

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. AMENDMENT/MODIFICATION NO. Amendment No. 4	2. EFFECTIVE DATE 2-1-77	3. SOLICITATION/PURCHASE REQUEST NO. 3177500	4. PROJECT NO. (If applicable) 931-0568-73
5. ISSUED BY Agency for International Development Central Operations Division Office of Contract Management Washington, D.C. 20523	6. ADMINISTERED BY (If other than block 5) CODP		

7. CONTRACTOR NAME AND ADDRESS Fundacion Para La Educacion Superior Edificio Garces - Oficina 203 Apartado Aereo No. 5744 Cali, Colombia South America	8. AMENDMENT OF SOLICITATION NO. DATED _____ (See block 9)	MODIFICATION OF CONTRACT/ORDER NO. <u>AID/ta-G-1121</u> DATED <u>6-30-74</u> (See block 11)
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9. THIS BLOCK APPLIES ONLY TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in block 12. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offerors must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation, or as amended, by one of the following methods:

(a) By signing and returning \_\_\_\_\_ copies of this amendment, (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE ISSUING OFFICE PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If, by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter provided such telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

10. ACCOUNTING AND APPROPRIATION DATA (If required)

Amount Obligated

Appropriation No. 72-11X1023 Allotment No. 402-31-099-00-22-71 (\$87,000)

11. THIS BLOCK APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS

to  This Change Order is issued pursuant to \_\_\_\_\_ FUNDS OBLIGATED \_\_\_\_\_

The Changes set forth in Block 12 are made to the above numbered contract/order

(b)  The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in block 12

(c)  This Supplemental Agreement is entered into pursuant to authority of Foreign Assistance Act of 1961, as amended, and Executive Order No. 11223.

12. DESCRIPTION OF AMENDMENT/MODIFICATION

The purpose of this amendment is to increase the level of effort, extend the period of performance, increase total estimated cost and incorporate up-dated General Provisions.

The parties hereto agree to the following:

A. Face Page - Delete the Estimated Completion Date of "June 30, 1977" and substitute therefor the date "September 30, 1977".

B. Table of Contents - General Provisions. Effective February 1, 1977, the attached General Provisions (AID 1420-41C(7-1-76) and Additional General Provisions (AID 1420-41D (7-1-76) are applicable.

C. Article IV - Level of Effort, add the following:

Except as provided hereon, all terms and conditions of the document referred to in block 8, as herebefore changed, remain unchanged and in full force and effect.

13.  CONTRACTOR/OFFEROR IS NOT REQUIRED TO SIGN THIS DOCUMENT.  CONTRACTOR/OFFEROR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN \_\_\_\_\_ COPIES TO ISSUING OFFICE

14. NAME OF CONTRACTOR/OFFEROR: FUNDACION PARA LA EDUCACION SUPERIOR. AGENCY: AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: Hugo Lora Comacho (Signature of Contractor/Offeror) BY: Michael Snyder (Signature of Contracting Officer)

15. NAME AND TITLE OF SIGNER (Type or print): Hugo Lora Comacho, Chancellor. DATE SIGNED: March 10, 1977. NAME OF CONTRACTING OFFICER (Type or print): Michael Snyder. DATE SIGNED: \_\_\_\_\_

"For the period 7-1-77 thru 9-30-77 the estimated level of effort is:

<u>Personnel</u>	<u>Approximate number of Worker Months</u>
Professional	17
Clerical and Other	2

D. Article V - Period of Contract - Delete the estimated completion date of "June 30, 1977" and substitute in lieu thereof the date "September 30, 1977".

E. Article VI - Estimated Cost and Obligated Funds - Paragraph A - Delete the estimated cost of "\$363,000" and substitute in lieu thereof the figure "\$450,000". Paragraph B - \$450,000 is currently obligated which sum fully funds the estimated cost of this contract.

