115
LAC Bureau Total	3,214	5,250	6,550	6,550
Other A.I.D. Funding:				
<u>S&T</u>				
1. POP	5,800	6,000	6,000	6,000
2. Ag	150	N/A	N/A	N/A
3. FENR	1,200	1,000	1,000	1,000
<u>PPC</u>				
1. WID/Genesys (possibly&)	-0-	150	N/A	N/A
<u>PRE</u>				
1. GCC (Cultural Survival) loan	500			

* LAC will seek agreement from ST/POP to provide a level of support which will encompass the activities sought to be covered by LAC with discretionary resources; if this is not possible, LAC funding for POP will be reconsidered.

** Could also be funded under ARDN, PSEE or Health in reasonable amounts.

<u>Activity</u> <u>93</u>	<u>FY 90</u>	<u>FY 91</u>	<u>FY 92</u>	<u>FY</u>
<u>Food Assistance</u>				
1. None				
<u>Office of Science Advisor</u>				
1. Two Research Projects	300	N/A	N/A	N/A
<u>Other</u>				
1. OSHA (Esperanza)		FVA MG proposal pending		
Total Outside of LAC Bureau	7,950	7,150	7,000	7,000
Total of all A.I.D. resources (including LAC Bureau)	11,164	12,400	13,550	13,550

N/A: Not available

TABLE II
SUMMARY ACCOUNT FUNDING TABLE FOR LAC BUREAU

<u>Account/Project</u>	<u>FY 90</u>	<u>FY 91</u>	<u>FY 92</u>	<u>FY 93</u>
<u>ARDN</u>				
1. ITT	-0-			
2. Training	100	N/A	N/A	N/A
3. other	-0-			
<u>POP</u>				
1. ITT	430	300*	500*	500*
2. Training	-0-			
3. other	-0-			
<u>HE</u>				
1. ITT	320	400	400	400
2. Training	-0-			
3. other	-0-			
<u>CS</u>				
1. ITT	-0-			
2. Training	-0-			
3. other	-0-			
<u>AIDS</u>				
1. ITT	350	600	600	600
2. Training	-0-			
3. other	-0-			
<u>EHR/AIFLD</u>				
1. ITT	600	650	650	650
2. Training	-0-			
3. other	-0-			
<u>PSEE (GCC & ESP)</u>				
1. ITT	-0-			
2. Training	100	N/A	N/A	N/A
3. other	1,314	3,000	4,000	4,000
<u>ESF</u>				
1. AOJ/DI	-0-	100	400	400
2. Training	-0-			
3. other	-0-			
<u>Total LAC Bureau</u>				
1. ITT	1,700	1,650	1,650	1,650
2. Training	200	500	500	500
3. other	1,314	3,200	4,510	4,515

* All non-add

N/A = Not available

ESTIMATED OPERATING EXPENSE FOR FY 1991

Table VIII - VI 1991

(Dollars in Thousands)

Table VIII - VI 1991

Agency Category	Func. Code	Polling	Trust Funds	Total	3 Oblig. For FY	Units
U.S. Direct Hire	0100			35.5		
Other-Mission Funded Code 11 105						
a. Education Allowances	106					
Cost of Living Allowances	108					
Other Mission Funded Code 12 110				7.0		
a Post-Assignment Travel	111					
a Post-Assignment Freight	112			23.0		
a Home Leave Travel	113					
a Home Leave Freight	114					
a Education Travel	115					
a R.S.R Travel	116			1.5		
a Other Code 215 Travel	117			3.5		
Periplex National Direct Hire	0200			35.0		
a F.R. Basic Pay	201					
a Overtime/Holiday Pay	202			27.0		
All Other Code 11 - F.R.	203					
All Other Code 12 - F.R.	204			8.0		
Benefits - 1 Former F.R. Pers.	205					
Contract Personnel	0300			80.0		
a U.S. FSC Salaries/Benefits	302			45.5		
All Other U.S. FSC Costs	303			34.5		
a F.R. FSC Salaries/Benefits	304					
All Other F.R. FSC Costs	305					
a Nonpower Contracts	306					
Travel	0400			5.5		
a Residential Rental	401					
a Residential Utilities	402					
a Maintenance/Entertainment	403			3.0		
a Quarters Allowances	404			2.0		
a Security Guard Services	408					
Official Residence Allowance	409					
Representation Allowance	410					
Office Operations	0500			105.4		
Office Rental	501					
Office Utilities	502					
Building Maint./Renovation	503			4.0		
Furn/Equip/Veh Repair/Maint	508					

DATE: 12/03/90

NAME: BASSEM D. MITRI

SIGNATURE: B. MITRI

ANNUAL PORTFOLIO REVIEW

January 1 - December 31, 1990

December 1990
Howard B. Helman
AID Representative
Brasilia, Brazil

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- III. ITT ACTIVITY NARRATIVES BY ACTION PLAN OBJECTIVES
- IV. PARTICIPANT TRAINING
- V. OTHER LAC ACTIVITIES
- VI. OTHER NON-LAC ACTIVITIES OUTSIDE OF THE LAC REGIONAL MECHANISM

ANNUAL PORTFOLIO REVIEW

I. OVERVIEW

Full staff complement has now been recruited; all have received some training. Program-funded computer equipment and furniture have been ordered. Office space remains restricted, but is manageable. Workload continues heavy, but within capacity. Special attention is being given to global climate change activities in the next year. Burden has not yet been excessive, because activities are just beginning (as are staff). Our strategic planning shows very heavy burden in the period from Late February through the end of the fiscal year. This may only be met through use of short term PSCs; PDS funding is being sought for this purpose, legitimate since so large a portion is design of new activities. Note: the AID Representative is to be replaced during this period, making intensification of the design process the more critical.

Performance has been very strong on networking and training activities. The Mission has worked well with intermediaries on these activities and has understood well how to pre-invest in reaching the right people, then following-up the events. Examples are the AIDS prevention in the workplace visit to the U.S. in July followed by the Rio Conference in December, preparation for the U.S. visit in Health Care Administration; the second U.S. visit in environment; the Fulbright Commission environmental lawyers visit to the U.S. arranged by the Environmental Law Institute; and the preparatory work underway for the narcotics awareness seminar in Sao Paulo in April 1991. There has been improvement in the identification of candidates for participant training with relevance to the program. Also, SUNY has made a very serious beginning to start-up under the ADC training project with visits by the project leader, a global climate specialist and a program coordinator.

The area which is presenting the most difficulty at this moment is family planning. First, there has been uncertain financial support; the FY 90 ST/POP level may reflect an unanticipated reduction over FY 89; LAC has proposed elimination of ADC discretionary POP funding in FY 91. Second, the three lead organizations we have supported have each faced difficulties in 1990 which threaten progress anticipated. CPAIMC (a Rio based organization for technology transfer and commodity management) did not adequately defend itself. AID Representative took the decision to cut-off support for services and operations, but to continue to back the activities in commodity and equipment management; the organization appears to have instituted serious changes and is progressing. ABEPPF, an umbrella organization of local family planning entities, adopted an ambitious strategy for achieving sustainability, responding to AID pressure to reduce dependence. In retrospect, this was an unrealistic overemphasis on a difficult marketing

direction (training products and services) and was undermined by the Collor Government's new economic plan. The organization must now redefine its mission and reposition itself for a strong positive role in the future. Work toward this end is just beginning. BEMFAM, Brazil's premiere family planning entity was thrown into shock by allegations by IPPF of financial mismanagement, followed by a major audit exercise. The executive director was forced out in the confrontation. The organization has held together well and is rising to the challenge, but the Board of Directors is extremely dissatisfied and the quality of present Board leadership may be difficult to retain. The organization is also at risk of closing if there are consequences which call for large financial liability as the process has forced them to use what little independent financial margins they had. AID's support to this organization is of the order of \$3.0 million per year. These dramatic events, for which the economic situation has been a serious factor, belie significant progress in maturation of NGOs and in advances toward sustainability. The work on sustainability has been highly successful and should continue to get strong support. However, the new lead project in this area, PROFIT, targets for-profit companies, excluding NGOs, and will probably have a for profit firm as contractor; it will be precluded from operating in Brazil. The Federal Government has shown itself to be less positive on operating in the family planning area than had been anticipated. By contrast, opportunity to work at the State and Municipal level continues wide open. Collaboration is likely to expand with UNFPA on these programs. The program evaluation and strategy refinement which was to occur in FY 90 has had to be postponed. The initiation of a baseline study for the northeast is proceeding well and may achieve considerable non-AID support. 1991 will be a year of consolidation and reinforcing of NGOs, defense of performant program directions and conduct of the new strategy exercise.

The AIDS prevention program is staying remarkably close to the original diagnosis done in Dec. 1988. It has not drawn down the bulk of resources which have been made available because time, care and reflection have gone into positioning the various activities. A full agenda developed by AIDSCOM now really maps out a strategy with significant penetration and importance (and one which opens important avenues for narcotics awareness). AIDSTECH has performed well on small NGO projects for high risk populations and is completing instructional materials for prevention with illiterates (ABEPF). The pipeline should not be a drawback to FY 91 funding; the multiple activities in start-up will have considerable drawdown and will be seeking to leverage other resources. Close collaboration is occurring with Government. AIDSTECH has an excellent, part-time field representative; AIDSCOM is testing a candidate and organizing for the higher level of field support which their program will require.

Narcotics awareness activities are just beginning. Two to three trainees will be participating in a training program organized by USIS. The first major event will be the Sao Paulo seminar in April 1991. Diagnostic work by DRUGCOM has begun and will be advanced during a visit from MACRO Systems in January. The way is carefully being prepared for a narcotics prevention in the workplace program, building upon the AIDS effort; it will be complemented by efforts to reach business leaders and get them interested in elevating policy influence in this area. SUNY, DRUGCOM and PARTNERS will collaborate at and following the April seminar to identify and arrange participant training and in-country educational activities for persons considered most appropriate, including further work to identify candidates with profiles shown by the seminar to be most desirable. Close collaboration with CONFEN (the National Drug Council), including possible training of CONFEN personnel, technical advisors and state level persons is continuing.

