

PD-AAZ-158

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT PAPER FACESHEET
 TO BE COMPLETED BY ORIGINATING OFFICE

1. TRANSACTION CODE ("X" appropriate box)
 Original Change
 Add Delete

PP
 DOCUMENT CODE
 3

2. COUNTRY ENTITY
 ROCAP/INCAP

3. DOCUMENT REVISION NUMBER
 1

4. PROJECT NUMBER
 596-0065

5. BUREAU
 a. Symbol LA b. Code 5

6. ESTIMATED FY OF PROJECT COMPLETION
 FY 80

7. PROJECT TITLE - SHORT (stay within brackets)
 REGIONAL NUTRITION

8. ESTIMATED FY OF AUTHORIZATION/OBLIGATION
 a. INITIAL mo. yr. 6 6 b. FINAL FY 7 9

9. ESTIMATED TOTAL COST (\$000 or equivalent, \$1 = 1 CA) Peso

a. FUNDING SOURCE	FIRST YEAR FY 76			ALL YEARS		
	b. FX	c. L/C	d. Total	e. FX	f. L/C	g. Total
AID APPROPRIATED TOTAL	500		500			
(Grant)	(500)	()	(500)	()	()	()
(Loan)	()	()	()	()	()	()
Other						
1. Consortium	576		576			
U.S.						
2.						
HOST GOVERNMENT		54	54			
OTHER DONOR(S)	284					
TOTALS	1360	54	1414			

10. ESTIMATED COSTS/AID APPROPRIATED FUNDS (\$000)

a. Approp-riation (Alpha Code)	b. Primary Purpose Code	c. Primary Tech. Code	FY 76		FY 77		ALL YEARS				
			d. Grant	e. Loan	f. Grant	g. Loan	h. Grant	i. Loan	J. Grant	k. Loan	
FN	384	340	350		250		30				
			150								
TOTALS			500		250		30				
11. ESTIMATED EXPENDITURES			76		150		510				

12. PROJECT PURPOSE(S) (stay within brackets) Check if different from PID/PRP

13. WERE CHANGES MADE IN BLOCKS 12, 13, 14, or 15 OF THE PID FACESHEET? IF YES, ATTACH CHANGED PID FACESHEET.

Yes No

14. ORIGINATING OFFICE CLEARANCE

Signature: Barry Sidman
 Title: Acting Director
 Date Signed: mo. day yr. 08/13/76

15. Date Received in AID/W. or For AID/W Documents, Date of Distribution
 mo. day yr.

PROJECT PAPER - REVISION No. 1

REGIONAL NUTRITION - 596-0065

This revision is submitted per STATE 189826 (July 31, 1976) and includes a revised financial plan which conforms with Transition Quarter and ROCAP's FY 1978 ABS. Per Handbook 3, Appendix 6A-7, only data which is to be changed (in blocks 9 through 12) is included.

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GS	
BG	
CW	
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ACTION	----
FILE	----

PPC/YDA/SPA, James A. Brown

August 3, 1976

PPC/DPRE/PE, Herbert D. Turner

Conference on Impact Measurement Techniques

Depending upon how the topic is interpreted, item #1, "When to use impact evaluation . . ." could cause us problems. The reason is that the Agency's evaluation system already has prescribed rules covering the scheduling and conduct of both routine and special (i.e., in depth) evaluations. Perhaps the IICAP Agenda Item #1 might focus on the appropriateness and utility of various evaluation methodologies and approaches under different sets of local conditions. This kind of guidance would be useful while at the same time lessening the prospect of conflict at the procedural level.

Item #2, on "proper outcome variables might be clarified. In the Agency's design and evaluation methodology, targets at the output, project purpose and higher order (e.g., sector) goal levels are tailor made to the special requirements of the project/program setting in the host country. I assume your wording in #2 has to do with exemplars. If so, I believe the exemplars would be more useful on a sub-sectoral or, class-of-project bases.

cc:LA/DP:Gerald Schwab ✓
 NE/DP:Joan Silver
 EA/DP:William Lefes
 PPC/DPRE:Arthur Handly
 PPC/DPRE:Robert J. Berg