F. Article VII - Budget - Delete the budget and substitute in lieu thereof the following:

<u>Category</u>	<u>BUDGET</u>		
	<u>Firm</u>	<u>Projected</u>	<u>Total</u>
	<u>FR: 6-30-74</u> <u>TO: 6-30-77</u>	<u>FR: 7-1-77</u> <u>TO: 9-30-77</u>	<u>FR: 6-30-74</u> <u>TO: 9-30-77</u>
Salaries and Wages	\$174,588	\$18,000	\$192,588
Consultants and Allowances	19,669	2,000	21,669
Transportation and Travel	36,243	5,000	41,243
Materials and Supplies	39,500	3,000	42,500
Overhead	36,500	4,000	40,500
SubContract	<u>101,500</u>	<u>10,000</u>	<u>111,500</u>
	\$408,000	\$42,000	\$450,000

G. Article IX - Establishment of Overhead Rate - Delete the date "June 30, 1977" and substitute in lieu thereof the date "September 30, 1977."

Agency for International Development  
 General Operations Division  
 Office of Contract Management  
 Washington, D.C. 20523

Same As Block 5

FUNDS OBLIGATED  
 "Page 2" (10)

CONTRACTOR NAME AND ADDRESS  
 CODE FACILITY CODE  
 Fundacion Para La Educacion Superior  
 Edificio Garces - Oficina 203  
 Apartado Aereo No. 5744  
 Cali, Colombia  
 South America

AMENDMENT OF SOLICITATION NO.  
 DATED (See block 9)  
 MODIFICATION OF CONTRACT/ORDER NO. ATD/ta-C-1121  
 DATED 6-30-74 (See block 11)

THIS BLOCK APPLIES ONLY TO AMENDMENTS OF SOLICITATIONS  
 The above numbered solicitation is amended as set forth in block 12. The hour and date specified for receipt of offers is extended is not extended  
 Offerors must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation, or as amended, by one of the following methods:  
 (a) By signing and returning copies of this amendment, (b) By acknowledging receipt of this amendment on each copy of the offer submitted, or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE ISSUING OFFICE PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If, by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided such telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

ACCOUNTING AND APPROPRIATION DATA (If required)  
 P/O/T 931-17-560-558-73-3167602  
 Appropriation No. 72-11X1023 Allotment No. 402-31-099-00-22-61

THIS BLOCK APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS  
 (a) This Change Order is issued pursuant to \_\_\_\_\_  
 The Changes set forth in block 12 are made to the above numbered contract/order.  
 (b) The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in block 12.  
 (c) This Supplemental Agreement is entered into pursuant to authority of Foreign Assistance Act of 1961, as amended, and Executive Order No. 11223.  
 It modifies the above numbered contract as set forth in block 12.

DESCRIPTION OF AMENDMENT/MODIFICATION  
 A. To Article IV - Level of Effort, add the following:  
 For the period 5-1-76 thru 6-30-77 the estimated level of effort is:  

Personnel	Approximate number of worker months
Professional Personnel	82
Clerical and other personnel	10

 B. In Article VI - Estimated Cost and Obligated Funds, para B, delete the figures "\$206,000" and "\$157,000" and substitute "\$363,000" and "0" respectively.

CERTIFIED A TRUE COPY THIS  
 10th DAY OF June 1976  
 BY R. A. Munday

AGREEMENT TO CONTRACT  
 CONTRACTOR'S SIGNATURE IS NOT REQUIRED FOR THIS DOCUMENT  
 CONTRACTOR'S SIGNATURE IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN 7 COPIES TO ISSUING OFFICE  
 HUGO LORA CAMACHO  
 Chancellor  
 5/24/76  
 UNITED STATES OF AMERICA  
 BY Merton Darwin  
 Director of Contracting Operations  
 MAY 28 1976

C. In Article VII - Budget, delete the budget and substitute the following:

BUDGET

<u>Category</u>	<u>Accrued</u> FR: 6-30-74 TO: 4-30-76	<u>Firm</u> FR: 5-1-76 TO: 6-30-77	<u>Total Budget</u> FR: 6-30-74 TO: 6-30-77
Salaries & Wages	\$ 79,588	\$ 68,000	\$147,588
Consultants & Allowances	14,669	5,000	19,669
Transportation & Travel	13,243	13,000	26,243
Materials & Supplies	25,500	10,000	35,500
Overhead	18,000	14,500	32,500
Subcontract	<u>55,000</u>	<u>46,500</u>	<u>101,500</u>
Total	\$206,000	\$157,000	\$363,000

