

PD-ABC-192
69468

UNCLASSIFIED

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY
AGENCY FOR INTERNATIONAL DEVELOPMENT
Washington, D. C. 20523

LAC REGIONAL

PROJECT PAPER

AGRICULTURE AND RURAL DEVELOPMENT

TECHNICAL SERVICES

Amendment No 1

AID/LAC/P-555
CR P-460

Project Number: 598-0654
597-0022

UNCLASSIFIED

PROJECT DATA SHEET

1. TRANSACTION CODE

A = Add
 C = Change
 D = Delete

Amendment Number

1

DOCUMENT CODE

3

2. COUNTRY/ENTITY

LAC Regional

4. BUREAU/OFFICE

LAC

05

3. PROJECT NUMBER

598-0654 597-0022

5. PROJECT TITLE (maximum 40 characters)

Agriculture and Rural Development Technical Services

6. PROJECT ASSISTANCE COMPLETION DATE (PACD)

MM DD YY
 08 11 93

7. ESTIMATED DATE OF OBLIGATION (Under 'B.' below, enter 1, 2, 3, or 4)

A. Initial FY 8 8

E. Quarter 4

C. Final FY 9 2

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	1,435		1,435	7,300		7,300
(Grant)	(1,435)	()	(1,435)	(7,300)	()	(7,300)
(Loan)	()	()	()	()	()	()
Other U.S.						
1.						
2.						
Host Country						
Other Donor(s)						
TOTALS						

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH. CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1)	FN	200	000	2,592				7,300	
(2)									
(3)									
(4)									
TOTALS				2,592				7,300	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

7,300

11. SECONDARY PURPOSE CODE

100

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code

FNV

B. Amount

950

13. PROJECT PURPOSE (maximum 480 characters)

To improve the intra-regional transfer and application of technology and information in selected high priority technical areas in order to improve the effectiveness of agricultural and rural development projects in LAC countries.

14. SCHEDULED EVALUATIONS

Interim MM YY MM YY Final MM YY
 09 90 07 93

15. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 Local Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page PP Amendment)

This amendment increase the life-of-project by \$700,000 to include land tenure support as a high priority technical area and to fund evaluations and an audit of the ARDTS project.

17. APPROVED BY

Signature

Jeffrey Evans

Title

Acting Director, LAC/DR

Date Signed

MM DD YY
 07 05 90

18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION

MM DD YY

Agency for International Development
Washington, D.C. 20523

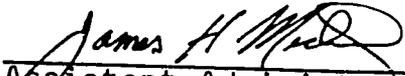
PROJECT AUTHORIZATION
(Amendment No. 1)

Name of Country: LAC Regional
Name of Project: Agriculture and Rural
Development Technical Service
Project
Number of Project: 598-0654 and 597-0022

1. Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, the LAC Regional Agriculture and Rural Development Technical Service Project was authorized on August 15, 1988. Paragraph 1 of the Authorization is hereby amended to read as follows:

Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, I hereby authorize the LAC Regional Agriculture and Rural Development Technical Service Project for the Latin America and Caribbean Region, planned obligations of not to exceed Seven Million Three Hundred Thousand United States Dollars (US \$7,300,000) in grant funds ("Grant") over a five year period from date of authorization subject to the availability of funds in accordance with the A.I.D. OYB/allotment process, to help in financing the foreign exchange and local currency costs for the project. The planned life of the project is five years from the date of initial obligation.

2. Except as expressly amended herein, the Authorization remains in full force and effect.


Assistant Administrator
Bureau for Latin America
and the Caribbean


Date

1

AMENDMENT

PROJECT TITLE: Agriculture and Rural Development Technical Services

PROJECT NUMBER: 598-0654

AMENDMENT I: Land Tenure

PROJECT FUNDING: (\$000) Authorized LOP: \$7,300
3-year Amendment: \$700

Background:

Land tenure and resource access issues are fundamental to agriculture and rural development efforts in economic, political, and social terms. In Latin America, issues associated with land distribution and ownership have been at the forefront of policy agendas for at least the past 30 years. Land access and land tenure set the framework for agriculture production. The tenure issues change as production systems evolve.