For global climate change, FY 90 programs are proceeding well in early start-up. The U. of Florida and World Wildlife Fund personnel have made an initial visit and will return in January 1991. Considerable exchange has occurred between the Mission and WWF on the natural resource economics agenda; WWF is preparing a program description for review. There has not been forward movement on the Energy Efficiency Institute, but support now seems clear in Sao Paulo State; conceptual work has been advanced and discussions on the scope and approach to initiation at both the Sao Paulo State and Federal level will be undertaken in January. The monitoring program did not begin in 1990. A diagnostic visit by Siew Tuan Chiew, PPC/CDIE will occur in late February or early March following which the boundaries for this activity will be set and design work will be completed with a view to a contract this fiscal year. The activities to be included in the FY 91 program have been identified, but considerable work is needed to flesh out the proposals. A separate paper has been prepared for Action Plan week for Brazil outlining the different elements.

A comment is needed about documentary load upon AID/Brazil during the past 6-9 months. The requirements imposed by the year end obligation process (many minor adjustments required in the GCC program), in preparing the Action Plan and Portfolio Review in December and the documentary workload forthcoming for GCC in FY 91, is compromising the Office's ability to do its out of the office work (contacts, field visits) and to provide attention to new staff. We will seek to address this problem through use of program funding (PDS) from the GCC program during the period from February through August 1991.

SECTION II

OBJECTIVE A. Preserve and Manage Natural Resources

FUNCTIONAL ACCOUNT: ENVIRONMENT

- A. Activity Title and Number: Global Climate Change and Amazon Deforestation (WWF).
No. 512-0704-G-00-0012-00.
- B. FY of Initial Obligation: 1990.
- C. FY of Final Obligation: 1993.
- D. Total Project Cost: \$425,000.00
- E. Counterpart Contribution: \$425,000.00
- F. Current Year Obligations Planned: \$425,000.00
- G. Cumulative Obligations to Date: \$425,000.00
- H. Estimated Completion Date: September 30, 1993
- I. Accrued Expenditures (cumulative): \$19,000.00
- J. Accrued Expenditures this Reporting Year: \$19,000.00
- K. Pipeline (Obligations less Expenditures): \$406,000.00

SECTION II

A. Activity Title and Number: Agroforestry Development Program
for Small Producers in the State of Acre, Brazil.
No. 512-0784-6-00-0040-00

B. FY of Initial Obligation: 1990

C. FY of Final Obligation: 1993

D. Total Project Cost: \$944,875.00

E. Counterpart Contribution: UF/G: \$555,756.00
RESACRE: \$479,142.00

F. Current Year Obligations Planned: \$378,640.00

G. Cumulative Obligations to Date: \$378,640.00

H. Estimated Completion Date: September 30, 1993

I. Accrued Expenditures (cumulative):

J. Accrued Expenditures this Reporting Year:

K. Pipeline (Obligations less Expenditures):

SECTION II

OBJECTIVE A. Preserve and Manage Natural Resources
(Conserve and improve efficiency of energy use)

FUNCTIONAL ACCOUNT: Environment

A. Brazil - Energy Efficiency Institute

No. DHR 5728-2-00-7014-00 (buy-in to amend this contract)

B. FY of Initial Obligation: 1991

C. FY of Final Obligation: 1993

D. Total Project Cost: \$400,000.00

E. Counterpart Contribution: n/a

F. Current Year Obligations Planned: \$400,000.00

G. Cumulative Obligations to Date: \$400,000.00

H. Estimated Completion Date: 1993

I. Accrued Expenditures (cumulative): -0-

J. Accrued Expenditures this Reporting Year: -0-

K. Pipeline (Obligations less Expenditures): \$400,000

SECTION II.

OBJECTIVE B: IMPROVE HEALTH AND CHILD SURVIVAL:

FUNCTIONAL ACCOUNT: HEALTH AND CHILD SURVIVAL

FY 85: \$ 500,000	PIO/T No. 512-598-0616-03-01
FY 86: \$ 400,000	PIO/T No. 512-598-0616-03-02
FY 87: \$ 100,000	PIO/T No. 512-598-0616-03-70005
FY 88: \$ 270,000	PIO/T No. 598-0616-512-03-80009
FY 89: \$ 209,000	PIO/T No. 598-0616-512-03-90009

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	Well Motherhood-Child Survival Appropriate Technology Model, Research and Training People to People Foundation Project Hope Project No. 598-0616-G-SS-5127-00
B. FY of Initial Obligation:	FY 85
C. FY of Final Obligation:	FY 89
D. Total Project Cost:	\$1,479,000 + in kind and \$89,500
E. Counterpart Contribution:	In kind + \$89,500
F. Current Year Obligations Planned:	None
G. Cumulative Obligations to Date:	\$1,479,000
H. Estimated Completion Date:	3/31/90
I. Accrued Expenditures (cumulative):	\$1,479,000
J. Accrued Expenditures this Reporting Year:	\$ 107,915
K. Pipeline (Obligations less Expenditures):	- 0 -

SECTION II.

OBJECTIVE B: IMPROVE HEALTH AND CHILD SURVIVAL:

FUNCTIONAL ACCOUNT: HEALTH

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	Vitamin A Research People to People Foundation Project Hope PIO/T No. 512-598-0616-3-7003-00 Project No. 598-0616-G-SS-7003-00
B. FY of Initial Obligation:	FY 87
C. FY of Final Obligation:	FY 87
D. Total Project Cost:	\$ 397,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	None
G. Cumulative Obligations to Date:	\$ 397,000
H. Estimated Completion Date:	3/31/91
I. Accrued Expenditures (cumulative):	\$ 374,000
J. Accrued Expenditures this Reporting Year:	\$ 48,000
K. Pipeline (Obligations less Expenditures):	\$ 23,000

SECTION II

OBJECTIVE B: IMPROVE HEALTH AND CHILD SURVIVAL

FUNCTIONAL ACCOUNT: AIDS AND HEALTH

1. FY' 88 BUY-IN (PIO/T No. 512-598-0616-9-80007):	\$120,000
2. FY' 89 BUY-IN (PIO/T No. 598-0616-512-09-01):	\$178,000
3. FY' 90 BUY-IN (PIO/T No. 512-0616-3-00012):	\$175,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	AIDSCOM AIDS PREVENTIVE EDUCATION Academy for Educational Development Project No. 598-0616.09 Contract No. DPE-5972-7-00-7070-00
B. FY of Initial Obligation:	FY' 88
C. FY of Final Obligation:	N/A
D. Total Project Cost:	\$473,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$400,000 *
G. Cumulative Obligations to Date:	\$473,000
H. Estimated Completion Date:	FY' 92
I. Accrued Expenditures (cumulative):	\$133,338
J. Accrued Expenditures this Reporting Year:	\$97,547
K. Pipeline (Obligations less Expenditures):	\$339,452

* Assumes an FY 90 AIDS prevention program level of \$600,000

SECTION II

OBJECTIVE B: IMPROVE HEALTH AND CHILD SURVIVAL

FUNCTIONAL ACCOUNT: AIDS AND HEALTH

1. FY' 88 BUY-IN (PIO/T No. 512-598-0616-8-80006):	\$150,000
2. FY' 89 BUY-IN (PIO/T No. 598-0616-08-01):	\$120,000
3. FY' 90 BUY-IN (PIO/T No. 512-0616-3-00013):	\$125,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	AIDSTECH AIDS TECHNICAL SUPPORT Family Health International Project No. 598-0616.09 Contract No. DPE-5972-A-00-7057-00
B. FY of Initial Obligation:	FY' 88
C. FY of Final Obligation:	N/A
D. Total Project Cost:	\$395,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$300,000 *
G. Cumulative Obligations to Date:	\$395,000
H. Estimated Completion Date:	FY' 92
I. Accrued Expenditures (cumulative):	\$ 96,718
J. Accrued Expenditures this Reporting Year:	\$ 55,000
K. Pipeline (Obligations less Expenditures):	\$298,282

* Assumes an FY 90 AIDS prevention program level of \$600,000

SECTION II.

OBJECTIVE C: INCREASE ACCESS TO VOLUNTARY FAMILY PLANNING SERVICES:

FUNCTIONAL ACCOUNT: POPULATION

FY 86: \$ 350,000

PIO/T 512-598-6016-3-60003

FY 86: \$ 235,000

PIO/T 512-598-6016-3-60004

LAC FINANCIAL TABLE
(ITT)

A. Activity Title and Number:	Pathfinder Fund Grant Population Training: Public/Private Sector Program Project No. 598-0616-512-04-01
B. FY of Initial Obligation:	FY 86
C. FY of Final Obligation:	FY 86
D. Total Project Cost:	\$ 585,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	None
G. Cumulative Obligations to Date:	\$ 585,000
H. Estimated Completion Date:	12/31/89
I. Accrued Expenditures (cumulative):	\$ 585,000 *
J. Accrued Expenditures CY 1990:	None
K. Pipeline (Obligations less Expenditures):	- 0 -

* A portion of this amount was set-aside for audit activities.

SECTION II.

OBJECTIVE C: INCREASE ACCESS TO VOLUNTARY FAMILY PLANNING SERVICES:

FUNCTIONAL ACCOUNT: POPULATION

FY 87 BUY-IN: \$300,000	PIO/T 512-598-0616-3-70004
FY 88 BUY-IN: \$265,000	PIO/T 512-598-6016-4-80008
FY 89 BUY-IN: \$330,000	PIO/T 512-598-6016-512-04-01
FY 90 BUY-IN: \$430,000	PIO/T 512-598-6016-3-00019

LAC FINANCIAL TABLE
(ITT)

A. Activity Title and Number:	Pathfinder Fund Buy-In Project No. 598-0616.09 ITT and 936-3042 Contract No.:DPE 3042-A-00-5045-00
B. FY of Initial Obligation:	FY 87
C. FY of Final Obligation:	FY 91
D. Total Project Cost:	\$1,325,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$ 430,000
G. Cumulative Obligations to Date:	\$1,325,000
H. Estimated Completion Date:	6/30/91 *
I. Accrued Expenditures (cumulative):	\$ 730,000
J. Accrued Expenditures CY 1990:	\$ 150,000
K. Pipeline (Obligations less Expenditures):	\$ 595,000

* Project Add-ons will be transferred to new CAW with Pathfinder Fund when signed.

SECTION II.