<u>AMOUNT OBLIGATED</u>	
Approp. No.	72-11X1023
Allow. No.	402-31-094-00-22-61
Obj. No.	3167602-001-01-259
Amount	157,000.00
Proj. No.	931-17-560-568-73
By	COO
EM:GSD	
Date	5/29/76

1 AMENDMENT/MODIFICATION NO <b>Amendment No. 2</b>	7 EFFECTIVE DATE <b>4-17-76</b>	3 REQUISITION/PURCHASE REQUEST NO <b>N/A</b>	4 PROJECT NO (If applicable) <b>931-17-560-568</b>
5 ISSUED BY <b>Agency for International Development Central Operations Division Office of Contract Management Washington, D.C. 20523</b>		6 ADMINISTERED BY (If other than block 5) <b>Same As Block 5</b>	

7 CONTRACTOR NAME AND ADDRESS - <b>Fundacion Para La Educacion Superior Edificio Garces - Oficina 203 Apartado Aereo No. 5744 Cali, Colombia South America</b>	8. <input type="checkbox"/> AMENDMENT OF SOLICITATION NO. _____ DATED _____ (See block 9) <input checked="" type="checkbox"/> MODIFICATION OF CONTRACT/ORDER NO. <b>AID/ta-C-1121</b> DATED <b>6-30-74</b> (See block 11)
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9 THIS BLOCK APPLIES ONLY TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in block 12. The hour and date specified for receipt of Offers  is extended,  is not extended. Offerors must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation, or as amended, by one of the following methods:

(a) By signing and returning \_\_\_\_\_ copies of this amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE ISSUING OFFICE PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If, by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided such telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

10. ACCOUNTING AND APPROPRIATION DATA (If required)

**N/A**

11 THIS BLOCK APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS

(a)  This Change Order is issued pursuant to \_\_\_\_\_  
The Changes set forth in block 12 are made to the above numbered contract/order.

(b)  The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in block 12.

(c)  This Supplemental Agreement is entered into pursuant to authority of **Foreign Assistance Act of 1961, as amended, and Executive Order No. 11223.**  
It modifies the above numbered contract as set forth in block 12.

12. DESCRIPTION OF AMENDMENT/MODIFICATION

1. Table of Contents - General Provisions - Delete the General Provisions and Additional General Provisions dated 9-74 and substitute the attached dated 7-1-75 in lieu thereof.

2. Article VII - Budget - Delete the Firm Budget from 6-30-74 to 4-30-76 and substitute the following in lieu thereof.

CERTIFIED A TRUE COPY THIS  
13th DAY OF May 1976  
 BY R. C. Guindata

13.  CONTRACTOR/OFFEROR IS NOT REQUIRED TO SIGN THIS DOCUMENT  CONTRACTOR/OFFEROR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN 7 COPIES TO ISSUING OFFICE

14 NAME OF CONTRACTOR/OFFEROR <b>FUNDACION PARA LA EDUCACION SUPERIOR</b>	17. UNITED STATES OF AMERICA BY <b>AGENCY FOR INTERNATIONAL DEVELOPMENT</b> (Signature of Contracting Officer)
15 NAME AND TITLE OF SIGNER (Type or print) <b>Hugo Lora Camacho</b>	18. NAME OF CONTRACTING OFFICER (Type or print) <b>AGENCY FOR INTERNATIONAL DEVELOPMENT</b>

16 DATE SIGNED  
**April 26/76**

19. DATE SIGNED

<u>CATEGORY</u>	<u>FIRM BUDGET</u>
Salaries and Wages	\$ 79,588
Consultants and Allowances	14,669
Transportation and Travel	13,243
Materials and Supplies	25,500
Overhead	18,000
Subcontract	<u>55,000</u>
Total	\$206,000

It is agreed that this amendment does not increase the total estimated cost of subject contract.