At the time of the Agriculture and Rural Development Technical Services (ARDTS) project development, "land tenure" was not included as a priority area because there was a separate Tenure Security and Land Markets Research Project (TSLM). Rather than amend the TSLM at this time, the LAC Bureau decided it appropriate to incorporate this activity into the ARDTS project to reduce LAC/DR/RD project management responsibilities. Mission level land tenure activities can continue to be developed as buy-ins to the S&T Cooperative Agreement with the University of Wisconsin Land Tenure Center (Access to Land, Water and Other Natural Resources II).

The ARDTS Project Paper Technical Analysis spells out criteria for designation of priority technical areas. These include both general and special criteria. Land tenure clearly qualifies for inclusion in the regional project according to these criteria.

General Criteria:

(1) Because agriculture plays such an important role in providing food, employment, income, and foreign exchange, the influence of land tenure on rural development efforts is widely recognized and highly visible. How tenure in land is defined within any nation influences how the production process is carried out and who gets what benefits from production. A distribution of land which maximizes its use contributes to improved production, increased rural employment and incomes, and reduction of political tensions. It also forms the basis for sound management of land and natural resources and sustainability of rural production systems.

(2,3) The A.I.D. agriculture and rural development programs focus on increasing the incomes and the consumption of food of the rural poor while maintaining and enhancing the natural resource base through promotion of sustainable agricultural development strategies. The LAC Bureau's six major development themes in agriculture and natural resources are: policy reform; export diversification and productivity enhancement; creation of indigenous agricultural research, extension and education institutions; maintenance and conservation of natural resources; access to land and capital; and rural infrastructure. This amendment is justified by the fact that access to land is a fundamental constraint to agricultural development and rural incomes and of paramount importance in attaining sustainable agricultural systems and sound environmental and natural resource management.

This amendment also will support the Agency Land Tenure Policy (PD-13) which states, "A.I.D. supports those LDC land programs which lead to a general, country-wide reliance on market forces in the valuation and distribution of land ownership and land use rights. A.I.D. will also support programs that broaden the opportunity for access to agricultural land, promote tenure security and stimulate productive uses of land to ameliorate the barriers to market entry that exist in some LDCs."

(4) Land tenure is a priority activity in more than one country. Throughout the region there have been periodic efforts to modify land rights by changing the extent of private use of land or changing the proprietors of land through land reforms. A review of land tenure and land market related programs completed for the TSLM evaluation showed that land tenure programs are a part of the agendas of the governments of AID-assisted countries in the region and that many Missions have tenure-related projects. As countries in the region place more emphasis on environmental and natural resource issues, the relationship of land tenure to conservation becomes more important.

Communications from the LAC Missions concerning research priorities for the S&T Cooperative Agreement with the Land Tenure Center (LTC), Access to Land, Water and Other Natural Resources II, under which most Mission land tenure research activities are funded, and for the evaluation of the TSLM project, show that land tenure and resource access issues will continue to be a part of Mission programming during the next few years. Most Missions feel that host country government interest in land affairs will increase (9 of 13) or remain the same (2 of 13) over the next five years compared to the last five years. The main issues identified by the Missions are land tenure and natural resource management and environmental conservation, land markets and land transfers, and land tenure and agro-export production.

(5,6) Although individual Missions can buy into the S&T Access II project for land tenure and resource access research and policy analysis, a regional effort is justified in the form of a Washington-based technical advisor in order to disseminate and assist the Missions in using the research outputs of the TSLM project, and to facilitate communications among Missions on tenure-related projects, policies, and technologies. As a worldwide project, S&T does not have resources to cover issues specific to the LAC Bureau.