OBJECTIVE-C: INCREASE ACCESS TO VOLUNTARY FAMILY PLANNING SERVICES:

FUNCTIONAL ACCOUNT: POPULATION

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	The Futures Group -- RAPID III PIO/T No. 598-0616-3-8652008 Project No. 598-0616.09 Contract No. DPE-3046-Z-00-7069-00
B. FY of Initial Obligation:	FY 88
C. FY of Final Obligation:	FY 88
D. Total Project Cost:	\$ 132,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	None
G. Cumulative Obligations to Date:	\$ 32,000 (Central) \$ 100,000 (Buy-In) \$ 132,000 Total
H. Estimated Completion Date:	6/30/90
I. Accrued Expenditures (cumulative):	\$ 32,000 (Central) \$ 100,000 (Buy-In) \$ 132,000 Total
J. Accrued Expenditures this Reporting Year:	\$ 3,500 (Central) \$ 2,000 (Buy-In) \$ 5,500 Total
K. Pipeline (Obligations less Expenditures):	- 0 - (Central) - 0 - (Buy-In) - 0 - Total

SECTION II.

OBJECTIVE C: INCREASE ACCESS TO VOLUNTARY FAMILY PLANNING SERVICES:

FUNCTIONAL ACCOUNT: POPULATION:

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	John Snow International Enterprise Project Buy-In PIO/T No. 598-0616-512-10-01 Project No. 598-0616.09 and 936-3034 Contract No. DPE 3034-C-00-5072-00
B. FY of Initial Obligation:	FY 89
C. FY of Final Obligation:	FY 89
D. Total Project Cost:	\$ 530,498 (Central) \$ 100,000 (Buy-In) \$ 630,498 Total
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	None
G. Cumulative Obligations to Date:	\$ 100,000 (Buy-In)
H. Estimated Completion Date:	9/30/91
I. Accrued Expenditures (cumulative):	\$ 85,000 (Buy-In)
J. Accrued Expenditures this Reporting Year:	\$ 25,000 (Buy-In)
K. Pipeline (Obligations less Expenditures):	\$ 15,000 (Buy-In)

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN.

1. FY' 87
(PIO/T No. 512-598-0616-5-7002): \$582,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	INTERCOUNTRY TECHNOLOGY TRANSFER Partners of the Americas Project No. 598-0616 GRANT No. 598-0616-G-00-7002-00
B. FY of Initial Obligation:	FY' 87
C. FY of Final Obligation:	FY' 88
D. Total Project Cost:	\$582,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$582,000
H. Estimated Completion Date:	Sep. 30, 1990
I. Accrued Expenditures (cumulative):	\$582,000
J. Accrued Expenditures this Reporting Year:	\$ 20,366
K. Pipeline (Obligations less Expenditures):	-0-

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

1. FY' 88
(PIO/T No. 512-598-0616-5-80002): \$250,000

LAC FINANCIAL TABLE

(ITT)

A. Project Title and Number:	INTERCOUNTRY TECHNOLOGY TRANSFER Partners of the Americas Project No. 598-0616 GRANT No. 598-0616-G-SS-8002-00
B. FY of Initial Obligation:	FY' 88
C. FY of Final Obligation:	FY' 89
D. Total Project Cost:	\$250,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$250,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$202,764
J. Accrued Expenditures this Reporting Year:	\$ 6,196
K. Pipeline (Obligations less Expenditures):	\$ 47,236

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

1. FY' 89
(PIO/T No. 512-598-0616-5-90008): \$473,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	INTERCOUNTRY TECHNOLOGY TRANSFER Partners of the Americas Project No. 598-0616 GRANT No. 598-0616-G-SS-9003-00
B. FY of Initial Obligation:	FY' 89
C. FY of Final Obligation:	FY' 90
D. Total Project Cost:	\$473,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$473,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$177,372
J. Accrued Expenditures this Reporting Year:	\$104,974
K. Pipeline (Obligations less Expenditures):	\$295,628

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

1. FY' 90
(PIO/T No. 512-598-0616-3-000026): \$470,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	INTERCOUNTRY TECHNOLOGY TRANSFER Partners of the Americas Project No. 598-0616 GRANT No. 598-0616-G-SS-0041-00
B. FY of Initial Obligation:	FY' 90
C. FY of Final Obligation:	FY' 91
D. Total Project Cost:	\$470,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$470,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$432,506
J. Accrued Expenditures this Reporting Year:	\$ 37,494
K. Pipeline (Obligations less Expenditures):	\$432,506

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN .

1. FY' 87
(PIO/T No. 512-598-0640-1-7001): \$400,000

LAC FINANCIAL TABLE
(LAC)

A. Project Title and Number:	LAC II TRAINING Partners of the Americas Project No. 598-0640 GRANT No. 598-0640-G-SS-7001
B. FY of Initial Obligation:	FY' 87
C. FY of Final Obligation:	FY' 88
D. Total Project Cost:	\$400,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$400,000
H. Estimated Completion Date:	Sep. 30, 1990
I. Accrued Expenditures (cumulative):	\$400,000
J. Accrued Expenditures this Reporting Year:	\$ 6,240
K. Pipeline (Obligations less Expenditures):	-0-

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

1. FY' 88
(PIO/T No. 512-598-0640-1-800030): \$400,000

LAC FINANCIAL TABLE
(LAC)

A. Project Title and Number:	LAC II TRAINING Partners of the Americas Project No. 598-0640 GRANT No. 598-0640-G-SS-8003-00
B. FY of Initial Obligation:	FY' 88
C. FY of Final Obligation:	FY' 89
D. Total Project Cost:	\$400,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$400,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$369,240
J. Accrued Expenditures this Reporting Year:	\$101,754
K. Pipeline (Obligations less Expenditures):	\$ 30,760

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

1. FY' 89
(PIO/T No. 512-598-0640-3-90003): \$317,000

LAC FINANCIAL TABLE
(LAC)

A. Project Title and Number:	LAC II TRAINING Partners of the Americas Project No. 598-0640 GRANT No. 598-0640-G-SS-9001-00
B. FY of Initial Obligation:	FY' 89
C. FY of Final Obligation:	FY' 90
D. Total Project Cost:	\$317,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	-0-
G. Cumulative Obligations to Date:	\$317,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$ 97,245
J. Accrued Expenditures this Reporting Year:	\$157,594
K. Pipeline (Obligations less Expenditures):	\$219,755

SECTION II

OBJECTIVE D: IMPROVE HEALTH AND CHILD SURVIVAL

FUNCTIONAL ACCOUNT: HEALTH

1. FY' 90 BUY-IN
(PID/T No. 512-0616-3-00018): \$100,000

LAC FINANCIAL TABLE
(LAC II)

A. Project Title and Number:	DRUGCOM (NARCOTICS AWARENESS PROGRAM) Development Associates Project No. 598-0616.09 Contract No. DPE-5834-Z-00-0008-00
B. FY of Initial Obligation:	FY' 90
C. FY of Final Obligation:	N/A
D. Total Project Cost:	\$100,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$250,000
G. Cumulative Obligations to Date:	\$100,000
H. Estimated Completion Date:	FY' 94
I. Accrued Expenditures (cumulative):	\$ 12,000
J. Accrued Expenditures this Reporting Year:	\$ 12,000
K. Pipeline (Obligations less Expenditures):	\$ 88,000

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR

1. FY' 89 (PID/T No. 512-598-0640-3-90010):	\$31,000
2. FY' 90 (PID/T No. 512-0660-3-00033):	\$42,500

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	PARTICIPANT TRAINING SPECIALIST Miguel Barbosa Fontes Project No. 598-0640.09 Contract No. 598-0640-C-00-9003-00
B. FY of Initial Obligation:	FY' 89
C. FY of Final Obligation:	FY' 90
D. Total Project Cost:	\$ 73,500
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$ 45,000
G. Cumulative Obligations to Date:	\$ 73,500
H. Estimated Completion Date:	Aug. 31, 1991
I. Accrued Expenditures (cumulative):	\$ 45,122
J. Accrued Expenditures this Reporting Year:	\$ 16,977
K. Pipeline (Obligations less Expenditures):	\$ 28,378

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: ARDN and PSEE

1. FY' 90
(PIO/T No. 512-0000-3-00014): \$150,000

LAC FINANCIAL TABLE
(LAC II)

A. Project Title and Number:	ADC TRAINING PROJECT State University of New York Project No. 598-0660 Contract No. 512-0660-C-00-0050-00
B. FY of Initial Obligation:	FY' 90
C. FY of Final Obligation:	FY' 95
D. Total Project Cost:	\$2,250,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$500,000
G. Cumulative Obligations to Date:	\$150,000
H. Estimated Completion Date:	Sept. 30, 1995
I. Accrued Expenditures (cumulative):	\$ 15,200
J. Accrued Expenditures this Reporting Year:	\$ 15,200
K. Pipeline (Obligations less Expenditures):	\$134,800

SECTION II

OBJECTIVE E: Sustainable Economic Growth: Vigorous Response by
Private Sector

FUNCTIONAL ACCOUNT: Special Development Assistance

LAC FINANCIAL TABLE

(ITT)

A. Project Title and Number: International Executive Service
Corps
Project No. 598-0616-512-03-01
Contract No.
598-0616-512-G-SS-5001-00

B. FY of Initial Obligation: FY 85

C. FY of Final Obligation: FY 85

D. Total Project Cost: \$244,000

E. Counterpart & Contribution: N/A

F. Current Year Obligations Planned: - 0 -

G. Cumulative Obligations to Date: \$244,000

H. Estimated Completion Date: August 31, 1989

I. Accrued Expenditures (cumulative): \$188,490

J. Accrued Expenditures this Reporting Year: - 0 -

K. Pipeline (balance to deobligate): \$55,510

SECTION II

OBJECTIVE D: IMPROVE EDUCATIONAL OPPORTUNITIES

FUNCTIONAL ACCOUNT: EHR

1. FY' 90
(PID/T No. 512-598-0640-3-90007): \$50,000

LAC FINANCIAL TABLE
(ITT)

A. Project Title and Number:	PARTICIPANT TRAINING PROGRAM FULBRIGHT COMMISSION Project No. 598-0640 Contract No. 512-0640-G-SS-9002-00
B. FY of Initial Obligation:	FY' 89
C. FY of Final Obligation:	FY' 91
D. Total Project Cost:	\$ 50,000
E. Counterpart Contribution:	N/A
F. Current Year Obligations Planned:	\$ 50,000
G. Cumulative Obligations to Date:	\$ 50,000
H. Estimated Completion Date:	Sep. 30, 1991
I. Accrued Expenditures (cumulative):	\$ 50,000
J. Accrued Expenditures this Reporting Year:	\$ 50,000
K. Pipeline (Obligations less Expenditures):	-0-

SECTION III

ITF ACTIVITIES NARRATIVES

Functional Account: Environment

A. Activity Data

Title: Global Climate Change and Amazon Deforestation

No. 512-0784-6-00-0042-00

Estimated Completion Date: FY 95

Implementing Agency: World Wildlife Fund

B. Activity Purpose:

The program seeks to mitigate global warming by reducing the net transfer of greenhouse gases to atmospheric systems by carrying out activities to reduce deforestation in Brazilian Amazonia. This will be accomplished through two principal approaches. First, sustainable land management systems will be promoted so that there will be economic incentives for preserving forest cover. Second, the analysis and dissemination of land management alternatives will be undertaken so that policy makers and other decision-makers will have the appropriate basic information to develop policies for sustainable land use. All activities will improve the ability of Brazilian NGOs and government institutions to collaborate with each other with the goal of ensuring continuity and progress in protection of forest resources.