**COST REIMBURSEMENT TYPE CONTRACT**

AGENCY FOR INTERNATIONAL DEVELOPMENT  
 NEGOTIATED CONTRACT NO. AID/ta-C-1121

CONTRACT TYPE  
 Cost Reimbursement - No Fee

NEGOTIATED PURSUANT TO THE FOREIGN ASSISTANCE  
 ACT OF 1961, AS AMENDED, AND EXECUTIVE ORDER 11223

AMOUNT  
 See Article VI

CONTRACT FOR:  
 Community Level Interventions

Project No. 931-17-560-568

ISSUING OFFICE (Name and Address)  
 Agency for International Development  
 Central Operations Division  
 Office of Contract Management  
 Washington, D. C. 20523

CONTRACTOR (Name and Address)  
 Fundación Para La Educacion Superior  
 NAME  
 Calle 11, No. 1-07, Oficina 203  
 STREET ADDRESS  
 Cali, Colombia  
 CITY, STATE AND ZIP CODE

ADMINISTRATION BY  
 Same as Issuing Office (CM/COD/TAB)

COGNIZANT SCIENTIFIC/TECHNICAL OFFICE  
 Bureau for Technical Assistance  
 Office of Nutrition (TA/N)

MAIL VOUCHERS (Original & 3 copies)  
 TO: Agency for Int'l Development  
 Office of Financial Mgmt.  
 Washington, D. C. 20523

ACCOUNTING AND APPROPRIATION DATA  
 PIO/T NO. 931-17-560-568-73-3147635  
 APPROPRIATION NO. 72-11X1023  
 ALLOTMENT NO. 402-31-099-00-22-41

EFFECTIVE DATE June 30, 1974

ESTIMATED COMPLETION DATE June 30, 1977

TYPES OF BUSINESS (CHECK APPROPRIATE BOX(ES).)

<input type="checkbox"/>	SOLE PROPRIETORSHIP	<input type="checkbox"/>	SMALL BUSINESS
<input type="checkbox"/>	PARTNERSHIP	<input type="checkbox"/>	LABOR SURPLUS AREA
<input type="checkbox"/>	JOINT VENTURE		
<input checked="" type="checkbox"/>	CORPORATION, INCORPORATED IN Cali, Colombia		

The United States of America, hereinafter called the Government, represented by the Contracting Officer executing this contract, and the Contractor agree that the Contractor shall perform all the services set forth in the attached Schedule, for the consideration stated therein. The rights and obligations of the parties to this contract shall be subject to and governed by the Schedule and the General Provisions. To the extent of any inconsistency between the Schedule or the General Provisions and any specifications or other provisions which are made a part of this contract, by reference or otherwise, the Schedule and the General Provisions shall control. To the extent of any inconsistency between the Schedule and the General Provisions, the Schedule shall control.

This Contract consists of this Cover Page, the Schedule of 14 Pages, including the Table of Contents and the General Provisions (form AID 1420-41C, dated \_\_\_\_\_).

NAME OF CONTRACTOR  
 Fundación Para La Educacion Superior

UNITED STATES OF AMERICA  
 AGENCY FOR INTERNATIONAL DEVELOPMENT

BY (Signature of authorized individual)  
*Hugo Lora Camacho*

BY (Signature of Contracting Officer)  
*V. C. Perelli*

TYPED OR PRINTED NAME  
 HUGO LORA-CAMACHO

TYPED OR PRINTED NAME  
 V. C. Perelli

TITLE  
 CHANCELLOR

CONTRACTING OFFICER

DATE  
 JUNE 24, 1974

DATE  
 JUN 27 1974

CERTIFIED A TRUE COPY THIS  
29th DAY OF July 1974  
 BY E. L. Amis

*provided 6/21/74*

AID/ta-C-1121

TABLE OF CONTENTS

SCHEDULE

The Schedule, on pages 1 through 14 , consists of the following Articles:

- ARTICLE I - STATEMENT OF WORK
- ARTICLE II - TECHNICAL DIRECTIONS
- ARTICLE III - KEY PERSONNEL
- ARTICLE IV - LEVEL OF EFFORT
- ARTICLE V - PERIOD OF CONTRACT
- ARTICLE VI - ESTIMATED COST AND OBLIGATED FUNDS
- ARTICLE VII - BUDGET
- ARTICLE VIII - REIMBURSABLE COSTS
- ARTICLE IX - ESTABLISHMENT OF OVERHEAD RATES
- ARTICLE X - PERSONNEL COMPENSATION
- ARTICLE XI - ALTERATIONS IN CONTRACT

GENERAL PROVISIONS

The General Provisions applicable to this contract consist of Form AID 1420-41C entitled "General Provisions - Cost Reimbursement Type Contract" dated July 1972, which includes provisions 1 through 41 and Form AID 1420-41D entitled "Additional General Provisions - Cost Reimbursement Type Contract" dated July 1972, which includes provisions 1 through 14.

