

PD-ABC-177

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT DATA SHEET	1. TRANSACTION CODE <input type="checkbox"/> A = Add <input type="checkbox"/> C = Change <input type="checkbox"/> D = Delete	DOCUMENT CODE 3
2. COUNTRY/ENTITY Worldwide	3. PROJECT NUMBER 936-5556	
4. BUREAU/OFFICE S&T/FENR	5. PROJECT TITLE (maximum 40 characters) Forest Resources Management II (FRM II)	
6. PROJECT ASSISTANCE COMPLETION DATE (PACD) MM DD YY 11 23 10 00	7. ESTIMATED DATE OF OBLIGATION (Under "B" below, enter 1, 2, 3, or 4) A. Initial FY <u>91</u> B. Quarter <input type="checkbox"/> C. Final FY <u>10</u>	

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY <u>91</u>			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total						
(Grant)	(2,500)	()	(2,500)	(25,000)	()	(25,000)
(Loan)	()	()	()	()	()	()
Other U.S. Buy-ins USAID				15,000		15,000
U.S. In-kind contributions				5,000		5,000
Host Country						
Other Donor(s)						
TOTALS	2,500		2,500	45,000		45,000

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH. CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) ARDN	750	160				25,000		25,000	
(2)									
(3)									
(4)									
TOTALS						25,000		25,000	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)
 840

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code						
B. Amount						

15. PROJECT PURPOSE (maximum 180 characters)

To promote the contribution of trees to sustainable development and strengthen the capacity of forestry and natural resources management institutions in tropical and subtropical developing countries through mobilization of the public and private professional forestry and natural resources management community and the Peace Corps.

14. SCHEDULED EVALUATIONS

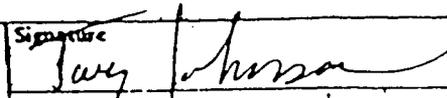
Interim	MM YY	MM YY	Final	MM YY
	07 92	07 95		11 99

15. SOURCE/ORIGIN OF GOODS AND SERVICES
 000 941 Local Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page PP Amendment)

This is a follow-on project to the Forest Resources Management project (936-5519).

*This total includes Regional Bureau and USAID Mission buy-ins of \$15 million plus U.S. Forest Service and Peace Corps in-kind contributions of \$5 million.

17. APPROVED BY 	Title: Twig Johnson, Director (Acting) S&T/FENR	Date Signed MM DD YY 10 24 90	18. DATE DOCUMENT REVIEWED IN AID/W, OR FOR AMENDMENTS. DATE OF DISTRIBUTION MM DD YY
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AGENCY FOR INTERNATIONAL DEVELOPMENT

WASHINGTON, D. C. 20523

November 9, 1990

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR
FOR SCIENCE AND TECHNOLOGY

THRU: S&T/EN, Jack Vanderryn *J. Vanderryn*
FROM: S&T/FENR, Twig Johnson, Acting *Twig Johnson*
SUBJECT: Authorization of the Forest Resources Management II
(FRM II) Project (936-5556)

PROBLEM: Your authorization is requested to initiate a new centrally-funded project entitled "Forest Resources Management II" (936-5556), in the amount of \$25 million from the Agriculture, Rural Development and Nutrition (ARDN) account.

DISCUSSION: The Forest Resources Management II project is a follow-on project to the highly successful Forest Resources Management (FRM I) project (936-5519). Based on the final evaluation of the parent project, which was submitted to A.I.D. during November, 1989, an "unqualified yes" was given by the U.S. A.I.D. missions and regional bureaus for the continuation and expansion of the project. In addition, background papers were prepared for several candidate topics considered for inclusion in the follow-on project, and these were reviewed both within and outside A.I.D. to determine the basis for expanding the project. These preparatory documents served as the basis for formulating the PID/Concept Paper, which was approved in May, 1990.