The A.I.D Representative Categorizes this Activity as:

A X

B

C

Corrective Actions Planned for the Coming Year (if appropriate)

No corrective actions are planned but consolidation of activities under the deforestation theme will be stressed. A transition is anticipated to a multi-year program. Also, WWF will become involved in a monitoring contract of GOC activities in Brazil.

C. Activity Status:

Major Outputs Expected:

1. Assessment of potential for extractive reserve promotion in Amapa State through work with NGOs to initiate community organization, land tenure studies and forest resource assessments.
2. Improvement in the environmental impact assessment process in Acre State by bringing together technical experts and the environmental regulatory agency (IMAC) to develop objectives and a coherent set of guidelines to serve as a basis for generating policies.
3. Enhancement of environmental education and ecotourism activities through support of the work of the Fundacao Victoria Amazonica in Amazonas State. Assistance in developmental implementation of two projects, Noah's Park and the Novo Amapa and Jau Project, will be carried out to determine the feasibility of these two projects.
4. Strengthening the capacity of selected NGOs to influence policy through training, assistance with strategic management and planning, basic infrastructure needs, and direct support for public awareness and field projects.
5. Facilitation of strategic planning and policy development of SEMAM (The Special Environmental Secretariat) and IBAMA, its implementing agency, by supporting travel and technical assistance.
6. Contribution to reformulation of national forest policy as it regards regulation of timber harvest, through promotion of policy dialog between SEMAM and technical specialists in Belém.

Brief Overall Assessment of Activity:

Program activities were initiated recently with the mid-October visit to Brasilia, Belém, Manaus, and Rio Branco of Robert Fuschbacher, WWF Forestry Program Director, and Gary Satishian, program officer within the Tropical Forestry Program working full time on activities in support of the USAID Global Climate Change Program in Brazil. Planned activities are underway at all of these locations. During the week of November 5-9 a seminar/workshop was held by IMAC, the environmental regulatory agency of Acre, bringing together experts on Amazon land use to assess minimum criteria for licensing economic activities relating to forest management and land clearing for pasture establishment. The final document as an outcome of this workshop will assist IMAC personnel in evaluating requests for land management alterations and should strengthen the decision making process internally to IMAC.

The A.I.D. Representative Categorizes this Activity as:

A. _____
B. X
C. _____

Corrective Actions Planned for the Coming Year (if appropriate)

The project has gotten off to a strong start with a very successful visit by the project leader accompanied by Christopher Uhl, a US researcher based in Belem. However, the visit showed the need for some refinements, which while understood are difficult to effect, namely: 1) rethinking some sub-project definitions; 2) getting effective technical support to the project group; 3) reorganizing UF/G support to the project after the January visit of Dr. Schmink.

SECTION III

ITT ACTIVITIES NARRATIVE

Functional Account: Environment

A. Activity Data

Title: Agroforestry Development Program for Small Producers
in the State of Acre, Brazil

No. 512-0784-G-00-0040-00

Estimated Completion Date: FY 97

Implementing Agency: University of Florida/Gainesville

B. Activity Purpose:

The program seeks to develop and implement alternatives for diversifying sustainable use practices in the forest and small scale agroforestry practices by forest populations in order to contribute to higher levels of income for indians, rubber tappers and settlers willing to use sustainable agroforestry use systems.

C. Activity Status:

Major Outputs Expected:

1. Support of the PESACRE group will consolidate its capacity to carry out research and extension projects, to coordinate inter-institutional activities, and to continue as a forum for dissemination of research results and policy debates. The PESACRE group will have a strong network of experts and ties with small producer associations and community groups.

2. Local researchers and extensionists will receive training and research experience in an integrated, interdisciplinary approach to working with small producers, including women.

3. The project will generate research results for sustainable resource management which will be tested and implemented by collaborating forest families in Acre. These results will contribute to the short-term improvement of natural resource management and agroforestry production systems and reduce pressures for deforestation.

Brief Overall Assessment of Activity:

Sub-programs consisting of a combination of applied research, extension and training for both technicians and forest families have been initiated on several fronts. Activities are oriented to natural forest management and integrated agroforestry systems. Priorities are being established within the PESACRE group to assure that subprograms address perceived needs of forest dwellers keeping in mind the sensitive aspect of research activities that by their nature are best conducted in extractive reserve areas.

SECTION III

ITT ACTIVITIES NARRATIVES

Functional Account: Environment

A. Activity Data

Title: Buy-ins to Conservation of Biological Diversity (CBD)
No. 936-5554

Estimated Completion Date: 1993

Implementing Agencies: World Wildlife Fund
Smithsonian Institution
Woods Hole Research Center

B. Activity Purpose:

World Wildlife Fund - Sponsorship of activities is planned to explore the issue of economic policies that inadvertently encourage or permit the inefficient, wasteful, or destructive use of the resource base. A high level seminar will be organized involving leading resource economists together with selected Brazilian economists for synthesis of state of the art thinking on this topic as it applies to Brazilian natural resources. WWF working with Brazilian counterparts will design an additional workshop/seminar for Brazilian civil servants responsible for addressing natural resource economic issues in 35 federal agencies. Also supported will be preparatory work and background papers leading up to the high level seminar as well as participant training of leading Brazilian economists.

Smithsonian Institution - Enhanced outreach and training for the biological dynamics of forest Fragment (BDFF) project. The BDFF project, in collaboration with the Brazilian National Institute for Amazonian Research (INPA), has addressed deforestation's effect of fragmenting forests into small patch size on the maintenance of the original species diversity. BDFF is now asking the next generation of questions, which are oriented towards directly helping conservation planners and wildlife managers set up and maintain effective biological reserves in areas undergoing human development.

Woods Hole Research Center - Restoring agricultural productivity on degraded Amazonian lands. Comparative studies of agricultural uses of degraded Amazonian lands will be conducted and will include: (a) a critical evaluation of the ecological, economic, and social performance of existing agricultural systems on located on degraded Amazonian lands; (b) research on promising agricultural systems for degraded lands; (c) communication of the results of the evaluations and research to NGO's, extension workers, rural unions, and policy-makers; and (d) training of Brazilian scientists through apprenticeships with project investigators.

SECTION III

III ACTIVITIES NARRATIVES

Functional Account: Environment

A. Activity Data

Title: Brazil - Energy Efficiency Institute

No. DHR 5728-2-00-7014-00

Estimated Completion Date: 1995

Implementing Agency: RCG/Hagler, Bailly, Inc.

B. Activity Purpose:

The buy-in is to provide technical assistance and support for the Energy Efficiency Institute and to provide other specialized technical assistance and training impacting on energy conservation policy. The mission of the Energy Efficiency Institute will be to promote energy conservation, principally although not entirely in the power sector. Within the power sector, the emphasis will be on end-use efficiency.

C. Activity Status:

Major Outputs Expected:

WWF:

1. State of the art synthesis of current thinking on the issue of natural resource economics as it applies to the Brazilian natural resource situation and economic context, in the form of preparatory papers and summaries of seminar discussions.

2. Establishment of a continuing relationship among leading natural resource economists and selected Brazilian economists for reevaluation of the full economic impact of natural resource use in Brazil.

Smithsonian:

1. Guidelines for limitation of deforestation taking into account the degree of destructiveness of habitat and regenerative ability by forest tract size.

2. Understanding of constraints to management of land parcels of differing size for effective protection of wildlife and biological resources in areas under development pressure.

Woods Hole Research Center:

1. Definition of land use activities that are biologically viable for implantation on degraded pasture lands.

2. Understanding of the degree of sustainability in the ecological, economic, and social context, of alternative agricultural, agroforestry, and reforestation systems on degraded Amazonian lands.

Brief Overall Assessment of Activity:

Definition of natural resource economics activities is underway along with a preliminary schedule of events and selection of candidate economists. Forest fragment study activities continue as an on-going research project near Manaus between the Smithsonian and INPA. Studies of agricultural systems on degraded Amazonian lands are continuing throughout the State of Para, concentrating on experiments in the Paragominas area.

The A.I.D. Representative Categorizes this Activity as:

A _____
B _____ n/a (activities not yet initiated)
C _____

Corrective Actions Planned for the Coming Year (if appropriate) n/a

D. Activity Status:

Major Outputs Expected:

1. Development of a mission statement for the Institute.
2. Establishment of production, marketing, management, and administrative and financial functions of the Institute.
3. Materials and publications for promotion of energy conservation.
4. Information and data base development by economic sector.
5. Management training to build managerial and institutional capabilities relevant to energy efficiency and conservation, particularly in the power and industrial sectors.
6. Support of on-going activities of the EEI by furthering technical capabilities in specific areas of energy and power design, construction and load management.
7. Support of special studies involving policy, standards, and innovative approaches to financing as well as power generation.

Brief Overall Assessment of Activity:

Definition of the agenda and priorities for the EEI will take place in January 1991, first substantiating start-up activities with officials of the State of Sao Paulo. Preparation of an options paper outlining institutional arrangements through which the Federal Government could stimulate energy conservation will follow. Necessary technical assistance will be detailed.

The A.I.D. Representative Categorizes this Activity as:

A _____
B _____ n/a (activities not yet initiated)
C _____

Corrective Actions Planned for the Coming Year (if appropriate) n/a

SECTION III

ITT ACTIVITY NARRATIVES

Functional Account: Health

A. Activity Data

Title: Vitamin A-Collaborative Research
Implementing Agency: People to People Foundation (Project Hope)
Number: 598-0616-512-01 (ITT)
Estimated Completion Date: FY 91

B. Activity Purpose:

To work with four research groups in the Federal Universities in Northeast Brazil to conduct field surveys and to develop and evaluate methodologies for nutritional surveillance of Vitamin A status, its effect on morbidity and mortality, and possible interventions to prevent Vitamin A deficiency.