ARTICLE I - STATEMENT OF WORK

A. Objective. The basic objective of this Contract is to develop intervention methodology that will guide planners to reduce the malnutrition rate.

B. Scope of Work. For the period hereinafter set forth in the Schedule, the Contractor shall provide technical services to develop a methodology that can be successfully applied at the community level by public authorities, Voluntary Agencies or other concerned institutions for diagnosing the causes of malnutrition in a community and prescribing the interventions that can best be implemented within the cultural, ecological and socio-economic constraints that characterize the community. The methodology developed will:

1. be an improved readily transferable written methodology that will describe the procedures to be followed to (a) diagnose for the causes of high rates of malnutrition in communities and (b) provide guidance in the selection of appropriate interventions that will reduce the malnutrition rate. In the process of developing the methodology, information will be obtained regarding feasibility and effectiveness of proposed interventions in a variety of communities.

2. be developed on the basic hypotheses as follows: (a) in the general case, malnutrition in the community, household or individual is related to the difference between nutrient requirement and nutrient availability (the "nutrient-gap"); (b) the nutrient-gap must be closed if the malnutrition rate is to decrease; (c) in some situations there may be no nutrient-gap at the community, household or individual level, but malnutrition is high. In these instances the nutrients probably are not absorbed

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or poorly absorbed. The most likely intervention measures are in the areas of health and sanitation.

3. be based upon a study which will be carried out in five small communities (population 2000 to 4000) having high rates of malnutrition, but carefully selected for differences in ecological and cultural conditions. From an analysis of nutrient requirements and nutrient availability, the extent of the nutrient-gap will be defined and the suspected causes of malnutrition diagnosed. Since causes may be multiple, more than one type of intervention may be indicated. These will be designed, and costs of implementation estimated.

4. indicate whether implementation costs are minimal or high. Where implementation costs are minimal (possibly \$1,000 - \$5,000), the intervention recommended will be instituted and the change in rate of malnutrition measured as a function of time. Where implementation costs are high and beyond the resources of the project institution, the project results will be used to seek implementation resources from other donors.

5. indicate, from the study of the five villages, the procedures used and methods for selection of interventions which will be described in detail. The procedure of village analysis will be documented and prepared in text form to include determining the extent of malnutrition, diagnosing the cause; cost/effectiveness analysis of potential interventions and suggested intermediate implementation strategies.

6. provide for the following (in view of the Colombian Government interest in nutrition projects).

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a. Planned interventions should contain evidence that consideration has been given to the potential for national and regional interventions to have an influence on nutritional improvement for small communities and associated rural areas.

b. Research and intervention design should encompass estimates of what consequences may be to a whole region if a community intervention is adopted by all communities in a region.

c. Evidence of prior approval of the project of the Planning Department should be provided to AID, prior to commencement of field work under this contract.

d. The reports should describe how the investigators believe the project results could bear on Colombian Government interests.

6. provide the procedure and analysis methodology through case studies in the villages. (Where existing resources are adequate the interventions to validate the methods will be instituted.) Emphasis will be given to clinically adequate nutritional status determination in the course of determining the prevalence of various states of malnutrition. Not only protein calorie but specific vitamin deficiencies would be recorded if determinable by routine clinical examination in the field. The investigators shall collect information and develop a procedure for family income determination, counting not only the monetary but also the various labor components that affect the family food supply.

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C. Reports. In addition to report requirements of General Provision 16 the following shall apply:

1. Annual reports written in English, shall be submitted in twenty copies on or before the anniversary date of this contract.
2. Two copies each of proposed publications based on data collected under this contract will be provided in advance to AID/Washington and USAID/Colombia in Bogota for approval.

D. Annual Review. In order to increase the communications within Colombia of relevant personnel and project findings, the Foundation in collaboration with USAID Bogota will arrange for and conduct annual reviews involving Colombian entities such as ICA, National Planning Office of the Ministry of Agriculture, National Planning Department, and the Institute of Family Welfare.