FRM II will build on and expand the institutional structure established by FRM I. The Forestry Support Program (FSP), continued under a RSSA with the USDA Forest Service and the Office of International Cooperation and Development (OICD), will have a primary and proactive responsibility for four major components: 1) technical assistance and training, 2) service and support, 3) support for private enterprise development, and 4) facilitation of donor collaboration. FRM II will also provide for the continuation of a PASA with the Peace Corps. Most activities undertaken through this agreement, which are demand driven, designed and delivered in response to requests from participating countries, include: 1) program development, 2) technical support, 3) material support to projects, and 4) training and workshops. By advancing sound practices and techniques with established organizations in the public and private forestry and natural resources management communities, the outputs of FRM II are more likely to be embodied in the long-term activities of participating host-country agencies.

Project Data:

The initial obligation is FY 1991, and it is planned that a total of \$2,500,000 of central funds will be obligated the first year. The final year of obligation is FY 1999, and the PACD is December 31, 2000.

In addition to the amount authorized above, an estimated \$905,000 may be contributed to this project in FY 91 by missions, regional bureaus, other offices in A.I.D., the USDA Forest Service and Peace Corps. Funding may be provided from the Economic Support Fund (ESF) or the Development Fund for Africa (DFA), as well as the accounts authorized for S&T funding under this project.

The total budget for FRM II is projected to be \$45 million during the ten-year life-of-project. S&T/FENR will contribute a total of \$25 million in grant funds; regional bureaus and missions will be able to buy-in at the level of \$15 million; Forest Service and Peace Corps cost-sharing personnel and activities will be \$5 million of the total.

Project Issues: None

Evaluations and Audits: Project evaluations and audits are scheduled after the first 20 months of the project, followed by a mid-term evaluation at year five, a third evaluation at year seven, and a final evaluation in the tenth year. These will be supplemented by internal monitoring carried out by means of trip reports, monthly reports, quarterly reports, weekly meetings between A.I.D. and FSP management and semi-annual meetings with USDA Forest Service and Peace Corps.

Special Interest in the Project: Special interests in this project derive from the mandate given by Congress for developing closer working relations between A.I.D., the USDA Forest Service, Peace Corps, and other U.S. Government organizations in addressing issues related to tropical deforestation and natural resources management.

Waivers, Special Clearances, Provisions, and Determinations: A RSSA with the USDA Forest Service and OICD and a PASA with the Peace Corps have been selected as the appropriate funding instruments for implementing this project. A separate PASA mechanism with USDA OICD has also been selected to pursue initiatives that would allow for bureau or mission buy-ins. These options have been discussed extensively with and agreed to by SER/OP, Gary Kinney, (and his predecessor, Edward Thomas) the contracting officer for S&T/FENR, who has responsibility for this decision. A justification for an A-76 waiver to use the RSSA and PASA mechanisms is presented in the Project Paper (Attachment C).

A study will also be conducted during the first year of the follow-on project to determine the desirability and feasibility of establishing a separate subagreement aimed at encouraging forest-based private enterprise development in selected countries.

SECTOR COUNCIL REVIEW:

The Energy and Natural Resources (EN) Sector Council has reviewed the Project Paper for this project, and the project was endorsed on June 21, 1990 with the reservations listed below: Questions were raised pertaining to staffing, contracting mechanisms, roles of the Forest Service and Peace Corps, responsibilities of and contributions by U.S. A.I.D. Missions and Regional Bureaus, and ability of the project to address evolving global issues such as the Tropical Forestry Action Program (TFAP), global climate change, etc. Additional issues derived from internal S&T Bureau review concerned the project budget, project evaluation, and priority countries for project activity; these have been addressed.

CONGRESSIONAL JUSTIFICATION:

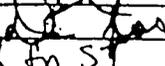
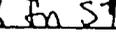
A Planned Program Summary Sheet previously appeared on page 187 of the Main Volume of the FY 1991 Congressional Presentation. This document has been revised to reflect modifications in the project purpose and the budget. A substantive Congressional notification is in process.

PROCUREMENT PLAN AND BUDGET:

The project will be implemented by a non-competitive RSSA and PASA with USDA Forest Service and OICD, as well as a non-competitive PASA with Peace Corps, in FY 1991. The FY 1991 C.P. has programmed \$1,000,000 for this project; we plan to program \$2,500,000 in the FY 1991 OYB. Additional obligations for years 2 through 5 of the project are \$2,050,000 in FY 1992; \$3,000,000 in FY 1993; \$3,600,000 in FY 1994; and \$4,200,000 in FY 1995. The total life-of-project funding is \$25,000,000 in grant funds.