C. Activity Status:

Project extended to March 30, 1991 and will be evaluated in February/March 1991. Research temporarily delayed due to slow start-up and mechanical problems with equipment for several months. Research sample size was expanded and data collected is currently being analyzed.

Major Outputs Expected:

Essential data base established on Vitamin A deficiency in Northeast region of Brazil. Findings of the project will contribute to evaluating the effectiveness of a Vitamin A supplement program and enhancing the targeting of Vitamin A capsule distribution, as well as evaluating local sources of Vitamin A-rich foods. The research will assist Brazilian institutions to set up a self-sustaining surveillance system for Vitamin A, and provide a potential training site for Vitamin A field research for technical personnel from other LDCs.

Brief Overall Assessment of Activity:

Project Hope has developed successful research centers in four Northeast locations. They have integrated local researchers and medical experts into their study teams and have helped develop talented researchers and trainers who will continue Vitamin A surveillance in this region. They will also serve to disseminate findings and research methodologies to other LDC health professionals.

The AID Representative categorizes this activity as A.

No corrective actions are planned. Project will terminate March 31, 1991.

SECTION III

ITT ACTIVITY NARRATIVES

Functional Account: Health and Child Survival

A. Activity Data

Title: Well Motherhood-Child Survival
Appropriate Technology Model
Research and Training
Implementing Agency: People to People Foundation (Project Hope)
Number: 598-0616-G-SS-5127-00
Estimated Completion Date: FY 90

B. Activity Purpose:

For maternal-child health activities of Project HOPE to increase the effectiveness and work towards sustainability of a network of village-based child survival/well motherhood clinics in Northeast Brazil. To develop a model for low-cost community-supported primary health clinics, offering maternal-child health, including pre-natal and well baby care, nutrition, family planning, immunization, and public health training, with emphasis on the management of diarrheal diseases.

C. Activity Status:

Project ended in March 1990, was evaluated in June 1990 and is currently being closed-out.

Major Outputs Expected:

Successful model developed for rural and peri-urban community-supported primary health care services. Sustainable clinics established with links to municipal and/or state health authorities offering MCH and family planning services. Health authorities committed to integrated MCH/Well-Motherhood program involving village-level promoters and community service providers. Training program established for community health workers.

Brief Overall Assessment of Activity

Project Hope has built upon experience accumulated in these experimental clinics to develop an innovative model for sustainable, low-cost village-level primary health care which may be used throughout Northeast Brazil, including by the State Secretariates of Health. The project has developed an appropriate management system for this health care model; analyzed information gathered and lessons learned; facilitated research; established key links with local health authorities; and prepared training programs in collaboration with other donor organizations.

The AID Representative categorizes this activity as A.

No corrective actions are planned since project terminated March 30, 1990.

b. work with Brazilian non-governmental organizations on development of material for high-risk populations. AIDSCOM has been working with BEMFAM (Brazil's largest family planning organization) on development of preventive educational material through "focus groups" for homosexuals, bisexuals, prostitutes, and street children;

c. help Brazilian Ministry of Health to organize training of trainers programs with teachers in schools and develop a special initiative targeted to young men. This part of the programs still being defined and USAID/Brazil hopes to have a clearer definition of strategies in the first 1991 quarter.

While drawdown of funds committed has been modest and a sizeable pipeline remains, the intensification of the program for target populations and the initiation of the workplace program will rapidly disburse funds in 1991.

Major Outputs Expected:

A final AIDSCOM agenda has been agreed upon. The project will provide training on communication skills to more than 100 Brazilian health professionals working in the workplace, with high risk populations, in communities, and schools. In term, preventive education efforts will reach a very large client base. All activities are expected to have an impact on individuals from the various groups, promoting behavioral change.

Brief Overall Assessment of Activity

The A.I.D. Representative Categorizes this Activity as

A X
B
C

Corrective Actions Planned for the Coming Year (if appropriate)
(NONE)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: AIDS AND HEALTH

A. Activity Data

Title: AIDSCOM

Estimated Completion Date: N/A

Implementing Agency: Academy for Educational Development -

AED

B. Activity Purpose:

AIDSCOM project was developed to train Brazilian health professionals and Institutions, which have been developing AIDS prevention materials. AIDSCOM training includes: existing material evaluation, development through focus groups, and analysis of final art.

C. Activity Status:

The project is considered a success. While it has taken a long time to build and start-up the full agenda, the scope and positioning is excellent; activities in progress are performing very well. AIDSCOM is promoting various AIDS prevention activities working with highly qualified Brazilian institutions and health professionals. In addition, all activities have received counterpart contributions from Brazilian institutions like FIESP (Brazil's most important industry federation).

Through this contract USAID/Brazil has been able to:

a. organize strategies and evaluation workshops with Brazilian large companies and industries on development of preventive materials to promote behavioral changes among their employees - AIDS PREVENTION THROUGH THE WORKPLACE. The program is now clearly defined and will be started in January 1991, including institutions, such as: Brazilian Banking Sector (national consortium), FIESP/SESI, and FOS (a non-profit organization, working with large Brazilian companies on development of AIDS prevention programs in the workplace);

b. support small projects targeted to high-risk population segments in Brazilian poor regions in Ceara and Maranhao states with prostitutes and UMBAMDA groups (a religious institution which has an impact over 20% of Ceara state population). Activities with prostitutes in Ceara and Maranhao have completed its second year and the results are considered excellent, the program has trained multipliers who are responsible to train prostitutes and customers on topics related to AIDS prevention and health messages; over 10,000 prostitutes, homosexuals and travesties have received AIDS information under this activity. The UMBAMDA project has just been approved; already started through research made by students from State University of Ceara (evaluating UMBAMDA members vocabulary, language, and sexual behavior), the project will initiate rapidly.

c. develop AIDS prevention materials and educational programs to illiterate groups through ABEPF (one of Brazil's largest family planning organizations). This activity is almost complete.

Major Outputs Expected:

The project is expected to train more than 50 Brazilian professionals with management skills at institutions which have developed AIDS prevention activities in-country. The impact is expected to reach about 500,000 people.

AIDSTECH will continue the program looking at new ways to follow-up with activities described above. the small projects activities will increase significantly in Brazilian poor regions like the Northeast and work with NGOs is expected to be expanded.

Brief Overall Assessment of Activity:

The activity has performed very well. There is effective, in-country part time representation. Work with NGOs will be expanded.

The A.I.D. Representative Categorizes this Activity as

A X
B
C

Corrective Actions Planned for the Coming Year.
(NONE)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: AIDS AND HEALTH

A. Activity Data

Title: AIDSTECH

Estimated Completion Date: N/A

Implementing Agency: Family Health International - FHI

B. Activity Purpose:

The AIDSTECH program was developed to train Brazilian Health professionals in management skills and to improve services for AIDS prevention. In addition, it addresses institutional development through training, organizing workshops with various organizations: NGO's, private sector and governmental entities, and innovative experiments with local NGO's through Small Projects targeted to specific high-risk population segments.

C. Activity Status:

Sub-Projects have been successfully performed. Outputs are strongly positive from working with qualified Brazilian NGO's in Northeast and Southeast targeted to high-risk and illiterate populations.

Through this contract USAID/Brazil has been able to:

a. train Brazilian NGO staff in computer skills, Institutional administration, and social-marketing. AIDSTECH began through workshops with CCII (a non-profit organization which has over 400 AIDS patients). The program has completed its first part, providing institutional strengthening training to its employees. In addition, AIDSTECH has supported institutional and program sustainability training for AIDS prevention to BEMFAM (Brazil's largest family planning organization), increasing staff capacity to raise funds and monitor the programs. In the future, AIDSTECH will be looking at training opportunities with other Brazilian NGO's.

SECTION III

ITT ACTIVITY NARRATIVE

Functional Account: Population

Title: Pathfinder Fund Grant
Project No. 598-0616-512-04-01
(Continued)

Brief Overall Assessment of Activity:

While implementation of activities with the public sector proved to be slow, the project was able to complete activities which enhanced the links between the public and private sectors, especially in training and integrated family planning service provision. Project provided major support for critical research, conferences, and publications, as well as international training for key PVO staff. Follow-on funding from other sources for most activities appears assured, and spin-off and multiplier effects appear significant.

The AID Representative categorizes this activity as A.
No corrective actions are required. Grant has closed.

SECTION III

ITT ACTIVITY NARRATIVE

Functional Account: Population

A. Activity Data

Title: Pathfinder Fund Grant
Project No. 598-0616-512-04-01
Estimated Completion Date: FY 90
Implementing Agency: The Pathfinder Fund

B. Activity Purpose:

To supply financial and technical assistance to Brazilian agencies (especially ABEPF and BEMFAM) involved in family planning training and service delivery in order to bridge the private/public sector service gap. To provide family planning training, education and services to the public health network in Bahia, Sergipe, Alagoas, Rio Grande do Norte, and Maranhao states through integrated women's health care programs. To serve as a model for integrating reproductive health care with Maternal/Child health services in the public sector (through the State Secretariats of Health), and for training public sector health personnel, thus improving the efficiency and quality of family planning services in the N.E.

C. Activity Status:

Project implementation was completed in March 1990. Successful training and service delivery programs with public and private sector were completed in Bahia, and other N.E. states, especially through BEMFAM agreements with state governments. Sub-grant to Project Esperanca developed model MCH/Family Planning service delivery for Amazon region. Major support for ABEPF improved training and IE&C materials production.

Major Outputs Expected:

Through BEMFAM, family planning training was provided to over 500 MCH service provider staff of N.E. State Secretaries of Health. The BEMFAM network of service provision in the N.E. which Pathfinder Fund helped establish is now linked with some 1,200 municipal or state government health programs in the N.E. via service agreements.

Family planning training given to Urban Social Center (SUDESCO) health employees and over 240 health professionals and promoters of Fundacao Esperanca. Integrated MCH/Family Planning services provided through Project Esperanca to over 500 families in remote jungle regions. Approximately 500 promotional talks were given to community groups, reaching over 8,000 adults.

Funded the annual meeting of ABEPF affiliates, the Brazilian Association of Nurses, and the First Brazilian Congress on Reproductive Health (involving over 100 Brazilian and American family planning experts). Publication of IUD research, BEMFAM Young Adult Reproductive Health study, distribution of ABEPF educational materials, reproduction of technical training films, and technical assistance provided for N.E. Family Planning Needs research and CESEX KAP survey of adolescent sexual behavior. Travel and Training grants awarded to 25 health professionals, social workers, family planning professionals, social workers, family planning managers and specialists, and government officials.