HBTURNER:trm 8/3/76

MEMORANDUM

July 21, 1976

TO: See Distribution

FROM: PPC/PDA/SPA, James A. Brown *J. A. Brown*

SUBJECT: Conference on Impact Measurement Techniques

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ACTION	----
FILE	----

1. INCAP (The Nutrition Institute for Central America and Panama) and its parent organization PAHO (The Pan American Health Organization) have approached AID for support of a conference on impact measurement research techniques for LDC development projects. The Conference would be organized around a series of publishable papers, to be issued later as a "how to do it" volume on impact measurement.
2. The attached list of potential conference topics was prepared for an INCAP-PAHO workshop held recently to explore ideas on participants and the content of papers. Many issues will need to be resolved between now and September, when INCAP-PAHO expect to submit a full proposal to AID. Among them are the extent to which the conference should consider topics outside of health and nutrition.
3. I would appreciate your comments by phone or in writing as to additional topics for discussion, authors and scopes of work for papers, and potential case studies. If there is sufficient additional interest, I would like to schedule a meeting during August to discuss plans for the conference.
4. If I have neglected some of your colleagues who are interested in these matters, please show them this material.

Distribution:

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PPC/PDA, J Mellor/ C Michalopoulos/ division chiefs

Attachment: a/s

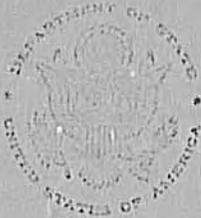
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Measuring the Impact of Development Projects in Low Income Countries

Potential Conference Topics (workshop participants should discuss the extent to which each deserves a separate paper):

1. When to use impact evaluation vs. when to use process evaluation vs. when to use no evaluation at all.
2. The "proper" or "professional consensus" outcome variables for projects in the following (separate?) categories:
 - a. health (general and MCH)
 - b. family planning
 - c. education
 - d. income-producing (including most agricultural projects)
 - e. nutrition (is this really a separate category or part of health?)
3. Experimental design from the viewpoint of the econometrician or the "structural equations multivariate statistician"
 - a. reduced importance of control groups
 - b. sample size requirements for hypothesis testing vs. measurement
 - c. data requirements: social, economic, biological, psychological
 - d. data requirements: objective vs. subjective measures
 - e. lessons from the Income Maintenance Experiments and other large "economic experiments" in the USA
4. Logistic, political, bureaucratic, socio-cultural and other "non-technical" problems in maintaining good experimental designs for LDC projects.
5. How to go about choosing among experimental design with control groups, quasi-experimental design, "econometric" design, or other designs for projects in low-income countries, in terms of:
 - a. costs and available resources
 - b. time required and time available
 - c. expected precision and robustness of results
6. Operational guidelines and "rules of thumb" for actual projects now contemplated
 - a. costs (operations, data gathering, and analyses)
 - b. sample sizes, survey methodologies, analytical techniques
 - c. numbers of treatment groups and control groups
 - d. organizational and institutional matters
7. Leading candidates for case studies (projects already underway or finished)
 - a. low-income countries
 - b. other countries
8. Bibliography items (including papers already written that might be presented and discussed at a conference)
9. Conference participants
 - a. principal authors of papers
 - b. discussants (two per paper?)
 - c. chairman for sessions

J. Brown
A.I.D.
13 July 1976



Eval

Department of State

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 APPROVED BY AA/LA: HKLEINE
 LA/DP:LSMUCKER (DRAFT)
 LA/DP:BGOLDSTEIN (DRAFT)
 LA/OPNS:FROMANO (DRAFT)
 LA/GEN:THEREN (DRAFT)
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 LA/DR:MRACKETT (DRAFT)
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TASS

SUBJECT: REGIONAL NUTRITION PROJECT NO. 596-11-500-065
GUATEMALA FOR RUCAP

1. SUBJECT PROJECT WAS APPROVED FOR GRANT FUNDING UP TO
1,700,000 DOLLARS WITH THE FOLLOWING CONDITIONS:

2. AFTER APPROXIMATELY ONE YEAR, THE ACTUAL DEMAND FOR
RUCAP SERVICES WILL BE EVALUATED IN LIGHT OF SIGNED COUNTRY
TECHNICAL ASSISTANCE AGREEMENTS TO VERIFY CURRENT
ASSUMPTIONS IN LEVEL OF EFFORT, TYPE OF ASSISTANCE, AND
OTHER FACTORS. RUCAP WILL BE ADVISED OF RESULTS.

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Department of State

TELEGRAM

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PAGE 02 STATE 063202

3. CONCERN WAS EXPRESSED THAT THE MEMBER COUNTRIES BEGIN TO SUPPORT INCAP'S SERVICES FROM THE APPLIED NUTRITION DIVISION BEFORE THE CESSATION OF AID FUNDING. THIS CONCERN WOULD BE DISCUSSED WITH INCAP AT THE OUTSET OF THE PROJECT. IN ADDITION, IT WAS AGREED THAT LONG TERM SUPPORT FOR THE APPLIED NUTRITION DIVISION WOULD BE EXAMINED DURING A MID-PROJECT EVALUATION SO THAT APPROPRIATE ACTION COULD BE TAKEN IF NECESSARY TO INSURE THAT THE DIVISION WOULD BE SUPPORTED IN THE 1980S AT A LEVEL COMMENSURATE WITH DEMANDS FOR THEIR SERVICES.