RECOMMENDATION: That you sign the attached project authorization (Attachment B).

Clearances:

AA/S&T: BLangmaid		Date 12/6/90
S&T/PO: DSheldon		Date 12/15/90
GC/CP: STisa		Date 11/15/90

Attachments:

- A. Project Data Sheet
- B. Project Authorization
- C. Project Paper & Waiver

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PROJECT AUTHORIZATION

Country/Entity: Worldwide
Name of Project: Forest Resources Management II (FRM II)
Number of Project: 936-5556

1. Pursuant to Section 103, Agriculture, Rural Development and Nutrition (ARDN), of the Foreign Assistance Act of 1961, as amended, I hereby authorize the Forest Resources Management II project involving planned obligations of not to exceed \$25,000,000 in grant funds from the ARDN account, subject to the availability of funds in accordance with the A.I.D. OYB/allotment process.

The initial obligation year for the project is FY 1991, the final obligation year is FY 1999, and the PACD is December 31, 2000.

In addition to the amounts authorized above, an estimated \$15,000,000 may be contributed to the project by Missions, Regional Bureaus, and other offices of A.I.D. Funding may be provided from the Economic Support Fund (ESF) or the Development Fund for Africa (DFA), as well as the accounts authorized for S&T funding under this project. An additional \$5,000,000 will be contributed by the USDA Forest Service and Peace Corps as in-kind contributions.

2. The purpose of the project is to promote the contribution of trees to sustainable development and strengthen the capacity of forestry and natural resources management institutions in tropical and subtropical developing countries through mobilization of the public and private professional forestry and natural resources management community and the Peace Corps.

The project will produce the following outputs during the life of project: 1) institution strengthening; 2) application and transfer of existing and emerging technologies; 3) improved development impact of food aid-related forestry and natural resource activities; 4) mobilization and enhanced communication with the public and private forestry and natural resource management community; 5) forestry private enterprise development; and 6) facilitation of assistance to donor collaboration.

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3. The agreements which may be negotiated and executed by the officer to whom such authority is delegated in accordance with A.I.D. regulations and Delegations of Authority shall be subject to the following essential terms and covenants and major conditions, together with such other terms and conditions as A.I.D. may deem appropriate:

a. Source and Origin of Commodities, Nationality of Services. Commodities financed by A.I.D. under the project shall have their source and origin in the "cooperating country" or the United States, except as A.I.D. may otherwise agree in writing. (Each country in which research, training, or technical or other assistance takes place under the project shall be considered a "cooperating country.") Except for ocean shipping, the suppliers of commodities or services shall have the cooperating country or the United States as their place of nationality, except as A.I.D. may otherwise agree in writing.

Ocean shipping financed by A.I.D. under the project shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of the United States.

b. Based on the justification described on page 34 of the Project Paper, I hereby determine that the proposed RSSA/PASA with the USDA Forest Service (USDA/FS) and Office of International Cooperation and Development (OICD) as well as the PASA with the Peace Corps (PC) qualifies under Section 621(a) of the FAA because (a) they are for technical assistance, (2) the Forest Service, OICD and the PC are particularly suited to carry out the scope of work, (3) this action is not competitive with the private sector, and (4) it will not interfere with the normal work of USDA/FS, OICD, and PC nor will it interfere with the domestic operations of USDA/FS, OICD and PC.

Signature: Richard A. Bissell
Richard Bissell
Assistant Administrator for
Science and Technology

Date: 12/7/90

Clearances:

S&T/FENR: TJohnson	<u>J</u>	Date	<u>11/8</u>
S&T/EN: JVanderryn	<u>JV</u>	Date	<u>11/8</u>
GC/CP: STisa	<u>E. Smith ST</u>	Date	<u>11/15/90</u>
S&T/PO: DSheldon	<u>DE</u>	Date	<u>11/15/90</u>
AA/S&T: BLangmaid	<u>B</u>	Date	