SECTION III

ITT ACTIVITY NARRATIVES

Functional Account: Population

A. Activity Data

Title: RAPID III

Number: 598-0616.09

Estimated Completion Date: FY 90

Implementing Agency: The Futures Group and O Segundo Brazil

B. Activity Purpose:

Policy analysis and dissemination efforts to raise awareness and strengthen state-level support for population policies. To organize data collection, model design and presentations to policy-makers and opinion leaders. Production of publications on impact of population growth on the environment.

C. Activity Status:

Project ended June 1990 and was evaluated in September 1990.

Major Outputs Expected:

Data base collected and analyzed for urban area of Rio de Janeiro showing impact of population growth on the environment, including social services and public infrastructure in urban areas. Data was also collected for all five regions of the country. The RAPID III model refined for Brazilian reality and for use in public presentations. Approximately a dozen formal and informal talks given to local political and social leaders to assess demographic and environmental model, as well as to discuss soci-economic impact and infrastructure demands presented by rapid urban growth. Seminar held on Population and the Environment, and a book of papers and abstracts presented at that seminar was published and disseminated to local opinion leaders.

Brief Overall Assessment of Activity

The data base for the model is somewhat limited because of difficulty in collecting accurate and up-to-date information and the necessity to use 1980 census and 1986 household survey data. Focus on Rio metropolitan area was not the most effective way to view impact of population growth on environment. Information base is of reasonable quality, but is less extensive than was anticipated. Initial seminars were held, but these did not reach a significantly-broad group of leaders and opinion makers. There is need for dissemination of model to PVOs working on population policy change. Too much time and energy were wasted in getting the activity on the right track. The model is useful to raise awareness, but is not as significant as had been expected. Impact has been little because of lack of dissemination

The AID Representative categorizes this activity as B.

No corrective actions are planned since project terminated June 1990. Evaluation was clearly more favorable than AID Representative's assessment. O Segundo Brasil needs to complete technical report. Evaluation recommended that central funding be used to publish a manual on how to use the model. Need to assure future use and dissemination by training key PVOs in working with RAPID Project models.

SECTION III

ITT ACTIVITY NARRATIVE

Functional Account: Population

A. Activity Data

Title: Pathfinder Fund Buy-In
No. 598-0616-09 ITT and 936-3042
Contract DPE 3042-A-00-5045-00
Estimated Completion Date: FY 91
Implementing Agency: The Pathfinder Fund Cooperative Agreement

B. Activity Purpose:

To expand prevalency and quality of family planning protection through activities which improve the policy environment for family planning; and to seek cost-effective ways to extend access to family planning to the underserved poor. To increase exposure of health workers to information about family planning; and provide support for services and training in the Northeast and in the favelas of Rio de Janeiro and Sao Paulo, with emphasis on young adults and men. To foster sustainability of family planning organizations in Brazil by providing support for management review and development of organizational strategies.

C. Activity Status:

Project implementation is progressing normally. Successful training and service delivery programs with public and private sector, especially with HMO network, ABRAMGE, and with agreements with municipal and state health authorities. New strategy focuses efforts on N.E. Brazil and sets excellent sub-program agenda, including IUD promotion and sales of contraceptives through small shops.

Major Outputs Expected:

Through BEMFAM, family planning training given to state-level Maternal/Child Health (MCH) service providers. The BEMFAM network of service provision in the N.E. which Pathfinder Fund helped establish is now linked with over 1,200 municipal or state government health programs in the N.E. via service agreements. Family Planning training has been expanded in medical schools and for State Secretariat of Health personnel, including nurses, physicians, auxiliary nurses, health agents, social workers and/or midwives. Family Planning training will be provided to over 500 students (interns, residents, and/or fellows) in medical schools, in training centers for HMO staff, for the public sector and for PVO service delivery staff (380 nurses, physicians, auxiliary nurses, health agents, social workers and/or midwives). Family planning and sex education courses will be given to 2,500 young adults, 100 teachers and 250 parents. Young Adult Reproductive Health Studies in Salvador, Sao Paulo, and for the northeast in general will be completed as a Secondary Analysis of the National Demographic Health Survey Data.

Brief Overall Assessment of Activity:

Highly-successful and well-integrated program with significant spin-off and multiplier effects, especially in creating and sustaining training centers of excellence and in developing family planning management skills. One of the best examples of AID-CA collaborative relationship.

The AID Representative categorizes this activity as A .
No corrective actions are required

SECTION III

ITT ACTIVITY NARRATIVE

Functional Account: Population

Enterprise Project Buy-In

Brief Overview Assessment of Activity:

(Continued)

By moving the ultrasound equipment to a different clinic and establishing a more favorable agreement on cost recovery, the CPAIMC project now shows significant progress. PROPATER has made major advances in the last half of 1990 with increased promotion of their services and greater utilization of the equipment. Final report of this project shows that PROPATER is now on a self-sufficient footing thanks to this investment.

Enterprise staff performance has generally been excellent. More than any other C.A., they have developed in-country technical support and sub-project management capability. The government's economic package placed several Enterprise projects at risk, both because of price-demand sensitivity and because the low dollar value against the cruzeiro resulted in underfinancing. At the same time, Enterprise budget was been drastically cut for Brazil, notwithstanding an AID/Brazil buy-in. As a result, two excellent prospective sub-projects were lost, one due to funding cuts, the other due to loss of funding. Despite these financial difficulties and thanks to Enterprise Project's commitment to follow-through and a willingness to assist sub-projects with the full range of promotional activities necessary to assure their market position, problem activities have become successes.

The AID Representative categorizes this activity as A .

No major corrective actions are required. Project due to end 9/30/91. AID Rep wishes to note that this type of innovative project has tremendous impact, but cannot expect to make every sub-project a success, especially in volatile economic situations such as Brazil experienced in 1990. In order to help institutionalize part of the training/enterprise marketing experience gained in Brazil, Enterprise may offer a general Marketing Workshop for several PVOs in April 1991.

SECTION III

IIT ACTIVITY NARRATIVE

Functional Account: Population

A. Activity Data

Title: Enterprise Project Buy-In

No. 936-3034

Estimated Completion Date: FY 91

Implementing Agency: John Snow International, Inc.

B. Activity Purpose:

To promote linkages for the provision of family planning services through the private sector and to facilitate private voluntary family planning organizations to improve their sustainability by diversifying the markets or scope of services in maternal-child, reproductive health and related fields. To permit the non-competing, complementary use of their facilities so as to increase revenues in support of family planning services.

C. Activity Status:

Major Outputs Expected:

Income generation for various PVOs through the establishment of an MCH laboratory at Hospital Sofia Feldman (Belo Horizonte), a Class-A Clinic and provision of ultrasound equipment at CEPECS (Belo Horizonte), a gynecological cancer screening laboratory for BEMFAM in the N.E. (Fortaleza), a semen laboratory at PROPATER (Sao Paulo), and provision of ultrasound equipment to CPAIMC (Rio de Janeiro). Assessments for ABEPF of possible markets for their IE&C materials and training programs.

Brief Overview Assessment of Activity:

This began as an exceptionally-successful project, but confronted two kinds of problems: a) serious performance difficulties in three sub-projects; and b) budget shock to on-going projects because of the new Government's economic package. Early successes include: 1) the MCH laboratory at Sofia Feldman Hospital which is sustaining family planning services to low-income clients; 2) the BEMFAM gynecological cancer screening lab for the N.E. The Class-A Clinic at CEPECS is beginning to emerge from serious financial problems due to the Collor Plan and is likely to be a success. The training service and marketing program with ABEPF has been terminated since the economic environment was not conducive to achieving marketing objectives.

The problem activities which turned around in late 1990 and now appear to be successes for income generation and program sustainability are: a) the CPAIMC ultrasound apparatus; and b) the PROPATER semen laboratory. Both were facing the same challenges: low level of use initially and high costs of carrying a doctor specialized in using the equipment, the need for major market promotion to increase use and revenues, and a severe downturn in the national economy which resulted in less spending by businesses and individuals on medical care.

Brief Overall Assessment of Activity

The A.I.D. Representative Categorizes this Activity as

A _____
B X
C _____

Corrective Actions Planned for the Coming Year (if appropriate)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

A. Activity Data

Title: Intercountry Technology Training/ITT

Estimated Completion Date: 09/30/90

Implementing Agency: National Association of the Partners of the Americas - NAPA - FY' 87

B. Activity Purpose:

ITT project was developed to train Brazilian professionals in the US and in-country, through participant training, seminars, international travel and networking, linking these training activities to USAID/Brazil priority areas: AIDS prevention, Narcotics Awareness, Global Climate Change, and Family Planning.

C. Activity Status:

This Grant received final program report last month and will be close-down next year, the program had estimated completion date extended to Sept. 30, 1990. The only activity financed under this activity for this reporting year was a seminar on AIDS in the Workplace which was held in the US July 30 - August 10, 1990. This seminar was a follow-up activity to the AIDS IN THE WORKPLACE conference held in Sao Paulo in 1989. Ten health professionals from Brazilian banking sector and Large enterprises were chosen to participate; they visited American companies which have been developing AIDS prevention activities in the workplace. The program was very successful and help USAID/Brazil to develop its full agenda for AIDS IN THE WORKPLACE issues in Brazil through AIDSCOM.

Major Outputs Expected:

The Grant has been completed.

Major Outputs Expected:

This Grant will be completed this year; funds remaining will be used to finance University Linkages program and/or US site visits by Brazilian professional groups in priority areas.

Brief Overall Assessment of Activity

The A.I.D. Representative Categorizes this Activity as

A. _____
B. X
C. _____

Corrective Actions Planned for the Coming Year (if appropriate)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

A. Activity Data

Title: Intercountry Technology Training/ITT

Estimated Completion Date: 09/30/91

Implementing Agency: National Association of the Partners of the Americas - NAPA - FY' 88

B. Activity Purpose:

ITT project was developed to train Brazilian professionals in the US and in-country, through participant training, seminars, international travel and networking, linking these training activities to USAID/Brazil priority areas AIDS prevention, Narcotics Awareness, Global Climate Change, and Family Planning.

C. Activity Status:

This program had estimate completion date extended to Sept. 30, 1991. The Grant was mostly used to finance:

a. University Linkages activities in the United States, linking Brazilian to American Federal Universities on health issues like: nutrition, public health, AIDS and tropical diseases.

b. Agro-forestry seminar in the US (group of environment Brazilian professionals who received training in the US on issues related to Agro-Forestry & Global Climate Change). This activity was considered a success; all professionals and American Institutions visited were quite well selected by Partners with USAID/Brazil collaboration.