4. AGREEMENTS WITH MEMBER COUNTRIES WILL INCLUDE A PROVISION TO ENSURE THAT DATA DEVELOPED BY INCAP WILL BE AVAILABLE TO INCAP AND THE USAIDS.

5. FUNDS FOR EARTHQUAKE REHABILITATION FOR INCAP WILL BE DIRECTED FOR REPAIR OF INCAP'S DAMAGED FACILITIES IN THE FIELD AND GUATEMALA CITY AND NOT FOR COMMUNITY REHABILITATION.

6. ROLE OF WOMEN. INCAP WILL BE MADE AWARE OF AID'S POLICY ON MAXIMIZING THE ROLE OF WOMEN IN DEVELOPMENT.

7. FUNDING LEVELS. AIDAN PLANS TO PROCEED WITH NOTIFICATION TO CONGRESS SO THAT REHABILITATION COMPONENT CAN BE FUNDED. AIDAN SHOULD BE AWARE THAT FUNDING AVAILABILITIES FOR FY 76 ARE EXTREMELY SHORT AND THERE IS NO ASSURANCE THAT 76 REQUEST LEVEL WILL BE MADE AVAILABLE. MISSION IS REMINDED THAT FUNDING WILL BE PROVIDED IN ACCORDANCE WITH LEVELS IN FY 77 CP FOR 10 AND FY 77 RATHER THAN RP LEVELS.

8. EVALUATION. COUNTRY SPECIFIC OBJECTIVES, OUTPUT TARGETS, AS WELL AS TA INPUTS SHOULD BE IDENTIFIED IN THE COURSE OF DEVELOPING TECHNICAL ASSISTANCE AGREEMENTS BETWEEN INCAP AND THE HOST COUNTRIES IN ORDER TO ASSIST INCAP AND AID EVALUATE THE SUCCESS OF INCAP'S SERVICES.

ATLANTA

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1'

*Bernice Goldstein
LA/DP
Room 3917A*

STATE - AID - USIA ROUTING SLIP		CLASSIFICATION UNCLASSIFIED	
		DATE 3/16/76	
TO:	Name or Title	Orgun. Symbol	Room No. Bldg.
1.	L. SMUCKER	LA/DP	
2.	B. GOLDSTEIN	LA/DR	3917A
3.	P. ROMANO	LA/OPNS	
4.	T. MEHEN	LA/CEN	
5.	M. BRACKETT	LA/DR	
	Approval	For Your Information	Note and Return
	As Requested	Initial for Clearance	Per Conversation
	Comment	Investigate	Prepare Reply
	File	Justify	See Me
	For Correction	Necessary Action	Signature
REMARKS OR ADDITIONAL ROUTING			
<p>J. SINGLETARY LA/DR/ED</p> <p>R. GOMEZ LA/DR</p> <p>SUBJECT: ROCAP Regional Nutrition Project 596-11-560-065</p> <p>Please clear the attached action memo and cable on the above subject ASAP.</p> <p><i>Cleared 3/17/76 SD</i></p>			
FROM: (Name and Org. Symbol)		ROOM NO. & BLDG.	
Darean Day LA/DR		2253-A	
CLASSIFICATION		PHONE	
		29484	

FORM 15-29
3-74

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR FOR L.A.

FROM: LA/DR, Donor M. Lion

Problem: That you approve a grant project for up to \$1,760,000 for ROCAP, Regional Nutrition Project 596-11-560-065.

Discussion: The project proposes to grant \$1,610,000 to the Institution of Nutrition of Central America and Panama (INCAP) for the purpose of providing assistance to its member countries in designing, implementing, and evaluating national food and nutrition policies and programs. In addition, it is proposed to include a grant of \$150,000 for the rehabilitation of INCAP's facilities which were damaged by the recent earthquake.

The grant will fund personnel costs for 3-1/2 full-time staff experts and short-term consultants (\$826,000); travel (\$276,000); seminars held in each country (\$73,000), evaluation (\$27,000) and other costs (\$408,000). Funding will be provided over a four year period as follows: FY 76 - \$485,000; IQ - \$40,000; FY 77 - \$290,000; and the last two years - \$945,000.

The issues and items for clarification listed below were considered by the DAEC. There were no unresolved issues.