#### ARTICLE II - TECHNICAL DIRECTIONS

Performance of the work hereunder shall be subject to the technical directions of the cognizant A.I.D. Technical Office indicated on the Cover Page. As used herein, "Technical Directions" are directions to the Contractor which fill in details, suggest possible lines of inquiry, or, otherwise complete the general scope of the work. "Technical Directions" must be within the terms of this contract and shall not change or modify them in any way.

ARTICLE III - KEY PERSONNEL

A. The key personnel which the Contractor shall furnish for the performance of this contract are as follows:

Key Personnel: Dr. Luis Fajardo

Dr. Dean Wilson

B. The personnel specified above are considered to be essential to the work being performed hereunder. Prior to diverting any of the specified individuals to other programs, the Contractor shall notify the Contracting Officer reasonably in advance and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the program. No diversion shall be made by the Contractor without the written consent of the Contracting Officer; provided, that the Contracting Officer may ratify in writing such diversion and such ratification shall constitute the consent of the Contracting Officer required by this clause. The listing of key personnel may, with the consent of the contracting parties, be amended from time to time during the course of the contract to either add or delete personnel, as appropriate.

ARTICLE IV - LEVEL OF EFFORT

The level of effort set forth below is illustrative of the effort which the Contractor shall provide in the performance of this contract

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between 6/30/74 and 4/30/76:

<u>Personnel</u>	<u>Approximate No. of Man-Months</u>
Key Personnel	37
Other Professional Personnel	74
Clerical	10

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ARTICLE V - PERIOD OF CONTRACT

The effective date of this contract is June 30, 1974 and the estimated completion date is June 30, 1977.

ARTICLE VI - ESTIMATED COST AND OBLIGATED FUNDS

A. The total estimated cost of this contract to the Government is \$363,000.

B. Of the total estimated cost above, \$206,000 is hereby obligated and \$157,000 remains subject to the availability of funds in accordance with General Provision 8 (Attachment B), entitled "Limitation of Funds".

ARTICLE VII - BUDGET

The following budget sets limitations for reimbursement of dollar costs for individual line items. Without the prior written approval of the Contracting Officer, the Contractor may not exceed the grand total set forth in the budget hereunder nor may the Contractor exceed the dollar

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costs for any individual line item by more than 15% of such line item.

BUDGET

<u>Category</u>	<u>Firm Budget</u> FR: 6/30/74 TO: 4/30/76	<u>Budget Amount</u> FR: 5/1/76 TO: 6/30/77	<u>Total Budget</u> FR: 6/30/74 TO: 6/30/77
Salaries & Wages	\$137,000	\$103,000	\$240,000
Consultants	26,000	19,000	45,000
Transportation & Travel	7,000	5,000	12,000
Materials & Supplies	18,000	5,000	33,000
Overhead	<u>18,000</u>	<u>5,000</u>	<u>33,000</u>
TOTALS	\$206,000	\$157,000	\$363,000

ARTICLE VIII - REIMBURSABLE COSTS

The United States dollar costs allowable under the contract shall be limited to reasonable, allocable, and necessary costs determined in accordance with the Clause of the General Provisions of this Contract entitled "Allowable Cost, Fixed Fee, and Payment."

ARTICLE IX - ESTABLISHMENT OF OVERHEAD RATE

Pursuant to the provisions of the Clause of the General Provisions of this contract entitled "Negotiated Overhead Rates," a rate shall be established for the period beginning June 30, 1974 and ending June 30, 1977.

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Pending establishment of final overhead rate for the initial period, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate applied to the base which is set forth below:

<u>10%</u> (Rate)	<u>Total Direct Costs</u> (Base)	<u>6/30/74 - '6/30/77</u> (Period)
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#### ARTICLE X - PERSONNEL COMPENSATION

A. Limitations - Compensation of personnel which is charged as a direct cost under this contract, like other costs, will be reimbursable in accordance with the Schedule Article immediately above entitled "Reimbursable Costs"; General Provision 9 entitled "Allowable Cost, Fixed Fee, and Payment"; and other applicable provisions of this contract but subject to the following additional specified understandings which otherwise would be reasonable, allocable, and allowable.