Major Outputs Expected:

To continue Developing University Linkages training in the US to Brazilian professionals and develop new strategies for short-term training in the US on Narcotics Awareness.

Brief Overall Assessment of Activity

The A.I.D. Representative Categorizes this Activity as

A X
B
C

Corrective Actions Planned for the Coming Year (if appropriate)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR, PSEE, HEALTH, AND ARDN

A. Activity Data

Title: Intercountry Technology Training/ITT

Estimated Completion Date: 09/30/91

Implementing Agency: National Association of the Partners of the Americas - NAPA - FY' 89

B. Activity Purpose:

ITT project was developed to train Brazilian professionals in the US and in-country, through participant training, seminars, international travel and networking, linking these training activities to USAID/Brazil priority areas AIDS prevention, Narcotics Awareness, Global Climate Change, and Family Planning.

C. Activity Status:

This program had estimate completion date extended to Sept. 30, 1991. The Grant was used to finance:

a. University Linkages activities in the United States, linking Brazilian to American Federal Universities on health issues like: nutrition, public health, AIDS, tropical diseases, and Drug abuse prevention.

b. sub-grant to Conservation Foundation to support Brazilian entities on Agro-Forestry training.

c. AIDS IN THE WORKPLACE II conference in Rio de Janeiro December 04 to 07; this activity was co-financed by USAID/Brazil and was supported by WHO (World Health Organization). The conference was a success, all strategies to USAID/Brazil AIDS in the workplace agenda were made during the seminar.

The A.I.D. Representative Categorizes this Activity as

A _____
B X
C _____

Corrective Actions Planned for the Coming Year (if appropriate)

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR

A. Activity Data

Title: Intercountry Technology Training/ITT
Estimated Completion Date: 09/30/91
Implementing Agency: FULBRIGHT COMMISSION - FY' 89

B. Activity Purpose:

This Grant was developed to train Brazilian professionals at American institutions in USAID/Brazil areas of priorities: AIDS prevention, environment, and Family Planning.

C. Activity Status:

Activities include, Short-Term Training and site visits with Brazilian health professionals. Under this Grant USAID/Brazil was able to support:

a. participant training activities (academic) in the areas of: Tropical diseases, Public Health, and AIDS prevention;

b. environmental law seminar in the US to 10 lawyers. This activity had co-financial support from various international organizations, being responsible to help these professional to visit American organization and develop Brazilian environmental law in the Amazon region.

Major Outputs Expected:

The Grant has been completed.

Brief Overall Assessment of Activity

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR

A. Activity Data

Title: Intercountry Technology Training/ITT

Estimated Completion Date: 09/30/91

Implementing Agency: FULBRIGHT COMMISSION - FY' 89

B. Activity Purpose:

This Grant was developed to train Brazilian professionals at American institutions in USAID/Brazil areas of priorities: AIDS prevention, environment, and Family Planning.

C. Activity Status:

Activities include, Short-Term Training and site visits with Brazilian health professionals. Under this Grant USAID/Brazil was able to support:

a. participant training activities (academic) in the areas of: Tropical diseases, Public Health, and AIDS prevention;

b. environmental law seminar in the US to 10 lawyers. This activity had co-financial support from various international organizations, being responsible to help these professional to visit American organization and develop Brazilian environmental law in the Amazon region.

Major Outputs Expected:

The Grant has been completed.

Brief Overall Assessment of Activity

SECTION III

ITT ACTIVITY NARRATIVES

FUNCTIONAL ACCOUNT: EHR

A. Activity Data

Title: Training Specialist & Manager

Estimated Completion Date: 09/31/91

Implementing Agency: Miguel Barbosa Fontes - 09/FSPSC

B. Activity Purpose:

FSN Project Management Specialist-Training position arises because of the need for project design, implementation and evaluation skills to advance the various training programs and to support participant training. The position is held by Mr. Miguel B. Fontes - FN/PSC. Mr. Fontes also manages the Buy-in's made by USAID/Brazil to centrally funded Grants and Contracts for AIDS prevention and Drugs Awareness.

C. Activity Status:

The personal service contract was extended for a second year. Mr. Fontes coordinates Brazilian training assessments, especially on management of strategies/recruitment and follow-up activities in-country. Since participant training programs are linked to the areas of priorities developed by USAID/Brazil, he also manages training programs in AIDS Prevention and Narcotics Awareness.

Through this contract Mr. Fontes provided following services to USAID/Brazil:

a. manages all participant training program (LAC) and in-country technical training (ITT) with Partners of the Americas, developing new strategies for the program, defining training procedures for program success. Mr. Fontes had a major role in recruitment and selection of candidates and helped Partners to better coordinate administratively all Grants and project related activities, such as: Agro-forestry seminars in the

US I & II (groups of environment professionals who received training in the US on issues related to Agro-Forestry & Global Climate Change), AIDS IN THE WORKPLACE in-country conferences I & II (two seminars - Sao Paulo & Rio de Janeiro - which had the participation of large Brazilian companies, AIDSCOM), participant training in the US with Brazilian Health professionals in the areas of AIDS prevention, DRUGS Awareness, and Environment, AIDS IN THE WORKPLACE seminar in the US (a group of health professional who received training in the US on issues related to AIDS IN THE WORKPLACE).

b. participate in Full and Open Competitive selection for the ADC participant training contract.

c. help SUNY to start up ADC Training Program in Brazil, participating in strategy definition, giving suggestions for accomplishments of goals stated in the original scope of work. SUNY staff has been in Brazil and final arrangements for start up are still being made. It is expected that first participants will be sent late January/1991. SUNY will be working on priority areas to AID/Brazil, such as: Narcotics Awareness, AIDS prevention, and Global Climate Change.

d. assist AIDSTECH and AIDSCOM on definition of AIDS prevention agenda in Brazil. Mr. Fontes is responsible for administrative support to the AIDS prevention program. A clear agenda has been developed.

e. assist Partners, SUNY and DRUGCOM on definition of narcotics awareness agenda for Brazil. This program is just starting; a clearer definition will be made after conference being organized by PARTNERS in Sao Paulo on Narcotics Awareness prevention. The conference is well organized, calling for inter-sectorial exchange for integrated actions between several Brazilian entities on Narcotics Awareness programs.

f. help AID Rep in preparing necessary documentation for all activities related to training, AIDS prevention, and Narcotics Awareness. Mr. Fontes prepares all PIO/Ts and PIO/Ps to these activities, making necessary extensions, amendments, and justifications.

Major Outputs Expected:

Mr. Fontes will continue to provide support to all activities under AIDS, DRUGS, and participant training agenda for USAID/Brazil. It is expected that he will be coordinating these programs, providing current reports to USAID/Brazil Representative

on development of schedules, defining all actions to be taken for office goals, and being assure that AID policies and regulations are being followed by contractors and AID participants.

Brief Overall Assessment of Activity:

There has been significant growth of contractor, particularly in strategic planning and program development.

The A.I.D. Representative Categorizes this Activity as

A X
B
C

Corrective Actions Planned for the Coming Year (if appropriate)

SECTION III

ITT ACTIVITY NARRATIVE

FUNCTIONAL ACCOUNT: Special Development Assistance

A. Activity Data:

Title: International Executive Service Corps (IESC)
Grant No.: 598-0616-512-03-01
Contract No.: 598-0616-512-G-SS-5001-00
Estimated Completion Date: August 31, 1989
Implementing Agency: IESC

B. Activity Purpose:

Extend technology transfer activities of IESC to medium sized enterprises through AID cost sharing with enterprises.

C. Activity Status:

Project was completed August 31, 1989. Delay in close out was because of difficulty in obtaining verification of final amount remaining uncommitted and to be deobligated.

SECTION IV

PARTICIPANT TRAINING

A. OBJECTIVES:

a. reinforce program areas, thereby increasing impact of training through effective post training use of trainees;

b. demystify potential candidates and identify who are most appropriate candidates in each program area - collaborative work among AIDS and grantees/contractors: Partners, SUNY, AIDSCOM, AIDSTECH, WWF, UF/G and research entities;

c. become effective in meeting ADC training project specific objectives, waiver, HBCUs and disadvantaged;

d. organize mix of training approaches appropriate and relate with networking and seminar opportunities.

B. Budgeted Amount:

	OBLIGATED
1- NAPA LAC II FY' 87 (PIO/T No. 512-598-0640-1-7001)	\$ 400,000.00
2- NAPA LAC II FY' 88 (PIO/T No. 512-598-0640-1-80003)	\$ 317,000.00
3- NAPA LAC II FY' 89 (PIO/T No. 512-598-0640-3-90003)	<u>\$ 400,000.00</u>
TOTAL	\$1,117,000.00

C. Proposed/Actual Training Activities and Cost

All training activities are to be developed under priority areas for USAID/Brazil: AIDS prevention, Narcotics Awareness, Family Planning, Global Climate Change, Administration of Justice, and Health Administration. This facilitate follow-up activities with trainees and develop effective strategies to USAID/Brazil in-country programs.

Costs are being structured through TCA - Training Cost Analysis - according to HB 10. USAID/Brazil has been negotiating training costs with various contractors to find co-financing institutions to pay for international travel and supplementary activities which AID is not allowed to finance.

D. Total number of Trainees

c.1.

FY' 90 TOTAL 30 participants

c.2.

- Academic Training = 20 (twenty) participants

- Technical Training = 10 (ten) participants

c.3.