1. Demand for INCAP Services. It was pointed out that the Project was the result of extensive dialogue between various country ministries, INCAP, the USAIDs, and ROCAP. INCAP was drawing on three previous years of providing applied nutrition assistance to its governments. Formal commitment had been received from four governments to the project in

principle; two remaining governments have demonstrated interest. The INCAP Board, composed of country representatives, had endorsed the project. Consequently, the demand had been established in principle. Furthermore, it was agreed that an evaluation of the demand for INCAP services would be held after approximately a year based on service agreements between INCAP and its member countries to verify the level of effort, type of assistance, and funding levels.

2. Relationship to the Bilateral Programs. INCAP is expected to play a major role in the proposed loan programs. The technical assistance requirements in each new loan will take into account the INCAP role. In Honduras, for example, INCAP will assist the national planning council to plan, implement, and analyze their nutritional needs.

3. Earthquake Rehabilitation. It was agreed that this component should be included. Congressional notification is being prepared. It was also agreed AID funds would be directed at rehabilitating INCAP's damaged facilities in the field and Guatemala City and not used for community rehabilitation.

4. Continuation of Project. Concern was expressed that the member contribution might not be adequate to support INCAP in the future in the absence of AID support. This would then lock AID into a commitment to provide continuing budget support to INCAP. It was agreed that this concern would be discussed with INCAP at the outset of the Project. In addition, support for the Applied Nutrition Division would be examined during a mid-project evaluation so appropriate action could be taken to

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ensure support commensurate with demand at the end of this project. It was expected that by the end of the project there would be less of a need for a large core staff.

5. Data Availability. Agreements with member countries will include the understanding that data developed by INCAP would be available to INCAP and the USAIDs.

6. Role of Women. INCAP would be made aware of AID's policies on maximizing the role of women in development.

7. Evaluation. Country specific objectives and output targets as well as technical assistance inputs would be identified in developing country agreements in order to measure INCAP success in each country.

The project was included on page 296 of the FY 76 Congressional Presentation at a level of \$350,000 for FY 76. Congressional notification is being prepared for increasing FY 76 funding to \$500,000 in order to provide funds to rehabilitate INCAP's facilities damaged during the earthquake. The amount of contribution by INCAP and other donors more than satisfies the criteria of Section 110(a) of the Foreign Assistance Act
Recommendation: That you authorize the attached cable approving a grant of \$1,760,000 for the purposes and in accordance with the project described in the ROCAP: Regional Nutrition Project Paper.

cc: USAID/ROCAP
L. Harrison

LA/DR:DDay:kwl:3/16/76

Clearances:

LA/DP:LSmucker_____

LA/CEN:TMehen_____

LA/DR/ED:JSingletary_____

LA/DP:BGoldstein_____

LA/DR:MBrackett_____

LA/OPNS:PRomano_____

LA/DR:RGomez_____

DRAFT CABLE

TO: Guatemala for ROCAP

SUBJECT: Regional Nutrition Project No. 596-11-560-065

1. Subject project was approved for grant funding up to \$1,760,000 with the following conditions:
2. After approximately one year, the actual demand for INCAP services will be evaluated in light of signed country technical assistance agreements to verify current assumptions on level of effort, type of assistance, and funding levels. AID/W should be advised of results.
3. Concern was expressed that the member countries begin to support INCAP's services from the Applied Nutrition Division before the cessation of AID funding. This concern would be discussed with INCAP at the outset of the project. In addition, it was agreed that long term support for the Applied Nutrition Division would be examined during a mid-project evaluation so that appropriate action could be taken if necessary to insure that the division would be supported in the 1980s at a level commensurate with demands for their services.
4. Agreements with member countries will include a provision to ensure that data developed by INCAP will be available to INCAP and the USAIDs.
5. Funds for earthquake rehabilitation for INCAP will be directed for repair of INCAP's damaged facilities in the field and Guatemala City and not for community rehabilitation.

6. Role of Women. INCAP will be made aware of AID's policy on maximizing the role of women in development.

7. Evaluation. Country specific objectives, output targets, as well as TA inputs should be identified in the course of developing technical assistance agreements between INCAP and the host countries. in order to assist INCAP and AID evaluate the success of INCAP's services.