1. Approvals - Salaries and wages may not exceed the Contractor's established policy and practice, including the Contractor's established pay scale for equivalent classifications of employees, which will be certified to by the Contractor, nor may any individual salary or wage without approval of the Contracting Officer, exceed the employee's current salary or wage or the highest rate of annual salary or wage received during any full year of the immediately preceding three years. There is a ceiling on reimbursable salaries and wages paid to a person employed directly under the contract of the maximum salary rate of FSR-1 (or the equivalent daily rate of the maximum FSR-1 salary, if compensation is not

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on an annual basis), unless advance written approval is given by the Contracting Officer.

2. Salaries During Travel - Salaries and wages paid while in travel status will not be reimbursed for a travel period greater than the time required for travel by the most direct and expeditious air route.

3. Return of Employees Serving Overseas - Salaries and wages paid to an employee serving overseas who is discharged by the Contractor for misconduct or security reasons, will in no event be reimbursed for a period which extends beyond the time required to return him promptly to his point of origin by the most expeditious air route plus accrued vacation leave.

4. Merit or Promotion Increase - Merit or promotion increases may not exceed those provided by the Contractor's established policy and practice. Merit or promotion increases exceeding these limitations or exceeding the maximum salary of FSR-1 may be granted only with the advance written approval of the Contracting Officer.

5. Consultants - Consultant services for a maximum number of 450 days will be reimbursed in connection with the services to be provided hereunder. No compensation for consultants will be reimbursed unless their use under the contract has the advance written approval of the Contracting Officer. If such approval is given, compensation shall not

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exceed, without specific approval of the rate by the Contracting Officer, (1) the current compensation or the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years or (2) maximum daily salary rate of a Foreign Service Officer Class 1 whichever is less.

NOTE: The daily rate of a Foreign Service Officer Class 1 is determined by dividing the annual salary by 260 days.

6. Work Week - The work week for the Contractor's employees shall not be less than the established practice of the Contractor.

B. Definitions - As used herein, the terms "Salaries", "Wages", and "Compensation" mean the periodic remuneration received for professional or technical services rendered exclusive of overseas differential or other allowances associated with overseas service, unless otherwise stated. The term "compensation" includes payments for personal services (including fees and honoraria). It excludes earnings from sources other than the individual's professional or technical work, overhead or other charges.

#### ARTICLE XI - ALTERATIONS IN CONTRACT

A. Alterations to General Provisions - Attachment A hereto entitled "Alterations to General Provisions (Cost Reimbursement Type Contract AID 1420-41C (7-72))" is hereby added and incorporated into the provisions of this contract.

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B. General Provision 8, Limitation of Funds. General Provision 8 entitled 'Limitation of Cost' is deleted in its entirety and General Provision 8 entitled 'Limitation of Funds' (Attachment B) is substituted in lieu thereof.

C. Government Property - General Provision No. 19, entitled "Government Property" is amended to reflect that no Government property shall be furnished under this contract.

D. Cost Accounting Standards - Attachment C, entitled "Cost Accounting Standards" is hereby incorporated into the provisions of this Contract.

E. Fixed Fee. All references to fixed fee contained in this contract are deleted.

F. Release of Information Gathered Under This Contract.

1. AID's contribution to any publication resulting from this contract shall be identified in that publication. The contractor shall consult with AID on the nature of the acknowledgment prior to publication or whether acknowledgment should be made.

2. The Contractor shall provide the sponsoring AID technical office with one copy of all published works developed under the contract, as well as lists of other written work produced as well as lists of other written work produced as a result of this contract.

G. Source Requirements for Procurements with Contract Funds. General Provision 17 entitled "Source Requirements of Procurement of Equipment.

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Vehicles, Materials, Supplies, and Services" is hereby deleted in its entirety and, in lieu thereof, up to \$10,000 of supplies, consisting of general office supplies, duplicating needs and supplies for measurements/nutrition intervention (special foods, seeds, medicines, etc.) may be obtained locally. United States sources shall be utilized, if available, for any imported article required.

H. Consultants. Subject to the personnel compensation limitations set forth in Article X above, consultants are to be selected in cooperation with A.I.D. from planning ministries worldwide, from specialized disciplines, from intervention projects based on their experience, from international agencies interested in implementing nutrition improvements, from related projects. Site visits and conferences will be arranged by the project managers and foundation personnel at appropriate intervals, based on stages of the analysis and intervention design.