- Males = 16 (sixteen) participants

- Females = 14 (fourteen) participants

FILE:F:INSAMPL

FINANCIAL SUMMARY OF USAID/BRAZIL PORTFOLIO
(JANUARY 1, 1990 THROUGH DECEMBER 31, 1990)

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)
ACTIVITY NO.	ACTIVITY TITLE	CATE- GORY	OF INIT. OBLIG.	FY OF INIT. OBLIG.	FY OF FINAL OBLIG.	ESTD. COMPL. DATE	TOTAL CONTRIB- UCTIONS LEVERAGED	AMOUNT OBLIG.	OBLIG. THIS CY	MORTGAGE THIS CY	BEGINNING CY PIPELINE	CUMULATIVE ACCRUED EXPEND.	ACCRUED EXPEND. THIS CY	ENDING PIPELINE	PLANNED OBLIG. (NEXT CY)	PLANNED EXPEND. (NEXT CY)
512-0784	GLOBAL CLIMATE CHANGE/MNF	A	1990	1993	1993	9/30/93	425,000	425,000	425,000	0	0	19,000	19,000	406,000	?	?
512-0784	AGROFORESTRY DEV. IN ACRE	B	1990	1993	1993	9/30/93	944,875	1,035,098	378,640	566,235	0	?	?	?	?	?
936-5554	CONSERVATION OF BIODIV.	?	1990	1993	1993	?	301,000	301,000	301,000	0	0	0	0	301,000	?	?
512-0784	ENERGY EFFICIENCY INSTITUTE	?	1991	1993	1993	?	400,000	400,000	400,000	0	0	1,479,000	107,915	400,000	?	?
598-0616	WELL WATERSHOOD-CHILD SURV	A	1985	1989	1989	3/31/90	1,479,000	1,479,000	0	0	16,720	1,479,000	107,915	0	?	?
598-0616	VITAMIN A RESEARCH	A	1987	1987	1987	3/31/91	397,000	397,000	0	0	152,367	374,000	48,000	23,000	?	?
598-0616	AIDSCON	A	1988	1991	1991	1992	473,000	473,000	400,000	0	0	265,117	133,338	339,452	?	?
598-0616	AIDSTECH	A	1988	1991	1991	1992	395,000	395,000	300,000	0	0	211,630	96,718	298,282	?	?
598-0616	PATHFINDER FUND GRANT	A	1986	1986	1986	12/31/89	585,000	585,000	0	0	38,510	585,000	0	0	?	?
512-0616	PATHFINDER FUND BUY-IN	A	1987	1991	1991	6/30/91	1,325,000	1,325,000	430,000	0	0	400,842	730,000	355,000	?	?
598-0616	THE FUTURES GROUPS	B	1988	1988	1988	6/30/90	100,000	100,000	0	0	6,185	100,000	2,000	0	?	?
598-0616	JOHN SNOW INTERNATIONAL	A	1989	1989	1989	9/30/91	100,000	100,000	0	0	66,173	85,000	25,000	15,000	?	?
598-0616	NAPA 1987	B	1987	1988	1988	9/30/90	582,000	582,000	0	0	20,366	582,000	20,366	0	?	?
598-0616	NAPA 1988	B	1988	1989	1989	9/30/91	250,000	250,000	0	0	?	202,764	6,195	47,236	?	?
598-0616	NAPA 1989	A	1989	1990	1990	9/30/91	473,000	473,000	0	0	?	177,372	104,974	295,628	?	?
598-0616	NAPA 1990	?	1990	1991	1991	9/30/91	470,000	470,000	0	0	?	432,506	37,494	37,494	?	?
598-0616	LAC II TRAINING	?	1987	1988	1988	9/30/90	400,000	400,000	0	0	?	400,000	6,240	0	?	?
598-0640	LAC II TRAINING	?	1988	1989	1989	9/30/91	400,000	400,000	0	0	?	369,240	101,754	30,760	?	?
598-0640	LAC II TRAINING	?	1989	1990	1990	9/30/91	317,000	317,000	0	0	?	157,594	97,245	159,406	?	?
598-0616	DRUGCON	?	1990	1991	1991	1994	100,000	100,000	?	?	0	12,000	12,000	88,000	?	?
598-0660	ADC TRAINING PROJECT	?	1990	1995	1995	9/30/95	2,250,000	2,250,000	?	?	0	15,200	15,200	134,800	?	?
598-0640	BARBOSA FONTES	A	1989	1990	1990	8/31/91	73,500	73,500	45,000	0	24,991	45,122	16,977	28,378	?	?
512-0649	FULBRIGHT COMMISSION	B	1989	1991	1991	9/30/91	50,000	50,000	50,000	0	50,000	50,000	50,000	0	?	?
598-0616	INTERNATIONAL EXECUTIVE	?	1985	1985	1985	8/31/89	244,000	244,000	0	0	55,510	188,490	0	55,510	?	?

c.4. PROJECTED

PARTICIPANT TRAINING TABLE BRAZIL - REGIONAL

	FY' 91	FY' 92	FY' 93	FY' 94	FY' 95	TOTAL (P.N.)
1. Long-term (Academic)						
AIDS Prevention	24.0	36.0	31.5	31.5	21.0	144
DRUGS Awareness	0.0	12.0	21.0	21.0	31.5	85.5
Global Climate Change	24.0	16.0	31.5	31.5	31.5	166.5
Family Planning	0.0	12.0	10.5	10.5	10.5	43.5
Health Administration	0.0	0.0	0.0	0.0	0.0	0
Administration of Justice	0.0	12.0	10.5	10.5	10.5	43.5
1.1. SUB-TOTAL	48.0	126.0	105.0	105.0	105.0	483
1.2. UNIT COST (P.N.)	\$1,940.00	\$1,940.00	\$1,940.00	\$1,940.00	\$1,940.00	\$1,940.00
1.3. TOTAL COST (P.N.)	\$93,120.00	\$232,800.00	\$203,700.00	\$203,700.00	\$203,700.00	\$937,020.00
2. Short-term (Technical)						
AIDS Prevention	12.0	15.0	10.0	10.0	15.0	70
DRUGS Awareness	0.0	0.0	0.0	12.0	15.0	40
Global Climate Change	20.0	30.0	24.0	21.0	21.0	126
Family Planning	3.0	6.0	3.0	3.0	3.0	18
Health Administration	0.0	0.0	0.0	0.0	0.0	0
Administration of Justice	3.0	3.0	6.0	6.0	6.0	24
2.1. SUB-TOTAL	48.0	60.0	60.0	60.0	60.0	208
2.2. UNIT COST (P.N.)	\$1,965.00	\$1,965.00	\$1,965.00	\$1,965.00	\$1,965.00	\$1,965.00
2.3. TOTAL COST (P.N.)	\$91,440.00	\$114,300.00	\$114,300.00	\$114,300.00	\$114,300.00	\$448,640.00
3. US Visits and Conferences						
AIDS Prevention	5.0	3.0	3.0	0.0	0.0	11
DRUGS Awareness	1.0	2.0	0.0	0.0	3.0	9
Global Climate Change	5.0	3.0	0.0	3.0	0.0	11
Family Planning	0.0	0.0	0.0	0.0	0.0	0
Health Administration	5.0	3.0	0.0	0.0	0.0	10
Administration of Justice	0.0	0.0	0.0	0.0	0.0	0
3.1. SUB-TOTAL	19.0	13.0	3.0	3.0	3.0	41
3.2. UNIT COST (P.N.)	\$9,975.00	\$9,975.00	\$9,975.00	\$9,975.00	\$9,975.00	\$9,975.00
3.3. TOTAL COST (P.N.)	\$189,525.00	\$129,675.00	\$29,925.00	\$29,925.00	\$29,925.00	\$408,975.00
TOTAL	115.0	193.0	168.0	168.0	168.0	612
TOTAL COST (P.N./TOTAL)	\$374,085.00	\$476,775.00	\$347,925.00	\$347,925.00	\$347,925.00	\$1,894,625.00

E. Status of Participant Selection, Placement and Follow-on.

USAID/Brazil and contractors have improved greatly on participant recruitment/selection of candidates, specially for short-term training in the US on priority areas, such as: AIDS prevention and Global Climate Change. All trainees have been chosen from high qualified Brazilian institutions, being also able to support AID follow-on activities with contractors like AIDSCOM, AIDSTECH, and WWF.

Placement of participants have been organized by Partners of the Americas which have demonstrated great capacity for choosing American Institutions in priority areas for USAID/Brazil. The majority of participants has reported as outstanding their experience in the US, being recognized by their Brazilian institutions after their return in order to develop follow-up activities at these organizations: NGOs, Private Sector, and Government.

Follow-on activities are being done through linking participant training programs to other USAID/Brazil projects like AIDS prevention, Family Planning, and Global Climate Change. These activities are a success; specially on the completion of full AIDS agenda and is expected a major output next year for other areas.

SECTION VI - Other Non-Lac Activities

Disaster Preparedness Management
(Agency rather than Mission objective)

Functional Account: OFDA

- A. Project Title and Number: Center for Disaster Emergency Preparedness Training (CETREM)
PIO/T No. 512-11X-1035-6-B0001
Grant No. 512-598-1035-G-SS-8003-00
- B. FY of Initial Obligation: FY 88
- C. FY of Final Obligation: FY 88
- D. Total Project Cost: \$50,000
- E. Counterpart Contribution:
- F. Current Year Obligation Planned: -0-
- G. Cumulative Obligations to Date: \$50,000
- H. Estimated Completion Date: Dec. 31, 1990; request for extension to Apr. 30, 1991 in process.
- I. Accrued Expenditures (cumulative): \$15,867.55
- J. Accrued Expenditures this Reporting Year: \$13,788.43
- K. Pipeline: \$34,132.45

SECTION VI

Functional Account: OFDA

A. Activity Data

Title: Disaster Preparedness Management Training
Implementing Agency: CETREM, Un. of the State of Santa
Catarina
Number: 512-598-1035-6-80001
Estimated Completion Date: December 31, 1990

B. Activity Purpose:

To provide training services to both public and private sector in disaster emergency preparedness management.

C. Activity Status:

The project has been implemented slowly, but products and services have been of consistently high quality. The grant was extended to Dec. 31, 1990 and a further extension will be required. In part, the low draw down this year, in contrast with earlier periods when formative work was slow, is because of higher than expected mobilization of local resources and a misunderstanding with the Mission which delayed the processing of vouchers and requests for additional disbursements.

D. Major Out Puts Expected:

1. Training products in emergency management, hazardous materials, emergency health, industrial emergency responsiveness, etc. Not only has quality work been done but support for the center has been mobilized with the Southern State Governments and with private firms.

2. Building of linkages and networks for support of the Center (public and private) and creation of a private foundation to give the preparedness management training a more direct link to private sector interests.

E. Brief Overall Assessment of Activity:

There is quality leadership and competent performance of all tasks. The Board Control by the Civil Defense System and the conservation of public sector and university dominance has slowed progress. The subject is very important in Brazil and the work is being performed well with a range of disciplines being dominated. The center is mobilizing support and is becoming a stable and self-supporting operation. The center must now confront whether it can create an operating mode and recognition such that further support would be warranted.

The AID Representative categorizes this activity as B.

Corrective Actions: Extend the PACD (requested to June 30, 1991)