LA/DR:DDay:kwl:3/16/76

AA/LA:HKleine_____

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STATE - AID - USIA ROUTING SLIP		CLASSIFICATION UNCLASSIFIED	
		DATE March 3, 1976	
TO:	Name or Title	Organ. Symbol	Room No. Bldg.
1.	ROCAP - Regional Nutrition Project		Initials
2.	Paper (Grant)		Date
3.	DAEC review March 3, 1976		
4.			
5.			
	Approval	For Your Information	Note and Return
	As Requested	Initial for Clearance	Per Conversation
	Comment	Investigate	Prepare Reply
	File	Justify	See Me
	For Correction	Necessary Action	Signature
REMARKS OR ADDITIONAL ROUTING			
<p>DAEC approved project subject to the following:</p> <p>1) After first year, assessment will be made of demand for INCAP services, to verify current assumptions on level of effort, type of assistance, and funding levels.</p> <p>2) During mid-project evaluation, question of long-term support for Applied Nutrition Division would be examined, so that appropriate action can be taken if necessary to insure that division will will be supported in the 1980's at level commensurate with demand for services.</p> <p>3) Country specific objectives, output targets, technical assistance inputs will be identified in developing country agreements in order to measure INCAP achievement in each country.</p>			
FROM: (Name and Org. Symbol)		ROOM NO. & BLDG.	
Bernice Goldstein			
CLASSIFICATION		PHONE	
UNCLASSIFIED			

FORM JF-29
3-74

14

14

INFORMATION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR (L.A.)

FROM: LA/DR, Donor M. Lion

SUBJECT: Issues for the DAEC - ROCAF: Regional Nutrition Project Paper (Grant)

The DAEC will review the subject Project Paper on Wednesday, March 3 at 2:30 p.m. in the LA/DR Conference Room.

The proposed project would grant \$1,613,000 to the Institution of Nutrition of Central America and Panama (INCAP) for the purpose of providing assistance to its member countries in designing, implementing, and evaluating national food and nutrition policies and programs. INCAP will assist in establishing or strengthening the nutritional planning capacity in its member countries and serve as a source of nutrition-related training and specialized technical assistance. It is expected that INCAP will be able to encourage and support the recently increased government interest (much of it AID initiated) in nutrition in the region. In addition, the methodologies developed for applying nutritional planning programs and operational procedures will have worldwide applicability. The project also includes a component of \$150,000 for the rehabilitation of INCAP's facilities which were damaged by the recent earthquake.

The AID grant will fund personnel costs (\$826,000 for full-time staff experts and short-term consultants); travel (\$276,000); seminars held in each country (\$73,000); evaluation (\$27,000); other (\$408,000); and rehabilitation of INCAP's laboratory and library (\$150,000). INCAP will provide \$234,000 and other donors, PAHO and the Kellogg Foundation, will provide \$973,000 for a total of \$1,212,000.

The project was included in the FY 76 Congressional Presentation on page 296.

The DAEC will consider the following issues and points for clarification:

1. Demand for INCAP Services (Issue). The project calls for building up the INCAP staff to strengthen national planning capacities and serve as a specialized technical assistance and training source based on anticipated needs of the member countries. The DAEC will explore the demand for INCAP services. Have member governments asked for assistance in these areas? Would the governments be willing to pay INCAP for the cost of these services? How were the proposed assistance requirements established for the 10 basic staff members, the extended staff, and consultants?

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2. Relationship to Bilateral Programs (Clarification). Four of the six Missions have or are in the process of developing nutrition loans. The DAEC will discuss the relationship of these loans to this project. Will the proposed project be limited to loan development work? What agreements have been reached with the Missions? Is it expected that technical assistance, at least in the above three areas, will not be funded from the loans? Would it be preferable for countries to purchase their technical assistance from INCAP utilizing loan funds?

3. Earthquake Rehabilitation (Clarification). The DAEC will examine whether or not this grant project is an appropriate means of aiding INCAP to recover from earthquake damage. Is there any other mechanism for providing this assistance? Have the other proposed consortium members agreed to contribute? Will Congressional Notification be required?

4. Member Country Contributions (Issue). The member countries are providing \$234,000 through their contributions to INCAP while AID and other donors are providing \$2.0 million under the Project. These are essentially budgetary resources to increase INCAP's staff of experts. The DAEC will discuss whether or not the member contribution should be increased. Does this contribution represent sufficient member country commitment to this project?

5. Continuation of Project (Issue). It is not clear what will happen to the expanded Division of Applied Nutrition once AID funding is no longer available. Not all of the six countries will be at the same level of planning and it is expected that funds from other sources will be needed to support five members of the Basic Staff of the Division of Applied Nutrition and hopefully additional staff as well beyond 1980. The DAEC will discuss how these funds will be assured. Should INCAP be required to increase its share of the funding after the first year while AID phases down to ensure that sufficient funds are available to support the services of the Applied Nutrition Division?