Special Criteria:

The special criteria are used to justify that land tenure can be most appropriately and efficiently managed on a regional basis. Land tenure should be managed on a regional level for two reasons:

- First, similar problems are faced by all countries in the region, so that knowledge and experience from one area can be instructive for others. Shifts in the approach to land distribution and in agricultural systems have raised new tenure-related issues about which little is known. Research findings can be applied in more than one setting. Generalizations at the regional level can be useful in developing regional strategies and policies. Regional funding facilitates comparative and longitudinal methodologies for studies.
- Second, although land tenure is a central issue in most countries, most individual A.I.D. Missions cannot afford to have land tenure experts on staff. Regional expertise to carry out studies and offer technical assistance to apply the new knowledge is a cost effective way of filling this gap.

Description of Amendment Activities:

The purpose of the project amendment is to include land tenure as a priority activity for Bureau technical leadership. The \$.7 million, three-year amendment will fund a three-year land tenure technical advisor and provide ancillary funds for his/her use for dissemination activities and studies which may contribute to regional understanding and appropriate inclusion of land tenure matters in Mission programs and policies. Funds also are being included in the project for evaluation and audit purposes.

Under this amendment, a major emphasis will be given to communicating and interpreting land tenure research findings to missions and host government officials. In addition assistance will be provided to help missions and host countries effect sound land laws.

Particular attention will be given to dissemination of work carried out by the LTC under the TSLM project, including the state-of-the-art papers and results of studies in individual

countries. Dissemination will involve distributing the papers to missions throughout the region and assisting the missions in interpreting them and applying them in programs and policy agendas to fit the circumstances of each country. Three major means will be used to accomplish these purposes:

First, the advisor will develop new ways in addition to printed research reports to present the research findings to Missions and LDC officials, such as video presentations, illustrative case study reports, and short research summaries.

Second, the advisor will organize regional and/or sub-regional (i.e., Central America, South America, Caribbean) workshops on a regular basis to report on key research findings and discuss the implications of these findings for mission and host country activities and development programs.

Third, the advisor will present and interpret research findings in the missions, and assist them in incorporating tenure factors into mission portfolios.

The land tenure activity area also will be supported by a fund for selected studies to respond to current Bureau, mission, and host country concerns and to address operational questions. Two illustrative study themes are tenure and natural resource management and land markets. Decisions on implementing the studies will be made by the Chief, LAC/DR/RD.

Funding is also provided under this amendment for an external audit of the Chemonics contract and the Land Tenure Center cooperative agreement buy-in. USDA will be audited through its normal procedures.

Outputs:

The outputs of the project amendment over the three-year period are:

1. Use of research results in strategy development, program/project design and implementation, and policy dialogue, and improved host-country land laws and programs.
2. Distribution of two existing state-of-the-art papers throughout the region. Meetings with mission representatives to discuss the implications of the research for their programs.
3. Preparation of a biannual summary of research reports, description of ongoing studies, and report on land related activities in the region to be distributed to LAC missions.
4. At least one regional or sub-regional workshop per year. The workshops will focus on presentation of ongoing studies and discussions of policy and program implications and potential applications.
5. One or more major land tenure related studies.

Implementation:

This amendment will be implemented by the Land Tenure Center through a buy-in to the Basic Ordering Agreement with the University of Wisconsin Land Tenure Center under the S&T Bureau Access to Land, Water and Other Natural Resources II Project. The technical advisor will report to and be supervised by the Chief, Rural Development Division, or his/her designee. The advisor position will be full-time, located in Washington. Part-time secretarial services will be provided under the buy-in to support the technical advisor.

The advisor will develop an annual workplan in coordination with LAC/DR/RD and the Missions, including goals and a program and schedule of activities. The workplan and proposed studies will be submitted to the Missions for comment and input, and must be approved by the Chief, LAC/DR/RD. The advisor will be responsible for close coordination with the Access II project and the Land Tenure Center in dissemination and research activities in the LAC region.

Position description for the land tenure technical advisor follows in Annex A. An illustrative budget is included as Annex B.

#6281S

AMENDMENT

LOGICAL FRAMEWORK

AGRICULTURE AND RURAL DEVELOPMENT PROJECT PAPER

Outputs	Objectively Verifiable Indicators	Means of Verification	Assumptions
Land Tenure			
A. Biannual Summary for LAC missions of research reports, description of on-going studies and land-related activities in region.	Report prepared and distributed	Project records	Tenure specialist can be contracted.
B. Annual regional or sub-regional workshop.	Workshops held	Project records	
C. Distribution of state-of-the-art papers and discussions in missions.	Meeting held. Papers sent.	Project records	
D. Assist missions & Bureau in strategy devel., project design, policy dialogue.	Strategies, projects, policies	Project records	
E. Land Tenure studies.	Study & report completed	Project records	

ANNEX A: Position Description for Washington-based Land Tenure Advisor

This is a full-time, senior position in the Rural Development Division (Development Resources Office) of the Latin America and Caribbean Bureau (LAC/DR/RD) of the Agency for International Development, supervised by the Chief, Rural Development Division.

The advisor will have an advanced degree in social science, knowledge of land tenure issues, and fluency in written and spoken Spanish at the FSI 3 level or above. Knowledge of land laws is highly desirable.

Objective:

The objective of this position is to provide guidance and technical assistance to LAC/DR/RD and the LAC missions in land tenure related issues, policies, and programs.

Tasks:

1. Provide guidance to LAC/DR/RD and respond to mission requests for assistance on land tenure and resource access issues. Assist missions in design, implementation, and evaluation of land tenure and resource access strategies, programs and projects.
2. Assist in the review of agriculture, rural development, and environment mission portfolios for land tenure and resource access issues. Assist missions in incorporating components in programs to deal with land tenure contingencies.
3. Disseminate research results to interested missions in the region, and meet with mission personnel to assist in interpreting and applying results. Maintain knowledge and understanding of current land tenure research and literature.
4. Assist the LAC Bureau and missions in developing a tenure and resource access strategy to respond to tenure and resource access problems.
5. Assist LAC/DR/RD in coordinating its activities with other A.I.D. Bureaus and other donors in areas of land tenure and resource access.

Responsibilities:

The technical advisor will prepare an annual workplan to be reviewed by the LAC missions and LAC/DR/RD and to include goals and a program and schedule of activities for the year. The advisor will submit quarterly reports to the Chief, LAC/DR/RD, outlining work accomplished during the previous quarter and plans for the current quarter. Copies of such quarterly reports also will be submitted to the Access II project manager and the Land Tenure Center.

The advisor will be an employee of the Land Tenure Center which will be responsible for all travel arrangements and reimbursements. Office space, telephone, computer, and secretarial services will be provided by the LTC through this buy-in.

ANNEX B

Illustrative Three Year Budget

	Year 1	Year 2	Year 3
Washington-based Tech. Advisor	\$60,000	\$62,400	\$64,896
Fringe (28.1%)	16,860	17,422	18,236
Travel	15,000	16,000	17,000
Studies	25,000	25,000	25,000
Workshops & Dissemination	30,000	30,000	30,000
Office rental, Office support part time secretary for Washington-based advisor	10,000	10,500	11,000
Computer for Washington-based advisor	<u>4,000</u>		
Subtotal	160,860	161,322	166,132
Overhead (26%)	<u>41,824</u>	<u>41,944</u>	<u>43,194</u>
Total	202,684	203,265	209,326
Grand Total			\$615,275
		rounded to	\$620,000

Notes: Funds will be obligated as follows for evaluations and an audit of the ARDTS project:

	FY 1991	FY 1993
Evaluations	\$20,000	\$30,000
Audit		<u>\$30,000</u>
Total	\$20,000	\$60,000
Grand Total		<u>\$80,000</u>
Grand Total for Government		\$700,000